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1 **Modelling the water level of the alluvial aquifer of an ephemeral river**
2 **in south-western Zimbabwe**

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21 **Modelling the water level of the alluvial aquifer of an ephemeral river** 22 **in south-western Zimbabwe**

23 Water from the alluvium of ephemeral rivers in Zimbabwe is increasingly being
24 used. These alluvial aquifers are recharged annually from infiltrating floodwater.
25 Nonetheless, the size of this water resource is not without limit and an
26 understanding of the hydrological processes of an alluvial aquifer is required for
27 its sustainable management. This paper presents the development of a water
28 balance model, which estimates the water level in an alluvial aquifer recharged
29 by surface flow and rainfall, while allowing for abstraction, evaporation and
30 other losses. The model is coupled with a watershed model, which generates
31 inflows from upland catchment areas and tributaries. Climate, hydrological, land
32 cover and geomorphological data were collected as inputs to both models as well
33 as observed flow and water levels for model calibration and validation. The sand
34 river model was found to be good at simulating the observed water level and was
35 most sensitive to porosity and seepage.

36 Keywords: Alluvial aquifer; ephemeral river; hydrological processes; modelling;
37 Shashani River; Zimbabwe

38 **1 Introduction**

39 In large parts of tropical Africa, including Zimbabwe, the groundwater aquifers of the
40 underlying crystalline basement rocks are the main water source for rural populations
41 even though they have limited water supply potential (Davies and Burgess, 2013,
42 Mazvimavi et al., 2007, MacDonald et al., 2008, Chilton and Foster, 1995). Water is
43 typically abstracted from deep wells and boreholes and often from unreliable hand
44 pumps; therefore, water users have to walk lengthy distances and queue for long periods
45 to a functional water source to obtain an adequate supply of water.

46 As an alternative to the low yielding and unreliable groundwater aquifers and
47 the limited availability of surface water resources, many communities in the semi-arid
48 regions of south-western Zimbabwe have found the alluvial aquifers of ephemeral or
49 episodic rivers to be a viable alternative source of water (de Hamer et al., 2008). The

50 channels of these ephemeral watercourses contain extensive sand deposits (Figure 1).
51 There is usually surface flow only after a rainfall event (Davies et al., 1994), with no
52 surface flow for most of the year (Benito et al., 2009), but there is presence of
53 subsurface water within the sand all year round (Herbert, 1998). These sandy alluvial
54 valley aquifers are frequently referred to as ‘sand rivers’ and they are the most common
55 river type in the arid and semi-arid regions of southern Africa (Davies et al., 1994).

56 [Figure 1 near here]

57 The water in the sediments of ephemeral rivers is naturally filtered by the sand
58 and is thus clean enough for safe domestic use. Abstraction of water from such sand
59 river alluvial aquifers is commonly referred to as sand-abstraction. These sand rivers
60 have been exploited by rural communities in many areas of Zimbabwe either by shallow
61 pits dug in the sand or collector wells in the river bank and provide a valuable, readily
62 available water supply for local people (Hussey, 1997, Hussey, 2003). The alluvial
63 aquifers of these ephemeral rivers thus comprise a vast, largely untapped potential for
64 potable water abstraction and they are increasingly being used to supplement or replace
65 the traditional groundwater resources that are becoming depleted. This resource,
66 nonetheless, is not without limit, and an understanding of the hydrological processes of
67 an alluvial aquifer is a basic requirement for its sustainable management.

68 The sustainable yield of a sand river aquifer depends on the recharge it receives
69 and its distribution in time, the geometry of the sand river deposits, the hydraulic
70 properties of the sand and the amount of water abstraction, evaporation and other losses
71 (Herbert et al., 1997). Recharge refers to the amount of water reaching the saturated zone
72 of the sand riverbed and the resulting increase in water level in the alluvial aquifer (Mpala
73 et al., 2016). Sand rivers are recharged from replenishment by the intermittent surface
74 flow (Horst, 1975), as well as from intermittent rainfall. Rainfall recharge depends on

75 the depth to the saturated zone and the properties of the sand while evaporation only has a
76 significant influence when the water level depth is less than 0.6 m (Neal, 2012, Quinn et
77 al., 2018).

78 Hydrological models have been used to study the ephemeral rivers of Namibia
79 and Kenya. Morin et al. (2009) developed a flood-routing model with components
80 accounting for channel-bed infiltration to estimate aquifer recharge from the infiltrating
81 floodwater in Namibia. Hut et al. (2008) developed a groundwater-flow model to study
82 the hydrological processes in an aquifer with the presence of a sand dam in Kenya. Sand
83 dams are a form of silted weir and are commonly built across sand rivers to retain more
84 sand as a way to increase the amount of water available. They found that there were
85 significant water losses from the alluvial aquifer to the adjacent banks and from seepage
86 under the sand dam to the downstream alluvial aquifer.

87 The alluvial aquifers of ephemeral rivers have also been modelled in Zimbabwe
88 (Mansell and Hussey, 2005, Love et al., 2010b, Mpala et al., 2016). Mansell and Hussey
89 (2005) developed a simple single cell model of a sand river aquifer and calibrated it
90 with limited data from four rivers in southwestern Zimbabwe: the Shashani, Huwana,
91 Wenlock and Dongamuzi. The model represented the channel upstream of a site
92 (including tributary channels) by a tank containing sand with water flowing out of the
93 tank at the downstream boundary. Field results indicated that the velocity tends to
94 decrease with time, i.e., in proportion to the depth of the water surface. The model
95 therefore assumed that the velocity was inversely proportional to the depth of the water
96 surface below the sand. The other flows into and out of the tank were due to evaporation
97 from the surface of the sand, seepage and abstraction from wells. There was also
98 periodic recharge from precipitation falling on the surface of the sand as well as from

99 precipitation falling outside the channel and percolating through the banks (Mansell and
100 Hussey, 2005).

