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1 **Original Article**

2 **Global priority multidrug-resistant pathogens do not**
3 **resist photodynamic therapy**

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24 **Abstract**

25 Microbial drug-resistance demands immediate implementation of novel
26 therapeutic strategies. Antimicrobial photodynamic therapy (aPDT) combines
27 the administration of a photosensitizer (PS) compound with low-irradiance light
28 to induce photochemical reactions that yield reactive oxygen species (ROS).
29 Since ROS react with nearly all biomolecules, aPDT offers a powerful
30 multitarget method to avoid selection of drug-resistant strains. In this study, we
31 assayed photodynamic inactivation under a standardized method, combining
32 methylene blue (MB) as PS and red light, against global priority pathogens. The
33 species tested include *Acinetobacter baumannii*, *Klebsiella aerogenes*,
34 *Escherichia coli*, *Klebsiella pneumoniae*, *Pseudomonas aeruginosa*,
35 *Enterococcus faecium*, *Enterococcus faecalis*, *Staphylococcus aureus*,
36 *Candida albicans* and *Cryptococcus neoformans*. Our strain collection presents
37 resistance to all tested antimicrobials (>50). All drug-resistant strains were
38 compared to their drug-sensitive counterparts. Regardless of resistance
39 phenotype, MB-aPDT presented species-specific dose-response kinetics.
40 More than 5log₁₀ reduction was observed within less than 75 seconds of
41 illumination for *A. baumannii*, *E. coli*, *E. faecium*, *E. faecalis* and *S. aureus* and
42 within less than 7 minutes for *K. aerogenes*, *K. pneumoniae*, *P. aeruginosa*, *C.*
43 *albicans* and *C. neoformans*. No signs of cross-resistance or -tolerance in
44 between aPDT and standard chemotherapy was observed. Therefore, MB-
45 aPDT can provide effective therapeutic protocols for a very broad spectrum of
46 pathogens. Hence, we believe that this study represents a very important step
47 to bring aPDT closer to implementation into mainstream medical practices.

48 **Keywords:** Drug resistance; ESKAPE; Multidrug resistance; Photoinactivation.

49 **1. Introduction**

50 The global crisis of antimicrobial resistance (AMR) is now accepted both
51 by the research community and health authorities [1–4]. The lack of effective
52 agents could mean the end of modern medicine worldwide, with simple
53 infections again associated with high mortality rates and even routine surgical
54 procedures becoming unsafe [4]. Access to effective conventional therapeutics
55 is also becoming more difficult due to an insufficient pipeline of new drugs [5].

56 Among bacterial infections, resistance may – of course – be
57 encountered anywhere, but the leading cause of nosocomial infections globally
58 is the ESKAPE group. The members of this group are commonly associated
59 with multidrug-resistance and can thus circumvent the effects of a number of
60 different classes of conventional antibacterial agents [6,7]. Consequently, some
61 illnesses caused by these bacteria are very challenging to treat with the current
62 armamentarium.

63 Such is the gravity of the situation that governments, healthcare
64 providers and the pharmaceutical industry now recognize the requirement for
65 non-conventional therapeutic approaches to combat AMR [4,8]. However, such
66 alternatives must be robust, reliable and offer antimicrobial coverage exceeding
67 that of conventional agents, particularly with respect to resistance. Biologicals
68 such as vaccines and phage therapy are among alternatives proposed, but
69 clearly both of these are highly specific in terms of the target rather than
70 representing generally useful antimicrobial approaches with non-conventional
71 routes to target toxicity.

72 Antimicrobial photodynamic therapy (aPDT) is an interesting method to
73 produce cytotoxic molecular species in a space-time-controlled manner. This

74 light-based technology platform uses low-to-mid irradiance (*i.e.*, non-thermal)
75 light in combination with a non-toxic compound, termed photosensitizer (PS),
76 to treat localized infections [9,10]. Therefore, microbial inactivation mediated by
77 photodynamic reactions may only occur where the photosensitizer is present
78 and when it is being activated by light. The light-excited photosensitizer ($^3\text{PS}^*$)
79 interacts with a biological substrate or molecular oxygen (O_2), either by charge
80 or energy donation, producing a variety of reactive oxygen species (ROS) and
81 radical reactions [11,12]. Products such as singlet oxygen or hydroxyl radicals
82 are so reactive that in sufficient amounts, they can destroy any type of microbial
83 cell regardless of taxonomy. Hence, this non-conventional mode of action
84 provides effective kill regardless of conventional drug-resistance mechanisms
85 [10,13–17].

