

1 **Pain in Autism Spectrum Disorder.**
2

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5
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357

Research Publications

358 The research that was conducted in relation to this PhD, including Experiment 2 has
359 led to the following publications:

360 • Based on Experiment 2, Chapter 2:

361 Vaughan, S., McGlone, F., Poole, H. et al. (2019). A Quantitative Sensory Testing Approach
362 to Pain in Autism Spectrum Disorders. *Journal of Autism and Developmental*
363 *Disorders*, 50, 1607-1920. <https://doi.org/10.1007/s10803-019-03918-0> (see
364 Appendix A)

365 • Based on research work conducted in preparation for the PhD:

366 Vaughan, S., Failla, M., Poole, H. et al. (2019). Pain Processing in Psychiatric Conditions: A
367 Systematic Review. *Review of General Psychology*, 23(3), 336-358.
368 <https://doi.org/10.1177/1089268019842771> (see Appendix B)

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Contributions

371 This section is to confirm that myself, Sarah Vaughan, the author of this thesis, was
372 actively involved and made a significant contribution to all chapters/studies presented and
373 discussed in this thesis. I was involved in the conception, design, and analysis of all studies.
374 All participants were recruited, consented, and tested by me. For Chapter 2, Experiments 1
375 and 2 I set up the pain model and performed psychophysical assessments. For Chapter 3 I
376 wrote all programming and conducted all assessment of participants. Additionally, all the
377 statistical analysis in this thesis was conducted by me. Finally, I have written all the chapters
378 of this thesis which have been reviewed by my supervisors, Dr David Moore, Professors
379 Francis McGlone, and Dr Helen Poole.

380 For Chapter 2, Experiment 2, myself, Sarah Vaughan, and Dr David Moore conceived
381 of the study and participated in its design. I, Sarah Vaughan coordinated the study, drafted
382 the manuscript, protocol, ethical application, performed the measurements, collected the data,
383 and ran the statistical analysis. Dr David Moore, Professor Francis McGlone and Dr Helen
384 Poole contributed to the manuscript. All authors read and approved the final manuscript.

385 For the systematic review, myself Sarah Vaughan, Dr David Moore, Dr Helen Poole
386 and Dr Mark Forshaw and Professor Francis McGlone conceived the study. I, Sarah
387 Vaughan coordinated the study, collected the data, ran analysis, and drafted the manuscript.
388 Dr David Moore, Professor Francis McGlone, Dr Helen Poole, Dr Mark Forshaw, Dr
389 Michelle Failla and Dr Carissa Cascio contributed to the manuscript and all authors approved
390 the final manuscript.

391 **Abbreviations Used in the Thesis**

- 392 AD – Action Descriptor
- 393 ADI-R – Autism Diagnostic Interview - Revised
- 394 ADOS – Autism Diagnostic Observational Schedule
- 395 ANOVA – Analysis of Variance
- 396 AQ – Autism Quotient
- 397 ASD – Autism Spectrum Disorder
- 398 ASI – Anxiety Sensitivity Index
- 399 ATS - Advanced Thermal Stimulator
- 400 AU – Action Unit
- 401 CDT – Cold Detection Threshold
- 402 CFCS – Child Facial Coding System
- 403 CI – Confidence Interval
- 404 CNS – Central Nervous System
- 405 CPM – Conditioned Pain Modulation
- 406 CPT – Cold Pain Threshold
- 407 CPTOL – Cold Pressor Tolerance
- 408 DD – Developmental Delay
- 409 DFNS - The German Research Network on Neuropathic Pain
- 410 DISCO – Diagnostic Interview for Social and Communication Disorders
- 411 DMA – Dynamic Mechanical Allodynia
- 412 DSM-5 – Diagnostic Statistical Manual 5
- 413 EMG – Electromyography
- 414 FACS – Facial Action Coding System
- 415 FP – Fear of Pain

- 416 GDPR – General Data Protection Regulation
- 417 GED-DI - Grille d’Evaluation de la Douleur-Deficince Intellectuelle
- 418 HPT – Heat Pain Threshold
- 419 HTOL – Heat Tolerance
- 420 HV – High Voltage
- 421 IASP – International Association for the Study of Pain
- 422 ICC – Interclass Correlations
- 423 IQ – Intelligence Quotient
- 424 IRR – Inter-Rater Reliability
- 425 MANOVA – Multivariate Analysis of Variance
- 426 MAX – Maximally Discriminative Facial Coding System
- 427 MDT – Mechanical Detection Threshold
- 428 MPS – Mechanical Pain Sensitivity
- 429 NCAPC – Non-Communicating Adults Pain Checklist
- 430 NCCPC – Non-communicating Child Pain Checklist
- 431 NRS – Numeric Pain Scale
- 432 PASS – Pain Anxiety Symptoms Scale
- 433 PCS – Pain Catastrophizing Scale
- 434 PDD-NOS – Pervasive Developmental Disorder – Not Otherwise Specified
- 435 PHS – Paradoxical Heat Sensations
- 436 PL-BPRS - Pre-linguistic Behavioural Pain Reactivity Scale
- 437 PPT – Pressure Pain Threshold
- 438 QST – Quantitative Sensory Testing
- 439 RBS-R – Restrictive Behaviour Scale - Revised
- 440 RRBs – Restrictive Repetitive Behaviours

- 441 SED – Socioeconomic Disadvantage
- 442 SIB – Self-Injurious Behaviour
- 443 TAS-20 – Toronto Alexithymia Scale
- 444 TSK – Tampa Scale of Kinesiophobia
- 445 TSL – Thermal Sensory Limen
- 446 VAS – Visual Analogue Scale
- 447 VDT – Vibration Detection Threshold
- 448 VJT – Volitional Joystick Task
- 449 WDT – Warm Detection Threshold
- 450 WUR – Wind-up Ratio

451

Choice of Autism Language

452 Throughout this thesis identity first language has been adopted, to reflect the research
453 which highlights a large majority of autistic people and their families showing a preference
454 for identity first language. However, I have chosen autistic individuals as the term to be used
455 rather than autistic people due to its appropriateness in the context and style of writing. For
456 example, to suggest that autistic people have aversions to touch, is precisely the notion we do
457 not want to fuel. ‘Autistic individuals’ recognises that some individuals do indeed have these
458 aversions, but it is not always applicable to the autism population. Secondly, I acknowledge
459 that there is some discussion around either using the term Autism rather than Autism
460 Spectrum Disorder, and that many advocacy sites and research use Autism. However, since
461 the participants in this thesis were diagnosed in line with the DSM, the adoption of Autism
462 Spectrum Disorder has been used in line with the most recent revision of the DSM.

463

Abstract

464 Evidence to date of altered pain processing in Autism Spectrum Disorder (ASD) is
465 largely reliant on case evidence and observations. The evidence suggests a hypo sensitivity
466 to pain which is emphasised by the inclusion of this as a criterion in the DSM-5. However,
467 this evidence has also yielded contradictory findings on hypersensitivity to pain and suffers
468 with methodological flaws. The aim of this thesis was to experimentally investigate pain in
469 ASD using robust psychophysical pain induction methods to expand our understanding of
470 where in the pain process differences occurred that could account for the altered behaviours
471 observed in the anecdotal evidence.

472 Experiments 1 and 2 in Chapter 2 examined the processing of pain in people with
473 autistic traits and those clinically diagnosed with ASD, using a comprehensive
474 psychophysical test battery. Detection and pain thresholds were obtained for thermal and
475 mechanical stimuli including vibration and pressure. Additional tests included a cold pressor,
476 (Experiments 1 and 2). No consistent Quantitative Sensory Testing (QST) pattern of
477 difference in relation to autistic trait severity or clinically diagnosed ASD, was observed.
478 The Mechanical Detection Threshold exceeded that of a normal distribution of healthy
479 individuals, as established by The German Research Network on Neuropathic Pain normative
480 values (Backonja et al., 2013; Rolke, Baron, et al., 2006) for both autistic traits (Experiment
481 1) and clinically diagnosed ASD (Experiment 2) and differed to controls. Dynamic
482 mechanical allodynia and paradoxical heat sensation were reported in a number of those with
483 high autistic trait severity (Experiment 1) or clinically diagnosed ASD (Experiment 2), which
484 does not typically occur in individuals otherwise considered healthy. Notably, there were a
485 larger number of QST scores that fell outside the normal distribution ($n = 48$) in the clinically
486 diagnosed ASD group (Experiment 2). A greater number of autistic individuals compared to
487 controls, were found to show atypical patterns of pain response ($n = 10$). Indicating that there

488 is a heterogeneity of pain response in ASD and that there may be subtypes with different pain
489 responses.

490 Experiment 3, Chapter 3, utilised a volitional joystick task to determine if there was a
491 greater attenuation of pain avoidance behaviours by a valued reward in ASD. Individuals
492 clinically diagnosed with ASD and controls, moved a joystick towards a target that resulted
493 in the delivery of a nociceptive stimulus, which on 50% of occasions was paired with a
494 reward. During choice-trials participants opted to make a safe movement (i.e., an opposing
495 movement to the movement paired with pain in which there is no pain stimulus) or to make a
496 movement towards a monetary reward whilst receiving a nociceptive stimulus. Reaction
497 times were obtained for movements, as well as the number of choice trials. The ASD group
498 were no different to controls at completing a painful yet rewarding movement and they also
499 chose to negate the pain to receive a reward to the same degree as controls, suggesting that
500 the ASD group's fear avoidance and pain motivation processing is no different to controls.

501 Experiment 4, Chapter 4, utilised the Facial Action Coding System and the Non-
502 Communicating Adults Pain Checklist to code facial and behavioural responses to pain. The
503 aim was to determine if the communication of pain in ASD differed to controls, or if there
504 was a set of ASD specific pain behaviours. Participants were videoed during a cold pressor
505 task and thermal heat stimuli (6 warm but not painful, 6 moderately painful, and 6 very
506 painful). Painful facial expressions for cold and hot thermal stimuli were similar between
507 the ASD group and controls. The ASD group showed expressions in the lower oblique
508 cluster (comprised of muscles that pull the skin of the face upward at an oblique angle) more
509 frequently. These expressions were also observed at a greater intensity in comparison to
510 controls. In particular, Nasolabial Furrow Deepener and Lip Corner Puller occurred more
511 frequently and at a greater intensity in the ASD group compared to controls. Controls were
512 also more likely to show a neutral expression compared to the ASD group, indicating a

513 masking mechanism is being employed by controls in the social context. It is possible that
514 the social contagion or mimicry of expressions is focussed on the lower facial regions and
515 therefore pain expression develops more so in this region for autistic individuals.

516 Taken together, the findings from this thesis point towards greater intra-individual
517 differences in the ASD group compared to controls, showing that there may be sub-groups in
518 the autistic population who have altered pain experiences, or for whom pain expression might
519 be more nuanced. Importantly, the results presented here do not support the DSM-5's
520 statement that an absence of the ability to feel pain is a defining feature of ASD.

Chapter 1. Theoretical Background

522

Chapter 1. General Introduction

523 1.1 Autism Spectrum Disorder

524 Autism Spectrum Disorder (ASD) is a heterogenous, pervasive, lifelong
525 neurodevelopmental disorder. The DSM-5 (5th ed., APA, 2013) aggregates the formerly
526 separate autism subgroups: Autism, Asperger syndrome, Childhood Disintegrative Disorder,
527 and Pervasive Developmental Disorder Not Otherwise Specified (PDD-NOS), into one broad
528 spectrum disorder. There are two main clusters of behaviour:

- 529 1. persistent deficits in social communication and social interaction across
530 multiple contexts: social emotional reciprocity, non-verbal communicative
531 behaviours and developing, maintaining, and understanding relationships
532 (APA, 2013). The social interactions range from self-imposed social isolation
533 (Klin et al., 2000) to somewhat engaged but inappropriate social behaviour,
534 where typically eye contact is avoided (Dalton et al., 2005; Pelphrey et al.,
535 2002) or there is a tendency to respond inappropriately in conversation (APA,
536 2013). Deficits in receptive communication are present, with individuals
537 demonstrating reduced attention, poor understanding of non-verbal language
538 and difficulties with non-literal language (Hobson, 2012; Tager-Flusberg,
539 1999; Vance & Wells, 1994). Impairment in the social use of language is
540 therefore a common behaviour witnessed.
- 541 2. restrictive, repetitive patterns of behaviour, interests, and activities cluster.
542 Such behaviours can take a range of forms, from compulsive insistence on
543 daily routines to an intense focus on specific, narrow topics of interest (Ozand
544 et al., 2003). A change to established routines can lead to overtly expressive
545 behaviours, such as meltdowns and resistance, including anger attacks

546 (Fletcher-Watson & Happe, 2019; Frith & Happe, 1994; Happé & Frith,
547 1996; Ozand et al., 2003), as well as self-injurious behaviour patterns,
548 including self-biting, head banging, to self-soothing patterns such as rocking
549 (Happé & Frith, 1996; Ross-Russell & Sloan, 2005). A specific feature in this
550 cluster is that of “hyper- or hypo-reactivity to sensory input”, where the DSM-
551 5 gives the specific example of “apparent indifference to pain/temperature,
552 adverse response to specific [...] textures, excessive [...] touching of objects”
553 (APA, 2013). Such sensory processing abnormalities have been a feature of
554 ASD clinical descriptions, from the original independent seminal reports by
555 Asperger (Asperger, 1944) and Kanner (Kanner, 1943) to first person accounts
556 from case reports (Dunn et al., 2002; Grandin, 1992; Marco et al., 2011).
557 Research focussing on sensory processing abnormalities reports sensory
558 processing difficulties spanning all the senses: taste, touch, smell, audition,
559 and vision, for all ages and all levels of ASD symptom severity (for review see
560 Baum et al., (2015); Marco et al., (2011)). Additionally, the distress caused by
561 sensory stimuli has also been shown to cause self-injurious and aggressive
562 behaviour in those unable to communicate this burden (Duerden et al., 2014;
563 Handen et al., 2018; Melia et al., 2015; Richards et al., 2016; Vandewalle &
564 Melia, 2021). While sensory hyper- and hypo-responsiveness are not unique
565 to ASD, they appear to be more prevalent in this population than in other
566 developmental disabilities (Baranek, 2002; Ben-Sasson et al., 2009; Leekam et
567 al., 2007). However, in comparison, pain in ASD is relatively poorly
568 understood.

569 1.2 Pain Definition

570 Pain is more than just the result of sensory processing; it is a complex conscious
571 experience. The definition of pain acknowledges that it is a multifaceted, distressing
572 experience associated with actual or potential tissue damage; with sensory, emotional,
573 cognitive and social components (International Association for the Study of Pain, 2020;
574 Williams & Craig, 2016). Therefore, the definition recognises not only the nociceptive
575 threat of tissue damage but the wider experiential aspects (Eccleston, 2013). In general, the
576 more intense the noxious stimulus is, the more unpleasant it is (Miron et al., 1989). Sensory
577 information about a noxious stimulus, such as a burning hot temperature, is transmitted
578 centrally through special classes of nociceptor afferents (Treede, 2006). Nociception is most
579 often the cause of pain (Iannetti & Mouraux, 2010), however, there is no direct relationship
580 between nociception and experience of pain (Merskey, 1986), and the two may occur
581 separately (Loeser & Treede, 2008). This definition also reflects the difficulty one person
582 would have in inferring another person's experience. Therefore, what may be perceived as
583 painful in one individual (for example, the adverse response to texture mentioned in the
584 DSM) may not be painful in another.

585 Pain can also be categorised in several ways, one of which is to separate it into acute
586 and chronic. Acute pain can be defined as the predicted physiological response to an adverse
587 stimulus (Carr & Goudas, 1999), whilst chronic pain is that which persists or recurs for
588 several months (Treede et al., 2019). Chronic pain can be further split into categories based
589 on the damage it causes, such as neuropathic pain, which is thought to be the result of lesion
590 or disease of the somatosensory system (St John Smith, 2017). However, acute pain has been
591 associated with new tissue injury that can last for several months (*Classification of Chronic*
592 *Pain, Second Edition (Revised) | International Association for the Study of Pain (IASP),*

593 *retrieved 2021*) and therefore could be viewed as the initiation of a persistent nociceptive and
594 behavioural cascade triggered by tissue damage (Carr & Goudas, 1999).

595 **1.3 Neural Mechanisms of Nociception and Pain**

596 Different types of specialised peripheral sensory neurons, known as nociceptors, alert
597 us to potentially damaging stimuli at the skin (Dubin & Patapoutian, 2010). Nociceptive
598 afferents are classified based on conduction velocities, threshold, and sensitivity to stimuli
599 type, namely thermal, mechanical, and chemical modalities. A-fibre nociceptors are
600 predominantly heat or mechanosensitive, are myelinated, with an onset of 5-50m/s. Whilst
601 C-fibre nociceptors are responsive to heat and are unmyelinated with an onset of 0.4-1.4m/s
602 (Cain et al., 2001; Lewin & Moshourab, 2004). Noxious stimuli activate an ion channel on
603 the nociceptor which depolarises it producing a potential. If the potential has significant
604 magnitude to reach the activation threshold for voltage-gated Na⁺ channels, it triggers an
605 action potential (St. John Smith, 2017). Nociceptor activity does not per se lead to
606 perceptions of pain as mentioned above, although it most often is the cause. The latter
607 requiring peripheral information to reach higher centres and normally depends on the
608 frequency of the action potentials in primary afferents, temporal summation (the phenomenon
609 in which repeated and equal intensity stimuli cause an increase in the pain experienced), pre-
610 and post-synaptic signals and central influences (Dubin & Patapoutian, 2010; Willis &
611 Coggeshall, 2004). When an action potential is triggered, the signal follows a direct axonal
612 pathway from the periphery to the spinal cord (Amir & Devor, 2003). The central axon
613 carrying the signal enters the spinal cord and sprouts branches that innervate multiple spinal
614 segments and terminate in the dorsal horn on relay neurons and local interneurons important
615 for signal modification (Basbaum et al., 2009; Millan, 1999). Projections from here include
616 the medulla, mesencephalon, and the thalamus, which in turn project to somatosensory and

617 anterior cingulate cortices, all of which comprise the pain neuromatrix, although this matrix
618 may not be specific for pain (Iannetti & Mouraux, 2010). Together this drives both sensory-
619 discriminative and affective-cognitive aspects of pain (Iggo, 1977; Millan, 1999). These
620 interneurons, both inhibitory and excitatory, as well as descending inhibitory and facilitatory
621 pathways, modulate the transmission of the nociceptive signals thus contributing both to the
622 prioritisation or inhibition of pain (Heinricher et al., 2009).

623 **1.4 Mediators and Moderators of Pain**

624 As the pain definition proposes, pain also incorporates social, emotional, and
625 cognitive factors (International Association for the Study of Pain, 2020). Research has
626 recognised that there are a variety of pain moderators and mediators, which are part of how
627 pain will be experienced and evaluated, and therefore responded to. In terms of emotional
628 factors, much research has focussed on anxiety and fear, without making a clear distinction
629 between the two, instead focussing on pain-related fear used to denote both the reaction to
630 current pain and anticipatory anxiety (see Peters, (2015) for a review). Pain related fear has
631 been shown to increase pain sensitivity and exaggerate the pain experience (George et al.,
632 2006; Hirsh et al., 2008; Roelofs et al., 2005). When distinguishing between anxiety and pain
633 related fear, Rhudy and Meagher (2000) found fear to reduce pain reactivity, while anxiety
634 led to increased reactivity as measured by withdrawal reflex latencies to radiant heat stimuli.
635 Anxiety has also been implicated in pain via the fear-avoidance model of chronic pain
636 (Botvinick & Braver, 2015; Crombez, Eccleston, Van Damme, et al., 2012; Van Damme et
637 al., 2008, 2010). The model proposes that fear of pain and reinjury hampers recovery from
638 acute pain because anticipatory anxiety motivates avoidance behaviour. However, fear of
639 pain is also a predictor of acute cold pressor pain thresholds (Hirsh et al., 2008), as well as
640 acting as a mediator in sex differences in thermal pain thresholds (Horn et al., 2014). Fear of

641 pain, above and beyond anxiety, has also been shown to predict pain intensity and
642 unpleasantness ratings at threshold and tolerance (Horn et al., 2014; Rainville et al., 2005).
643 Pain related fear and anxiety, whether distinct or not, therefore inflate the perceptual
644 experience of a nociceptive stimulus and are implicated in the chronification of pain.
645 Furthermore, clinically relevant anxiety and depression have purported similar effects (see
646 Thompson et al., (2016) for a review). Depressive mood is also related to a reduced pain
647 tolerance and increased pain unpleasantness (Loggia et al., 2008).

648 In terms of cognitive factors, those most frequently researched are attention,
649 expectancy, and appraisal in the form of catastrophizing. Pain catastrophizing is the tendency
650 to magnify the threat value (appraisal) of actual or anticipated pain experience, paired with
651 exaggerated negative cognitive schemas (expectancy) and inability to divert attention away
652 from pain (attention; Gatchel et al., (2007)). Therefore, the core quality of attention is the
653 importance of information processing in the brain, such that there is an ability to adapt which
654 cognitive resources are focused on certain aspects of the environment and not others
655 (Lindsay, 2020). Whilst appraisal is the assessment of the threat value or interpretation of a
656 stimulus, and expectancy is the cognitions regarding the probability of future experiences
657 (Leung, 2012; Quartana et al., 2009).

658 Attention and nociception are thought to have a bidirectional relationship with each
659 other (Legrain et al., 2012; Torta et al., 2017). Pain can capture attention, particularly if it is
660 novel or threatening, with the purpose of promoting action (Legrain et al., 2012; Peters,
661 2015). Therefore, since pain is motivationally relevant, this draw of cognitive resources can
662 interfere with other tasks (Crombez et al., 1994). On the other hand, directing attention
663 towards pain is thought to increase the perceived intensity, whilst drawing it away can lead to
664 a less intense experience (Crombez et al., 2005). Numerous studies have shown distraction,

665 for example via a competing task, diminishes pain (Claes et al., 2014; Legrain et al., 2012;
666 Van Damme et al., 2010, 2012). Heightened attention, i.e., hypervigilance, has also been
667 implied in the transition from acute to chronic pain (Vlaeyen & Linton, 2012), with
668 individuals who are highly fearful of pain, and whose main goal is avoidance, becoming
669 hypervigilant (Crombez et al., 2005), thereby showing the complexity and connection
670 between both emotional and cognitive factors. Pain catastrophizing is another cognitive
671 factor that has connections and confounds with emotional factors, namely fear of pain (Hirsh
672 et al., 2008). This is because it is thought to encompass several aspects of negative thinking
673 including exaggeration of threat value, rumination and helplessness (Sullivan et al., 2001)
674 which are also associated with anxiety (Peters, 2015). Pain catastrophizing has much of the
675 same associations with pain intensity and unpleasantness as the aforementioned factors. In
676 particular, an increase in pain sensitivity (Quartana et al., 2009; Sullivan et al., 2001), when
677 paired with fear of pain, significantly predicted pain intensity ratings (George et al., 2006;
678 Hirsh et al., 2008). There are also aspects of expectancy in pain catastrophizing, i.e., the
679 definition incorporates the concept that pain may not disappear. Expectations about a painful
680 event or nociceptive stimulus have been reported to alter the perceived intensity. For
681 example, expecting low pain decreases pain perception and expecting more pain increases it
682 (Benedetti et al., 2003; Hird et al., 2019; Price et al., 1999; Tracey, 2010; Zaman et al., 2018).
683 Of particular note, is the finding that a previously judged innocuous stimulus could be
684 perceived as a painful stimulus via painful expectations (Colloca & Benedetti, 2009). These
685 factors are also not separate and distinct from one another, there are complex relationships
686 and mechanisms involved. For example, pain catastrophizing and pain related fear may lead
687 to attention to pain (see Peters, 2015 for a review).

688 In terms of social factors, the most frequently researched is that of social support,
689 social exclusion, and socioeconomic disadvantage (SED). Social support is thought to have a

690 beneficial impact on pain experience. Having the support of a spouse or other partner during
691 painful episodes leads to decreased pain reports in the clinical setting. For example, during
692 labour, the presence of a birthing partner leads to decreased pain reports and a reduction in
693 pain medication (Cano et al., 2004; Lee et al., 2016; López-Martínez et al., 2008). In the
694 experimental setting, this reduction in intensity and tolerance has been replicated, whether the
695 social support comes from interacting with someone (Brown et al., 2003; Edwards et al.,
696 2017; Goldstein et al., 2016; Jackson et al., 2005; Roberts et al., 2015; Vlaeyen et al., 2009)
697 or reminding participants about social connections (Eisenberger et al., 2011; Master et al.,
698 2009; Shaygan et al., 2017; Younger et al., 2010). Social isolation and exclusion have been
699 found to increase pain intensity (DeWall & Baumeister, 2006; Dorner et al., 2011;
700 MacDonald & Leary, 2005), with worsening pain in those with less social support. The
701 relationship between SED and risk for chronic pain, and pain conditions such as sciatica,
702 ulcer and neuropathic pain, is constant regardless of the definition of SED (Heliövaara et al.,
703 1991; Levenstein & Kaplan, 1998; Poleshuck & Green, 2008; Torrance et al., 2006).
704 Findings show increased risk of these conditions when SED is high. As well as lower
705 material status being significantly associated with lower pain tolerance (Miljković et al.,
706 2014). Importantly, these factors do not appear to impact pain in isolation of each other, for
707 example, greater material status over social exclusion diminished pain intensity ratings (Zhou
708 et al., 2009). Previous studies have also demonstrated that pain is modulated by associations
709 learned through reinforcement. Stimuli associated with intense pain subsequently becomes
710 more painful when paired with social stimuli suggesting that others have experienced high
711 pain (Atlas et al., 2010; Koban & Wager, 2016). This evidence also shows that expectancies
712 have a role to play in this relationship, showing a complex interaction with cognitive
713 mediators such as expectancies or learning (Koban & Wager, 2016). Interactions between
714 social modulation and pain are also reported for stress and anxiety. Wherein a buffering

715 effect on pain occurs through social circumstances acting as a psychological safety signal to
716 reduce stress and anxiety around pain (Che et al., 2018). Furthermore, experimental evidence
717 of empathy for pain has shown that observing another person experiencing pain relief is also
718 sufficient to serve as a reinforcer, and shape pain perception (Colloca & Benedetti, 2009;
719 Goldstein et al., 2016; Goubert et al., 2011), highlighting further complex interactions
720 between social, cognitive, and emotional factors and pain. Therefore, these factors can also
721 act as confounds and so must be considered when measuring the pain experience.

722 **1.5 Pain Communication**

723 In order to communicate subjective pain experience to the social environment a range
724 of behaviours are employed, including body gestures, verbal, and non-verbal cues, such as
725 facial expression (Craig, 2015; Craig et al., 2001; Mogil, 2015; Walsh et al., 2014). Verbal
726 communication of pain is the most typical form of expression (Fields, 1999; Zaccagnino &
727 Nedeljkovic, 2017) for those able to verbally communicate their pain experience. Pain
728 communications of this type are complex because they require a shared language, with
729 cultural contextual social factors, in order for the symbols used to describe pain to be
730 comprehended and understood by the receiver (Hadjistavropoulos, et al., 2011; Peacock &
731 Patel, 2008; Schiefenhövel, 1995). However, delayed, or total lack of language development,
732 or of discrepant comprehension of language and the social use of language, may impede the
733 development of pain-specific language (Davidson & Ellis Weismer, 2017; Mitchell et al.,
734 2006). In such cases, body gestures and nonverbal cues are more heavily relied upon. There
735 is also inherent risk of misunderstanding pain, even in those considered healthy and able to
736 communicate their pain (Rowbotham et al., 2012) and so verbal communication of pain is
737 supplemented by body gestures and other non-verbal cues. For example, painful facial
738 expressions are thought to be especially indicative of the emotional component of pain (Kunz

739 et al., 2012), and they have been found to be a major determinant of observer's judgements of
740 pain (Breau et al., 2007; Breau et al., 2003; Breau et al., 2002; LaChapelle et al., 1999;
741 McGrath et al., 2008). Research investigating non-verbal pain behaviours have shown that
742 these behaviours correspond to the timing of painful events and that the magnitude of
743 expression can be quantified (Breau et al., 2007; Breau et al., 2003; Breau et al., 2002; Izard
744 et al., 1980; LaChapelle et al., 1999; McGrath et al., 2008; Oberlander et al., 1999),
745 suggesting non-verbal modes of communication are reliable in decoding pain experience
746 (Messmer et al., 2008). The goal of these behaviours is generally thought of as an external
747 signal that invokes help from a second person, usually a carer or parent (Schott, 2004;
748 Sullivan, 1995). This behavioural activity of pain then permits observer inference (Prkachin,
749 2009; Riddell et al., 2013; Schiavenato & Craig, 2010).

750 **1.6 Pain Measurement**

751 Ideally, pain measures would provide an easily interpretable and directly transferable
752 metric in the same way that for example, blood pressure and cholesterol levels do (Kroenke,
753 2018), however, since the experience of pain is poorly related to the nature and magnitude of
754 tissue damage and is both complex, and subjective (Chou et al., 2009; Loeser, 2012), the
755 ideal metric is currently unobtainable. Therefore, the current gold standard for pain reporting
756 is self-report, which represents the internal percept of a stimulus. Clinically, pain scales are
757 typically simple unidimensional methods that assess pain intensity and unpleasantness
758 (magnitude of pain experienced and the magnitude of the experience, respectively; Fields,
759 (1999); Zaccagnino & Nedeljkovic, (2017)). They are however adaptable, and so can be used
760 to measure a particular construct of pain, for example, ability to endure a pain, quality of pain
761 and impact (Zaccagnino & Nedeljkovic, 2017).

762 The most frequently used of these pain scales are the numeric rating scale (NRS), the
763 visual analogue scale (VAS) and the faces pain scale (Wong & Baker, 2001). Although these
764 are different measures, they are visually analogous in their question presentation. For
765 example, a typical NRS consists of a scale from zero (no pain) to ten (worst pain imaginable)
766 and the VAS consists of a 10cm line with no pain at one end and worst possible pain at the
767 other. Although these measures are used to compare pain between groups (Backonja et al.,
768 2013; Rolke, Magerl, et al., 2006) others have criticized this utility arguing that the responses
769 are only relative to that individual (Zaccagnino & Nedeljkovic, 2017), because what someone
770 might perceive as the worst pain imaginable can differ. However, these measures all have
771 good reliability and consistency (Downie et al., 1978; Ferraz et al., 1990; Woodforde &
772 Merskey, 1972). Of note here is that self-report requires a communicative ability to
773 comprehend and respond to these pain scales.

774 For those with communication difficulties, assessing pain behaviours may be more
775 suitable (Katz & Melzack, 1999). Examples of pain behaviours include saying “ouch”,
776 grimacing, limping, rubbing, or soothing the location. From the behaviours an inference can
777 be made of nociception, pain and the suffering experienced (Loeser & Melzack, (1999), [see](#)
778 [section 1.4](#)). This can be achieved with behaviour checklists, behaviour-rating scales or those
779 that measure a specific aspect such as facial expressions. A behaviour checklist provides a
780 list of behaviours that are then observed as being present or absent. A scale applies an
781 intensity rating to listed behaviours, and those that incorporate facial expressions do both
782 (Donate et al., 1999; Ekman, 1992; Katz & Melzack, 1999; von Baeyer & Spagrud, 2007).
783 Coding schemes should be both exclusive and exhaustive, that is to say that each behaviour
784 can only be assigned to one code, and that there is a code for every behaviour (Chorney et al.,
785 2015). This helps to foster reliability and validity when coding is reliant on a degree of
786 judgment. Such approaches have flexibility in that a researcher can develop a coding scheme

787 through operationally defining what is important to the research question being asked and the
788 sample it is observing. Observational schemes can also be micro or macro. Micro-coding
789 captures behaviours at a very specific level (Bell & Bell, 1989) and allows for a specificity
790 that macro coding (larger interactions of behaviours) does not (Chorney et al., 2015). An
791 example of a micro-coding scheme is the Facial Action Coding System (FACS). This is a
792 system whereby facial expressions are coded and is thought to be a more objective
793 observational tool as movements and expressions are based on the anatomical connections
794 and movements of facial muscles (Ekman, 1992). However, these tools are time consuming
795 not only in their creation but their application (Zaccagnino & Nedeljkovic, 2017).

796 **1.7 Pain Induction Methods**

797 Psychophysics is the analysis of perceptual processes via investigating the effect a
798 stimulus has on behaviour and experience by varying physical properties of the stimulus
799 (Bruce et al., 2003; Read, 2015). Psychophysics also refers to a set of pain induction
800 methods that can be applied to the somatosensory system and deals with the relationship
801 between physical stimuli and their subjective correlates or percept's (Kingdom & Prins,
802 2016; Tursky et al., 1982). A large number of combinations of stimulus and response
803 methods are available which allows for flexibility depending on the goal of the experiment,
804 especially as choice is not restricted to one modality (Gracely, 2013). A combination of
805 modalities; thermal, mechanical and deep pain (pressure and vibration) can therefore be
806 tested, and many of these methods have been built into test procedures (Backonja et al., 2013;
807 Rolke, Magerl, et al., 2006). Generally speaking, the psychophysical approach incorporates
808 single point measures of pain such as threshold and tolerance (Gracely, 2013).

809 Perceptual threshold refers to the minimal amount of stimulation that evokes a report
810 e.g., pain (Gracely, 2013). Individuals identify that point which separates painful from non-

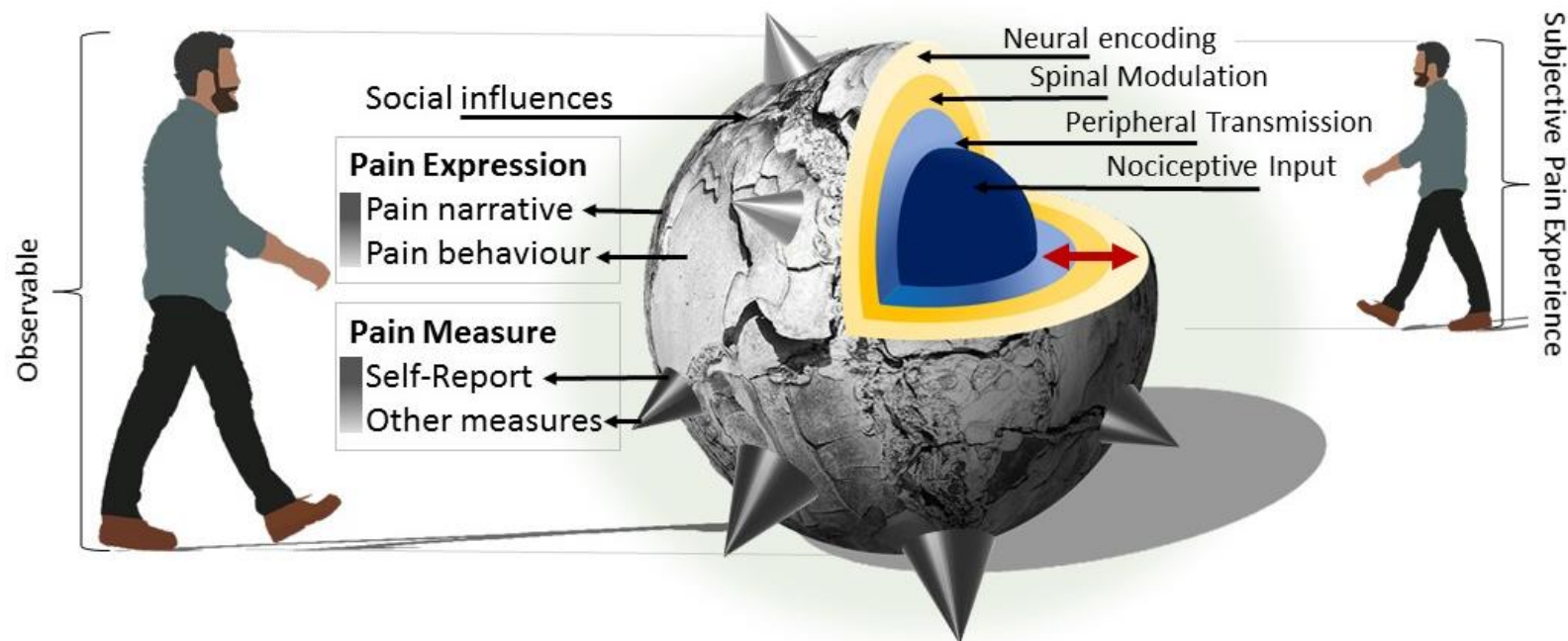
811 painful experience (Chapman et al., 1985). Two thresholds can be measured by applying a
812 stimulus to the skin: (1) sensation threshold, the point at which a stimulus is first detected,
813 sometimes termed the detection threshold; and (2) pain perception threshold (Melzack et al.,
814 1982). Those with a high threshold require greater stimulus input in order to report pain,
815 compared to those with a lower threshold, who require far less input (Gracely, 2013).
816 Tolerance is the maximum level of stimulus intensity that the individual reports as being able
817 to experience (Chapman et al., 1985) and is distinct from threshold (the point at which pain
818 begins to be felt). A measure of threshold and tolerance comprises of the sensitivity of the
819 subject to the stimulus and the subject reporting that the stimulus was painful (Irwin &
820 Whitehead, 1991). Therefore, assessing the somatosensory system from receptor to cortex,
821 including the perceptual component of pain (see section [1.8](#) for overview).

822 In order to determine threshold and tolerance levels, typical psychophysical
823 experiments use two methods: the method of limits or the method of levels. Method of limits
824 consists of presenting a stimulus in ascending increments until pain is reported or descending
825 until pain ceases (Edens & Gil, 1995), a strength of which is that it is a reaction time
826 inclusive method (Moloney et al., 2012). Method of adjustment or levels consists of subjects
827 adjusting the stimulation to the just painful level (Edens & Gil, 1995). Its strength is in being
828 a reaction time exclusive method (Moloney et al., 2012). For example, thermal modalities
829 can be tested using either method of limits or method of levels, where either a slowly
830 increasing temperature is applied until a participant defines pain, or a set of specific
831 temperatures are applied, and ratings given to each. Both these methods have their strengths
832 and utility, however, there are limitations. Notably, they are more subjective in nature than
833 determination of a sensory threshold where the subject chooses between the presence or
834 absence of a pain (Gracely, 2013), although a subjective approach to pain may be exactly
835 what is necessary for an inherently subjective experience.

836 **1.8 A Conceptual Model of Pain**

837 The evidence presented above, highlights that pain is a dynamic interaction among the
838 biological, psychological, and social. Therefore, a biopsychosocial model is the most
839 heuristic approach to conceptualising the pain experience. Loeser, (1980), proposed a four-
840 dimensional biopsychosocial model for pain, which included nociception, pain, suffering and
841 pain behaviour. In this model, in line with the current understanding, nociception is a
842 peripheral event that typically leads to pain and so is placed at the core of the model, with
843 pain sitting one level up. Since this link can be modulated or mediated by surgical,
844 pharmacological, or psychological means as presented, pain is listed as separate to
845 nociception, highlighting it as a feature of the spinal cord and the brain. The affective aspect
846 engendered by pain then becomes another layer in the model, with the outermost layer then
847 the behavioural outputs of these internal events. However, this model oversimplifies the
848 complexity of the pain experience and fails to incorporate external social influences that drive
849 behaviour as well as ways in which pain can be assessed, despite encouraging a
850 multidimensional approach to assessment and treatment of pain. For this reason, Wideman et
851 al., (2019) have proposed the Multimodal Assessment Model of Pain. However, this
852 simplifies the earlier levels proposed by Loeser (1980) into one internal subjective
853 experience, although, its strength is in recognising, the environment, pain expression and pain
854 measures. Therefore, Figure 1 presents an adapted version of both Loeser and Wideman's
855 models, recognising the strength and utility these models present in terms of a framework on
856 which to base pain research, as well as addressing their respective limitations. This model
857 can be used to show how subtle differences at various points in the system, could result in
858 differences in the pain experience.

859 **Figure 1.**
 860 *The Loeser/Wideman Integrated Multimodal Model of Pain (The IMMP).*



861

862 *Note:* This 3-dimensional view emphasizes the subjective pain experience and the observable person perspectives. The core of the model and the subterranean layers
 863 highlight the internal unobservable mechanisms that are involved in the pain experience. Nociception is at the core to reflect that nociception typically results in pain, and the
 864 peripheral, spinal, and neural mechanisms involved. However, since pain can occur without nociception, and that there is also a top-down modulation of pain, the red arrow
 865 on the subterranean layers, indicates that there are bi-directional processes occurring through these layers. The neural level represents the motivational-affective, cognitive
 866 evaluative and sensory discriminative functioning. This 3D view also emphasizes how pain experience is a function of the whole person, who is influenced by environmental
 867 and contextual factors (indicated by the green haze) including social influences (indicated by the textured cracked surface, cracks indicating that social, environmental, and
 868 contextual factors seep through to the internal). The textured uneven surface of pain expression represents the collection of words and behaviours that any individual may use
 869 to express pain. This contrasts with the smooth surface of pain measures (cones), which require expressions of pain to be translated into metrics. Cone size represents the
 870 relative ability of different pain measures to quantify different aspects of pain expression; measures with relatively larger cones indicate that they address a broader scope of
 871 pain expression. Gradients are used to depict the intimate link between the pain narrative and pain behaviour. This model integrates aspects from both Loeser (1980) and
 872 Wideman et al., (2019) models into one comprehensive biopsychosocial model. All images, with the exception of the walking man (creative commons licencing:
 873 https://cdn.pixabay.com/photo/2017/08/17/15/32/walking-2651721_640.png), belong to the author of this thesis, having been created, edited and adapted by the author (SV)
 874 for the purposes of generating this diagram.

875 **1.9 Risk of Pain and Painful Comorbid Conditions in ASD**

876 Alongside the sensory and psychiatric comorbidities, such as Obsessive Compulsive
877 Disorder (Meier et al., 2015), autistic individuals often present with a range of comorbidities,
878 some of which are linked to altered pain processing or are painful conditions themselves,
879 such as headaches or joint hypermobility (Baeza-Velasco et al., 2018; Victorio, 2014) or
880 increase the likelihood of injury (Lee et al., 2000). For example, autistic individuals are
881 thought to be at a disproportional risk of developing other psychiatric conditions such as
882 depression and anxiety (see Hollocks et al., 2019 for review), both of which research has
883 found to have a bidirectional relationship with altered pain behaviours (for review, see
884 Thompson et al., 2016). Sleep disturbances, a common clinical feature of ASD (Deliens et
885 al., 2015; Hering et al., 1999), are also linked to a greater vulnerability to pain (see Finan et
886 al., (2013) for review). Although this needs to be more clearly considered in ASD
887 populations, evidence does suggest that those with ASD diagnosis are more likely to be
888 susceptible to experiencing pain if sleep disturbances are experienced (Deliens et al., 2015).
889 Research has also demonstrated that autistic individuals are at high risk for developing
890 epilepsy, with the risk being highest in those with intellectual disability (Bozzi et al., 2018;
891 Scott & Tuchman, 2016; Thomas et al., 2017). One hypothesis to explain this co-morbidity
892 postulates that the neurodevelopmental deficits lead to changes in networks and
893 neurotransmitters (see review Bozzi et al., (2018)) which are also involved in the mediation
894 and perception of pain (Enna & McCarson, 2006). Additionally, an alteration in
895 consciousness or loss of motor control that is symptomatic of a seizure can lead to accidental
896 injuries, such as falls (Camfield & Camfield, 2015), but additionally, the seizure itself may be
897 painful (Young & Blume, 1983). Above and beyond motor difficulties in epilepsy leading to
898 injury, those with gait issues (gross motor skills) are at a greater risk of injury (Pirker &

899 Katzenschlager, 2017). In ASD, fine motor skills, rather than gross-motor skills, have been
900 linked with increased visits to hospitals for injuries (Myhre et al., 2012) and motor difficulties
901 are reported 87% of those with ASD (Bhat, 2020; Jain et al., 2014). Although research needs
902 to establish whether autistic individuals are at greater risk of injury or painful experiences
903 either due to epilepsy or motor skills, there is compelling evidence that they are at greater risk
904 of painful experiences when epilepsy or motor skills problems are co-morbid.

905 **1.10 Chronic Pain in ASD**

906 Whether autistic individuals are likely to experience co-morbid chronic pain
907 conditions has become the focus of several recent research studies. Clinical reports highlight
908 case evidence of a comorbidity with rheumatic or generalised muscle and joint pain (Clarke,
909 2015; Lipsker, Bölte, et al., 2018; Loades, 2015), that according to reports meets the current
910 criteria for chronic pain i.e., lasting longer than 3 months (International Association for the
911 Study of Pain, 2020). One case study highlights that the patient had suffered with chronic
912 pain from the ages of 9 months (Lipsker, von Heijne, et al., 2018). Case evidence is also
913 presented for the link to chronic abdominal pain, although in this particular example the link
914 is tentative as the cases presented are undiagnosed individuals (Bursch et al., 2004); although
915 there is evidence of core ASD features described by the clinician, and a referral for diagnosis
916 is made. A further study showed that 25.8% of an ASD sample experienced chronic
917 abdominal pain, which persisted at a one-year follow-up (Mazurek et al., 2014), highlighting
918 that abdominal pain is common and persistent in this population. Although in other samples,
919 this number is as low as 9% (Low Kapalu et al., 2018). Gastrointestinal issues are frequently
920 described in the literature, and although generally considered in terms of an acute pain
921 (lasting only as long as the gastrointestinal symptoms), the chronic nature of these issues
922 support the connection of chronic pain to ASD (see for review McElhanon et al., 2014).

923 Surprisingly, although it might be expected that chronic pain be more widely considered
924 within ASD, it is not a common finding. Understanding pain in this population is however,
925 of utmost importance, highlighted by the cases of comorbidities presented above.

926 **1.11 Autobiographical Accounts of Pain in ASD**

927 Alongside the case evidence explored for sensory processing issues, there are a
928 number of first-person accounts of pain in ASD. Touch and discriminative abilities are most
929 frequently discussed wherein individuals report being unable to tolerate touch (Cesaroni &
930 Garber, 1991; Elwin et al., 2012; Grandin, 1992). An additional first-hand account reports
931 feeling overwhelmed by touch, specifically that touch “hurts” (Cesaroni & Garber, 1991 pg.
932 306) and reporting an aversion to this. Others discuss the idea that their nerves felt
933 “supersensitive” to innocuous touch, even recognising that others would not find this painful
934 (Grandin, 1992). This suggests that even the lightest of tactile experiences, that is modulated
935 under typical circumstances by neurotypicals (i.e., receptor cells habituate to the feeling
936 rapidly in those who are not characterised by autism or other neurologically atypical
937 patterns), may be a great source of discomfort which is interpreted as pain for autistic
938 individuals. More specifically, in the case of Jim, presented by Cesaroni and Garber, (1991),
939 being touched caused confusion about the precise location and nature of the stimulus. Poor
940 spatial discrimination in general (identifying the location of a stimulus) has been paired with
941 pain. Specifically, identified sites of pain are remote in comparison to the site of injured
942 tissue (Head, 1893; Marchettini, 1993; Mense, 1993). Since this ability relies on a
943 functioning system of tactile afferents (Legrain et al., 2012; Liljencrantz et al., 2013;
944 McGlone & Reilly, 2010; Schlereth et al., 2001), being confused about the location may point
945 to an alteration in this system. In other instances, it is not a hyper-reactivity to stimuli that is
946 present, as discussed above, but a hypo-reactivity, wherein the response to nociceptive inputs

947 do not appear to function typically. In one particular case, not only did the person not require
948 additional layers of clothing in extreme cold weather, reporting that something was wrong
949 with their “heating system” (pg. 236 Elwin et al., (2013)), but also that they did not react to
950 temperature at all. This suggests that thermal response may be an altered modality. Research
951 findings, using content analysis, report that touch is related to a hypersensitivity, where
952 apprehension is paired with the stimulus and so the individual experiences a prolonged
953 heightened state (Elwin et al., 2012, 2013). Alternatively, pain was frequently associated
954 with a hypo-reactivity (Elwin et al., 2012, 2013). Research that synthesises these experiences
955 frequently discusses the consequences of pain and the behavioural reactions they elicit. For
956 example, a common theme is for repetitive routines to become more salient, or for other
957 extreme behavioural responses such as stimming (behaviour consisting of repetitive actions
958 or movements see Kapp et al., (2019)), crying, or meltdowns to occur (Elwin et al., 2012,
959 2013; O’Neill & Jones, 1997; Volkmar & Reichow, 2013). One particular case discusses
960 how the individual felt that painful stimuli “could not be mastered” (Bemporad, 1979). Pain
961 is a subjective experience (International Association for the Study of Pain, 2020) and
962 therefore understanding and establishing potential explanations for these experiences, in
963 order to negate the resulting behavioural responses, is imperative.

964 **1.12 Clinical Observation of Pain in ASD**

965 Clinical observations have been used to describe pain responses in ASD, offering a
966 more objective analysis and reducing bias which might occur in parent or self-report.
967 Furthermore, observation is also thought to provide an insight into pain which can be difficult
968 for those with an ASD diagnosis to communicate, particularly those who are non-
969 communicative. In the initial report by Kanner (1943), the first descriptions of pain reactivity
970 can be found, where patients diagnosed with ASD were tested using pinpricks. Opposing

971 reactions are described with one case finding the pinpricks painful and the second responding
972 very differently, observed by Kanner as an indifference. Pinpricks are a standard test of
973 mechanical pain, however in this instance, the lack of detail makes it difficult to determine
974 whether it is an atypical response. For example, individuals without ASD find pinpricks
975 painful and the typical weight at which this occurs is around 87mN (Rolke, Baron, et al.,
976 2006). What is clear is that pain is observed in cases of ASD and being included in such an
977 observation would suggest that it is atypical. Of the 11 cases described in Kanner's initial
978 observations however, only 2 individuals are administered the pinprick test. Other early
979 accounts further support this indifference to pain. Mahler (1952) gives an account of a child
980 putting a hot cigarette lighter to her lip causing severe burning without a typical pain
981 response for such an issue, suggesting an increase in pain thresholds. Later another case of a
982 young boy was described in a similar instance, where the child placed their hand on a hot
983 stove which resulted in burning that led to a loss of motor control and several reconstructive
984 surgeries (Gillberg & Coleman, 2000). These case studies highlight potential consequences
985 of an altered pain response. In other examples children are observed being able to withstand
986 extreme cold temperatures, some naked and others with summer clothing on (Gillberg &
987 Coleman, 2000; Wing, 1976; Wing, 1966).

988 Wing (1976;1966) summarises their observations into a scheme for diagnosis, which
989 includes abnormal responses to pain, cold, and touch as well as paradoxical responses to
990 stimuli. This is also the first instance where paradoxical sensations are discussed in reference
991 to ASD. This observation of pain response being a core clinical feature is supported by
992 Gillberg and Coleman, (2000) who report that all children with a diagnosis of ASD at an
993 early age have abnormal responses to sensory stimuli. They additionally observe pleasure
994 being derived from instances that would typically be painful. In one example a boy bites the
995 back of his hand. This is a recurring theme in a later case described by Bursch et al., (2004)

996 where “Tony” is observed as deriving pleasure from tightening a belt to a point at which
997 others would find painful. There is also the recurrence of contradictory responses, as both are
998 reported as suffering from chronic pain. Clarke (2015) observed two similar opposing pain
999 responses as does Lipsker et al., (2018). In this case extreme pain was witnessed after the
1000 resultant cause had been treated alongside other wounds resulting in an indifference to pain.
1001 This highlights that potentially there are differences dependent on modality and type of pain.
1002 In this case there could be a difference between acute pain experience and visceral pain (deep
1003 tissue pain). There could also be differences between autistic individuals. These accounts,
1004 however, have limited utility in establishing whether altered pain responses are generalisable
1005 to the wider ASD population. Frequently relied on cases may represent a variance in pain
1006 response that occurs in the population (Backonja et al., 2013; Maier et al., 2010; Rolke,
1007 Baron, et al., 2006) and therefore, may not be representative of pain in ASD.

1008 Further to this, the nature of the environment itself, particularly a clinical
1009 observational environment, may have a role to play in pain response. Muskat et al., (2014)
1010 conducted qualitative interviews with parents and Health Care Professionals (HCPS)
1011 regarding experiences in hospitals in relation to autistic children. A recurring theme was that
1012 the hospital environment, alongside having ASD, presents itself with a range of challenges,
1013 namely pain and the consequences of this. For example, HCPS observed that autistic
1014 individuals struggle to communicate their pain. Additionally, they also observed that the
1015 procedures resulted in a lot of contact that autistic individuals appeared hypersensitive to.
1016 The lack of communication and the behavioural consequences result in a difficulty
1017 interpreting the nature, location, and intensity of their pain. Parents support these
1018 observations, with one observing, “he may not express pain the same way another patient
1019 would” (pp. 485 Muskat et al., 2014). In the example above, the researchers conclude that
1020 both observers perceived an alteration in pain in ASD. However, both these participant

1021 groups are bringing separate subjective experiences and perspectives that are fundamentally
1022 different. This is similarly true for the professionals doing clinical observations whose
1023 training or experience or role is linked to a professional experience of ASD and atypicality.
1024 For example, the remit and focus of medical practitioners working with autistic patients is to
1025 alleviate symptoms and provide professional care. Relationships between the patients and
1026 these participants are also fundamentally different, one being personal and one being
1027 professional. In responding to the research questions, parents are likely to draw on their
1028 experience of their child, whereas health care practitioners are more likely to draw on a wider
1029 professional experience. A quantitative approach could be a more robust, consistent form of
1030 data gathering because the construct is more clearly defined, and therefore people are
1031 expected to answer in the same way. This results in being able to compare results between
1032 observers.

1033 **1.13 Observation of Pain in ASD During Medical Procedures**

1034 The aforementioned observational accounts of ASD have one commonality, they
1035 frequently describe autistic individuals and their pain experiences but there is little
1036 comparison to how individuals without ASD compare. Group comparisons are particularly
1037 important because they help to control for factors that may influence the relationship between
1038 ASD and pain. A number of studies have attempted to consider this limitation by observing
1039 both groups during medical procedures or in everyday settings, such as venepuncture or day
1040 care, whilst others have sought to compare observations across different observer types, or a
1041 mixture of these approaches. Importantly, these studies observe both facial expressions and
1042 behaviour in a more controlled experimental way than the aforementioned clinical
1043 observational work.

1044 Using the Child Facial Coding System (CFCS); a system based on physiological
1045 anatomy of muscular movements and connections, Nader et al., (2004) and Rattaz et al.,
1046 (2013), reported that autistic children had typical facial expressions to venepuncture, one that
1047 increased at needle insertion and decreased after removal to recovery. This was in
1048 comparison to those with Developmental Delay (DD) and those without ASD or DD,
1049 employing a more robust methodology than the aforementioned literature. Additionally,
1050 Messmer et al., (2008) had the videos from the Nader et al., (2004) study coded by
1051 undergraduate psychology students. Students were asked to read information about autistic
1052 children in which their experiences with pain were described and rate the observed pain using
1053 a VAS. This pain description was manipulated to either reflect that autistic children either
1054 appeared to feel pain more than other children, less than other children or that their
1055 experience was the same. Results indicated that manipulating information about pain did not
1056 impact on pain observation ratings, supporting those reported by Nader et al., (2004) and
1057 Rattaz et al., (2013), in that there were no differences reported in overall pain reactivity
1058 between the ASD group and controls. Nader et al., (2004), additionally reported that the
1059 ASD group showed greater facial activity only at needle insertion. In measuring facial
1060 expressions to routine immunisations in infants Mercer and Glenn, (2004) reported that the
1061 facial expressions were more complex. The Maximally Discriminative Facial Movement
1062 Coding System (MAX) system not only measures facial expressions from the upper, lower
1063 and eye/nose regions of the face, similarly to the CFCS, it additionally provides an
1064 opportunity for coding emotions in different areas, called blended expressions. Although
1065 there were similar reports of fewer painful expressions compared to controls, there were
1066 greater blended expressions. Therefore, it is possible that there is greater complexity of
1067 emotions being expressed during painful medical procedures.

1068 Alongside facial expressions, both Nader et al., (2004) and Rattaz et al., (2013)
1069 measured wider behavioural expression of pain, using either an Observational Scale of
1070 Behavioural Distress or the Non-Communicating Child Pain Checklist (NCCPC). In
1071 comparison to controls, the ASD group showed a marked distress to venepuncture (Nader et
1072 al., 2004; Rattaz et al., 2013). This behavioural distress also continued for longer in the ASD
1073 group compared to controls (Rattaz et al., 2013). This delayed recovery supports the idea that
1074 painful medical procedures lead to distress in ASD, as highlighted by HCPS in their
1075 observations. One of the most concerning issues from the results of this study, is that in
1076 comparison to controls, only 46% of autistic individuals receive local anaesthetic compared
1077 to 67% of controls. However, why this was the case is unclear.

1078 This finding of increased behavioural distress is however, not consistently reported.
1079 Tordjman et al., (2009) observed a decreased level of behavioural reactivity during
1080 venepuncture using the Pre-linguistic Behavioural Pain Reactivity Scale (PL-BPRS). For
1081 autistic individuals, compared to typically developing controls matched on sex, age and stage
1082 of puberty, there was an absence of pain behaviour, defined as an absence of reflexes rather
1083 than appearing to withstand pain. Of note is that observers witnessed very specific ASD
1084 responses not normally associated with pain that have yet to be considered in the wider
1085 literature. For example, an increase in aggressive behaviours, Self-Injurious Behaviour
1086 (SIB), and social withdrawal. This increased SIB could be occurring as a reaction to distress
1087 as a result of the procedure. Furthermore, autistic participants, although showing an
1088 indifferent pain response to the venepuncture, had robust physiological pain responses that
1089 matched the controls (Tordjman et al., 2009). There was elevated heart rate and plasma β -
1090 endorphin levels, demonstrating that although there was a decrease in behavioural expression,
1091 they may still have experienced pain. This is of particular importance, in that the expression
1092 may not fully represent the internal subjective experience or that there may be a specific ASD

1093 pain response, which observers fail to consider because they are behaviours not typically
1094 associated with pain. Furthermore, social withdrawal, a response not typically observed in
1095 children when experiencing pain, may highlight the importance of considering the social
1096 characteristics that define ASD in relation to pain.

1097 Additionally, Tordjman et al., (2009) asked caregivers in day care and parents at
1098 home to observe the autistic children prior to attending the clinic for venepuncture.
1099 Caregivers and parents were asked to report on overall daily pain reactivity. However, only
1100 mean values of the number of autistic children were reported. Interestingly, there was a
1101 greater number of autistic children reported as being hypo responsive, i.e., appearing to
1102 withstand pain at home by parents. For day care, the greater number of participants were
1103 reported as having normal responses to pain. This may point to important social contextual
1104 factors in the expression of pain, particularly in the differences between observing people in
1105 medical procedures compared to everyday experiences. Gilbert-MacLeod et al., (2000), used
1106 the Dalhousie Everyday Pain Scale and six observers to measure pain reactivity in 24
1107 children with developmental delay (DD) and 36 without developmental delay in a day-care
1108 setting. Those in the DD group showed less intense distress responses and engaged in no
1109 response more often than other potential responses such as facial action, verbal comments,
1110 crying and screaming.

1111 Those in the DD group also engaged in less help-seeking behaviour than those in the
1112 control group (Gilbert-MacLeod et al., 2000), suggesting that autistic individuals could be
1113 employing internalising behaviours more often than the typical help-seeking response, further
1114 supporting the notion of a behaviourally distinct pain response. Internalising behaviours, also
1115 known as passive pain behaviours, include but are not limited to, diverting attention, self-
1116 speak or reinterpreting pain (Buckelew et al., 1992; Lawson et al., 1990) and have been

1117 shown to result in fewer observable pain behaviours (Buescher et al., 1991; Spinhoven et al.,
1118 2004). Importantly, children undergoing lumbar puncture were shown to engage in similar
1119 behaviours to the previous study’s autistic individuals, including silence, lack of motion,
1120 sensory withdrawal or ignoring with lack of acknowledgement of pain or those around them
1121 (Broome et al., 1990). Passive pain behaviours (internalising) are not a determinant of pain
1122 ratings, such that those employing internalising versus active behaviours have similar pain
1123 ratings (Broome et al., 1990; Samwel et al., 2006), which may go some way in providing
1124 insights into why the research simultaneously reports autistic individuals as indifferent to
1125 pain, whilst there is pain ratings comparative or higher than controls. However, the degree to
1126 which autistic individuals may utilise these internalising behaviours is yet to be explored.

1127 **1.14 Parent/Self Report of Pain in ASD**

1128 Although parent report is still reliant on the pain expression and pain behaviour in the
1129 same way clinical observation is, the relationship is more intimate. Therefore, parents may
1130 be better at observing changes in behaviour and could be considered as having more insight
1131 due to having more contact and experience of the child (Sacrey et al., 2018; Schopler &
1132 Reichler, 1972). Some researchers have therefore asked parents to report on their child’s pain
1133 experiences. The earliest of these studies showed parents reported that their autistic child
1134 was non-reactive to cold temperatures in comparison to a group with “mental retardation”
1135 and controls (Olof Dahlgren & Gillberg, 1989) who were reactive. However, other items that
1136 related to pain such as “he was exceptionally sensitive to pain” or, “he had unusual reactions
1137 to pain” (pp. 173) did not yield any differences. This theme of indifference continues with
1138 22% of parents reporting low pain sensitivity and 21% reporting very low pain sensitivity in
1139 their autistic child (Militeri et al., 2000). A limitation of both these studies is that their
1140 approaches were to measure general symptomology of ASD and not pain specifically. Others

1141 who have used more specific sensory measures such as the sensory profile have shown higher
1142 tactile sensitivity (Rogers et al., 2003) compared to those with Fragile X, those with DD and
1143 controls. This over-responsivity was also a significant predictor of abdominal pain in autistic
1144 children (Mazurek et al., 2014). Kern et al., (2006) further defined touch sensitivity as either
1145 sensory seeking or sensory defensiveness, showing that there could be subgroups of
1146 individuals experiencing very opposing reactivity to touch. Additionally, there was a
1147 decrease in dysfunction as age increased. Potentially, as individuals get older, they learn to
1148 negotiate the sensory world and may employ a range of tactics that help to modulate these
1149 extreme sensations. Of note is that when considering under-reactivity to pain, the 43%
1150 reported by Militerni et al., (2000) is supported by Klintwall et al., (2011) who reported
1151 under-reactivity to pain in 40% of their sample using structured interviews with parents, this
1152 was despite differing methodologies. Specifically, 22% reported under-reactivity to cold and
1153 7% to heat. Over reactivity to touch was also reported in 19%. Interestingly language and
1154 cognitive level was not associated to sensory deficits, which has been previously suggested.
1155 Those who had toe-walking, meltdowns and sleep problems as symptoms had more affected
1156 sensory modalities, which points to pain response being related to very specific ASD
1157 symptomology.