101 Mpala et al. (2016) subsequently applied this model to the Shashani and
102 Manzamnyama Rivers, both located in south-western Zimbabwe, and performed a
103 sensitivity analysis to determine the parameters that the model is most sensitive to. As
104 in Mansell and Hussey (2005), they found that the recession of the water level in the
105 alluvial aquifers was mostly sensitive to the area of the channel contributing to the flow
106 and the depth of sediments within the river channel. Love et al. (2010b) used the
107 WAFLEX model together with a water balance module to compute the water balance of
108 alluvial aquifer blocks in the Lower Umzingwane River of southwestern Zimbabwe.
109 They found that average abstraction was of the same order of magnitude as alluvium
110 flow and thus these two parameters were found to be important components of the water
111 balance.

112 In addition to recharge of an alluvial aquifer vertically from the surface, there
113 will be some horizontal flow from upstream. However, this horizontal flow (measured by
114 Mansell and Hussey (2005) to be between 0.07 to 0.33 metres per day (m/day) depending
115 on the river), is several orders of magnitudes less than the vertical flow (measured at more
116 than 70 m/day) and was not modelled by Mansell and Hussey (2005) nor Mpala et al.
117 (2016), who both used a single cell model. Moreover, Mansell and Hussey (2005)
118 suggested that when the surface flow ceases, the channel is made up of hydraulically
119 isolated sections and recommended that more research be undertaken to investigate the
120 distribution of flows within alluvial channels and in particular to determine whether the
121 assumption that the channel becomes divided into hydraulically separate units is correct.
122 Improving this would likely improve the sensitivity of the model to both the rapid water

123 level changes following a storm and the simulation of the recession curve during the dry
124 season.

125 This paper seeks to improve our understanding of the hydrology of sand rivers
126 by extending the single cell water balance model developed by Mansell and Hussey
127 (2005) to multiple cells and combining it with the flows generated by an appropriate
128 hydrological model to simulate catchment runoff. A revised version of the model is
129 presented here, which treats the sand river aquifer as a series of interconnected alluvial
130 aquifers and utilising a watershed model to estimate inflows from tributaries and from
131 upstream catchment areas. Previous studies have shown uncertainty in their modelling
132 due to lack of data, notably regarding hydraulic parameters (de Hamer et al., 2008). To
133 address this gap, this paper also presents topographical and geomorphological data
134 collected on the Shashani River to quantify the model parameters and water level data
135 used to calibrate and validate the model. An analysis is also conducted to determine the
136 sensitivity of the model to its different parameters.

137

138 **2 Study area**

139 The model was developed and calibrated on the Shashani River in southwestern
140 Zimbabwe (Figure 2). The Shashani River was chosen because communities currently
141 exploit the water from its alluvial aquifer and because of the presence of river flow data
142 and water level measurements on that river system. The river is 206 km long with an
143 estimated catchment of 2,826 km² and is one of seven major ephemeral rivers that make
144 up the Zimbabwean portion of the Limpopo Basin.

145 [Figure 2 near here]

146 The Shashani River catchment is located in the middleveld region, a grassland
147 region of intermediate altitude with a subtropical climate that makes up most of

148 Zimbabwe. This middleveld region experiences one rainy season per year, beginning in
149 late October and lasting until early April. In the Shashani River catchment, total annual
150 precipitation averages around 600 mm at the headwaters of the river and decreases to
151 less than 450 mm at the outlet of the catchment (Mpala et al., 2016, Mansell and
152 Hussey, 2005). Rainfall in the middleveld is erratic with long dry spells commonly
153 occurring with a few intense storms of short duration contributing to most of total
154 annual precipitation. These climatic conditions are prone to the formation of sand rivers
155 as the incomplete weathering processes result in coarse sediment filling up river
156 channels (Edwards et al., 1983, Mansell and Hussey, 2005).

157

158 **3 The sand river model**

159 The sand river model simulates both surface and near surface flow. Surface flow refers
160 to water flowing above the alluvium, which in the case of the Shashani River occurs
161 only intermittently following a storm. Near surface flow is the flow within the alluvium.

162

163 **3.1 Surface flow**

164 The flow of surface water was modelled using Manning's equation:

$$165 \quad Q = VA = \left(\frac{1}{n}\right) AR^{\frac{2}{3}}\sqrt{S} \quad (1)$$

166 where Q = flow rate (m^3/s), V = velocity (m/s), A = flow area (m^2), n = Manning's
167 roughness coefficient, R = hydraulic radius (m) and S = channel slope (m/m).

168 Rearranging equation 1 to estimate the depth of the surface flow, and assuming that the
169 width of the river is much greater than the depth of the flow, flow depth, d , can be
170 estimated as:

$$171 \quad d = \frac{nQ}{\frac{5}{w^3}\sqrt{S}} \quad (2)$$

172 where w refers to the river width and approximates the hydraulic radius R .

173

174 ***3.2 Flow within the alluvium***

175 Where there is no surface flow, the alluvium is considered to consist of saturated and
176 unsaturated zones. The horizontal flow within the saturated zone of the alluvium was
177 calculated using Darcy's law and was found to be several orders of magnitude less than
178 the surface flow. This is in agreement with Horst (1975) who mentioned that the flow
179 within the alluvium is relatively small when compared with surface flow. Figure 3a, for
180 instance, shows the flow conditions in five cells of the Shashani River following a
181 major rainfall event (28th March 1980). The surface flow rate following that event was
182 approximately 20.3 m³/s. At the same time, the flow rate within the alluvium was only
183 0.001 m³/s (average of the five alluvial cells depicted in Figure 3). Note that the sections
184 shown are currently uninhabited and, for this reason, abstraction is zero. The horizontal
185 subsurface flow is therefore ignored for simplicity of modelling.

186

[Figure 3 near here]

187 Figure 3b depicts flow conditions at the end of the dry season (28th October
188 1980) when there was no surface flow and further illustrates that the subsurface flow is
189 insignificant. For this reason, it is assumed that during the dry season, the water level in
190 the alluvium drops to an extent that natural rock dykes and the unevenness of the
191 riverbed surface leads to compartmentalisation of the river channel. The alluvial
192 channel was thus represented in the model by a series of separate tanks, which are fed
193 by vertical recharge from the intermittent surface flow as well as from rainfall, with
194 losses consisting of evaporation, seepage and any abstraction (Figure 4). The
195 assumption of isolated compartments in the model does lead to discontinuities in the
196 water surface at the boundaries of the sections. However, in practice, the slope of the
197 water surface is such that the difference in water level between sections is generally less

198 than a few centimetres over lengths of several hundred meters, as the results of the
199 topographic survey will show below.