86 In this study, we assayed the photodynamic antimicrobial efficiency of a
87 broadly available photosensitizer drug (methylene blue, MB) and red light
88 against bacterial and fungal species frequently associated with drug-resistance.
89 We tested bacteria resistant to nearly all antibacterial drugs (>50), including the
90 entire *ESKAPE* group [1], WHO global priority pathogens [5,18] and azole-
91 resistant fungi, to compare them to standard control strains. The study is
92 therefore highly relevant in providing an examination of the efficacy of the
93 photodynamic approach with a single agent against multiple, different microbial
94 resistance mechanisms.

95

96 **2. Material and Methods**

97

98 **2.1. Strains and inocula preparation**

99 We used a collection of 23 strains from 8 bacterial species (*E. faecium*,
100 *E. faecalis*, *S. aureus*, *K. pneumoniae*, *A. baumannii*, *P. aeruginosa*, *K.*
101 *aerogenes* and *E. coli*), as well as 4 strains from 2 yeast species (*C. albicans*
102 and *C. neoformans*).

103 All bacterial strains were first subcultured from frozen vial stocks onto
104 Muller-Hinton agar for 24 h at 37° C. Yeast were subcultured onto Sabouraud
105 dextrose agar under the same procedure. Individual colonies were then seeded
106 into Muller-Hinton or Sabouraud dextrose broth and incubated overnight under
107 shaking regimen (115 rpm) at 37°C.

108

109 **2.2. Qualitative antimicrobial-resistance profile**

110 Qualitative susceptibility assay was performed by Kirby-Bauer disk-
111 diffusion method with interpretative criteria based on CLSI 2017
112 recommendations of inhibition diameter breakpoints [19]. We assayed a total
113 of 43 representative antimicrobials against Gram-negative and 35 against
114 Gram-positive bacteria. Minimum inhibitory concentration (MIC) assays were
115 performed, as recommended by CLSI 2017, to determine the vancomycin-
116 intermediate resistance of *S. aureus*, colistin resistance of *E. coli* and
117 fluconazole resistance of fungi.

118

119 **2.3. Photosensitizer and light source**

120 We used the phenothiazine salt methylene blue hydrate (MB, purity >
121 95%, Sigma-Aldrich) as a representative PS compound. Stock solutions of MB
122 were prepared in type-1 Milli-Q water, at 10 mM. All MB stock solutions were
123 filtered by 0.22 µm membrane for microbial decontamination, aliquoted into

124 Eppendorf tubes and stored in the dark before use. MB working concentration
125 was set at 100 μM in PBS. All experiments were performed with 1 mL of MB-
126 inocula solution in wells of a 12-well cell culture plate (length of the optical path
127 in solution was 2 mm).

128 We used a prototype LED system (660 \pm 10 nm, LEDsaber Prototype
129 1, BioLambda, Brazil) that was previously characterized by an UV-VIS
130 spectrophotometer (Flame, Ocean Optics, USA). Light irradiance was adjusted
131 to a standard irradiance of 100 mW/cm^2 measured at the sample bottom. Beam
132 spot diameter was the same as a well of the 12-well plate (i.e., 25 mm), with
133 maximum irradiance variation between center and border below 10%.

134

135 **2.4. aPDT studies for planktonic suspensions**

136 We performed standard aPDT susceptibility tests based on the study
137 published by Sabino *et al.* [20]. Inocula were prepared from overnight broth
138 cultures under shaking regimen. Inocula concentrations were adjusted to obtain
139 OD of 0.09 at 540 nm and 625 nm resulting in $1\text{-}2 \times 10^6$ CFU/mL of fungal cells
140 and $1\text{-}2 \times 10^8$ CFU/mL of bacterial cells, respectively. Inocula were then diluted
141 to a working concentration of $1\text{-}2 \times 10^5$ CFU/mL of fungi or $1\text{-}2 \times 10^7$ CFU/mL
142 of bacteria.

143 Before irradiation, cells were incubated with MB in phosphate-buffered
144 saline (PBS) for 10 min at room temperature and in the dark, to allow initial
145 cellular uptake. To avoid cross light exposure, 1 mL of each sample was placed
146 in the 12-well plate only for irradiation procedure. Three types of controls were
147 used for all experiments: non-treated cells (PBS only), light alone (highest light

148 dose without MB exposure) and MB alone (MB exposure without light during
149 the entire experimental period).