1158 Mandell et al., (2005) asked parents and caregivers about the quality and quantity of
1159 services and support they had received in terms of caring for their autistic child. Although
1160 this was regarding services, oversensitivity to pain was also reported in the sample and was
1161 associated with a 0.6-year increase in the age of diagnosis, suggesting that pain can mask the
1162 diagnosis of ASD. This could be because when a child presents to a clinician with pain, or
1163 pain related issues, that clinicians are looking for organic and not developmental causes.
1164 Only once pain is relieved or causes identified, or potentially that no organic cause can be
1165 determined, is there a wider consideration. Additionally, at the time a medical professional is

1166 seeing a patient, they may be assessing both pre-pain and pain associated psychopathology.
1167 For many autistic individuals, chronic pain is comorbid (Clarke, 2015; Lipsker, Bölte, et al.,
1168 2018), and many of the co-morbid conditions associated with ASD have also been shown to
1169 follow pain, or are the result of painful conditions: anxiety, depression, sleep problems
1170 (Deliens et al., 2015; Hollocks et al., 2019; Rosen et al., 2018; Thompson et al., 2016). As
1171 such, it is difficult to make a distinction between psychological factors following pain, or
1172 pain caused by psychopathology (Fishbain, 2002), which may further delay the diagnostic
1173 procedure. Additionally, any medical interview should always start with a discussion with a
1174 patient (or carer) where the patient is encouraged to discuss which factors are most important
1175 to them. Pain is salient; therefore, it is likely that this could dominate or be prioritised in
1176 conversation, with psychopathology symptoms seeming less salient.

1177 Diagnosis is also reliant on deductive-driven hypothesis testing ((Elstein & Schwartz,
1178 2002; Moayyeri et al., 2011). This process is then subject to the skill of the clinician in
1179 deductive reasoning, but deductive reasoning can be a long process. It is possible that pain
1180 adds additional complexity when determining ASD diagnosis. Furthermore, research
1181 exploring delayed diagnosis in mental disorders associated with ASD, such as anxiety, also
1182 report an average of 5 (Ricky et al., 2017) to 9 years (Wang et al., 2005) years delay. This
1183 delay is greatest in those with activity limitations which included dexterity and pain, both of
1184 which have been reported in those with ASD, alongside anxiety (Bremer & Cairney, 2018;
1185 Buckelew et al., 1992; Rosen et al., 2018; Whyatt & Craig, 2013). Such complex cases are,
1186 therefore, likely to add further duration to the lengthy deductive reasoning process and delay
1187 diagnosis.

1188 Alongside applying validated and reliable assessment tools that enable formal
1189 diagnosis, the DSM starts with cross-cutting symptom measures, which are used to rate

1190 symptoms in a variety of domains which are not aligned with one diagnosis (APA, 2013).
1191 When causes of an illness or symptom is not certain, the ways of classifying the dysfunction
1192 in health are potentially less intuitive and require greater clinician investment, deduction, and
1193 hypothesis testing. Soft tissue pain is one such symptom. The reason this is important
1194 behaviourally, is because its absence made a patient a candidate for DSM diagnosis of pain
1195 disorders on the previous Axis I. However, if a patient was diagnosed with soft tissue pain
1196 disorders, they were then diagnosed with a pain disorder on axis III. Therefore, in context of
1197 pain, the presence of soft tissue pain could determine whether a person received a mental
1198 disorder diagnosis (APA, 2013; Fishbain, 2002). Since there is co-morbidity in ASD with
1199 soft tissue pain, then it is possible that this further impedes or delays diagnosis (Clarke, 2015;
1200 Lipsker, Bölte, et al., 2018), even under the new DSM structure that although does not
1201 involve the previous axes, still lists these as medical conditions, not psychiatric. This further
1202 highlights the need to understand pain in this population, specifically if it is likely to mask
1203 ASD at an early age and delay diagnosis. Delayed identification results in delayed
1204 engagement with services and so presents as a missed opportunity to aid the health and level
1205 of functioning of the individual (Berg et al., 2018; Fountain et al., 2011; Hertz-Picciotto &
1206 Delwiche, 2009).

1207 Self-reporting of pain makes it possible to understand the subjective experience of
1208 autistic individuals. Some studies have looked at not only self-report but parent reports
1209 alongside this allowing us to compare the subjective with the observer. Using self-reported
1210 questionnaires Minshew and Hobson, (2008) found that autistic individuals had sensory
1211 sensitivities in the domains of tactile sensitivities, low pain/temperature thresholds and other
1212 sensitivities, supporting the earlier findings of the parent report studies. More individual
1213 differences in the ASD group were present. Seventeen of the 60 autistic participants had
1214 eight or more sensory sensitivities, which was in stark contrast to the control group where

1215 none reported as many as eight sensory sensitivities. These sensitivities are also reported by
1216 the participant's parents. Supporting the notion that parents may be better placed to
1217 understand the experiences of their child. These findings were similarly reported by
1218 Tavassoli et al., (2014) using just the tactile aspect of the sensory profile.

1219 Bandstra et al., (2012), however, found no difference when autistic children were
1220 compared to IQ-matched controls. Parents and autistic children reported how much pain
1221 they would expect if a particular event occurred given to them as a short vignette. Self-and
1222 parent-report showed that pain expectations were the same for both groups. There was no
1223 difference between what the parents reported versus the self-report. Although in this instance
1224 the methodology showed that there is adequate learning around painful experiences in so
1225 much that when looking at vignettes of painful experiences participants are able to give an
1226 expectation of whether that incident would be mildly moderately or extremely painful.

1227 There are a number of limitations to such approaches. Mainly, they rely on accurate
1228 recall of the experience and the ability to introspect and compare one's own experience with
1229 that of others. These are not easily achieved abilities, particularly as they may be impaired in
1230 the ASD group due to the core feature of social deficits. This also requires parents to be able
1231 to infer not only their own child's pain, but also how it compares to other children's
1232 experiences. For this reason, results have to be considered with caution however, they do
1233 provide an important account of the perceived pain experiences that supports the
1234 autobiographical and case study accounts. Despite these limitations, self/parent report or
1235 clinical observation remains the most widely used methodology in the literature. Meaning
1236 that the evidence for any pain differences is largely based on the report of naturally occurring
1237 pain, rather than on experimental examination.

1238 **1.15 Psychophysical Pain in ASD**

1239 A psychophysical methodology tells us if a participant can detect and discriminate a
1240 noxious stimulus, as well as how much pain was felt and how unpleasant the sensation was
1241 (Moore et al., 2013; Zaccagnino & Nedeljkovic, 2017), thus, offering us a controlled and
1242 objective approach to the study of pain in ASD. Several studies have been conducted using
1243 this methodology, two of which have examined pain thresholds in ASD in the context of
1244 empathy (Bird et al., 2010; Fan et al., 2014). Bird et al., (2010) presented participants with
1245 electrical pain stimulation at 100 Hz, 4ms pulse length, 1 s duration and asked participants to
1246 rate on a 20-point Likert Scale (-10 pleasant to +10 unpleasant) how unpleasant the pain was.
1247 Although threshold data is reported the exact method for determining threshold is ambiguous.
1248 Findings reveal that autistic individuals did not significantly differ in their pain thresholds
1249 compared to sex and age matched controls, nor did their unpleasantness ratings, except for
1250 the low pain, where autistic individuals reported this as being unpleasant compared with
1251 controls who reported it as pleasant. Therefore, it appears that autistic individuals subjective
1252 experience was negative even when thresholds were the same. In contrast to these findings,
1253 Fan et al., (2014) examined pressure pain thresholds in adolescents and found that autistic
1254 individuals reported lower pain thresholds compared to healthy controls. Lower pain
1255 thresholds were correlated with more autistic traits. These studies are contradictory in their
1256 findings. There are, however, shared limitations to these as neither study was primarily
1257 interested in testing pain thresholds, the methods are therefore not standardised, nor
1258 comparable to each other. Additionally, the methods for determining thresholds in both
1259 studies are ambiguous, limiting contrasts further. However, it is interesting to note that lower
1260 pain thresholds were coupled with more self-reported autistic traits.

1261 Psychophysical studies that directly measure electrocutaneous and vibratory
1262 thresholds, similarly, show a hyperresponsivity to electrical stimuli and vibratory stimuli

1263 (Blakemore et al., 2006; Cascio et al., 2008; Yasuda et al., 2016). Not only did autistic
1264 individuals require less energy to detect electrical stimuli than controls, but they also report it
1265 as less discomforting, signifying that the affective qualities of the stimuli are different to the
1266 physical percept. Those studies using vibrotactile stimuli reported that adults diagnosed with
1267 Asperger's or ASD had lower tactile perceptual thresholds for 200Hz and 33Hz vibrotactile
1268 stimuli, implying a specific hypersensitivity in the Pacinian corpuscle's receptor pathway (the
1269 receptor which is responsible for detecting rapid vibrations on the skin; Blakemore et al.,
1270 2006; Cascio et al., 2008). Lower pressure thresholds were reported in autistic adults further
1271 supporting the notion of tactile hypersensitivity (Chen et al., 2009; Fan et al., 2014; Riquelme
1272 et al., 2016). In contrast, in a small sample of autistic children, there was no tactile
1273 perceptual threshold difference for vibrotactile detection (Güçlü et al., 2007), nor were there
1274 differences between autistic adults and controls for light touch (Cascio et al., 2008). There is
1275 a need for further exploration in this domain as touch is a proximal sense, with atypical
1276 responses reported with high frequency in the ASD population (Marco et al., 2011). In the
1277 anecdotal evidence, touch is described as an aversive experience, which links with the current
1278 definition of pain. Additionally, tactile thresholds represent a test of large fibre neuropathies,
1279 pressure pain investigates both cutaneous and deep pain, whilst dysfunction in the tactile
1280 domain should be tested with both static and dynamic stimuli. Furthermore, threshold
1281 deviations in the aforementioned stimuli, are found in those with painful conditions
1282 (Koltzenburg et al., 1992; Maier et al., 2010; Marchettini, 1993; Ochoa & Yarnitsky, 1993;
1283 Rolke, Baron, et al., 2006). By testing vibration, pressure and light touch, using both static
1284 and dynamic stimuli, Fründt et al., (2017) provides a systematic study to the tactile domain.
1285 Using a dynamic mechanical allodynia (DMA) test, wherein participants are stroked with a
1286 range of materials that are typically innocuous and reported that DMA was present in a

1287 subgroup of individuals. This is of particular note because this does not occur in those
1288 without neuropathy or controls (Backonja et al., 2013; Rolke, Magerl, et al., 2006).

1289 Hypersensitivity has additionally been reported in the thermal modality. Autistic
1290 individuals had reduced hot and cold pain thresholds compared to healthy controls; however,
1291 their detection of hot and cold temperatures was comparable to their healthy control matches
1292 (Cascio et al., 2008; Yasuda et al., 2016). In a sample of adolescents, the reverse was the
1293 case (Duerden et al., 2015). Autistic adolescents required a higher temperature for detecting
1294 a change towards warmer or cooler temperatures compared to healthy controls, however,
1295 their thermal pain thresholds were comparable. Additionally, using similar methods,
1296 Williams et al., (2019), also show no difference in thermal pain thresholds in adults.
1297 Interestingly, paradoxical heat sensations (where alternating cold and warm temperatures are
1298 applied, and a report of pain is given as individuals experience a painfully hot sensation
1299 rather than the cold that is occurring) have been reported in a subgroup of autistic individuals
1300 (Fründt et al., 2017).

1301 Overall, the research is limited by the focus on one or a few modalities, rather than
1302 encompassing the entire system. Comparisons are difficult to draw because not only do
1303 samples differ, but so do the methodologies. Additionally, as in the case of the empathy
1304 studies mentioned above, pain sensitivity is a secondary outcome of interest rather than a
1305 primary outcome. Although Fründt et al., (2017) have conducted the most comprehensive
1306 battery, this battery still fails to measure central sensitization. The findings of both dynamic
1307 mechanical allodynia and paradoxical heat sensations in a subgroup of autistic individuals
1308 point to the need for a measure of central sensitization, as well as its absence from the
1309 psychophysical research all together.

1310 **1.16 Pain Research and Developmental Theories of ASD**

1311 Additionally, this research measures one component of pain – the periphery, without
1312 consideration of other potential cognitive or social aspects of pain. Changes in pain
1313 processing may be the result of changes at one or more sensory processing stages, ranging
1314 from the peripheral receptors in the skin, spinal synapses, the brain’s perceptual system,
1315 descending control through to cognitive or social processes (Cascio et al., 2008; see section
1316 1.8 pain model). The cognitive processing of pain can be investigated to determine top-down
1317 effects. Other cognitive aspects such as pain motivation or attentional effects could be
1318 another avenue to be considered.

1319 Many of these factors also have a role in current developmental theories of ASD. For
1320 example, The Social Orienting Hypothesis posits a disruption to the mechanism that
1321 prioritises attention to social content, resulting in a lack of comprehension and social learning
1322 which reinforces the attentional differences. The Social Orienting Theory places emphasis on
1323 reward value, wherein a reduction or absence of the rewarding nature of a stimulus results in
1324 reduced engagement, which reinforces the limited reward value (Unruh et al., 2016). Take
1325 for example, a child who is indifferent to pain, the Social Orienting Hypothesis could suggest
1326 that they missed out on learning to communicate their pain because of their different
1327 attentional focus at critical developmental periods.

1328 In contrast the Social Motivation Theory suggests that this indifference may reflect a
1329 lack of motivation to engage with the learning process or may even suggest that motivation to
1330 engage in the stimulus itself is less or different (Chevallier et al., 2012). Pain behaviours can
1331 be positively reinforced by a parent or carer showing attention. In particular, pain reducing
1332 or pain promoting parental behaviour significantly impacted perceptions of pain (Chambers
1333 et al., 2002) and children of chronic pain patients chose more pain related responses to

1334 scenarios and were more external in their health locus of control than control children
1335 (Rickard, 1988). Highlighting the interaction between associative learning and pain
1336 communication, indeed on pain experience itself too. However, each of these theories are in
1337 decline as neither account for the uneven profile in abilities across the ASD spectrum
1338 (Johnson, 2014), nor do we fully understand pain associative learning in ASD.

1339 Non-social domain theories could suggest that the ability to switch attention to the
1340 pain stimulus is poorer in ASD (Gliga et al., 2014). Whilst theories adopting a
1341 developmental trajectory perspective, posit that subtle differences in the relationship with the
1342 environment in early childhood place autistic individuals on a particular trajectory resulting
1343 in larger differences at the age when diagnosis becomes possible (Fletcher-Watson &
1344 Happe, 2019; Karmiloff-Smith, 2006). One such difference may be the resulting DSM
1345 criteria of indifference to pain, although research establishing this link is required. However,
1346 there is likely a connection in that biological substrates serving pain, emotion, cognition,
1347 language and behavioural competence, also follow developmental trajectories (Backonja et
1348 al., 2013; Brewer et al., 2020; Hatfield, 2014; Levy et al., 2018; Maier et al., 2010; Simons &
1349 Tibboel, 2006). Much like these theories of ASD, it is likely that attentional and reward
1350 differences act reciprocally.

1351 Some research has examined how atypical sensory processing impacts upon
1352 theoretical accounts of ASD, in particular those theories which propose problems with higher
1353 order perceptual integration. Leekam et al., (2007) reported that few individuals had sensory
1354 abnormalities solely in one modality, or domain as measured by the sensory profile. They
1355 propose that the problem lies within sensory integration and that this difficulty is connected
1356 to social communication difficulties. Social functioning in typical populations who
1357 experience pain is impacted, therefore it is reasonable to assume this could be similar if not

1358 exacerbated in ASD considering the existing difficulties. Foss-Feig et al., (2012) report that
1359 for the tactile modality, sensory hypo-responsiveness correlates strongly with increased social
1360 and communication impairments, suggesting a link to social dysfunction. However, Rogers
1361 et al., (2003) did not find any associations between scores on the short sensory profile and the
1362 social and communication subscales of the Autism Diagnostic Interview-Revised (ADI-R).
1363 The problem with this is that it is self-report measures only, correlated subscales are not
1364 reflective of causal relationships and it would be incorrect to infer so (Vaughan, Failla, et al.,
1365 2019).

1366 **1.17 Aims**

1367 Evidence to date of altered pain processing in ASD is largely reliant on case evidence
1368 and observations which has yielded contradictory findings and suffers with methodological
1369 flaws. Namely, it relies on one person's experience to represent a whole group, or an
1370 observer's judgement that is subject to bias. These studies are thought however, to have
1371 ecological validity because they include all the factors of a naturally occurring context
1372 (Shamay-Tsoory & Mendelsohn, 2019). However, lab-based studies offer an objective
1373 methodology, that allows for the control of extraneous variables (Henshel, 1980).
1374 Experimental evidence has focussed on the periphery using a psychophysical approach.
1375 However, this is generally limited to thermal and tactile modalities, with mixed findings
1376 (Blakemore et al., 2006; Cascio et al., 2008; Güçlü et al., 2007). To date, there has been no
1377 research that considers the cognitive aspects of pain in ASD, in particular, motivation, or
1378 pain-related fear, except to include clinical level depression and anxiety as participant
1379 descriptors or to use these as exclusion criteria. The social communication of pain in ASD,
1380 has been largely reliant on observational studies, with little control of extraneous variables.
1381 The following studies, therefore, aim to consider a wider range of modalities in the

1382 psychophysical approach, the cognitive and the social communication of pain, in order to
1383 experimentally investigate pain in ASD. In order to address these gaps, the aims of this thesis
1384 are as follows:

1385 **1.** Chapter two will examine whether the absence or insensitivity to pain described in the
1386 anecdotal evidence is the result of changes in the peripheral processing of a stimulus
1387 evoked response. Participants will be tested using a comprehensive psychophysical
1388 test battery that will test all modalities, including detection and pain thresholds. As
1389 this battery incorporates subjective reports as well as measures of threshold and
1390 tolerance, its strength lies in the relationship between physical stimuli and their
1391 subjective correlates or percept's.

1392 **2.** Cognitive factors, including attention, motivation and expectations influence the
1393 experience of pain (Eccleston & Crombez, 1999; Moore et al., 2012; Van Damme et
1394 al., 2010), though there has been little consideration of these factors in the ASD
1395 experimental pain literature. The aim of Chapter 3 is to determine if the atypical pain
1396 behaviours observed in the anecdotal evidence are the result of a greater reduction of
1397 pain behaviours by other salient stimuli. It aims to explore whether a nociceptive
1398 stimulus evokes an emotional state to avoid it, and if salient stimuli attenuate
1399 avoidance behaviour.

1400 **3.** Social deficits are a core feature of ASD (APA, 2013), whilst pain is subject to social
1401 influences and is communicated to the external environment, where these behaviours
1402 can hold social value and meaning. Effective communication relies on the
1403 interpretation of these behaviours, both verbal and body language, by an observer.
1404 However, the observational work is largely biased and utilises a range of methods
1405 which are not inherently objective as they still rely on a judgement of the affective
1406 state of an individual. Therefore, Chapter 4 aims to explore whether the subjective

1407 experience is communicated in ways that may result in the described insensitivity, or
1408 absence of pain, or if there is a set of ASD specific pain behaviours that have so far not
1409 been considered but have been observed (Tomchek & Dunn, 2007).

Chapter 2. Psychophysical Approach to Pain in Autism Spectrum Disorder

Chapter two is comprised of two experiments, taking a peripheral and psychophysical approach to the study of pain in ASD, both clinical ASD and ASD traits. Experiment 1 is comprised of unpublished data and is presented below in chapter 2A. The introduction presented in this Chapter, alongside the methods outlined in section 2A.2 and rationale for Experiment 2 and Experiment 2 itself (see Chapter 2B) have been published in The Journal of Autism and Developmental Disorders (see Appendix A) and is presented in line with the Author Archiving and Re-Use guidelines, namely that it is verbatim to the published work.

Vaughan, S., McGlone, F., Poole, H., & Moore, D. (2019). A Quantitative Sensory Testing Approach to Pain in Autism Spectrum Disorders. *Journal of Autism and Developmental Disorders*. Doi: 10.1007/s10803-019-03918-0.

1424

Chapter 2. General Introduction

1425 In addition to the most striking lifelong effects of impaired communication,
1426 socialization and restrictive/repetitive behaviours in ASD, there is a high prevalence of
1427 sensory perceptual anomalies (Baranek, 2002). Evidence for which has relied on
1428 autobiographical, observational or behavioural measures (Moore, 2015) which has
1429 demonstrated, amongst an array of sensory disturbances, an absence of typical pain
1430 behaviours (e.g., absence of hand withdrawal reflex or a lack of protective body positioning)
1431 when encountering pain (Bursch et al., 2004; Gillberg & Coleman, 2000; Mahler et al., 2018;
1432 Rothenberg, n.d.). There is further evidence that autistic individuals have aversions to touch
1433 (Grandin, 1992, 1995; Williams, 1999), signifying that light tactile sensation might be a
1434 source of discomfort, indicating a potential hypersensitivity to tactile stimuli (Kaiser et al.,
1435 2016; Moore, 2015). However, such methods are typically not generalizable because it is
1436 unclear whether the case investigated is representative of the wider body of "similar"
1437 instances. Further validation of this phenomenon is given by the re-incorporation of sensory
1438 responses as a feature in diagnostic texts suggesting that it is a central clinical finding in
1439 autism (APA, 2013). There is, however, a dearth of rigorous psychophysical experimental
1440 evidence to support these claims. Therefore, the current Chapter aims to clarify the
1441 characteristics of *pain* sensitivity associated with ASD using a psychophysically robust
1442 experimental case-control design.

1443 *Pain* is multifaceted, defined as a distressing experience associated with actual or
1444 potential tissue damage; with sensory, emotional, cognitive and social components
1445 (International Association for the Study of Pain, 2020; Williams & Craig, 2016). Together,
1446 the percept, and the subjective reaction act as a warning system so that individuals learn to
1447 avoid dangerous stimuli (Yasuda et al., 2016) whilst also promoting behavioural analgesia

1448 (Eccleston & Crombez, 1999). A disruption to this system could result in a lack of these
1449 learned behaviours.

1450 Potentially nociceptive (painful) stimuli are detected by specific somatosensory
1451 receptor neurons (nerve fibres), known as nociceptors which can be classified into three
1452 different types: A β , A δ and C-fibre (Besson, 1999; Delmas et al., 2011; Djouhri & Lawson,
1453 2004; Lumpkin & Caterina, 2007). Nociceptive messages are typically mediated by A δ , and
1454 C-fibres, the functionality of which, in neurotypical populations, has been well described (for
1455 reviews see Basbaum & Jessell, (2000); Basbaum et al., (2009); McGlone & Reilly, (2010);
1456 Meyer et al., (2006). Before these signals generate a perception of ‘pain’ they are centrally
1457 integrated in the dorsal horn of the spinal cord and transmitted to the brain via the
1458 spinothalamic tract (Basbaum & Jessell, 2000; Iggo, 1977; Nafe, 2007; Schiller, 1956). This
1459 internal pain experience is then communicated which can be observed in stereotyped pain
1460 behaviours (Craig, 2015) and self-report – and which is neither simply, nor directly,
1461 associated with the level of nociceptor activity; nociceptor activity can produce more or less
1462 pain depending on a range of factors (John D. Loeser, 2012). De-coding whether these
1463 underlying mechanisms are altered in autistic individuals will give insight into the pain
1464 behaviours observed in this population.

1465 Recently a few studies have begun to disentangle the underlying sensory mechanisms
1466 of somatosensory dysfunctions in ASD using psychophysical methods, the earliest of which
1467 focused on tactile sensitivity, investigating this with vibrotactile stimuli (Blakemore et al.,
1468 2006; Cascio et al., 2008; Güçlü et al., 2007). Blakemore et al., (2006) reported a frequency
1469 dependent hypersensitivity in adults with Asperger’s compared to neurotypical controls.
1470 Conversely, Güçlü et al., (2007) and Cascio et al., (2008) report no significant difference

1471 between the vibrotactile thresholds of children and adults with ASD and controls, therefore
1472 effects may be a result of specific frequencies, sites or other methodological differences.

1473 Regarding pain perception, the focus has generally been towards thermal testing, with
1474 similarly mixed findings. Thermal pain hypersensitivity but normal thermal detection has
1475 been reported in adults with ASD (Cascio et al., 2008). Adolescents are reported to have the
1476 inverse results; normal thermal pain thresholds, but a hyposensitivity to innocuous thermal
1477 stimuli (Duerden et al., 2015). No differences in thermal detection thresholds and electrical
1478 pain were observed by Yasuda et al., (2016) and Bird et al., (2010), however, pressure pain
1479 thresholds were lower in autistic individuals compared to controls (Fan et al., 2014). This
1480 pattern of findings indicates no systematic change in psychophysically determined pain
1481 thresholds for autistic individuals compared to controls. This is not to imply that pain
1482 response in ASD is typical, both Fründt et al., (2017) and Duerden et al., (2015) report
1483 paradoxical heat sensations, a phenomenon where gentle cooling is perceived as hot or
1484 burning (Magerl & Klein, 2006), in several of their autistic participants. This phenomenon
1485 usually does not occur in healthy individuals. Considering the paucity of evidence paired
1486 with the mixed results, probably due to the heterogeneity of participants (e.g., ASD symptom
1487 severity or comorbidities) and differences regarding methods and sub-modalities investigated,
1488 the disentanglement of the underlying mechanisms of somatosensory dysfunctions in ASD is
1489 limited and there is no gold standard on how these features should be assessed in ASD.

1490 Several recent investigations (Blakemore et al., 2006; Cascio et al., 2008; Duerden et
1491 al., 2015) have utilised methodologies that have been collated into the standardised
1492 Quantitative Sensory Testing battery developed by The German Research Network on
1493 Neuropathic Pain (DFNS; Rolke, Magerl, et al., (2006)). This method allows for the
1494 quantification of clinically significant perception and pain thresholds (Werner et al., 2013)

1495 assessing the function of small and large diameter nerve fibres (Hansson et al., 2007). If used
1496 in its entirety this method allows researchers to assess nerve function across the full range of
1497 modalities; vibration, pressure, thermal, and mechanical (Moloney et al., 2012) in a
1498 standardised manner. The focus on a single or a limited number of these sub-modalities
1499 limits previous studies. One study, however, has utilised this full battery, therefore,
1500 providing the most comprehensive assessment of somatosensory function in ASD to date
1501 (Fründt et al., 2017). More extreme somatosensory responses (i.e., hyper- or hyposensitivity)
1502 or somatosensory phenomena not typically observed in those without neuropathy (i.e.,
1503 dynamic mechanical allodynia or paradoxical heat sensations) were observed in the ASD
1504 group, however, there were no group differences reported for global or systemic changes in
1505 somatosensory function.

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**Chapter 2A. A Quantitative Sensory Testing Approach to Pain in
Broader Autism Phenotype**

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Experiment 1 presented below is comprised of unpublished data.

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Chapter 2A. Experiment

2A.1.2 Rationale

Research has shown that the broader autism phenotype (BAP), a subclinical presentation thought to be a milder manifestation of traits characteristic of clinically diagnosed ASD (Rutter, 2000; Sucksmith et al., 2011), is not only present in families where a child has been diagnosed with ASD, but also in typically developing households (Pisula & Ziegart-Sadowska, 2015; Wheelwright et al., 2010). In studies where clinical ASD sample sizes are small and a prevailing limitation (Blakemore et al., 2006; Cascio et al., 2008; Duerden et al., 2015), the BAP can allow for investigation using comprehensive experimental protocols which could be onerous to those with clinical ASD, whilst recruiting larger sample sizes. All of which enables the precise delineation between ASD related features and specific cognitive processes (Landry & Chouinard, 2016) without burdening an already vulnerable population.

More specifically, the BAP has been associated with sensory sensitivity (Robertson & Simmons, 2013). In one study by Voos et al (2013), neurotypicals with high autistic traits have greater aversions to touch, supporting some of the anecdotal research that reports similar experiences in autistic individuals. More recently, Mayer et al. (2017) investigated the relationship between sensory processing and autistic traits in 580 participants, 42 of which had high functioning ASD. Results revealed a significant relationship between subscales on the Sensory Profile and autistic traits, where there was a clear progression of sensory atypicalities in line with an increase in autistic traits. Participants were split into groups based on autistic traits rather than diagnosis, and after controlling for age, gender, and IQ (factors linked elsewhere to atypical pain response in ASD), sensory processing abnormalities were reported as being greater in the high AQ trait group compared to low AQ group and a

1535 neurotypical group. Highlighting that the BAP and its associated behaviours exist out with
1536 ASD and therefore it is a useful foundation for work in sensory studies (Landry & Chouinard,
1537 2016). However, except for Voos et al (2013), these studies have only used sensory
1538 questionnaires, that span a range of sensory signs and symptoms. Voos et al (2013), although
1539 using a psychophysical approach, have looked at affective touch. Therefore, even within the
1540 BAP there is a need to apply psychophysical methods that cover pain and touch across a
1541 range of modalities. Furthermore, since the BAP has been associated with sensory sensitivity
1542 (Robertson & Simmons, 2013), it is a useful foundation for work in sensory studies (Landry
1543 & Chouinard, 2016).

1544 The standardised Quantitative Sensory Testing (QST) battery developed by The
1545 DFNS (Rolke, Baron, et al., 2006) is one such comprehensive protocol, typically used for
1546 assessing pain in typical populations, or indeed in clinical settings as a diagnostic tool for
1547 neuropathy (Backonja et al., 2013; Rolke, Magerl, et al., 2006). The full battery presents
1548 some challenges when applied to autistic individuals. Autistic individuals can be highly
1549 anxious, with a high comorbidity to anxiety disorders (for review see Hollocks et al., 2019).
1550 This may mean that novel stimuli that are presented during QST tests may cause marked
1551 distress to these individuals (Spratt et al., 2012). Which in turn may influence responses, for
1552 example, they may respond earlier for fear of pain or intensity ratings may be higher due to
1553 fear of pain (for review see Kroska, (2016)). Additionally, autistic individuals frequently
1554 have communication difficulties and very specific communication needs (Baron-Cohen et al.,
1555 1997). In a protocol that requires very precise understanding of instructions and the ability to
1556 communicate at which point a stimulus is detected or becomes painful, such communication
1557 difficulties may lead to response errors. Therefore, testing the utility of this battery in a non-
1558 clinical but quantitatively similar population is a useful strategy.

1582 (<17.50), 'above average AQ' (<25.25) and 'high AQ' (>25.25). AQ quartile groups did not
1583 significantly differ on age $F(3,48) = .621, p = .605$ or other known covariates that affect pain
1584 responses, such as pain catastrophizing $F(3,48) = .310, p = .818$ (Pain catastrophizing scale
1585 [PCS]; Sullivan et al., 1995), pain anxiety $F(3,48) = 1.395, p = .256$ (Pain anxiety symptoms
1586 scale [PASS]; McCracken et al., 1992) or anxiety sensitivity $F(3,48) = .614, p = .609$
1587 (Anxiety sensitivity index-3 [ASI]; Peterson & Heilbronner, 1987). There was significant
1588 group difference for gender $\chi^2(3) = 14.937, p = .002$, the distribution of males and females
1589 differed in each of the groups; see table 1 for descriptive statistics

1590 **Table 1:**

1591 *Characteristics and questionnaire results of AQ groups*

Characteristic	High AQ	Above average AQ	Average AQ	Low AQ	Total
No. of Participants	13	13	13	13	52
Gender Female	2*	2*	7*	10*	21
Male	11	11	6	3	31
Age	23.77 (6.43)	30.38 (16.87)	27.77 (14.55)	30.00 (15.30)	27.98 (13.37)
Autism Quotient (AQ)	30.69 (5.38)	21.15 (2.38)	14.31 (1.93)	9.77 (1.01)	18.98 (8.52)
Pain Catastrophizing (PCS)	19.54 (7.42)	19.92 (6.85)	16.62 (10.15)	17.23 (9.61)	17.58 (8.45)
Pain Anxiety (PASS)	39.49 (17.52)	30.62 (12.23)	31.69 (23.78)	25.69 (13.71)	31.87 (17.59)
Anxiety Sensitivity (ASI)	27.77 (16.97)	27.08 (11.26)	22.08 (14.19)	22.62 (11.03)	24.88 (13.43)

1592 *Note.* All values are given as mean (*SD*). * $p < .05$. AQ (Autism Quotient)

1593 The experiment was approved by Liverpool John Moores Ethics Committee (REC ref:
1594 15/NSP/013) and all participants gave written informed consent.

1595 **2A.2.2 Materials**

1596 **2A.2.2.1 Questionnaires**

1597 **2A.2.2.1.1 Autism Quotient**

1598 Consisting of 50 forced choice statements, the AQ measures autistic trait severity,
1599 including clinically relevant traits using a 4-point likert scale from 1 (definitely agree) to 4
1600 (definitely disagree). The subject scores one point for each question which is answered
1601 "autistically" either slightly or definitely agree. The questions cover five different domains
1602 associated with the autism spectrum: social skills; communication skills; imagination;
1603 attention to detail; and attention switching/tolerance of change. The maximum score is 50.

1604 **2A.2.2.1.2 Pain Catastrophizing Scale**

1605 The PCS is an instrument used as a measure of catastrophic thinking about pain
1606 consisting of 13 questions, using a 5-point Likert scale from 0 (not all the time) to 4 (all of
1607 the time). Participants were asked to reflect on past painful experiences and indicate to which
1608 degree they experience each of the 13 thoughts or feelings when experiencing pain. The PCS
1609 yields a total score (52) and three subscale scores assessing rumination, magnification, and
1610 helplessness. Several studies have supported the reliability and the validity of the PCS as a
1611 measure of pain-related catastrophic thinking (Meyer et al., 2008; Osman et al., 1997;
1612 Sullivan et al., 1995).

1613 **2A.2.2.1.3 Pain Anxiety Symptoms Scale**

1614 Pain Anxiety Symptoms Scale, consisting of 20 items (total score of 100), measures
1615 pain-related anxiety. Describing pain via fearful thoughts and rumination, physiological fear
1616 symptoms and avoidance of activities related to pain. Assessing these through 3 modalities;
1617 cognitive, physiologic and motoric, each item is scored from 'never (0)' to 'always (5) on
1618 how often an individual engages in each of the thoughts or activities described. PASS has
1619 been shown to be a reliable and valid measure of pain-related anxiety (Burns et al., 2000;
1620 McCracken et al., 1992).

1621 **2A.2.2.1.4 Anxiety Sensitivity Index**

1622 ASI measures the construct of anxiety sensitivity, across 18-items; the dispositional tendency
1623 to fear the somatic and cognitive symptoms of anxiety due to a belief that these symptoms
1624 may be dangerous or harmful. Each item is rated from very little (0) to very much (4) and
1625 yields a total score of 72. The ASI has been shown to be a reliable and valid measure of
1626 anxiety sensitivity (Kemper et al., 2012; Peterson & Heilbronner, 1987).

1627 **2A.2.2.2 Quantitative Sensory Testing**

1628 **2A.2.2.2.1 Thermal detection and pain thresholds and the number of paradoxical**
1629 **heat sensations**

1630 Cold and warm detection thresholds were measured first (CDT, WDT), followed by
1631 thermal sensory limen (TSL), a procedure of alternating warm and cold stimuli, during which
1632 a measure of paradoxical heat sensations (PHS) was established; a phenomenon where gentle
1633 cooling is perceived as hot or burning (Magerl & Klein, 2006). Cold and heat pain thresholds
1634 were then determined (CPT, HPT). These tests measure A δ (A-delta) and C-fibre mediated
1635 warmth, heat and cold sensations.

1636 Baseline temperature of the thermode (9cm² contact area) was set to 32°C, with cut
1637 off of 50°C and -10°C. All thermal tests were performed using a Medoc Pathway Advanced
1638 Thermal Stimulator (ATS). All thresholds were obtained with ramped stimuli (1°C/s) that
1639 terminated when the subject pressed a button. For thermal detection thresholds the ramp
1640 back to baseline was 1°C/s, while pain thresholds returned to baseline at the maximum device
1641 capacity of 5°C/s.

1642 The final threshold for CDT and WDT was a mean value of three difference scores
1643 from baseline (for example, [WDT1-32+WDT2-32+WDT3-32]/3). The final threshold for
1644 TSL, was a mean of the difference value between the three pairs of temperatures i.e. (TSL1 -
1645 TSL2) + (TSL3-TSL4) + (TSL5-TSL6)/3. Both cold and warm pain was a mean value of the
1646 three threshold values (for example, [HPT1+HPT2+HPT3]/3). In addition to the TSL,
1647 participants were asked about paradoxical heat sensations the number expressed was
1648 recorded; that is whether the temperature was felt as cold, warm, hot or burning.

1649 **2A.2.2.2 Mechanical Detection Threshold**

1650 A standardised set of modified von Frey hairs (Opti-hair set, MARSTOCKnervtest)
1651 was used to measure mechanical detection threshold (MDT) i.e., touch sensibility mediated
1652 by A β fibres; by applying hairs to a uniform area of skin with a 1-2s contact time. Each hair
1653 has a small epoxy bead on a rounded tip in order to avoid nociceptor activation and exerts
1654 forces upon bending, between 0.25 and 512mN, graded by a factor of 2. Using “the method
1655 of limits”, five threshold determinations were made, each with a series of ascending and
1656 descending stimulus intensities. The final threshold was the geometric mean of these series.

1657 **2A.2.2.2.3 Mechanical Pain Threshold**

1658 Seven weighted mechanical pinprick stimulators (MRC systems) with fixed stimulus
1659 intensities that exert forces of 8, 16, 32, 64, 128, 256 and 512mN were applied to a contact
1660 area, with a 2s contact time, in order to measure mechanical (pinprick) sensory functions.
1661 Using “the method of limits”; stimulators were applied in an ascending order until the first
1662 percept of sharpness was reached, followed by a descending order until the first blunt percept
1663 was reached, five threshold determinations were made, each with a series of ascending and
1664 descending stimulus intensities. The final threshold was the geometric mean of these series.

1665 **2A.2.2.2.4 Stimulus/response Functions: Mechanical Pain Sensitivity (MPS) for**
1666 **Pinprick Stimuli and Dynamic Mechanical Allodynia**

1667 To obtain a stimulus-response function for pin prick evoked pain (MPS), the same
1668 seven set of pinprick stimulators were used (the heaviest pinprick force was about eight times
1669 the mean mechanical pain threshold). Participants were asked to give a pain rating for each
1670 stimulus on a 0-100 numerical rating scale: 0 indicating no pain, 100 indicating the most
1671 intense pain imaginable. This test detects pin prick hyperalgesia, a dysfunction of A β fibres.
1672 Inserted in between the pinprick stimuli, in order to obtain a measure of dynamic mechanical
1673 allodynia (DMA; a triggering of a pain response from stimuli which do not normally provoke
1674 pain, representing an increased response of neurons), a set of three light tactile stimulators of
1675 moving innocuous stimuli; cotton wisp exerting a force of 3mN, a q-tip exerting a force of
1676 100mN and a standardized brush exerting a force of 200-400mN (Somedic, Sweden) were
1677 applied, each with a single stroke, 2cm in length.

1678 A total of 50 stimuli; 15 tactile and 35 pinpricks, were delivered with the participant
1679 giving numerical ratings for each stimulus. These stimuli were presented in runs of 10,

1680 pseudo random sequences, each consisting of three tactile and seven pinprick stimuli, each
1681 with a 10s interval (below the critical frequency for wind-up).

1682 MPS was calculated as the geometric mean of all numerical ratings for pinprick
1683 stimuli, while DMA was the geometric mean of all rating for all three of the light touch
1684 stimulators.

1685 **2A.2.2.2.5 Wind-up Ratio**

1686 To establish a measure of wind-up ratio, a test of temporal summation (WUR; a
1687 frequency dependent increase in excitability of spinal cord neurons), the perceived intensity
1688 of a single 256mN pinprick stimulus was compared with that of a series of 10 repetitive
1689 stimuli of the same physical pinprick intensity (256mN, 1/s applied within an area of 1cm²).
1690 Participants gave a numerical pain rating representing the single stimulus, and then an
1691 estimated mean over the whole series of 10, using a 0-100 numerical rating, as described
1692 above. The whole procedure was then repeated five times. The wind-up ratio was calculated
1693 as the ratio of the mean of the five series divided by the mean of the five single stimuli.

1694 **2A.2.2.2.6 Vibration Detection Threshold**

1695 Vibration detection threshold (VDT) was performed with a tuning fork (64Hz, 8/8
1696 scale) placed over the bony premise of the wrist (processus styloideus ulnae). VDT was
1697 determined with three series of descending stimulus intensities; measured by the number on a
1698 scale of 8, at which the stimulus ceased to be felt (8 meaning no vibration stimuli = 0Hz).
1699 The threshold is then the mean of three stimulus repetitions and evaluates vibration sensation
1700 mediated by A β fibres.

1701 **2A.2.2.2.7 Pressure Pain Threshold**

1702 The pressure pain threshold (PPT) was performed over the thenar eminence (muscle
1703 on the palm of hand at the base of the thumb) with a handheld pressure algometer (Somedic)
1704 with a 1cm² probe area. This can exert forces up to 2000kPa. The threshold was determined
1705 with three ascending stimulus intensities, each applied as a slowly increasing ramp of
1706 50kPa/s, until participants report a painful sensation. This evaluates pressure pain sensation
1707 mediated by A δ and C-fibres.

1708 **2A.2.2.3 Additional Tests**

1709 **2A.2.2.3.1 Electrical Pain**

1710 Electrical pain was performed on the ventral side of the forearm, over the median
1711 nerve, using a high voltage (HV) current stimulator (DS7A, Digitimer), which allows
1712 currents up to 1A with a maximum pulse duration of 200 μ s. Participants received stimuli in
1713 an ascending order (1mA) until the first percept of pain was reached, followed by a
1714 descending order (1mA) until the first non-painful electrical percept was reached. The final
1715 threshold was determined as the geometric mean of three series of ascending and descending
1716 stimuli. Additionally, the data was logarithmically transformed.

1717 **2A.2.2.3.2 Cold Pressor Test**

1718 A custom built cold pressor (Dancer Design), which maintains water in a stimulus
1719 tank at a predefined temperature (2°C) was used to measure cold pain threshold and
1720 tolerance. A control unit containing a temperature controller drives water taken from a
1721 reservoir of ice-water (maintained at 0°C) through the stimulus tank at a controlled rate.
1722 Water extracted from the stimulus tank is returned to the reservoir tank to be cooled by the
1723 ice. In the control unit two control mechanisms operate in parallel. The first is governed by

1724 the temperature controller, which activates the drain pump to extract water from the tank at a
1725 rate determined by the difference between the actual water temperature (as measured by the
1726 thermistor) and the requested water temperature; 2°C in this instance, therefore maintaining
1727 the requested temperature to within 0.10°C. The second mechanism is governed by the PLC,
1728 which maintains the water level in the stimulus tank by activating the fill pump and valve at a
1729 rate determined by the level of the water in the tank (as measured by the water level sensor).

1730 Pain threshold is defined as the elapsed time between arm immersion and the first
1731 report of a pain sensation. Pain tolerance is defined as the elapsed time until voluntary
1732 withdrawal of the hand. Since the Cold pressor test induces pronounced sympathetic
1733 activation and vasoconstriction, the maximum duration of limb immersion was set at 3
1734 minutes.

1735 **2A.2.2.3.3 Avoidance Scores for Pinprick Stimuli including Stimulus/response**
1736 **Function (MPS/DMA)**

1737 To gain a measure of avoidance for MPA, DMA and WUR stimuli, participants were
1738 asked to rate how much they would like to avoid feeling any stimulus that was given a pain
1739 rating (a value above 0). This would provide an explicit measure of the subjective experience
1740 of the individual that extends beyond the implicit experience of the stimuli. Avoidance was
1741 rated using the same scale as the aforementioned QST parameters of 0 to 100; 100 being
1742 “would never like to experience the stimulus again”. MPS avoidance was calculated as the
1743 geometric mean of all numerical avoidance ratings for pinprick stimuli, while DMA
1744 avoidance was the geometric mean of all avoidance ratings corresponding to the static
1745 stimuli. The wind-up ratio avoidance was calculated as the ratio of the mean of the five
1746 series avoidance ratings divided by the mean of the five single stimuli avoidance ratings.

1747 **2A.2.3 Procedure and Design**

1748 Each participant firstly answered the Autism-Spectrum Quotient (AQ; (Baron-Cohen
1749 et al., 2001). This was followed by the PCS, PASS and the ASI; known constructs to affect
1750 pain responses. Then participants underwent the QST battery developed by The DFNS
1751 (Rolke, Baron, et al., 2006). This standardized battery provides a sensory profile that consists
1752 of 13 parameters grouped into the following categories:

- 1753 • Thermal detection and pain thresholds and the number of paradoxical heat sensations
- 1754 • Mechanical detection threshold
- 1755 • Mechanical pain threshold
- 1756 • Stimulus/response-functions: mechanical pain sensitivity for pinprick stimuli and
1757 dynamic mechanical allodynia
- 1758 • Wind-up ratio representing the perceptual correlate of temporal pain summation
- 1759 • Vibration detection threshold
- 1760 • Pressure pain threshold

1761 These tests were always performed in the same order outlined in section [2A.2.2.2](#) and
1762 as recommended by The DFNS (Rolke, Baron, et al., 2006). Each participant received the
1763 same standardised set of instructions for each test, as described by The DFNS investigator
1764 brochure (see [Appendix C](#) for instructions). All tests were carried out on the dorsum of the
1765 right hand, with the exception of vibration and pressure pain (discussed in section [2A.2.2.2.6](#)
1766 and [2A.2.2.2.7](#)).

1767 Three further measures were used: electrical pain, two-point discrimination and a cold
1768 pressor test. Similar standardised instructions were developed based on DFNS instructions
1769 and given to each participant (see [Appendix C](#)). Tests were also performed in the order
1770 outlined in section [2A.2.2.3](#).

1771 **2A.2.4 Data Evaluation**

1772 In order to assess differences in sensory tests across different levels of AQ traits that
1773 mirrored the structure of the AQ questionnaire. In terms of a low AQ, an average AQ, above
1774 average AQ and high AQ traits group. Participants were split into quantiles using the scores
1775 from the AQ. This also facilitated addressing the impact of unequal group sizes impacting on
1776 findings via unequal variances between samples, particularly as ANOVA was being utilised
1777 for analysis.

1778 For pinprick (MPS) and light touch (DMA), as well as their corresponding avoidance
1779 measures, a small constant (+0.1) was added prior to log-transformation to avoid a loss of
1780 zero-rating values (Bartlett, 1947; Magerl et al., 1998). All data except PHS, CPT, HPT, and
1781 VDT were logarithmically transformed. To compare a patient's QST data profile with
1782 control data, independent of the different units of measurement, patient data were z-
1783 transformed by subtracting the mean value of the corresponding published QST reference
1784 value followed by a division by the respective standard deviation; for each QST parameter
1785 using the following expression:

1786
$$Z\text{-score} = (X_{\text{single participant}} - \text{Mean}_{\text{norms}}) / \text{SD}_{\text{norms}};$$

1787 This procedure meant that not only were known effects of gender, age and site
1788 controlled for, but also that we could compare our participants to The DFNS reference data.
1789 Additionally, it results in a QST profile where all parameters are presented as standard
1790 normal distributions. For clarity and ease, in order to think in terms of gain or loss of
1791 function, the algebraic sign of Z-score values was adjusted so that it would reflect a
1792 participant's sensitivity to this parameter. Z-values above "0" indicate a gain of function,
1793 when the patient is more sensitive to the tested stimuli, while a scores below "0" indicate a
1794 loss of function referring to a lower sensitivity. Thus CDT, WDT, TSL, HPT, MDT, MPT,

1795 VDT and PPT required reversing, whereas CPT, MPS, DMA and WUR did not. For PHS
1796 and DMA it is a priori impossible to assess a pathological reduction, since these signs are
1797 normally absent in a healthy population. If the resulting Z-score exceeds 1.96, it is outside
1798 the 95% confidence interval of the standard normal distribution with zero mean and unit
1799 variance, independent of the original units of measurement.

1800 All statistical calculations were performed with SPSS. Differences between groups
1801 were compared using multivariate analysis of variance (MANOVA), followed with post-hoc
1802 protected ANOVAs for all QST parameters. QST data were retransformed, and raw values
1803 are presented as mean \pm SD to ease understanding. Where values are presented as Z-scores
1804 figures and tables' state as such. Group differences for the additional sensory tests were
1805 compared using analysis of variance (ANOVA), followed with post hoc pairwise
1806 comparisons; values for these are presented as mean \pm SD.

1807 **2A.3 Results**

1808 It was possible to obtain all QST data in 51 of 52 participants; a technical issue
1809 resulted in the loss of one individual's thermal parameters. In three cases WUR, and in six
1810 cases WUR avoidance scores, could not be calculated because the denominator (mean rating
1811 for the single stimulus) was zero. One participant's wind-up ratio could not be calculated
1812 because despite using the predefined pin prick (256mN) no feeling of pain was reported
1813 (score of zero for all stimuli), as well as no desire for avoiding the stimulus (score of zero).
1814 An additional 6 individuals also reported no avoidance scores for WUR.

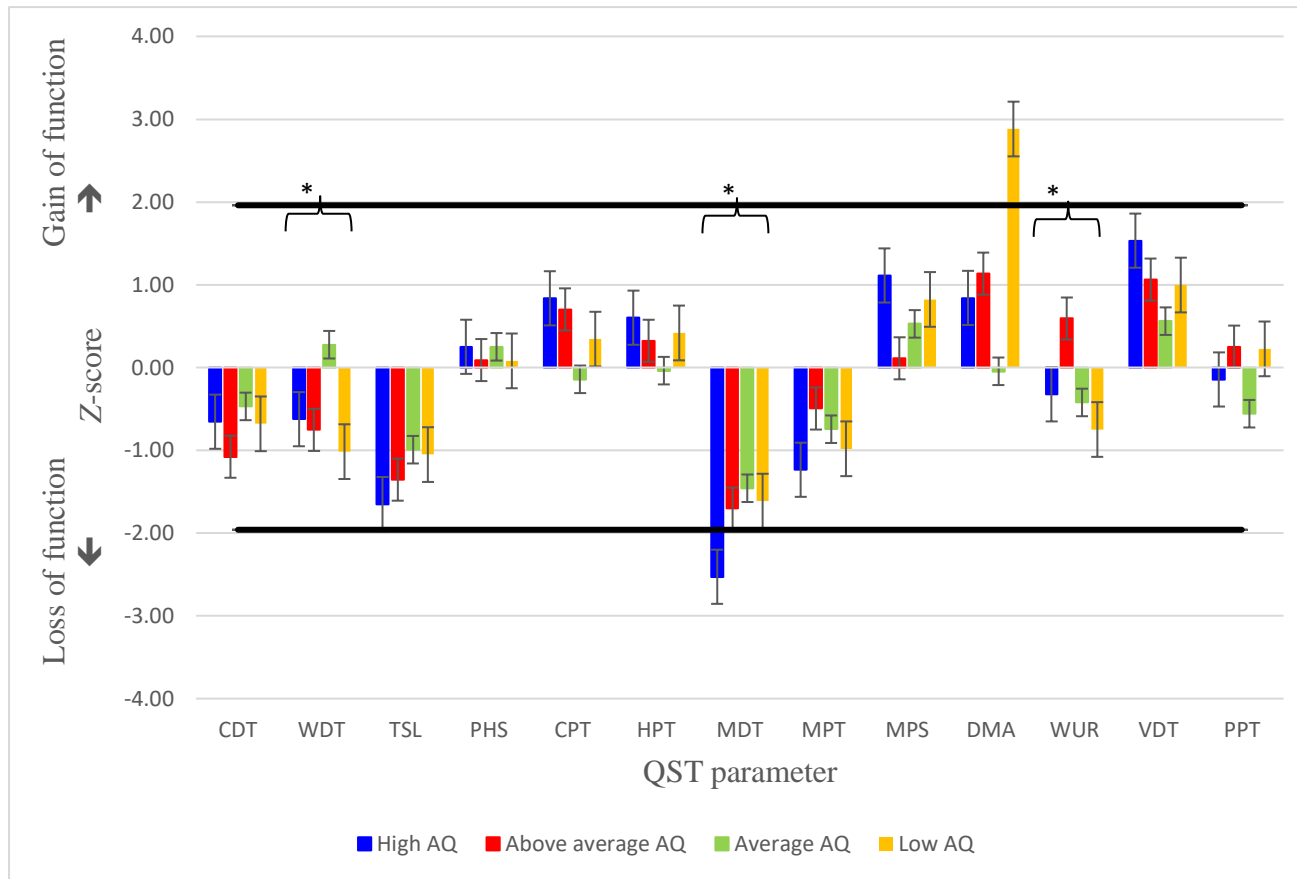
1815 **2A.3.1 QST Reference Data between Groups**

1816 An initial MANOVA examined group differences for QST parameters. A significant
1817 multivariate effect was obtained, Pillai's trace $V=1.38$, $F(39,102) = 2.23$, $p = .001$. As shown

1818 in figure 2, separate univariate ANOVA's revealed significant group differences for WDT
1819 $F(3,44) = 5.802, p = .002, \eta_p^2 = .283$, MDT $F(3,44) = 3.559, p = .022, \eta_p^2 = .195$ and WUR
1820 $F(3,44) = 3.137, p = .035, \eta_p^2 = .176$

1821 **Figure 2.**

1822 *Mean Z-scored data of all 13 QST parameters for High, Above average, Average, and Low AQ groups*



1823

1824 *Note.* This figure demonstrates the Z-score data for each AQ (Autism Quotient) group across all 13 QST parameters including standard error bars. * Indicates significant
1825 group differences. Any column that extends outside the 95% confidence interval of the normal distribution of healthy subjects (=area between the black lines) signifies
1826 sensory changes. Cold Detection Threshold (CDT), Warm Detection Threshold (WDT), Thermal Sensory Limen (TSL), Paradoxical Heat Sensations (PHS), Cold Pain
1827 Threshold (CPT), Heat Pain Threshold (HPT), Mechanical Detection Threshold (MDT), Mechanical Pain Threshold (MPT), Mechanical Pain Sensation (MPS), Dynamic
1828 Mechanical Allodynia (DMA), Wind-Up Ratio (WUR), Vibration Detection Threshold (VDT) and Pressure Pain Threshold (PPT).

1829 A series of post hoc Tukey analyses was performed to examine group comparisons
1830 across all four AQ groups and WDT, MDT, and WUR. Results revealed, in the first instance,
1831 that the ‘average AQ’ group required a significantly lower temperature ($M = 33.486^{\circ}\text{C}$) to
1832 detect warmth than all other groups ($p < .05$; ‘high AQ’ $M = 34.256^{\circ}\text{C}$, ‘above average AQ’
1833 $M = 35.031^{\circ}\text{C}$ and ‘low AQ’ $M = 35.136^{\circ}\text{C}$).

1834 Secondly, they revealed that individuals in the ‘high AQ’ group required a
1835 significantly ($p < .05$) greater force ($M = 8.280\text{mN}$) to detect light touch compared to those in
1836 the ‘average AQ’ group ($M = 2.537\text{mN}$) but did not significantly differ to those in the ‘low
1837 AQ’ ($M = 4.796\text{mN}$) or above average AQ groups ($M = 5.050\text{mN}$).

1838 Lastly, the increase in intensity for a 10 series train relative to a single pinprick
1839 stimulus of 256mN (WUR) was significantly greater ($p < .05$) in the ‘above average AQ’ (M
1840 $= 3.186$) group compared to the ‘low AQ’ group ($M = 1.614$). They did not significantly
1841 differ ($p > .05$) to either the ‘high AQ’ ($M = 2.321$) or the ‘average AQ’ ($M = 2.012$) groups
1842 (see table 2 for descriptives).

1843 **Table 2:**

1844 *Untransformed data values of QST parameters given for each AQ quartile group*

Parameter	High AQ	Above average AQ	Average AQ	Low AQ	p value	Effect size
QST parameter						
CDT (°C)	30.400 (0.653)	28.523 (3.658)	28.038 (8.465)	29.790 (2.468)	.686	$\eta_p^2 = .036$
WDT (°C)*	34.256 (0.601)	35.031 (1.350)	33.486 (0.468)	35.136 (1.833)	.001	$\eta_p^2 = .336$
TSL (°C)	7.438 (8.067)	7.705 (5.281)	4.413 (2.776)	5.275 (2.820)	.150	$\eta_p^2 = .123$
PHS (n)	0.230 (0.832)	0.31 (0.630)	0.230 (0.832)	0.080 (0.277)	.397	$\eta_p^2 = .071$
CPT (°C)	18.031 (8.432)	16.100 (8.599)	11.085 (10.808)	15.887(10.705)	.183	$\eta_p^2 = .113$
HPT (°C)	42.531 (2.736)	43.974 (2.747)	40.637 (12.453)	41.962 (4.723)	.295	$\eta_p^2 = .087$
MDT (mN)+*	8.280 (10.430)	5.050 (5.407)	2.537 (1.643)	4.796 (7.734)	.034	$\eta_p^2 = .193$
MPT (mN)+	41.927 (34.044)	87.240 (84.647)	88.427 (191.873)	1.965 (4.652)	.728	$\eta_p^2 = .032$
MPS (PR)+	7.538 (15.033)	2.314 (5.630)	2.793 (3.181)	6.241 (10.875)	.720	$\eta_p^2 = .033$
DMA (PR)+	0.182 (0.296)	0.183 (0.275)	0.104 (0.011)	1.965 (4.652)	.592	$\eta_p^2 = .046$
WUR (PR)+*	2.321 (2.136)	3.86 (2.852)	2.012 (0.832)	1.614 (0.359)	.028	$\eta_p^2 = .201$
VDT (/8)	7.013 (1.090)	7.154 (1.059)	7.487 (0.538)	7.359 (0.855)	.841	$\eta_p^2 = .020$
PPT (kPa)+	477.077 (257.317)	438.974 (130.282)	475.309 (175.208)	389.333 (146.754)	.426	$\eta_p^2 = .067$
Additional Sensory Tests						
CP threshold (s)	8.649 (6.022)	12.499 (6.156)	11.021 (5.161)	12.448 (12.616)	.586	$\eta_p^2 = .037$
CP tolerance (s)	35.982 (44.776)	52.095 (43.231)	40.777 (31.898)	41.604 (48.864)	.804	$\eta_p^2 = .020$
Elect (mA)	1.960 (0.734)	4.999 (2.920)	3.723 (2.896)	6.305 (1.878)	.027	$r = .324$

1845 *Note.* Group raw data values for each QST parameter and additional sensory tests given as mean (SD) to aid understanding in terms of their actual unit of measurement i.e.,
 1846 temperature in Celsius.

1847 All p values and effect sizes given for QST parameters are for the inferential statistics conducted on transformed data as discussed in the methods section.

1848 +values are presented as geometric means.

1849 * $p < .05$.

1850 † Non-parametric Mann-Whitney U conducted for these parameters as they did not meet assumptions, all other parameters met parametric assumptions and therefore
 1851 independent samples t-test conducted.

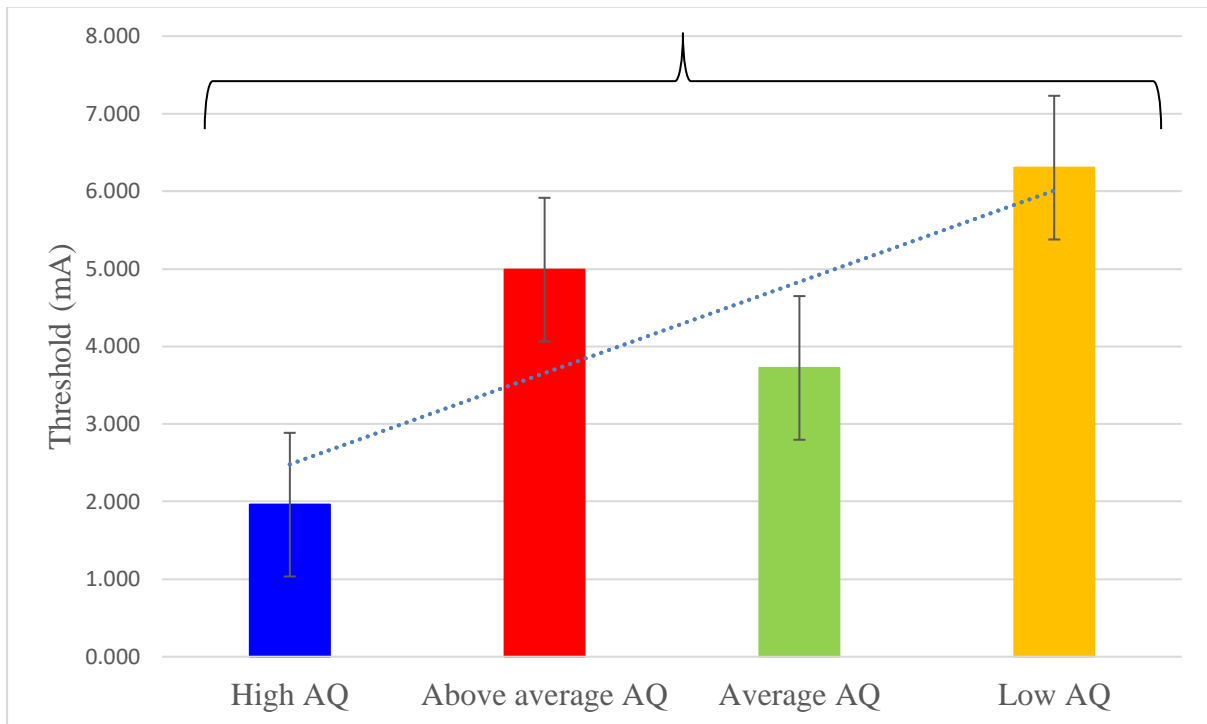
1852 Cold Detection Threshold (CDT), Warm Detection Threshold (WDT), Thermal Sensory Limen (TSL), Paradoxical Heat Sensations (PHS), Cold Pain Threshold (CPT), Heat
 1853 Pain Threshold (HPT), Mechanical Detection Threshold (MDT), Mechanical Pain Threshold (MPT), Mechanical Pain Sensation (MPS), Dynamic Mechanical Allodynia
 1854 (DMA), Wind-Up Ratio (WUR), Vibration Detection Threshold (VDT), Pressure Pain Threshold (PPT), Cold Pressor (CP) and Electrical (Elect).