200 [Figure 4 near here]

201 The main processes controlling the water level in the saturated zone are:

202 (1) Recharge from intermittent surface flow

203 Surface flow at the upstream end of the river channel was first routed through the
204 Shashani Dam and then through the Gulati Dam (cf. Figure 2) using the level pool
205 routing method, with the outflow from the reservoir of the Gulati Dam used as input to
206 the sand river model. Additional runoff was received into the alluvial aquifer channel
207 from tributaries, with each tributary feeding the cell corresponding to its position along
208 the river channel (Figure 5).

209 [Figure 5 near here]

210 The flow on the river channel was first converted to a flow depth using equation
211 2. When water was present on the riverbed, the alluvium was recharged at a rate
212 governed by the infiltration rate and since the infiltration rate is relatively high for
213 sandy channel beds, recharge normally occurs within one time step (i.e., one day)
214 (Mpala et al., 2016). While the flow within the unsaturated zone of the alluvium could
215 be modelled using Richard's equation, the high infiltration rates measured on the
216 Shashani River mean that this would be unlikely to result in any significant
217 improvement in the modelling outputs, while increasing the required computational
218 requirements. In order to maintain mass balance, the volume of water contributing to
219 recharging the alluvium was removed from the surface flow, and if the flow depth in
220 one time step was less than the capacity of the alluvium, the alluvium was not
221 completely recharged.

222

223 (2) Recharge from intermittent rainfall

224 The amount of recharge from rainfall (when there is no surface flow) is a function of the
225 water table depth (d_{wt}), the moisture content and nature of the sediments, and the rainfall
226 intensity (Mansell and Hussey, 2005, McDougall and Pyrah, 1998). When the water
227 table is near the surface, the infiltrating water from rainfall passes directly to the
228 saturated zone while for greater water table depths most of the recharge is absorbed by
229 the unsaturated alluvium and does not contribute to recharging the saturated zone. To
230 take this into consideration, parameters d_{wts} and d_{wtd} are introduced, representing the
231 water table depth (d_{wt}) under shallow and deep conditions, respectively. If $d_{wt} < d_{wts}$,
232 water passes directly to the saturated zone and if $d_{wt} > d_{wtd}$, all the infiltrating rainwater
233 is absorbed by the unsaturated zone. For the Shashani River the values of d_{wts} and d_{wtd}
234 were estimated as 1.5 m and 3.0 m, respectively, and were parameters subjected to the
235 sensitivity analysis described below. The actual depth to the water table is normalised
236 with respect to these limiting values by:

237
$$d_* = 1 - \left(\frac{d_{wt} - d_{wts}}{d_{wtd} - d_{wts}} \right) \quad (3)$$

238 The moisture content of the soil is also defined in a normalised form θ_* :

239
$$\theta_* = \frac{\theta - \theta_{dry}}{\theta_{sat} - \theta_{dry}} \quad (4)$$

240 where θ_{dry} and θ_{sat} are the moisture contents in the air-dry and saturated states,
241 respectively. The actual recharge is a function of θ_*^m where m is a recharge exponent
242 with a typical value of around two.

243 The model can take account of seepage from the banks of the channel by increasing
244 the rainfall value by an appropriate factor.

245

246 (3) Evaporation from the alluvial surface

247 The amount of evaporation from the alluvial surface depends on the depth to the water
248 surface and the properties of the sand, and decreases with an increase in water table
249 depth. This is estimated by the model for three different sand types using the method
250 described in Mansell and Hussey (2005), which is based on the work of Hellwig (1973).

251

252 (4) Abstraction

253 Abstraction refers to the water pumped from the alluvial aquifer by communities living
254 near the river for domestic and agricultural purposes. Abstraction is based on daily
255 water requirements, which depend on the size of the human and livestock populations
256 and the area of plots irrigated by smallholders living near the river. Hence, a daily
257 household abstraction rate was calculated on the basis of the average number of people
258 living in a household, the type and average number of livestock that a typical household
259 possesses, and the surface area of irrigated plots along the river.

260 According to the 2012 Zimbabwe Population Census, an average household in
261 the district in which the study area falls (Matobo District) comprises 4.6 people
262 (Zimstats, 2012). Data from the Livestock Production Department were used to
263 estimate the type and average number of livestock per household in the study area (Jele,
264 2018). The daily per capita domestic water requirement was based on findings from
265 household surveys carried out by Dabane Trust, whose results are in agreement with the
266 water consumption data of the Water for Africa Institute¹, while estimates by the Food
267 and Agriculture Organization (Pallas, 1986) were used to determine the water
268 requirements for the different types of livestock common in the study area. The
269 irrigation water requirements were based on an annual water requirement of 15,000

¹ <https://water-for-africa.org/en/water-consumption/articles/water-consumption-in-africa.html>

270 m³/ha/year, with the general assumption that irrigation would be done for only four
271 months in the year (Moyo et al., 2017). The estimated number of people, type and
272 number of livestock, and the area under irrigation per household were then used to
273 calculate the daily household water requirement (Table 1).

274 [Table 1 near here]

275 Determining the total number of households abstracting water from the alluvial
276 aquifer required counting the number of households in the areas of the river that
277 currently use sand water abstraction using high-resolution satellite images. It was
278 assumed that only households located within 3 km of the sand river use its water. The
279 number of households was then multiplied by the daily household water requirement
280 described above to estimate the rate of abstraction per unit length of river and this value
281 was then used as input to the sand river model.