150 Experimental procedure for irradiation was performed with variable
151 radiant exposure. Radiant exposure levels were varied according to each
152 microbial species sensitivity MB-aPDT as previously determined in pilot
153 experiments. Basically, species were divided into 2 radiant exposure ranges:
154 1 J/cm² steps (*A. baumannii*, *E. faecalis*, *E. faecium*, *E. coli* and *S. aureus*) or
155 5 J/cm² steps (*K. aerogenes*, *K. pneumoniae*, *P. aeruginosa*, *C. albicans* and
156 *C. neoformans*). Radiant exposure was calculated as the product of irradiance
157 (W/cm²) and the exposure time (seconds).

158 Following irradiation procedures, each bacterial suspension was serially
159 diluted in PBS and 10 µL aliquots of each dilution were seeded onto Muller-
160 Hinton (bacteria) or Sabouraud dextrose (yeast) agar plates in triplicate and
161 incubated at 37° C overnight. On the next day, colonies were counted and
162 converted into normalized log₁₀ units of CFU/mL for survival fraction analysis.

163

164 **2.5. Statistical analysis**

165 All data were obtained from at least three independent experiments,
166 each performed in triplicate, resulting in a minimum of nine samples per group.
167 Quantitative data are presented as log₁₀ of normalized means and standard
168 deviation of means.

169 All inactivation kinetics data were fitted by power-law (**eq. 1**) for statistical
170 comparison of each inactivation kinetics curve according to Sabino et al. [20].
171 LD₉₀ and T (tolerance factor) values were initially obtained for each strain by
172 non-linear regression analysis. Then the same analysis was performed for the

173 average inactivation kinetics of each species. Using the average inactivation
174 kinetics of each species, we also calculated the LD_{99.9}, LD_{99.999} using equation
175 2.

$$\log_{10}\left(\frac{N_0}{N}\right) = \left(\frac{Dose}{LD_{90}}\right)^T \quad \text{Equation 1}$$

$$LD_i = LD_{90} \left(-\log_{10}\left(1 - \frac{i}{100}\right)\right)^{1/T} \quad \text{Equation 2}$$

176 where:

177 N_0 = initial microbial burden; N = final microbial burden; $Dose$ = light
178 exposure (e.g. J, J/cm², time units, *Absorbed Photons/cm³*, etc.); LD_{90} = lethal
179 dose for 90% of microbial burden (in light exposure units); T = tolerance factor;
180 i = inactivation percentage (%).

181

182 Statistical differences of all LD₉₀, LD_{99.9}, LD_{99.999} and T values were then
183 compared in between strains and species using one-way ANOVA with Tukey
184 as post-test. Statistical results were considered significant if $p < 0.05$ and are
185 presented in the supplementary information section.

186

187 3. Results

188 Antimicrobial susceptibility profiles of all strains are presented in
189 **supplementary tables S1-3**. The inhibition diameters of unclassified
190 antimicrobials are presented in numeric values (mm). For all species, we had
191 one drug-sensitive strain and at least one multidrug-resistant (MDR) and/or
192 extensively drug-resistant (XDR) representative strain [21]. Thus, we used ten
193 drug-sensitive controls (*S. aureus* ATCC 29923; *E. faecium* ATCC BAA2127;

194 *E. faecalis* ATCC 29212; *P. aeruginosa* ATCC 27853; *A. baumannii* ATCC
195 19606; *K. aerogenes* ATCC 13048; *E. coli* ATCC 25922; *K. pneumoniae* ATCC
196 700603; *C. albicans* ATCC 90028; *C. neoformans* KN99a), thirteen MDR (*S.*
197 *aureus* VRSA-BR-4; *E. faecium* ATCC 700221; *E. faecalis* ATCC 51299; *K.*
198 *pneumoniae* KP-BR-1, 11978, ATCC BAA1705; *A. baumannii* 804, LDC; *K.*
199 *aerogenes* E0083033-1; *E. coli* 19B, ICBE7P; *C. albicans* IAL2151; *C.*
200 *neoformans* H99) and four XDR strains (*K. pneumoniae* KP148/PINH-4900; *P.*
201 *aeruginosa* 1997A-48, PA64, ICBDVIM2). In a general perspective, resistance
202 to all tested antibiotics except for linezolid was detected (tables S1-3).
203 Fluconazole resistance was confirmed for *C. albicans* (IAL2151) and *C.*
204 *neoformans* (H99).

205 A remarkable fact that can be extracted from our data (**figure 1-2**) is that
206 microbial inactivation kinetics induced by MB-aPDT do not seem to depend on
207 the conventional drug-resistance profile of different strains. At least for the
208 representative MDR and XDR strains tested, current drug-resistance does not
209 impose any further challenges for MB-aPDT. For all tested strains, LD₉₀ and T
210 values did not show any statistically significant differences within the same
211 species. On the other hand, inactivation kinetics present great variations among
212 different species of bacteria and yeast.