1855 **2A.3.2 Additional Sensory Tests**

1856 With the exception of electrical pain where 17 participants reached the maximum
1857 current of the machine without reporting pain, all data values were obtained. There was no
1858 significant group differences for cold presser threshold ($F(3,51) = .652, p = .586, \eta^2 = .037$)
1859 or tolerance ($F(3,51) = .329, p = .804, \eta^2 = .020$). Electrical pain data did not meet the
1860 assumption of homogeneity $F(3,31) = 8.173, p = .000$, therefore non-parametric equivalent
1861 was conducted; Kruskal-Wallis test. Electrical pain threshold was significantly affected by
1862 AQ group $H(3) = 8.601, p = .027$. Jonckheere's test revealed a significant trend in the data
1863 (see figure 3); as AQ scores increased lower currents were required to achieve a pain
1864 threshold, $J = 286.00, z = 1.932, r = 0.327$ (representing a medium effect). The group
1865 differences are depicted in figure 3; the thresholds were lowest in the 'high AQ' group ($M =$
1866 1.960mA) and highest in the 'low AQ' group ($M = 6.305\text{mA}$). However, the largest number
1867 of individuals who reported no pain for electrical stimulation resided in the 'high AQ' group
1868 ($n = 9$).

1869 **Figure 3.**

1870 *Mean electrical pain threshold values (mA) for High, Above average, Average, and Low AQ*
1871 *groups*



1872

1873 *Note.* Group data for electrical pain threshold given as milliamps (mA). Including standard error bars and trend
1874 line, representing that as Autism Quotient (AQ) traits increased lower current were required to achieve a pain
1875 threshold. * indicates significant group differences.

1876 **2A.3.3 Avoidance Scores for Pinprick Stimuli including Stimulus/response Function**
1877 **(MPS/DMA)**

1878 A non-significant MANOVA showed that there were no group differences for MPS,
1879 DMA and WUR avoidance scores, Pillai's trace $V=.213$, $F(9,111) = .942$, $p = .492$. Follow-
1880 up univariate ANOVAs were also non-significant to the value $p>.05$. Correlational analysis
1881 between QST parameters and respective avoidance scores were significant. As QST pain-
1882 rating value increased so did the desire to avoid feeling, the stimuli (see table 3).

1883 **Table 3:**

1884 *Correlation matrix for QST parameters and matching self-reported avoidance scores*

	1	2	3	4	5	6
1. MPS avoidance	1.00					
2. DMA avoidance	.465**	1.00				
3. WUR avoidance	-.279*	-.120	1.00			
4. MPS	.921**	.429**	-.338**	1.00		
5. DMA	.543**	.883**	-.173	.532**	1.00	
6. WUR	-.216	-.097	.766**	-.271*	-.132	1.00

1885 *Note.* Correlations between mechanical pain sensitivity (MPS), dynamic mechanical allodynia (DMA) and
1886 wind-up ratio (WUR) and the respective avoidance values. Note: ** $p < .001$, * $p < .05$.

1887 2A.3.4 QST Profiles of Z-transformed Data in Selected Participants

1888 Overall, there were a greater number of Z-scores that fell outside of the 95%
1889 confidence levels within the total sample than would be expected by chance (see figure 4; $n =$
1890 100, allocated to 43 of the 52 individuals). For a sample of this size, with 13 QST
1891 parameters, 95% confidence interval (CI) levels would estimate that 34 abnormal values
1892 would lie outside the 95% CI level of The DFNS reference data. This variance is driven by
1893 the larger number of abnormal Z-scores in the ‘high AQ’ and ‘above average AQ’ groups (n
1894 = 29 for each group, allocated to 12 of the 13 individuals in each group). In the ‘low AQ’
1895 there were 26 abnormal Z-scores, allocated to 9 of the 13 individuals in the group. The
1896 ‘average AQ’ group had only 16 abnormal Z-scores, which was allocated to 10 of the 13
1897 individuals in the group.

1898 Intra-individually, 95% CI dictates that one Z-score in the 13 QST parameters would
1899 potentially be outside this level, therefore only 26 of our participants are showing atypical
1900 QST profiles (where number of Z-scores outside the 95% CI ≥ 2). The number of
1901 individuals with Z-scores outside the CI level of The DFNS reference data, was split across
1902 the four AQ quartile groups, however, the number of Z-scores per individual varied between
1903 the groups (see table 4 for descriptive statistics).

1904 **Table 4:**

1905 *Number of participants with atypical QST patterns and the mean number of abnormal Z-*

1906 *scores of each participant*

	High AQ	Above average AQ	Average AQ	Low AQ	Total
No. of participants	7	6	6	7	26
Abnormal Z-scores	3.429 (1.134)	3.833 (0.983)	2 (0)	3.667 (1.397)	3.192 (1.201)
Range of abnormal Z-scores	2-5	3-5	2-2	2-6	2-6

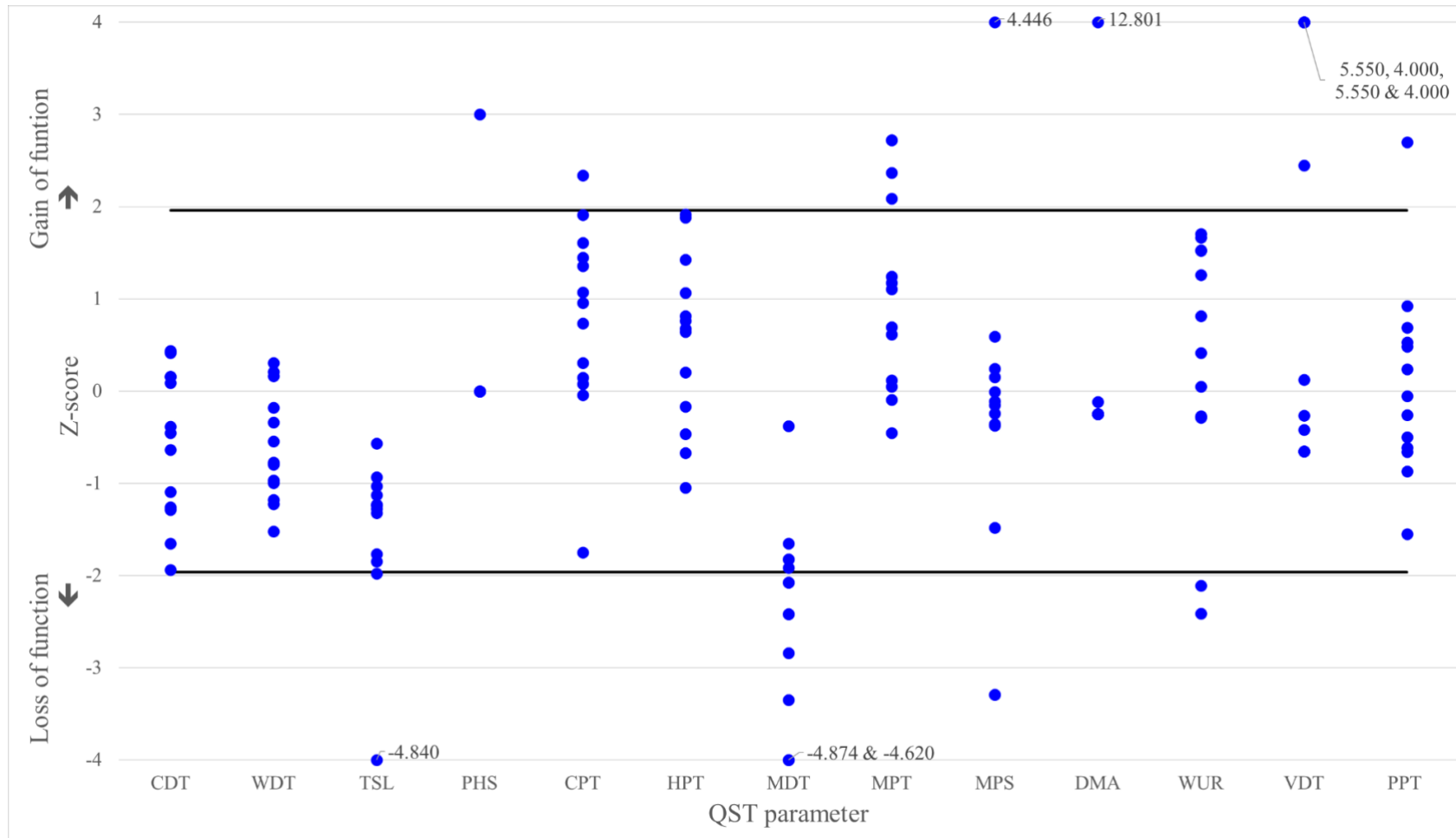
1907 *Note.* n = total number of participants in each group showing abnormal values (where number of abnormal
1908 values ≥ 2 ; i.e., are outside the 95% CI of the reference data). The number of abnormal values per individual in
1909 the groups is given as a mean \pm *SD*, and range. Autism Quotient (AQ).

1910 Furthermore, six participants showed sensory distinctive features in the form of
1911 paradoxical heat sensations; experiencing a warm, hot, or painfully hot sensation in response
1912 to the cold stimulation, that usually do not occur in healthy subjects. Another four
1913 individuals felt allodynia to non-painful stimuli, although no significant group differences
1914 were reported for either of these $F(3,44) = .268, p = .848, \eta_p^2 = .018$, and $F(3,44) = .787, p =$
1915 $.505, \eta_p^2 = .051$, respectively.

1916 **Figure 4.**

1917 *Adjusted Z-score values for each participant across all 13 QST parameters. This figure demonstrates the pattern of responses for individuals in*
1918 *each of the AQ groups; high, above average, average, and low AQ.*

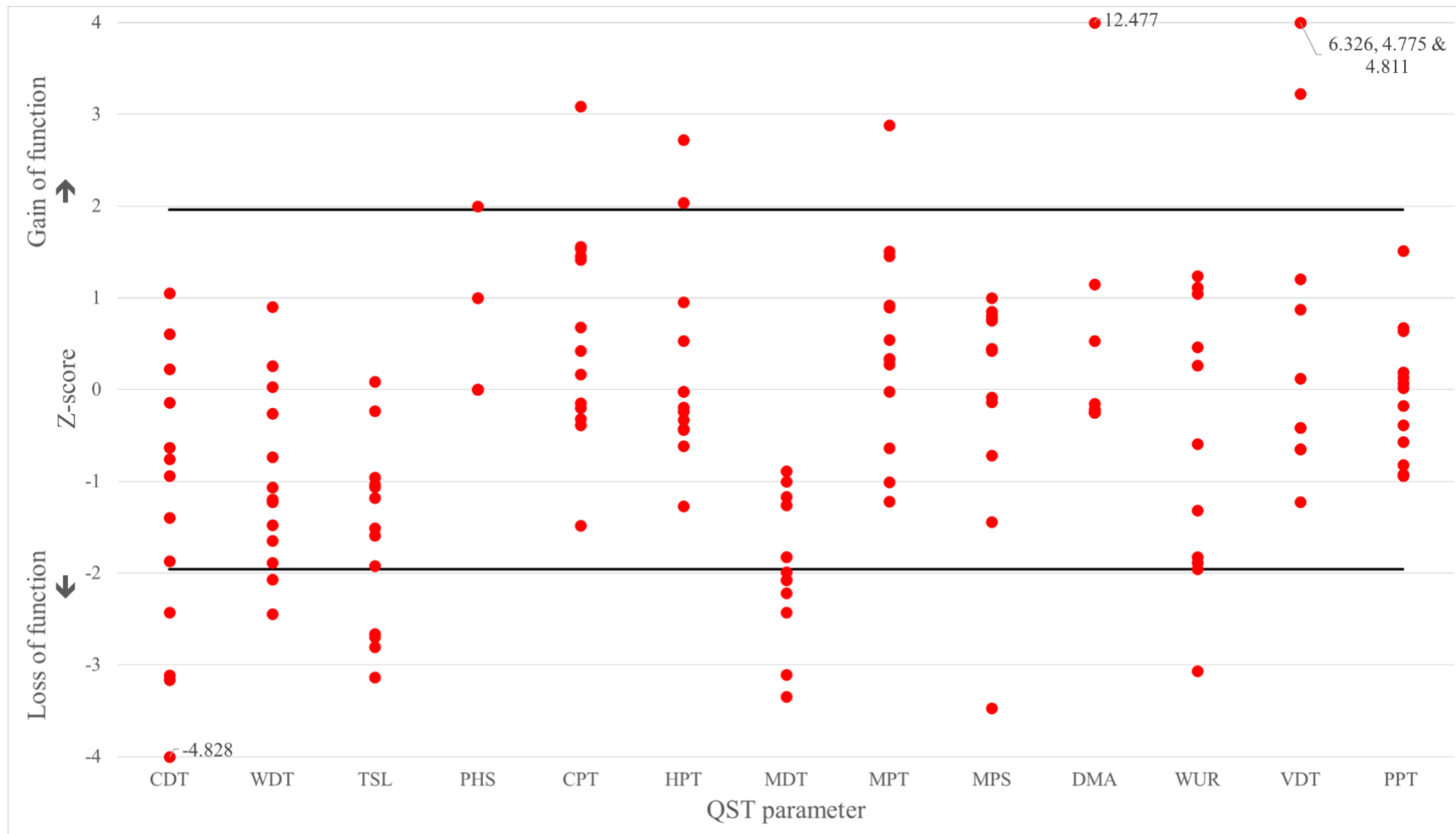
1919 **Fig 4A:** *Adjusted Z-scored individual QST profiles for those in the High AQ group*



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1921

Fig 4B: Adjusted Z-scored individual QST profiles for those in the Above average AQ group



1922

Fig 4C: Adjusted Z-scored individual QST profiles for those in the Average AQ group

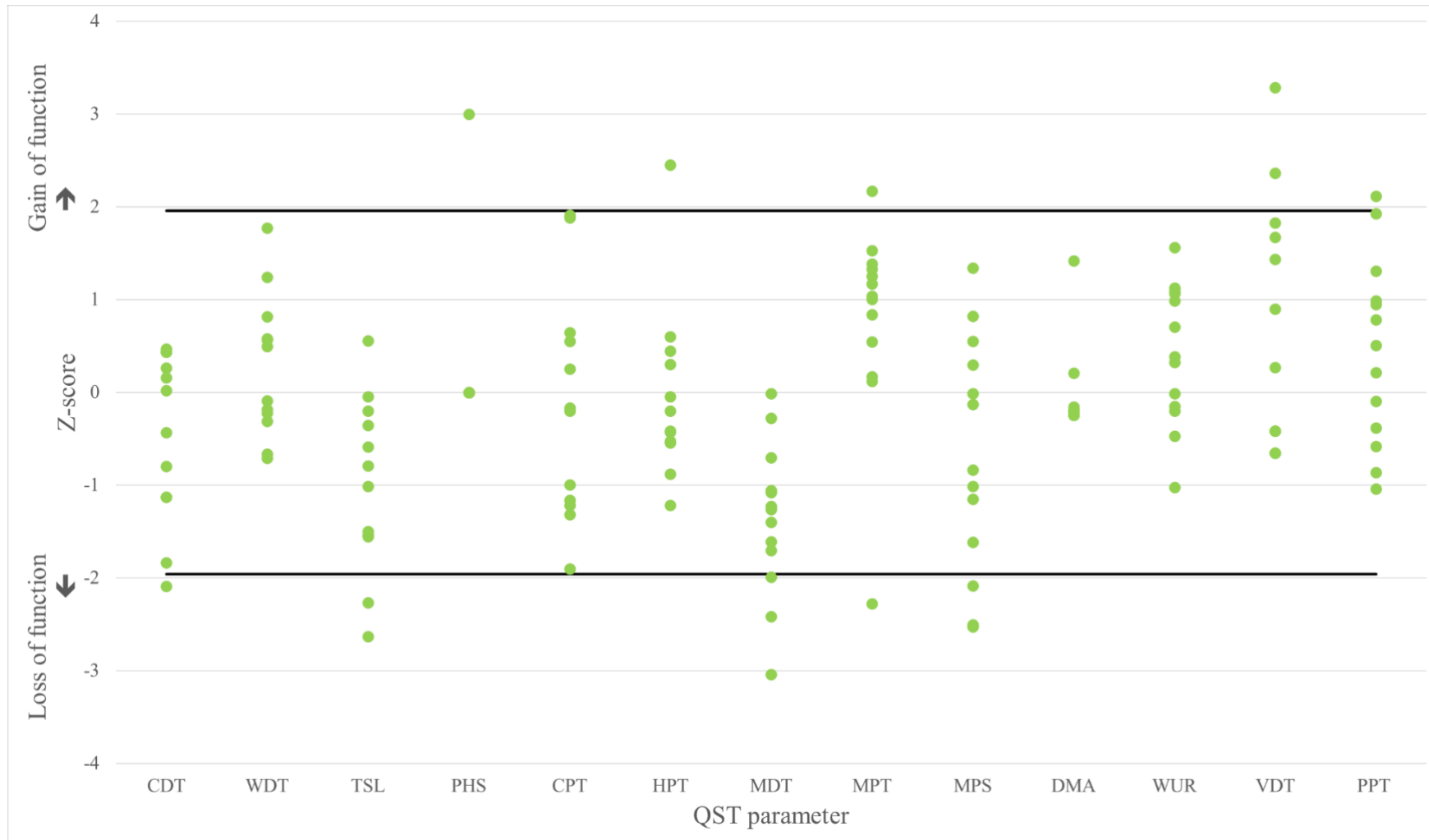
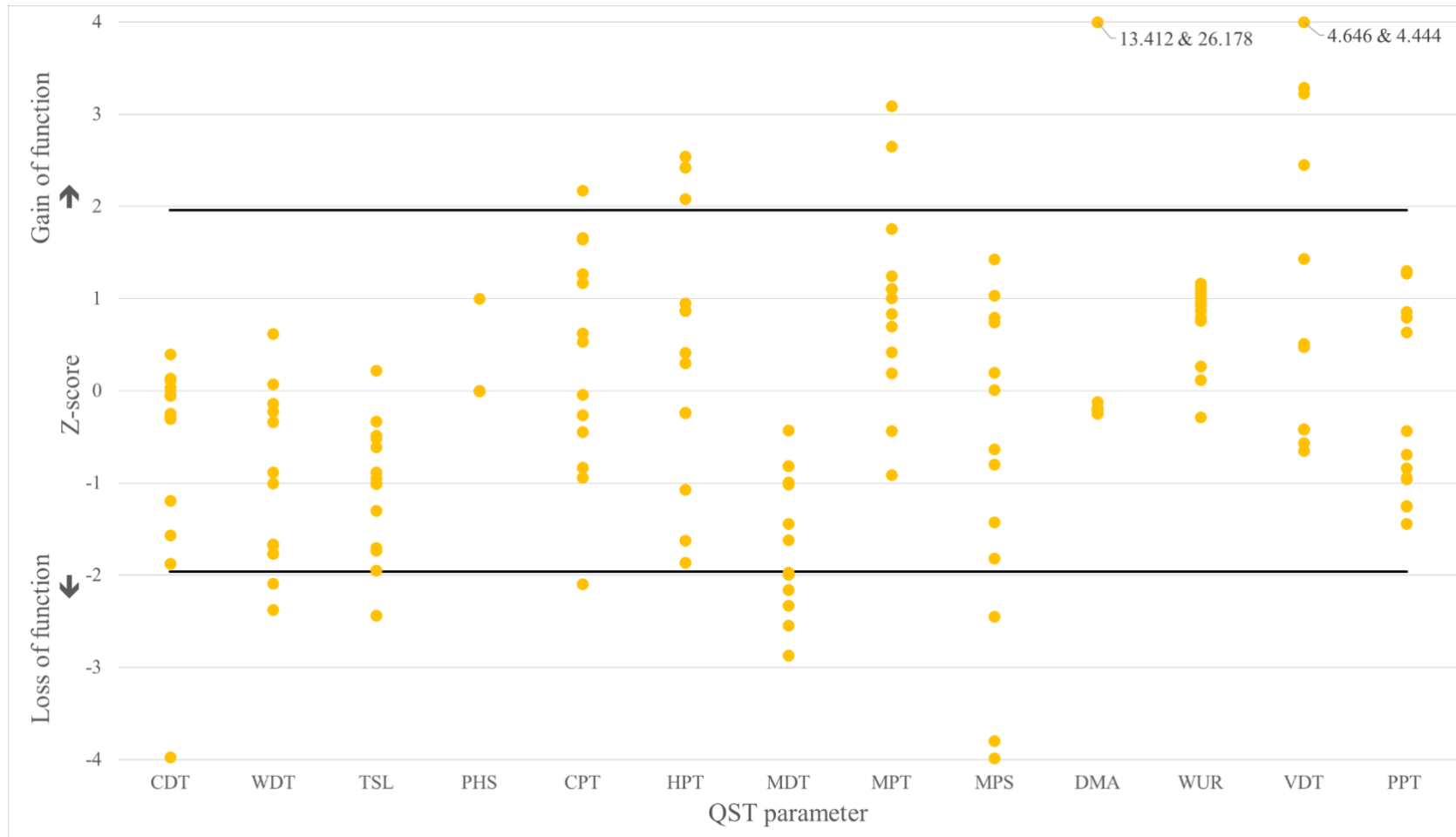


Fig 4D: Adjusted Z-scored individual QST profiles for those in the Low AQ group



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Note. Individual results of QST parameters are given as Z-scores split into AQ quartile groups. Any markers that extend outside the 95% confidence interval of the normal distribution of healthy subjects (=area between the black lines) signifies sensory changes. Cold Detection Threshold (CDT), Warm Detection Threshold (WDT), Thermal Sensory Limen (TSL), Paradoxical Heat Sensations (PHS), Cold Pain Threshold (CPT), Heat Pain Threshold (HPT), Mechanical Detection Threshold (MDT), Mechanical Pain Threshold (MPT), Mechanical Pain Sensation (MPS), Dynamic Mechanical Allodynia (DMA), Wind-Up Ratio (WUR), Vibration Detection Threshold (VDT) and Pressure Pain Threshold (PPT).

2A.4 Discussion

1932

1933 The current experiment investigated the utility of a battery for somatosensory
1934 perception in a sample of the general population. In order to determine use within a later
1935 clinically diagnosed sample, the general population were split by autistic trait severity. For
1936 this reason, and to allow the comparison to published norms, 52 adults, underwent the
1937 standardised and normed QST protocol (DFNS: Rolke, Baron, et al., (2006)). No observable
1938 consistent pathological QST pattern suggesting a defined nerve fibre dysfunction in relation
1939 to autistic trait severity, was found.

1940 Group differences were found, however for both warm detection threshold (WDT),
1941 mechanical detection threshold (MDT; von Frey filaments), wind-up ratio (WUR; pinprick
1942 stimuli) and electrical pain. Only, in the case of MDT did the threshold for high autistic traits
1943 group exceed that of the normal distribution of healthy individuals, as established by The
1944 DFNS (Backonja et al., 2013; Rolke, Baron, et al., 2006), indicating a clinically significant
1945 degree of sensory loss. A possible explanation of this sensory loss is atypical A β -fibre
1946 function, however considering normal Z-scores in other clinically related QST parameters –
1947 such as vibration – this must be interpreted with caution. Additionally, within a healthy
1948 population order effects across mechanical tests have been reported (Gröne et al., 2012),
1949 albeit with inconsistencies in which tests are affected. Findings for MDT are in line with
1950 Fründt et al., (2017) who similarly report a significant loss of function for mechanical
1951 detection using the same standardised testing from the QST battery, however this was in a
1952 clinical sample of autistic individuals. Supporting the notion that A β -fibre function is altered
1953 in ASD.

1954 Additionally, electrical pain thresholds were lowest in the ‘high AQ’ group and
1955 highest in the ‘low AQ’ group, adding a further confound to interpreting these findings.

1956 These findings also differ compared to both Yasuda et al., (2016) and Bird et al., (2010) who
1957 report normal electrical pain. However, these studies were conducted in clinical populations
1958 of ASD, site of stimulation differed, as did the range of stimulation applied. Yasuda et al.,
1959 (2016) used a similar method of limits though their stimulation range had a maximum upper
1960 limit of 256 μ A but did not mention the site of stimulation. Our lowest AQ group's pain
1961 threshold was 6.305mA which would suggest that their methodology was restrictive. Having
1962 a broader range of stimuli appears to have encapsulated significant group differences.
1963 Contrary to Bird et al., (2010) who stimulated the dorsum of the hand, site of stimulation in
1964 our experiment was the ventral forearm, directly accessing the median nerve (Backes et al.,
1965 2000; Burke et al., 1975; Kazamel & Warren, 2017; McGlone & Reilly, 2010). A potential
1966 explanation for the sensitivity observed here, is that the ASD group had greater startle
1967 potentiation to a negative stimulus as a result of the activation of the median nerve
1968 (Wilbarger et al., 2009). However, electrical pain is also known to affect the membrane
1969 potential of all cells leading to the activation of all receptors, resulting in a complex sensation
1970 (Lee et al., 2000), including A β -fibres at lower intensities and A δ and eventually c-fibres
1971 (Accornero et al., 1977; Inui & Kakigi, 2012). It is possible that in this instance that there
1972 was preferential activation of A β -fibres because the stimulus did not reach sufficient
1973 intensities to activate all (Accornero et al., 1977). In terms of a further psychophysical
1974 explanation for alterations in ASD of electrical pain threshold, further work is needed. Closer
1975 inspection of the drop out sample for electrical pain shows that the largest number of
1976 individuals who reported no pain resided in the 'high AQ' group, adding further difficulties
1977 to interpreting findings. Interestingly, a number of these individuals who dropped out for
1978 reporting no pain, had abnormal mechanical detection threshold values. This highlights the
1979 importance of measuring MDT in a clinical population of ASD, and that this might be a
1980 superior methodology to adopt. Findings indicate that there may be sub populations with

1981 different autistic traits that result in either hypo- or hyper-responsiveness to mechanical and
1982 electrical stimulation.

1983 A further phenomenon seen in individuals with abnormal MDT and electrocutaneous
1984 pain, was that of DMA. DMA is defined as the experience of perceiving pain from a
1985 tangential movement across the skin which is typically innocuous (Buonocore et al., 2016).
1986 In particular, the perceiving of an innocuous touch, such as gentle stroking, as aversive has
1987 been described in sensory over-responsivity research (Baranek et al., 1997; Green et al.,
1988 2016; Reynolds & Lane, 2008). This is a phenomenon which does not normally occur in
1989 individuals otherwise considered healthy, but which supports the idea of A β -fibre function
1990 abnormalities, as it has been attributed to the activation of these mechanoreceptors
1991 (Buonocore et al., 2016; Li et al., 2011). Central sensitization i.e., changes in signalling in
1992 the spinal cord (Campbell & Meyer, 2006), is commonly thought to underlie DMA
1993 (Gierthmühlen et al., 2012), as it is the increased response of neurons to stroking stimuli i.e.
1994 dynamic stimuli. Furthermore, participants with higher autistic traits reported greater
1995 intensity for wind-up ratio. Wind-up ratio refers to the progressive increase in the magnitude
1996 of evoked responses (Li et al., 1999). There is then an increase in the excitability of spinal
1997 cord neurons which arises due to slow temporal summation of evoked responses of C-fibres
1998 (Herrero et al., 2000; Li et al., 1999; Uhl et al., 2011). Wind-up ratio is also thought to lead
1999 to characteristics of central sensitization such as expansion of receptive fields and enhanced
2000 response to C-fibre stimulation (Li et al., 1999). It must be noted however, that this later
2001 finding was only the case for those in the above average AQ group. These findings, paired
2002 with the dearth of research considering central sensitization in autism show it to be an
2003 important factor to investigate further within autism, therefore highlighting the utility of
2004 investigating DMA and WUR in a clinical population.

2005 A notable limitation of this experiment is the use of the Autism Quotient to determine
2006 both autistic trait severity and to then split the groups based on this severity. Recently, the
2007 AQ and the replication of the proposed structure has come under scrutiny. To date, there
2008 have been several different suggestions for dimensions (Hurst et al., 2007; Kloosterman et al.,
2009 2011; Lau et al., 2013) from four (Stewart & Austin, 2009) to two (Hoekstra et al., 2007).
2010 With the two factor-model confirmed in a validation with a short form of the AQ (Hoekstra et
2011 al., 2007). Additionally, if autistic traits are a continuum, properties must be similar among
2012 those with and without ASD, however frequently psychometric analyses are based on non-
2013 ASD samples alone or general population studies where diagnosis of autism is not accounted
2014 for (for review see Lundqvist & Lindner, (2017); Ruzich et al., (2015)). The short form AQ
2015 has shown the same underlying traits in both groups (Murray et al., 2014) and more rigorous
2016 studies have shown similar findings for the AQ (Ketelaars et al., 2008). Additionally,
2017 although methods do differ in terms of the use of PCA to determine dimensions in more
2018 recent studies compared to the seminal piece by Baron-Cohen, the AQ has shown both high
2019 sensitivity and specificity in a referred sample of individuals being assessed for ASD with an
2020 identifying rate of 76% when a cut of score of 32 is used (Baron-Cohen et al., 2001). Within
2021 families genetically linked to ASD, the AQ has shown heritability (Hoekstra et al., 2007). In
2022 future studies, aiming to gain a measure of autistic trait severity for research purposes, to
2023 confirm diagnosis and to check for group differences between controls, the AQ is still a
2024 sufficient measure to use. Secondly, as order effects have been reported for the QST battery
2025 wherein an increased mechanical perception is the result of preceding thermal testing (as in
2026 The DFNS standardised protocol), the battery order may be problematic (Gröne et al., 2012).
2027 However, results for this finding are inconsistent across the mechanical modality and to date
2028 has only been investigated in healthy individuals. Utilising the standard protocol rather than
2029 amending it for use in a clinical population, will allow for comparisons of results to the

2030 published norms and other studies that have utilised this battery; showing that there is utility
2031 in this protocol order. Lastly, although the BAP offers valuable insight into plausible genetic
2032 and neurobiological pathways and has shown candidate traits including language delay and
2033 social deficits that map onto clinical traits of ASD (Sucksmith et al., 2011). It is not a
2034 substitute for studies in clinical populations of ASD. The nuances and range of clinical traits
2035 in ASD that differ to those currently thought to belie BAP (Sucksmith et al., 2011), alongside
2036 the heterogeneity of ASD means it is important to conduct such tests in clinical samples.

2037 To conclude, there was no evidence towards a systematic alteration suggesting
2038 underlying dysfunction in somatosensory modalities linked to autism trait severity. Electrical
2039 pain stimulation may not be a useful test due to the complexity of activation and therefore
2040 may not be suitable in a clinical sample of ASD. QST, is a useful and appropriately sensitive
2041 battery to use in a clinical population, particularly to investigate the role of central
2042 sensitization alongside A β -fibre function using appropriately more sensitive tests. There is
2043 further utility in this battery in that it can provide a comparison to published norms, which
2044 will result in clearer comparisons to clinically significant thresholds over and above the
2045 traditional group comparison.

2046 **Chapter 2B. A Quantitative Sensory Testing Approach to Pain in**
2047 **Autism Spectrum Disorders**

2048
2049 The following Experiment 2 has been published in The Journal of Autism and
2050 Developmental Disorders (see Appendix A) and is presented in line with the Author
2051 Archiving and Re-Use guidelines, namely that it is verbatim to the published work.
2052 Upon request by the examiners, this also includes some minor additions.

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Chapter 2B. Experiment 2

2B.1.2 Rationale

This experiment will similarly employ the standardised battery, conducting an independent replication of (Fründt et al., 2017) and utilise the published normative reference values (Rolke, Magerl, et al., 2006) as they provide a determinant of sensory loss and gain that supersedes the standard group differences analysis - meaning clinically significant sensitivities in ASD can be determined. Furthermore, this battery was extended to include a measure of pain tolerance and central pain processes, utilising the cold pressor test (von Baeyer et al., 2005) and Conditioned Pain Modulation (CPM; Yarnitsky et al., (2015)), respectively. Including tolerance allows a wider range of psychophysics to be measured; threshold (the minimum intensity of a stimulus that is perceived as painful), suprathreshold (increases the frequency of nociceptive messages) to tolerance (the maximum intensity of a pain-producing stimulus that a subject is willing to accept in a given situation (Chapman et al., (1985); *IASP Terminology - IASP*, (2017))). Tolerance also includes additional components such as pain motivation; to quantify said motivation; self-reported desires to avoid pain were measured. CPM represents one type of central pain process; that of descending spinal modulation, that although not currently tested in ASD populations, is a paradigm easily implemented in a laboratory setting. It is a process whereby one noxious stimulus inhibits the perception of a second noxious stimulus, where greater reductions in pain are thought to reflect greater pain inhibitory capacity (Martel et al., 2013; Nir & Yarnitsky, 2015). The addition of each will give insight into tolerance, pain motivation, and central pain processes in ASD.

2B.2 Methods

2B.2.1 Participants

Twenty-six adults (14 males) covering an age range between 18 and 52 years were recruited ($M = 27.15$, $SD = 8.50$) to this case-control experiment. ASD participants were recruited from a specialist diagnostic service within a local hospital trust and had received a diagnosis based on the DISCO (Diagnostic Interview for Social and Communication Disorders) and/or ADOS (Autism Diagnostic Observation Schedule) from a trained clinician. Diagnosis letters were obtained from participants where possible, which confirmed diagnosis and IQ values >70 . Those suffering from chronic pain, eczema, epilepsy, or asthma were excluded. Additionally, any with a reported history of a psychiatric disorder or learning disability were excluded. Thus, 13 ASD participants were included in the experiment; there were seven males and six females with a mean age of 27.22 years ($SD = 9.19$). No participant reported any medication use for depression or anxiety, although one reported the use of Amlodipine and one reported the use of Lansoprazole.

Thirteen control participants without a diagnosis of ASD were recruited through advertisement, selected to match each autistic individual on age ($M = 27.08$, $SD = 8.129$) and gender (7 males). All were subject to the same exclusion/inclusion criteria above. Although not explicitly matched on IQ, the control group were from the general population, suggesting $IQ > 70$. All participants in both groups were without pain medication or alcohol at least 24 hours before the investigation.

As groups ($n = 13$ per group) were age and gender matched they did not significantly differ; $t(22) = -.045$, $p = .964$ and $\chi^2(1) = 0$, $p = .652$, respectively. As expected groups had significantly different AQ score (Autism Quotient: (Baron-Cohen, Wheelwright, Skinner,

2098 Martin, & Clubley, 2001) scores, $t(24) = -6.003$, $p = .000$, with the ASD group scoring higher
 2099 (see table 5 for descriptive statistics).

2100 **Table 5:**

2101 *Characteristics and questionnaire results of ASD and control group*

Characteristic		ASD	Controls	Total
No. of participants		13	13	26
No. of participants with	ASD	1	-	1
	HF autism	2	-	2
	Asperger's	10	-	10
Age		27.22 ± SD 9.19	27.08 ± SD 8.13	27.15 ± SD 8.50
Gender	Female	6	6	12
	Male	7	7	14
Autism Quotient (AQ)		32.00 ± SD 6.58	15.38 ± SD 7.50	23.69 ± SD 10.94

2102 *Note.* All values are given as mean ± SD. * $p < .05$. HF (high functioning) and ASD (Autism Spectrum Disorder).

2103 The experiment was approved by Liverpool John Moores Ethics Committee (REC ref:
 2104 15/NSP/023) and NHS Health Research Authority ethics committee (Ref: 16/EM/0402) and
 2105 all participants gave written informed consent.

2106 **2B.2.2 Procedure and Design**

2107 To quantify self-reported autistic trait severity participants completed the AQ (Baron-
 2108 Cohen et al., 2001). QST was performed first. This standardized battery provides a sensory
 2109 profile that consists of 13 parameters (see table 6, Rolke, Magerl, et al., 2006). Additional
 2110 cold pressor and CPM tests were added to the battery and all tests were performed in the
 2111 same order, using the same set of standardised instructions, and performed on the same site
 2112 on each participant.

2113 **Table 6:**
 2114 *Details of Standardised Quantitative Sensory Testing battery, tests and associated peripheral*
 2115 *sensory channel*

Group No.	Description	Test (Abbreviation)	Peripheral sensory channel
1.	Thermal detection thresholds for the perception of cold, warm and paradoxical heat sensations. Performed using a Medoc Pathway stimulator, ramped stimuli 1°C/s, baseline temperature 32°C and a 9cm ² Thermode.	Cold detection threshold (CDT) Warm detection threshold (WDT) Paradoxical heat sensations (PHS) Thermal sensory lumen (TSL)	A-delta C C, A-delta C, A-delta
2.	Thermal pain thresholds for cold and hot stimuli (as above).	Cold pain threshold (CPT) Heat pain threshold (HPT)	C, A-delta C, A-delta
3.	Mechanical detection thresholds for touch and vibration. Performed using a modified set of von Frey hairs (0.25 to 512mN) with 5 ascending and 5 descending stimulus intensities and a 64Hz tuning fork (8/8).	Mechanical detection threshold (MDT) Vibration detection threshold (VDT)	A-beta A-beta
4.	Mechanical pain sensitivity, including thresholds for pinprick, stimulus-response functions for pinprick sensitivity, dynamic mechanical allodynia and pain summation to repetitive pinprick stimuli. Performed using a set of weighted pinpricks that exert forces of 8, 16, 32, 64, 128, 256 and 512mN.	Mechanical pain threshold (MPT) Mechanical pain sensitivity (MPS) Dynamic mechanical allodynia (DMA) Wind-up ratio (WUR)	C, A-delta C, A-delta C, A-delta C, A-delta
5.	Pressure pain threshold. Performed using an algometer with a 1cm ² probe area, where stimulus intensity is gradually increased at a ramp rate of 50kPa.s.	Pressure pain threshold (PPT)	C, A-delta
6.	Cold pain threshold and tolerance. Performed with a custom cold pressor which maintains water at 2°C, participants submerge their dominant hand in the water stating “pain” for threshold and tolerance is measured as the point at which the hand is voluntarily removed.	Cold pressor test	C, A-delta
7.	Pain modulation. Performed using an algometer with a 1cm ² -probe area, where stimulus intensity is gradually increased at a ramp rate of 50kPa/s and a cold pressor test (see 6.)	Conditioned Pain Modulation (CPM)*	-

Test order: Cold and warm thermal detection thresholds are acquired first followed by paradoxical heat sensations during thermal sensory lumen of alternating warm and cold stimuli (no.1). Cold and heat thermal pain thresholds (no.2) are then determined. Then follows; mechanical detection (no.3), mechanical pain (no.4), stimulus/response functions with dynamic mechanical allodynia (no.4), wind-up ratio (no.4), vibration (no.3), pressure pain (no.5), cold pressor test (no.6) and lastly conditioned pain modulation (no.7) is performed.

Darker grey shaded boxes show additional tests that are not part of The DFNS QST battery (i.e., no. 6 & 7).

*This is a measure of central pain processes not of the peripheral sensory channels; although these channels are involved in the initial detection of the relevant stimuli (see no. 4 and 5).

2116 **2B.2.2.1 Cold Pressor Test**

2117 A custom cold pressor (Dancer Design), which maintains water in a stimulus tank at a
2118 predefined temperature (2°C), measured both cold pain tolerance and threshold. A control
2119 unit containing a temperature controller drives water taken from a reservoir of ice water
2120 (maintained at 0°C) through the stimulus tank at a controlled rate, therefore, maintaining the
2121 requested temperature within 0.10°C.

2122 Pain threshold is defined as the elapsed time between arm immersion and the first
2123 report of a pain sensation. Pain tolerance is defined as the elapsed time until the hand is
2124 voluntarily removed. Since the Cold Pressor test induces pronounced sympathetic activation
2125 and vasoconstriction, the maximum duration of limb immersion was set at 3 minutes
2126 (Mitchell et al., 2004).

2127 **2B.2.2.2 Conditioned Pain Modulation (CPM)**

2128 To assess CPM baseline pressure pain thresholds (PPT) was firstly performed on the
2129 right upper trapezius, approximately 2 cm from the acromioclavicular joint with a handheld
2130 pressure algometer (Somedic) with a 1cm² probe area. The threshold was determined with an
2131 ascending stimulus intensity, applied as a slowly increasing ramp of 50kPa/s until
2132 participants report a painful sensation. Immediately following the assessment of PPT,
2133 participants underwent a cold pressor test, immersing their hand up to the wrist in a stimulus
2134 tank of 2°C water. Twenty seconds following hand immersion, PPT was re-assessed on the
2135 right trapezius (i.e., the same site as baseline assessment).

2136 **2B.2.2.3 Avoidance and Motivation Scores for Pinprick Stimuli including**
2137 **Stimulus/response Function (MPS/DMA)**

2138 Pain experience is more than just the sensory experience, the functional purpose of
2139 pain is to create a motivational state to avoid future harm (Eccleston & Crombez, 1999). To
2140 measure the motivation to avoid experiencing painful stimuli, participants were asked that,
2141 for every stimulus that was given a pain rating (a value above 0 on a numeric rating scale of 0
2142 – 100 where 0 means no pain and 100 means the most intense pain imaginable, any figure
2143 over 0 is considered to be a rating of pain: see the QST supplementary materials for MPS,
2144 DMA and WUR) during Mechanical Pain Sensation (MPS), Dynamic Mechanical Allodynia
2145 (DMA) and Wind-Up Ratio (WUR), to rate how much they would like to avoid feeling that
2146 stimulus. Avoidance was rated using the same scale as the aforementioned QST parameters
2147 of 0 to 100; 100 being “would never like to experience the stimulus again”. MPS avoidance
2148 was calculated as the geometric mean of all avoidance ratings for pinprick stimuli, while
2149 DMA avoidance was the geometric mean of all avoidance ratings corresponding to the
2150 dynamic stimuli. The wind-up ratio avoidance was calculated as the ratio of the mean of the
2151 five series avoidance ratings divided by the mean of the five single stimuli avoidance ratings.

2152 **2B.2.3 Data Preparation**

2153 **2B.2.3.1 QST**

2154 Preparation of individual participant’s data followed the guidance of the DNFS
2155 (Rolke, Magerl, et al., 2006). For pinprick (MPS/DMA), as well as their corresponding
2156 avoidance measures, a small constant (+0.1) was added prior to log-transformation to avoid a
2157 loss of zero rating values (Bartlett, 1947; Magerl et al., 1998).

2158 For each individual’s raw scores it has been previously established that all QST data
2159 except Paradoxical Heat Sensations (PHS), Cold Pain Threshold (CPT), Heat Pain Threshold

2160 (HPT), and Vibration Detection Threshold (VDT) follow either a logarithmic progression
2161 (i.e. stimulus intensity of the pin prick stimuli are 8mN, 16mN, 32mN, ...) or that these data
2162 always conform to this distribution, therefore individual participants raw scores were
2163 logarithmically transformed before creation of mean values for analysis (Magerl et al., 2010;
2164 Rolke, Magerl, et al., 2006). To permit normalisation for age, gender and testing site, each
2165 individual's QST data were z-transformed by subtracting the mean value of the
2166 corresponding published QST reference value followed by a division by the respective
2167 standard deviation from the normative database for the appropriate age and gender group; for
2168 each QST parameter using the following expression:

$$2169 \quad Z\text{-score} = (X_{\text{single participant}} - \text{Mean}_{\text{norms}}) / \text{SD}_{\text{norms}}$$

2170 An additional reason for this transformation is that it results in a QST profile where
2171 all parameters are presented as standard normal distributions. For clarity and ease, in order to
2172 think in terms of gain (lower thresholds or lower intensity stimulus required for detection or
2173 pain report) or loss of function (higher thresholds, or greater intensity required for detection
2174 or pain report), the algebraic sign of Z-score values was adjusted so that it would reflect a
2175 participant's sensitivity to this parameter. Z-values above "0" indicate a gain of function,
2176 when the patient is more sensitive to the tested stimuli, while a score below "0" indicate a
2177 loss of function referring to a lower sensitivity. Thus, all required reversing, with the
2178 exception of CPT, MPS, DMA and WUR. For PHS and DMA it is a priori impossible to
2179 assess a pathological reduction since these signs are normally absent in a healthy population.
2180 If the resulting Z score exceeds 1.96, it is outside the 95% confidence interval of the standard
2181 normal distribution with zero mean and unit variance, independent of the original units of
2182 measurement. An advantage beyond that of establishing whether any participant,
2183 neurotypical or ASD, has clinically significant sensory loss or gain, is that of placing all the

2184 data into a standardised space where individuals QST patterns can be explored. This
2185 somewhat allows us to navigate the ASD phenotype and look at individual level data.

2186 QST data were re-transformed, and raw values are presented in table 3 as mean \pm SD
2187 to ease understanding, and so that data could be presented in terms of the individual units of
2188 measurement e.g., temperature in °C. All inferential statistics for QST were conducted on Z-
2189 scored data. Where values are presented as Z-scores figures and tables state this. All
2190 statistical calculations were performed with SPSS.

2191 ***2B.2.3.2 Additional Sensory Tests***

2192 These data did not undergo the same transformation as the QST data. This was to
2193 ensure that results were comparable to other published data where possible.

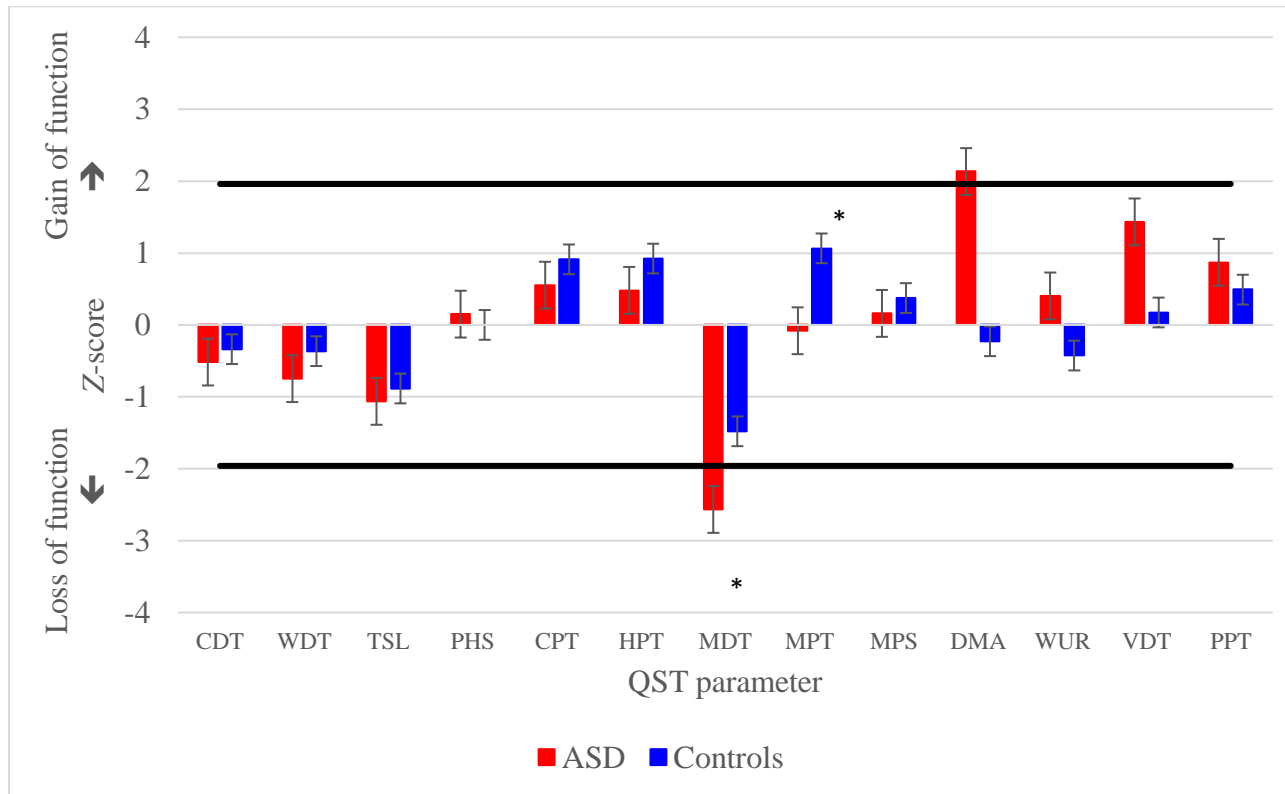
2194 **2B.3 Results**

2195 It was possible to obtain all QST data in all 26 participants. For one-control
2196 participant WUR, avoidance scores could not be calculated because the denominator (mean
2197 rating for the single stimulus) was zero.

2198 **2B.3.1 QST Reference Data between Groups**

2199 **Figure 5.**

2200 *Adjusted Z-scored data of all 13 QST parameters for both ASD and Control group*



2201

2202 *Note.* Adjusted Z-score data for ASD vs. control group, across all 13 QST parameters including standard error bars. * indicates significant group differences. Any column
 2203 that extends outside the 95% confidence interval of the normal distribution of healthy subjects (=area between the black lines) signifies sensory changes. Cold Detection
 2204 Threshold (CDT), Warm Detection Threshold (WDT), Thermal Sensory Limen (TSL), Paradoxical Heat Sensations (PHS), Cold Pain Threshold (CPT), Heat Pain Threshold
 2205 (HPT), Mechanical Detection Threshold (MDT), Mechanical Pain Threshold (MPT), Mechanical Pain Sensation (MPS), Dynamic Mechanical Allodynia (DMA), Wind-Up
 2206 Ratio (WUR), Vibration Detection Threshold (VDT) and Pressure Pain Threshold (PPT).

2207 Group comparisons (see figure 5) of each QST parameter's mean Z score, using
 2208 independent t-tests, revealed a significant difference for mechanical detection and pain
 2209 threshold (MDT & MPT). The ASD group ($M = 8.238\text{mN}$) required a significantly greater
 2210 force to detect light touch than the control group ($M = 3.267$) $t(24) = -3.073, p = .005$. They
 2211 also reported pain at a greater force ($M = 125.596\text{mN}$) for mechanical pain than controls ($M =$
 2212 46.687mN) $t(24) = -2.950, p = .007$. The ASD group shows hyposensitivity to mechanical
 2213 stimuli compared to controls; although only in the case of MDT does this reflect hypoesthesia
 2214 for mechanical detection (as shown by a value that falls outside the 95% confidence interval
 2215 of the published reference data).

2216 **Table 7:**
 2217 *Untransformed data values of QST test parameters given for ASD and Control group*

Parameter (Mean ± Standard Deviation)	ASD	Controls	<i>p</i> value	Effect size
QST parameter				
CDT (°C)	30.423 ± SD .661	30.503 ± SD 1.019	.579	δ = 0.2
WDT (°C)	34.618 ± SD 1.545	34.092 ± SD .758	.287	δ = 0.5
TSL (°C)	5.103 ± SD 2.415	4.550 ± SD 1.951	.515	δ = 0.2
PHS (n)	0.150 ± SD 0.555	.	.317†	δ = 0.1
CPT (°C)	20.615 ± SD 6.651	16.546 ± SD 12.021	.491	δ = 0.3
HPT (°C)	42.297 ± SD 3.576	40.918 ± SD 2.598	.272	δ = 0.4
MDT (mN)+*	8.238 ± SD 7.638	3.267 ± SD 2.564	.005	δ = 1.2
MPT (mN)+*	125.296 ± SD 157.378	46.687 ± SD 37.438	.007	δ = 1.2
MPS (PR)+	1.860 ± SD 2.382	2.048 ± SD 2.570	.685	δ = 0.2
DMA (PR)+	.863 ± SD 2.698	.	.379†	δ = 0.4
WUR (PR)+	5.498 ± SD 7.533	2.021 ± SD 2.369	.203	δ = 0.5
VDT (/8)	7.282 ± SD .880	7.744 ± SD .512	.129	δ = 0.8
PPT (kPa)+	307.205 ± SD 60.124	361.846 ± SD 105.572	.162	δ = 0.6
Additional Sensory Tests (Mean ± Standard Deviation)				
CP threshold (s)	12.245 ± SD 7.901	11.284 ± SD 8.891	.773	δ = 0.1
CP tolerance (s)	37.278 ± SD 45.493	28.235 ± SD 17.873	.511	δ = 0.3
CPM1 (kPa)	317.770 ± SD 111.456	345.000 ± SD 95.076	.173	See results
CPM2 (kPa)	428.920 ± SD 202.720	393.46 ± SD 123.799	.173	See results

2218 *Note.* Group raw data values for each QST parameter and additional sensory tests given as mean ± SD to aid
 2219 understanding in terms of their actual unit of measurement i.e., temperature in Celsius.
 2220 All p values and effect sizes given for QST parameters are for the inferential statistics conducted on transformed
 2221 data as discussed in the methods section.
 2222 +values are presented as geometric means.
 2223 * $p < .05$.
 2224 † Non-parametric Mann-Whitney U conducted for these parameters as they did not meet assumptions, all other
 2225 parameters met parametric assumptions and therefore independent samples t-test conducted. Cold Detection

2226 Threshold (CDT), Warm Detection Threshold (WDT), Thermal Sensory Limen (TSL), Paradoxical Heat
2227 Sensations (PHS), Cold Pain Threshold (CPT), Heat Pain Threshold (HPT), Mechanical Detection Threshold
2228 (MDT), Mechanical Pain Threshold (MPT), Mechanical Pain Sensation (MPS), Dynamic Mechanical Allodynia
2229 (DMA), Wind-Up Ratio (WUR), Vibration Detection Threshold (VDT) and Pressure Pain Threshold (PPT).

2230 **2B.3.2 Additional Sensory Tests**

2231 ***2B.3.2.1 Cold Pressor Test***

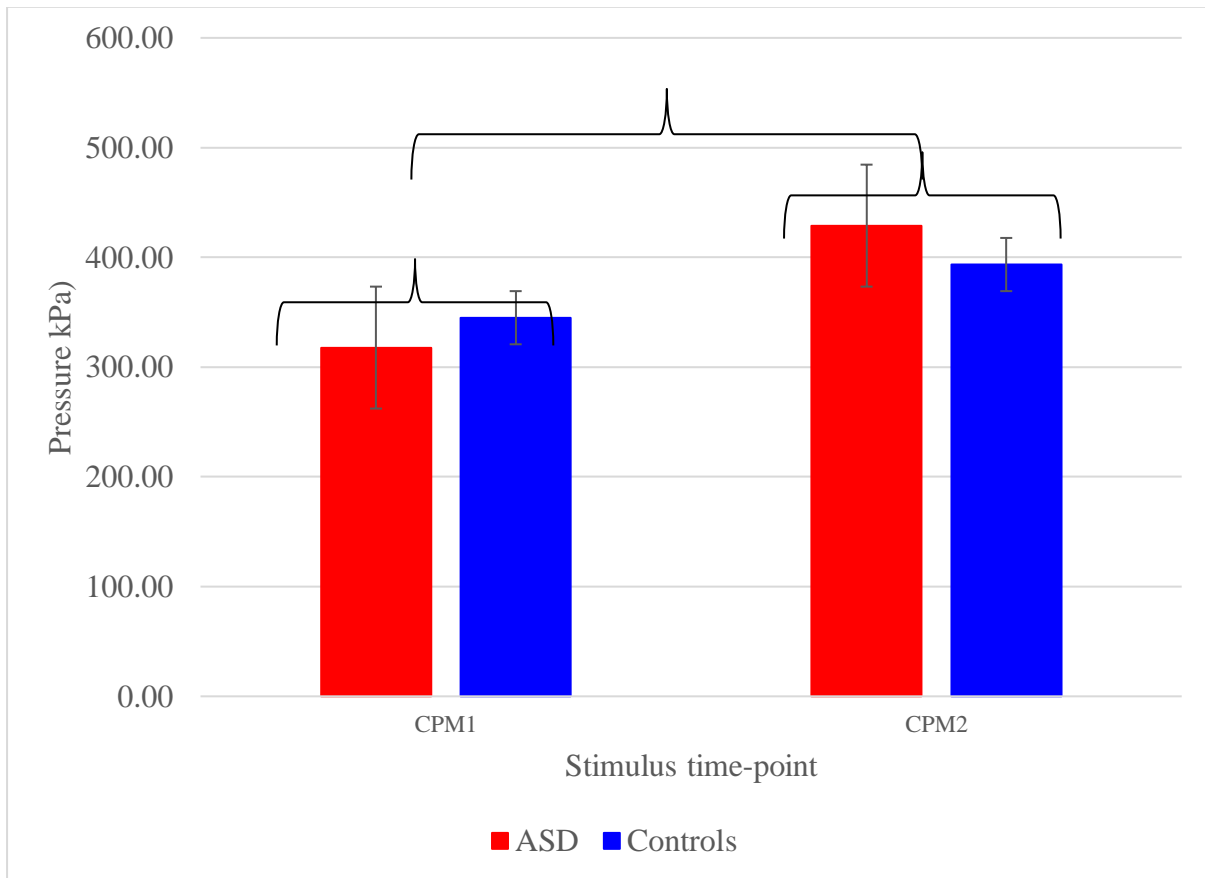
2232 Independent t-tests revealed there were no significant group differences for cold
2233 pressor threshold or tolerance $t(24) = -.291, p = .773$ and $t(24) = -.667, p = .511$, respectively
2234 (see table 7 for mean values).

2235 ***2B.3.2.2 Conditioned Pain Modulation***

2236 A repeated measures ANOVA revealed that pressure pain was significantly
2237 modulated by a cold pressor test $F(1) = 12.793, p = .002, r = 0.6$, as the pressure pain
2238 threshold increased after the hand was submerged for the 20s, across groups, supporting the
2239 existence of a CPM effect in the sample. The magnitude of this CPM effect, however, did
2240 not significantly differ between groups $F(1) = 1.974, p = .173, r = 0.2$. Cold pressor pain
2241 mediated pressure pain, as shown by the increase in pressure required to elicit a pain response
2242 regardless of group (see table 7 for mean values and figure 6 for illustration).

2243 **Figure 6.**

2244 *Mean force for pressure pain (kPa) in the Conditioned Pain Modulation test for ASD and*
2245 *control group*



2246
2247
2248

Note. Group data for conditioned pain modulation (CPM), including standard error bars, given as raw data values in kilopascal (kPa). * Indicates significant stimulus time-point differences.

2249 **2B.3.3 Avoidance Scores for Pinprick Stimuli including Stimulus/response Function**
2250 **(MPS/DMA)**

2251 For avoidance scores, *t*-tests were only conducted when parametric assumptions were
2252 met; otherwise, Mann-Whitney *U* test was used. There were no group differences for
2253 MPS avoidance ($t(24) = -.260, p = .797$). Neither DMA nor WUR avoidance differed
2254 between groups ($U = 68.000, z = -.879, p = .194$ and $U = 66.000, z = -.958, p = .178$).

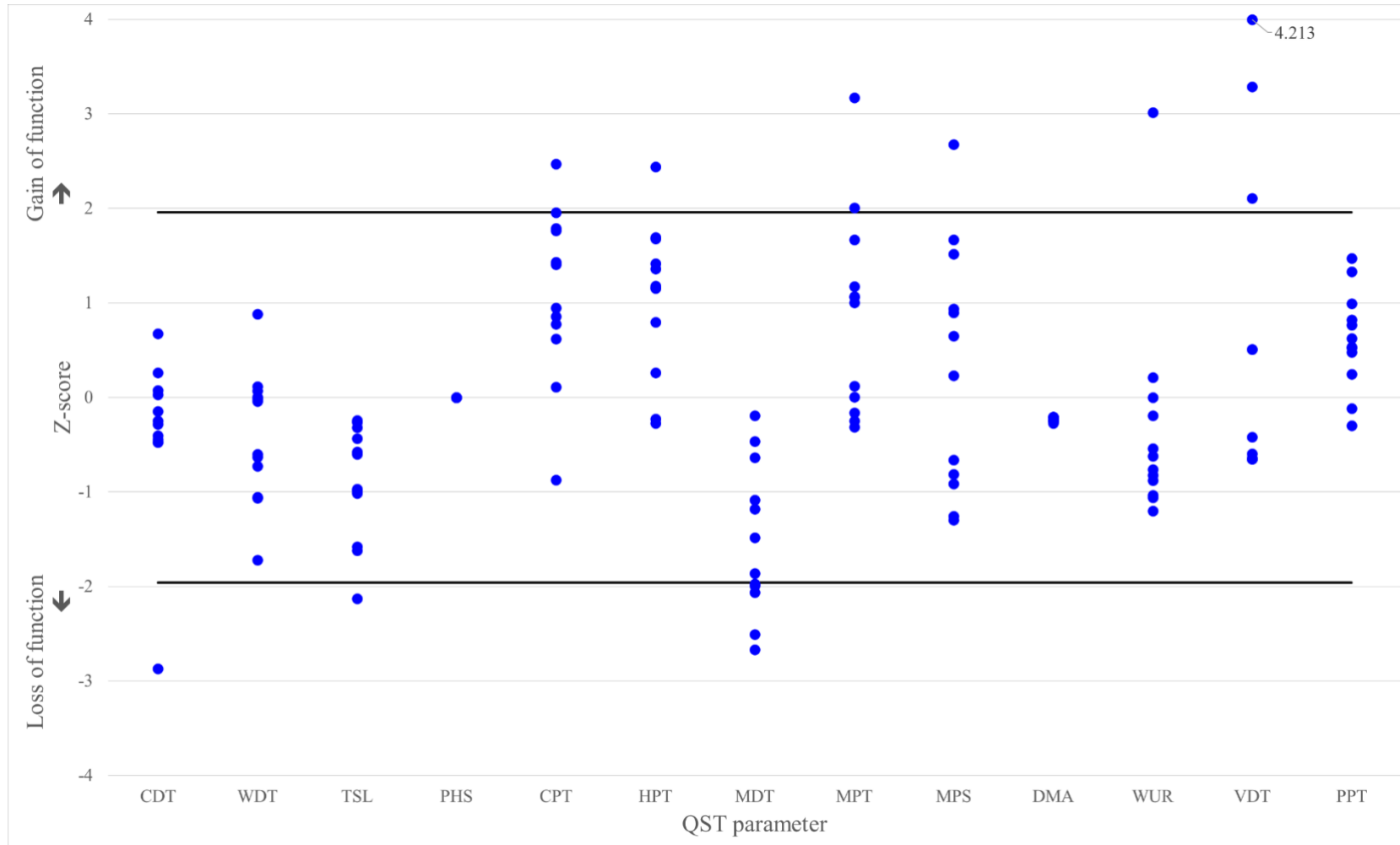
2255 **2B.3.4 QST Profiles of Z-transformed Data in Individual Participants**

2256 **Figure 7.**

2257 *Z-score values for each participant across all 13 QST parameters. This figure demonstrates the pattern of responses for individuals in the ASD*
2258 *group (red scatter plot) and the Control group (blue scatter plot)*



2259



2260
 2261 *Note.* Individual results of QST parameters given as Z-scores of autism participants (red) vs. controls (blue). Any marker that extends outside the 95% confidence interval of
 2262 the normal distribution of healthy subjects (=area between the black lines) signifies sensory changes. Values that extended beyond 4 standard deviations were given a
 2263 maximum value of 3.999 or -3.999 and true values are given next to the marker. Data were constrained in this way to ensure that figures could be clearly interpreted. Cold
 2264 Detection Threshold (CDT), Warm Detection Threshold (WDT), Thermal Sensory Limen (TSL), Paradoxical Heat Sensations (PHS), Cold Pain Threshold (CPT), Heat Pain
 2265 Threshold (HPT), Mechanical Detection Threshold (MDT), Mechanical Pain Threshold (MPT), Mechanical Pain Sensation (MPS), Dynamic Mechanical Allodynia (DMA),
 2266 Wind-Up Ratio (WUR), Vibration Detection Threshold (VDT) and Pressure Pain Threshold (PPT).