282 To accommodate for seasonal variations in water usage as a result of changes in
283 mean daily temperature and precipitation, monthly abstraction factors, f , were calculated
284 using the following relationship:

$$285 \quad f = \frac{\left(1 - \frac{P_{mi}}{P_{max}}\right) * \frac{T_{mi}}{T_{max}}}{2} \quad (5)$$

286 where P_{mi} = monthly precipitation for month i , P_{max} = mean maximum precipitation for
287 the month with the highest mean precipitation, T_{mi} = mean monthly temperature for
288 month i and T_{max} = mean maximum temperature for the month with the highest mean
289 temperature. T_{max} was set at 22°C and P_{max} at 120 mm based on rainfall and temperature
290 data for the study area.

291

292 (5) Seepage

293 It is assumed that the amount of seepage to the underlying bedrock, $seep$, is a function
294 of the water table depth, i.e.:

295
$$seep = ks * (seddep - d_{wt}) \quad (6)$$

296 where ks is a seepage coefficient and $seddep$ = depth of sediments.

297 Since three out of the above five processes controlling the water level in the
298 saturated zone of the sand river aquifer are functions of the water table depth, i.e.,
299 recharge from intermittent rainfall, evaporation from soils and seepage, Newton's
300 method was used to solve iteratively for the water level at the end of each time period.

301

302 ***3.3 Influence of upstream reservoirs on the sand river model***

303 The reservoirs of the two dams on the Shashani River are operated as a coupled system
304 with the reservoir of the Shashani Dam in the upper reaches of the catchment used to
305 replenish the reservoir of the Gulati Dam situated just upstream of the research site
306 (Figure 2). In consequence, and for simplicity of modelling, the reservoirs were
307 modelled as one hypothetical reservoir whose capacity and surface area were the sum of
308 the capacity and surface area of each individual reservoir, respectively. The level pool
309 routing method was used to calculate the outflow hydrograph through the following
310 relationship (Chow et al., 1988).

311
$$\left(\frac{2S_{t+1}}{\Delta t} + Q_{t+1}\right) = (I_t + I_{t+1}) + \left(\frac{2S_t}{\Delta t} - Q_t\right) \quad (7)$$

312 where I_t and I_{t+1} are the inflow values at time t and $t+1$, respectively, Q represent the
313 outflow, Δt represents the time step and S is the value for storage.

314 The sand river model included a module that routed the outputs of the R-R
315 model through the coupled reservoir system using the level pool routing method
316 described above before being used as the upstream input to the sand river model. This
317 approach required knowledge of the initial volume of water in the reservoirs and their
318 storage volume. The routing also considered abstraction from the reservoir for irrigation
319 purposes and losses through evaporation and seepage.

320

321 **4 The rainfall-runoff model**

322 This study uses the *Hydrologiska Byråns Vattenbalansavdelning* (HBV) Rainfall-
323 Runoff (R-R) model to generate flows from upstream catchment areas and tributaries
324 into the above sand river model. The HBV model is widely used to simulate catchment
325 runoff in Zimbabwe. It was first applied in the humid subtropical climate of eastern and
326 northern Zimbabwe (Liden et al., 2001, Andersson et al., 2006). Love (2013) and Love
327 et al (2010a) used it to simulate the runoff of two catchment in southern Zimbabwe. The
328 HBV model remains more popular than other commonly used R-R models such as
329 SWAT because it requires fewer parameters to run it. SWAT is a complex physically
330 based model that requires daily rainfall, maximum and minimum temperature, solar
331 radiation, relative humidity and wind speed data as inputs (Devia et al., 2015). The
332 HBV model requires only temperature, evaporation and precipitation as climatic
333 parameters (Devia et al., 2015), which are available for the study catchment.

334 The HBV model is a semi distributed conceptual model (Lindström et al., 1997)
335 with the catchment divided into sub catchments, which are themselves also subdivided
336 into different elevation zones, with a maximum of 20 elevation zones allowed per sub
337 catchment. Moreover, each elevation zone can be further subdivided into a maximum of
338 three vegetation zones or land cover types (Devia et al., 2015). The model has three
339 subroutines: snow accumulation and melt, response and routing, and soil moisture
340 accounting (Lindström et al., 1997), and follows a water balance approach:

$$341 \quad P - E - Q = \frac{d}{dt}(SP + SM + UZ + LZ + lakes) \quad (8)$$

342 where P = precipitation, E = evaporation, Q = runoff, SP = snow pack, SM = soil
343 moisture, and UZ and LZ are the upper and lower groundwater zones, respectively,
344 while *lakes* represent the volume of the lakes in the sub basin (Devia et al., 2015).

345 This study uses the HBV-light version of the model. The catchment, whose flow
346 discharges into the sand river under study, was classified into three vegetation zones in
347 order of increasing field capacity (FC), namely grassy woodland or row crops, wooded
348 meadow or pasture and bare soil with crop residue cover. The catchment was also
349 subdivided into 18 elevation zones, with the elevation of the catchment varying between
350 1428 m and 1030 m from the headwater to the catchment outlet, respectively. The
351 proportion of each vegetation zone for each elevation zone was calculated.

352

353 **5 Data collection**

354 Climatic, hydrological, land cover and geomorphological data were obtained as
355 described below, because they were required as inputs to the R-R and/or sand river
356 models and, together with observed flow and water levels, for model calibration and
357 validation.

358

359 **5.1 Climatic data**

360 Daily rainfall and temperature (mean, max and min) data were obtained from October
361 1976 to October 1983 from two weather stations in Zimbabwe (West Nicholson and
362 Bulawayo) and from a weather station in neighbouring Botswana (Francistown) through
363 Climate Data Online (CDO). Rainfall and temperature over the catchment were then
364 estimated through interpolation using Thiessen Polygons. Estimates of rainfall and
365 temperature were also obtained from interpretations of radar images, which were
366 sourced from World Weather Online (WVO). These rainfall and temperature estimates
367 were downloaded for a grid cell covering most of the study area during the period
368 January 2012 to June 2017. The CDO dataset was used primarily to calibrate and
369 validate the HBV model. Data for the period October 1976 to October 1977 were used

370 to warm up the model, while the calibration and validation were done using data from
371 October 1977 to October 1980 and from October 1980 to October 1983, respectively.