213 Regardless of taxonomy, all strains presented >5log₁₀ of burden
214 reduction for radiant exposures greater than 40 J/cm² (**figure 1-2**). However,
215 *C. albicans*, *C. neoformans*, *K. pneumoniae*, *K. aerogenes* and *P. aeruginosa*
216 presented a distinguishable tolerance to MB-aPDT. Light-doses required to
217 inactivate 99.999% of these species were 2 to 10 times higher when compared
218 to *A. baumannii*, *E. coli*, *E. faecalis*, *E. faecium* and *S. aureus*. However, it

219 should be noted that this would not be problematic from a clinical viewpoint,
220 since 40 J/cm² of irradiation at 100 mW/cm² (same irradiance as used in this
221 study) would correspond to less than a 7-minute procedure.

222 The microbial diversity assayed by this study in a standard manner
223 allows us to observe some situations that diverge from some current thinking
224 in the aPDT field. Based on our results, the classic generalization that aPDT
225 sensitivity increases in the order fungi < Gram-negative < Gram-positive is no
226 longer sustained [22]. The Gram-positives *E. faecalis* and *E. faecium*, for
227 example, tend to be more tolerant to aPDT than Gram-negative species, such
228 as *E. coli* and *A. baumannii*. Even though, *S. aureus*, *E. coli*, *A. baumannii*, *E.*
229 *faecalis* and *E. faecium* do not present statistically significant differences for
230 LD₉₀, LD_{99.9} and LD_{99.999} (**supplementary table S4**). In addition, the bacterium
231 *K. pneumoniae* is slightly more tolerant than the *C. neoformans* yeast, but with
232 no statistical significance. For LD_{99.999}, *C. neoformans* does not present
233 statistically significant differences with the bacteria *K. aerogenes* and *P.*
234 *aeruginosa*. The most tolerant species to MB-aPDT was *C. albicans*. It does
235 not present statistically significant differences with *K. pneumoniae* at LD₉₀.
236 However, it was significantly more tolerant than all other species at LD_{99.9} and
237 LD_{99.999}.

238 Non-linear regression results for strain averages of each species are
239 respectively presented in **figures 3** and **4** as values of the tolerance factor (T),
240 and lethal dose for 90% of inactivation (LD₉₀). The tolerance factor T (**figure 3**,
241 statistical analysis in **supplementary table S5**) informs the concavity of the
242 inactivation curves; if T>1, the microbial population is initially tolerant to aPDT
243 but becomes increasingly sensitive; if T<1, the microbial population is initially

244 sensitive, but some persistent cells remain more tolerant to inactivation as
245 irradiation progresses. If $T=1$, the microbial population presents a constant
246 inactivation kinetics rate. These characteristics are clearly observable in
247 **figures 1** and **2**, where *K. pneumoniae*, *P. aeruginosa*, *E. faecium*, *E. faecalis*
248 and yeast presented T factors equal or greater than 1. Regarding *E. faecalis*,
249 an interesting feature is highlighted by the use of non-linear regressions: by the
250 beginning of irradiation process this species is more tolerant than *E. coli*, *S.*
251 *aureus* and *A. baumannii*. However, due to the concavity of its inactivation
252 kinetics curve (*i.e.*, $T \sim 1$), at $LD_{99.999}$ it becomes the most sensitive species to
253 MB-aPDT (not statistically significant for *S. aureus*, *A. baumannii*, *E. coli* and
254 *E. faecium*, **supplementary table S4**).

255 Regarding lethal doses, an interesting behavior occurs: LD_{90} values
256 present variations greater than 2 orders of magnitude amongst different
257 species, such as the extreme case of *S. aureus* and *K. pneumoniae*, even
258 though, due to variations in T values, all species seem to converge to closer
259 $LD_{99.9}$ and $LD_{99.999}$ values (**figure 4**). Species with $T > 1$ tend to present higher
260 LD_{90} ; for those with $T < 1$ the opposite behavior is observed. Regarding the
261 example of *S. aureus* and *K. pneumoniae* again, $LD_{99.999}$ variation is reduced
262 to less than one order of magnitude.

263

264 **4. Discussion**

265 Here we used drug-resistant phenotypes for all tested commercial
266 antimicrobials (>50), with a single exception for linezolid. If such drug-
267 resistance profiles are detected in clinical cases, prognostics can hardly be

268 optimistic. Consequently, cost and risk management of pathogens
269 significantly increases.