2267 Overall, there were a greater number of Z-scores (see figure 7) that fell outside of the
 2268 95% confidence levels within the total sample than would be expected by chance ($n = 48$,
 2269 allocated to 19 individuals). For a sample of this size, with 13 QST parameters, 95%
 2270 confidence interval (CI) levels estimate that 15 values would lie outside the 95% CI level of
 2271 The DFNS reference data. This variance is driven by the larger number of abnormal Z-scores
 2272 in the ASD group ($n = 32$ allocated to all 13 individuals) compared to controls ($n = 16$
 2273 allocated to 6 individuals); who show typical numbers of outlying scores.

2274 Intra-individually, 95% CI dictates that one Z-score in the 13 QST parameters would
 2275 potentially be outside this level, which suggests that only 15 of our participants are showing
 2276 atypical QST patterns (where the number of Z-scores outside the 95% CI ≥ 2). A greater
 2277 number of ASD individuals were found to have extreme scores compared to controls, and the
 2278 range of these scores was wider in ASD individuals (2-5) compared to controls (2-3).
 2279 However, the average number of these scores per participant, in those that showed this
 2280 atypical pattern, was similar between the groups (see table 8 for descriptive statistics).
 2281 Therefore, although a greater percentage of autistic individuals may show atypical patterns of
 2282 pain response, when considering these altered responses, they may be within a range seen in a
 2283 similar neurotypical group.

2284 **Table 8:**
 2285 *Number of participants with atypical QST patterns and the mean number of abnormal Z-*
 2286 *scores of each participant*

	ASD	Controls	Total
No. of participants	10	5	15
Abnormal Z-scores	$2.9 \pm SD 1.101$	$2.8 \pm SD 1.366$	$2.867 \pm SD 1.325$
Range of abnormal Z-scores	2-5	2-3	2-5

2287 *Note.* Total number of participants in each group showing abnormal values (where the number of abnormal
 2288 values ≥ 2 ; i.e., are outside the 95% CI of the reference data).
 2289 The number of abnormal values per individual in the groups is given as a mean \pm SD, and range.

2290 Furthermore, 1 autistic individual showed sensory distinctive features in the form of
2291 PHS; experiencing a warm, hot, or painfully hot sensation in response to the cold stimulation,
2292 that usually does not occur in healthy subjects and two felt allodynia to non-painful stimuli
2293 (DMA). These observations suggest that in this small population of autistic individuals that
2294 there are notable changes in peripheral function. Although these features do not appear to be
2295 typical of ASD, this does suggest sub-groups of ASD in which altered somatosensory
2296 processing may be present. Further, it appears that differences in sensory processing in some
2297 individuals may not simply be in terms of magnitude of response. Rather, it might reflect the
2298 presence of phenomena not typically seen in neurotypical individuals.

2299 **2B.4 Discussion**

2300 The current experiment investigated somatosensory perception in autistic individuals
2301 to test the hypothesis that the different pain behaviours observed in anecdotal accounts were
2302 the result of an alteration in somatosensory mechanisms. For this reason, and to allow the
2303 comparison to published norms, 13 autistic adults and 13 age- and gender- matched control
2304 participants without autism, underwent a standardised and normed QST protocol (DFNS:
2305 Rolke, Magerl, et al., 2006). No observable consistent pathological QST pattern suggesting a
2306 defined nerve fibre dysfunction, which could account for the altered pain behaviours
2307 observed, was found. The ASD group showed no systematic changes in their QST pattern.

2308 Group differences were found, however, for both mechanical pain threshold (MPT;
2309 pinprick stimuli) and mechanical detection threshold (MDT; von Frey filaments), with the
2310 ASD group showing higher thresholds for both. Although the ASD group had higher
2311 thresholds compared to the control group, data for both groups reside within the normal
2312 distribution of healthy individuals, as established by The DFNS, indicating that although the
2313 ASD group may be less sensitive to mechanical pain than controls this sensitivity is not

2314 clinically significant. However, ASD group mean value for MDT fell outside the normative
2315 range for healthy individuals, suggesting a clinically significant degree of sensory loss at the
2316 group level. Normal z scores for other clinically related QST parameters – such as vibration
2317 detection threshold – do suggest, however, typical A β -fibre function (Gröne et al., 2012).

2318 Vibrotactile and punctate stimulation are both communicated via A β -fibres, though
2319 detected by different receptor pathways, which may account for the aforementioned
2320 differences. High frequency vibration is detected via rapidly adapting Pacinian corpuscle and
2321 generally have a large receptive field. Mechanical stimulation, on the other hand, are
2322 detected via slowly adapting Merkel cell-neurite complex receptors and is tactile detection
2323 via indentation depth (Delmas et al., 2011). Different A β -fibre phenotypic alterations may
2324 therefore be present and be stimuli specific, due to detection of such stimuli by their specific
2325 receptors. Such differences are highlighted in the evidence when contrary to the sensory loss
2326 of MDT measured by von Frey, increased sensitivity to vibration is reported (Cascio et al.,
2327 2008). There is greater difficulty in comparing vibration results in the literature, due to the
2328 varied vibration frequencies used (Blakemore et al., 2006; Güçlü et al., 2007), yielding very
2329 different results which may similarly be a result of different receptor activation (Lumpkin et
2330 al., 2010; McGlone et al., 2014; McGlone & Reilly, 2010). It must also be noted that the use
2331 of a tuning fork for vibrotactile assessment is sensitive enough to identify neuropathy – as
2332 intended – however, may not be sensitive enough to measure more subtle changes in
2333 threshold. Findings for MDT are in line with Fründt et al., (2017) who similarly report a
2334 significant loss of function for mechanical detection in ASD participants using the same
2335 standardised testing from the QST battery.

2336 Similar to Fründt et al., (2017) who report PHS and DMA in two autistic individuals
2337 (see also Duerden et al., 2015), three participants showed distinctive sensory features in the

2338 form of paradoxical heat sensations ($n = 1$; PHS) and dynamic mechanical allodynia ($n = 2$;
2339 DMA), that do not usually occur in healthy individuals or the control group on the upper
2340 limbs. Given that the different QST parameters did not reveal any specific signs of nerve
2341 fibre dysfunction in both studies, we concur with the author's suggestion that central
2342 mechanisms determine PHS in the ASD groups. Studies of patients with CNS demyelination
2343 confirm central processing issues that result in PHS (Hansen et al., 1996). Limited research
2344 has attempted to understand the central processing of pain in ASD using neuroimaging
2345 techniques. This research supports the idea that changes in pain processing in ASD is
2346 complex: suggesting that there is an initial processing which is similar to controls, however,
2347 there is a reduction in neural activity during sustained pain that is not present in controls
2348 (Failla et al., 2018). This gives further support to the need to be flexible about how pain
2349 experience is considered in ASD.

2350 A further phenomenon observed by this experiment and that of Fründt et al., (2017) is
2351 that of DMA. Both studies are the first to experimentally measure DMA in ASD, observing
2352 this in a subset of the ASD groups. DMA is the experience of perceiving innocuous touch,
2353 such as gentle stroking, as aversive, a phenomenon described in ASD sensory over-
2354 responsivity literature (Baranek & Berkson, 1994; Green et al., 2016; Reynolds & Lane,
2355 2008). Central sensitisation i.e., changes in signalling in the spinal cord (Campbell & Meyer,
2356 2006), is commonly thought to underlie DMA (Gierthmühlen et al., 2012), as it is the
2357 increased response of neurons to stroking stimuli. Intriguingly, some groups have offered a
2358 peripheral explanation for DMA (Liljencrantz et al., 2013), whereby an alteration in C-tactile
2359 afferent function, which typically mediates a pleasant percept associated with low force slow
2360 stroking touch, communicates noxious experience. This explanation then lends weight to
2361 research suggesting that an early mechanism behind ASD may be an alteration in CT fibre
2362 function (Cascio et al., 2019; Gordon et al., 2013; Kaiser et al., 2016; Walker & McGlone,

2363 2013). It is clear that this proposition requires further investigation. However, QST cannot
2364 fully distinguish between central and peripheral alterations (Mücke et al., 2014), therefore we
2365 can only speculate at this time.

2366 The data also indicate either significant group differences, or sensory phenomena that
2367 do not occur in healthy individuals, in those tests which are reaction time-exclusive i.e.,
2368 method of levels. In these tests reaction time is minimised because participants are generally
2369 responding to whether the stimulus is perceived as painful, which in turn can determine the
2370 next stimulus that is presented. In contrast, findings from tests which utilised the method of
2371 limits approach, such as the thermal tests, showed no group differences (Siao Tick Chong &
2372 Cros, 2004). It is well known that the method of limits approach is reaction time inclusive
2373 (Lynam et al., 2006; Siao Tick Chong & Cros, 2004) and that reaction time has significant
2374 influence on detection thresholds (Huang et al., 2010; Saville et al., 2012; van den Bosch et
2375 al., 2017). Furthermore, several studies have shown that reaction times are slower in autistic
2376 individuals (Baisch et al., 2017; Herrero et al., 2000; Inui & Kakigi, 2012). Taken together
2377 this suggests that threshold values are elevated in ASD, represented in the data as non-
2378 significant results. In order to address this, recent research suggests using reaction time
2379 exclusive methods (Treutwein, 1995; Watson, 2017; Williams et al., 2019), however, this
2380 approach would still not fully address whether reaction times influence threshold estimates
2381 across a range of sensory modalities in ASD. It would be pertinent to include a measure of
2382 reaction time in future research, with the acknowledgement that reaction time as the onset of
2383 movement, such as pressing a button is only an estimate of the delays that are incorporated in
2384 the underlying process (e.g., sensory activation, conduction times, synaptic delay and time to
2385 generate force; Cavanagh & Komi, (1979); Letz & Gerr, (1994)). Rather than including
2386 reactions times as a covariate, it may be best to include it in a moderation analysis, such that

2387 it allows for an interaction which indicates the magnitude of a group difference dependent on
2388 the level of the covariate (Leppink, 2018).

2389 There are striking similarities between our findings and those of (Fründt et al., 2017).
2390 Both were independently conducted, in parallel, and sought to use The DFNS QST protocol
2391 to identify differences that might exist in somatosensory function in ASD. Both studies
2392 found little evidence for a diagnosis-wide change in either somatosensory detection or pain
2393 thresholds. Both also found that when *Z*-scores were compared to published norms that more
2394 autistic individuals showed atypical data points, suggesting that individual differences may be
2395 of importance. This replication is particularly powerful as psychological sciences wrestle
2396 with the reproducibility crisis (Aarts et al., 2015). Here, independent verification of findings
2397 has been achieved, to provide a platform upon which to build future research.

2398 An advantage of the standardised QST method is the published normative data which
2399 provides clear definitions of sensory loss and gain. The ASD phenotype can drastically differ
2400 and has large individual differences meaning the typical group analyses may not be
2401 advantageous to understanding this spectrum condition. Such published norms, which an
2402 individual's QST pattern can be compared to, provides the opportunity to quantify individual
2403 cases. Individual analyses revealed a greater inter-individual variance with more *Z*-scores
2404 outside the 95% confidence interval of The DFNS published normative values in the ASD
2405 group ($n = 32$). This variance was present in all QST parameters and was not driven by a
2406 single participant ($n = 13$ participants). This might reflect the general heterogeneity of the
2407 ASD group; such heterogeneity belies the attempt to group this population under one
2408 diagnostic umbrella. The utility of this type of analysis is best shown in figure 3, which
2409 illustrates the sensory profiles of autistic individuals, and their sensory changes (see results

2410 [section 2B.3.4](#)). This also allows individual differences in the phenotypic presentation of
2411 ASD to be considered alongside their QST pattern.

2412 The approach provides some insight into variation across domains, known as
2413 dispersion. However, there were still large standard deviations suggesting intra-individual
2414 variability within single participants across trials (Costa et al., 2019). Data elsewhere has
2415 shown intra-individual variability as more substantial in autistic individuals, supporting our
2416 data (Geurts et al., 2008). The fact that ASD is not a homogenous group is additionally
2417 supported by these data. Such variability, could impact on the accuracy of mean group
2418 threshold values, and so future research should consider variability both within the design and
2419 analysis. The simplest way is to calculate individual standard deviations or to calculate
2420 residualized standard deviations, which provide control for systematic between- and within-
2421 subject confounds in the raw scores, therefore generating greater accuracy (Stawski et al.,
2422 2019). However, to calculate such, more trials would be needed than were utilised here. In a
2423 recent paper, Williams et al., (2019) investigated the role of intra-individual variability in
2424 thermal perceptual thresholds. Gini's Mean Difference (GMD) scores (measure of
2425 variability) predicted higher detection threshold estimates, and GMD outliers had
2426 substantially higher thresholds. These results indicate that increased variability between trials
2427 systematically biases threshold estimates away from the starting temperature. Considering
2428 that both inter-individual variability and reaction times have been found to bias the data,
2429 inflating thresholds, results from our study that indicate no group differences, should be
2430 interpreted with caution. However, despite intra-individual variability inflating perceptual
2431 thresholds, Williams et al., (2019) report similar findings in that autistic individuals did not
2432 differ in thermal detection thresholds compared to controls. Despite this, it may be prudent to
2433 control for these factors by including these as potential interaction factors in future analysis.

2434 Following from the previous suggestion of using moderation using reaction times as an
2435 interaction, it may also be pertinent to include variability within this too.

2436 In order to gain a self-report measure of motivation for pain avoidance, individuals
2437 were asked: “how much would they like to avoid feeling the stimulus again?”. However,
2438 these results were inconclusive. Self-report measures of pain motivation do not appear
2439 therefore, to access motivation in a way that provides a clearer or deeper understanding. For
2440 this reason, elegant experimental paradigms that have been used in healthy populations for
2441 understanding goal attenuation of avoidance behaviour could be adopted and utilised in an
2442 ASD population (Claes et al., 2014a, 2015; Meulders & Vlaeyen, 2012, 2013). Such
2443 experiments can implicitly test motivation that goes beyond conscious self-reporting by
2444 measuring behavioural responses and understanding avoidance in the context of multiple
2445 goals. This could be of vital importance in a population driven to achieve their repetitive or
2446 restrictive behaviour patterns regardless of other incoming behaviourally motivational
2447 stimuli, such as pain. Furthermore, given that the QST battery revealed typical nerve fibre
2448 function and that CPM appeared typical, this approach may help to pull apart the altered pain
2449 behaviours by considering top-down modulation of pain.

2450 Given the nature of sensory testing- applying a stimulus and recording verbally the
2451 perception of that stimulus, the underlying mechanisms can only be judiciously speculated
2452 upon. The pain experience in such studies is delivered in controlled environments, devoid of
2453 motivational context or other environmental cues. This absence of environmental context,
2454 results in a lack of knowledge about how distraction and other psychological effects might
2455 affect pain perception in ASD or how they modulate the simpler sensory experience of an
2456 input. It is also understandable, brief and cutaneous in nature, which may not reflect the
2457 diversity of pain in the real world (the relative merits and challenges of QST measures have

2458 been considered extensively elsewhere e.g., Backonja et al., (2013); Maier et al., (2010). By
2459 comparison, naturally occurring pain is frequently endogenous, of longer duration, can be
2460 diffuse, and typically involves multiple pain systems. Further, ethical standards of pain
2461 induction that mitigate the threat of pain, fundamentally altering the emotional and
2462 motivational significance of pain is arguably a key feature of pain that emerges naturally
2463 (Edens & Gil, 1995). The cost of such control is the potential lack of relevance to naturally
2464 occurring pain (Robertson & Low, 2006; Rollman, 2005). The methodological challenge is
2465 to develop techniques that combine the benefits of laboratory control with the relevance of
2466 pain that emerges naturally (Moore et al., 2013).

2467 The findings of the present experiment should be considered in light of several
2468 limitations; notably the small sample size, which is common in the literature (Cascio et al.,
2469 2008; Duerden et al., 2015; Fründt et al., 2017; Güçlü et al., 2007). Many autistic individuals
2470 find novel environments distressing and therefore may be unlikely to participate.
2471 Additionally, fear of pain and anxiety may likely reduce participation in experimental pain
2472 research (Karos, Alleva, et al., 2018). This paired with an exclusion of those with anxiety
2473 and depression, placed further limitations on recruitment numbers. This exclusion could be
2474 disadvantageous, not only because it resulted in a smaller sample size, but also because it
2475 could limit the ecological validity of the study. Analysing ASD as a single group, without
2476 these comorbidities may blur different aetiologies responsible for this heterogenous group,
2477 not only because co-morbidity tends to be the rule not the exception in ASD (Deliens et al.,
2478 2015; Hollocks et al., 2019; Rosen et al., 2018; Thompson et al., 2016), but also that these
2479 differ in their trajectories (Doshi-Velez et al., 2014). Levels of co-morbidity have also shown
2480 to provide clues to the aetiology, and pathophysiology of both the index and co-morbid
2481 condition as common patterns of influences or vulnerabilities cluster in an individual
2482 (Dell'osso & Pini, 2012; Klein & Riso, 1993; Valderas et al., 2009). This control, however,

2483 gives added validity to the results, as these conditions are known to have effects on pain
2484 perception (for review see Goesling et al., (2013); Thompson et al., (2016)). Future studies
2485 should adopt this singular diagnosis approach and increase sample size, regardless of the
2486 difficulties caused by frequent psychiatric comorbidities in this population (Joshi et al.,
2487 2013).

2488 A related limitation is the inability to examine the effect of individual differences on
2489 pain responses, specifically IQ. Although participants had been formally assessed for a
2490 diagnosis of ASD and had been assessed for IQ in the normal range by a trained clinician, it
2491 was not possible to obtain detailed psychometrics. Further independent testing of IQ within
2492 the experiment, was deemed to be burdensome and in the interests of the well-being of the
2493 participant was excluded from the protocol. The addition of an IQ test to an already
2494 extensive protocol may have increased stress and therefore resulted in an unrepresentative
2495 response to stimuli. It would be beneficial in future studies to find mechanisms to understand
2496 key individual differences which might affect pain response in ASD. IQ in particular may be
2497 an important factor to consider as it has been shown that thermal pain response may be
2498 correlated with IQ, with participants with a lower IQ score having higher thresholds (Duerden
2499 et al., 2015). It was not possible to test this finding in the current research.

2500 In conclusion, there was no systematic alteration to suggest an underlying dysfunction
2501 in the cutaneous somatosensory modalities tested in this experiment. There was a larger
2502 number of outlying Z-score values within the ASD group. Further, dynamic mechanical
2503 allodynia and paradoxical heat sensations were present in some ASD participants, which is
2504 typically only observed in patients with peripheral neuropathy. Central processing and
2505 integration of sensory information rather than peripheral perception seems to be a better
2506 candidate for further research within ASD. In order to test this theory, future studies should

2507 focus on combining QST measurements with neuroimaging to detect probable processing
2508 differences. Additionally, studies could use experimental paradigms that test pain motivation
2509 to assess top-down modulation as a potential cause of altered pain behaviours in this
2510 population.

2511 Chapter 3. Attenuation of Pain Avoidance
2512 Behaviour by a Competing Goal

2513

Chapter 3. Introduction

2514

The previous Chapter investigated detection and pain thresholds using QST battery.

2515

Results indicated individual differences in the processing of nociceptive stimuli, but not

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global systematic population level changes in pain perception (Fründt et al., 2017; Vaughan

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et al., 2019), therefore changes in pain response in ASD cannot be explained by a simple

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perception-action model. That is to say that pain perception, resulting in the behaviours

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described in the anecdotal accounts, are not fully explained by peripheral nociceptive stimuli

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evoking a response. The experience of a potentially noxious input, as one which we might

2521

call “pain”, is more complex than this simple feedforward process (Apkarian, Bushnell, &

2522

Schweinhart, 2013), including the motivational state and the goals and intentions of future

2523

actions (Eccleston & Crombez, 1999; Fields, 2004; Ossipov et al., 2010; Price et al., 1999;

2524

Tracey, 2010; Villemure & Bushnell, 2002). Additionally, expectation and belief are

2525

important contributors. Expectation of pain generally biases perception in the direction of that

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expectation, wherein expecting pain to be more intense results in people reporting lower pain

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thresholds (Benedetti et al., 2007; Benedetti et al., 2003; Voudouris et al., 1989). Pain

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avoidance is, therefore, motivated by the perceived threat of pain arising from expectancies

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about pain, even erroneous expectancies (Peerdeman et al., 2016). Additionally, this process

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is also reliant on the type and intensity of the painful stimulus. Pain of a sufficiently high

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intensity will therefore result in a learning process whereby an individual will reduce or even

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stop behaviours associated with a painful outcome (Boston & Sharpe, 2005; Schoth et al.,

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2014). Evidence points to a number of distinct motivational systems of action, including

2534

innate and goal directed systems (Vlaeyen et al., 2016).

2535

Since pain is motivationally relevant, it can predict performance of particular

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behaviours (Legrain et al., 2012; Peters, 2015), drawing on cognitive resources that interfere

2537 with other tasks (Crombez et al., 1994) in order to promote these behaviours, for example a
2538 focus on withdrawal reflex. In ASD, there is a high saliency towards achieving restrictive
2539 repetitive behaviour patterns (RRBs: Cascio et al., (2014); Uddin et al., (2013)) with a strong
2540 focus on performing tasks. Specifically, this symptom or behaviour means that individuals
2541 have a narrowness of focus and a cognitive inflexibility in terms of an insistence towards
2542 repetition and rhythmic response (Leekam et al., 2011). Additionally, research frequently
2543 shows an association between sensory processing abnormalities and RRBs (Chen et al., 2009;
2544 Wigham et al., 2015). Findings indicate a particular relationship with tactile, visual and
2545 auditory hyper-responsiveness and increased RRB's (Chen et al., 2009). However, this
2546 research fails to consider a causation for this and fails to consider that RRBs are
2547 fundamentally a motivation, influencing behaviour, in order to maintain a homeostasis of
2548 their environment (Leekam et al., 2011)

2549 Pain motivation is the mechanism by which imminent harm is terminated and future
2550 harm minimized, i.e., approach or avoidance behaviours. The motivational value of a
2551 nociceptive stimuli is therefore a key component of pain perception, incorporating not only
2552 the stimuli but the predication of pain (Van Damme et al., 2010). The perception of pain
2553 includes pain unpleasantness, which incorporates the overall motivational significance of
2554 nociceptive stimuli, and pain intensity, which differs from unpleasantness in that it is thought
2555 to be the accurate representation of pain (Seymour & Dolan, 2013). Therefore, in its simplest
2556 action system, pain is typically and simply wired to draw attention and interrupt other
2557 processes or goals. It might be expected that this would interrupt even the restrictive
2558 repetitive behaviour patterns discussed above. This is an important process that is dependent
2559 on the interaction between pain-related characteristics and other ongoing processes whereby
2560 pain-goals become the priority and other information is inhibited - in order to elicit the
2561 aforementioned protective responses.

2562 Recent revision to the Fear Avoidance models of pain have integrated a motivational
2563 approach and considers that this pain-related goal is one of multiple demands or goals
2564 occurring simultaneously, sometimes competing with these other goals (Botvinick & Braver,
2565 2015; Crombez, Eccleston, Van Damme, et al., 2012; Van Damme et al., 2008). In this
2566 context of multiple goals, the pursuit of one goal may interfere with another, even one as
2567 interruptive as pain, giving rise to goal conflicts (Boudreaux & Ozer, 2013). Research using
2568 this approach has shown that a valued reward can attenuate pain avoidance behaviours (Claes
2569 et al., 2014, 2015, 2016; Van Damme et al., 2012). This is regardless of pain-related fear or
2570 typical *Fear Avoidance*, as participants are more hesitant in performing a painful movement
2571 than a safety movement when there is no valued reward (Claes et al., 2014a, 2015).
2572 Furthermore, goal conflicts that produce negative affect are related to pain-related fear, when
2573 the goals are between negative competing goals (Schrooten et al., 2014). It, therefore,
2574 postulates that those who have other goals with a higher saliency than pain avoidance may be
2575 more inclined to expose themselves to pain.

2576 In terms of ASD, despite sensory deficits being considered from a position of distress
2577 and harm, there is a lack of consideration of pain itself, particularly of multiple goals and a
2578 motivational fear avoidance model of pain within the research. In the previous context of
2579 conflicting goals, and in performing a rewarded or otherwise important goal, autistic
2580 individuals may show reduced responsiveness to a, for example, painful cue, therefore
2581 showing a reduction in learned pain avoidance, such as those reported in the anecdotal
2582 accounts. Currently, this consideration in terms of an explanation for the pain behaviours
2583 mentioned in anecdotal accounts requires investigation, specifically for a lack of pain
2584 response in autism. This project, therefore, utilises a volitional joystick task (VJT; Claes et
2585 al., 2014), in order to investigate the proposed behaviours in terms of a motivational model of
2586 pain. The VJT is a paradigm which exemplifies a typical human fear conditioning

2587 experiment where arm movements performed with a joystick are followed by a painful
2588 unconditioned stimulus, which becomes a threat signal after several pairings and thus elicits a
2589 fear response (Meulders et al., 2011; Meulders & Vlaeyen, 2012). In a differential paradigm,
2590 a control stimulus is included that is never followed by pain and is thus a safety signal
2591 (Domjan, 2017). The addition of a competing goal then allows the measurement of pain
2592 attenuation behaviours, capturing motivational components. Understanding this system is the
2593 critical next step to understanding pain in ASD considering earlier findings, namely no group
2594 level differences in response to peripheral stimuli (see [Chapter 2](#)) as well as the described
2595 insensitivity in the anecdotal evidence. It is hypothesised that, pain avoidance behaviours
2596 will be attenuated to a larger extent in the ASD group, compared to controls, due to a greater
2597 motivation by a valued reward, since an ASD characteristic is a high saliency towards
2598 achieving restrictive repetitive behaviour patterns. the attenuation of pain avoidance
2599 behaviours by a valued reward will be greater in the ASD group.

2600 **3.2. Methods**

2601 **3.2.1 Sample Size Calculation**

2602 Selecting an appropriate sample size to capture within-person change for mixed
2603 repeated measures designs can be complicated, since measurements taken from the same
2604 participant are correlated and these correlations must be accounted for in calculating the
2605 appropriate size (Guo et al., 2013). Some current software packages oversimplify the
2606 assumptions about this correlation pattern and as such, several approaches have become
2607 available to address this limitation, although many of these are reliant on greater statistical
2608 knowledge and skills, for example advanced modelling abilities (D’Amico et al., 2001; Miles,
2609 2003). One alternative is to estimate power as if the measures were independent, in this case,
2610 group differences between the within-subjects factors. However, this approach does not

2611 account for the greater power repeated measures designs have since they capture within
 2612 participant change and reduce variability (Lakens, 2013). Therefore, presented below are two
 2613 sets of calculations, both using G*Power, one utilising *a priori* sample size calculation for
 2614 mixed ANOVA in full i.e., 2*2*2 design, and the second using independent *a priori* tests. In
 2615 the case of the *F*-test *a priori* calculation sample size is suggested as 16, in the case of
 2616 independent *a priori* tests the calculation suggests 66 (for a 2* (group) 2(within-subjects
 2617 factor)).

2618 **Table 9:**

2619 *A priori Sample Size Calculations of F-tests with G*Power.*

		ANOVA: Repeated measures, within-between interaction	ANOVA: Repeated measures, between factors
Input:	Effect size f	= 0.4034733	= 0.3937008
	α err prob	= 0.05	= 0.05
	Power (1- β err prob)	= 0.95	= 0.95
	Number of groups	= 2	= 2
	Number of measurements	= 4	= 2
	Corr among rep measures	= 0.5	= 0.5
	Nonsphericity correction ϵ	= 1	-
Output:	Noncentrality parameter λ	= 20.8372101	= 13.6400282
	Critical F	= 2.8270487	= 3.9909238
	Numerator df	= 3.0000000	= 1.0000000
	Denominator df	= 42.0000000	= 64.0000000
	Total sample size	= 16	= 66
	Actual power	= 0.9676625	= 0.9532590

2620

2621 **3.2.2 Participants**

2622 Sixteen adults (14 males) who had not previously undergone a pain-related
 2623 experiment with us, aged between 18 and 59 years were recruited ($M = 25.13$, $SD = 12.23$).
 2624 Eight ASD participants (7 males and 1 female) with a mean age of 24.38 years ($SD = 4.13$)
 2625 were recruited via the university's participant panel, had a diagnosis from a specialist
 2626 diagnostic service within a local hospital trust and had received their diagnosis based on the
 2627 DISCO and/or ADOS from a trained clinician. Diagnosis letters were obtained from
 2628 participants where possible, which confirmed diagnosis and IQ values >70 . Additionally,

2629 educational level was taken as a proxy measure. Those suffering from chronic pain, diabetes,
2630 Raynaud's syndrome, eczema, or sensitive/broken skin were excluded, as were those with a
2631 reported history of a severe psychiatric disorder or learning disability.

2632 Eight participants without an autism diagnosis were recruited through advertisement,
2633 selected to match each autistic individual on age: within a limit of ± 5 years ($M = 25.88$, $SD =$
2634 4.78) and gender (7 males). All were subject to the same exclusion/inclusion criteria
2635 mentioned, with the addition of SIB i.e., self-cutting to the exclusion criteria. This was only
2636 applied to the individuals without ASD because for autistic individuals, SIB tends to be
2637 classified as "stereotyped SIB" as opposed to the "impulsive SIB" that is habitual in nature
2638 and generally observed in individuals with a serious psychiatric illness (e.g., self-mutilation)
2639 or typically developing adolescents and adults (e.g., self-cutting; Minshawi et al., (2014);
2640 Yates, (2004)). Furthermore, the nature of SIB in autism may be a behaviour of interest,
2641 therefore a comparison to individuals without SIB, especially an SIB that is phenotypically
2642 and psychiatrically different, is essential. Although they were not explicitly matched on IQ,
2643 the control group were from the general population, suggesting $IQ > 70$ and educational level
2644 taken as a proxy measure for IQ. All participants in both groups were without pain
2645 medication or alcohol at least 24 hours before the investigation.

2646 As groups ($n = 8$ per group) were age and gender matched they did not significantly
2647 differ; $t(11) = .554$, $p = .590$ and $\chi^2(1) = 0$, $p = .767$, respectively. As expected groups had
2648 significantly different AQ scores $t(11) = .4.780$, $p = .001$, with the autism group showing
2649 greater autism trait severity (see table 9).

2650 **Table 10:**

2651 *Characteristics and questionnaire results of ASD and Control group*

Characteristic	ASD	Controls	Total
No. of participants	8	8	16
No. of participants with:			
ASD	3	-	3
Asperger's	5	-	5
Age	24.38 (4.13)	25.88 (4.78)	25.13 (12.23)
Gender			
Female	1	1	2
Male	7	7	14
Autism Quotient (AQ)*	34.50 (.752)	16.25 (2.09)	25.38 (11.09)

2652 Note: All values are given as mean (SD). * $p < .05$. ASD (Autism Spectrum Disorder).

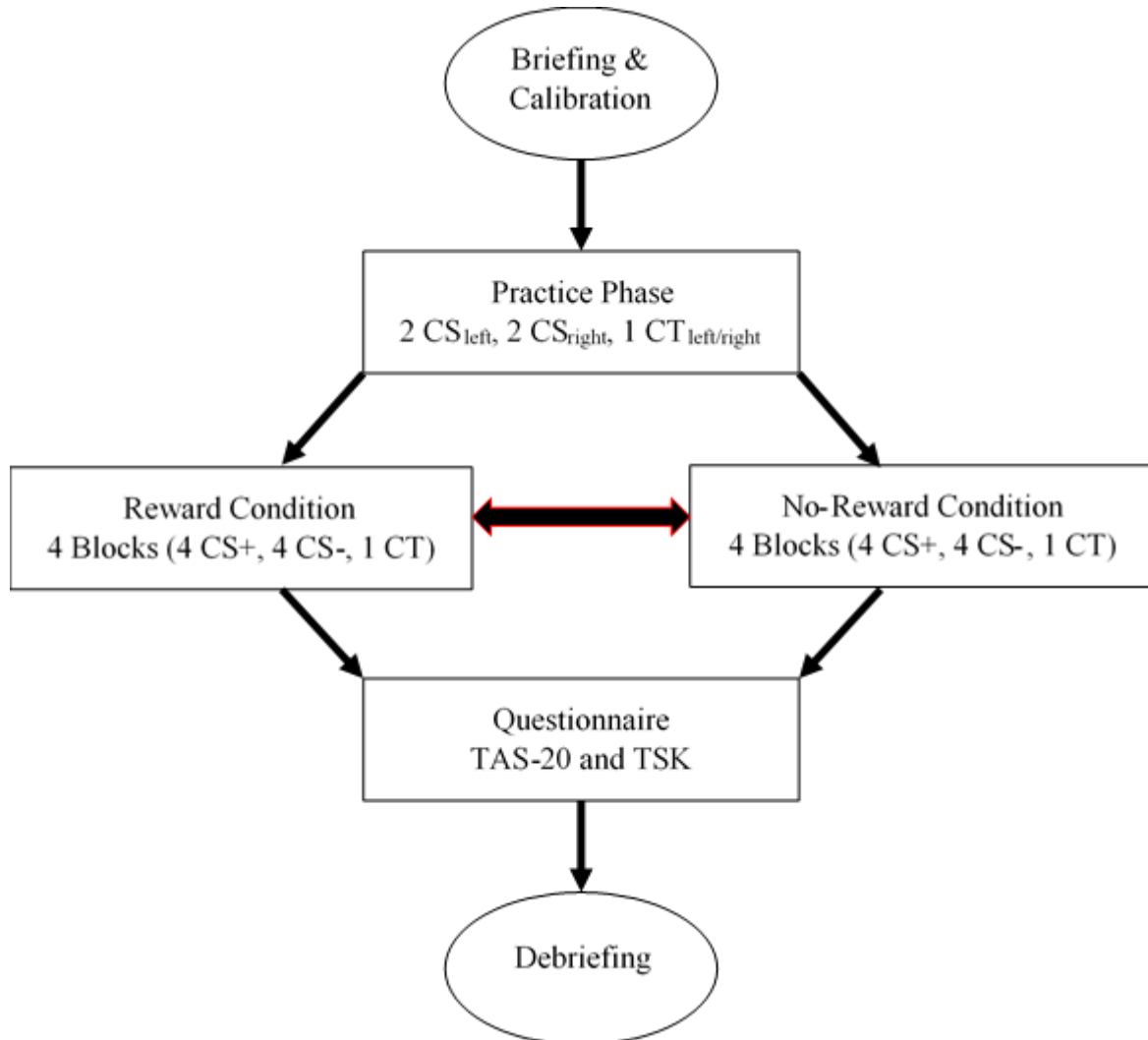
2653 The experiment was approved by Liverpool John Moores Ethics Committee (REC ref:
2654 15/NSP/054). Prior to consent participants received information both orally and in writing
2655 that painful stimuli would be administered, but that the intensity of the stimulus would not
2656 exceed their individual tolerance and that it was what is considered in pain administration as
2657 instantaneous i.e., exceptionally brief lasting only 300mS.

2658 **3.2.3 Procedure**

2659 All participants gave informed consent after being briefed and completed the health
2660 screening including the AQ, Repetitive Behaviour Scale-Revised (RBS-R), PCS, and Fear of
2661 Pain (FP) online prior to attending the laboratory for the experiment. The experiment
2662 included determination of thermal pain and tolerance levels and a volitional joystick task.
2663 The task included a calibration phase, a practice phase, and an experimental phase, consisting
2664 of a reward and no-reward condition. It lasted approximately 75 minutes. A graphical
2665 overview can be seen in figure 8.

2666 **Figure 8.**

2667 *Graphical overview of experimental design and procedure showing all phases of the*
2668 *experiment from briefing to debriefing, including the number of blocks (n = 4) and*
2669 *movements (n = 9) in each condition (Reward and No-Reward) of the volitional joystick task*



2670 *Note.* In the practice phase CS_{left} is a movement signalling to move left, and CS_{right} signals a right movement,
2671 whilst CT_{left/right} was the opportunity for participants to learn the cue for being able to choose which direction to
2672 move i.e., two signals of the same colour appeared. Experimental design. CS₊ indicates movements that are
2673 followed by either both the pain-US and the reward-US (Reward condition) or by the pain-US (No-reward
2674 condition) in 50% of the trials. The actual movement (left/right/up/down) that acted as the CS₊ were
2675 counterbalanced in conditions, and across participants. CS₋ indicates a safety movement: that is one that is
2676 never followed by a US. CT indicates the choice trials, where participants were free to choose which direction
2677 the moved. These always occurred at the end of each block and when choosing a CS₊ movement it was always
2678 followed by both US's 100% of the time. Movements were conducted in either vertical or horizontal planes and
2679 were counterbalanced across conditions for example: Up = CS₊ in the reward condition, therefore the CS₋ was
2680 down (horizontal plane), therefore the no-reward condition was in the vertical plane where CS₊ = left and CS₋ =
2681 right. Conditions were counterbalanced across participants within each group (indicated by the red outlined
2682 arrow). TAS-20 is The Toronto Alexithymia Scale and the TSK is The Tampa Scale for Kinesiophobia.
2683

2684 **3.2.4 Materials**

2685 **3.2.4.1 Questionnaires**

2686 All questionnaires were completed by both groups. The AQ was used to quantify
2687 autistic trait severity, meanwhile the RBS-R and The Toronto Alexithymia Scale (TAS-20)
2688 were used to measure symptomology associated with ASD. The PCS and FP to gain a
2689 measure of pain catastrophizing and fear of pain, for descriptive purposes. An additional
2690 scale was used to measure Kinesiophobia; The Tampa Scale (TSK). Both the AQ and the
2691 PCS are described in Chapter 2 (see section [2A.2.2.1.1](#) and [2A.2.2.1.2](#)).

2692 **3.2.4.1.1 Fear of Pain Questionnaire-III**

2693 Assesses the fear of pain using 30 items, each rated on a 5-point Likert Scale from 1
2694 (Not at all afraid) to 5 (Extremely afraid), with a maximum score of 150. Participants were
2695 asked to consider how fearful they were of experiencing the pain associated with each item.
2696 Pain examples are divided into three subscales: Severe pain, Minor pain, and Medical pain.
2697 Studies have supported the validity and reliability of the scale (McNeil & Rainwater, 1998;
2698 Osman et al., 2002).

2699 **3.2.4.1.2 Repetitive Behaviour Scale – Revised**

2700 Consisting of 44 items, the RBS-R measures the breadth of repetitive behaviour. It
2701 covers the full spectrum of suspected repetitive behaviours grouped into subscales, including:
2702 Stereotyped Behaviour, SIB, Compulsive Behaviour, Routine Behaviour, Sameness
2703 Behaviour and Restricted Behaviour (those which do not overlap in content to the other
2704 behaviour types listed). Each behaviour type is rated on a 4-point Likert Scale of how often
2705 said behaviour occurs (0: Does not occur to 3: Occurs and is a severe problem). Lastly
2706 participants are asked to consider all of the behaviours described and provide a global rating

2707 for how much these impact functioning using a numeric rating scale 0-100 (0: not a problem
2708 to 100: as bad a problem as you can imagine). Studies have supported the validity and
2709 reliability of the scale for use in ASD studies (Lam & Aman, 2007; Martínez-González &
2710 Piqueras, 2018).

2711 **3.2.4.1.3 The Toronto Alexithymia Scale**

2712 Alexithymia is described as a subclinical phenomenon marked by difficulties in
2713 identifying and describing feelings and difficulties in distinguishing feelings from the bodily
2714 sensations of emotional arousal (Nemiah et al., 1976). This scale is a 20-item instrument that
2715 is most commonly used to measure this phenomenon. Each item is rated between 1 (strongly
2716 disagree) and 5 (strongly agree), with items grouped into subscales of difficulty describing
2717 feelings, difficulty identifying feelings and externally oriented thinking. A score greater than
2718 61 is equal to alexithymia and a score between 52 to 60 represents possible alexithymia. The
2719 scale is both commonly used, with validity and reliability supported in several studies (Bagby
2720 et al., 1994; Parker et al., 2003).

2721 **3.2.4.1.4 The Tampa Scale for Kinesiophobia**

2722 In order to account for a fear of physical movement, particularly a movement related
2723 to experiencing pain, such as moving a joystick that is sometimes paired with a painful
2724 stimulus, a measure of Kinesiophobia was included. This would allow us to have confidence
2725 that results were reported were not due to Kinesiophobia - a fear of physical movement and
2726 activity resulting from a feeling of vulnerability due to experiencing pain (Larsson et al.,
2727 2016). The Tampa scale of Kinesiophobia measures the subjective fear of movement,
2728 discriminating between non-excessive fear and phobia using 17 items scored on a 4-point
2729 Likert scale, with a maximum score of 68. Any score over 37 is considered to represent a
2730 high score and therefore a likelihood of a feeling of vulnerability to pain/injury from

2731 movement. The scale has been previously validated by (H. Huang et al., 2019; Swinkels-
2732 Meewisse et al., 2003).

2733 ***3.2.4.2 Determination of Heat Pain Threshold and Tolerance***

2734 Prior to the Volitional Joystick Task, heat pain threshold (HPT) was measured using
2735 the standard procedure described in Chapter 2, [section 2A.2.2.2.1](#). Alongside this a measure
2736 of heat pain tolerance (HTOL) were also obtained. In brief this followed a similar protocol to
2737 the HPT; a thermode was heated at 1°C/second until participants pressed a button to indicate
2738 they had reached a point at which the painful temperature could no longer be tolerated. This
2739 was to ensure there were no differences in peripheral temperature processing that may
2740 account for differences in outcomes of the experiment.

2741 ***3.2.4.3 Volitional Joystick Task Stimuli***

2742 **3.2.4.3.1 Thermal Stimulus**

2743 A thermal CHEPS stimulus acted as painful unconditioned stimulus (pain-US). The
2744 pain-US is delivered by a Medoc Pathway Advanced Thermal Stimulator. A CHEPS
2745 thermode, attached to the dominant forearm, was heated from a baseline temperature of 32°C,
2746 at a ramp rate of 70°C/second until the thermode reached 52°C, at which point it then
2747 returned to baseline at 40°C/second. The stimulation lasted 300 milliseconds.

2748 **3.2.4.3.2 Reward Stimulus**

2749 A digital lottery ticket representing the chance to win an extra £20 voucher acted as
2750 the conditioned stimulus (reward-US) and was introduced during the reward condition.

2751 **3.2.4.3.3 Conditioned and Control Stimulus**

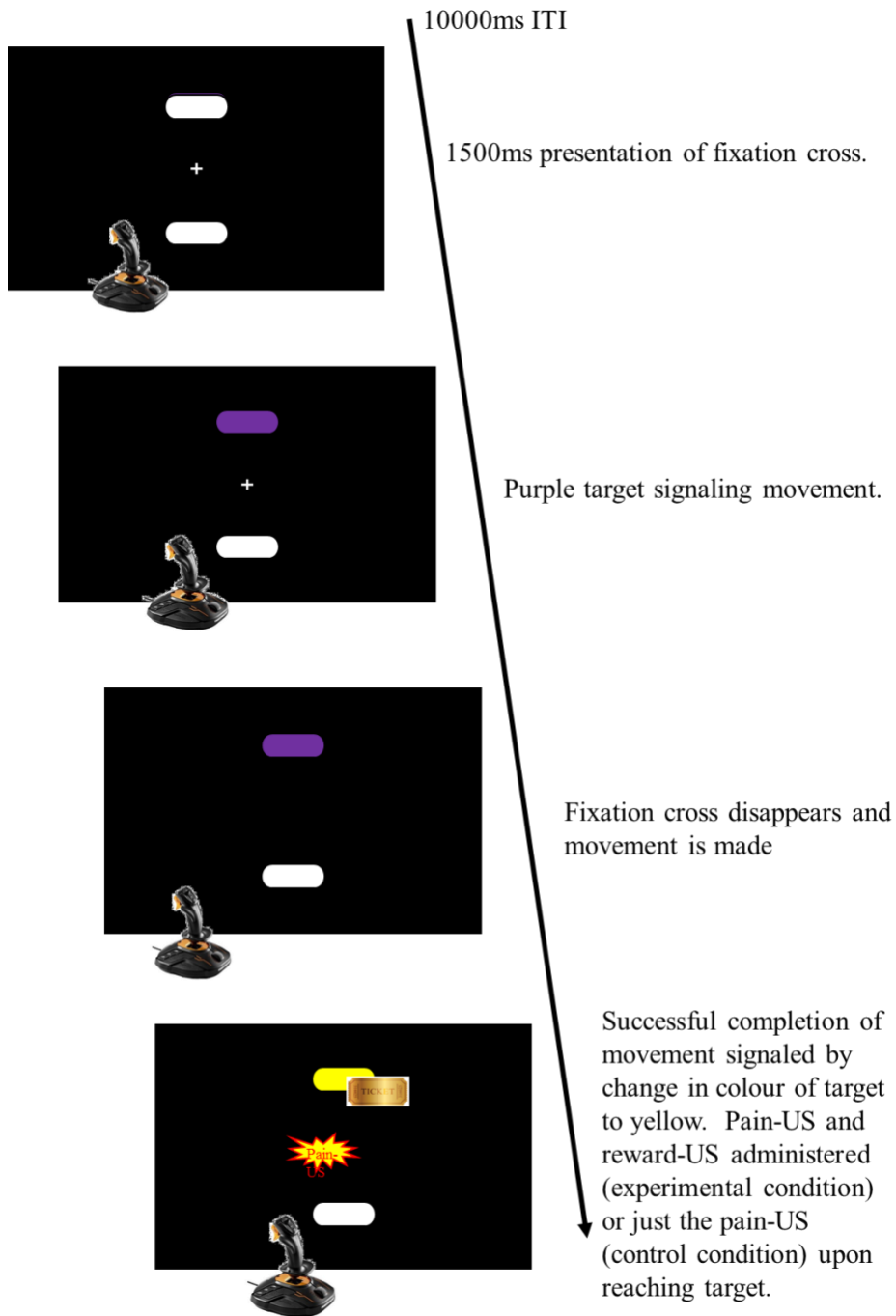
2752 The pain-US was delivered after completion of a movement in one direction (CS+)
2753 but not in another (CS-). The CS+ during the reward condition included the pain-US and the
2754 reward-US.

2755 **3.2.4.4 Volitional Joystick Task**

2756 The task involved participants moving a joystick towards a signalled target. They
2757 were presented with a fixation cross and two white boxes that acted as the target. The
2758 movement to be performed was signalled by a change in colour from white to purple of the
2759 corresponding target, this acted as the signalled target. Upon completion of the movement
2760 the purple box changed to yellow. Some of the movements were followed by the pain-US,
2761 some followed by the pain- and reward-US and some were safe, dependent on the phase and
2762 the condition. Figure 9 presents a graphical overview as an example.

2763 **Figure 9.**

2764 *Graphical overview of a trial within the volitional joystick task. This represents a trial in*
2765 *which the reward-US was paired with the pain-US.*



2766

2767 *Note.* Shows an example of the overall trial timings and process for a vertical trial, horizontal trials will be
2768 identical in presentation, but movements made left/right. A purple target signalled which direction to move
2769 towards. A correct movement is signalled by the target changing in colour from purple to yellow. During the
2770 reward condition, when the CS+ was reinforced with the reward-US a golden ticket image appeared. Pain-US
2771 was administered as soon as the target was reached. During the choice trials presentation was the same when a
2772 participant chose a CS+ movement, when a CS- movement was made, both targets appeared yellow.

2773 **3.2.4.4.1 Calibration Phase**

2774 During this phase, participants experienced the thermal stimulus that would act as the
2775 pain-US for the task, in order to obtain an individual endurance level. Participants were sat in
2776 a chair approximately 0.6m away from the computer screen. They were asked to rate their
2777 ability to endure the stimulus using a 0 to 10 numeric rating scale (NRS; 0 completely able to
2778 endure to 10 meaning completely unable to endure). If a participant rated endurance at 10 on
2779 the NRS, a lower maximum temperature was implemented, and the procedure repeated until
2780 an endurance level less than 10 was achieved. *T*-tests revealed that the ASD group ($M =$
2781 4.38 , $SD = 2.77$) did not significantly differ from controls ($M = 2.06$, $SD = 2.34$) in their
2782 ability to endure the pain stimulus $t(14) = 2.312$, $p = .093$. Participants were then asked
2783 having experienced the painful stimulus, did they consent to continuing with the experiment
2784 and to repeatedly receiving this stimulus at their individual endurance level. Intensity and
2785 unpleasantness ratings of the stimulus were then obtained using the same NRS (0 meaning no
2786 pain, 10 meaning most intense/unpleasant pain imaginable).

2787 **3.2.4.4.2 Practice Phase**

2788 This phase allowed participants to familiarize themselves with the experimental task
2789 and how to operate the joystick. They were instructed to move the joystick as fast and as
2790 accurately as possible towards the signalled target as soon as the fixation cross disappeared
2791 and were instructed at every stage about what was the target (white box), when they were
2792 being signalled to move (purple box) and that if they achieved a successful movement the
2793 box would change colour again (to yellow). During this phase, neither the pain-US nor the
2794 reward-US was presented. Participants could monitor their own joystick movements via a
2795 cursor shown on the screen. When a non-signalled movement was performed, or the joystick
2796 left the starting region an error message was displayed (an error cross).

2797 Two blocks of five trials were run. The first block consisted of two horizontal
2798 movements (left/right), followed by one choice trial, i.e., participants had to choose which
2799 direction to perform. The second block was identical, only movements were made in the
2800 vertical plane (i.e., up/down). Each trial started with a 1.5 second presentation of the fixation
2801 cross and ended when the target was reached. The next trial started 10 seconds later.

2802 **3.2.4.4.3 Experimental Phase**

2803 A mixed design was employed wherein all participants in each group completed both
2804 the reward and no-reward conditions. The order that these conditions were completed were
2805 counterbalanced as were the movements to be made in each condition. Participants were
2806 randomly allocated to either completing the reward or no-reward condition first. They also
2807 manipulated the joystick in the horizontal plane (left/right) during the reward condition and in
2808 the vertical plane (up/down) in the no-reward condition or vice versa. The movement which
2809 acted as CS+ (paired with pain-US and reward-US) was also counterbalanced across
2810 participants, so that each movement acted as CS+ depending on the previous counterbalances.

2811 At the start of each condition, the instruction to focus on the fixation cross was given
2812 as well as to perform the signalled movements as quickly and as accurately as possible, as
2813 soon as the fixation cross disappeared. At the end of the experiment i.e., after completing all
2814 phases, participants were also asked; “How important was it to avoid the thermal pain
2815 stimulus?” and “How important was it to earn the reward?” using a Likert scale ranging 0
2816 (not at all important) to 10 (very important).

2817 **3.2.4.4.3.1 Reward Condition**

2818 In the reward condition a movement in one direction was followed by the pain-US
2819 and a reward -US (CS+), whereas movement in the opposite direction was not (CS-). On

2820 some trials participants were requested to perform the signalled movement, whereas on others
2821 they could choose which direction to move.

2822 There were four reward acquisition blocks consisting of eight trials (4*CS+ and
2823 4*CS-). CS+ movements were immediately followed by the pain-US and the reward-US in
2824 half of the trails (50% reinforcement rate), whereas the CS- was never reinforced. All
2825 participants therefore received eight pain- and reward-USs in total during this condition.

2826 Each block was followed by a choice trial in which participants could choose the
2827 direction they wished to move in. In these trials, CS+ was always followed by both US's
2828 (100% reinforcement rate), whereas CS- was never followed by either. If participants chose
2829 to move towards CS+, participants received both pain-US and reward-US (volitional part of
2830 the task).

2831 At the end of each block, participants rated the pain intensity, unpleasantness and
2832 endurance of the pain stimulus.

2833 Once during each block, before the start of one CS+ and one CS- movement pain
2834 related fear and pain expectancy were measured, using the following questions:

- 2835 • “To which extent were you afraid that performing [left/right/up/down]
2836 movement was going to be painful?”
2837 • “How likely were you to receive pain when the following movements were
2838 made; left/right, up/down”?

2839 All were answered using a 10-point Likert scale.

2840 **3.2.4.4.3.2 No-reward Condition**

2841 The no-reward condition was identical to the reward condition, with the exception
2842 that the CS+ movement was only ever followed by the pain-US and not the reward-US (See
2843 figure 8 for overall view of trial).

2844 **3.2.4.5 Task Self-Report Measures**

2845 **3.2.4.5.1 Outcome Measures**

2846 The primary goal of this experiment was to investigate the effect of pain on
2847 motivation to perform cued actions and whether a concurrent reward was able to attenuate
2848 pain-related fear. Participants were therefore asked to indicate to what extent they were
2849 fearful that the movement would be painful (pain-related fear) prior to performing that
2850 movement. Secondly, in order to determine if the reward-US had any effect on intensity,
2851 unpleasantness or endurance participants were asked to retrospectively rate to what extent the
2852 stimulus was painful, unpleasant and tolerable, using a 10-point NRS (0; not at all to 10; very
2853 much). Lastly, in order to determine if contingency learning occurred participants reported
2854 online, using a 10-point NRS, prior to a CS+ and CS- movement to what extent they expected
2855 the pain-US to occur (pain expectancy). All of these were considered in terms of whether the
2856 ASD group differed from the control group.

2857 **3.2.4.5.2 Additional Measures**

2858 To explore the role of goal importance on avoidance behaviour, participants indicated
2859 retrospectively how important they found the goal during the experiment using a Likert scale
2860 ranging 0 (not at all important) to 10 (very important). The questions were as follows: “How
2861 important was it to avoid the pain stimulus?” (pain-avoidance), and, “How important was it to
2862 earn the reward?” (approach-reward).

2863 **3.2.4.6 Task Behavioural Measures**

2864 **3.2.4.6.1 Latencies**

2865 **3.2.4.6.1.1 Initial Response Latency**

2866 Initial response latency was recorded for every movement and is considered as a
2867 proxy of the initial reaction or reflex response. It was defined in this experiment as the time
2868 from the disappearance of the fixation cross until participants left the start region. In order to
2869 capture this reflex response the invisible area around the fixation cross was set at 20 pixels,
2870 smaller than that of the response latency, which was replicated from Claes et al., (2014).

2871 **3.2.4.6.1.2 Response Latency**

2872 Response latency was recorded for every movement in order to give a proxy measure
2873 of avoidance behaviours. Response latency is defined in this experiment as the time from the
2874 disappearance of the fixation cross until participants left the start region; a very small
2875 invisible area round the fixation cross in the middle of the screen of 50 pixels (screen
2876 resolution of 1024*1280).

2877 **3.2.4.6.1.3 Response Time**

2878 Response time was recorded for every movement, defined in this experiment as the
2879 time from the disappearance of the fixation cross until participants reached either the
2880 signalled or chosen target as a measure of task completion.

2881 **3.2.4.6.2 Decision-making Behaviour**

2882 As a proxy measure of approach/avoidance decision-making behaviour, participants
2883 completed four choice trials per condition in which they could choose between a CS+
2884 movement (pain-US and reward-US) or a CS- movement (safety movement; no pain-US).

2885 **3.2.4.7 Task Apparatus**

2886 The experiment was run on a Windows computer with an IntelCore2 Duo processor
2887 and 256 MB of video random-access memory. The experiment was programmed in E-prime
2888 Pro2 (Psychology Software Tools version 2.0) with a joystick (ThrustMaster VG, T1.6000M
2889 FCS) used for performing the movements, i.e., towards left, right, up, down. Movements
2890 were always carried out by participants using their dominant hand. The direction of
2891 movement was always indicated by a signal (a change in colour of the target from white to
2892 purple) or chosen by the participant.

2893 **3.2.5 Data Evaluation**

2894 **3.2.5.1 Heat Pain Threshold and Tolerance**

2895 For HPT and HTOL a mean value of three measures was taken. For HPT the data
2896 evaluation process discussed in Chapter 2, [section 2A.2.4](#) was followed to create a Z-score
2897 value. This was to ensure we could compare to published norms to ensure that the sample
2898 had typical heat pain processing. For HTOL mean values were compared across groups to
2899 ensure no significant differences were present.

2900 **3.2.5.2 Task**

2901 The mean NRS rating was calculated for the ratings from multiple blocks for each
2902 condition for pain intensity, unpleasantness, and endurance. Outlier trials for the latencies
2903 were determined as those <250 and >3,000ms (Claes et al., 2014a) and were eliminated prior
2904 to mean calculations. Mean latencies for each CS movement (CS+ and CS-) per condition
2905 (reward and no-reward) were calculated for each participant by averaging the movements for
2906 each condition. For each condition, the total number of times the CS+ was chosen (i.e.,
2907 during the choice trials) as an index of decision-making behaviour was calculated (range = 0-

2908 4). This would be the total number of times CS+ was chosen during the choice trials in each
 2909 block.

2910 Two (Group [ASD/Controls]) *2 (Condition [reward/no-reward]) *2 (CS type
 2911 [CS+/CS-]) mixed ANOVAs were run to determine group differences in the effects of
 2912 reward-US on latencies, pain-related fear, and pain-expectancy. Separate 2 (Group
 2913 [ASD/Controls]) *2 (Condition [reward/no-reward]) ANOVAs were conducted to determine
 2914 the effects of reward-US on decision making behaviour, pain intensity, unpleasantness, and
 2915 endurance. Correlations were used to determine if there was any relationship between the
 2916 painful yet rewarding stimulus, pain avoidance, goal attainment, pain-related fear and pain
 2917 expectancy.

2918 3.3 Results

2919 *T*-tests revealed that the ASD group experienced significantly greater restrictive
 2920 repetitive behaviour patterns $t(11) = 3.218, p = .008$ (RBS-R, Lam & Aman, 2007) that were
 2921 rated as having a greater impact on daily functioning $t(11) = 6.856, p = .000$, as well as
 2922 greater levels of alexithymia $t(11) = 3.520, p = .005$ (TAS-20) compared to controls (see
 2923 table 10).

2924 **Table 11:**

2925 *Descriptive statistics for Questionnaire results for both ASD and Control group*

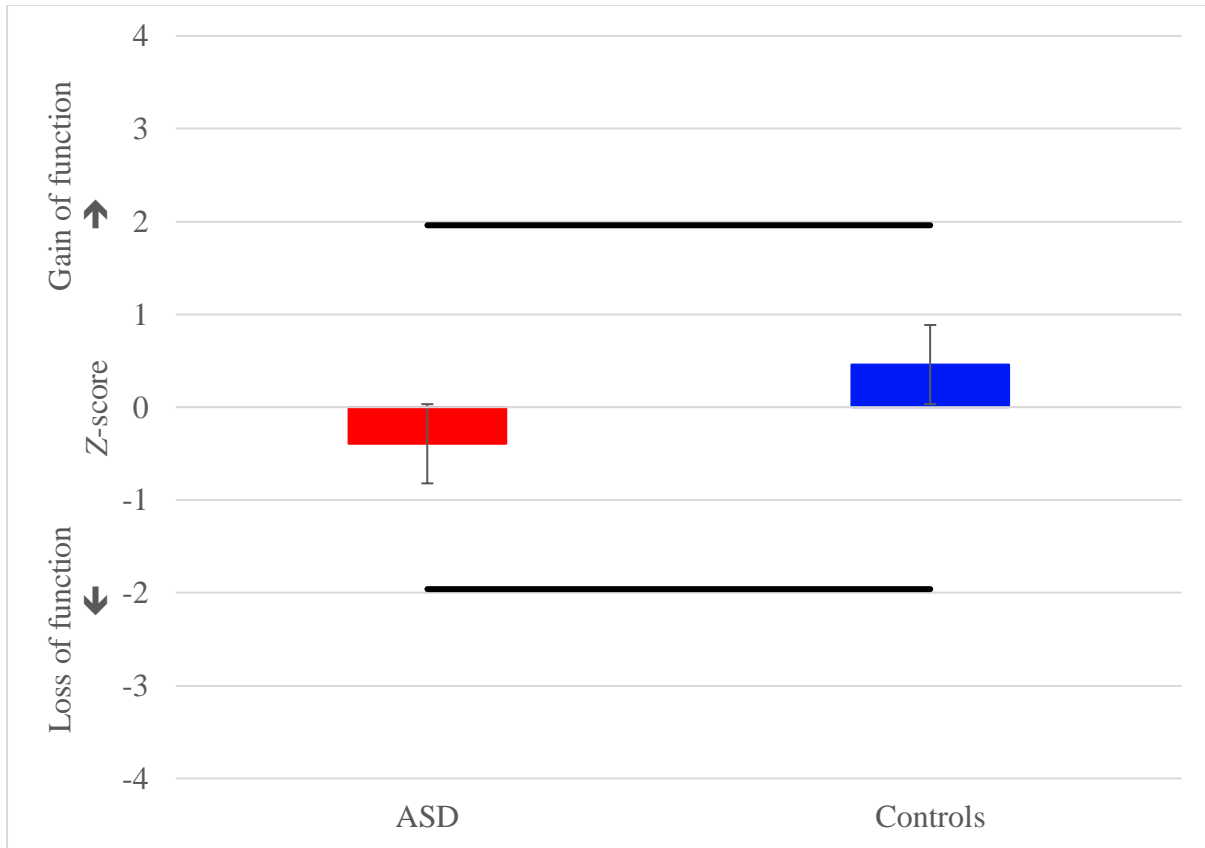
Characteristic	ASD	Controls	Total
No. of participants	8	8	16
Pain Catastrophizing Scale (PCS)	20.50 (5.75)	12.50 (3.35)	16.50 (13.52)
Fear of Pain Questionnaire (FP)	85.50 (9.15)	76.73 (9.73)	81.06 (26.56)
Restrictive Repetitive Behaviour Scale (RRBS)*	41.38 (6.66)	8.13 (3.35)	24.75 (22.41)
RRBS Global Rating*	53.63 (5.05)	13.40 (2.99)	38.15 (23.42)
Toronto Alexithymia Scale (TAS-20)*	61.88 (3.00)	43.75 (2.48)	52.81 (12.01)
The Tampa Scale for Kinesiophobia (TSK)	36.75 (2.72)	32.88 (2.98)	34.81 (8.05)

2926 Note: All values are given as mean (SD). * $p < .05$. ASD (Autism Spectrum Disorders).

2927 **3.3.1 Heat Pain Threshold and Tolerance**

2928 **Figure 10.**

2929 *Adjusted Z-scored Heat Pain Thresholds for the ASD and Control group*



2930 *Note.* Adjusted Z-score data for ASD vs. control group for HPT including standard error bars. Any column that
2931 extends outside the 95% confidence interval of the normal distribution of healthy subjects (=area between the
2932 black lines) signifies sensory changes.
2933

2934 *T*-test revealed that there were no significant group differences in heat pain threshold
2935 (see figure 10) or heat pain tolerance levels (see table 11), showing typical psychophysical
2936 response of temperature $t(14) = -1.216, p = .244, \delta = 0.56$ and $t(14) = -1.310, p = .211, \delta =$
2937 0.65 , respectively. These findings support those of earlier studies, therefore any cognitive
2938 effects in this experiment are unlikely a result of altered sensory processing.