372 An older climatic dataset was required because the only reliable and available
373 hydrological records for the study catchment, which are required to calibrate and
374 validate the R-R model, were from 1977 to 1990. The radar dataset, because of its more
375 recent and continuous data, was used for calibrating and validating the sand river model
376 as well as for the sensitivity analysis. Dabane Trust provided daily evaporation data for
377 the period November 1999 to June 2003, which were measured using an evaporation
378 pan set up on the bank of the Shashani River.

379

380 *5.2 Hydrological data*

381 Daily flow data covering the period January 1969 - December 2015 for three gauging
382 stations on the Shashani River (Figure 2) were obtained from the Zimbabwe National
383 Water Authority, albeit data quality issues prevented the use of the entire dataset. These
384 hydrological data were used to calibrate and validate the R-R model. In addition to river
385 flow data, the water level in the alluvial aquifer was collected using an automatic pressure
386 transducer positioned in a piezometer installed at Tshelanyemba on the Shashani River.
387 This digital logger was installed in 2012 and was set to record water level on an hourly
388 basis during the rainy season. During the dry season, the recording interval was reduced
389 to once a day, as the change in water level is usually slow and gradual during that season.
390 The logger was installed to record not only the depth to which the water level drops
391 within the river sand, but also the height of the river flow above the surface.

392 Weekly water level and reservoir volume data were acquired from the
393 Zimbabwe National Water Authority from October 1994 to January 2018 for the
394 Shashani Dam and from March 1980 to April 2017 for the Gulati Dam.

395

396 **5.3 Land cover data**

397 In addition to climatic variables, the hydrological model requires information about land
398 cover, which was determined from high-resolution satellite images from Google Earth.
399 The process consisted of using an Iterative Self Organizing (ISO) cluster unsupervised
400 classification (Dhodhi et al., 1999). The number of classes was specified and then an
401 algorithm generated the initial cluster centres (ESRI, 2017). Initially five classes were
402 used, and this was subsequently reduced to four and finally to three classes, which is the
403 maximum number of land cover types allowed by the HBV model. This required
404 combining similar land cover types, and estimating their field capacity through
405 calibration.

406 Being a semi-distributed model, HBV is designed to simplify the modelling
407 process and makes it easier for users with limited data. This means that the model
408 output might not be as accurate as those from a fully distributed model such as SWAT.
409 The use of three land cover types was thus a limitation of the model, as five land cover
410 types would better represent the catchment. Nonetheless, having fewer land cover
411 classes works particularly well in places such as the study area where there is limited
412 land cover data, and where the land cover types have to be estimated from satellite
413 images. In any case, the model was able to simulate very well the observed river flow,
414 as described below. The results of the classification are shown in Table 2 and Figure 6.

415 [Table 2 near here]

416 [Figure 6 near here]

417

418 **5.4 Topographical and geomorphological data**

419 Topographical and geomorphological data encompassing channel width, depth and
420 porosity of the sediments in the river channel, as well as infiltration were collected on the
421 Shashani River to quantify the parameters required to run the sand river model. The
422 survey was conducted in August 2016 on three non-connected sections of the river
423 measuring 4.7 km, 4.9 km and 9.9 km (19.5 km in total), representing 50% of the length
424 of the river channel (Figure 5).

425 Topographical measurements were collected using a Total Station Theodolite
426 (TST). The measurements were taken along the length of the river at intervals of 400-
427 700 m. Measurements across the width of the river were taken at 5 m intervals in the
428 upper sections of the river where it is less than 50 m wide, while at the lower end of the
429 Shashani River, where the river width increases to well over 100 m, the measurement
430 were taken at every 10-20 m. The bedrock profile of the river channel was also
431 established through physical probing to determine the depth of rock or clay layers from
432 the sediment surface.

433 Sediment samples were collected in each of the surveyed river sections,
434 with a total of ten sampling points taking over the length of the river. The grain size
435 distribution was determined using the dry sieving method with sieves conformed
436 with the American Standard Test Sieve Series of the American Society for Testing
437 and Materials International. Using this technique, the coefficient of grain uniformity
438 (U) was determined. The sediment porosity (n) was then determined using that
439 coefficient through the following equations developed by Vuković and Soro (1992)
440 and previously adopted in southern Zimbabwe by Love et al. (2008):

$$441 \quad U = \frac{d_{60}}{d_{10}} \quad (9)$$

$$442 \quad n = 0.255(1 + 0.83^U) \quad (10)$$

443 where d_{60} is sieve size for which 60% of the sample passed (mm) and d_{10} is the sieve size
444 for which 10% of the sample passed (mm).

445 Porosity was also measured by taking sediment core samples. The samples were
446 obtained below the sediment surface by digging a 1.2 m deep pit and then inserting a
447 metre long uPVC pipe at a one-metre depth to take a horizontal sediment core in each of
448 the three river sections. Porosity was calculated using the following equation:

449
$$n = \frac{V_v}{V_t} \quad (11)$$

450 where V_v = volume of voids (determined by measuring the amount of water required to
451 saturate the sample), V_t = total volume of the sample (determined by calculating the
452 geometric volume of the bulk sample). The porosities determined using equations 10 and
453 11 were found to be similar, with an average value of the two used for the purpose of this
454 study.

455 The infiltration rates were determined using a single ring infiltrometer of one
456 metre long and a diameter of 110 mm. Forty centimetres of the infiltrometer was
457 inserted into the sand. Water was then poured into the 60 cm of the infiltrometer
458 remaining above ground and times were recorded at every 10 cm depth of infiltration.
459 One set of infiltration measurements was carried out in each of the three river sections.

460

461 **6 Methods**

462 ***6.1 Calibration and validation of the hydrological model***

463 The R-R model was calibrated using a sequence of over 100,000 runs with randomly
464 generated values of the model parameters. The Nash-Sutcliffe Efficiency (NSE)
465 coefficient (Nash and Sutcliffe, 1970) was used as an indicator of the accuracy of the
466 resulting model. The model was calibrated using observed hydrological data from
467 gauging station B77D (Number 5 in Figure 2) covering the period October 1 1977 to

468 September 30 1978, while the validation period extended from 1 October 1 1980 to
469 September 30 1981. These periods were selected as they had relatively good quality
470 data from the gauging station. More recent data, especially from the 1990s onwards,
471 showed that the stations were slowly degrading in data quality possibly due to siltation
472 of the weirs.