270 Costs associated with drug-resistant infections could be significantly
271 reduced if health insurance companies and public hospitals offered aPDT as
272 an option. Light sources can be reused countless times and PS doses of most
273 commercially available dyes are relatively inexpensive. In our example, a one-
274 mL dose of MB at 100 μ M costs fractions of US-dollar cents and can be
275 purchased in most pharmacies as a standard drug to treat methemoglobinemia
276 or carbon monoxide poisoning. MB is a particularly interesting photosensitizer
277 since it is cost-effective, safe, globally available and has been proved effective
278 for several clinical applications of aPDT [10,13,23,24]. MB also presents
279 intense light absorption properties (peak at 664nm) in the optical window of light
280 penetration into biological tissues (*i.e.*, 600-1350 nm) [23,25]. Therefore, in our
281 perspective, MB has great potential to be the first PS to be employed in
282 mainstream medical procedures of aPDT applications.

283 Antimicrobial chemotherapeutic strategies generally target singular
284 microbial molecules or metabolic pathway stages to achieve specific microbial
285 inactivation. This approach facilitates the development or selection of resistant
286 populations as they may be just a mutation away. Most antimicrobials are
287 derivatives of natural fungal or bacterial metabolites that have been used by
288 them to gain privileges over ecosystems. In this context, some microorganisms
289 have naturally developed a resistance phenotype to also thrive in the
290 environment.

291 Currently, hospital, farm and domestic effluents represent important
292 environments that carry and gather resistance genes. Carbapenemases are

293 being frequently detected in plasmids of Gram-negative clinical isolates of the
294 *Enterobacteriaceae* family and non-fermenters such as *P. aeruginosa* and *A.*
295 *baumannii* [26,27]. The genetic versatility of the *Enterobacteriaceae* is
296 considered responsible for the global dissemination of KPC and has most likely
297 enabled processes of adaptation and virulence expression in different
298 ecosystems [28]. More worryingly, the recent identification of the plasmid-
299 mediated *mcr-1* gene, which confers resistance to polymyxins – a last-resort
300 drug to treat carbapenem-resistant Gram-negative infections – has added
301 another layer of complexity to therapeutic strategies for nosocomial infections.

302 Antimicrobial photodynamic therapy offers an effective strategy to
303 challenge microbial resistance in local infections as it differs from traditional
304 chemotherapy in one important point: it lacks molecular target specificity. Unlike
305 traditional antimicrobial therapy, aPDT imposes its cytotoxic effects by high
306 yields of ROS generation. ROS do not target a particular pathway but rather
307 destroy proteins, nucleic acids and lipids indiscriminately. Due to their broad
308 reactivity range, the biological target of photodynamically-produced ROS will
309 mostly depend on the cell/tissue compartment in which it was produced (*i.e.*,
310 where the PS accumulates) [29]. Given that in our system each MB molecule
311 can theoretically produce more than 10^5 singlet oxygen molecules per second,
312 microorganisms simply seem to not be equipped with enough antioxidant
313 capacity to tolerate an attack of this magnitude [30]. Additionally, at low MB-
314 aPDT doses (*i.e.* doses that are insufficient to present microbicidal effects), the
315 exposed pathogens remain with transiently inhibited virulence factors, including
316 increased antimicrobial sensitivity [31–34]. We previously reported that low-
317 doses of systemic aPDT could temporarily inhibit the drug-resistance

318 phenotype of vancomycin-resistant *E. faecium* and fluconazole-resistant *C.*
319 *albicans* [31,32]. Therefore, the combination of aPDT with antimicrobial agents
320 can potentially present synergistic activity or temporarily return drug-sensitivity.
321 Furthermore, in combination with the innate immune system it may yet trigger
322 responses that can lead to resolution of the infection [35].

323 In the related anticancer application, which uses different PS classes,
324 mechanisms of resistance to PDT have already been reported [36]. A classic
325 example is the constant failure to treat melanoma tumors [37]. Melanin is an
326 antioxidant cellular defense and simultaneously blocks light propagation due to
327 intense filter effect [38,39]. Drug sequestration in melanosomes has also been
328 implicated with photosensitizers, as in cases of chemoresistance [40,41].
329 Similarly, melanization of *C. neoformans* yeast also increases its tolerance to
330 photodynamic inactivation as seen in antifungal chemotherapy and upon
331 gamma irradiation [34,42–44]. In a broader view, several microbial pigments
332 can act as potent antioxidant defenses against environmental harms and as an
333 immune system evasion strategy. Mycobacteria, staphylococci,
334 chromobacteria and cryptococci can produce fair amounts of carotenoids and
335 tryptophan-derived pigments to guarantee superior oxidative tolerance through
336 ROS quenching [45]. However, it is well known by the aPDT community, and
337 was further confirmed in our study, that the carotenoid-producing *S. aureus* is
338 one of the most sensitive microorganisms to photo-oxidative inactivation with
339 amphiphilic photosensitizers. Currently, it has not been established whether
340 aPDT could lead to the selection for oxidative tolerant strains that overexpress
341 pigment-producing enzymes and impair the technique effectiveness. Perhaps

342 the most tolerant microbial species used in this study are protected by potent
343 antioxidant defense systems.