2939 **Table 12:**

2940 *Untransformed data values of QST Heat Pain Threshold parameter and Heat Pain Tolerance*
 2941 *for ASD and Control group*

	ASD	Controls	<i>p value</i>	<i>Effect size (δ)</i>
Heat Pain Threshold (HPT; °C)	43.76 (4.92)	45.98 (3.28)	.244	0.56
Heat Pain Tolerance (HTOL; °C)	48.38 (2.72)	49.91 (1.91)	.211	0.65

2942 *Note.* Group raw data values for each QST parameter and additional sensory tests given as mean ± SD to aid
 2943 understanding in terms of their actual unit of measurement i.e., temperature in Celsius.
 2944 All *p* values and effect sizes given for HPT are for the inferential statistics conducted on transformed data as
 2945 discussed in Chapter 2 methods section.

2946 3.3.2 Task Self-report measures

2947 A series of 2 (Group [ASD/Controls]) *2 (Condition [reward/no-reward]) *2 (CS type
 2948 [CS+/CS-]) mixed ANOVAs were run to determine group differences in the effects of
 2949 reward-US on pain-related fear and pain-expectancy. Separate 2 (Group [ASD/Controls]) *2
 2950 (Condition [reward/no-reward]) ANOVAs were conducted to determine the effects of
 2951 reward-US on pain intensity, unpleasantness, and endurance (see table 12).

2952 **Table 13:**

2953 *Ratings for self-report measures for ASD and Control group, across conditions (No-Reward*
 2954 *and Reward) and movement type (CS+/CS-)*

	CS type	No-reward condition		Reward condition	
		ASD	Controls	ASD	Controls
Pain Expectancy	CS+	5.25 (3.01)	3.88 (1.92)	5.72 (2.47)	4.13 (1.79)
	CS-	2.69 (2.00)	2.50 (2.53)	4.75 (3.05)	1.41 (1.42)
Pain Related Fear	CS+	3.78 (3.43)	2.41 (2.24)	2.81 (3.22)	1.97 (1.85)
	CS-	1.97 (2.13)	1.59 (2.15)	2.75 (2.70)	1.16 (1.46)
Pain Intensity		4.25 (2.34)	2.56 (2.38)	3.41 (2.20)	2.25 (1.83)
Pain Unpleasantness		3.78 (2.47)	2.41 (2.20)	3.19 (2.45)	2.70 (2.15)
Pain Endurance		2.22 (2.33)	1.63 (1.72)	2.09 (2.68)	1.34 (2.18)

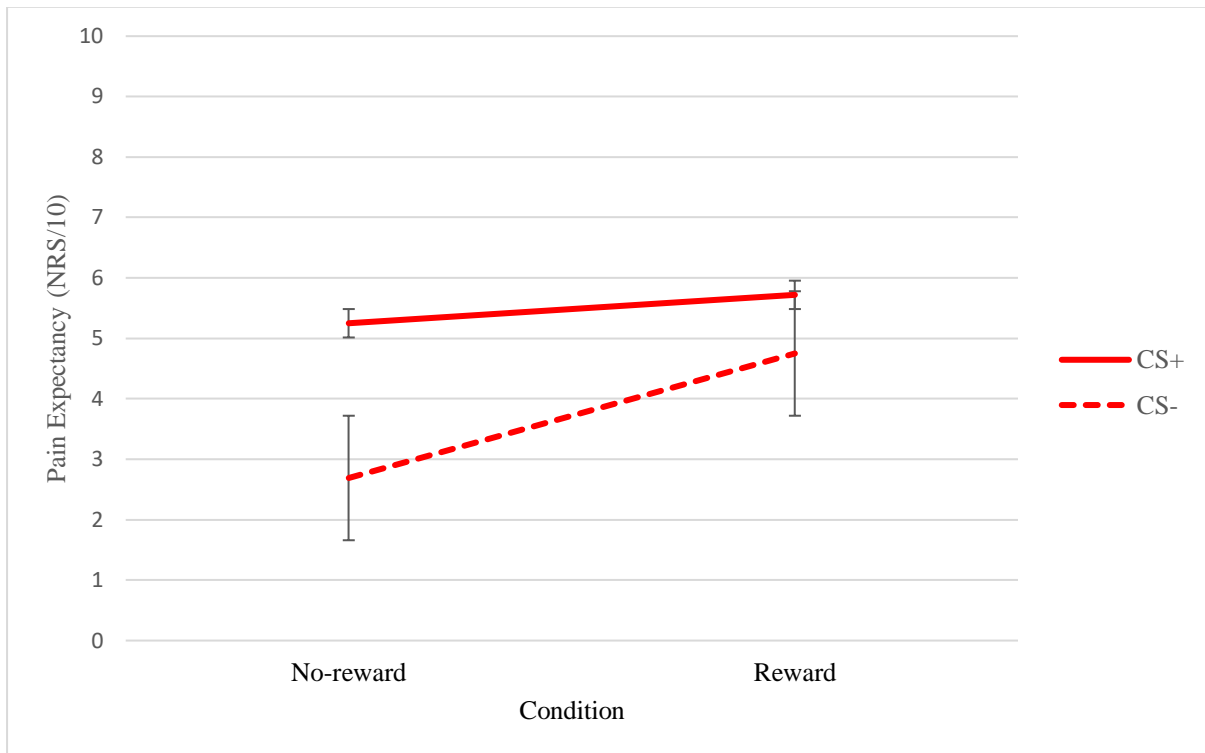
2955 *Note.* Values are given as mean (*M*) and standard deviation (*SD*) as n/10. ASD (Autism Spectrum Disorder).
 2956 CS+ indicates movements that are followed by either both the pain-US and the reward-US (Reward condition)
 2957 or by the pain-US (No-reward condition) in 50% of the trials. CS- indicates a safety movement: that is one that
 2958 is never followed by a US.

2959 3.3.2.1 Pain Expectancy

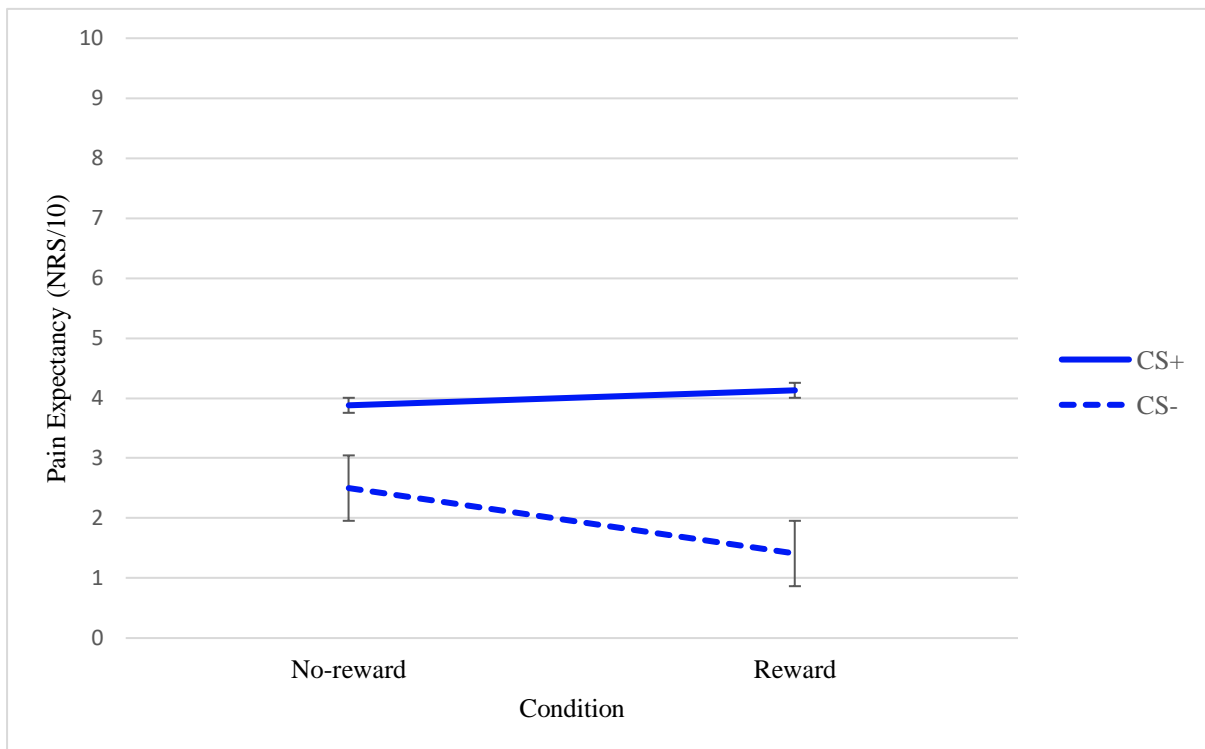
2960 There was a significant effect of group revealing that the ASD group had higher pain
2961 expectancy ratings than the control group $F(1,14) = 6.547, p = .023, \eta_p^2 = .319$. There was a
2962 significant main effect of CS type $F(1,14) = 8.106, p = .013, \eta_p^2 = .367$, therefore participants
2963 learned that the CS+ movement was associated with the pain-US, and consequently they
2964 expected significantly more pain during a CS+ than a CS- movement (see table 13 above for
2965 mean values). There was no main effect of condition ($F(1,14) = .483, p = .498, \eta_p^2 = .033$), a
2966 condition*group interaction ($F(1,14) = 1.933, p = .186, \eta_p^2 = .121$), a CS type*group
2967 interaction, $F(1,14) = .044, p = .837, \eta_p^2 = .033$ or a condition*movement interaction ($F(1,14)$
2968 $= .026, p = .873, \eta_p^2 = .002$). There was a trend towards significance for the condition*CS
2969 type*group interaction $F(1,14) = 3.647, p = .077, \eta_p^2 = .207$. This indicates a trend for pain
2970 expectancy for CS type differing according to condition, and that these ratings were different
2971 in the ASD group compared to controls (see figure 11). This pattern of findings shows that
2972 contingency learning occurred due to the pain for controls, as their ratings for pain
2973 expectancy were higher for a painful movement than a non-painful movement and occurred
2974 due to the presence of the reward for the ASD group, as ratings increased across conditions.

2975 **Figure 11.**

2976 *Mean pain expectancy ratings (NRS/10) for movements (CS+/CS-) in both No-reward and*
2977 *Reward conditions for the ASD group (red line chart) and Control group (blue line chart)*



2978
2979



2980 *Note.* Shows the three-way interaction for condition*movement*group for pain expectancy. Pain expectancy is
2981 given as Mean (NRS/10). CS+ indicates movements that are followed by either both the pain-US and the
2982 reward-US (Reward condition) or by the pain-US (No-reward condition) in 50% of the trials. CS- indicates a
2983 safety movement: that is one that is never followed by a US.
2984

2985 3.3.2.2 *Pain-Related Fear*

2986 There was no significant effect of group ($F(1) = 1.097, p = .313, \eta_p^2 = .073$), nor any
2987 significant main effects of condition $F(1,14) = .579, p = .459, \eta_p^2 = .040$, or CS type $F(1,14)$
2988 $= 2.424, p = .630, \eta_p^2 = .148$, for pain-related fear indicating that fear was not influenced by
2989 either reward-US or pain-US. Non-significant interactions were found for condition*group
2990 ($F(1,14) = .243, p = .630, \eta_p^2 = .017$), CS type*group ($F(1,14) = .012, p = .913, \eta_p^2 = .001$),
2991 condition*CS type ($F(1,14) = 1.927, p = .187, \eta_p^2 = .121$) and condition*CS type*group
2992 ($F(1,14) = 1.927, p = .187, \eta_p^2 = .121$), indicating that for pain-related fear, not only was this
2993 not influenced by the reward-US or the pain-US, but that groups did not differ.

2994 3.3.2.3 *Pain Intensity and Unpleasantness*

2995 For pain intensity there was a trend towards a significant main effect for conditions,
2996 ($F(1,14) = 3.897, p = .068, \eta_p^2 = .218$), indicating that there was a trend for the reward-US to
2997 attenuate pain intensity, as ratings reduced from no-reward condition to reward condition (see
2998 table 3). There was a non-significant interaction for condition*group ($F(1,14) = .823, p =$
2999 $.380, \eta_p^2 = .056$), indicating that there was no group differences in pain intensity across
3000 conditions.

3001 There was no main effect of condition for unpleasantness ($F(1,14) = 1.734, p = .209,$
3002 $\eta_p^2 = .110$) or endurance ($F(1,14) = 2.429, p = .141, \eta_p^2 = .148$), nor were there significant
3003 interactions for condition*group for either unpleasantness ($F(1,14) = .469, p = .505, \eta_p^2 =$
3004 $.032$) or endurance ($F(1,14) = .359, p = .558, \eta_p^2 = .025$), indicating reward-US did not
3005 attenuate these.

3006 **3.3.3 Task Behavioural Responses**

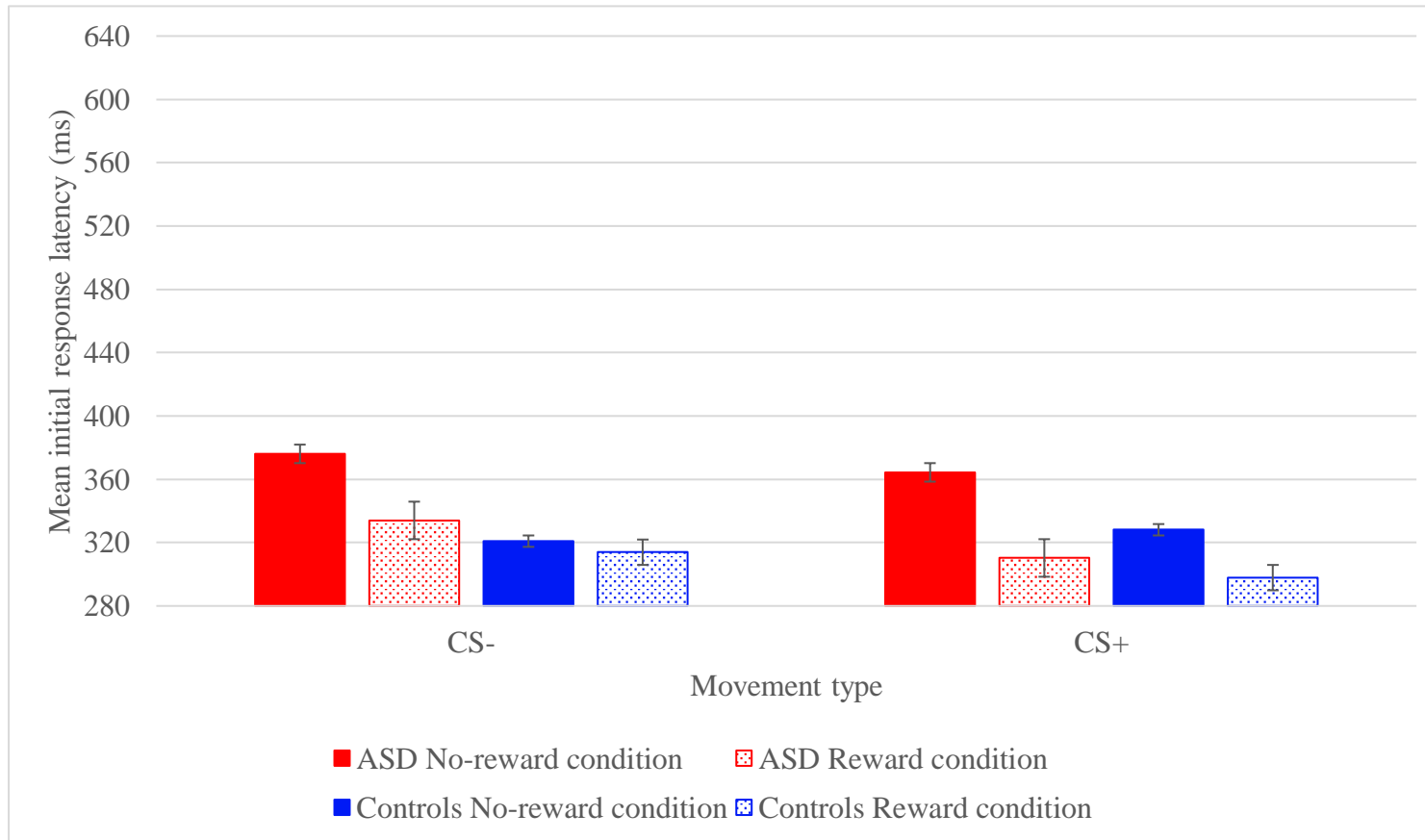
3007 Several 2 (Group [ASD/Controls]) *2 (Condition [reward/no-reward]) *2 (CS type
3008 [CS+/CS-]) mixed ANOVAs were run to determine group differences in the effects of
3009 reward-US on latencies: initial response latency, response latency and response time.

3010 **3.3.3.1 Initial Response Latency**

3011 There was no significant effect of group $F(1,14) = .149, p = .705, \eta_p^2 = .011$. There
3012 was no significant main effect of condition ($F(1,14) = 2.646, p = .126, \eta_p^2 = .159$) or CS type
3013 ($F(1,14) = .591, p = .455, \eta_p^2 = .404$). Neither were interactions significant; condition*
3014 group ($F(1,14) = .516, p = .484, \eta_p^2 = .036$), CS type* group ($F(1,14) = .213, p = .652, \eta_p^2 =$
3015 $.015$), condition*CS type ($F(1,14) = .458, p = .509, \eta_p^2 = .032$) and condition*CS type*group
3016 ($F(1,14) = .046, p = .833, \eta_p^2 = .003$). Indicating that within the defined area being
3017 measured, neither the reward-US nor pain-US impacted the reflex movement, i.e., initial
3018 response latency for groups (see figure 12).

3019 **Figure 12.**

3020 *Mean initial response latencies (given in ms) for No-Reward and Reward conditions and movements (CS+/CS-) for both ASD and Control group*



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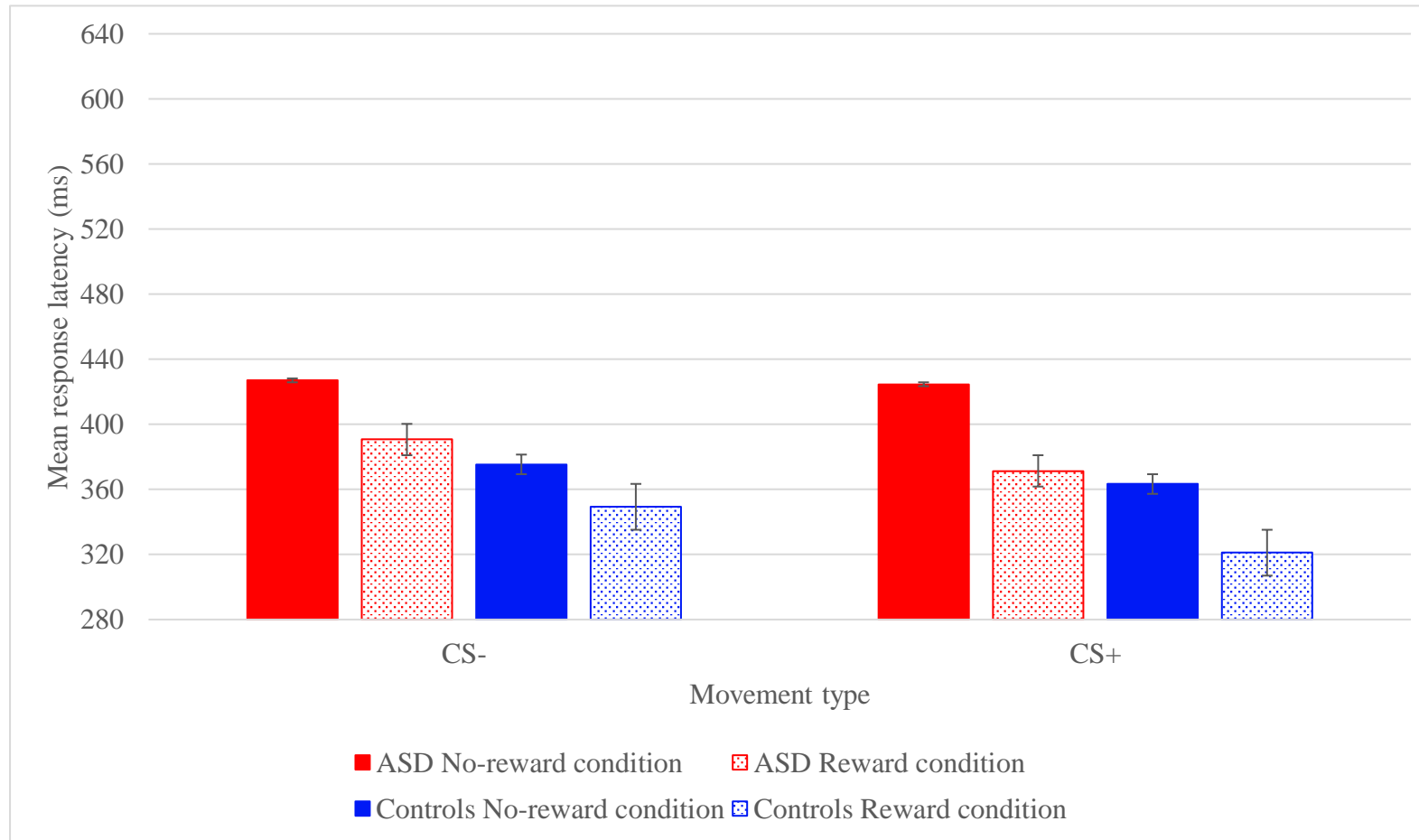
3022 *Note.* Mean latencies for CS type (CS+/CS-) for both conditions (reward/no-reward) for both groups (ASD/controls). Values given as mean (ms) including standard error
3023 bars. CS+ indicates movements that are followed by either both the pain-US and the reward-US (Reward condition) or by the pain-US (No-reward condition) in 50% of the
3024 trials. CS- indicates a safety movement: that is one that is never followed by a US.

3025 **3.3.3.2 Response Latency**

3026 There was no significant effect of group $F(1,14) = .448, p = .514, \eta_p^2 = .031$. There
3027 was no main effect of condition ($F(1,14) = 2.914, p = .110, \eta_p^2 = .110$) or CS type ($F(1,14) =$
3028 $1.306, p = .272, \eta_p^2 = .272$). Neither were interactions significant; condition* group ($F(1,14)$
3029 $= .053, p = .821, \eta_p^2 = .036$), CS type* group ($F(1,14) = .118, p = .737, \eta_p^2 = .015$),
3030 condition*CS type ($F(1,14) = .543, p = .473, \eta_p^2 = .032$) and condition*CS type*group
3031 ($F(1,14) = .000, p = .987, \eta_p^2 = .987$). Indicating that within the defined area being
3032 measured, neither the reward-US nor pain-US impacted the decision to move i.e., the
3033 response latency for groups was similar (see figure 13).

3034 **Figure 13.**

3035 *Mean response latencies (given in ms) for No-Reward and Reward conditions and movements (CS+/CS-) for both ASD and Control group*



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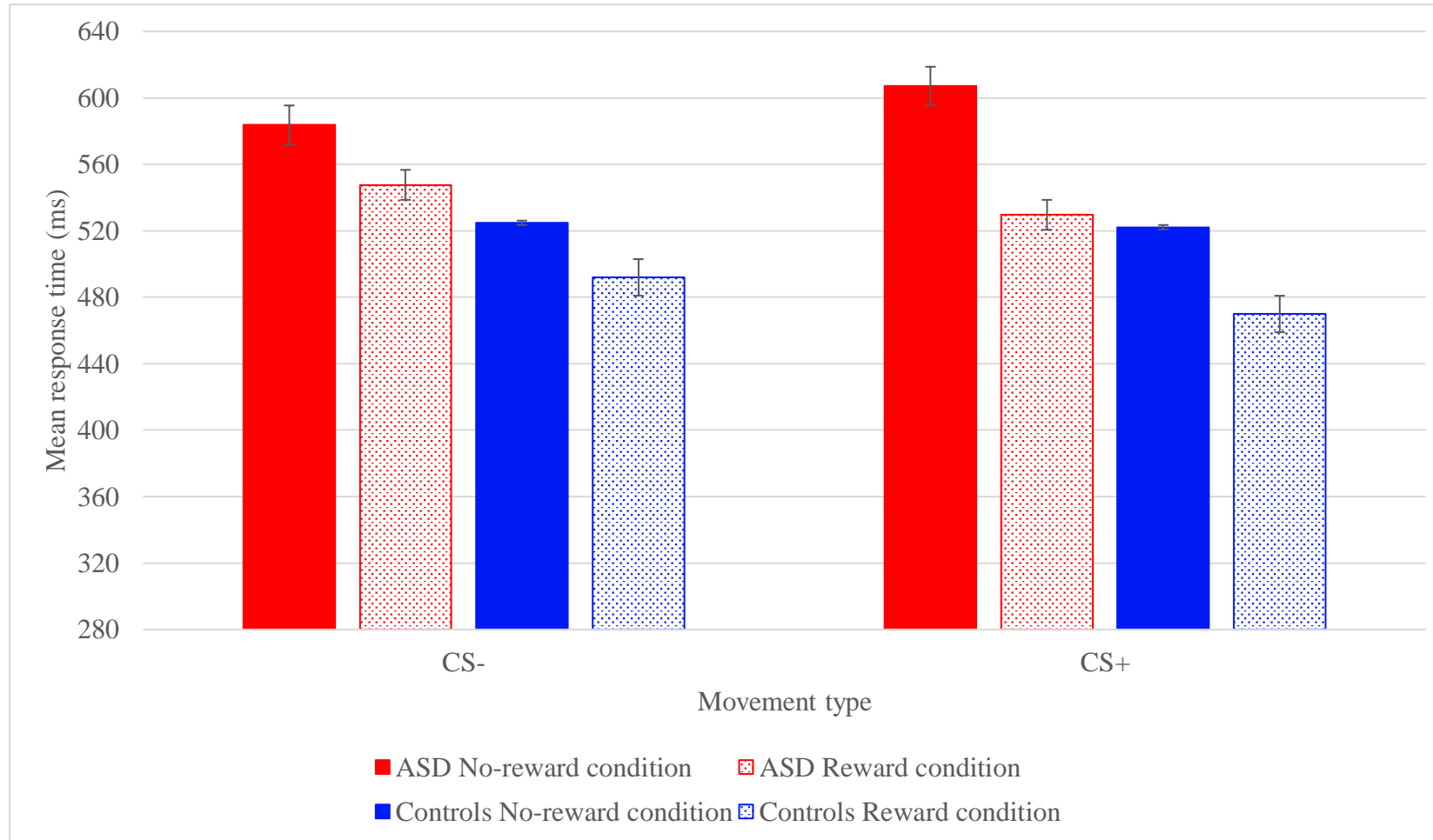
Note. Mean latencies for CS type (CS+/CS-) for both conditions (reward/no-reward) for both groups (ASD/controls). Values given as mean (ms) including standard error bars. CS+ indicates movements that are followed by either both the pain-US and the reward-US (Reward condition) or by the pain-US (No-reward condition) in 50% of the trials. CS- indicates a safety movement: that is one that is never followed by a US.

3040 3.3.3.3 Response Time

3041 For the response time, i.e. the time it takes to reach the target and complete a signalled
3042 movement, there was no effect of group $F(1,14) = .533, p = .478, \eta_p^2 = .037$. There was a
3043 significant main effect of condition $F(1,14) = 6.279, p = .025, \eta_p^2 = .310$. Indicating that the
3044 reward-US influenced participants to respond faster representing an increase in motivation
3045 (see figure 14). Although they were not influenced by the pain-US as a non-significant main
3046 effect of CS type was found $F(1,14) = .182, p = .676, \eta_p^2 = .013$. There were no significant
3047 interactions for condition*group ($F(1,14) = .129, p = .725, \eta_p^2 = .009$), CS type*group
3048 ($F(1,14) = .432, p = .522, \eta_p^2 = .030$), condition*CS type ($F(1,14) = 1.921, p = .187, \eta_p^2 =$
3049 $.121$) and condition*CS type*group ($F(1,14) = .252, p = .623, \eta_p^2 = .018$).

3050 **Figure 14.**

3051 *Mean response time (given in ms) for No-Reward and Reward conditions and movements (CS+/CS-) for both ASD and Control group*



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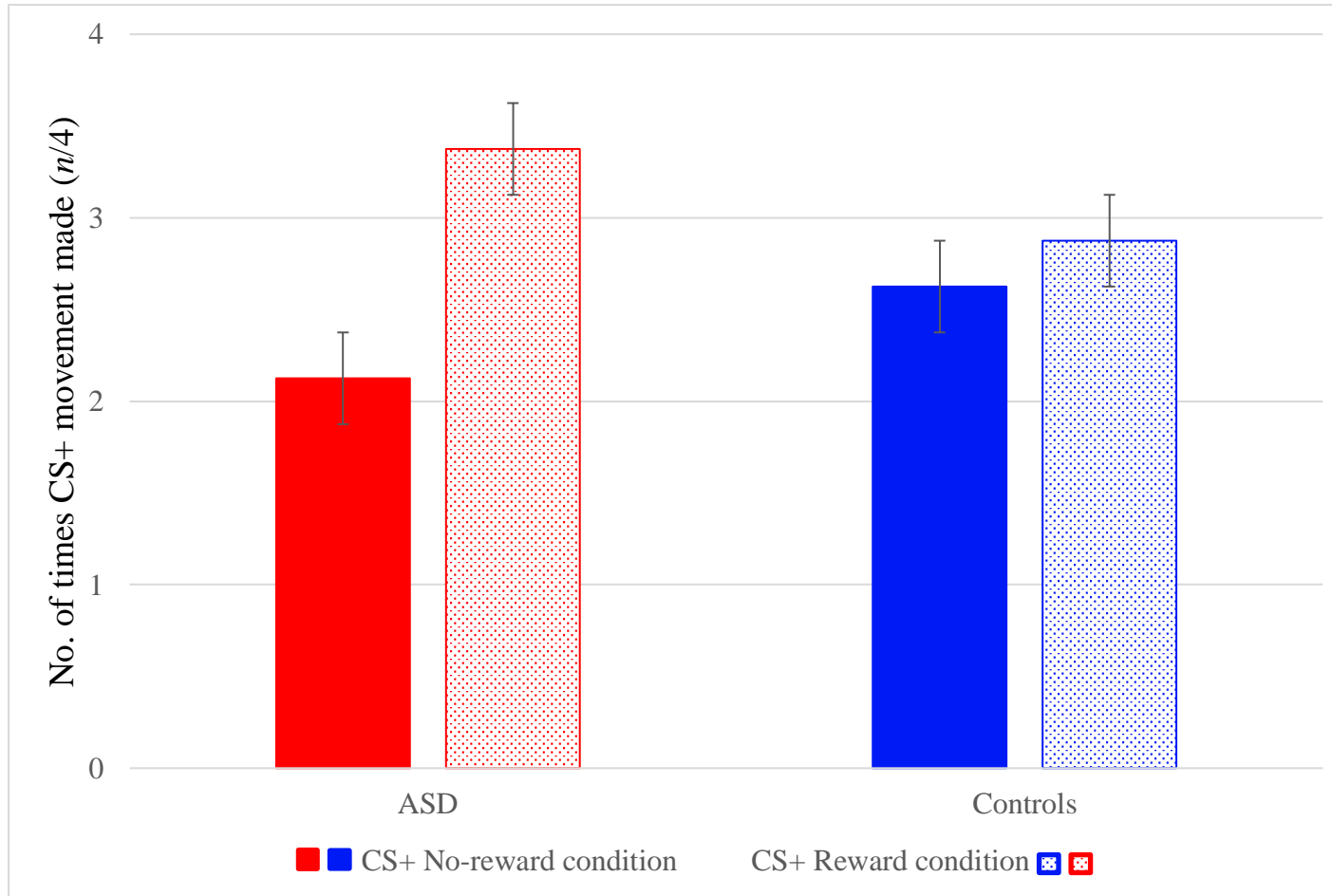
Note. Mean latencies for CS type (CS+/CS-) for both conditions (reward/no-reward) for both groups (ASD/controls). Values given as mean (ms) including standard error bars. CS+ indicates movements that are followed by either both the pain-US and the reward-US (Reward condition) or by the pain-US (No-reward condition) in 50% of the trials. CS- indicates a safety movement: that is one that is never followed by a US.

3056 3.3.4 Decision Making Behaviour

3057 A 2 (Group [ASD/Controls]) 2 (Condition [reward/no-reward]) mixed ANOVA was
3058 run on the number of CS+ (painful paired with reward-US) movements participants
3059 performed during choice trials in both conditions. There was a trend towards significance for
3060 condition $F(1,14) = 4.065, p = .063, \eta_p^2 = .225$ and a no significant interaction for
3061 condition*group $F(1,14) = 1.806, p = .200, \eta_p^2 = .114$ (see figure 15). More specifically,
3062 90% of the sample chose to make more than one painful yet rewarding movement during the
3063 reward condition, with 50% of the sample choosing to make all four painful yet rewarding
3064 movements. Indicating that there was a trend for all participants choosing to make a painful
3065 movement more often when there was a concurrent reward (reward condition, see figure 15).

3066 **Figure 15.**

3067 *Mean number of movements (n/4) chosen in the No-Reward and Reward condition for ASD and Control group*



3068 *Note.* Given as n (number of /4) CS+ movements made during choice trials for both groups (ASD/Controls) across conditions (reward/no-reward). CS+ indicates movements
3069 that are followed by either both the pain-US and the reward-US (Reward condition) or by the pain-US (No-reward condition) in 50% of the trials. CS- indicates a safety
3070 movement: that is one that is never followed by a US.
3071

3072 **3.3.5 Additional Analysis**

3073 Correlations were used to determine if there were any relationship between the painful
 3074 yet rewarding stimulus (CS+), and the predictors; self-reported pain avoidance, self-reported
 3075 goal attainment and pain-related fear. There were no significant correlations for the entire
 3076 sample for the number of times a CS+ movement was made during choice trials and
 3077 avoidance, goal attainment, pain-related fear, or pain expectancy (see table 13).

3078 **Table 14:**

3079 *Descriptives and correlations for the no of CS+ choice movements made during the Reward*
 3080 *condition and self-report measures for the entire sample*

Variable no. and descriptor	M (SD)	2	3	4	5
1. No. of CS+ movements performed in the reward condition	3.125 (1.204)	.326	.100	.010	.016
2. Avoidance	1.750 (2.295)	1.00	.010	.571*	.330
3. Goal attainment	6.310 (3.535)		1.00	.402	.107
4. Pain-related fear of CS+	2.390 (2.574)			1.00	.456
5. Pain expectancy of CS+	4.922 (2.257)				1.00

3081 *Note.* Values given as mean (*M*) and standard deviation (*SD*). **p*<.05. ASD (Autism Spectrum Disorder). CS+
 3082 indicates movements that are followed by either both the pain-US and the reward-US (Reward condition) or by
 3083 the pain-US (No-reward condition) in 50% of the trials. CS- indicates a safety movement: that is one that is
 3084 never followed by a US.

3085 There was a significant positive moderate correlation between avoidance and pain
 3086 related fear ($r = .571, p = .021$), indicating that as desire to avoid the pain-US increased so
 3087 did the fear related to said pain-US. Further individual group analysis correlations indicated
 3088 that this significant correlation is driven by the ASD group, indicating a stronger relationship
 3089 between fear and desire to avoid the stimulus ($r = .706, p = .05$) than healthy controls, which
 3090 yielded a non-significant correlation ($r = -.038, p = .928$; see table 5). For the control group,
 3091 there was a significant strong positive correlation for goal attainment and pain expectancy,
 3092 indicating that as the desire to achieve the goal (earn the reward-US) increased so did the

3093 expectancy of pain ($r = .788, p = .020$), therefore the contingencies were learned much more
 3094 strongly within the control group (see table 14).

3095 **Table 15:**

3096 *Descriptives and correlations for the number of CS+ choice movements made during the*
 3097 *reward condition and self-report measures for ASD and Control group*

Variable no. and descriptor			<i>M (SD)</i>	2	3	4	5
1.	No. of CS+ movements performed in the reward condition	ASD	3.375 (.744)	.329	.547	.421	-.051
		Controls	2.875 (1.553)	.426	-.061	-.411	-.096
2.	Avoidance	ASD	3.000 (2.673)	1.00	.086	.706*	.325
		Controls	.500 (.756)	1.00	-.229	-.038	-.581
3.	Goal attainment	ASD	6.380 (3.114)		1.00	.322	-.538
		Controls	6.250 (4.132)		1.00	.593	.788*
4.	Pain-related fear of CS+	ASD	2.813 (3.218)			1.00	.510
		Controls	1.969 (1.854)			1.00	.244
5.	Pain expectancy of CS+	ASD	5.179 (2.466)				1.00
		Controls	4.125 (1.788)				1.00

3098 *Note.* Values given as mean (*M*) and standard deviation (*SD*). * $p < .05$. ASD (Autism Spectrum Disorder). CS+
 3099 indicates movements that are followed by either both the pain-US and the reward-US (Reward condition) or by
 3100 the pain-US (No-reward condition) in 50% of the trials. CS- indicates a safety movement: that is one that is
 3101 never followed by a US.

3102 **3.3.6 Habituation Observation Check**

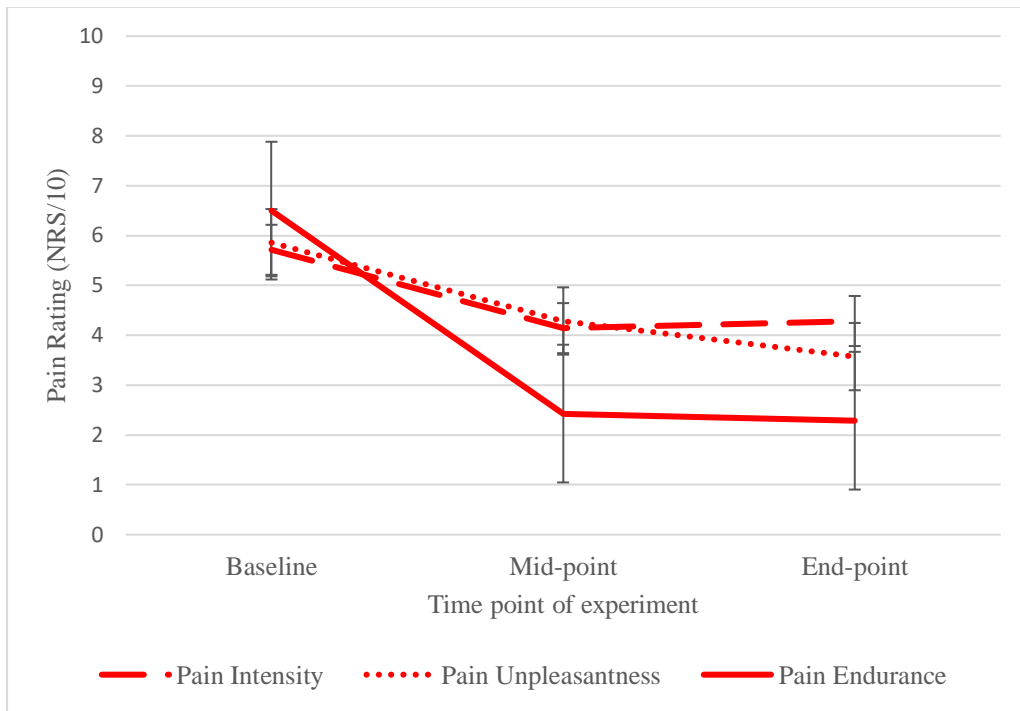
3103 A 2* (Group [ASD/Controls]) *3 (Pain rating [intensity/unpleasantness/endurance])
 3104 *3 (time [baseline/mid-point/endpoint]) mixed ANOVA was run to determine whether
 3105 habituation to the stimuli and to determine if there were group differences in terms of this
 3106 habituation and to confirm statistically an observation made during the experiment.

3107 There was no significant effect of group $F(1,13) = 2.926, p = .111 \eta_p^2 = .184$,
 3108 indicating that pain ratings at each time point of the experiment were similar across both

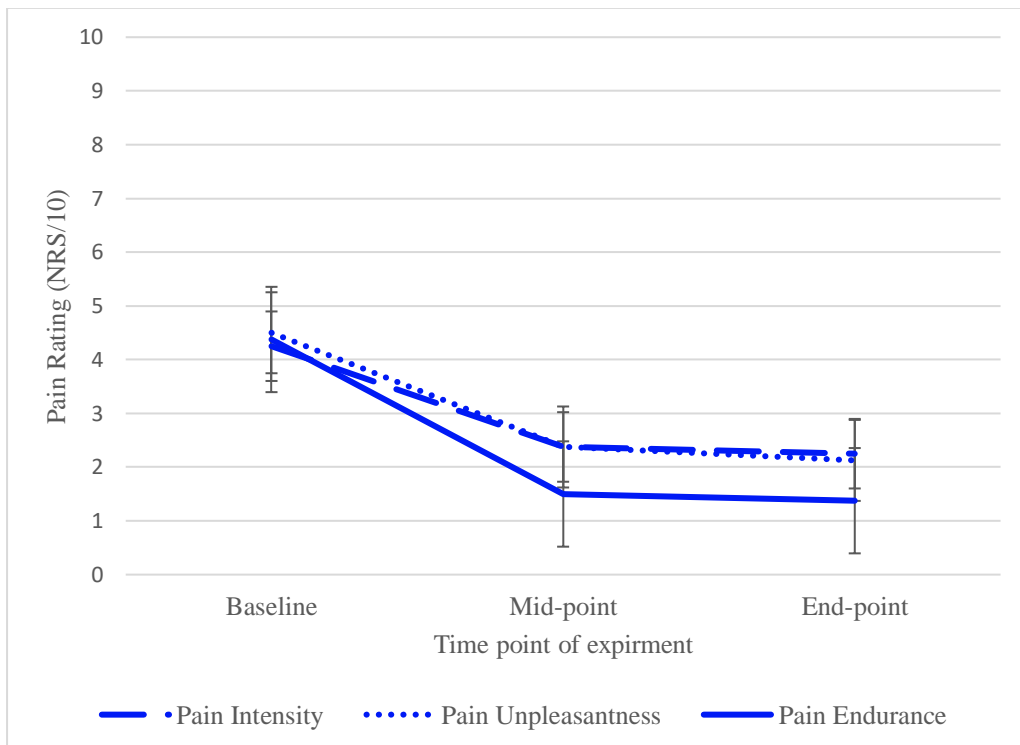
3109 groups. There was a significant main effect of pain rating type ($F(2,52) = 8.363, p = .002,$
3110 $\eta_p^2 = .391$) and time ($F(2,52) = 17.763, p = .000, \eta_p^2 = .577$). Contrasts revealed that there
3111 was a significant difference in ratings for intensity ($F(2,52) = 15.227, p = .002, \eta_p^2 = .539$)
3112 and unpleasantness ($F(2,52) = 10.562, p = .006, \eta_p^2 = .448$) verses tolerance, and a difference
3113 in ratings between baseline and the end point of the experiment ($F(2,52) = 20.044, p = .001,$
3114 $\eta_p^2 = .607$). There was a significant pain*time interaction ($F(2,52) = 5.213, p = .001, \eta_p^2 =$
3115 $.286$), indicating that the types of pain ratings at the three time points during the experiment
3116 differed. Contrasts were performed comparing each time point to the last category or “end
3117 point” across each type of pain rating compared to the category of endurance. The first
3118 contrast revealed a significant interaction when comparing pain intensity to pain endurance at
3119 baseline to “end-point” ($F(1,13) = 11.607, p = .005, \eta_p^2 = .472$). Contrasts comparing pain
3120 intensity to pain endurance at “mid-point” to “end point” were non-significant ($F(1,13) =$
3121 $.730, p = .408, \eta_p^2 = .053$). As were the contrasts comparing unpleasantness to tolerance at
3122 both baseline to “end point” ($F(1,13) = 3.194, p = .097, \eta_p^2 = .197$) and “mid-point” to “end
3123 point” ($F(1,13) = 1.042, p = .326, \eta_p^2 = .074$). These findings show that both groups
3124 habituated to the pain and did so quickly, and they are more able to endure the pain after
3125 experiencing it at baseline, despite the intensity and unpleasantness of the stimuli remaining
3126 consistent throughout the experiment (see figure 16).

3127 **Figure 16.**

3128 *Mean pain intensity, unpleasantness, and endurance ratings (NRS/10) at baseline, midpoint,*
3129 *and endpoint of the experiment for ASD (red line chart) and control groups (blue line chart)*



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Note. Mean pain intensity, unpleasantness (given as NRS/10) at the baseline, mid-point, and endpoint of the experiment for both groups (ASD/controls). Values given as mean (NRS) including standard error bars. CS+ indicates movements that are followed by either both the pain-US and the reward-US (Reward condition) or by the pain-US (No-reward condition) in 50% of the trials. CS- indicates a safety movement: that is one that is never followed by a US.

3.4 Discussion

3137

3138 The current experiment investigated whether autistic individuals had a greater
3139 attenuation of pain avoidance behaviours, using the volitional joystick task (VJT) paradigm
3140 (Claes et al., 2014). In the reward condition, a reward accompanied a painful movement, thus
3141 installing a competing goal. On some trials participants were instructed to choose whether to
3142 perform either the painful yet rewarding movement or the no pain safety movement.
3143 Therefore, avoidance tendencies; the avoidance of pain and the approaching a reward or
3144 negating the pain to collect the reward, could be measured. This experiment is the first of its
3145 kind to investigate pain processing in ASD from a motivational perspective, in terms of
3146 competing goals and avoidance behaviours. The entire sample were no quicker at completing
3147 a no-pain movement than a painful or painful yet rewarding movement (dependent on
3148 condition), therefore not replicating the findings of Claes et al., (2014). Given this, but more
3149 specifically that our control group did not show pain motivation, interpretability and
3150 generalizability of findings is limited, particularly when determining if autistic individuals
3151 differ or not from controls. It does, however, highlight important considerations - for failure
3152 to replicate basic paradigms points to a range of potential confounding variables, that should
3153 be reflected upon and considered.

3154 One potential explanation for these findings, is in relation to the stimuli used. This
3155 experiment utilised a CHEPS thermal pain stimulus in order to activate both A δ and C-fibres
3156 (Granovsky et al., 2005) rather than the electrical stimulus utilised in the Claes et al., (2014).
3157 The choice to use this was derived from contradictory findings that there are potential
3158 peripheral changes specific to electrocutaneous pain in ASD (see Chapter [2A.3.2](#) Bird et al.,
3159 (2010); Fan et al., (2014)), from contradictory methodologies, rendering electrocutaneous
3160 stimuli unreliable in this population. The evidence for heat pain perception was more

3161 consistent and reliable, and as the CHEPS stimulation could activate similar pathways it was
3162 deemed a more reliable method for the ASD population. Further analysis was conducted on
3163 inspection of the main findings and showed that from baseline to end of experiment a
3164 significant decrease in endurance levels were reported, therefore both groups were better able
3165 to endure the thermal stimulus. This may be the result of thermal pain habituation, whereby
3166 nociceptors habituate to the stimulus over time (Bauch et al., 2017). Furthermore, although
3167 the intensity and unpleasantness of the stimulus was higher than endurance, all three self-
3168 report ratings dropped over the duration of the experiment, supporting this notion of
3169 habituation. Although initially thermal pain was suspected to be more reliable specifically
3170 for the ASD group, electrical pain may be more suitable to this type of task to maintain
3171 stimulus effect and therefore influence motivation during a cognitively challenging task
3172 because of its high degree of temporal and intensity acuity (Ng et al., 2020).

3173 Electrocutaneous stimulation affects the membrane potential of all cells leading to the
3174 activation of all receptors, resulting in a complex sensation (Lee et al., 2000). This complex
3175 sensation and ability for the stimuli to maintain the selected intensity from onset to offset
3176 may be more relevant to accessing pain motivation over a period of time and during a task
3177 that is cognitively demanding. It may, therefore, be prudent for future studies to revert to
3178 using this stimulation and utilising the methodology employed here of checking for changes
3179 in pain perception prior to the VJT paradigm is implemented. Although there are currently
3180 no published normative values to ensure that levels are within a clinically relevant normal
3181 range, comparing across groups to ensure there are no differences will still provide relative
3182 confidence in findings from the VJT paradigm.

3183 Despite replication failure for response times (all three measures), similarly, to Claes
3184 et al., (2014) and Meulders et al., (2011) it was found that both groups show less avoidant
3185 decision-making behaviour when there is a competing goal present. Although, this was only

3186 trend level data in this instance, it is a possibility that this indicates that the ASD group's fear
3187 avoidance, and pain motivation processing is intact or at the very least comparative to the
3188 control group (Claes et al., 2014, 2016; Crombez et al., 2012; Meulders et al., 2011; Vlaeyen
3189 et al., 2009). In this circumstance adding a monetary reward has the potential to attenuate
3190 avoidance behaviours in ASD. Previous research has shown that using valuable incentives
3191 increase pain tolerance and have the ability to increase motivation towards a reward
3192 (Cabanac, 1986; Gandhi et al., 2013), however, these studies have not focussed on the ASD
3193 population. Monetary rewards in ASD have shown both typical processing (Delmonte et al.,
3194 2012; McPartland et al., 2012) and a diminished response in reward neural circuitry (Scott-
3195 Van Zeeland et al., 2010). These studies focus on reward circuitry rather than the reward in
3196 the context of pain, and as such our results appear to support the notion that monetary
3197 rewards are ecologically valid for this population and act as a competing goal in attenuating
3198 pain. Avoidance behaviour in ASD is therefore likely to be influenced by this competing
3199 goal even without changing the pain-related fear, which is considered to be the aspect that
3200 typically drives the pain motivation (Crombez et al., 2012; Hasenbring & Verbunt, 2010;
3201 Vlaeyen & Linton, 2012); and is similar to the response found in neurotypicals (Claes et al.,
3202 2014a).

3203 Pain expectancy for the ASD group was increased during the reward condition where
3204 the monetary reward was introduced, regardless of whether it was a movement associated
3205 with pain or a non-pain movement. Meaning the ASD group showed contingency learning of
3206 a lesser degree than the control group. They showed an increase in ratings from the no-
3207 reward condition to the reward condition for both movements, indicating that the reward
3208 influenced pain expectancy. Further investigation is required to understand why a reward
3209 may have such an effect within an autism population. This is especially important
3210 considering the control group, had similar pain expectancies across the conditions for a

3211 movement that was paired with pain than a no-pain movement, which is a typical response
3212 for this methodological paradigm (Claes et al., 2014, 2016; Vlaeyen & Linton, 2012).

3213 Results also indicated that the ASD group had an overall greater fear and greater
3214 desire to avoid the stimulus. Such findings may be attributed to levels of anxiety found in
3215 ASD (see van Steensel & Heeman, (2017) for review; South & Rodgers, (2017)), which is
3216 shown to influence pain perception (Ocañez et al., 2010; Quartana et al., 2009; Thompson et
3217 al., 2016). Additionally, affective states in ASD can predict pain behaviours (Failla et al.,
3218 2020; Garcia-Villamisar et al., 2019), in particular, pain anxiety was associated with
3219 increased pain ratings (Failla et al., 2020) and general anxiety symptomology found to
3220 mediate the relationship between autism traits and pain behaviours, as defined by the non-
3221 Communicating Adults Pain Checklist (Garcia-Villamisar et al., 2019).

3222 Accurate assessment of anxiety in ASD is challenging because of symptom overlap
3223 with other psychiatric disorders (Vasa & Mazurek, 2015), therefore, despite our sample not
3224 having a formal anxiety diagnosis, undiagnosed anxiety may have resulted in the larger
3225 variance observed. Such variability could preclude group and main effect differences, as
3226 reported. Since the paradigm itself relied on fear and the desire to avoid the painful stimulus,
3227 undiagnosed anxiety is likely to impact on results, increasing pain sensitivity (Garcia-
3228 Villamisar et al., 2019) or an inability to inhibit the fear response (Norrholm & Jovanovic,
3229 2018). For example, in its extreme form, generalization (the phenomena whereby non-
3230 reinforced stimuli elicit fear responses when they resemble the CS+; conditioned stimulus),
3231 can lead to poorer discrimination abilities so that aversive and safety signals are not
3232 processed appropriately (Dunsmoor & Paz, 2015). Individuals are therefore, unable to
3233 suppress or inhibit the fear response even under safe conditions, such as the safety movement
3234 in this study (CS-), as has been reported in PTSD samples (Milad et al., 2009; Morey et al.,

3235 2015). Similar to the findings from this thesis, Jovanovic et al., (2009), reported that those
3236 with PTSD compared to traumatised controls had impaired fear inhibition despite all
3237 participants, regardless of diagnosis, reporting contingency learning. Demonstrating that the
3238 PTSD participants were aware of the safety movement but were unable to suppress their fear at
3239 a physiological level (as measured by their startle response). It is possible, that much like this
3240 PTSD sample, our ASD group were aware that a movement in the opposite direction to a
3241 conditioned stimulus movement was safe, but were unable to suppress their fear, as
3242 represented by the non-significant main effects of movement type and condition despite
3243 expecting pain for the appropriate movement. Although fear conditioning is generally an
3244 adaptive form of learning, it can become a source of pathology when anxious reactivity to a
3245 conditioned stimulus persists in the absence of a conditioned/unconditioned stimulus
3246 contingency (Lissek et al., 2005). It is possible that maladaptive fear or pathological anxiety
3247 may serve as a common feature of fear-related psychopathology (Jovanovic et al., 2012) and
3248 could additionally indicate an anxiety phenotype in ASD related to pain responses.

3249 However, for the entire sample, pain expectancy was higher for painful movements
3250 than for no-pain movements, indicating contingency learning for the entire sample. The
3251 reward attenuated pain and did not influence fear of pain, since both groups were quicker to
3252 complete a movement in the reward condition compared to the no-reward condition, and
3253 there was no change in pain-related fear scores regardless of the presence of the reward.
3254 Differences in findings may be attributed to differences in methodologies or contextual
3255 factors. For example, Failla et al., (2020) used a pain rating curve in which 7 different heat
3256 stimuli, all above 40°C, were applied for five seconds each in a pseudo random order in a
3257 laboratory. As well as a sustained heat pain task, were alternating heat temperatures (42°C
3258 and 46°C) were presented at the same site for 21 seconds each. Garcia-Villamizar et al.,
3259 (2019) observed a painful dental procedure and vaccination. Where both environments were

3260 specifically focussed on either the painful stimuli or the dental procedure itself. Therefore,
3261 the number of demands or goals that could occur simultaneously were not as evident as in
3262 this paradigm, where a reward acted as a competing goal. Suggesting that the impact of
3263 anxiety of pain could be contextual and that this impact could be reduced by other contextual
3264 factors, namely a rewarding goal. Measures of anxiety also differed, and so further
3265 investigation is required to delineate this complex relationship between fear, anxiety, and
3266 pain response in ASD. Participants also on average also chose to negate the pain in order to
3267 receive the reward nearly 3 out of the 4 times the choice was offered, and there was a trend
3268 towards this being greater in the ASD group than in controls. Additionally, in such
3269 paradigms, controls should be able to suppress the fear response during CS- presentations, the
3270 lack of group differences could suggest that our controls also shared a similar over
3271 generalization to stimuli. However, since there were no group differences reported and mean
3272 values followed the same patterns of response, across groups and in line with the pattern of
3273 response reported by Claes et al., (2014), it is likely that findings are weakened by sample
3274 size and power issues.

3275 The sample size was small resulting in the risk of type II errors and therefore a
3276 limitation to this experiment, although power analysis indicated that this sample size was
3277 sufficient to yield 60% power. As this is also paired with weak effect sizes for findings such
3278 a response times, which determine whether the experimental paradigm measures what it
3279 proposed to, it is difficult to determine if the outcomes are true findings. In this instance, it is
3280 difficult to determine whether pain motivation is intact, or whether it differs in autistic
3281 individuals. ASD research is fraught with small sample sizes (Cascio et al., 2008; Fründt et
3282 al., 2017). It therefore is an ongoing issue within the field of ASD research, and this
3283 experiment appears to be of no exception. Recruitment of this population has several issues.
3284 In particular, the rapport required to engage participants takes longer and more time needs to

3285 be spent in terms of managing nervousness and anxiety, especially when the experiment
3286 requires coming to a strange environment where large machinery may also impact on state
3287 anxiety. Additionally, the type and duration of such an experiment means that frequently
3288 those recruited need to be at the functioning end of the spectrum and therefore reduces the
3289 number of those able to recruit. This has been discussed in the previous [Chapter 2](#) and has
3290 similar implications to the previous experiment in terms of generalizability to the wider
3291 autism spectrum. These issues alongside the ongoing small sample size in the literature
3292 weakens the ability to provide reliable results that can support or refute those currently
3293 reported. Working across laboratories using similar methodologies to create robust studies
3294 that can either replicate or to create larger sample sizes that are frequently more desired could
3295 be a beneficial consideration for future studies (Button et al., 2013; Christley, 2010).

3296 Variability becomes an increasing issue with smaller sample sizes such as this,
3297 particularly when paired with larger standard deviations, resulting in a decreasingly
3298 representative sample (Goulet & Cousineau, 2019). In this sample, larger standard deviations
3299 are reported for the ASD group in the reward condition compared to controls. Although for
3300 the non-reward conditions, standard deviations were comparative across groups. This might
3301 provide further support of the earlier discussion about the reward acting as a conduit for
3302 contingency learning in ASD. Although again this would require further testing and
3303 consideration. Despite this, large standard deviations are not uncommon in ASD research, as
3304 there is large heterogeneity across the spectrum (Lai et al., 2013). Together, this may
3305 preclude differences in pain motivation being detected. These attempts, therefore, should be
3306 seen as exploratory, used in the cumulative development of measurement procedures (Irvine,
3307 2021). Producing replications, even those considered as failing to replicate due to different
3308 findings, advances theory by confronting existing understanding to develop new
3309 understanding, especially when the existing understanding is weak (Nosek & Errington,

3310 2020). A recommendation would be to obtain a measure of general anxiety, potentially rather
3311 than a pain specific anxiety measure, or both together. This would control for potential
3312 inflation of pain responses due to undiagnosed anxiety, and aid in determining if there is an
3313 over generalisation of fear response linked to anxiety in ASD. However, consideration of
3314 participants is also paramount, and this choice should be weighed against the duration, since
3315 lengthy studies can lead to higher attrition rates, in already limited sampling.

3316 Another related limitation is the inability to examine individual differences within the
3317 current paradigm. The importance of individual differences was highlighted in the previous
3318 [Chapter 2](#) where results showed greater inter-individual variability within the ASD group.
3319 The general heterogeneity and variability within the spectrum of ASD, each with distinct
3320 aetiologies, means the typical group analyses may not be advantageous to understanding this
3321 spectrum condition (Lai et al., 2013). However, there is little suggestion of research relevant
3322 solutions. There appears a need at the clinical level for a more fine-grained taxonomy for
3323 autism that may result in clearer research related to such subgroups.

3324 To conclude, this experiment investigated pain in ASD from a new methodological
3325 stance, one of a motivational, fear-avoidance and multiple goal context. Findings are
3326 tentative and definitive conclusions difficult to draw. However, this does provide a strong
3327 methodological contribution to this area of research. This first of its kind this experiment has
3328 highlighted some interesting areas to consider for future development. For example, it may
3329 be important to consider that pain motivation and avoidance behaviours are indeed
3330 functioning typically in this population, and therefore, establishing this through replication
3331 and further investigation is an important step in further explaining the observational and
3332 anecdotal claims of altered behaviour. Furthermore, individual differences are hard to
3333 consider within the current protocol and it may therefore be prudent in light of findings from

3334 our earlier experiment, to develop this further. Additionally, electrocutaneous stimuli may
3335 need to be implemented and necessary, in order to maintain the effects of the stimulus
3336 throughout the experiment and to avoid habituation. Lastly, research is typically fraught with
3337 small sample sizes (Cascio et al., 2008; Duerden et al., 2015; Fründt et al., 2017) and adding
3338 fundamental power problems. It may, therefore, be important to work across laboratories, in
3339 order to fully investigate experimentally this potential source of explanation of pain in ASD,
3340 whereby improving on sample size and providing power.

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Chapter 4. Expression of Acute Experimental Pain in Autism Spectrum Disorder

3344

Chapter 4. Introduction

3345 Research has indicated potential individual differences in peripheral processing of
3346 nociceptive stimuli in ASD but not global systematic, population level changes in pain
3347 perception (see Experiment [1](#) and [2](#), Fründt et al., (2017); Vaughan et al., (2019)).
3348 Additionally, Experiment 3 in [Chapter 3](#) investigated pain motivation in ASD using a
3349 volitional joystick task and results tentatively support the notion that pain motivation and
3350 avoidance behaviours appear to function typically in this population (see [Chapter 3](#)). That is
3351 to say that the ASD group are motivated by painful and rewarding stimuli in a way that might
3352 be considered typical since they chose to negate pain in order to obtain a reward to the same
3353 degree as controls. Together, these results indicate that the absence or insensitivity to pain
3354 observed in the anecdotal accounts are not fully explained by either a peripheral nociceptive
3355 stimulus evoking a response, or a nociceptive stimulus initiating a motivational state to avoid
3356 it. That is to say, that the “wiring” of pain appears to be intact.

3357 However, “pain” is complex (International Association for the Study of Pain, 2020),
3358 it is not only the psychophysical experience of a noxious stimulus or the extent to which
3359 individuals are motivated by said noxious stimulus, but a personal experience that is
3360 communicated externally by pain behaviours (Craig, 2015). These pain behaviours are
3361 classified into verbal or non-verbal, such as rating how intense your pain is, or facially
3362 expressing your pain (Kunz et al., 2019). Both of which serve a purpose of communicating
3363 an otherwise subjective experience. This communication then holds social value and
3364 meaning, in that a person can communicate in order to seek help which potentially results in
3365 receiving care (Goubert et al., 2009; Hadjistavropoulos, et al., 2011; Yamada & Decety,
3366 2009). In order for care to be provided, there must first be recognition by the observer or care

3367 provider that what is being expressed is an experience of pain (Craig et al., 2001; Prkachin,
3368 2009).