473 The calibration and validation of the HBV model was successful (NSE
474 coefficient = 0.86). The NSE coefficient values can range from $-\infty$ to 1 with a value of
475 one corresponding to a perfect match between the modelled river flow and the
476 observations. A NSE coefficient of zero indicates that the modelled outputs are as
477 accurate as the mean of the observed data while a negative value means that the model
478 is a worse predictor than the average of the observations. As a general classification, a
479 model is considered good if $0.65 < \text{NSE} < 0.75$ and very good if $\text{NSE} > 0.75$ (Moriasi et
480 al., 2007).

481

482 ***6.2 Calibration and validation of the sand river model***

483 The sand river model was calibrated and validated using observed water level data
484 collected between October 2014 and October 2016 and between October 2016 and June
485 2017, respectively. The calibration of the model consisted of adjusting manually the
486 following model parameters: Manning's roughness coefficient, evaporation rate, the
487 moisture content, moisture exponent, the dry moisture content and the saturated
488 moisture content, as well as the deep and shallow water depths, with the NSE
489 coefficient used as an indicator of the accuracy of the resulting model. The calibration
490 followed a three-step iterative procedure involving macro-level calibration, a sensitivity
491 analysis and micro-level calibration, i.e., an approach adapted from Ormsbee and
492 Lingireddy (1997) and used in Mpala et al. (2016).

493

494 ***6.3 Sensitivity analysis of the sand river model to its parameters***

495 A sensitivity analysis was conducted on the above eight parameters of the sand river
496 model as well as abstraction, porosity and the seepage coefficient to determine the
497 variables influencing the most the sand river model outputs. For this, the value of each
498 model parameter was increased and decreased by 10%, 20%, 30% and 40% and noting
499 the resulting change in water level.

500

501 **7 Results**

502 ***7.1 Characteristics of the Shashani River***

503 Figure 7 shows the topography of parts of the three surveyed sections of the Shashani
504 River. There is a general decrease in river gradient in the downstream direction,
505 although the presence of artificial sand dams can alter the gradient.

506 [Figure 7 near here]

507 The width of the Shashani River increases from 22 m at the upstream end of the
508 research site (yellow arrow in Figure 2), to 125 m at the outlet of the catchment, but
509 reaching over 200 m in width in parts of the river section located the furthest
510 downstream. This is illustrated in Figure 8, which shows results of the topographical
511 survey at different locations along the length of the river. As sand rivers get wider, they
512 develop more extensive sedimentation and thus become more suitable for water
513 abstraction. The average sediment depth was found to gradually increase from around 1
514 m at the upper end of the alluvial aquifer to approximately 3 m a few kilometres before
515 the end of the alluvial aquifer zone. Sediment tests were carried at eight sampling points
516 on the Shashani River, with infiltration rates of 3.10 m/hr., 3.13 m/hr. and 3.60 m/hr.
517 measured for each of the three river sections, while the average porosity ranged from

518 0.375 to 0.430 with a median value of 0.405. Coarser sediments higher up in the
519 catchment have higher rates of infiltration while in the lower section of the river where
520 there is a higher proportion of finer sediments the infiltration rate is smaller.

521

522 *7.2 Calibration and validation of the sand river model*

523 The calibration and validation procedure resulted in an average NSE coefficient of 0.70,
524 which means that the developed sand river model is good on the basis of the
525 classification presented in section 6.1. It should also be noted that this coefficient
526 incorporates the calibration of both the sand river model and the HBV model. This is
527 also an improvement on the work of Mansell and Hussey (2005) and Mpala et al. (2016)
528 whose single cell model did not reach a NSE coefficient higher than 0.65. Figure 9
529 shows a plot of the observed water levels at the research site together with the water
530 levels produced by the hydrological model over 852 days extending from September
531 2013 to January 2016, covering two complete hydrological years. Although water level
532 data were collected at the research site from October 2012 until August 2017, this
533 particular period was chosen because it was a period where there was a complete time
534 series of water level observations with no missing values. The water level logger
535 installed on the Shashani River by the team malfunctioned on a few occasions due to
536 flood damage and this resulted in some periods being unusable.

537 The model simulates relatively well the recession curve following the first and
538 second rainy season depicted in Figure 9 (Days 1186 – 1526 and Days 1636 – 1850).
539 The model is also very sensitive to sudden flooding of the river channel at the beginning
540 of the rainy season (Day 1137 and Day 1530). Aquifer recharge is relatively rapid due
541 to the high infiltration rates experienced in medium to coarse river sand. As a result,
542 surface water reaches the subsurface water within an hour, resulting in an almost instant

543 rise in the water table, with the water table capable of rising rapidly from an annual low
544 to fully saturated conditions within a day, for instance see days 1532 – 1533. The major
545 model limitations were in modelling subtle variations in water level especially during
546 the rainy season as a result of the several storm events occurring during that season. The
547 model understated both the sharp rise in water level and the subsequent sharp drop as a
548 result of these sporadic events (days 1145 – 1185 and days 1537 – 1635). This could
549 largely be due to reliance on Manning’s equation for surface flow routing, which was
550 chosen for this model due to its simplicity. Although more complex surface routing
551 functions such as diffusion wave and kinematic wave could have been used, they would
552 have added complexity to the model without improving overall model accuracy as
553 surface flow occurs for a very few days in the year.

