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2 A new method to calculate limb phase from trackways reveals gaits of

3	sauropod dinosaurs
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7	Jens N. Lallensack ^{1,2*} & Peter L. Falkingham ¹
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9 10	¹ School of Biological and Environmental Sciences, Liverpool John Moores University James Parsons Building, Bryon Street, Liverpool L3 3AF, UK
11	² Lead Contact
12	*Correspondence: jens.lallensack@gmail.com
13	Twitter: @peterfalkingham
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32 SUMMARY

Limb phase, the timing of the footfalls in guadrupedal locomotion that describes common 33 gaits such as the trot and the pace gait ^{1,2}, is widely believed to be difficult or even 34 impossible to estimate for extinct tetrapods ³⁻⁵. We here present a fundamentally new 35 36 approach that allows for estimating limb phase based on variation patterns in long trackways. The approach is tested on trackways of modern mammals, where the 37 38 estimates generally correspond well with the actually employed limb phase. We then 39 estimate limb phases of giant wide-gauged sauropod dinosaurs based on three long trackways from the Lower Cretaceous of Arkansas, US^{6,7}. Gait selection at the largest 40 body sizes is of considerable interest given the lack of modern analogues. Contrary to 41 previous assumptions^{8,9}, our estimates suggest lateral-sequence diagonal-couplets walks, 42 in which the footfalls of the diagonal limb pairs (e.g., right hind and left fore) are more 43 closely related in time than those of the same side of the body (e.g., right hind and right 44 fore). Such a gait selection allows for efficient walking while maintaining diagonal limb 45 supports throughout the step cycle, which is important for a giant, wide-gauged trackmaker 46 ¹⁰. Estimations of limb phase may help to constrain other gait parameters, body size and 47 48 shape, and, finally, potential trackmaker taxa.

49

50 **RESULTS**

51 Ambiguity and within-trackway variation

52 Many central aspects of the biology of extinct tetrapods remain inaccessible to science because direct observation is not possible. One such aspect is an animal's gait, 53 specifically the relative timing of footfalls during guadrupedal locomotion, known as limb 54 55 phase ^{1,2}. Limb phase describes if a trot, a singlefoot, a pace gait, or any intermediate gait is employed (Fig. 1, 2A–D). Previous attempts to interpret gaits from fossil trackways 56 57 remain speculative or inconclusive ^{3,4}. Stevens et al. ⁵ demonstrated that trackmakers that only slightly differ in body length but employ different limb phases may produce identical 58 59 footfall patterns. Given this ambiguity, it seemed that limb phase could not be estimated 60 from trackways unless body size and shape of the trackmaker can be precisely 61 constrained ⁵ – a task which is notoriously difficult to achieve when the assumed 62 trackmaker taxon is extinct. One important source of information, however, remains almost

63 entirely unexplored: the within-trackway variation of the footfall pattern.

Variation in footfall positions as measured from a trackway can have multiple sources,including:

1) measurement error or misidentification of tracks;

68 2) footfall positions not being precisely recorded by tracks (e.g., due to slipping, erosion,
69 etc.);

- 70
- 71 3) individual behaviour;
- 72 4) variation in the timing and spacing of footfalls.

It is this last source of variation which may allow for reconstructing limb phase. Such type
4 variation will manifest if changes in stride length (measured between two subsequent

footfalls of the same foot) do occur, which typically reflect changes in the speed oflocomotion.

77

Our approaches may principally be applied to any trackmaker that employs a symmetrical

- gait, varies stride length, and does not use spine bending as a major means of locomotion.
- 80 Importantly, these methods do not require *a priori* assumptions on trackmaker size or
- anatomy. In the following, we will restrict ourselves to discuss limb phases between 0%
- and 50%. While higher limb phases up to ~75% do exist, they are, among modern
- 83 tetrapods, predominantly related to arboreality ¹³.

84



Figure 1. Classification of symmetrical quadrupedal gaits. Any gait can be described by two parameters: limb phase and duty factor. Duty factor is the percentage of the step cycle duration that a foot is in ground contact. Limb phase is defined as the percentage of the step cycle duration that the footfall of a forefoot follows that of the hind foot on the same side of the body 2. Limb phase is here visualised in top view (left), where squares represent pes and circles manus footfalls, with numbers (1–5) indicating the sequence of the footfalls; the footfall relevant for determining the limb phase is framed. Redrawn and modified after Hildebrand 1,11 and Cartmill 13. Gait space occupation of selected modern megaherbivores shown after