344 Overexpression of ATP-binding cassette (ABC) transporters has been
345 implicated as another resistance mechanism to aPDT [46]. Tumor and
346 microbial cells can use this mechanism to tolerate chemotherapy and PDT [47–
347 49]. ABC-transporters, as P-glycoprotein, are efficient efflux pumps that can
348 inhibit the uptake of multiple drugs and PS that function inside the cell. To avoid
349 or overcome this resistance mechanism, efflux pump inhibitors or PSs that
350 function on the cell surface may be used [50–52]. Trindade *et al.* reported that
351 MB was able to revert multidrug-resistance phenotypes of cancer cells via
352 oxidation of efflux-pumps [53]. This suggests that synergistic activity between
353 MB-aPDT and fluconazole, on azole-resistant *C. albicans*, may share the same
354 mechanism [31,54].

355 Trindade *et al.* also reported that the MDR phenotype of cancer cells,
356 mediated by efflux-pumps, does not impose any further challenge for MB-PDT
357 inactivation [53]. As in our experiments, their results suggest that cellular
358 sensitivity to MB-PDT are rather dependent on the species than the MDR
359 phenotype. Here we used drug-resistant representatives for nearly all classes
360 of antimicrobials. Resistance mechanisms to quinolones, phenicols,
361 tetracyclines, aminoglycosides and fluconazole are highly associated with
362 overexpression of drug-efflux pumps. Even though MB may also be a substrate
363 of efflux proteins, no relevant differences between drug-sensitive and resistant
364 strains could be observed.

365 In the microbiology community, a microbial strain is considered resistant
366 to a certain antimicrobial if its MIC cannot be reached safely in patient's

367 bloodstream via oral or intravenous administration. Therefore, a drug-resistant
368 microorganism is untreatable by the use of certain systemically administered
369 chemotherapeutic antimicrobials. Since aPDT is never systemically
370 administered there is no influence on antimicrobial drug concentration in the
371 bloodstream. Additionally, light dosimetry can always be increased to enhance
372 aPDT microbicidal activity. On the other hand, tolerance is used as a relative
373 term that describes a higher demand of light or photosensitizer dosimetry to
374 reach a certain level of microbial inactivation. Hence, higher tolerance to aPDT
375 does not mean that the effective dose cannot be reached. In regard to the
376 tolerance factor (T) we use to describe inactivation kinetics, it only informs if
377 some specific species is more tolerant to inactivation in the beginning or the
378 end of a light-mediated microbicidal procedure.

379 Fungi and capsule-expressing Gram-negative bacteria, however, indeed
380 present a higher tolerance to aPDT. Prates *et al.* demonstrated that capsule
381 deletion in *C. neoformans* guarantees greater sensitivity to aPDT mediated by
382 cationic PS, including MB [34]. Therefore, we hypothesized that the capsule
383 barrier could protect microorganisms from aPDT, possibly reducing MB
384 incorporation into the cytoplasm. A carbohydrate-rich capsule may act as a
385 negatively charged electrostatic pool, diminishing intracellular incorporation of
386 MB; or it may act by simply increasing cellular biomass, bringing further targets
387 for nonspecific photodynamic damage in non-vital structures. For fungi,
388 however, a capsule does not seem to be as important as for bacteria. Even
389 though it was demonstrated that capsule deletion in *C. neoformans* enhanced
390 its sensitivity to aPDT, *C. albicans* does not produce a capsule and is more
391 tolerant to aPDT than *C. neoformans*. We do not provide sufficient data to

392 elucidate this finding, so we can only hypothesize that *C. albicans* may have
393 cytosolic, mitochondrial and nuclear ROS defense that is less prevalent in *C.*
394 *neoformans* [55,56].