554 [Figure 9 near here]

555

556 *7.3 Sensitivity analysis of the sand river model*

557 The sand river model was found to be most sensitive to porosity, moisture content,
558 seepage coefficient and abstraction, while the other parameters did not influence
559 significantly the model outputs (Figure 10). Porosity is the ratio of the fraction of pore
560 space or voids to the volume of material of the sediment. It thus determines the amount
561 of water that can be retained in a given volume of sediments. Seepage from the channel
562 into the surrounding soil and groundwater increase the water level recession rate. The
563 seepage rate was estimated on the basis of water balance calculations, an approach
564 suggested by Love et al. (2010b) who recommended that seepage be estimated by
565 monitoring the recession of the water level when the surface flow is absent and no
566 abstraction is taking place. Seepage, evaporation (to a depth of 0.9 m) and abstraction
567 were found to have the same effect on the water level by continuously withdrawing

568 water from the aquifer and the three accounted for most of the water loss in the aquifer.
569 As abstraction and evaporation on the upper portion of the sediment could be estimated
570 on the basis of measurements (see section 3.2), estimates of the magnitude of seepage
571 were made and refined through calibration.

572 [Figure 10 near here]

573

574 During the site investigations, several dykes and sills across the river channel
575 were detected, which are also visible on satellite images from Google Earth on the
576 upper stretches of the river channel and near its outlet where there is less sediment
577 (Figure 11). Physical probing into the sediment also revealed the presence of the same
578 in the middle sections of the river, although they are mostly covered by extensive
579 sediment, making them difficult to identify from satellite imagery. These dykes and sills
580 act as natural barriers to the flow, resulting in reduced subsurface flow within the
581 sediment and the splitting of the subsurface aquifer into compartments (Figure 12).

582 [Figure 11 near here]

583 [Figure 12 near here]

584 The results of the sensitivity analysis also showed that the model is not sensitive
585 to Manning's coefficient and the depth parameters (deep water depth, shallow water
586 depth). This suggests that depletion of the aquifer is therefore largely influenced by
587 porosity, moisture content, abstraction and seepage, the later occurs as the water
588 percolates through the semi-permeable clay layer underlying the alluvial aquifer.

589 Furthermore, the model was, as expected, found to be very sensitive to the input
590 flow data derived from the HBV model, as it sets the boundary conditions at any given
591 time. Rainfall episodes that resulted in even small amounts of surface flow were enough
592 to trigger marked increases in water level within the alluvium as the alluvium rapidly

593 became saturated. The initial water depth, another initial condition that the model
594 requires, was also very important and during calibration it was set to the initial water
595 level data collected in the field.

596

597 **8 Discussion and conclusions**

598 The saturated alluvium of the ephemeral rivers of the arid and semi-arid regions of
599 south-western Zimbabwe is increasingly being used to supplement or replace the
600 traditional groundwater resources that are feeling the shocks of climate change and
601 failing to meet the requirements of an increasing population against decreasing
602 recharge. Nonetheless, the size of this water resource is not without limit and an
603 understanding of the hydrological processes of an alluvial aquifer is a basic requirement
604 for its sustainable management.

605 This paper presents the development of a two-dimensional multiple cell water
606 balance model, which estimates the water level in an alluvial aquifer recharged by
607 surface flow and intermittent rainfall, while allowing for abstraction, evaporation and
608 other losses. The model is coupled with a watershed model, which generates inflows
609 from upland catchment areas and tributaries. Topographical and morphological data
610 were collected across a significant length of the Shashani River to quantify the
611 parameters required for the model. The water balance model was calibrated and
612 validated using observed water level data and a sensitivity analysis was performed to
613 determine the influence of different model parameters on model performance, thus
614 helping to better understand flow mechanisms within the alluvial aquifer system.

615 The model presented in this paper provided a good representation of the
616 hydrological processes of the sand river system resulting in an NSE of 0.7. Similar to
617 the model developed by Mansell and Hussey (2005), the developed model is semi-

618 distributed, but with geometric and geomorphological parameters fully distributed,
619 while the climatic data, initial moisture content, and catchment ratio are lumped. A
620 further development of the model is the use of an R-R model to produce hydrographs
621 that are used as inputs on the upstream boundary and for tributary inflows. This
622 modelling is similar but is also believed to be an improvement on the HBVx-Waflex
623 model used by Love (2013), as it is more accurate in predicting alluvial water levels,
624 probably because it incorporates a daily time step rather than a 10-day time step. The
625 model also uses fully distributed infiltration values, which is different from Morin et al.
626 (2009) who determined a constant infiltration rate across the whole riverbed of the
627 studied river in Namibia.

628 Surface flow within the channel of sand rivers was found to be short lived,
629 lasting only a few days per year. At a daily time step, infiltration into the sand was
630 found to be almost instantaneous, with full saturation of the alluvium occurring within
631 an hour of the river channel being submerged with floodwater. This is supported by
632 observations, with the infiltrated water providing recharge for the alluvial aquifer
633 immediately below. It has been shown that HBV, which was used as the input model
634 into the sand river model, is a very simple semi-distributed model that does not require
635 a lot of parameters to run, while providing very good results. The output from HBV has
636 been validated with an NSE coefficient of 0.86.

637 The movement of water within the alluvial aquifer system has also been
638 explored. It has been established that the groundwater flow follows Darcy's law, but
639 once the surface flow ceases the subsurface flow within the sediments becomes so low
640 that it can be ignored, and the alluvial aquifer was modelled as a series of discrete
641 compartments independent of one another, which are fed by vertical recharge from the
642 intermittent surface flow as well as from rainfall and they lose flow by evaporation,

643 seepage and any abstraction. The presence of impervious dykes and rock sills divides
644 the sediments into separate hydrogeological units, resulting in very little subsurface
645 flow. This is in agreement with Mansell and Hussey (2005) and Benito et al. (2009),
646 who noted that the flow within the alluvium for sections of sand rivers in Zimbabwe and
647 South Africa is minor and that the aquifer should be represented as separate
648 groundwater sections given the presence of rock sills or any other geological barrier as
649 such that prevents groundwater outflow. This was also observed in Kenya, and in areas
650 where there is no geological barrier impeding the subsurface flow, communities living
651 alongside the river have constructed sub-surface soil dams that trap water (Nissen-
652 Petersen 1998).