3369 However, pain can be communicated with or without a social interaction such as help-
3370 seeking. Neonates and babies, who display clear signs of distress, shows the innate nature of
3371 pain communication (Craig et al., 1993; Fitzgerald, 1991), despite help seeking being a result
3372 of the communicated distress. Early vocalisations of pain by infants in the pre-speech period,
3373 highlight that pain-related sounds may exist as an involuntary expression, with social
3374 meaning attributed to these vocalisations through the behaviour of others that reinforce the
3375 meaning for the infant (Stanford et al., 2005; Stoel-Gammon, 2011). In adults, some
3376 vocalisations that are made when in pain, such as “ouch”, can occur in isolation without the
3377 purpose of receiving care, with pain itself motivating people to communicate (Ferris et al.,
3378 2016). Few attempts have been made to explore the functionality of pain communication
3379 without the subsequent social meaning and interactions that are applied to them. One avenue
3380 considered swearing compared to neutral speech showing habitual swearing to have the
3381 greatest influence on reducing the magnitude of pain and increase the duration in which
3382 someone could keep their hand submerged in a cold pressor (Stephens et al., 2009; Stephens
3383 & Umland, 2011). Showing that expressing pain in verbal ways can act as a hypoalgesia
3384 (Swee & Schirmer, 2015). Additionally, the verbal interacts with the physical, in that
3385 vocalisations require the motor system to generate rib muscle movements to support
3386 phonation and articulation, the movement of which has been shown to modulate pain (Peretz
3387 & Gluck, 1999). The expression of pain, whether verbal or non-verbal may, therefore, not
3388 solely be for social communication. Rather, social meaning is applied as a result of an
3389 observable phenomena occurring as a result of pain itself, or of the attempt to alleviate the
3390 pain oneself.

3391 A potential explanation to the apparent insensitivity to pain in ASD derives from a
3392 communicative perspective (Nader et al., 2004). Typically, individuals communicate pain
3393 using these aforementioned behaviours (Craig, 2009, 2015; Hadjistavropoulos, et al., 2011;
3394 Walsh et al., 2014), however, ASD, is characterised by striking impacts in expressive
3395 communication, including delayed, or total lack of language development (Oller et al., 2010).
3396 Therefore, it is likely that pain expression, particularly early vocalisations that develop as
3397 children age, is delayed or different. Furthermore, from a social-communicative perspective,
3398 these behaviours are developed in light of cultural norms, social values and sets of behaviours
3399 deemed most socially appropriate (Peacock & Patel, 2008; Schiefenhövel, 1995). Therefore,
3400 receptive social communication first must be intact to learn what is most socially relevant for
3401 expressive communication. Since ASD is further characterised by delayed receptive
3402 communication, discrepant comprehension of language (APA, 2013; Davidson & Ellis
3403 Weismer, 2017; Mitchell et al., 2006), as well poor eye contact (Corden et al., 2008; Pelphrey
3404 et al., 2002) and reduced social contagion (Beall et al., 2008; McIntosh et al., 2006;
3405 Wieckowski & White, 2017). It is likely that the ability to acquire expressive
3406 communication, or the ability to comprehend and utilise this effectively to provide the same
3407 social meaning is either reduced or different in ASD. The result of which is that signals
3408 being sent to an observer are lower in intensity or less clear and therefore observers may
3409 interpret the experience to be less, even if the experience itself is the same in ASD as it would
3410 be in those considered healthy.

3411 Mercer and Glenn, (2004) were the first to investigate facial expressions in a group of
3412 DD children, showing that pain expression as measured by the Maximally Discriminative
3413 Facial Movement Coding System, was of a lesser intensity compared to controls.
3414 Importantly, the expressions observed were more complex in those with DD. With two
3415 adjacent areas tending to show pain, with another reflecting other emotions. These findings

3416 indicate that expressions were much more blended in the developmentally delayed group,
3417 highlighting just how complex facial expression may be in those who are not-typically
3418 developing. Therefore, it may be unsurprising that observers have difficulty identifying pain
3419 in this group. However, these were infants who were not meeting their developmental
3420 milestones, not specifically those with an ASD diagnosis.

3421 To investigate communication of pain in ASD, Nader et al., (2004), recorded children
3422 with and without ASD during venepuncture and coded the facial responses using the CFCS
3423 and the Observational Scale of Distress. Results showed similar general trend of increasing
3424 facial activity through baseline to post needle insertion for both groups. However, greater
3425 facial reactivity to venepuncture was present in the ASD group compared to controls. There
3426 was also greater behavioural distress regarding the procedure observed in the ASD group for
3427 post needle insertion and similar to the results observed by Tordjman et al., (2009), greater
3428 post procedural distress. However, it must be noted that procedures for the ASD and control
3429 group differed and that the purpose of venepuncture was different in the two groups, calling
3430 for caution when interpreting significant group differences. Despite this, results do indicate a
3431 significant observable reaction to a painful stimulus in ASD that is contradictory to that of
3432 other anecdotal evidence. Interestingly, Tordjman et al., (2009) also reported that 60.3% of
3433 autistic individuals displayed certain autistic behaviours following the venepuncture,
3434 including increased self-injurious behaviour, aggressive behaviours towards others and
3435 stereotyped behaviours. A paramount behavioural response reported was social withdrawal
3436 (38.1%). Findings allude to an autism specific atypical pain response, one that contradicts
3437 the typical help seeking that subsumes pain communication.

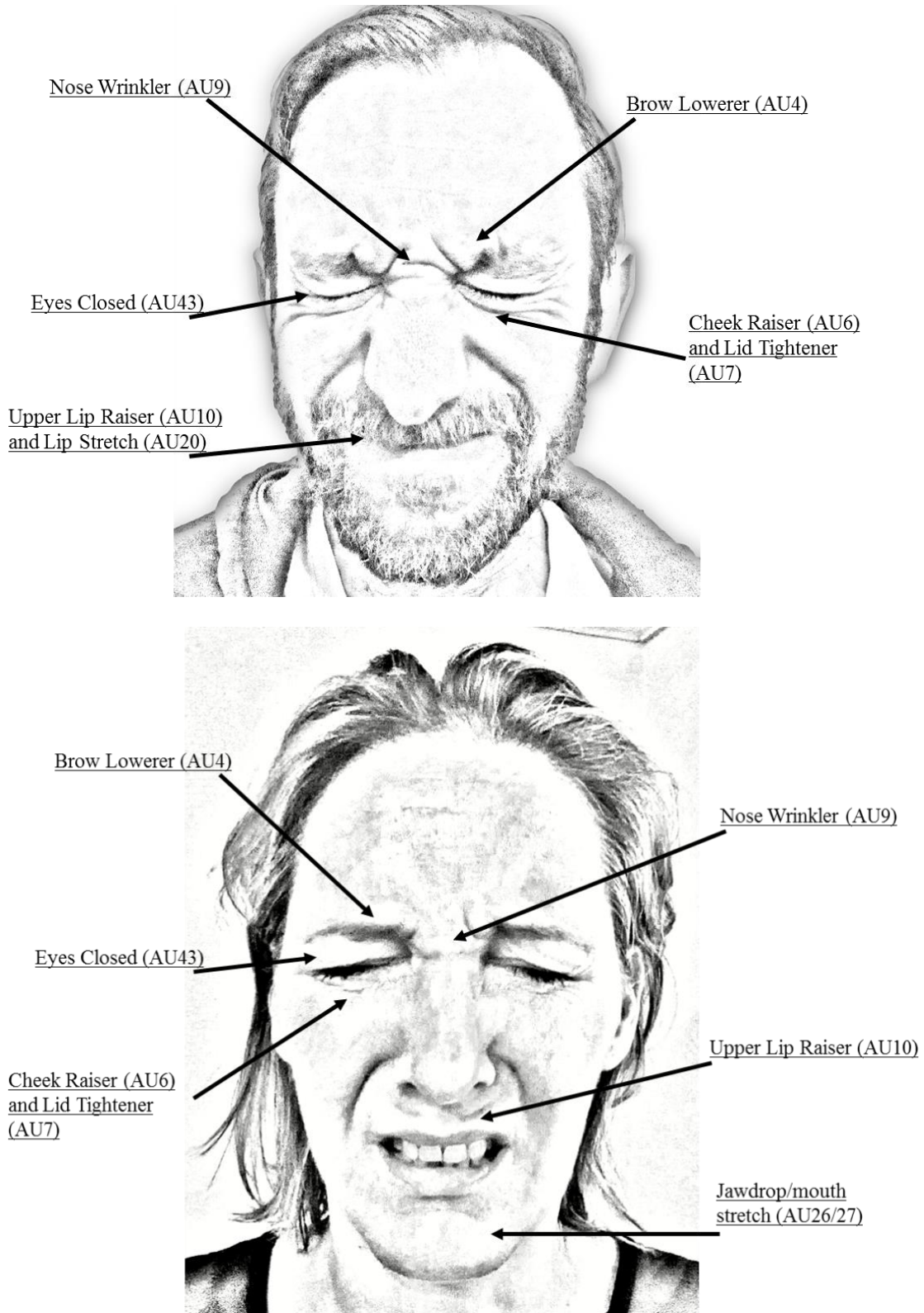
3438 Rattaz et al., (2013) similarly investigated facial activity, behavioural responses, and
3439 physiological reactivity to venepuncture in children with and without ASD. Videos of the

3440 venepuncture were coded using the CFCS and the Grille d’Evaluation de la Douleur-
3441 Deficence Intellectuelle (GED-DI). Facial activity increased from baseline to venepuncture
3442 and a decrease thereafter for both groups. However, behavioural reactions as measured by
3443 the GED-DI remained high in the autistic individuals after the end of the venepuncture, in
3444 contrast to the comparison groups, supporting the results of Tordjman et al., (2009) and
3445 Nader et al., (2004). Taken together, these results suggest that autistic individuals could have
3446 a delayed recovery, or a delayed response to pain, which in turn supports the idea that painful
3447 procedures can lead to high levels of distress (physiological reactivity), even if such
3448 experiences are not conveyed in a manner that observers routinely recognise. However, these
3449 papers focus on facial reactivity as a composite of all facial action units that comprise the
3450 CFCS, rather than specifying the individual units which are observed during pain.

3451 The CFCS is an adaption of the FACS, which is a more comprehensive system, in that
3452 there are more facial expressions and more combinations of facial expressions than in the
3453 CFCS (Breau et al., 2001). There are specific action units (see figure 17), as defined by the
3454 FACS (a precise measurement technique) that comprise a painful expression. These action
3455 units include Brow Lowerer (AU4), Cheek Raiser (AU6), Lid Tightener (AU7), Nose
3456 Wrinkler (AU9), Upper Lip Raiser (AU10), Lip Stretch (AU20), Jaw drop/mouth stretch
3457 (AU26/27), Eyes Closed (AU43) and Blinking (AU45; Craig et al., 1991; LeResche, 1982;
3458 LeResche & Dworkin, 1988; Patrick et al., 1986; Prkachin, 1992; Prkachin & Mercer, 1989).

3459 **Figure 17.**

3460 *Facial Action Units (AU's) identified in the research as being related to pain*



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Note. Facial Actions units (AUs) of the upper and lower face in relation to pain. These are for diagram purposes only and are not participants. These are actors. All images belong to the author of this thesis, having been photographed, edited, and adapted by the author (SV) for the purposes of generating this diagram.

3466 More experimentally robust research, which investigated painful expressions across
3467 different types of stimulus modalities namely temperature, pressure, electrical and ischemia,
3468 showed that only four of these facial actions are more steadily displayed for pain stimuli.
3469 These were the Brow Lowerer (AU4), Lid Tightener (AU7) and Eyes Closed (AU43), Nose
3470 Wrinkler (AU9) and Upper Lip Raiser (AU10). Each of these units showed increasing
3471 likelihood of occurring across all pain modalities as well as increases in intensity and
3472 duration (see figure 17). Further work, establishing differences in units displayed in clinical
3473 and experimental settings has highlighted an overlap in these action units. Therefore, these
3474 units are thought to be the universal key components of the facial expression of pain, that is
3475 distinguishable from non-noxious emotional states (Kunz et al., 2019; Simon et al., 2008).
3476 Importantly, this work is largely reliant on typically developing individuals, or those
3477 considered otherwise healthy who may be in a clinical state of pain. Little of the work
3478 exploring the nature of FACS units, which are indicative of pain, has been conducted when
3479 considering different diagnoses and in particular individuals with altered social
3480 communication. Undermining the universality of these units to all groups of individuals.
3481 Furthermore, the studies on ASD (Nader et al., 2004), use these pain facial units as a global
3482 standard of pain expression in order to identify pain in this population reporting only the
3483 gross number of units shown, rather than seeking specific units that may be associated with
3484 pain in ASD. Without this same basic work establishing which units comprise a painful
3485 expression in ASD, it is difficult to determine if the same units are used in the same way to
3486 express pain in this population. Additionally, these expressions are socially predicated, and
3487 so when considering a group of individuals whose diagnoses is characterised by social
3488 impairment it is plausible that these expressions are different in either the type, intensity, or
3489 duration. This could lead observers to different inferences about the pain, such as the
3490 insensitivity discussed in anecdotal accounts.

3491 The findings from the previous studies show that there may be increased facial
3492 activity during potentially painful or distressing experiences, but with little specificity for the
3493 units that comprise the expressions. Autistic individuals also showed greater self-soothing
3494 and behavioural reactions that lasted into the recovery period raising questions about an
3495 autism specific response to pain (Nader et al., 2004; Rattaz et al., 2013; Tordjman et al.,
3496 2009) that is contrary to what we know about pain in typically developing individuals.
3497 However, each of these studies not only used a predefined expression of pain based on very
3498 socially different individuals, but they were all conducted in children who were verbally
3499 unable to communicate their pain. Pain is inherently a subjective experience; therefore, it is
3500 important to be able to match painful facial expressions to verbal reports of pain. Particularly
3501 if we are then to attempt to delineate what a painful expression in autism may look like. The
3502 limited research to date also highlights that not enough is known about the pain experiences
3503 of autistic individuals (Nader et al., 2004; Rattaz et al., 2013; Tordjman et al., 2009).
3504 Research is required to inform how and why deficits occur and uncover alternative or atypical
3505 pain responses. Further, all studies to date have been conducted with children and therefore
3506 nothing is known about facial expressions of pain or pain behaviours in adults with ASD.

3507 Non-verbal expressions of emotion such as facial activity, may be less amenable to
3508 conscious distortion than that of self-reports and subjective states, therefore providing a more
3509 objective way to measure pain with a reduction in the likelihood of a misrepresentation of
3510 pain experience (Patrick et al., 1986). Knowing a participant is experiencing pain, and then
3511 investigating the expressions associated with that pain can help establish the same basic units
3512 as reported for typically developing individuals. The aim of this project is therefore to utilise
3513 the Facial Action Coding System, alongside the Non-Communicating Adults Pain Checklist
3514 (NCAPC), to code facial and behavioural responses to pain which can be confirmed as being
3515 associated to pain via pain intensity ratings. To ensure that nuances in expressions are

3516 considered it will also take the approach of coding individual action units rather than the
3517 composite scores of facial reactivity previously utilised. Additionally, participants will be
3518 communicating adults with autism able to self-report the intensity of the stimulus. Previous
3519 research has been largely reliant on clinical pain states and failed to determine whether these
3520 expressions were consistent for different types of pain therefore, the current experiment will
3521 be conducted in a lab using controlled painful stimuli that is both tonic and phasic. It is
3522 hypothesised that the ASD group will show differing facial activity and behavioural
3523 responses to increasing hot and cold temperatures, and that these facial expressions will differ
3524 in terms of frequency of occurrence as well as intensity compared to controls.

3525 **4.2 Methods**

3526 **4.2.1 Participants**

3527 Sixteen adults (14 males) who had not participated in Experiments [1](#) and [2](#), aged
3528 between 18 and 59 years were recruited ($M = 25.13$, $SD = 12.23$). Eight ASD participants (7
3529 males and 1 female) with a mean age of 24.38 years ($SD = 4.13$) were recruited via the
3530 university's participant panel, who had a diagnosis from a specialist diagnostic service within
3531 a local hospital trust and had received their diagnosis based on the DISCO and/or ADOS
3532 from a trained clinician. Diagnostic letters were obtained from participants, which confirmed
3533 diagnosis and IQ values >70 , additionally, educational level was taken as a proxy measure.
3534 Participants were screened for inclusion using a health questionnaire. Those suffering from
3535 severe facial disfigurements, major motor deficits, chronic pain, diabetes, Raynaud's
3536 syndrome, eczema, or sensitive/broken skin were excluded. Additionally, participants were
3537 asked specifically about any history of a severe psychiatric disorder and were excluded if
3538 present. The difference in gender split across autism is not unexpected as ASD is strongly
3539 biased towards males (Lyall et al., 2017).

3540 Eight participants without an autism diagnosis were recruited through advertisement,
3541 selected to match each individual with autism on age: within a limit of ± 5 years ($M = 25.88$,
3542 $SD = 4.78$) and gender (7 males, 1 females). All were subject to the same exclusion/inclusion
3543 criterion described above, with the addition of SIB i.e., self-cutting. This was only applied to
3544 the individuals without autism because for autistic individuals, SIB tends to be classified as
3545 “stereotyped SIB” as opposed to the “impulsive SIB” that is habitual in nature and generally
3546 observed in individuals with a serious psychiatric illness (e.g., self-mutilation) or typically
3547 developing adolescents and adults (e.g., self-cutting; Minshawi et al., 2014; Yates, 2004).
3548 Furthermore, the nature of SIB in autism is a behaviour of interest, therefore a comparison to
3549 individuals without SIB, especially and SIB that is phenotypically and psychiatrically
3550 different is essential. Although they were not explicitly matched on IQ, the control group
3551 were from the general population, suggesting $IQ > 70$ and educational level was taken as a
3552 proxy measure for IQ. All participants in both groups were without pain medication or
3553 alcohol at least 24 hours before the investigation.

3554 As groups ($n = 8$ per group) were age and gender matched they did not significantly
3555 differ; $U = 39.000$, $z = .740$, $p = .505$, and $\chi^2(1) = 0$, $p = .767$ respectively. As expected,
3556 groups had significantly different AQ scores, $U = .000$, $z = -3.391$, $p = .000$, with the autism
3557 group scoring higher (see table 15 for descriptive statistics).

3558 **Table 16:**

3559 *Characteristics and questionnaire results of ASD and control group*

Characteristic	ASD	Controls	Total
No. of participants	8	8	16
No. of participants with ASD	3	-	3
Asperger's	5	-	5
Age	24.38 (11.67)	25.88 (13.53)	25.13 (12.23)
Gender Female	1	1	2
Male	7	7	14
Autism Quotient (AQ)*	34.50 (6.19)	16.25 (5.92)	25.38 (11.09)

3560 *Note.* All values are given as mean (*SD*). * $p < .05$. ASD (Autism Spectrum Disorders).

3561 The experiment was approved by Liverpool John Moores Ethics Committee (REC ref:
3562 15/NSO/054) and all participants gave written informed consent. Participants received
3563 information both orally and in writing that painful stimuli would be administered.

3564 **4.2.2 Questionnaires**

3565 All questionnaires were completed by both groups. The AQ was used to quantify
3566 autistic trait severity, meanwhile the RBS-R and TAS-20 were used to measure
3567 symptomology associated with ASD. The PCS and FP to gain a measure of pain
3568 catastrophizing and fear of pain, for descriptive purposes. An additional scale was used to
3569 measure Kinesiophobia; TSK. All the aforementioned scales are described in previous
3570 Chapters 2 and 3 (see sections [2A.2.2.1.1](#) for AQ, [2A.2.2.1.2](#) for PCS, [3.2.3.1.1](#) for FP,
3571 [3.2.3.1.2](#) for RBS-R, [3.2.3.1.3](#) for TAS-20 and [3.2.3.1.4](#) for TSK).

3572 **4.2.3 Psychophysical Responses**

3573 **4.2.3.1 Determination of Heat Pain Threshold and Tolerance**

3574 Prior to the experiment, heat pain threshold (HPT) was measured using the method of
3575 limits protocol described in Chapter 2, [section 2A.2.2.2.1](#). Alongside this a measure of
3576 HTOL was also obtained. In brief this followed a similar protocol to the HPT; a thermode
3577 was heated at 1°C/second until participants pressed a button to indicate they had reached a

3578 point at which the painful temperature could no longer be tolerated. This was to ensure there
3579 were no differences in peripheral temperature processing that may account for differences in
3580 outcomes of the experiment.

3581 ***4.2.3.2 Determination of Cold Pressor Threshold and Tolerance***

3582 Cold pain threshold and tolerance was measured using the same procedure described
3583 in Chapter 2 [section 2A.2.2.3.2](#), where participants submerged their hand in 3°C water. The
3584 chosen temperature allowed submersion for a duration of 10 seconds or greater (Mitchell et
3585 al., 2004). Since the Cold Pressor test induces pronounced sympathetic activation and
3586 vasoconstriction, the maximum duration of limb immersion was set at three minutes
3587 (Mitchell et al., 2004). In brief, the threshold was determined as the time (in seconds) to
3588 which a participant indicated that the temperature was painful, and tolerance was the time (in
3589 seconds) at which the participant removed their hand.

3590 ***4.2.3.3 Data analysis and preparation for Heat and Cold Pain Threshold and Tolerance***

3591 For HPT and HTOL a mean value of three measures was taken. For HPT the data
3592 evaluation process discussed in Chapter 2 [section 2A.2.4](#) was followed to create a Z-score
3593 value. This was to enable comparison to published norms to ensure that the sample had
3594 typical heat pain processing. For HTOL mean values were compared across groups to ensure
3595 no significant differences were present. *T*-tests were used to determine group differences, or
3596 where assumptions were violated Mann-Whitney *U* (note: data for Cold Pressor required no
3597 such data preparation and so *t*-tests or Mann-Whitney *U* tests were also used to test group
3598 differences).

3599 **4.2.4 Facial Expression Responses**

3600 *4.2.4.1 Stimulus for Facial Responses to Non-Painful and Painful Heat Stimuli*

3601 **4.2.4.1.1 Heat Stimulus**

3602 Phasic heat stimuli were delivered by a Medoc Pathway Advanced Thermal
3603 Stimulator to determine response to increasing heat stimuli. A CHEPS thermode, attached to
3604 the dorsal side of the dominant hand, was heated from a baseline temperature of 38°C, at a
3605 ramp rate of 4°C/sec until its target temperature. Once the target temperature was reached the
3606 stimulus remained at the maximal plateau for five seconds before returning to the baseline at
3607 a rate of 4°C/sec. Long interstimulus intervals were used to prevent sensitisation (15-
3608 20seconds) and to allow enough time for participants to rate the stimulus intensity. Target
3609 temperatures were set at 41°C (non-painful), 44°C (moderately painful) and 47°C (very
3610 painful). Participants received 18 thermal stimulations in total (six of each intensity) in a
3611 random order produced by the Pathway Stimulator.

3612 **4.2.4.1.2 Cold Stimulus**

3613 The psychophysical measurement of cold pain threshold and tolerance was used as the
3614 cold stimulus for recording facial responses. Participants kept their hand submerged in the
3615 3°C water and were instructed to remove their hand when they could no longer tolerate the
3616 pain.

3617 *4.2.4.2 Assessment of Facial and Behavioural Responses to Non-Painful and Painful Heat*
3618 *Stimuli*

3619 **4.2.4.2.1 Video Recordings**

3620 Participants were recorded for the duration of the experiment with a Go Pro Hero 5
3621 camera in high definition (1080p), that was positioned facing them at 2m away and set at eye

3622 level for each participant to ensure the face was clearly recorded. An LED visible to the
3623 camera but not to participants was lit concurrently with thermal stimuli (during the plateau of
3624 maximal temperature) to mark on-sets of stimulation. Adobe Premier Pro (Adobe®) was
3625 used to segment the videos into 5 second segments beginning just after the stimulus had
3626 reached the target temperature except for the cold pressor tolerance, which was taken five
3627 seconds prior to removal. In total, 18 segments were produced for scoring for heat pain
3628 stimulation and three for cold pain stimulation; one for when the hand was first submerged,
3629 one when the stimulus became painful and one for just prior to hand removal signifying
3630 tolerance. Videos were then exported into their frames at a rate of 30fps. These frames were
3631 then used to analyse facial expressions ($n = 150$ frames per stimulus [5 second clip]).

3632 **4.2.4.2.2 Facial Expressions of Pain**

3633 Facial responses were quantified using the FACS (Ekman, 1992), a system considered
3634 the gold standard for assessing facial expression which can be applied to video, frame by
3635 frame or individual images. The FACS is an objective system, which is anatomically based
3636 and permits exhaustive descriptions of the basic units of facial movement constituting an
3637 expression or series of expressions. The FACS manual, trains an individual to detect
3638 appropriate units and their intensity. By using the manual to work through the 63 individual
3639 actions, 28 action units, 13 action descriptors, 11 movement codes, eight gross behaviours
3640 and 14 head and eye units, complex facial expressions can be scored. A trained coder (the
3641 researcher) identified the presence or absence and intensity of actions for each frame. Each
3642 action was scored on an intensity scale from A(Trace) to E(Maximum). In order to allow for
3643 quantitative analysis, the intensity scale was converted to a numerical equivalent where 1 was
3644 trace, and 5 was maximum. There were two exceptions to this: the AU0 (neutral) and the
3645 AU45 (blink), due to the nature of the criteria for each of these as being solely either present

3646 or absent. Each frame was scored using the FACS 16 step process (see table 16 for broad
3647 overview).

3648 **Table 17:**

3649 *FACS procedure for scoring facial expressions adapted from Ekman, Friesen, & Hager*
3650 *(2002).*

Step	Action
Step 1	Initial scoring of the Lower Face – checking which AU’s are present and which intensity
Step 2	Check for omissions - AUs not considered
Step 3	Reorganise the initial scoring based on Step 2
Step 4	Check alternative AUs and reference sections for combinations and intensity rating
Step 5	Verify intensity criteria, unilaterality and Top/Bottom Lip
Step 6	Final decisions on AUs
Step 7	Record the final scoring
Step 8	Re-check reference sections and contraindications
Step 9	Score Head and Eye instructions
Step 10	Head/Eye Check in lieu of scoring Head and Eye Positions
Step 11	Applicability of the Head and Eye Positions (i.e., if scoring images there are certain rules)
Step 12	Score Head Positions
Step 13	Score Eye Positions
Step 14	Integrate Head and Eye Position Scores
Step 15	Enter Head and Eye scores
Step 16	Now return to step one and complete for Upper Units.

3651 *Note.* AU = Action Unit and AD = Action Descriptor.

3652 **4.2.4.3 Data Preparation and Analysis of Facial Expression of Pain for Cold and Thermal** 3653 **Stimuli**

3654 Following coding of all FACS data, the data was simplified to remove those actions
3655 that never occurred in the entire sample. There was one action unit, seven action descriptors,

3656 six gross behaviours, and one eye position that did not occur, including all 10 movement
3657 codes which could not be applied to individual frames (see Appendix D). These were all
3658 removed from subsequent preparation and analysis.

3659 Each intensity rating for a frame was initially transformed into a new present or
3660 absent variable (1 present, 0 absent) to allow for a global presence or absence score of each
3661 action in each stimulus and to also allow for a frequency to be calculated. For every stimulus
3662 therefore, whether an action unit was observed to be present at any timepoint in the
3663 presentation of the stimulus was noted, as well as frequency (n/150 images). Frequency
3664 meaning the maximal presence of an action unit observed for the duration of the stimulus.

3665 For every stimulus, a sum-total score was also generated for each action by summing
3666 the intensity rating for all 150 frames. A maximal intensity score of 750 was achievable if all
3667 150 frames were coded at 5. Furthermore, presence/absence, frequency and sum-total scores
3668 were calculated for clusters. For both frequency and sum-total, the mean value of its
3669 constituent actions was calculated. Table 17 shows which action units comprised which
3670 clusters.

3671 **Table 18:**

3672 *Clusters and their respective action units and descriptors*

Cluster	Unit Number	Unit Description
<i>Upper</i>	AU4	Brow Lowerer
	AU1	Inner Brow Raiser
	AU2	Outer Brow Raiser
	AU5	Upper Lid Raiser
	AU7	Lid Tightener
	AU6	Cheek Raiser and Lid Compressor
	AU43	Eye Closure
	AU45	Blink
	AU46	Wink
<i>Lower Vertical</i>	AU9	Nose Wrinkler
	AU10	Upper Lip raiser
	AU17	Chin Raiser
	AU15	Lip Corner Depressor
	AU25	Lip Part
	AU26	Jaw Drop
	AU27	Mouth Stretch
	AU16	Lower Lip Depressor
<i>Lower Horizontal</i>	AU20	Lip Stretch
	AU14	Dimpler
<i>Lower Oblique</i>	AU11	Nasolabial Furrow Deepener
	AU12	Lip Corner Puller
	AU13	Sharp Lip Puller
<i>Lower Orbital</i>	AU18	Lip Pucker
	AU22	Lip Funneler
	AU23	Lip Tightener
	AU24	Lip Pressor
	AU28	Lip Suck
	<i>Miscellaneous</i>	AU8+25
AD19		Tongue Show
AU31		Jaw Clencher
AD32		Lip Bite
AU38		Nostril Dilator
AU39		Nostril Compressor
<i>Head</i>	51	Head Left
	52	Head Right
	53	Head Up
	54	Head Down
	55	Head Tilt Left
	56	Head Tilt Right
	57	Head Forward
	58	Head Back
	<i>Eyes</i>	61
62		Eyes Right
63		Eyes Up
64		Eyes Down
65		Wall Eye
<i>Gross Behaviour</i>	82	Shoulder Shrug
	91	Flash
	92	Partial Flash

3673 *Note.* AU = Action Unit and AD = Action Descriptor.

3674 To select those actions that were present during pain in the present context, actions
3675 had to occur in >5% of the painful segments for the entire sample. Actions that were not
3676 present in the sample for the cold stimulus (i.e., sensation, pain, and tolerance) were excluded
3677 from the analysis (see Appendix E). For thermal stimulation all action units mentioned above
3678 (see table 17) were included. Chi-squared analysis was conducted to determine group
3679 differences in the presence of an action unit for each stimulus presented across clusters. *T*-
3680 tests were used to determine group differences between cold stimuli facial expressions, or
3681 where assumptions were violated Mann-Whitney *U* was used. Nine (one for each cluster) 2*
3682 (Group [ASD/controls]) *3 (Thermal Stimuli Strength [no-pain/moderately painful/painful])
3683 mixed ANOVAs were run to determine group differences in the facial expressions of pain
3684 across thermal stimuli strengths. Follow-up tests included running the same analysis protocol
3685 on individual action units and additional units.

3686 **4.2.5 Behaviour**

3687 ***4.2.5.1 Behavioural Expressions of Pain***

3688 NCAPC is a revised pain measurement tool of the NCCPC, designed specifically for
3689 adults with intellectual disability. It includes the subscales; vocal reaction, emotional
3690 reaction, facial expression, body language, protective reaction and physiological reaction.
3691 There are 17 specific behaviours to be rated on a 4-point Likert Scale, ranging from 0 (Not
3692 observed at all) to 3 (Observed very often). Total scores range from 0 (no pain observed) to
3693 51 (maximal duration of all pain behaviours observed). Therefore, a greater score means
3694 greater pain. Using this measure two independent raters assessed the extent to which each
3695 participant displayed the pain behaviours across the duration of the entire experiment.

3696 **4.2.5.2 Data Preparation and Analysis of Behavioural responses using the NCAPC**

3697 Coding using the NCAPC was a fully crossed design where both raters coded all
3698 participants. Total NCAPC scores were computed for each rater and used in Inter-Rater
3699 Reliability (IRR) analysis. IRR was assessed using a two-way mixed, consistency, average
3700 measures Interclass Correlation (ICC), to determine the degree to which raters provided
3701 consistency in their ratings of observed pain behaviours as measured by the NCAPC. The
3702 resulting ICC showed moderate reliability, indicating agreement, therefore pain was rated
3703 moderately similar across the raters, $ICC = .750$ for the ASD group. However, there was less
3704 agreement in raters for the control group, $ICC = .227$. There was, therefore, some
3705 measurement error introduced by raters in terms of the control group. Therefore, for further
3706 analysis of behavioural differences between groups, a mean overall score for each participant
3707 was created using both the raters scores for each item. *T*-tests were conducted to establish
3708 group differences in observed pain behaviours. All analyses were conducted using SPSS
3709 version 23.

3710 **4.2.6 Self-report Pain Ratings**

3711 Participants were asked to evaluate the thermal stimuli above in two ways. Firstly,
3712 participants indicated whether the stimulus was painful or not. Secondly, they rated the
3713 unpleasantness and then the intensity of the stimulus on a 10-point Likert scale; 0 (not
3714 unpleasant/intense) to 10 (extremely unpleasant/intense). Participants did this at the
3715 beginning and then the end of the experiment for thermal stimuli.

3716 **4.2.6.1 Data Preparation and Analysis of Self-reported Ratings of Heat Stimuli**

3717 A mean rating was created for both pain intensity and unpleasantness from the initial
3718 rating and the rating at the end of the experiment. Fishers exact test was conducted on

3719 whether participants found the stimulus to be painful or not, and t -tests, or Mann-Whitney U
3720 tests were assumptions were violated were used to determine group differences in pain
3721 intensity and unpleasantness.

3722 **4.2.7 Procedure**

3723 All participants gave informed consent after being briefed and completed the health
3724 screening as well as the AQ, RBS-R, PCS and FP online prior to attending the laboratory for
3725 the experiment. The laboratory session lasted 20 minutes, during which the participant sat
3726 upright in a comfortable chair facing the camera. Participant's faces were recorded
3727 throughout the procedure. Participants were asked to keep interaction to a minimum, only
3728 answer the questions asked (unless they wanted to cease participation), and to focus on a
3729 letter 'H' placed just behind the camera. The testing procedure included the assessment of
3730 pain sensitivity (pain threshold and tolerance) to heat and cold stimuli, the assessment of
3731 facial and subjective responses to cold stimuli first followed by nonpainful, moderately
3732 painful and very painful heat stimuli. Pain was induced experimentally in the ways discussed
3733 above (see sections [4.2.4.1.1](#) and [4.2.4.1.2](#)). Lastly, participants completed an alexithymia
3734 scale.

3735 **4.3. Results**

3736 KS tests revealed that restrictive behaviour patterns were not-normally distributed
3737 therefore non-parametric tests were conducted (ASD: $KS(8) = .152, p = .200$, Controls: $KS(8)$
3738 $= .422, p = .000$). These revealed that the ASD group experienced significantly greater
3739 restrictive repetitive behaviour patterns $U = 2.000, z = -3.155, p = .001, r = -.79$ (RBS-R Lam
3740 & Aman, (2007)) that were rated as having a greater impact on daily functioning $t(11) =$
3741 $6.856, p = .000, \delta = 3.61$. The ASD group also experienced greater levels of alexithymia $t(11)$

3742 = 4.657, $p = .000$, $\delta = 2.33$ compared to controls (see table 18). These findings are consistent
 3743 with previous investigations and what is known about autism symptomology.

3744 **Table 19:**

3745 *Descriptive statistics for Questionnaire results for both ASD and Control groups*

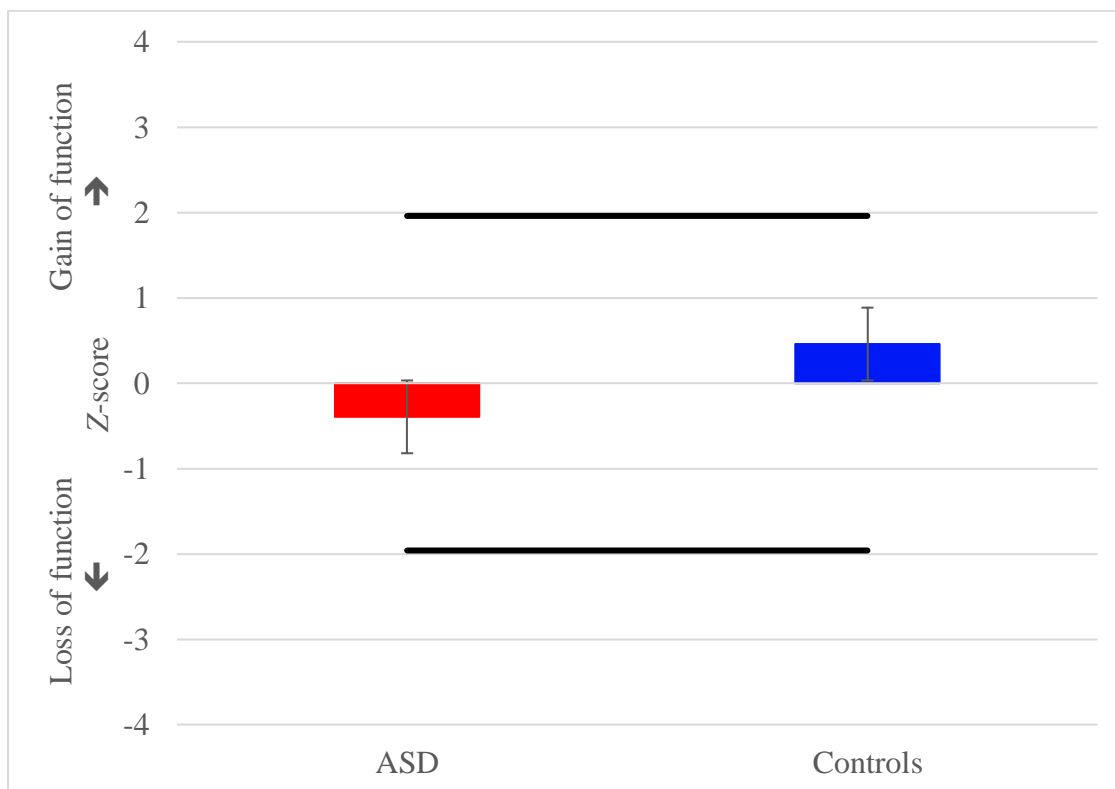
Characteristic	ASD	Controls	Total
No. of Participants	8	8	16
Pain Catastrophizing scale (PCS)	20.50 (16.27)	12.50 (9.49)	16.50 (13.52)
Fear of Pain Questionnaire (FP)	85.50 (26.63)	76.63 (27.52)	81.06 (26.56)
Restrictive Repetitive Behaviour Scale (RRBS)*	41.38 (18.84)	8.13 (9.46)	24.75 (22.41)
RRBS Global Rating*	53.63 (14.27)	13.40 (6.69)	38.15 (23.42)
Toronto Alexithymia Scale (TAS-20)*	61.88 (8.48)	43.75 (7.03)	52.81 (2.01)

3746 Note: All values given and mean (SD). * $p < .001$. ASD (Autism Spectrum Disorder).

3747 **4.3.1 Heat Pain Thresholds and Tolerance**

3748 **Figure 18.**

3749 *Adjusted Z-scored Heat Pain Thresholds for the ASD and Control group*



3750 Note. Adjusted Z-score data for ASD vs. control group for HPT including standard error bars. Any column that
 3751 extends outside the 95% confidence interval of the normal distribution of healthy subjects (=area between the
 3752 black lines) signifies sensory changes.
 3753

3754 *T*-tests revealed that there were no significant group differences (see figure 18) in heat
 3755 pain threshold or heat pain tolerance levels (see table 19) indicating typical psychophysical
 3756 response $t(14) = -.865, p = .402, \delta = .43$ and $t(14) = -1.310, p = .211, \delta = .65$, respectively.
 3757 These findings support those of earlier studies, therefore any difference in the expression of
 3758 pain for thermal stimuli in this experiment are unlikely a result of altered sensory processing
 3759 (Fründt et al., 2017; Vaughan et al., 2019).

3760 **Table 20:**

3761 *Untransformed data values (given in °C) of QST Heat Pain Threshold and Heat Pain*
 3762 *Tolerance for ASD and Control groups*

	ASD	Controls	<i>p</i> value	Effect size (δ)
Heat Pain Threshold (HPT; °C)	43.76 (4.92)	45.98 (3.28)	.402	.43
Heat Pain Tolerance (HPT; °C)	48.38 (2.72)	49.91 (1.91)	.211	.65

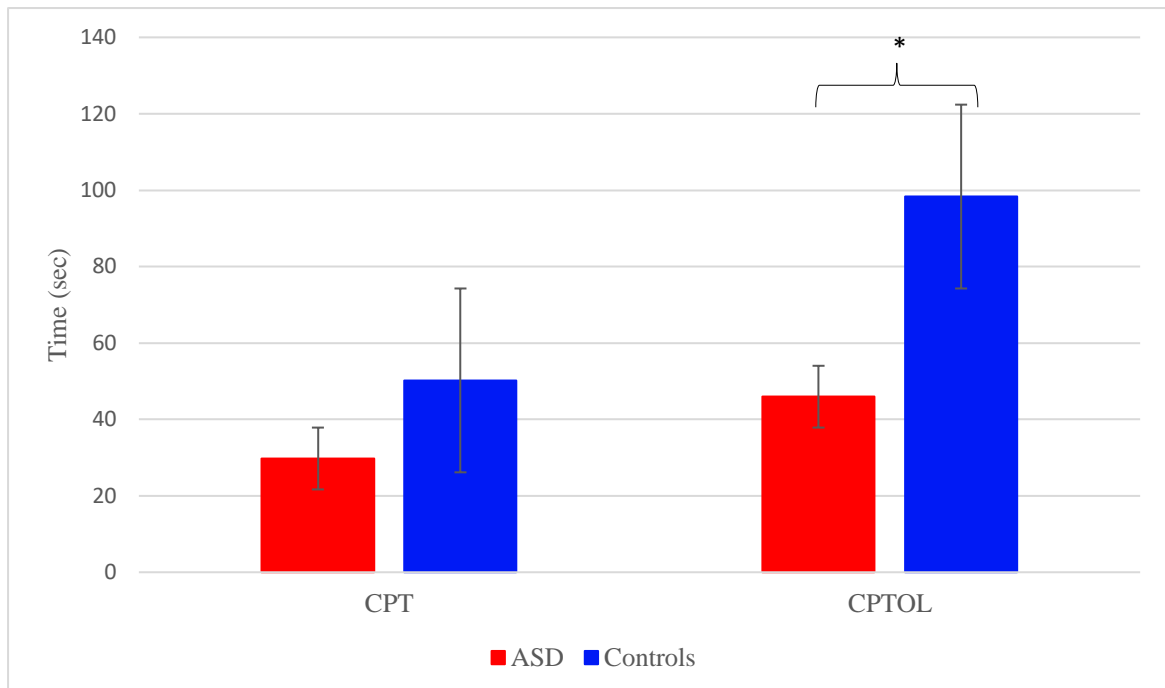
3763 *Note:* Group raw data values for each QST parameter and additional sensory tests given as mean (*SD*) to aid
 3764 understanding in terms of their actual unit of measurement i.e., temperature in Celsius.
 3765 All *p* values and effect sizes given for HPT are for the inferential statistics conducted on transformed data as
 3766 discussed in Chapter 2.

3767 **4.3.2 Cold pressor Threshold and Tolerance**

3768 Mann-Whitney *U* tests revealed that there were no significant group differences in
 3769 cold pressor threshold (CPT) indicating typical psychophysical response, $U = 42.000, z =$
 3770 $1.050, p = .328, r = .26$. However, they revealed that the ASD group had significantly lower
 3771 cold pressor tolerance (CPTOL) than controls, $U = 55.000, z = 2.415, p = .015, r = .61$ (see
 3772 figure 19), therefore, for the ASD group, any differences in facial expressions of pain in
 3773 relation to cold pressor tolerance could be related to a greater sensitivity that results in poorer
 3774 tolerance of cold temperatures. This data contrasts with Experiment 2 which showed no
 3775 differences in cold pressor threshold and tolerance. This variability in outcome is likely to
 3776 reflect the heterogeneity in responses in autism, which is discussed later.

3777 **Figure 19.**

3778 *Cold Pressor Threshold and Tolerance values (seconds) for both ASD and Control groups*



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3780
3781

Note. Raw values given as mean and standard error (SE) seconds for both cold pressor threshold (CPT) and tolerance (CPTOL) for ASD and control group. * $p < .05$.

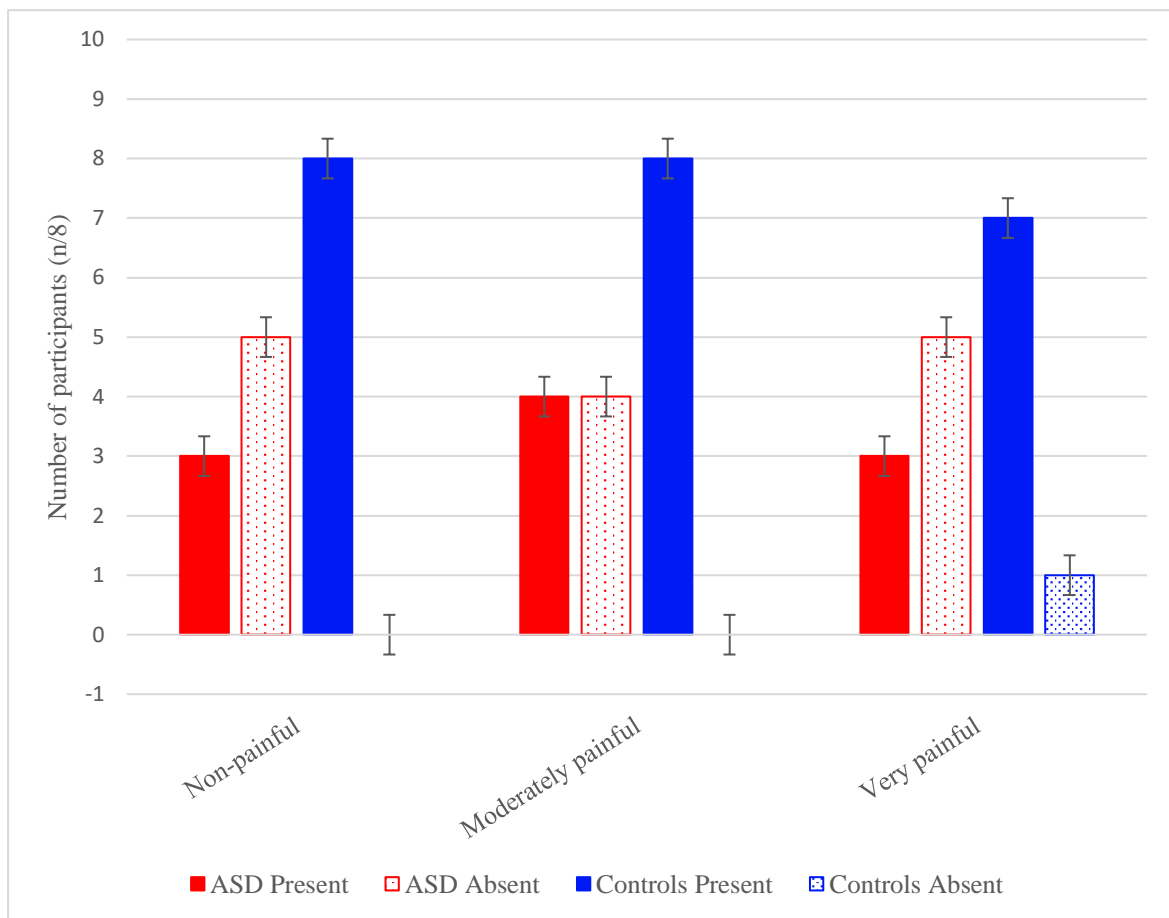
3782 4.3.3 Facial Expressions of Pain to Thermal Stimuli (Heat)

3783 4.3.3.1 Present/Absent data for Thermal Stimuli (Heat)

3784 As the expected count assumption was not met, Fisher's exact test is reported for all
3785 clusters (see table 20). The control group showed a significantly greater presence of Neutral
3786 expressions for non-painful ($p = .013$) and moderately painful thermal stimuli ($p = .038$), with
3787 a trend towards significance in very painful thermal stimuli ($p = .059$), compared to ASD
3788 group (see figure 20).

3789 **Figure 20.**

3790 *Number of participants (n/8) showing the Neutral (AU0) expression for Non-painful (41°C),*
3791 *Moderately painful (44°C), and Very painful stimuli (47°C)*



3792
3793
3794

Note. Raw values given as mean and standard error (SE) number of participants in each group, for each stimulus strength.

3795 During non-painful thermal stimuli, Fisher's exact *t*-test showed that ASD
3796 participants were more likely to make facial expressions using the Lower Orbital cluster ($p =$
3797 $.005$). For moderately painful thermal stimuli, the ASD group moved their eyes more
3798 frequently than controls ($p = .020$). There were no other group differences in clusters,
3799 particularly for very painful thermal stimuli ($p > .05$), therefore facial expressions, at least in
3800 terms of them being present during painful thermal stimuli, are similar in the ASD group and
3801 controls.

3802 **Table 21:**

3803 *Fishers exact tests for all clusters for Thermal Stimuli*

Cluster	ASD (n)		Controls (n)		p value	Odds ratio
	Present	Absent	Present	Absent		
Non-painful (41°C)						
Neutral (AU0)*	3	5	8	0	.013	.375
Upper	8	-	8	-	-	-
Lower Vertical	7	1	4	4	.141	.143
Lower Horizontal	2	6	1	7	.500	.429
Lower Oblique	7	1	3	5	.059	.086
Lower Orbital*	7	1	1	7	.005	.020
Misc.	1	7	1	7	.767	1.000
Head	7	1	3	5	.059	.086
Eyes	6	2	2	6	.066	.111
Gross Behaviour	2	6	1	7	.500	.429
Moderately painful (44°C)						
Neutral (AU0)*	4	4	8	-	.038	.500
Upper	8	-	8	-	-	-
Lower Vertical	6	2	5	3	.500	.556
Lower Horizontal	1	7	1	7	.767	1.000
Lower Oblique	6	2	4	4	.304	.333
Lower Orbital	6	2	2	6	.066	.111
Misc.	3	5	-	8	.100	.625
Head	7	1	3	5	.059	.086
Eyes*	7	1	2	6	.020	.048
Gross Behaviour	3	5	1	7	.285	.238
Very Painful (47°C)						
Neutral (AU0)	3	5	7	1	.059	11.667
Upper	8	-	8	-	-	-
Lower Vertical	7	1	5	3	.285	.238
Lower Horizontal	3	5	-	8	.100	.625
Lower Oblique	7	1	4	4	.141	.143
Lower Orbital	7	1	4	4	.141	.143
Misc.	2	6	1	7	.500	.429
Head	6	2	3	5	.157	.200
Eyes	5	3	3	5	.310	.360
Gross Behaviour	4	4	1	7	.141	.143

3804 *Note:* All values given as n = number of participants. * = significant relationships found ($p < .05$).

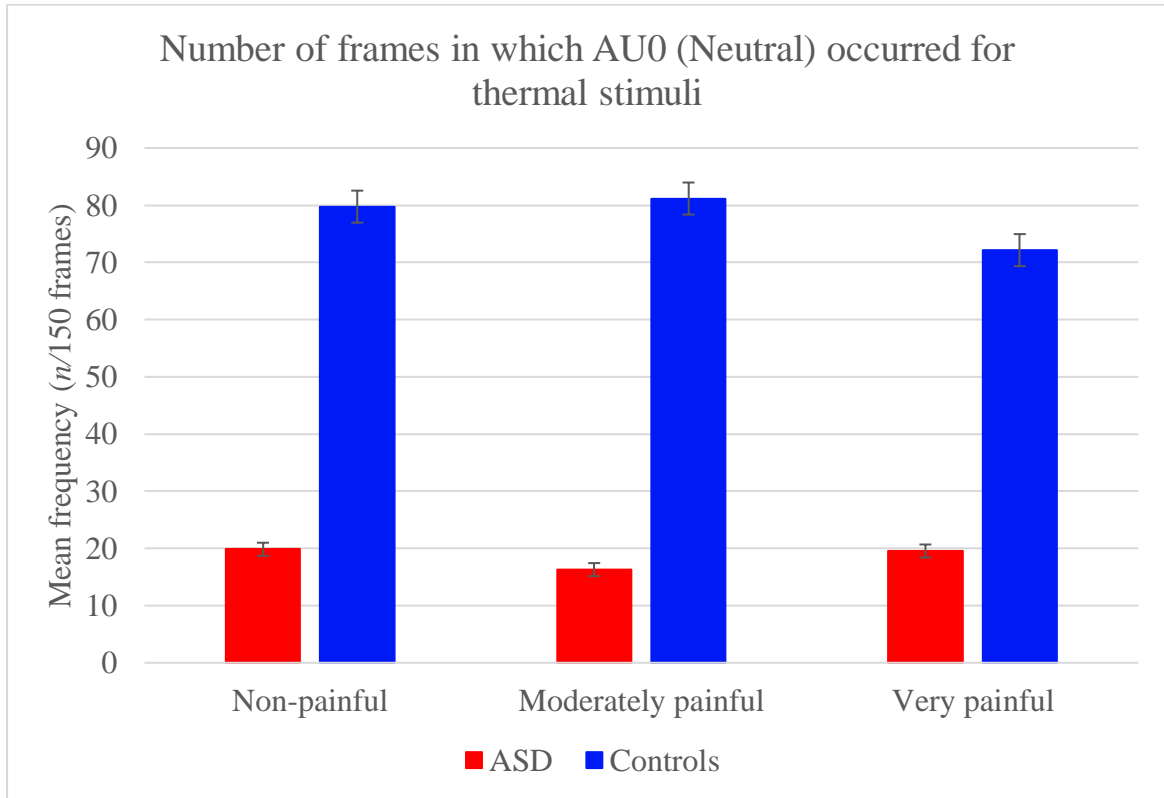
3805 **4.3.3.2 Frequency Data for Thermal Stimuli (Heat)**

3806 A series of 2 (Group [ASD/Controls]) *3 (Stimulus strength [non-painful/moderately
3807 painful/very painful]) mixed ANOVAs were run to determine group differences in clusters at
3808 different stimuli intensities. They revealed a significant main effect of group, for AU0
3809 (Neutral; $F(1,14) = 7.210, p = .018, \eta_p^2 = .340$), Upper ($F(1,14) = 14.137, p = .002, \eta_p^2 =$
3810 $.502$), Lower Orbital ($F(1,14) = 16.793, p = .001, \eta_p^2 = .545$), and Head ($F(1,14) = 10.026, p$
3811 $= .007, \eta_p^2 = .417$) clusters, wherein the ASD group had greater frequency of facial

3812 expressions at every level of thermal stimuli (see figure 21). For, AU0 the ASD group
3813 showed more expression throughout the experiment regardless of stimuli intensity, whereas
3814 controls showed more Neutral expressions except for very painful where more expression
3815 occurred.

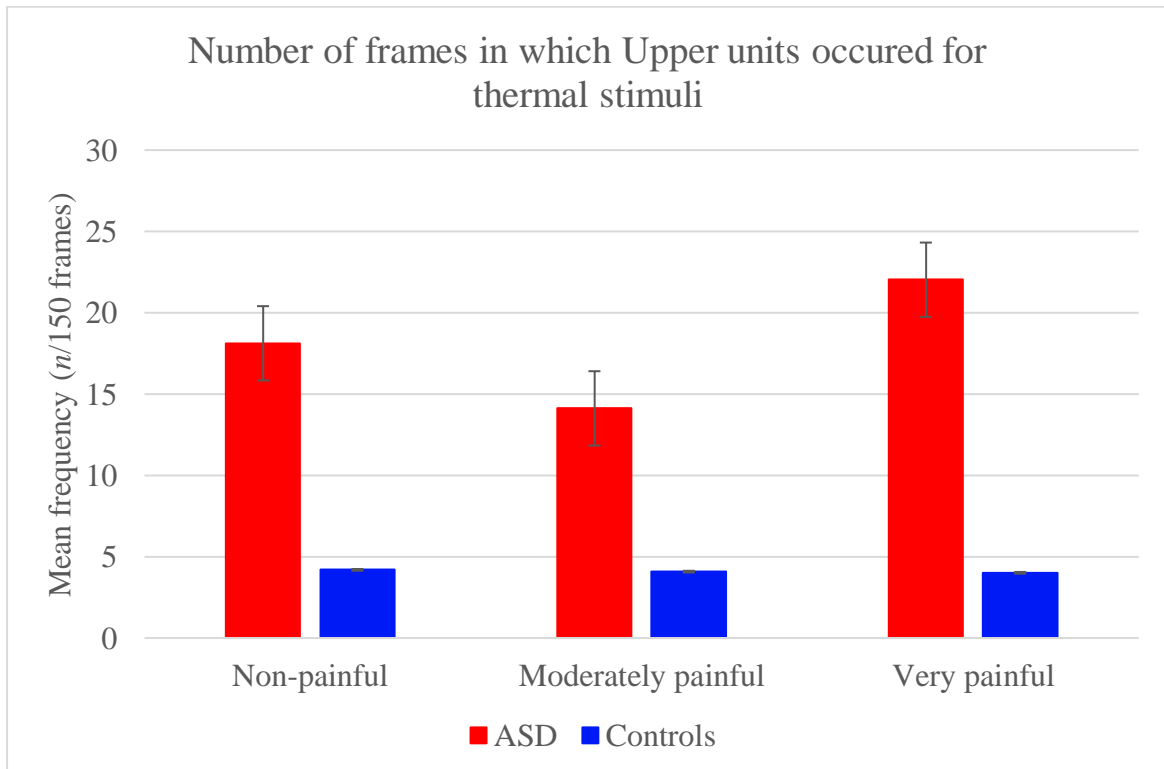
3816 **Figure 21.**

3817 *Demonstrates the frequency (n/150 frame) that clusters occurred for Non-painful (41°C),*
3818 *Moderately painful (44°C), and Very-Painful heat stimuli (47°C) for ASD and Control*
3819 *groups*



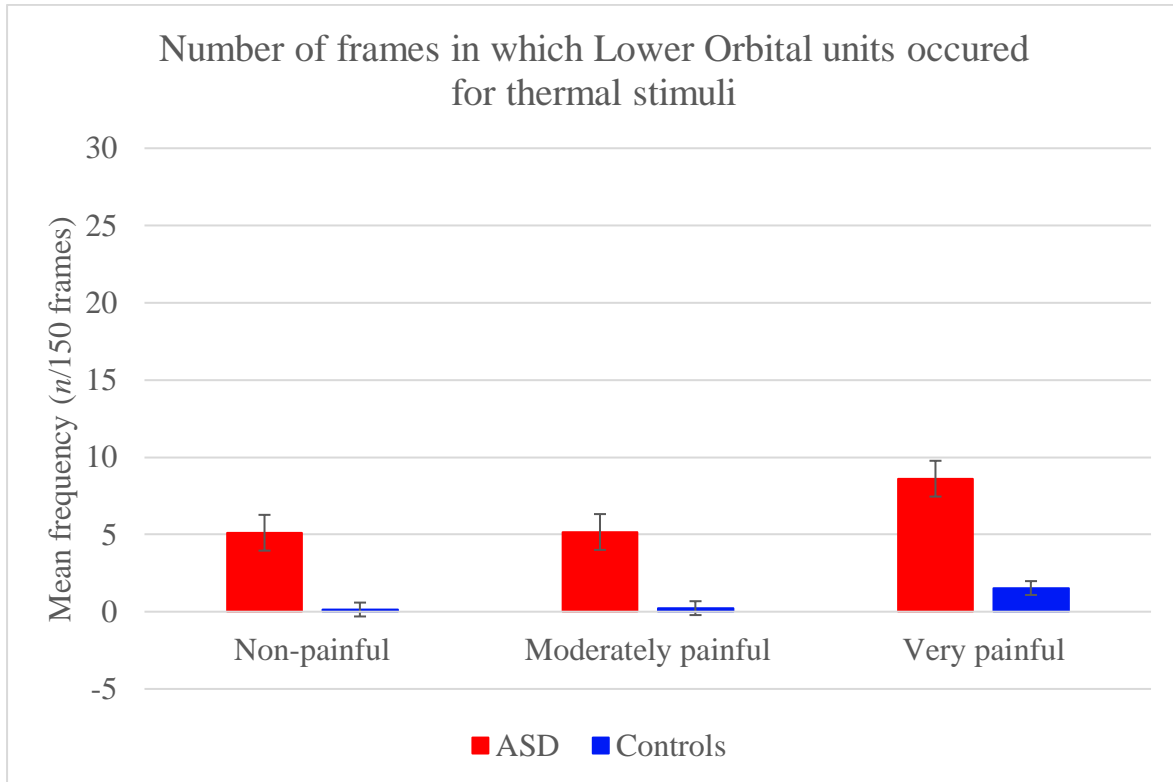
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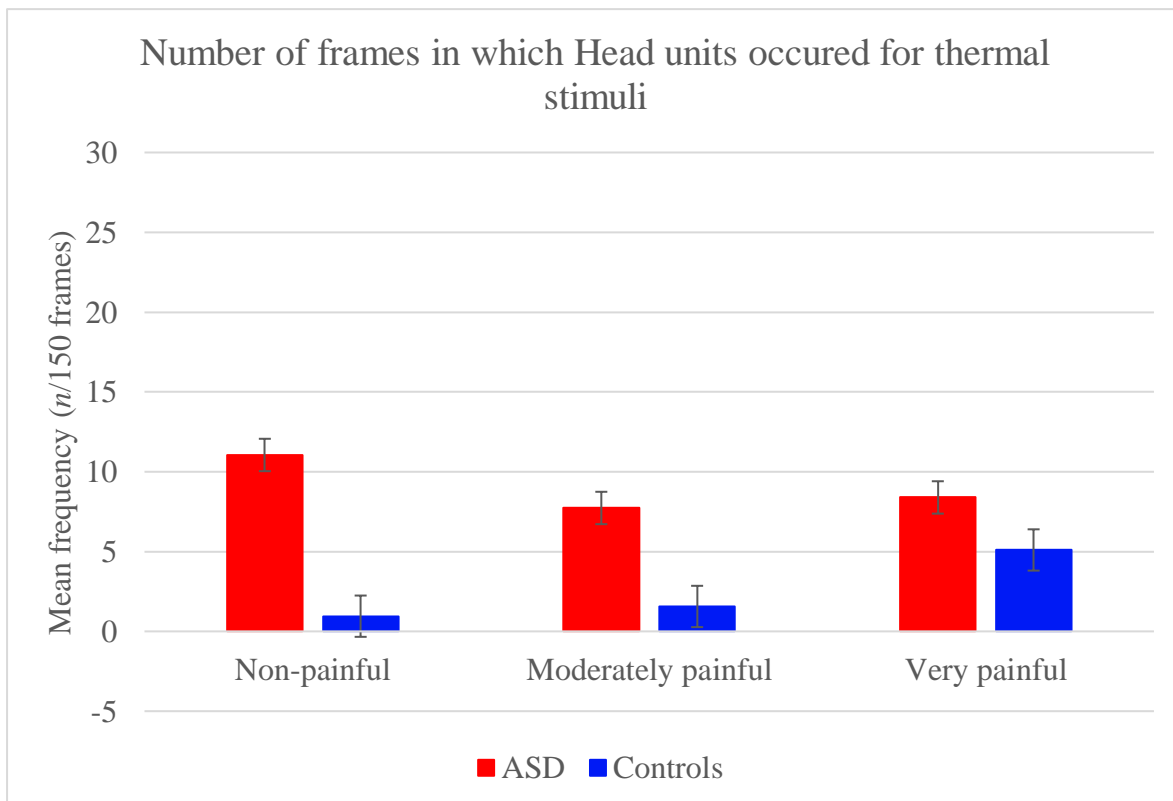


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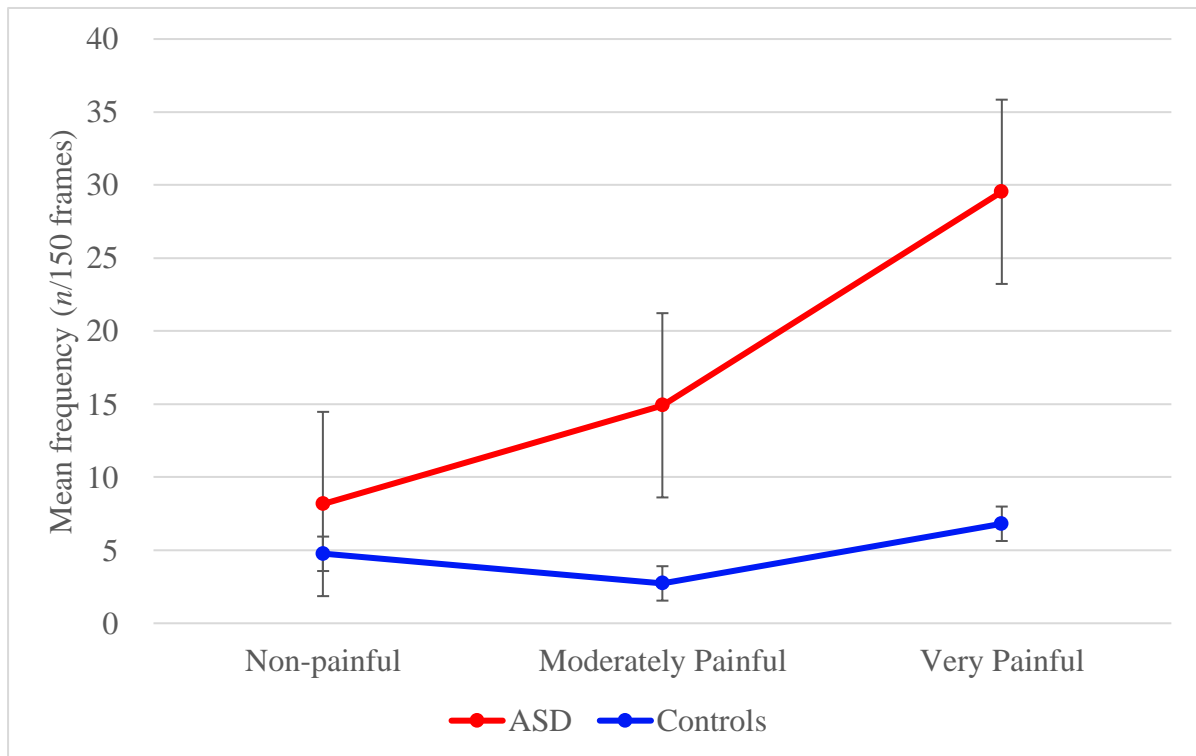
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Note. Raw values given as mean and standard errors (SE) frames for thermal stimuli for each group. All significant $p < .05$.