395 Although our data seems to suggest that capsule expression in bacterial
396 results in higher aPDT tolerance, capsule presence in yeasts does not seem to
397 increase aPDT tolerance. Capsule presence has been implicated as a relevant
398 tolerance factor to aPDT, especially in the case of *C. neoformans* [34].
399 However, our data suggest that there must be other more relevant tolerance
400 factors expressed by different species. Greater MB-aPDT tolerance may be
401 rather related to cellular antioxidant systems, organelle compartmentalization
402 and/or capacity to pump photosensitizers out of the cell through efflux systems
403 [46].

404 Photosensitizers that preferentially undergo type I photodynamic
405 reactions are more susceptible to microbial antioxidant defense since there are
406 specific detoxifying enzymes for the photoproducts formed. Constitutive
407 overexpression of superoxide dismutase, catalase, peroxiredoxin and
408 glutathiones, or the accumulation of manganese ions can represent effective
409 protection against oxidation by superoxide and hydroxyl radicals [57–59]. All of
410 the mentioned features can be sufficient to impose challenges for PDT to treat
411 tumors and microorganisms resistant to traditional chemotherapy and
412 radiotherapy. However, since no enzymes capable of inactivating singlet
413 oxygen efficiently have ever been reported, and since, in our hands, complete
414 microbial inactivation required only around 7 minutes for the most tolerant
415 species, it remains questionable whether any type of resistance to aPDT could
416 ever be developed.

417 Here, we demonstrated that regardless of taxonomy or drug-resistance
418 profile, all strains among each species are similarly sensitive to aPDT. Our
419 results consequently support the proposition of aPDT as a consistent challenge
420 against drug-resistance in local infections. MB-aPDT effects against these
421 species have indeed been published elsewhere [10,16,24,60]. However, there
422 was never a study performed with all of them being inactivated under
423 standardized experimental parameters. It is widely known that the aPDT
424 community lacks on standards for in vitro aPDT assays and that any changes
425 in inocula concentration, photosensitizer concentration, solvent composition
426 (e.g., water, PBS, saline solution, etc.), light irradiance, optical path, and other
427 factors may lead to diverging results [61–63]. Therefore, it is very unlikely that
428 precise comparisons of inactivation kinetics data are achievable between two
429 studies performed by different teams who varied any of the above-mentioned
430 parameters and analyzed a reduced spectrum of species. For example, such
431 incapacity to compare results from different studies may have led to the
432 persistently mistaken concept that Gram-positives are more sensitive than
433 Gram-negatives that are more sensitive than fungi [22]. This mistaken
434 information has persisted in our community for more than a decade whereas
435 the current manuscript shows that Gram-positives can be more tolerant than
436 Gram-negatives, which can also be more tolerant than fungi.

437 This information could be mistakenly interpreted again if we did not
438 analyze inactivation kinetics as a curve fit instead of just reading individual data
439 points. Hence, our standardized study of a broad spectrum of global priority
440 pathogens does bring important insights about their sensitivity to MB-aPDT.
441 Furthermore, we recently published a paper presenting a mathematical model

442 of analysis for microbial photoinactivation kinetics. So far, all studies used to
443 compare inactivation kinetics are based on individual dose points, which is
444 another issue that could lead to misleading statistical differences that do not
445 represent the actual inactivation kinetics rate. Because of variable tolerance
446 factors (T) the comparison of two different species could be misleading
447 because some data points may not present significant differences, while others
448 do (see the examples of *S. aureus* versus *E. faecalis* at 1 and 3 J/cm², Figure
449 1). Because of this, several papers actually show significant differences
450 between different strains of the same species. Even though many studies show
451 that drug-resistant strains are also sensitive to aPDT, many of those do not
452 compare with standard ATCC drug-sensitive controls. When they do,
453 misleading statistics often show differences between strains because of the
454 previously mentioned statistical issue of comparing a single dose point.

455

456 **5. Conclusion**

457 In summary, our study unequivocally demonstrates that antimicrobial
458 photodynamic inactivation offers a powerful strategy to challenge microbial
459 drug-resistance. For the first time, we compiled a large amount of data under a
460 standardized method showing that MB-aPDT is effective against
461 microorganisms that are resistant to more than 50 antimicrobial agents.
462 Regardless of taxonomy or resistance phenotype, MB-aPDT presented
463 consistent dose-response kinetics. Therefore, MB-aPDT can provide effective
464 therapeutic protocols for a very broad spectrum of pathogens. This approach
465 can be employed to significantly reduce the use of antimicrobial drugs and
466 minimize the risk of us entering into a post-antimicrobial era. Hence, we believe

467 that this study represents a very important step in bringing aPDT closer to
468 implementation into mainstream medical practices.

469

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481

482 **Transparency declarations**

483 C. P. Sabino is an associate at BioLambda and declares to only have scientific
484 interest on this study. There are no further conflicts of interest to be declared.