653 The sand river model was found to be sensitive to porosity, seepage and
654 abstraction. Unconsolidated sediments, such as those found in sand rivers, tend to have
655 higher porosity than consolidated sediments. Porosities of a number of sand river
656 alluvial aquifers in southern Africa have been measured from 37.5 – 43% (Mansell and
657 Hussey, 2005, Walker et al., 2018, Love et al., 2008, Wipplinger, 1958), and those
658 results agree with the measurements obtained during the survey undertaken on the
659 Shashani River.

660 Through a water balance approach it was found that seepage into the bedrock is
661 an important flux in the alluvial aquifer system of the Shashani River, and it was found
662 to be greater than Darcy's flow. This is in contrast to Love et al. (2010b) and Love
663 (2013). The major difference could be attributable to differences in geological
664 formations, with the granite/gneiss complex on the Shashani alluvial aquifer system of
665 the current study being more deeply weathered than that of the Umzingwane River
666 system studied by Love. De Hamer (2008) argued that in older terrains that are more
667 deeply weathered, seepage can be a substantial flux. This is because the riverbed under

668 the sand may have seepage lines along boulders and fractured rocks that allow the water
669 to penetrate to greater depths (Nissen-Petersen, 1998).

670 In a previous study and using a single cell model without using a R-R model to
671 simulate runoff from upstream catchment areas, Mpala et al. (2016) noted that the
672 channel length and the depth of sediments were the two main parameters affecting the
673 accuracy of the modelled water level when compared with actual measurements. The
674 channel length, which is a ratio of the channel area to the actual width of the channel at
675 the point being observed, is an indication of the length of the channel contributing to the
676 flow (Mansell and Hussey, 2005). Mpala et al. (2016) also observed that their model
677 better represented the water level measurements when the sediment depth in the model
678 was equated to the difference between the highest water level and the lowest water level
679 as opposed to the full sediment depth. The results of the single cell model of Mpala et
680 al. (2016) could not be compared with those obtained using the current model, as the
681 geomorphological properties were fully distributed in the current model; therefore all
682 geometric features of the model were included on the basis of field observations.

683 Despite the improvements, the model's surface flow routing can still be further
684 improved by incorporating either the diffusion or kinematic wave equations. This will
685 be particularly important on sand rivers with extended surface flow, i.e., surface flow
686 that lasts for weeks or months as is common with sand rivers originating in wet regions,
687 such as the Juba and Shabelle rivers in Somalia, which both originate in the Ethiopian
688 Highlands (SWALIM, 2016). The model would also be more robust if field data on
689 seepage from the alluvium into the underlying soil or bedrock were available.
690 Nonetheless, the current model is applicable across all sand river systems in the
691 prediction of subsurface water level, although for sand rivers with perennial surface

692 flow, coupling hydrological models with hydraulic models such as HEC-RAS may need
693 to be explored.

694 The model currently estimates water level within the aquifer of a sand river
695 system. However, with sufficient topographical data, calculations could be done to
696 determine the amount of water in storage in any sand river. Furthermore, by combining
697 the coupled HBV and sand river model with outputs from General Circulation Models
698 (GCMs), it should be possible to simulate future water level conditions within the
699 aquifer system and thereby be aware of the sustainability of this water resource under
700 changing climatic conditions. In addition, using present abstraction data and population
701 projections, it is also possible to estimate the sustainability of the sand river system as
702 an alternate water source.

703

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711

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849

850 Table captions

851 Table 1. Estimation of the daily household water abstraction rate.

852 Table 2. Results of unsupervised classification of the land cover types in the study area.

853

854 Figure captions

855 Figure 1. The Shashani River towards the end of the rainy season in April 2010 at
856 Tshelanyemba where the water logger described below was installed (top) and in the
857 middle of the dry season in August 2016 (bottom). During the dry season, there is no
858 water flowing on the surface of the river but digging up to a certain depth reveals the
859 presence of water within the sediments.

860

861 Figure 2. The Shashani River catchment in south-western Zimbabwe together with the
862 location of the gauging and weather stations, water level logger, major dams and the
863 section of the river channel that was surveyed, which is identified as ‘research site’.

864

865 Figure 3. Comparisons of the magnitude of the surface flow with the subsurface flow
866 and seepage on the Shashani River between longitudinal cross sections 217 and 222 on
867 a day following a storm during the wet season (a) and on a day during the dry season
868 (b).

869

870 Figure 4. Schematic representation of the fluxes within an alluvial channel as modelled
871 by the sand river model. Note that the vertical scale is exaggerated, as the differences in
872 water level are only a few centimetres over lengths of several km.

873

874 Figure 5. Schematic representation of the surveyed river channel with the grey polygons
875 representing sections of the river where geomorphological data were collected while no
876 data were collected in the white sections.

877

878 Figure 6. The three land cover types (vegetation zones) of the studied catchment
879 following an unsupervised classification procedure using Google Earth. Left: original
880 optical image of the study area with catchment boundary outlined in red. Centre: Initial
881 results of unsupervised classification using ArcGIS. Right: final classified and clipped
882 image of the study area clearly showing the three vegetation zones.

883

884 Figure 7. The longitudinal profiles of the Shashani River for parts of the three surveyed
885 sections depicted in Figure 5, with (a) referring to the section of the river closest to the
886 Gulati Dam and (c) the section of the river that is the furthest downstream.

887

888 Figure 8. Cross sectional profiles at various points along the river downstream of the
889 Gulati Dam. The yellow line represents the sand river bed, the blue line the water level
890 and the black line the bedrock.

891

892 Figure 9. Plot of the observed water levels at the research site together with the water
893 levels produced by the hydrological model over 852 days (two hydrological years)
894 extending from September 2013 to January 2016.

895

896 Figure 10. Results of the sensitivity analysis on eight parameters.

897

898 Figure 11. Location of dykes on a 1.2 km stretch of the Shashani River as identified
899 using a Google Earth image taken on July 26 2016 (a) and location of dykes and sills
900 mapped using across the length of the river channel mapped dykes and sills along the
901 river channel (b).

902

903 Figure 12. Longitudinal profile of a sand river channel showing the influence of the
904 presence of dykes and rock sills on the flow within the alluvium shortly after a storm
905 (a), a few weeks following the rainy season, and during the dry season (c).

906