3828 For Lower Oblique, a 2 (Group [ASD/Controls]) *3 (Stimuli strength [non-
3829 painful/moderately painful/very painful]) mixed ANOVA revealed a significant between
3830 subjects factor main effect $F(1,14) = 5.816, p = .030, \eta_p^2 = .293$. It also revealed a
3831 significant main effect of stimuli strength and a main group*stimuli strength interaction
3832 $F(2,28) = 8.009, p = .006, \eta_p^2 = .364, F(2,28) = 4.881, p = .028, \eta_p^2 = .259$, respectively.
3833 Contrasts revealed that the frequency at which Lower Oblique units occurred increased as the
3834 temperature increased from non-painful to very painful ($F(1,14) = 8.820, p = .010, \eta_p^2 =$
3835 $.387$), and from moderately painful to very painful ($F(1,14) = 11.068, p = .005, \eta_p^2 = .442$).
3836 The interaction indicated that the frequency of Lower Oblique units for the different stimuli
3837 strengths differed between groups. In particular, the interaction graph (see figure 22) shows
3838 that although the frequency of Lower Oblique units increased as the temperature increased,
3839 this increase was most pronounced between the non-painful to very painful stimuli ($F(1,14) =$
3840 $5.996, p = .028, \eta_p^2 = .300$) but not for moderately painful to very painful ($F(1,14) = 3.512, p$
3841 $= .082, \eta_p^2 = .201$). In particular, the ASD group showed a significant increase in frequency
3842 from non-painful to very painful ($t(7) = -2.957, p = .021, \delta = 1.190$). The ASD group also
3843 showed a significant increase in intensity from moderately painful to very painful ($t(7) = -$
3844 $2.826, p = .026, \delta = .752$). The control group showed no significant differences in intensity
3845 for any pairings ($t(7) = -.650, p = .536, \delta = .231, t(7) = -1.855, p = .106, \delta = .555, t(7) = .614,$
3846 $p = .559, \delta = .290$).

3847 **Figure 22.**

3848 *Interaction graph for the frequency (n/150 frames) that Lower Oblique cluster occurred in*
3849 *Non-painful (41°C), Moderately painful (44°C) and Very-painful (47°C) heat stimuli for ASD*
3850 *and Control groups*



3851
3852
3853

Note. Shows the interaction for group*stimuli strength for Frequency. Frequency is given as mean and standard error (SE).

3854 To further explore which units within the Lower Oblique cluster were responsible for
3855 these effects, several 2 (Group [ASD/Controls]) *3 (Stimuli strength [non-painful/moderately
3856 painful/very painful]) mixed ANOVAs were conducted (AU11, AU12 and AU13, Nasolabial
3857 Furrow Deepener, Lip Corner Puller and Sharp Lip Puller, respectively). It revealed that the
3858 differences above were driven by both AU11 and AU12. Nasolabial Furrow Deepener
3859 (AU11) showed a main effect for group differences ($F(1,14) = 5.925, p = .029, \eta_p^2 = .297$),
3860 indicating that the ASD group displayed this more often than the controls. As well as that it
3861 occurred more frequently as temperatures increased ($F(2,28) = 8.272, p = .005, \eta_p^2 = .371$), as
3862 well as a significant group*stimuli intensity interaction ($F(2,28) = 5.455, p = .020, \eta_p^2 =$
3863 $.280$). Contrasts revealed that the frequency at which AU11 occurred increased as the
3864 temperature increased from non-painful to very painful ($F(1,14) = 8.529, p = .011, \eta_p^2 =$

3865 .379), and from moderately painful to very painful ($F(1,14) = 14.019, p = .002, \eta_p^2 = .500$).

3866 The interaction indicated that the frequency of AU11 for the different stimuli strengths

3867 differed between groups. In particular, the interaction graph shows (see figure 23) that the

3868 frequency of AU11 increased as the temperature increased from non-painful to very painful

3869 stimuli ($F(1,14) = 6.298, p = .025, \eta_p^2 = .310$) as well as for moderately painful to very

3870 painful ($F(1,14) = 7.012, p = .019, \eta_p^2 = .334$). In particular, the ASD group showed a

3871 significant increase in frequency from non-painful to very painful ($t(7) = -2.894, p = .023, \delta =$

3872 1.161). The ASD group also showed a significant increase in intensity from moderately

3873 painful to very painful ($t(7) = -3.440, p = .011, \delta = .939$). The control group showed no

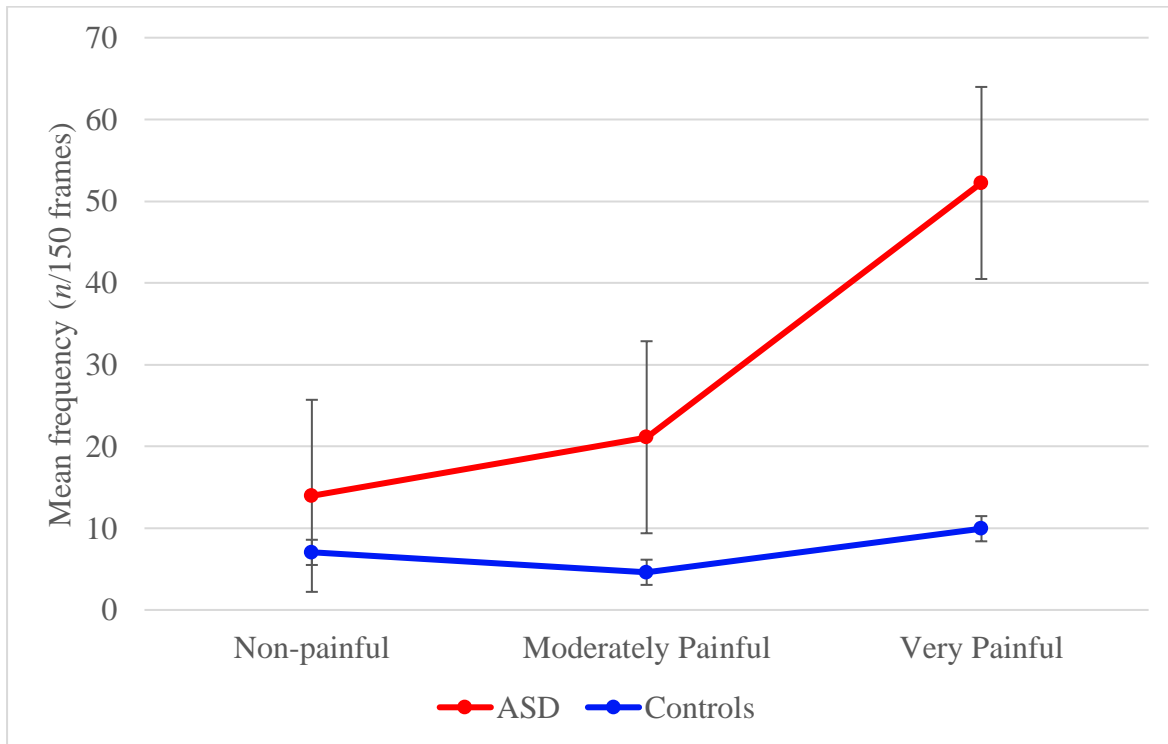
3874 significant differences in intensity for any pairings ($t(7) = -.593, p = .572, \delta = .225$., $t(7) = -$

3875 $1.482, p = .182, \delta = .503, t(7) = .498, p = .634, \delta = .236$), therefore as stimuli increases in

3876 intensity to become painful the lines around the mouth and nose become deeper.

3877 **Figure 23.**

3878 *Interaction graph for the frequency (n/150 frames) that the Action Unit Nasolabial Furrow*
3879 *Deepener (AU11) occurred in Non-painful (41°C), Moderately painful (44°C) and Very-*
3880 *painful (47°C) heat stimuli for ASD and Control groups*

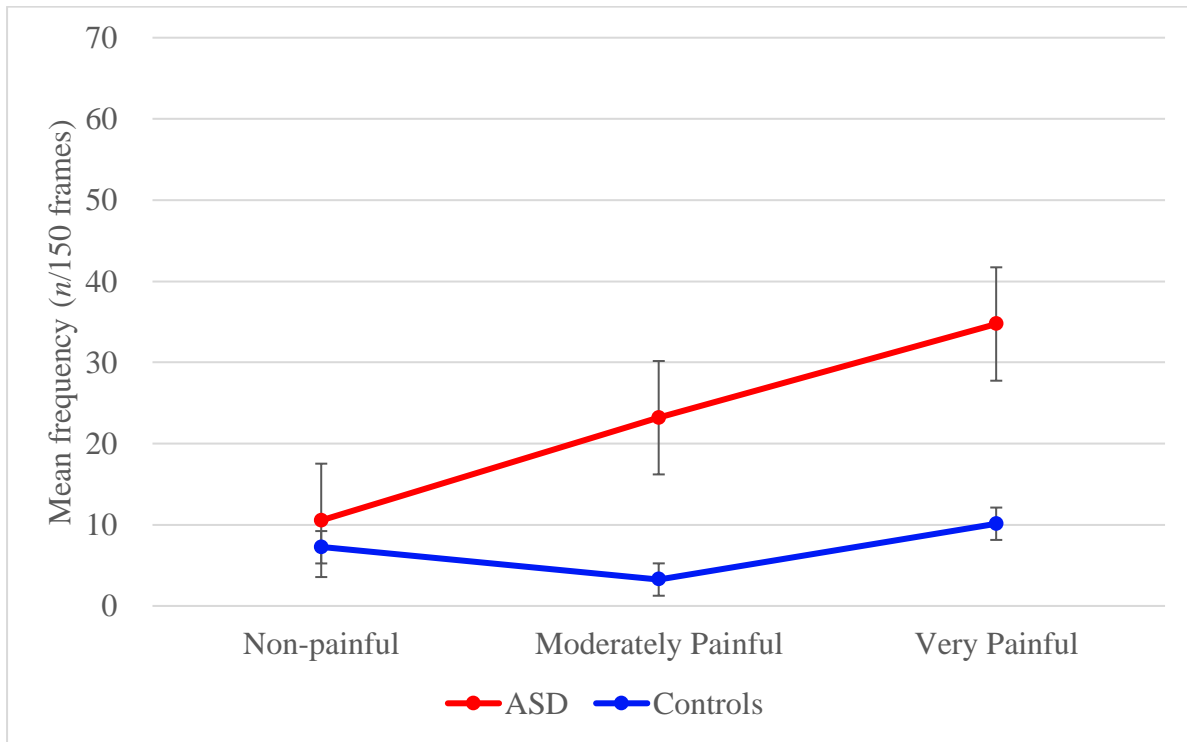


3881 *Note.* Shows the interaction for group*stimuli strength for Frequency. Frequency is given as mean and standard
3882 error (SE).
3883

3884 Lip Corner Puller (AU12) showed a main effect for group differences ($F(1,14) =$
3885 $4.777, p = .046, \eta_p^2 = .254$) and that it occurred more frequently as temperatures increased
3886 ($F(2,28) = 4.041, p = .029, \eta_p^2 = .224$). Although there was no significant interaction
3887 ($F(2,28) = 2.646, p = .089, \eta_p^2 = .159$), demonstrating that although Lip Corner Puller
3888 happened more frequently as the temperature arose, and that there were group differences, the
3889 intensity was not reliant on the group (see figure 24). Contrasts revealed that the frequency at
3890 which AU12 occurred increased as the temperature increased from non-painful to very
3891 painful ($F(1,14) = 4.858, p = .045, \eta_p^2 = .258$), and from moderately painful to very painful
3892 ($F(1,14) = 4.965, p = .043, \eta_p^2 = .262$).

3893 **Figure 24.**

3894 *Interaction graph for the frequency (n/150 frames) that the Action Unit Lip Corner Puller*
3895 *(AU12) occurred in Non-painful (41°C), Moderately painful (44°C) and Very-painful (47°C)*
3896 *heat stimuli for ASD and Control groups*



3897 *Note.* Shows the interaction for group*stimuli strength for Frequency. Frequency is given as mean and standard
3898 error (SE).
3899

3900 For all other clusters 2 (Group [ASD/Controls]) *3 (Stimuli strength [non-
3901 painful/moderately painful/very painful]) mixed ANOVAs revealed no significant main
3902 effects of stimuli intensity or group*stimuli intensity interactions for frequency ($p > .05$; see
3903 table 21). Nor was there a main group effect ($p > .05$).

3904 **Table 22:**

3905 *Descriptive statistics for all clusters for thermal stimuli strengths for ASD and Control*

3906 *groups*

		Non-painful (41°C)	Moderately painful (44°)	Very painful (47°C)
Cluster	Group			
Lower Vertical	ASD	9.93 (7.96)	9.74 (7.02)	15.42 (11.77)
	Controls	6.52 (7.77)	3.19 (4.57)	4.96 (6.01)
Lower Horizontal	ASD	1.99 (3.82)	2.61 (7.40)	7.03 (15.00)
	Controls	.33 (.94)	1.39 (5.22)	-
Misc.	ASD	.36 (1.02)	.63 (1.26)	.34 (.86)
	Controls	.17 (.49)	-	.07 (.20)
Eyes	ASD	8.26 (9.05)	6.39 (6.09)	6.03 (7.34)
	Controls	2.01 (4.26)	1.89 (5.17)	2.32 (5.75)
Gross Behaviour	ASD	3.75 (8.75)	3.13 (5.79)	5.59 (7.30)
	Controls	2.08 (5.88)	.52 (1.47)	.52 (1.47)

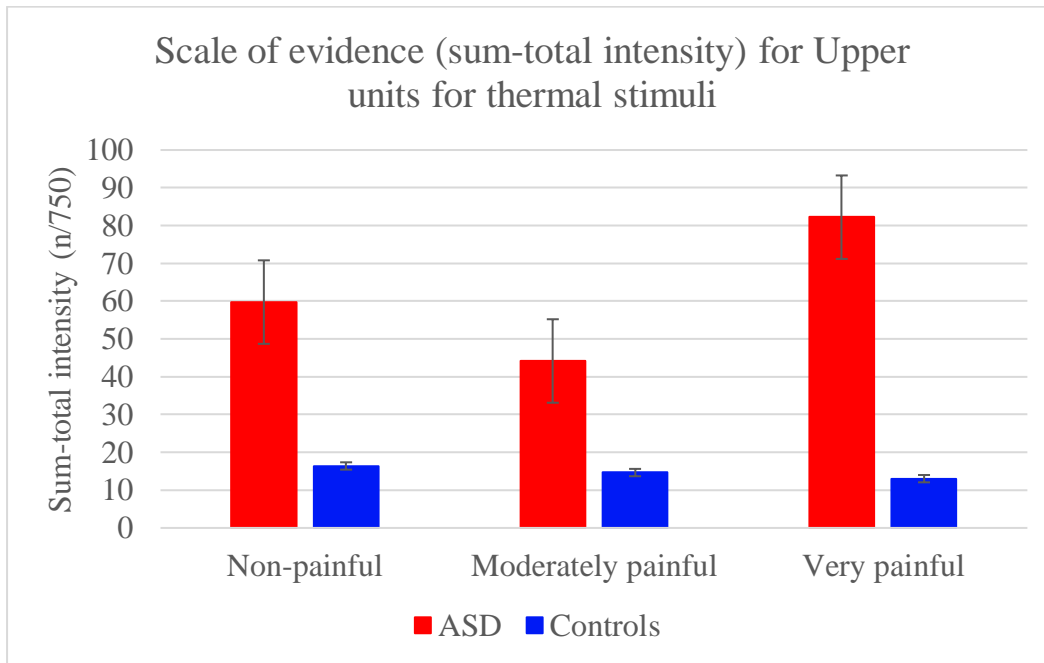
3907 *Note: All values given as mean (SD) frequency i.e., mean number of images (n/150) present in the clusters.*

3908 **4.3.3.3 Sum-Total data for thermal stimuli (heat)**

3909 A series of 2 (Group [ASD/Controls]) *3 (Stimulus strength [non-painful/moderately
3910 painful/very painful]) mixed ANOVAs were run to determine group differences in clusters at
3911 different stimuli intensities for sum-total. Sum-total was the maximal intensity score for the
3912 cluster (sum total cluster = no. of units in group (150*5)). They revealed a significant main
3913 effect of group, for Upper ($F(1,14) = 11.955, p = .004, \eta_p^2 = .461$) and Head ($F(1,14) =$
3914 $9.730, p = .008, \eta_p^2 = .410$) clusters, wherein the ASD group had greater frequency of facial
3915 expressions at every level of thermal stimuli, (see figure 25).

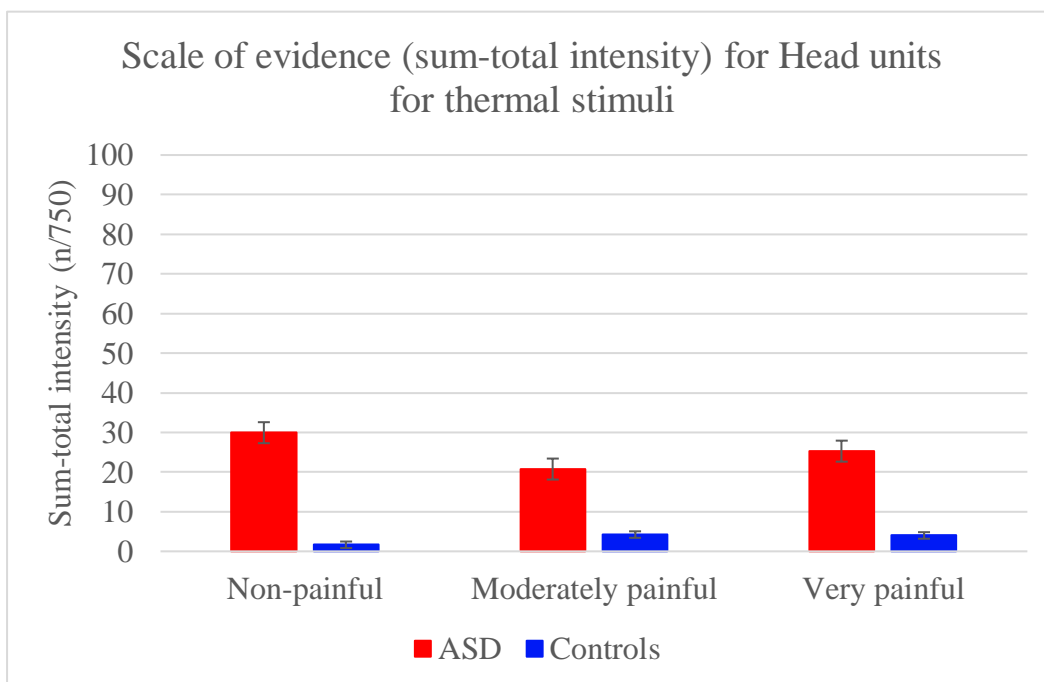
3916 **Figure 25.**

3917 *Maximal intensity score (sum-total = n/750 i.e., number of frames * 5) for clusters during*
3918 *Non-painful (41°C), Moderately painful (44°C), and Very-Painful heat stimuli (47°C) for*
3919 *ASD and Control groups*



3920

3921



3922

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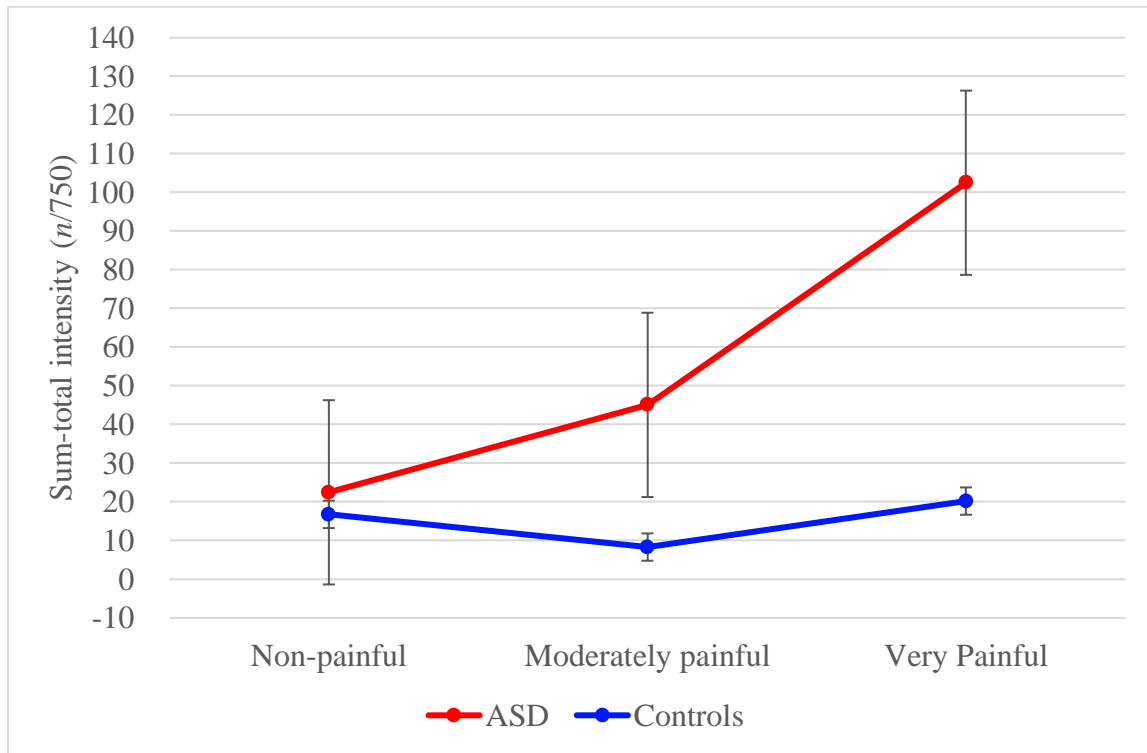
3924

Note. Raw values given as mean and standard error (SE) sum-total score (n/750) for thermal stimuli for each group. All significant $p < .05$.

3925 For Lower Oblique, a 2 (Group [ASD/Controls]) *3 (Stimuli strength [non-
3926 painful/moderately painful/very painful]) mixed ANOVA revealed a significant between
3927 subjects factor main effect $F(1,14) = 4.842, p = .045, \eta_p^2 = .257$. It also revealed a
3928 significant main effect of stimuli strength $F(2,28) = 6.990, p = .011, \eta_p^2 = .333$ and a
3929 significant group*stimuli strength interaction $F(2,28) = 5.196, p = .027, \eta_p^2 = .271$.
3930 Therefore, groups differed in their intensity for Lower Oblique units, and this intensity
3931 differed across the three stimuli. Contrasts revealed that the intensity of Lower Oblique units
3932 increased as the temperature increased from non-painful to moderately painful ($F(1,14) =$
3933 $7.326, p = .017, \eta_p^2 = .344$), and very painful ($F(1,14) = 9.040, p = .009, \eta_p^2 = .392$). They
3934 also revealed the intensity increase of Lower Oblique units was most pronounced between the
3935 groups for the non-painful to very painful stimuli ($F(1,14) = 6.164, p = .026, \eta_p^2 = .306$, see
3936 figure 26) and in particular for the ASD group ($t(7) = -2.747, p = .029, \delta = 1.159$). The ASD
3937 group also showed a significant increase in intensity from moderately painful to very painful
3938 ($t(7) = -2.594, p = .036, \delta = .784$). The control group showed no significant differences in
3939 intensity for any pairings ($t(7) = .740, p = .483, \delta = .332, t(7) = -.340, p = .744, \delta = .111, t(7)$
3940 $= -1.848, p = .107, \delta = .521$).

3941 **Figure 26.**

3942 *Interaction graph for the maximal intensity score (sum-total = n/750 i.e., number of frames **
3943 *5) for Lower Oblique clusters during Non-painful (41°C), Moderately painful (44°C), and*
3944 *Very-Painful heat stimuli (47°C) for ASD and Control group*



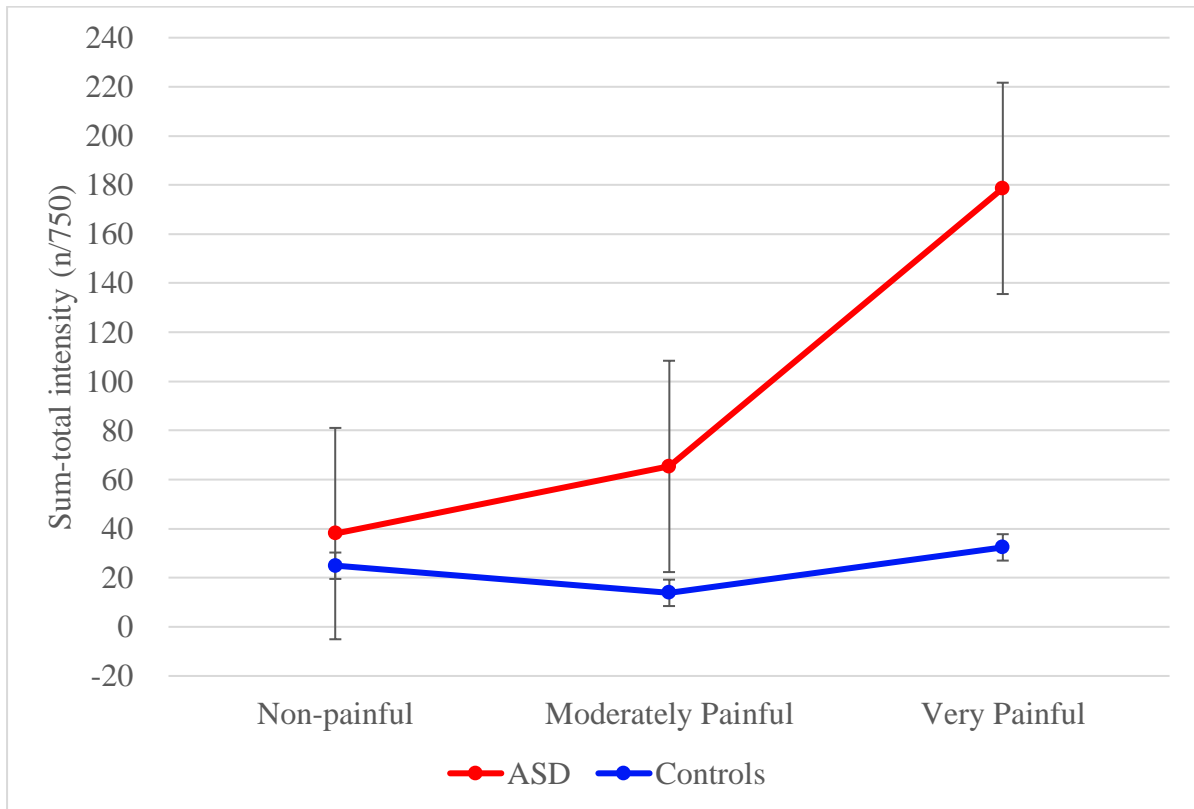
3945 *Note.* Shows the interaction for group*stimuli strength for Frequency. Frequency is given as mean and standard
3946 error (SE) sum-total score (n/750).
3947

3948 To investigate this further, several 2 (Group [ASD/Controls]) *3 (Stimuli strength
3949 [non-painful/moderately painful/very painful]) mixed ANOVAs were conducted for each of
3950 the action units involved in the Lower Oblique cluster (AU11, AU12 and AU13, Nasolabial
3951 Furrow Deepener, Lip Corner Puller and Sharp Lip Puller, respectively). It revealed that the
3952 differences above were driven by AU11. Nasolabial Furrow Deepener (AU11) showed a
3953 main effect for group differences ($F(1,14) = 4.653, p = .049, \eta_p^2 = .249$) as well as an
3954 increase in intensity as temperatures increased ($F(2,28) = 8.313, p = .005, \eta_p^2 = .373$), as well
3955 as a significant group*stimuli intensity interaction ($F(2,28) = 5.920, p = .016, \eta_p^2 = .297$).
3956 Contrasts revealed that the intensity at which AU11 occurred increased as the temperature
3957 increased from non-painful to very painful ($F(1,14) = 8.583, p = .011, \eta_p^2 = .380$), and from
3958 moderately painful to very painful ($F(1,14) = 12.204, p = .004, \eta_p^2 = .466$). The interaction

3959 indicated that the intensity of AU11 for the different stimuli strengths differed between
3960 groups. In particular, the interaction graph (see figure 27) shows that the intensity of AU11
3961 increased as the temperature increased from non-painful to very painful stimuli ($F(1,14) =$
3962 $6.937, p = .020, \eta_p^2 = .331$) as well as for moderately painful to very painful ($F(1,14) = 6.308,$
3963 $p = .025, \eta_p^2 = .311$). In particular, the ASD group showed a significant increase in intensity
3964 from non-painful to very painful ($t(7) = -2.906, p = .023, \delta = 1.166$). The ASD group also
3965 showed a significant increase in intensity from moderately painful to very painful ($t(7) =$
3966 $3.160, p = .016, \delta = .917$). The control group showed no significant differences in intensity
3967 for any pairings ($t(7) = -.512, p = .624, \delta = .158, t(7) = -1.573, p = .160, \delta = .521, t(7) = .651,$
3968 $p = .536, \delta = .293$).

3969 **Figure 27.**

3970 *Interaction graph for the maximal intensity score (sum-total = n/750 i.e., number of frames **
3971 *5) that the Action Unit Nasolabial Furrow Deepener (AU11) occurred in Non-painful (41°C),*
3972 *Moderately painful (44°C) and Very-painful (47°C) heat s*



3973 *Note. Shows the interaction for group*stimuli strength for Frequency. Frequency is given as mean (SE) sum-*
3974 *total (n/750).*
3975

3976 For all other clusters 2 (Group [ASD/Controls]) *3 (Stimuli strength [non-
3977 painful/moderately painful/very painful]) mixed ANOVAs revealed no significant main
3978 effects of stimuli intensity or group*stimuli intensity interactions for frequency ($p >.05$; see
3979 table 22). Nor was there a main group effect ($p >.05$).

3980 **Table 23:**

3981 *Descriptive statistics for all clusters for thermal stimuli strengths for both ASD and Control*

3982 *groups*

		Non-painful (41°C)	Moderately painful (44°C)	Very painful (47°C)
Cluster	Group			
Lower Vertical	ASD	27.64 (20.89)	26.11 (21.77)	49.68 (45.18)
	Controls	20.83 (27.73)	8.58 (13.12)	13.54 (17.74)
Lower Horizontal	ASD	7.06 (14.87)	9.54 (26.99)	24.80 (55.33)
	Controls	1.40 (3.95)	.63 (1.77)	-
Lower Orbital	ASD	15.56 (9.76)	17.07 (12.07)	31.71 (32.13)
	Controls	.56 (1.58)	.80 (1.48)	5.04 (6.51)
Misc.	ASD	1.37 (3.63)	2.33 (4.58)	1.08 (2.66)
	Controls	.74 (2.09)	-	.19 (1.91)
Eyes	ASD	26.74 (33.54)	17.46 (17.70)	18.61 (22.09)
	Controls	6.11 (12.21)	5.36 (14.42)	7.04 (16.68)
Gross Behaviour	ASD	6.54 (16.03)	6.77 (11.77)	11.97 (15.95)
	Controls	3.64 (10.29)	1.04 (2.95)	.52 (1.47)

3983 *Note:* All values given as mean (*SD*) Sum-total intensity (*n*/750) for the cluster. ASD (Autism Spectrum
3984 Disorder)

3985 **4.3.4 Facial Expressions of Pain to Cold Pressor Stimuli**

3986 **4.3.4.1 Present/Absent data for Cold Pressor Stimuli**

3987 As the expected count assumption was not met, Fisher's exact test is reported for all
3988 clusters (see table 23). For cold pressor sensation, pain, and tolerance the ASD group did not
3989 significantly differ in their facial expressions compared to controls ($p > .05$), although, of note
3990 is that Lower Vertical expressions were present in the entire sample (see table 23).

3991 **Table 24:**

3992 *Fishers exact tests for all clusters for Cold Pressor Stimuli for ASD and Control groups*

Cluster	ASD (n)		Controls (n)		p value	Odds ratio
	Present	Absent	Present	Absent		
Cold Pressor Sensation						
Neutral (AU0)	5	3	5	3	.696	1.000
Upper	8	-	7	1	.500	1.143
Lower Vertical	7	1	4	4	.141	.143
Lower Horizontal	1	7	-	8	.500	.875
Lower Oblique	3	5	4	4	.500	.875
Lower Orbital	2	6	-	8	.233	.750
Misc.	-	8	1	7	.500	1.143
Head	5	3	6	2	.500	1.800
Eyes	3	5	3	5	.696	1.000
Cold Pressor Pain						
Neutral (AU0)	1	7	4	4	.141	7.000
Upper	8	-	6	2	.233	1.333
Lower Vertical	8	-	8	-	-	-
Lower Horizontal	2	6	0	8	.233	.750
Lower Oblique	7	1	4	4	.141	.143
Lower Orbital	5	3	3	5	.310	.360
Misc.	1	7	1	7	.767	1.000
Head	5	3	2	6	.157	.200
Eyes	4	4	3	5	.500	.600
Gross Behaviour	1	7	1	7	.767	1.000
Cold Pressor Tolerance						
Neutral (AU0)	1	7	3	5	.285	4.200
Upper	8	-	7	1	.500	1.143
Lower Vertical	5	3	3	5	.310	.360
Lower Horizontal	1	7	-	8	.500	.875
Lower Oblique	5	3	3	5	.310	.360
Lower Orbital	2	6	1	7	.500	.429
Misc.	1	7	-	8	.500	.875
Head	7	1	5	3	.285	.238
Eyes	4	4	5	3	.500	1.667
Gross Behaviour	1	7	1	7	.767	1.000

3993 *Note.* All values given as *n* = number of participants. ASD (Autism Spectrum Disorder).

3994 **4.3.4.2 Frequency Data for Cold Pressor Stimuli**

3995 *T*-tests or Mann Whitney *U* tests (were KS test revealed assumptions of normality
3996 were violated) revealed that for cold pressor sensation, pain, and tolerance, at least in terms of
3997 the maximal presence of an action unit observed for the duration of the stimulus, the
3998 frequency of facial expressions did not significantly differ between the ASD group and
3999 controls (see table 24).

4000 **Table 25:**

4001 *Descriptive and test statistics including effects sizes for all clusters for Cold Pressor Stimuli*

4002 *for ASD and Control groups*

Clusters	ASD	Controls	Test Value	p value	Effect Size
Cold Pressor Sensation					
Neutral (AU0)+	53.63 (56.50)	49.63 (56.92)	30.500	.871	$r = -.04$
Upper	3.56 (3.06)	6.59 (5.89)	-1.292	.217	$\delta = .65$
Lower Vertical	8.09 (6.80)	5.39 (6.93)	.788	.444	$\delta = .39$
Lower Horizontal+	.44 (1.24)	-	28.000	.317	$r = .25$
Lower Oblique+	5.91 (9.69)	25.96 (43.79)	28.000	.643	$r = -.12$
Lower Orbital+	1.40 (3.57)	-	24.000	.144	$r = -.37$
Misc.+	-	.03 (.10)	28.000	.317	$r = -.25$
Head+	.63 (.52)	.75 (.46)	28.000	.602	$r = -.13$
Eyes+	5.72 (10.38)	2.97 (5.58)	30.500	.856	$r = -.05$
Cold Pressor Pain					
Neutral (AU0)+	8.13 (22.98)	32.75 (58.85)	20.000	.125	$r = -.38$
Upper	17.38 (13.00)	7.89 (7.97)	1.759	.100	$\delta = .88$
Lower Vertical+	13.39 (10.57)	10.34 (12.98)	24.000	.394	$r = -.26$
Lower Horizontal+	5.69 (12.84)	-	24.000	.144	$r = -.37$
Lower Oblique	34.54 (35.37)	25.75 (36.05)	.348	.733	$\delta = .23$
Lower Orbital+	4.00 (4.33)	1.15 (1.88)	19.500	.161	$r = -.35$
Misc.+	1.30 (3.66)	.30 (.84)	31.500	.927	$r = -.02$
Head+	10.71 (9.15)	2.49 (5.34)	16.500	.070	$r = -.45$
Eyes+	4.88 (7.53)	4.77 (7.81)	30.000	.817	$r = -.06$
Gross Behaviour+	3.13 (7.81)	3.13 (8.84)	32.000	1.000	-
Cold Pressor Tolerance					
Neutral (AU0)+	6.50 (18.38)	37.50 (66.77)	23.500	.241	$r = -.29$
Upper	19.67 (15.20)	14.92 (15.85)	.612	.550	$\delta = .30$
Lower Vertical+	10.11 (10.76)	7.75 (12.02)	25.500	.466	$r = -.18$
Lower Horizontal+	7.25 (20.51)	-	28.000	.317	$r = -.25$
Lower Oblique+	30.00 (40.18)	24.79 (38.08)	27.500	.614	$r = -.13$
Lower Orbital+	2.35 (5.95)	.23 (.64)	27.500	.487	$r = -.17$
Misc.+	.07 (.19)	-	28.000	.317	$r = -.25$
Head	15.76 (11.89)	7.21 (8.02)	1.686	.114	$\delta = .84$
Eyes+	5.42 (7.49)	3.95 (4.73)	31.000	.913	$r = -.03$
Gross Behaviour+	3.00 (8.49)	3.13 (8.84)	31.500	.927	$r = -.02$

4003 *Note.* All values given as mean (*SD*) frequency i.e., mean number of images (n/150) the clusters were present in.
 4004 + indicates those clusters who did not meet parametric assumptions and Mann Whitney *U* was conducted. All
 4005 values are given as mean (*SD*) rather than rank to facilitate understanding and comparisons. Effect sizes given as
 4006 Cohen's δ for parametric t-tests, or r for non-parametric Mann Whitney *U*.

4007 **4.3.4.3 Sum-total Data for Cold Pressor Stimuli**

4008 T-tests or Mann Whitney *U* tests (where assumptions were violated) revealed that for
 4009 cold pressor sensation, pain, and tolerance, there were no significant group differences
 4010 between the sum-total intensity of facial expressions (see table 25). Suggesting that, facial

4011 expression, at least in terms of the maximal intensity of an action unit observed for the
 4012 duration of the stimulus, are similar between the ASD group and controls.

4013 **Table 26:**

4014 *Descriptive and test statistics including effects sizes for all clusters for Cold Pressor Stimuli*
 4015 *for ASD and Control groups*

Cluster	ASD	Controls	Test Value	p value	Effect Size
Cold Pressor Sensation					
Upper	3.56 (3.06)	6.59 (5.89)	-1.530	.161	$\delta = .65$
Lower Vertical+	18.52 (14.73)	16.12 (18.88)	28.000	.670	$r = -.11$
Lower Horizontal+	.88 (2.47)	-	28.000	.317	$r = -.25$
Lower Oblique+	19.63 (26.46)	85.92 (160.82)	28.000	.643	$r = -.12$
Lower Orbital+	3.53 (9.26)	-	24.000	.144	$r = -.37$
Misc.+	-	.14 (.39)	28.000	.317	$r = -.25$
Head	22.63 (24.73)	10.20 (14.93)	1.217	.248	$\delta = .61$
Eyes+	15.14 (29.58)	8.69 (16.06)	31.500	.952	$r = -.02$
Cold Pressor Pain					
Upper	62.03 (56.15)	26.00 (25.22)	1.656	.120	$\delta = .83$
Lower Vertical	39.47 (37.81)	27.78 (32.31)	.665	.517	$\delta = .33$
Lower Horizontal+	20.56 (49.70)	-	24.000	.144	$r = -.37$
Lower Oblique+	113.79 (123.70)	91.21 (135.76)	23.000	.337	$r = -.24$
Lower Orbital+	13.60 (16.30)	4.20 (7.46)	20.500	.197	$r = -.32$
Misc.+	6.48 (18.32)	1.05 (2.96)	31.500	.927	$r = -.02$
Head+	24.79 (22.89)	6.74 (15.77)	18.000	.104	$r = -.41$
Eyes+	12.66 (18.09)	15.19 (24.09)	32.000	1.000	-
Gross Behaviour+	15.63 (44.19)	6.25 (17.68)	31.500	.927	$r = -.02$
Cold Pressor Tolerance					
Upper	68.47 (56.67)	46.58 (49.58)	.822	.425	$\delta = .41$
Lower Vertical+	29.47 (31.92)	22.64 (32.97)	25.500	.537	$r = -.15$
Lower Horizontal+	27.75 (61.52)	-	28.000	.317	$r = -.25$
Lower Oblique+	118.67 (182.89)	79.54 (127.23)	25.500	.466	$r = -.18$
Lower Orbital+	11.30 (29.84)	.55 (1.56)	27.000	.441	$r = -.19$
Misc.+	.20 (.58)	-	28.000	.317	$r = -.25$
Head	44.09 (31.07)	20.15 (21.99)	1.79	.097	$\delta = .89$
Eyes+	13.91 (18.32)	13.78 (16.02)	31.000	.913	$r = -.03$
Gross Behaviour+	9.00 (25.46)	12.50 (35.36)	31.500	.927	$r = -.02$

4016 *Note.* All values given as mean (*SD*) sum-total (i.e., maximal intensity) of the clusters. + indicates those clusters
 4017 who did not meet parametric assumptions and Mann Whitney *U* was conducted. All values are given as mean
 4018 (*SD*) rather than rank to facilitate understanding and comparisons. Effect sizes given as Cohen's δ for parametric
 4019 t-tests, or r for non-parametric Mann Whitney *U*.

4020 4.3.5 Self-report Ratings of Thermal Stimuli

4021 Participants were asked if each of the thermal stimuli were painful or not. Fishers
 4022 exact test revealed no significant group differences for non-painful ($p = .233$, $OR = 2.333$) or
 4023 moderately painful thermal stimuli ($p = .500$, $OR = 1.800$). *T*-tests used to determine if the

4024 ASD group differed in their self-reported ratings of pain intensity and unpleasantness for
 4025 non-painful, moderately painful, and very painful thermal stimuli compared to controls
 4026 revealed no significant group differences (see table 26). Despite there being no significant
 4027 group differences, the ASD group reported greater intensity and unpleasantness for each
 4028 stimulus compared to controls, indicating a greater sensitivity for aversive experience.

4029 **Table 27:**

4030 *Descriptive statistics and test values including effect sizes for self-reported pain intensity and*
 4031 *unpleasantness of Non-painful (41°C), Moderately painful (44°C) and Very-painful (47°C)*
 4032 *heat stimuli for ASD and Control groups*

		ASD	Controls	Test value	<i>p value</i>	Effect size
Intensity	Non-painful	2.19 (2.03)	1.31 (1.03)	1.085	.303	.547
	Moderately painful	4.19 (1.98)	3.19 (2.12)	.975	.346	.488
	Very painful	6.75 (1.67)	5.69 (1.49)	1.345	.200	.670
Unpleasantness	Non-painful	1.69 (1.81)	1.06 (1.05)	.844	.413	.426
	Moderately painful	4.31 (2.14)	2.31 (1.71)	2.067	.058	1.033
	Very painful	6.81 (1.10)	5.81 (1.53)	1.499	.156	.750

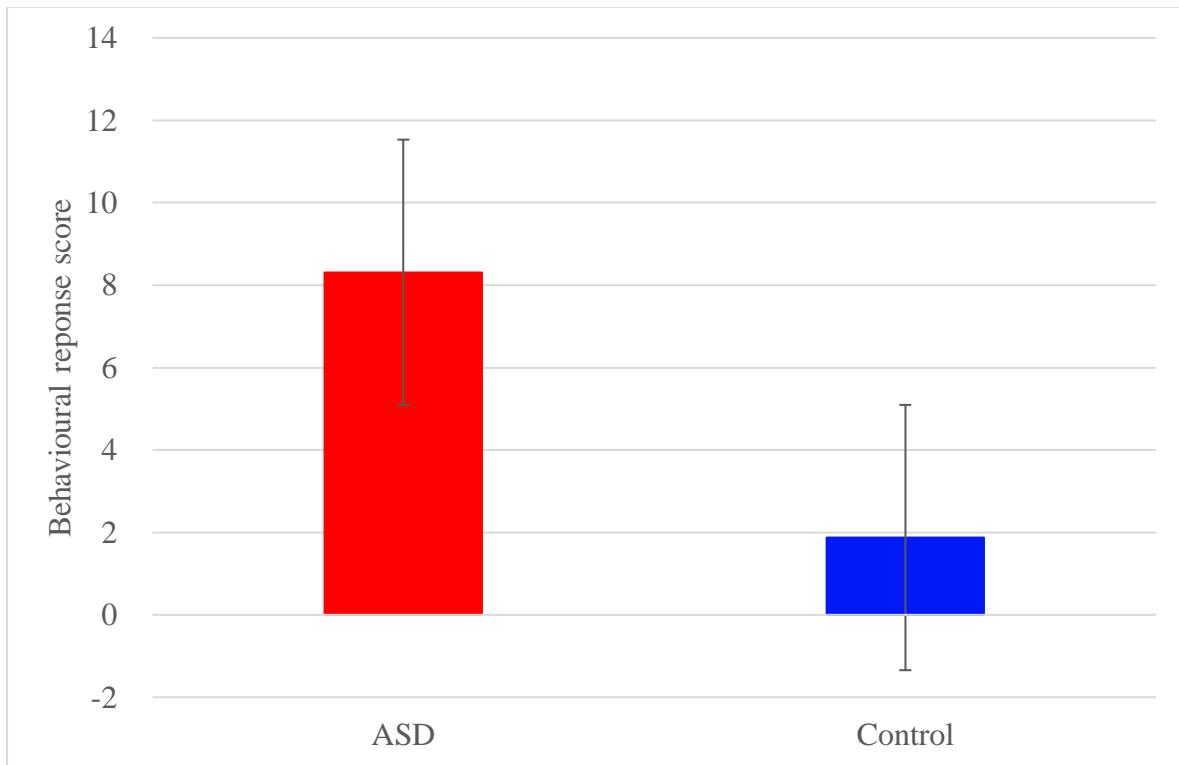
4033 *Note. Values given as mean (SD).*

4034 **4.3.6 Behavioural Responses**

4035 *T*-test revealed that there was a significantly greater behavioural response to stimuli in
 4036 the ASD group compared to controls $t(14) = 3.188, p = .013, \delta = 1.661$ (see figure 28). This
 4037 supports the findings from the facial expression data in that the ASD group were generally
 4038 more expressive facially. Findings indicate that the ASD group were generally more
 4039 expressive, and this was true for global behaviours measured by the NCAPC. Pain
 4040 expression outside of the face was greater in the ASD group compared to controls. Controls
 4041 had less pain expression both facially and outside of the face.

4042 **Figure 28.**

4043 *Behavioural response mean score as derived from the Non-Communicating Adults Pain*
4044 *Checklist (n/51) for ASD and Control groups for the duration of the experiment*



4045
4046 *Note. Values given as mean (SE).*

4047 **4.3.7 Summary of findings**

4048 To summarise the complex findings from this Chapter presented below is a figurative
4049 representation and a table of the significant effects reported above. Altogether, facial
4050 expression of pain for cold and hot thermal stimuli appears to be similar for both the ASD
4051 group and controls (see figure 29, Image A), results however, point to some important
4052 nuances in the expression (See figure 29, Image B). Lower Oblique seems particularly
4053 expressive of pain in ASD both in terms of its frequency and intensity (see figure 29 and
4054 table 27).

4055 **Figure 29.**

4056 *Examples of Action Units and facial expressions reported as significantly different between ASD and Control group*



4065 Controls more likely to show a
4066 Neutral expression unless
stimulus was very painful
(AU0).

4065 Facial expression, for cold and hot thermal stimuli is similar for
4066 controls (left image B) and ASD (right image B). Lower Oblique,
particularly Nasolabial Furrow Deepener (AU11) and Lip Corner
4067 Puller (AU12), seem particularly expressive of pain in ASD, both
4068 for frequency and intensity for hot thermal painful stimuli.

4069 All images in the above figure, belong to the author of this thesis, having been photographed, edited, and adapted by the author (SV) for the purposes of generating this
4070 diagram.

4071 **Table 28:**

4072 *Summary of the main significant findings from this experiment*

Cluster	Unit Number	Unit Description	Present/Absent			Frequency			Sum-Total	
			Chi Squared	Between Group		Main Effects		Interaction	Main Effects	
			Non Painful	Moderately Painful	Very Painful	Between Groups	Stimulus Type		Between Groups	Stimulus Type
Neutral	AU0	Neutral	✓	✓		✓			-	-
Upper						✓			✓	
Lower						✓	✓	✓	✓	✓
Oblique	AU11	Nasolabial Furrow				✓	✓	✓	✓	✓
	AU12	Deepener				✓	✓			
	AU13	Lip Corner Puller								
		Sharp Lip Puller								
Lower Orbital			✓			✓				
Head						✓			✓	
Eyes				✓						

4073 *Note.* ✓ signifies significant differences ($p < .05$).

4.4 Discussion

4074

4075 The current experiment investigated the communications of pain in ASD, specifically
4076 participants facial and behavioural expressions were video recorded during application of
4077 different intensities of heat stimuli that were applied in a random order, and during a cold
4078 pressor task. Videos were then coded using the FACS and the NCAPC. Altogether, facial
4079 expression of pain for cold and hot thermal stimuli appears to be similar for both autistic
4080 individuals and controls. Results however, point to some important nuances in the
4081 expression. For the ASD group, Upper, Lower Orbital and Head Movements occurred at
4082 greater frequency during any thermal stimuli for ASD. Upper and Head Movements in
4083 particular also occurred at a greater intensity. Lower Oblique seems particularly expressive
4084 of pain in ASD both in terms of its frequency and intensity. This was driven by Nasolabial
4085 Furrow Deepener and Lip Corner Puller where they occurred more frequently in ASD than
4086 controls. For Nasolabial Furrow Deepener, not only was there greater activity, but it also
4087 presented more intensely, and intensity increased as stimulus intensity increased.
4088 Additionally, controls were more likely to show neutral expressions compared to the ASD
4089 group unless the stimulus was very painful. These findings stand in contrast to anecdotal
4090 evidence that suggests an insensitivity or indifference to pain in autistic individuals.

4091 This experiment is the first of its kind to investigate facial expressions to both tonic
4092 and phasic hot and cold noxious stimuli in autistic adults using the full FACS system and the
4093 NCAPC. With regards to facial responses assessed, units found to represent pain in ASD,
4094 namely the Lower Oblique units described above are not in line with previous findings on
4095 facial expressions of pain. Facial units associated with painful stimuli are typically those
4096 housed under the Lower Vertical or Horizontal cluster (Craig et al., 1991; LeResche, 1982;
4097 LeResche & Dworkin, 1988; Patrick et al., 1986; Prkachin, 2009) not the Lower Oblique

4098 cluster. In particular, the Nasolabial Furrow Deepener, not only occurred more frequently,
4099 like other units in this cluster, but it also increased in intensity as the intensity of the painful
4100 stimuli increased. This stands together with ASD findings that shows a differing response to
4101 pain (Nader et al., 2004; Rattaz et al., 2013; Tordjman et al., 2009), one that may not be
4102 expected by observers who would be seeking the more commonly associated lower vertical
4103 or horizontal movements – such as upper lip raiser. However, there is not enough substantial
4104 evidence specifically in this area to generate a theory as to why Lower Oblique facial
4105 expressions might be particularly indicative of pain in ASD. Drawing on the evidence in
4106 relation to social contagion, mimicry, and eye gaze patterns in relation to autism provides
4107 both potential avenues for further investigations and explanations. Research has shown that
4108 autistic individuals look less at the eye region of expressive faces (Corden et al., 2008;
4109 Pelphrey et al., 2002) or do not use information from upper aspects of the face as effectively
4110 during identification of emotions (Baron-Cohen et al., 1997; Gross, 2008; Spezio et al.,
4111 2007a, 2007b). Whilst there is evidence to suggest greater reliance on information from the
4112 lower aspects of the face (Gross, 2004; Neumann et al., 2006; Spezio et al., 2007a, 2007b),
4113 other researchers argue that this is not because they are more perceptually interesting than the
4114 eyes but that there is a top-down modulation or dysfunction (Neumann et al., 2006; Pelphrey
4115 et al., 2002). Furthermore, autistic individuals display a reduced mimicry of others' facial
4116 expressions (Beall et al., 2008; McIntosh et al., 2006; Wieckowski & White, 2017;
4117 Yoshimura et al., 2015) or less accurate (Harms et al., 2010) or delayed mimicry (Oberman et
4118 al., 2009). Specifically, there is some evidence of greater mimicry occurring for the
4119 zygomaticus (Beall et al., 2008) than muscles in the upper regions of the face from the
4120 electromyography (EMG) research. Delayed mimicry and a poorer ability to recognise
4121 surprise was also reported in autistic individuals – an emotion most expressed through the
4122 upper regions of the face (Wieckowski & White, 2017). Supporting data from the FACS

4123 analysis conducted by (Yoshimura et al., 2015), showed that mimicry was poorer for brows
4124 being lowered (AU4 Brow Lowerer) than an expression in the lower region of the face,
4125 namely, Lip Corner Puller (AU12). Interestingly this latter action unit is housed under the
4126 Lower Oblique cluster and so our findings are directly comparable here. Therefore, it is
4127 possible that autistic individuals have learned expressions through a social contagion of the
4128 lower regions of the face which may then account for lower oblique movements being a
4129 greater indicator of pain in ASD.

4130 A further potential explanation of the increased facial reactivity observed in this
4131 experiment, is related to social context. Display rules, driven by the social context, dictate
4132 how and if expressions are modulated (Robbins & Vandree, 2009; Smoski & Bachorowski,
4133 2003) especially painful expressions whose aim is to invoke help from others (Craig, 2015).
4134 Since ASD is characterised by social impairment (APA, 2013) it is not surprising that the
4135 details of the social environment or perceptions of perceived sociability or lack thereof, may
4136 influence the expressions of pain. Research has shown that autistic individuals are less
4137 spontaneously expressive than controls in social environments (Kasari et al., 1990; Yirmiya
4138 et al., 1989). As well as displaying more intense, frequent, and spontaneous facial
4139 expressions in a non-social environment than during an interaction with another person (Faso
4140 et al., 2015; Zane et al., 2018). Research has also highlighted that facial expressions autistic
4141 individuals are less likely to be initiated for social communication purposes, and seemed
4142 incongruous to the social context in which they were expressed (Trevisan et al., 2018). It is
4143 possible, that once the researcher was out of sight, autistic individuals were unable to gauge
4144 the attention of the researcher which encouraged them to be uninhibited in their response
4145 (Trevisan et al., 2018), therefore resulting in greater expressive communication than is
4146 typically observed in the anecdotal evidence. This contrasts with controls who follow the
4147 display rules dictated by the social context. When typically developing children are observed

4148 they show more facial expression in the presence of a parent, or during the recovery periods
4149 where help seeking is likely to be initiated (Vervoort et al., 2011; Vlaeyen et al., 2009). Pain
4150 expression in typically developing individuals is also dependent on the relationship with the
4151 individual observing them, for example some research has shown that the mere presence of a
4152 researcher can inhibit pain response supporting this notion (Krahé et al., 2013). In our
4153 controls there appeared to be an inhibition of pain facial expressions, even though intensity
4154 scores were similar across both groups. It is likely that expressions were inhibited as the
4155 researcher could be perceived a stranger, or again they may have felt that they were
4156 unobserved and so display rules suggested there was no one with which to communicate their
4157 pain. Although it is difficult to know whether social context does play a role in pain
4158 expressions in ASD, as research instead has focussed on posed or naturally occurring
4159 expressions including smiles, laughter, and fear. Correlations between social communication,
4160 social reciprocity overall AQ scores and overall facial expressiveness may go some way to
4161 supporting this notion and is one area which future research should consider. Particularly
4162 once a greater consensus has been reached around which units are likely expressive of pain in
4163 ASD.

4164 Our findings showed that individuals without ASD were also more likely to remain
4165 neutral in their expression, compared to autistic individuals. These findings stand in contrast
4166 to many of the autobiographical (Bemporad, 1979; Elwin et al., 2012) and clinical
4167 observation work (Gillberg & Coleman, 2000; Mahler, 1952) that reports insensitivity to
4168 pain, as well as the DSM-5 criteria (APA, 2013). Much of this work describes and focuses
4169 on the lack of withdrawal reflexes or unusual active behaviours, such as biting or holding a
4170 lighter to a lip until there is tissue damage. Even when quantitative measures are obtained,
4171 the emphasis is on active behaviours, with a remaining focus on withdrawal or pain
4172 avoidance behaviours or verbal reports (Muskat et al., 2014) with less if any focus on facial

4173 expression. Parents are asked broad encompassing questions without specification about
4174 what reactions to consider (Klintwall et al., 2011; Militerni et al., 2000; Olof Dahlgren &
4175 Gillberg, 1989). When facial expression is measured, such as here, findings converge on
4176 facial expression of pain being present in ASD, although these may be more nuanced (as in
4177 our study with lower oblique units) or more complex (Nader et al., 2004; Rattaz et al., 2013).
4178 A further potential explanation for this could be the result of an anticipatory distress of novel
4179 stimuli (Moore, 2015; Vivanti et al., 2018). For example, in the study by Nader et al., (2004),
4180 children with ASD were wrapped in a blanket prior to venepuncture, and controls were not.
4181 Results showed increased behavioural distress prior to needle insertion, supporting this notion
4182 of anticipatory distress. Additionally, findings from this experiment support those of Nader
4183 et al., (2004) as there were also group differences in behavioural responses as coded by the
4184 NCAPC. The NCAPC measures a range of behavioural non-verbal cues and this was applied
4185 to the entire duration of the experiment, rather than segments. The differences here, in terms
4186 of ASD compared to controls showing increased behavioural responses which does not match
4187 the facial activity data, could be the result of anticipatory distress being present from the
4188 beginning.

4189 Findings from this experiment should also be considered in light of several
4190 limitations. The first of which relates to a difference in psychophysical testing of cold
4191 pressor tolerance. In the current sample, the ASD group had a significantly lower tolerance
4192 for pain during a cold pressor task compared to controls which stands in contrast to the lack
4193 of differences reported in a previous Chapter (see [Chapter 2](#) Vaughan et al., 2019). This
4194 highlights the difficulty in generalising findings to the wider autism phenotype. Instead
4195 adding to the argument of a heterogenous group which extends to the differences in
4196 psychophysical responses (Fründt et al., 2017; Vaughan et al., 2019). Furthermore, this
4197 highlights the increasing importance of considering individual differences in the phenotypic

4198 presentation of pain in ASD. Secondly, as discussed in all previous Chapters, and consistent
4199 with other published research in the field, sample size continues to be small resulting in the
4200 risk of type II errors which limits the ability to generalize findings to the wider ASD
4201 population. As with small sample sizes, variance is a problem. However, with FACS data,
4202 there is an added risk of floor and ceiling effects in the data, in which case variance is not
4203 measured or estimated above a certain level (Garin, 2014; Salanti & Ioannidis, 2009). This
4204 can be a likely effect for FACS data, where maximal intensities are easier to identify (i.e.,
4205 5,4,3 representing maximum, severe, or marked pronounced). This is represented in FACS
4206 training where certification is focussed around an individual's ability to recognise
4207 expressions at these intensities, with acknowledgement that trace of slight movements' can be
4208 more difficult to observe, particularly in moving clips (Ekman, 1992). It is therefore likely
4209 that floor and ceiling effects are present in the data set. However, as our analysis was
4210 conducted on stills taken from the videos and with a higher frame rate this should allow for
4211 some variance to be captured and reduce the likelihood of these floor and ceiling effects.
4212 Observer reliability is a defence against observations that are superfluous, providing
4213 confirmatory analysis of the data and in ideal circumstances should be conducted. However,
4214 this was unable to be conducted within this experiment, both due to lack of access to a trained
4215 FACS coder and to changes in GDPR regulations that hindered use of FACS software at a
4216 partner institution. In this instance, the GDPR changes came after data had been collected
4217 and the limitation here was in being ethically able to re-seek consent from participants who
4218 had consented to particular usage of their data, which did not include future contact. In the
4219 previous Chapter, working across laboratories was given as a solution to solving sample size
4220 issues (Button et al., 2013; Christley, 2010), but this might be similarly a consideration when
4221 attempting to find a second coder. In light of GDPR changes, seeking consent from
4222 participants to share with the specified institution and/or person is a first step to consider, as

4223 well as ensuring there is a legal contract in place with those institutions who sit outside the
4224 EU GDPR regulations. Working within the EU and its institutions is a simpler solution as
4225 data safety is consistent in these nation states.