485

486 **Supplementary data**

487 Tables S1-5 are available as Supplementary data.

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790

791 **Figure legends**

792

793 **Figure 1.** Inactivation kinetics of bacterial species most sensitive to MB-aPDT
794 (scale 1) in function of radiant exposure. Average inactivation kinetics data of
795 all strains of each species in this board is presented in **a**. Inactivation kinetics
796 of each strain is also shown for *A. baumannii* (**b**), *E. faecalis* (**c**), *E. faecium* (**d**),
797 *E. coli* (**e**) and *S. aureus* (**f**).

798

799 **Figure 2.** Inactivation kinetics of bacterial and yeast species most tolerant to
800 MB-aPDT (scale 5) in function of radiant exposure. Average inactivation
801 kinetics data of all strains of each species in this board is presented in **a**.
802 Inactivation kinetics of each strain is also shown for *K. aerogenes* (**b**), *K.*
803 *pneumoniae* (**c**), *P. aeruginosa* (**d**), *C. neoformans* (**e**) and *C. albicans* (**f**).

804

805 **Figure 3.** Tolerance factor (T) of inactivation kinetics calculated for each tested
806 species. If $T > 1$, microbial population is initially tolerant to aPDT but become
807 increasingly sensitive. If $T < 1$, microbial population is initially sensitive, but some
808 persistent cells remain more tolerant to inactivation as irradiation progresses. If
809 $T = 1$, microbial population presents a constant inactivation kinetics rate in a log-
810 scale.

811

812 **Figure 4.** Lethal dose values of aPDT calculated in function of radiant
813 exposure. LD values correspond to percent of total microbial population
814 reduction. Horizontal dotted line represents the maximum dose (40 J/cm^2)
815 required for more than 5Log_{10} of inactivation.

817 **Table 1.** List of microbial strains used in this study.

Bacterial Species	Strain	Capsule
Drug-sensitive control strains		
<i>Acinetobacter baumannii</i>	ATCC 19606	–
<i>Candida albicans</i>	ATCC 90028	–
<i>Cryptococcus neoformans</i>	KN99a	+
<i>Klebsiella aerogenes</i>	ATCC 13048	+
<i>Enterococcus faecalis</i>	ATCC 29212	–
<i>Enterococcus faecium</i>	ATCC BAA-2127	–
<i>Escherichia coli</i>	ATCC 25922	–
<i>Klebsiella pneumoniae</i> (ESBL, SHV-18)	ATCC 700603	+
<i>Pseudomonas aeruginosa</i>	ATCC 27853	+
<i>Staphylococcus aureus</i>	ATCC 25923	–
Drug-resistant strains (resistance phenotype)		
<i>Acinetobacter baumannii</i> (carbapenemase OXA-23)	LDC [64]	–
<i>Acinetobacter baumannii</i> (carbapenemase OXA-143)	804 [65]	–
<i>Candida albicans</i> (azole resistant)	IAL2151 [66]	–
<i>Cryptococcus neoformans</i> (azole resistant)	H99 [54]	+
<i>Klebsiella aerogenes</i> (carbapenemase NDM-1)	E0083033-1 [67]	+
<i>Enterococcus faecalis</i> (vancomycin-resistant VanB)	ATCC 51299	–
<i>Enterococcus faecium</i> (vancomycin-resistant VanA)	ATCC 700221	–
<i>Escherichia coli</i> (ESBL CTX-M-8)	19B [68]	–
<i>Escherichia coli</i> (ESBL CTX-M-1, colistin-resistant MCR-1)	ICBEC7P [69]	–
<i>Klebsiella pneumoniae</i> (metallo- β -lactamase IMP-1, ESBL CTX-M-2)	KP BR-1 [70]	+
<i>Klebsiella pneumoniae</i> (carbapenemase KPC-2)	ATCC BAA1705	+
<i>Klebsiella pneumoniae</i> (carbapenemase OXA-48)	11978 [71]	+
<i>Klebsiella pneumoniae</i> (carbapenemase KPC-2, ESBL CTX-M-15)	KP148/PINH-4900 [72]	+
<i>Pseudomonas aeruginosa</i> (carbapenemase GES-5)	PA64 [73]	+
<i>Pseudomonas aeruginosa</i> (metallo- β -lactamase SPM-1)	1997A-48 [74]	+
<i>Pseudomonas aeruginosa</i> (metallo- β -lactamase VIM-2)	ICBDVIM2 [75]	+
<i>Staphylococcus aureus</i> (MRSA, VRSA)	VRSA BR-4 [76]	–