4226 Alongside the increase in sample size, and given the aforementioned theoretical
4227 underpinnings discussed future research should also consider the incorporation of EMG in
4228 their methodology. EMG recordings from the zygomaticus and the corrugator would help
4229 differentiate between potential observable expressions and micro expressions that an observer
4230 may be less able to detect (Beall et al., 2008; Bhushan, 2015; Wieckowski & White, 2017).
4231 This would not only solve issues related to observer bias, but it could also provide a measure
4232 of muscle activation to support the findings from FACS that again could be more objective.
4233 Results could then be correlated to determine the difference between micro and expressive
4234 emotion. This may go some way in explaining the observation of insensitivity to pain in
4235 ASD, if those upper facial units typically associated with an expression of pain are micro
4236 expressed in ASD and therefore less observable with the naked eye compared with the more
4237 visually expressive lower regions. Research should also consider the importance of social
4238 context. Adopting differing degrees of social interaction, such as the degree of observation
4239 (direct or indirect), will address the theory that it is how and when to express pain that
4240 impedes on the natural expression of pain in ASD (Faso et al., 2015; Trevisan et al., 2018;
4241 Zane et al., 2018).

4242 To conclude, this experiment investigated pain expression towards experimental cold
4243 and hot thermal stimuli in ASD. Findings reveal that the insensitivity observed in anecdotal
4244 accounts is not due to an inability to produce facial expressions, but that there may be an
4245 ASD specific pain expression particularly focussed on the lower oblique movements. It may
4246 be likely that when in a lab where they are not directly observed by an individual, autistic

4247 individuals are able to express pain in a more natural way. These findings are tentative, and
4248 the results are limited in terms of supporting or refuting those previously reported. However,
4249 this experiment does provide a strong methodological contribution to this area of research.
4250 This first-of-its-kind experiment has highlighted some interesting areas to consider for future
4251 development. For example, it may be important to consider the link between social deficits,
4252 social context and pain expression. Establishing this through replication and further
4253 investigation is an important step in further explaining the observational and anecdotal claims
4254 of altered behaviour, whilst also looking for alternative ways to work in order to improve
4255 sample sizes to increase power. Lastly, an important step would be to replicate this
4256 methodology whilst pairing with EMG to determine differences in muscle activity for lower
4257 and upper regions of the face, which may go some way at determining differences between
4258 micro expressions and those that may be more observable.

Chapter 5. General Discussion

Chapter 5.

4260

4261 **5.1 Overview of the Findings**

4262 The aim of this thesis was to experimentally investigate pain in ASD compared to
4263 controls to determine whether under controlled conditions: 1) there was a difference in
4264 processing of pain stimuli applied based on psychophysical principals, 2) there was a greater
4265 attenuation of avoidance behaviours by a valued reward and 3) there was a difference in the
4266 facial communication of pain, to expand understanding of where in the pain process
4267 differences occurred that could account for the altered behaviours observed in the anecdotal
4268 evidence.

4269 *5.1.1 Peripheral processing of a stimulus evoked response*

4270 All experiments presented here used psychophysically robust techniques to
4271 systematically test pain thresholds. In experiments 3 ([Chapter 3: Attenuation of Avoidance
4272 Behaviour Towards Pain by a Competing Goal](#)) and 4 ([Chapter 4: Expressions of Acute
4273 Experimental Pain in Autism Spectrum Disorder](#)), these were conducted to ensure that any
4274 differences in avoidant behaviours or facial expressions were not due to differences in pain
4275 thresholds. Findings from the heat pain threshold and cold pressor threshold in Experiments
4276 3 and 4, support the findings from earlier QST experiments ([Chapter 2: Psychophysical
4277 Approach to Pain in Autism Spectrum Disorder, Experiments 1 and 2](#)) and together supports
4278 the conclusion that thresholds do not differ in ASD (Fründt et al., 2017; Vaughan et al.,
4279 2019). Prior to this thesis much of the work investigating thresholds yielded contradictory
4280 findings, either a hypersensitivity (Blakemore et al., 2006; Cascio et al., 2008; Chen et al.,
4281 2009; Fan et al., 2014; Riquelme et al., 2016) or no group differences between ASD and
4282 controls (Bird et al., 2010; Cascio et al., 2008; Güçlü et al., 2007). Much of this work was
4283 methodologically smaller, considering only a single modality, or was not primarily interested

4284 in pain in ASD, and so findings from this thesis extend the knowledge of pain in ASD. Both
4285 by its consideration of multiple modalities and its systematic testing of thresholds. This
4286 research body had also not considered suprathreshold and by incorporating a cold pressor
4287 task (Experiments 1,2,3 and 4) and a measure of heat pain tolerance (Experiments 3 and 4)
4288 this thesis methodologically extends the current approaches to investigating pain in ASD (see
4289 section [5.4](#) for a discussion about future directions).

4290 In Experiment 4, the ASD group showed a significantly lower tolerance compared to
4291 controls for the cold pressor task, a finding which was not reported in the samples from
4292 Experiments 1 and 2, nor found for heat pain tolerance (Experiment 3). In Experiments 1 and
4293 2 the sensory phenomena paradoxical heat sensations and dynamic mechanical allodynia
4294 were reported in autistic individuals or high autistic trait severity. Furthermore, mechanical
4295 detection threshold was reported at a clinically significant degree of sensory loss for these
4296 individuals. The ASD group also showed consistently higher standard deviations across
4297 many of the variables, including threshold data, self-report pain intensity and unpleasantness
4298 ratings, response times (including latencies, initial response times and response times;
4299 Experiment 3), as well as for facial expression data (Experiment 4). These findings support
4300 the notion that there may be subgroups within ASD with altered pain response and the high
4301 variability could also be in accordance with the complexity and clinical heterogeneity of ASD
4302 itself (Lai et al., 2013). For example, recent research has established several homogenous
4303 groups each with their own phenotypic presentations of the ASD spectrum criteria (Cohen &
4304 Flory, 2019; Mihailov et al., 2020; Wiggins et al., 2017). However, these studies rarely
4305 included pain response as part of their clustering analysis because the measures used
4306 themselves did not incorporate more specific criteria about pain. It may also account for the
4307 lack of consensus in the literature regarding pain sensitivity (Duerden et al., 2015; Fründt et
4308 al., 2017; Vaughan, et al., 2019; Williams et al., 2019), especially the anecdotal and

4309 observational work (Cesaroni & Garber, 1991; Elwin et al., 2012; Gillberg & Coleman, 2000;
4310 Grandin, 1992; Mahler et al., 2018; Messmer et al., 2008; Militerni et al., 2000; Nader et al.,
4311 2004; Rattaz et al., 2013; Tordjman et al., 2009). A particularly clear example from this
4312 thesis of the heterogeneity of pain response in ASD can be observed in the individual QST
4313 profiles in Experiments 1 and 2. Notably, there was a larger number of QST thresholds that
4314 fell outside the normal distribution in the clinically diagnosed ASD group (Experiment 2),
4315 and a greater number of ASD individuals were found to show atypical patterns of pain
4316 response i.e., their number of thresholds that were at a degree of clinically significant loss or
4317 gain was greater than 2. This highlights the importance of extending the heterogeneity of
4318 ASD to include pain response, as well as the importance of extending analysis beyond that of
4319 typical group differences (see section [5.4](#) for a discussion of future directions). Such
4320 individual analysis as conducted in this thesis, again extends the earlier work which had a
4321 greater focus on group differences. However, there was no systematic group differences in
4322 peripheral processing, suggesting that the observed insensitivity in the anecdotal evidence
4323 may be highlighting those individuals with a sensory processing change and incorrectly this
4324 is being generalised as a feature of ASD.

4325 ***5.1.2 Nociceptive Evoked Cognitive Response***

4326 Furthermore, pain response subgroupings of ASD may also extend to an anxiety
4327 phenotype ([Experiment 3](#), for further discussion see [section 3.4](#)). Importantly, this is the first
4328 experiment in the study of ASD and pain to have assessed the evaluation of a painful stimulus
4329 by autistic individuals. There was intact associated learning in the ASD group and they also
4330 decided to negate the pain to receive a reward comparable to controls and neurotypicals
4331 (Claes et al., 2014, 2015, 2016). Even though they were simultaneously more fearful and
4332 wished to avoid the painful stimulus to a greater extent than controls (both pain related fear

4333 and avoidance have previously been shown to increase pain sensitivity and exaggerate the
4334 pain experience in neurotypical populations [George et al., 2006; Hirsh et al., 2008; Horn et
4335 al., 2014; Robinson et al., 2010; Roelofs et al., 2005]). This shows a personal agency in the
4336 evaluation of pain that has not been considered elsewhere in the study of pain in ASD.

4337 Therefore, it is possible that beyond an anxiety phenotype, there may be more nuance to these
4338 subgroupings. For example, where previous research has shown an association between
4339 anxiety and pain responses in ASD (Failla et al., 2020; Garcia-Villamizar et al., 2019),
4340 participants in Experiment 3 had no differences in pain threshold and tolerance. Failla et al.,
4341 (2020), reported that participants differed to controls on all subscales of the Pain Anxiety
4342 Symptoms Scale, which is a general measure of pain related fear and anxiety. In which
4343 participants are asked how frequently they engage in behaviours when in pain. This
4344 ambiguity about “pain” may result in participants answering this in relation to very different
4345 types of everyday pain, compared to the single item used in Experiment 3 asked directly in
4346 connection to the application of a stimulus. The difference in these measures may reflect a
4347 difference between state and trait anxiety, in which state anxiety reflects the psychological
4348 and physiological reactions directly related to an adverse event, at a specific moment (Saviola
4349 et al., 2020), in this case, a pain stimulus, since pain is defined as adverse (International
4350 Assosiation for the Study of Pain, 2020). Some evidence also points towards state anxiety
4351 leading to increased pain intensity ratings, above and beyond whether participants had high or
4352 low trait anxiety (Tang & Gibson, 2005) although this was in neurotypicals. Recent evidence
4353 also points towards a more varied presentation of anxiety in ASD, which may or may not
4354 align with the specified anxiety disorders (Kerns et al., 2020). Therefore, accounting for both
4355 the differences in findings between this experiment and the published research in terms of
4356 pain response, as well as this being a potential avenue for consideration for phenotypes of

4357 anxiety in the clustering of pain and ASD traits (see section [5.4](#) for discussion of future
4358 directions).

4359 The existing evidence also had not considered the connection between anxiety and
4360 pain from a motivational perspective (Failla et al., 2020; Garcia-Villamizar et al., 2019),
4361 instead showing only associations between measures of pain anxiety and observed pain
4362 response or self-reported pain ratings. Therefore, Experiment 3 is an is an important step in
4363 the study of pain in ASD, in that it considered pain, anxiety and reward, as several demands
4364 that occur simultaneously and impact on pain depending on where attention is directed or
4365 what evaluation occurs (Botvinick & Braver, 2015; Crombez et al., 1994; Crombez et al.,
4366 2005; Crombez, Eccleston, Van Damme, et al., 2012; Eccleston & Crombez, 1999; Van
4367 Damme et al., 2008, 2010). While Experiment 3 took place in a controlled setting, this may
4368 not be reflective of the true contexts in which pain is occurring or be capturing the true point
4369 at which pain becomes too interruptive and so an individual typically seeks help
4370 (Hadjistavropoulos, et al., 2011). For example, autistic individuals may experience pain
4371 whilst in novel environments that are richer in sensory information, such as the medical
4372 setting of a dentist, where there are lights, equipment, noise from equipment and multiple
4373 medical staff, such as those used in previous research (Nader et al., 2004; Rattaz et al., 2013).
4374 Further, an individual's perception of, and behaviour in these sensory rich environments will
4375 naturally vary on a number of levels, including anxiety in relation to novel environments
4376 (Gulrud et al., 2007; Moore, 2015; Vivanti et al., 2018) and they may also be experiencing
4377 overload of other sensory modalities (Baum et al., 2015; Marco et al., 2011). There may also
4378 be stimming and or a saliency for restrictive repetitive behaviour patterns, in a phenotypically
4379 heterogenous way (Elwin et al., 2012, 2013; Masi et al., 2017; Volkmar & Reichow, 2013).
4380 As these individual differences in perception and subsequent behaviour have not been
4381 captured in the laboratory-controlled settings of Experiment 3, it is unclear how they might

4382 influence pain and pain related behaviour. However, it can be inferred from the results of this
4383 thesis that when other motivationally relevant simultaneous demands are not present, the
4384 interruptive effects of pain, or the processing of pain, or communication of pain in ASD does
4385 not differ compared to controls (see Experiments [1,2,3,4](#)). It is possible that the complexity
4386 of the interaction of these factors, and the heterogeneity in which they may be motivational,
4387 impact on pain response. This may also account for the differences in findings between this
4388 thesis and the anecdotal evidence. Furthermore, evidence shows that avoidance behaviour is
4389 influenced by the motivational context (Goubert et al., 2011), and also point to and support
4390 the notion of a heterogeneity of ASD, and so this heterogeneity should be considered in terms
4391 of the motivational component of all goals, including pain (Vaughan et al., 2019). If a more
4392 dynamic and personally relevant motivational view is adopted in future research, which
4393 considers the goals and values of the individual, it is likely that the boundaries of motivation
4394 and fear-avoidance and pain could be considered (Van Damme & Moore, 2012; see section
4395 [5.4](#) for discussion of future directions).

4396 ***5.1.3 Communication of Pain***

4397 This also points to contextual factors playing a larger role in pain in ASD. In Chapter
4398 4, it was proposed that the social display rules, driven by the social context, dictated and
4399 modulated expressions of pain (Robbins & Vandree, 2009; Smoski & Bachorowski, 2003).
4400 In particular, that once the researcher was out of sight, the ASD group were unable to gauge
4401 the attention of the researcher which encouraged them to be uninhibited in their response
4402 (Trevisan et al., 2018), therefore resulting in greater expressive communication. Since ASD
4403 is characterised by social impairment (APA, 2013) it may not be surprising that the details of
4404 the social environment or perceptions of perceived sociability (or lack thereof), may
4405 influence the pain experience, particularly if pain is considered from a social communication

4406 perspective. However, this extends beyond just the expression of pain, manipulating the
4407 observer through using partners and strangers has shown that neurotypicals show a decrease
4408 in pain ratings when the relationship is closer (Brown et al., 2003; Krahé et al., 2013;
4409 Vlaeyen et al., 2009), therefore the social modulation of pain extends to the perception of
4410 pain. Furthermore, the effect of threat on verbal and facial expressions of pain, are dependent
4411 on social context (Karos, Williams, et al., 2018; Vlaeyen et al., 2009). The aforementioned
4412 research (see Krahé et al., 2013 for review), established that close interpersonal relationships
4413 reduce pain ratings, where a close partner is thought to act as a safety signal in threatening
4414 contexts (Vlaeyen et al., 2009). More recently, Karos et al., (2020), manipulated participant's
4415 beliefs about the number of stimuli being delivered, to either be a maximum delivery of
4416 stimuli (1-10) or a minimum (10-20) when 10 stimuli were delivered. Findings show that
4417 when experiencing a noxious stimulus, delivered by a close partner, neurotypicals showed
4418 less intense facial expressions and had higher pain ratings, highlighting that the degree to
4419 which the intentions of a partner can be determined can impact on participants painful
4420 experiences. However, this body of work was conducted in neurotypical participants and
4421 does not consider those with disorders whose core features are social communication deficits.
4422 It is plausible that when in a less complex social environment, such as the lab-based
4423 experiments in this thesis, there is less social modulation of pain in ASD. This leads to the
4424 possibility that complex social environments, such as medical appointments e.g., dental
4425 appointments (Nader et al., 2004; Rattaz et al., 2013; Tordjman et al., 2009), or indeed just a
4426 family setting when someone has experienced pain, there is a social modulation of pain. This
4427 could potentially occur because autistic individuals are trying to navigate the social
4428 environments through their social communication difficulties. Furthermore, this social
4429 modulation of pain might only occur when there are sufficient social skills, or where deficits
4430 in social communication are less severe. For example, the participants from this thesis were

4431 those with greater socio-communicative abilities, who were able to express their pain whether
4432 it be facially or verbally, in comparison to those described in the anecdotal evidence whose
4433 social deficits may be more severe. In these individuals, social modulation of pain may not
4434 be present because of the severity of the deficits. Additionally, it is possible that the
4435 modulation is greater for facial expressions of pain than pain ratings, which could account for
4436 the differences in findings between lab-based studies such as this thesis, and those more
4437 ecologically conducted. Future research should attempt to tease apart the influence of social
4438 context and interpersonal relationships in conjunction with social communication deficits in
4439 ASD (see section [5.4](#) for a discussion of future directions).

4440 **5.2 General Discussion**

4441 It is also possible to consider findings from this thesis, from a developmental
4442 perspective as such changes are apparent in the experience and expression of pain. As
4443 individuals age, they acquire the capacity to understand painful experiences and consciously
4444 engage in self or social control (Pincus & Morley, 2001). Help-seeking is voluntary or
4445 effortful (Hadjistavropoulos, et al., 2011) and pain communication becomes more deliberate
4446 and language more complex (Stanford et al., 2005) as individuals age, even though the non-
4447 verbal components of pain cannot be wholly suppressed (Craig et al., 1993), contrasting this
4448 with children, where the behaviour can be thought of as stereotyped, or reflexive. For
4449 example, neonates and children display clear signs of painful distress by crying, to alert
4450 caretakers to their needs and to initiate care (Craig et al., 1993; Fitzgerald, 1991).

4451 Additionally, observational learning in childhood influence both observable expression of
4452 pain as well as the subjective experience (Craig & Weiss, 1971; Goodman & McGrath, 2003;
4453 Goubert et al., 2011; Patrick et al., 1986). As we age therefore, there is maturation of the
4454 biological substrates serving pain, emotion, cognition, language, and behavioural competence

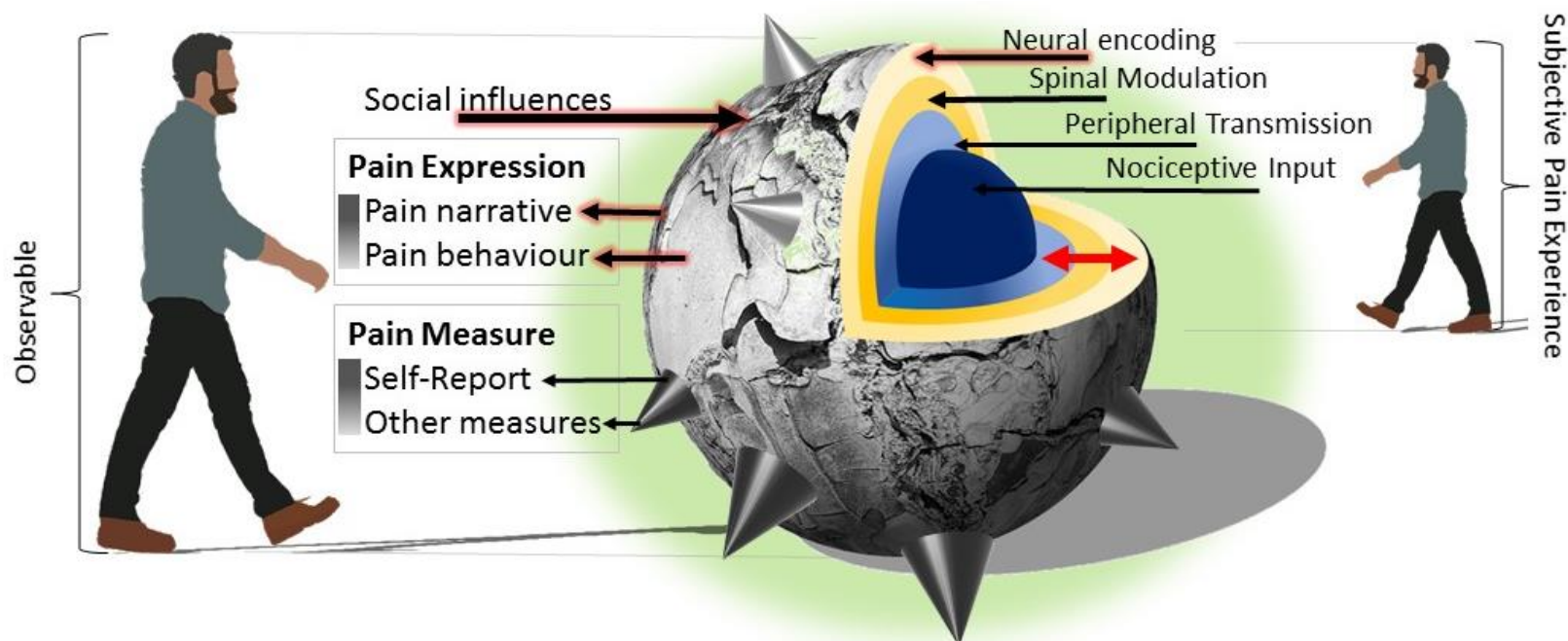
4455 (Backonja et al., 2013; Brewer et al., 2020; Hatfield, 2014; Levy et al., 2018; Maier et al.,
4456 2010; Simons & Tibboel, 2006). Therefore, the pain experience must require progressive
4457 cognitive development and acquisition of social communication skills. Developmental delay,
4458 however, is a significant lag in reaching the typical childhood milestones in language,
4459 cognition, social, and emotional milestones which are typically reached at different stages in
4460 childhood development (Stabel et al., 2013). In ASD, some of these milestones may not be
4461 reached at all and some may be reached later. Since participants reported here were able to
4462 communicate their pain facially (Chapter 4), provide self-report of intensity and
4463 unpleasantness of the stimuli (All Chapters), and provide threshold data (Chapter 2), there
4464 was evidence of sufficient cognitive development, cognitive progression, and acquisition of
4465 social communication skills to both understand pain and to communicate their pain
4466 experience (Cholemkey et al., 2016; Szatmari et al., 1995; Uljarević et al., 2020). This is
4467 despite cognitive development, progression and social communication skills being considered
4468 atypical for a diagnosis of ASD (APA, 2013; Bishop-Fitzpatrick et al., 2017; Delehanty et al.,
4469 2018). In contrast, those samples with severe socio-communicative disabilities and
4470 developmental delay may experience a delay in the acquisition of pain specific
4471 communication (Nader et al., 2004; Rattaz et al., 2013), and/or the capacity to understand
4472 painful experiences. Therefore, it is possible that the pain behaviour of those individuals
4473 retains the reflexive stereotyped nature of younger children. It may also be that the capacity
4474 to understand painful experiences that results in the self or social control that is evident in
4475 older children and adults, may not have fully matured. However, no research to date has
4476 investigated progressive cognitive development and pain communication or understanding of
4477 pain experience in ASD (see section [5.4](#) for a discussion of future directions).

4478 Together these findings and the proposed explanations suggest that the earlier
4479 conceptual model can be adapted for ASD to reflect that there is likely greater interplay

4480 between the outermost layer, representing pain behaviour, and the environment, including
4481 observers (reflected by the deeper shade of green for the environment and the red hazed
4482 wider arrows for pain expression). It is also likely that social influences play a larger role and
4483 that the interaction between these and cognitive-affective components in the neural coding
4484 section of the model may be greater (indicated by thicker red hazed arrows). Since there
4485 were some sensory phenomena that does not typically occur in healthy individual without
4486 neuropathy, it is likely that the spinal level is also an area of interest, however since this
4487 occurred in a limited number of individuals, the prominence of the change to the model is
4488 less. Rather than aspects being absent, data suggests differences in these areas in ASD.
4489 Therefore, the further adapted Integrated Multimodal Model of Pain (see figure 30) highlights
4490 where these differences are likely to occur in the pain experience for autistic individuals.

4491 **Figure 30.**

4492 *The Loeser/Wideman Integrated Multimodal Model of Pain for Autism (The IMMP).*



4493 *Note:* This 3-dimensional view emphasizes the subjective pain experience and the observable person perspectives. The core of the model and the subterranean layers
4494 highlight the internal unobservable mechanisms that are involved in the pain experience. Nociception is at the core to reflect that nociception typically results in pain, and the
4495 peripheral, spinal, and neural mechanisms involved. However, since pain can occur without nociception, and that there is also a top-down modulation of pain, the red arrow
4496 on the subterranean layers, indicates that there are bi-directional processes occurring through these layers. The neural level represents the motivational-affective, cognitive
4497 evaluative and sensory discriminative functioning. This 3D view also emphasizes how pain experience is a function of the whole person, who is influenced by environmental
4498 and contextual factors (indicated by the green haze) including social influences (indicated by the textured cracked surface, cracks indicating that social, environmental, and
4499 contextual factors seep through to the internal). The textured uneven surface of pain expression represents the collection of words and behaviours that any individual may use
4500 to express pain. This contrasts with the smooth surface of pain measures (cones), which require expressions of pain to be translated into metrics. Cone size represents the
4501 relative ability of different pain measures to quantify different aspects of pain expression; measures with relatively larger cones indicate that they address a broader scope of
4502 pain expression. Gradients are used to depict the intimate link between the pain narrative and pain behaviour. This model integrates aspects from both Loeser (1980) and
4503 Wideman et al., (2019) models into one comprehensive biopsychosocial model. All images, with the exception of the walking man (creative commons licencing:
4504 https://cdn.pixabay.com/photo/2017/08/17/15/32/walking-2651721_640.png), belong to the author of this thesis, having been created, edited and adapted by the author (SV)
4505 for the purposes of generating this diagram.
4506

4508 **5.3 Limitations**

4509 There are several limitations that are relevant to each individual experiment (see [2A.4](#),
4510 [2B.4](#), [3.4](#) and [4.4](#)), however, there are also some overarching limitations that warrant further
4511 discussion. The first of which is that this thesis did not define sub-groups of ASD, and
4512 samples were entirely comprised of those with greater socio-communicative abilities. The
4513 samples, therefore, did not reflect the entire ASD spectrum, with those with severe socio-
4514 communicative disabilities not included. Nor did it subgroup ASD characteristics. This
4515 recruitment bias is unfortunately frequent in research utilising similar methodologies (Cascio
4516 et al., 2008; Duerden et al., 2015; Fründt et al., 2017; Vaughan et al., 2019) or where a self-
4517 report of pain is required. However, this is common within the literature, particularly
4518 complex experimental studies as there is no ethical and safe methodology that would allow
4519 investigation of the same aspects of pain, as have been conducted here, in those with severe
4520 socio-communicative disabilities. The priority above the attainment of knowledge is the
4521 well-being of the individual. Though the goal of understanding pain in the ASD spectrum is
4522 to improve their well-being, especially as these individuals, are potentially more likely to
4523 experience altered pain (as based on anecdotal evidence). Translational work, specifically
4524 around improving treatment and care of pain in ASD will be a benefit.

4525 The overarching limitation, however, was sample size and is a consistent theme in
4526 such studies. Sample sizes were small resulting in the risk of type II errors, weakening our
4527 ability to provide results that can support or refute those currently reported. One area that
4528 may resolve this is working more closely with ASD services or hospitals. Recent research
4529 that has improved on sample sizes, appears to have been successful in this recruitment
4530 method (Dubois et al., 2020; Garcia-Villamizar et al., 2019; Williams et al., 2019), although
4531 this research was conducted outside of the UK and in the UK such services are resource

4532 deprived which may limit the ability to support research. This is an area that I have been
4533 reflecting upon recently. Particularly, when a global pandemic means a redistribution of
4534 health resources in an already resource deprived health system. One area which could be
4535 used in a greater capacity for data collection is that of social media. This may also have the
4536 benefits of reaching a wider ASD population as research has shown that social media usage
4537 in ASD is high. In one study, 79% of ASD participants used social networking sites
4538 (Mazurek, 2013) with up to five hours a day spent online (Kuo et al., 2014). One systematic
4539 review also showed that social media as a tool for study recruitment helped to target hard-to-
4540 reach populations (Whitaker et al., 2017). However, social media recruitment has been
4541 linked to greater staff time and average hourly cost (Moreno et al., 2017). This coincides
4542 with my own personal experience with social media, in that those accounts with the greatest
4543 following, and therefore more likely to have a greater chance at reaching individuals, require
4544 greater investment of time. For future research, social media recruitment, such as sponsored
4545 links, should be considered with such recruitment costs factored into grant applications.

4546 A further limitation shown in Experiments 3 and 4, was that the stimulus temperatures
4547 were predefined for all participants. In Experiment 3, the stimulus intensity was set at 52°C,
4548 and in Experiment 4 this was 41°C (non-painful), 44°C (moderately painful) and 47°C (very
4549 painful). These pre-defined temperatures were driven by ethical considerations which
4550 required lower temperatures than initially proposed. In particular, the very painful stimulus
4551 from Experiment 3 is lower than other published research using the fixed stimulus intensity
4552 methodology (Failla et al., 2018; Kunz et al., 2008; Lautenbacher et al., 2017; Thibodeau et
4553 al., 2013). Moreover, there were 11 participants whose heat pain threshold was higher than
4554 the moderately painful stimuli, as well as 12 participants whose tolerance was also higher
4555 than the very-painful stimuli, suggesting that participants may not have felt the stimulus as
4556 either moderately or very-painful, and although stimulus intensities were designed to move in

4557 an increasing slope from non-painful to moderately painful and then very painful, that some
4558 participants may have instead experienced two non-painful and one moderately painful
4559 stimulus. There is also some evidence that fixed intensities are perceived as painful by some
4560 participants but not others (Strulov et al., 2007) supporting the threshold and tolerance data
4561 from these experiments. Temperatures adopted here therefore, could be problematic in that
4562 they may not have adequately reached a painful level. One alternative is to focus on pain
4563 ratings of a particular intensity, or to individually determine the temperature at which the
4564 stimulus is delivered using a search protocol that gives a particular instruction such as,
4565 “adjust this temperature until it is moderately/very painful” (Moore et al., 2013). However,
4566 this is inherently problematic for the method where the focus is on determining either facial
4567 expressions or avoidance behaviour where conditions are similar across participants. For
4568 example, participants may have deliberately chosen lower temperatures to avoid feeling pain
4569 (Rolke, Baron, et al., 2006) which would add additional variability to the data. Furthermore,
4570 the intensity ratings from both Experiments 3 and 4 indicated that the stimuli were perceived
4571 in the according order, for example non-painful was rated the least painful and the very
4572 painful was rated the most painful. Therefore, although in terms of physical intensity the
4573 temperatures may seem problematic, the subjective ratings highlight a perceived intensity that
4574 showed participants experienced pain to the desired differing degrees required for both
4575 methodologies.

4576 **5.4 Future Directions**

4577 A first step for future research, would be to expand upon and focus on the measure of
4578 tolerance. This is particularly important due to there being both reported differences between
4579 ASD group and controls in some samples but not others, as well as individual difference and
4580 no group level differences in ASD compared to controls for thresholds. Tolerance itself, may

4581 be more representative of clinical everyday pain, not only because its duration is longer,
4582 much like painful experiences, such as headache etc., but because it includes cognitive
4583 factors, such as motivation and endurance (Chapman et al., 1985; Cleeland, Nakamura,
4584 Howland, et al., 1996; Cleeland, Nakamura, Mendoza, et al., 1996). Additionally, the
4585 induction techniques used for tolerance were limited to the temperature modality, with other
4586 modalities not considered. This contrasted with the multimodal approach taken when
4587 utilising QST, and so one lesson would be to continue with multimodality testing, as this too
4588 is more representative of the types of pain experienced every day (Backonja et al., 2013;
4589 Rolke, Baron, et al., 2006).

4590 Additionally, mechanical detection threshold was the only test for which clinically
4591 relevant degrees of sensory loss in ASD were reported, therefore, examining the mechanical
4592 modality, further would be a useful addition. For mechanical tolerance computerised
4593 pressure algometry could be utilised because it is difficult to maintain application rates over
4594 test periods in manual algometry (Jensen et al., 1986; Kosek & Lundberg, 2003; Melia et al.,
4595 2015). Computerised algometry may also provide the opportunity to measure the course of
4596 the stimulation rather than the maximum force reached in manual algometry, particularly if
4597 this is paired with a threshold to tolerance curve, so that the reporting of pain across a
4598 duration from first detection to unable to tolerate can be measured. Providing richer data
4599 points to determine where in this process differences occur that might account for the
4600 observed differences in the anecdotal accounts. Although aspects of tolerance were measured
4601 throughout this thesis it was done so as the highest stimulation intensity tolerated, which is
4602 still a single point measure. Sustained pain, a characteristic of clinical pain, may be
4603 replicated in experimental studies (Failla et al., 2018, 2020; Lee et al., 2021) and should be
4604 considered within protocols measuring tolerance. For example, there may be differences
4605 between the point at which an acute pain stimulation is experienced as being intolerable and

4606 the point at which sustained pain is intolerable and help is sought (Hadjistavropoulos et al.,
4607 2004). The use of Capsaicin could be considered as it may also provide a closer
4608 representation of clinical pain, one that induces deep visceral pain and an inflammatory
4609 reaction (Campbell, 1983; O'Neill et al., 2012; Petersen-Felix & Arendt-Nielsen, 2002), and
4610 has yet not been adopted.

4611 One of the most important considerations for future research generated by the findings
4612 from this thesis, and the proposed explanations discussed previous, is the importance of
4613 establishing if there is a sensory subtype in ASD and if there are clusters of ASD subtypes
4614 that are related to altered pain response. Future research should adopt analyses that
4615 emphasise the study of the individual or clusters. Traditional research methodologies can
4616 obscure underlying processes by shrouding rich individual data with group data aggregation
4617 procedures (O'Connor, 1990). A distinctive feature of a multi-level modelling approach is
4618 the focus on intraindividual variability in the behavioural and physiological processes of an
4619 organism, for example, highlighting the variability in social features of ASD, social context
4620 and pain. In multilevel models inter- and intra-individual variability can be simultaneously
4621 estimated. Therefore, helping to deal with data that may have a clustered structure.
4622 Moreover, within-group variance (typically treated as error in traditional experimental
4623 psychology) is also investigated since it contains a wealth of relevant information (Cronbach,
4624 1957). Thus, it may address the limitations, and account for the individual variance observed
4625 throughout the findings of this thesis (Wright & London, 2009). Recently published data has
4626 attempted to do this using hierarchical multiple regression to assess group difference whilst
4627 controlling for age, sex, counterbalance order and diagnosis (Williams et al., 2019). Williams
4628 et al's findings show no differences between those with ASD and controls, and modest group
4629 differences in intra-individual variability, supporting the findings from this thesis. This
4630 analysis also yielded important factors, such as lower IQ, male sex, and higher intra-

4631 individual variability as the most significant predictors of elevated detection thresholds,
4632 highlighting the utility of this analytical approach. Others have also continued to recognise
4633 this variability (Dubois et al., 2020; Garcia-Villamizar et al., 2019). There are a range of
4634 clustering analyses that could be adopted, for example, Agglomerative Clustering would
4635 provide the benefit of identifying clusters of criteria, whilst confirming their belonging to the
4636 larger cluster of ASD itself. The use of such clustering analyses might help in confirming
4637 diagnoses based on criteria, as well as highlighting the clustering and heterogeneity within
4638 (Bitsika & Sharpley, 2015). However, much of this work has been predicated on using
4639 multiple measures of ASD. This approach may be largely exhaustive for participants,
4640 particularly if this is paired with complex pain induction methods in experimental designs.
4641 Therefore, consideration of participants is important here too. There appears to be further
4642 consensus that subgrouping ASD and pain symptoms is of utmost importance. Personally,
4643 my formal education is largely based around this group aggregation analytical approach.
4644 Prior to this, my training in Psychology and Health Psychology, largely looked at general
4645 population trends and large data sets which were non-experimental. This PhD challenged this
4646 approach in that it attempted to step beyond this by considering complex patterns at an
4647 individual level. As findings go further to suggest intra-individual differences, I must
4648 continue to expand my understanding of multilevel modelling, to be able to generate future
4649 research with an analytical approach that may be better suited to addressing some of the
4650 questions around pain in ASD that remain.

4651 Furthermore, research should extend to identify if there is an anxiety related subtype
4652 (as discussed in section [5.2](#) above) by incorporating both state and trait anxiety factors into
4653 the cluster analysis mentioned previously. As done in Experiment 3, measuring pain related
4654 anxiety as experienced in relation to a stimulus would be useful as a representation of
4655 stimulus specific state anxiety, and would differentiate pain related anxiety that is general

4656 about painful experiences providing greater control. Manipulations could be used as a tool
4657 for state anxiety, where different groups experience, or conditions contain, different cues
4658 related to stimulus onset. These approaches would aid in determining which state or trait
4659 anxiety factors operate upon pain response in ASD above and beyond associations (Edens &
4660 Gil, 1995).

4661 This individual approach to understanding pain in ASD should also extend to
4662 understanding motivation and avoidance behaviour. As previously discussed, there are many
4663 goals and competing demands that may be present simultaneous to pain, some of which may
4664 be specific to ASD symptomology. A first step to understanding these associations in more
4665 detail and at an individual level may be to ask participants which goals they have experienced
4666 during painful episodes and which ones had greater saliency or motivational quality (Zaman
4667 et al., 2018), and importantly why. This may help to more closely understand which factors
4668 to investigate in terms of attenuation of pain, or when pain becomes the more salient goal. A
4669 blanket reward for all participants, as used in Experiment 3 and that is typically used in
4670 reward-goal attenuation research, may be differentially motivational and so the personal level
4671 at which pain becomes motivational and can no longer be attenuated is missed. However,
4672 this requires a greater knowledge of a qualitative approach that I currently hold particularly to
4673 uphold the same rigour as applied in this thesis. Exploring qualitative methodologies could
4674 be the next step in preparing to expand upon these ideas. That is not to discount a
4675 quantitative approach to individual motivations. For example, it is acknowledged that the
4676 Pavlovian fear conditioning procedure may lack ecological validity, and that work conducted
4677 since the data collection of this thesis, that adds a cost to the avoidance, would be a
4678 quantitative methodology to consider in the future (Glogan et al., 2020). Specifically,
4679 alongside the reward the actual expended cost could be related to a movement, as utilised by
4680 Glogan et al., (2020). This work should also consider the context in which pain and the

4681 motivation to avoid this occurs, to a greater extent than has been currently, particularly in
4682 light of a findings that point towards a social modulation of pain.

4683 The connection between social deficits, the social context and the impact on pain
4684 experience and its expression should be considered. In particular, the effects on pain by the
4685 environment itself, including perceived threat, motivations and goals and interferences could
4686 be avenues for consideration (Krahé et al., 2013). Furthermore, since much of the research in
4687 neurotypicals samples has considered that social partners can act as a safety net in threatening
4688 contexts, and that autistic individuals may struggle to navigate interpersonal relationships and
4689 complex social settings, internal models of relating to other people and social deficits impact
4690 on pain experience should be considered. This would require experimental manipulation,
4691 possibly across a multi-study approach, where the partner and the environment are
4692 manipulated, whilst measuring the saliency towards these. For example, safety and threat
4693 could be manipulated by having clear intentions from different observers, or by having single
4694 or multiple observers in the room, or indeed adopting the threat manipulation used by (Karas
4695 et al., 2020). Determining the connection between ASD and the social modulation of pain
4696 would be a clear step with important implications for pain communication in a range of social
4697 settings. However, attempting to consider how this could be done in those across the entire
4698 spectrum would be important too. By its nature, such experimental work would again recruit
4699 those with adequate socio-communicative abilities and so would still be limited by not
4700 incorporating the whole ASD spectrum, particularly when it is heterogenous. It may also
4701 require the adoption of more advanced analytical techniques, particularly if it is to model the
4702 connection between ASD factors, social factors, and pain. This also highlights an important
4703 lesson learnt through this PhD, in the importance of obtaining self-report measures of
4704 intensity and unpleasantness alongside stimulus intensities, or to use these as a manipulation
4705 check of the chosen methodology.

4706 There are several avenues to reflect upon and consider in reference to future
4707 directions in terms of researching cognitive progression, ASD and pain experiences.
4708 Presented here are initial thoughts and reflections. Firstly, a consideration of an
4709 operationalised definition of cognitive progression would be needed. One approach may be
4710 to consider this from a developmental milestone's perspective, particularly, as ASD is a
4711 neurodevelopmental disorder associated with developmental delay. Secondly, although it is
4712 connected to the definition, a metric of progression is needed. Published guidelines that
4713 include lists of individual milestones could be used. Cohort studies in the UK, Finland and
4714 Denmark report correlations between the age of attainment of these milestones and a range of
4715 adult outcomes (Flensburg-Madsen & Mortensen, 2018; Murray et al., 2007; Stochl et al.,
4716 2019), suggesting their utility as a metric. These guidelines could be used in a categorical
4717 system to show whether they were attained or delayed or not attained at all. However, since
4718 the aim is to establish progression, it would be imperative to provide indexes of change,
4719 rather than just a categorical 'achieved' or 'not achieved'. To address this a timepoint
4720 measure could be taken for if milestones were delayed, providing more rich data points for
4721 analyses. Furthermore, there has been normative data published in America, which could act
4722 as a threshold for which attainment is measured (Sheldrick et al., 2019). For example, the
4723 last of the cognitive and communication milestones are typically achieved at 60 months.
4724 Since this is based on typically developing individuals if such a metric were adopted, because
4725 those with ASD may experience delay, an analytical procedure would be needed to account
4726 for this. One way to deal with developmental delay in relation to the normative values would
4727 be to add a value of one SD above their age which would clearly place the milestone in the
4728 delayed range without introducing non-uniformity in that data (Arnett et al., 2020).

4729 One critique of developmental milestones is that it does not account for continuous
4730 changes in mental capacity (Lourenço, 2016). Additionally, there may not be a single

4731 developmental pathway that is completed in early childhood. There may be different
4732 developmental trajectories and careful consideration of this is needed (Craik & Bialystok,
4733 2006; Karmiloff-Smith, 2006). This seems more in line with what is meant by cognitive
4734 progression as discussed earlier (see section [5.2](#)). Additionally, recent research has begun to
4735 take a lifelong approach to development. Pairing this with the age related maturation of the
4736 biological substrates serving pain, emotion, cognition, language, and behavioural competence
4737 (Backonja et al., 2013; Brewer et al., 2020; Hatfield, 2014; Magerl et al., 1998; Rolke, Baron,
4738 et al., 2006; Simons & Tibboel, 2006), it might be useful to expand our definition of
4739 progression beyond the limits of current developmental milestones literature. Therefore, it
4740 may be beneficial to further consider other avenues that could also act as measures of
4741 cognitive progression. For example, executive functioning allows for successful adaption to
4742 complex environmental conditions, and broad dysfunction in ASD has been reported
4743 suggesting this as a useful avenue for consideration (Demetriou et al., 2018; Zwick, 2017).
4744 The domains of executive function being measured would define the tests that might be
4745 utilised (de Faria et al., 2015), such as the Wisconsin Card Sorting Test which assess mental
4746 flexibility.

4747 Thirdly, in attempting to understand cognitive progression it may be reasonable to
4748 consider longitudinal research designs, in that these studies employ continuous or repeated
4749 measures to follow individuals over a prolonged period (Caruana et al., 2015). Additionally,
4750 longitudinal research designs lend themselves to analysing change over time for a group or
4751 for individuals. On reflection, this appears a warranted consideration when the aim would be
4752 to understand cognitive progression and its impact on pain experience. Additionally, as
4753 findings from this thesis indicated that there needs to be greater consideration of intra-
4754 individual variability, the analysis of individuals change over time could help form a more
4755 precise and dynamic picture (Georgiades et al., 2017). A number of studies have used

4756 longitudinal designs when investigating cognition, language and social and behavioural
4757 outcomes (Magiati et al., 2007). However, many of these are conducted outside of the UK
4758 and frequently they are linked with studies conducted either in conjunction with hospitals or
4759 specific ASD hospital services. Considering the aforementioned discussion around sample
4760 size (see section [5.3](#)) it may take some time to establish any such connections in order to
4761 conduct such longitudinal research. Longitudinal designs are also costly, time consuming
4762 and complex (Telzer et al., 2018), and subject attrition rates high, which was also the case in
4763 this thesis, with many participants showing initial interest but not booking to attend lab
4764 sessions. One alternative for examining developmental trajectories is a cohort sequential
4765 design, in which multiple measures are taken over a defined period from multiple groups of
4766 different ages, who are enrolled at various time points in the study (Prinzle & Onghena,
4767 2014). These are powerful designs, because they allow for comparisons of changes and
4768 stability with age over time as well as group comparisons. This avenue of research and future
4769 directions requires a more careful consideration and deeper investigation and knowledge
4770 acquisition to fully formulate operational research studies. The same personal reflections
4771 made in reference to understanding more complex statistical analyses are relevant here.
4772 Additionally, careful planning of each stage of this is needed. No single study could address
4773 these connections in its entirety and so it is a body of research work that would extend across
4774 several years. However, in understanding the connection with cognitive progression, ASD
4775 and pain experience (namely pain response and understanding), it may be possible to enhance
4776 single cognitive processes in a targeted manner to promote improved coherence in order to
4777 express pain or to invoke help (Zwick, 2017). For example, in understanding problems with
4778 pain language acquisition and comprehension as well as differences in pain language could
4779 help develop a taxonomy of pain that is specific for ASD or help in designing interventions

4780 around the acquisition of pain language. Communicating this taxonomy to HCPS then can
4781 assist in more appropriate support for those with ASD.

4782 **5.5 Implications/Conclusion**

4783 *The most important implication from the findings of this thesis is that the absence of*
4784 *the ability to feel pain, as suggested in the DSM-5 (APA, 2013), is not a defining feature of*
4785 *ASD. Therefore, if a procedure or experience is considered to be painful, then it should be*
4786 *treated as such in ASD. For example, Rattaz et al., (2013) reported that there were fewer*
4787 *autistic individuals receiving anaesthetic during a painful dental procedure, compared to*
4788 *controls. It could be assumed that this is based on the difficulties of understanding or of*
4789 *diagnosing pain, or that autistic individuals can experience distress to novel stimuli (Gulrud*
4790 *et al., 2007). However, there is inherent risk in undermining pain experiences and therefore it*
4791 *would be pertinent to consider that the absence of pain in ASD is not a defining feature of*
4792 *ASD and therefore should not be treated as such.*

4793 Findings also point to greater intra-individual differences in ASD, therefore, it is
4794 important to assess how autistic individuals manifest pain and anxiety (Benich et al., 2018;
4795 Taghizadeh et al., 2015). Findings also point towards there being important nuances in the
4796 facial expression of pain in ASD. As pain communication in observers is particularly reliant
4797 on facial expressions this is an important aspect to be considered (Craig & Patrick, 1985;
4798 Kunz et al., 2019; Prkachin, 2009). None of the validated assessment tools used for
4799 measuring pain in clinical settings incorporate individual pain behaviours, or ASD specific
4800 responses. The facial expressions of pain are also currently biased as they are solely based on
4801 those considered healthy. Additionally, they also do not work on change, rather as a static
4802 measure of the behaviours that is time locked. This is problematic, in that the findings from
4803 this thesis point towards a potential explanation of a social modulation of pain, and so

4804 understanding historical behaviours related to pain, as well as a change in behaviour appears
4805 important. This also highlights a second flaw with such measures. If there is a social
4806 modulation of pain, wherein complex social environments lead to a reduction in what is
4807 understood to be a painful behaviour (such as the absence of a grimace), scales higher scores
4808 represent higher pain may not be suitable in ASD. In this instance, a change score would be
4809 beneficial, although more work is required to understand if there is a social modulation of
4810 pain and what the mechanisms are, as well as establishing more ASD appropriate validated
4811 measures.

4812 Despite there being no research to establish whether there exists a social modulation
4813 of pain in ASD, or what the causal or mechanistic aspects of this may be, a clear
4814 recommendation would be for individuals to be more direct and literal in communicating
4815 their intentions when interacting with autistic individuals who may be experiencing pain. For
4816 example, health care professionals (HCPS) could clearly communicate their roles to allow
4817 autistic individuals one less instance of social navigation. This may facilitate rapport
4818 building and communication particularly when this relationship may involve pain or require
4819 pain to be communicated, such as in a GP surgery. In typical medical circumstances, a clear
4820 line of sight is created between patient and HCPS, however, findings from this thesis
4821 demonstrated that autistic individuals may better communicate their pain when they are not
4822 directly observed or perceive that they are not being directly observed (the researcher was sat
4823 behind the participants in this thesis and results highlighted no group level differences in pain
4824 response). HCPS may therefore want to reflect on how communication is conducted between
4825 themselves and those autistic individuals. A person-centred approach is most preferable,
4826 where patients would be asked which is most suitable for them. However, other alternatives
4827 may be beneficial, such as avoiding direct facing seating arrangements, or instead utilising
4828 digital communication or telephone.

4829 In conclusion, this thesis investigated pain in ASD and aimed to expand our
4830 understanding of where in the pain process differences occur that could account for the
4831 altered behaviours observed in the anecdotal evidence. Various aspects of the pain
4832 experience were investigated using robust psychophysical pain induction methods. Findings
4833 showed there was no observable consistent QST pattern of difference in relation to autistic
4834 trait severity or clinically diagnosed ASD. The ASD groups fear avoidance and pain
4835 motivation processing are no different to controls. Painful facial expressions for cold and hot
4836 thermal stimuli are similar between the ASD group and controls, although there were
4837 important nuances in the expression. The biggest implication from these findings, and for
4838 emphasis again, *is that the absence of the ability to feel pain, as suggested in the DSM-5*
4839 *(APA, 2013), is not a defining feature of ASD.* Future research should focus on utilising
4840 more complex analyses, such as clustering, in order to account for the heterogeneity observed
4841 in the findings from this thesis. Paired with this consideration of the social deficits in relation
4842 to social context and pain experience should be considered with specific investigations
4843 aiming to establish if there is a social modulation of pain in ASD.

4844

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ORIGINAL PAPER



A Quantitative Sensory Testing Approach to Pain in Autism Spectrum Disorders

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Abstract

Sensory abnormalities in autism has been noted clinically, with pain insensitivity as a specified diagnostic criterion. However, there is limited research using psychophysically robust techniques. Thirteen adults with ASD and 13 matched controls completed an established quantitative sensory testing (QST) battery, supplemented with measures of pain tolerance and central modulation. The ASD group showed higher thresholds for light touch detection and mechanical pain. Notably, the ASD group had a greater range of extreme scores (the number of z-scores outside of the 95% CI > 2), dynamic mechanical allodynia and paradoxical heat sensation; phenomena not typically seen in neurotypical individuals. These data support the need for research examining central mechanisms for pain in ASD and greater consideration of individual difference.

Keywords Autism · Quantitative sensory testing · Pain · Somatosensation

Introduction

In addition to the most striking lifelong effects of impaired communication, socialization and restrictive/repetitive behaviours in autism spectrum disorder (ASD), there is a high prevalence of sensory perceptual anomalies (Baranek 2002). Evidence for which has relied on autobiographical, observational or behavioural measures (Moore 2015) which has demonstrated, amongst an array of sensory disturbances, an absence of typical pain behaviours (e.g. absence of hand withdrawal reflex or a lack of protective body positioning) when encountering pain (Bursch et al. 2004; Gillberg

and Coleman 2000; Mahler 1952; Rothenberg 1960; Wing 1996). There is further evidence that autistic individuals have aversions to touch (Grandin 1992, 1995; Williams 2015), suggesting that light tactile sensation might be a source of discomfort, indicating a potential hypersensitivity to tactile stimuli (Kaiser et al. 2016; Moore 2015). However, such methods are typically not generalizable because it is unclear whether the case investigated is representative of the wider body of “similar” instances. Further validation of this phenomenon is given by the re-incorporation of sensory responses as a feature in diagnostic texts suggesting that it is a central clinical finding in autism (APA 2013). There is however, a dearth of rigorous psychophysical experimental evidence to support these claims. Therefore, the current study aims to clarify the characteristics of *pain* sensitivity associated with ASD using a psychophysically robust experimental case-control design.

Pain is multifaceted, defined as a distressing experience associated with actual or potential tissue damage; with sensory, emotional, cognitive and social components (IASP 2012; Williams and Craig 2016). Together, the percept, and the subjective reaction act as a warning system so that individuals learn to avoid dangerous stimuli (Yasuda et al. 2016), whilst also promoting behavioural analgesia (Eccleston and Crombez 1999). A disruption to this system could result in a lack of these learned behaviours.

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Pain Processing in Psychiatric Conditions: A Systematic Review

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Abstract

Pain is a universal, multidimensional experience with sensory, emotional, cognitive, and social components, which is fundamental to our environmental learning when functioning typically. Understanding pain processing in psychiatric conditions could provide unique insight into the underlying pathophysiology or psychiatric disease, especially given the psychobiological overlap with pain processing pathways. Studying pain in psychiatric conditions is likely to provide important insights, yet, there is a limited understanding beyond the work in depression and anxiety. This is a missed opportunity to describe psychiatric conditions in terms of neurobiological alterations. To examine the research into the pain experiences of these groups and the extent to which atypicality is present, a systematic review was conducted. An electronic search strategy was developed and conducted in several databases. The current systematic review included 46 studies covering five *Diagnostic and Statistical Manual of Mental Disorders* (5th ed.; DSM-5) disorders: autism, attention-deficit hyperactivity disorder (ADHD), schizophrenia, personality disorder, and eating disorders, confirming tentative evidence of altered pain and touch processing. Specifically, hyposensitivity is reported in schizophrenia, personality disorder and eating disorder, hypersensitivity in ADHD, and mixed results for autism. Review of the research highlights a degree of methodological inconsistency in the utilization of comprehensive protocols, the lack of which fails to allow us to understand whether atypicality is systemic or modality specific.

Keywords

psychiatric, DSM-5, pain, quantitative sensory testing, QST

Introduction

Pain is a universal, multidimensional experience with sensory, emotional, cognitive, and social components (Williams & Craig, 2016). Understanding pain processing in psychiatric conditions could provide unique insight into the underlying pathophysiology or psychiatric disease, especially given the psychobiological overlap with pain processing pathways (Bird et al., 2010; de la Fuente-Sandoval, Favila, Gómez-Martin, Pellicer, & Graff-Guerrero, 2010; Fan, Chen, Chen, Decety, & Cheng, 2014; Goesling, Clauw, & Hassett, 2013; Iannetti & Mouraux, 2010). For example, there is substantial literature on pain perception in anxiety and depression (for review, see Thompson, Correll, Gallop, Vancampfort, & Stubbs, 2016) supporting a bidirectional relationship between these conditions and altered pain behaviors. From this literature, several examples have emerged that highlight the need to understand pain perception in psychiatric disorders. The co-occurrence of depression or anxiety and pain has an additive burden on the

individual (Bair, Robinson, Katon, & Kroenke, 2003). Similarly, altered pain behaviors can lead individuals to look for somatic causes, potentially obscuring or delaying psychiatric diagnoses. There also seems to be important moderators between depression/anxiety and pain, specifically related to the exteroceptive or interoceptive nature of the stimuli and attentional resources allocated for painful stimuli, which provide insight into sensory processing in the disorder (Goesling et al., 2013; Thompson et al., 2016).

Studying pain in psychiatric conditions is likely to provide important insights, yet, there is a limited understanding beyond the work outside depression and anxiety. This is a

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6642

Appendix C. Quantitative Sensory Testing Script

6643 Thermal detection and pain thresholds

6644 “During this procedure, the thermal stimulator will be used to deliver cold temperature. We are
6645 primarily interested in the sensation you experience as the temperature decreases. We would like you
6646 to tell us when you first experience the cold sensation as a result of the procedure. As soon as the
6647 device first produces a sensation, let us know – please say signal with your opposite hand. Do you
6648 have any questions?”

6649 This will then be repeated for warm sensation.

6650

6651 “During this procedure, the thermal stimulator will be used to deliver warm stimulation. We are
6652 primarily interested in the pain you experience as the temperature increases. We would like you to
6653 tell us when you first feel pain as a result of the procedure. As soon as the device first produces a pain
6654 sensation, let us know – please say “pain” or signal with your hand. Do you have any questions?”

6655 This will then be repeated for cold pain.

6656

6657 Tactile Detection Threshold

6658 “This is to test your ability to detect light touch. I will touch your skin with a Von Frey Hair and if
6659 you can tell me “yes” as soon as you perceive a sensation on your hand”

6660

6661 Mechanical Pain Threshold

6662 “During this procedure, I will touch your skin once with the weighted pinprick. Please indicate when
6663 the feeling becomes sharp or stinging. We will then redo the test and this time can you tell us when
6664 the sensation becomes blunt and not painful”.

6665

6666 Mechanical Pain Sensitivity

6667 “Like before blunt fine rods will be pressed against your skin in a random order, you will be asked to
6668 rate each one on a scale of 0 to 100: 0 meaning no pain and 100 as the worst pain imaginable.”

6669

6670 Dynamic Mechanical Allodynia

6671 “As in the previous test a rod will be pressed onto your skin and you will be asked to rate it from 0 (no
6672 pain) to 100 (worst pain imaginable). In between each rod, you will be touched with a cotton wisp or
6673 a Q-tip or a brush and asked to rate these on the same scale”.

6674

6675 Wind-Up Ratio

6676 “Like the previous test, I will again press a single rod to your skin. Please rate the painfulness of this
6677 by giving a number from 0 (no pain) to 100 (worst pain imaginable). Any “sharp” or “stinging”
6678 sensation should be considered painful. I will now apply a series of 10 stimulations with the same rod
6679 at 1 second intervals. Once the entire series is over please rate the painfulness on the same scale by
6680 giving a number from 0 to 100”.

6681

6682 Two Point Discrimination

6683 “I will touch your hand with a tool at several times. Each time I would like you to tell me if you can
6684 feel one or two points”.

6685

6686 Vibration detection threshold

6687 “During this procedure, I will touch your skin with a Tuning Fork (a metal rod). Please immediately
6688 say “now” at the exact moment you no longer feel the vibrations.”

6689

6690 Pressure Pain Threshold

6691 “During this procedure, the algometer will be used to deliver pressure stimulation. We are primarily
6692 interested in the pain you experience as the pressure increases. We would like you to tell us when you
6693 first feel pain as a result of the pressure procedure. As soon as the device first produces a pain
6694 sensation, let us know – please say “pain” or signal with your hand. Do you have any questions?”

6695

6696 Cold pain threshold

6697 “We are about to begin the water immersion procedure. This involves placing your dominant hand
6698 into the water bath up to your wrist, do not make a fist with your hand and try not to touch the sides or
6699 bottom of the machine. The water may feel quite cold, and the sensation it produces may be painful.
6700 After about 20 seconds, I will ask you to rate the intensity of pain that you are feeling in your
6701 dominant hand. If the pain in your hand becomes intolerable, please inform us by raising your
6702 opposite hand or saying “pain”, and then remove your hand from the water. Do you have any
6703 questions?”

6704

6705 Electrocutaneous pain threshold

6706

6707 “During this procedure, the Digitimer will be used to deliver electrocutaneous pain sensation. We are
6708 primarily interested in the pain you experience as the current increases. We would like you to tell us
6709 when you first feel pain as a result of the procedure. As soon as the device first produces a pain
6710 sensation, let us know – please say “pain” or signal with your hand. Do you have any questions?”

6711 **Appendix D. Facial Units Removed from the Whole Analysis for all Stimuli**

6712 **Table 29:**

6713 *Facial units removed from the whole analysis for all stimuli*

Cluster	Unit Number	Unit Description
<i>Miscellaneous</i>	AU21	Neck Tightener
	AD29	Jaw Thrust
	AD30	Jaw Sideways
	AD33	Blow
	AD34	Puff
	AD35	Suck
	AD36	Bulge
	AD37	Lip Wipe
<i>Eyes</i>	66	Cross Eye
<i>Gross Behaviour</i>	40	Sniff
	50	Speech
	80	Swallow
	81	Chewing
	84	Head Shake
<i>Movement</i>	85	Head Nod
	69	Eye Movement
	M68	Eye Movement
	M69	Eye Movement
	M83	Head Movement
	M55	Head Movement
	M56	Head Movement
	M59	Head Movement
	M60	Head Movement
	M61	Eye Movement
M69	Eye Movement	

6714

6715 **Appendix E. Subsequent Facial Units Removed from Cold Pressor Stimuli Analyses**

6716 **Table 30:**

6717 *Facial unites removed for cold pressor sensation*

Cold Pressor Sensation (CPS)		
Cluster	Unit Number	Unit Description
<i>Upper</i>	AU5	Upper Lid Raiser
	AU46	Wink
<i>Lower Vertical</i>	AU9	Nose Wrinkler
	AU10	Upper Lip raiser
	AU17	Chin Raiser
	AU27	Mouth Stretch
	AU16	Lower Lip Depressor
<i>Lower Horizontal</i>	AU20	Lip Stretch
<i>Lower Oblique</i>	AU13	Sharp Lip Puller
<i>Lower Orbital</i>	AU23	Lip Tightener
	AU24	Lip Pressor
<i>Miscellaneous</i>	AU28	Lip Suck
	AU8+25	Lip Towards Each Other
	AU31	Jaw Clencher
	AU38	Nostril Dilator
	AU39	Nostril Compressor
<i>Head</i>	57	Head Forward
<i>Eyes</i>	65	Wall Eye
<i>Gross Behaviour</i>	82	Shoulder Shrug
	91	Flash
	92	Partial Flash

6718

6719 **Table 31:**

6720 *Facial unites removed for cold pressor pain*

Cold Pressor Pain (CPP)		
Cluster	Unit Number	Unit Description
<i>Lower Vertical</i>	AU16	Lower Lip Depressor
<i>Lower Orbital</i>	AU22	Lip Funneler
	AU23	Lip Tightener
<i>Miscellaneous</i>	AU8+25	Lip Towards Each Other
	AU31	Jaw Clencher
	AU38	Nostril Dilator
	AU39	Nostril Compressor
<i>Head</i>	57	Head Forward
<i>Eyes</i>	65	Wall Eye
<i>Gross Behaviour</i>	82	Shoulder Shrug

6721

6722

6723 **Table 32:**

6724 *Facial units removed for cold pressor tolerance*

CPT		
Cluster	Unit Number	Unit Description
<i>Lower Vertical</i>	AU9	Nose Wrinkler
	AU27	Mouth Stretch
	AU16	Lower Lip Depressor
<i>Lower Horizontal</i>	AU14	Dimpler
<i>Lower Orbital</i>	AU22	Lip Funneler
	AU23	Lip Tightener
	AU24	Lip Pressor
<i>Miscellaneous</i>	AU8+25	Lip Towards Each Other
	AU31	Jaw Clencher
	AU38	Nostril Dilator
	AU39	Nostril Compressor
<i>Eyes</i>	65	Wall Eye
<i>Gross Behaviour</i>	82	Shoulder Shrug

6725