92 Hildebrand 1 and modified after Hutchinson et al. 12.

93 Main approach

94 Our limb phase estimations are based on the hypothetical projection of the shoulder and hip joints onto the trackway, a measurement known as the apparent gleno-acetabular 95 distance (GAD), which is an approximation of the trunk length of the trackmaker ¹⁴. The 96 97 way GAD is measured from a trackway depends on the limb phase employed (Fig. 2A-D). During a trot, the contralateral (opposite side) fore- and hind limbs swing in sync (limb 98 99 phase \sim 50%). In a walking trot, the moment the animal shifts its weight from one 100 contralateral limb pair to the other, all four feet will contact the ground, and the GAD can now be measured between the midpoints of these pes- and manus positions (Fig. 2B). 101 102 With a pace gait, in contrast, the ipsilateral (same side) fore- and hind limbs swing in sync (limb phase ~0%), resulting in a larger GAD value than for the trot (Fig. 2D). Theoretically, 103 104 the GAD measure that precisely reflects the employed limb phase does not change with 105 speed, because the trunk length it reflects must remain constant (the animal is not 106 lengthening nor shortening). GAD measures that assume different limb phases can be 107 taken along a trackway once per half step cycle. The GAD measure that shows the least 108 variation is assumed to be the most likely to reflect the actual limb phase.

- 109 $\,$ With the GAD computed, limb phase (LP) can be calculated based on trackway $\,$
- 110 parameters with the formula
- 111 Formula I: $LP=1-\frac{GAD-PMD}{Stride length}$
- 112 where PMD is the pes-manus distance parallel to the direction of travel.

113 GAD is traditionally measured between the midpoints of pes and manus track pairs (Fig. 2A–D), and thus involves four tracks, or one complete step cycle ^{15–17}. However, as speed 114 changes do occur between half step cycles, such a definition would average out parts of 115 116 the signal we are interested in. Consequently, we here define GAD based on only two tracks that represent one half step cycle (Fig. 2J). Direct measurements of GAD based on 117 118 only two tracks are possible for those measures that assume a limb phase of 50% (GAD-119 50; trot) and 0% (GAD-0; pace gait). Because the relationship between limb phase and 120 GAD is linear, GAD measures that assume intermediate limb phases can be calculated 121 from these two measurements.

A GAD calculated from an assumed limb phase of 25% is the distance between the 122 123 midpoint of a pes pair and the position of the swinging manus (Fig. 2A). The position of the 124 manus at mid-swing needs to be inferred based on the preceding, subsequent, and 125 contralateral manus tracks (Fig. 2J). Incorporating this more complex measure may reduce 126 unwanted noise as more tracks are taken into account, but, at the same time, will skew variability because a larger number of tracks are involved (either 3 or 5) than is necessary 127 128 for GAD-0 and GAD-50 (either 2 or 4). In order to avoid such skewing, we measure GAD-25 based on five tracks and regress all three GAD measures against limb phase (Fig. 2K). 129 130 Deviations from linearity may be considered unwanted noise (Fig. 2K) and are

131 consequently disregarded.

132 In our main approach, we calculate 51 GAD measures per half-step cycle that reflect limb

133 phases from 0% to 50%, and check which of these measures varies least – which is then

134 assumed to reflect the actually employed limb phase. Various measures of variability were

135 tested; the sum of deviations from the mean provided the most accurate results.

136 Alternative approaches have been tested and are discussed in the supplementary

137 material.



138

139 Figure 2. The influence of limb phase changes on trackway patterns. Squares indicate hind feet and 140 circles forefeet footfalls; arrows indicate the direction of travel (left to right). A-D: Four discrete limb phases 141 (25%, 50%, 75%, and 0/100%) and associated trackway patterns generated using Formula 1, with 142 trackmaker size and speed remaining constant. E-I: The effect of speed changes on GAD (gleno-acetabular 143 distance) measures. E: Trackway lacking any variability; it is unknown whether GAD should be measured 144 according to a trot (GAD-50, 50% limb phase) or a pace gait (GAD-0, 0% limb phase). F-G: the same 145 trackway as in E, but with stride length increased by 20%. If a trot was employed, GAD-50 remains constant 146 while GAD-0 will increase (F). If a pace gait was employed, GAD-0 will remain constant while GAD-50 147 decreases (G). H-I: Relationships between GAD-50, GAD-25, and GAD-0 and stride length, for a trackmaker 148 with an actual GAD of 50 cm that employs a trot (H) and a pace gait (I). J: We here measure GAD-0 and 149 GAD-50 based on two tracks, respectively, and GAD-25 (which assumes 25% limb phase) based on five 150 tracks. K: Linear relationship between GAD measures (of the same step cycle) and limb phase.

151

152 Validation of results

We validated our approach on 15 trackways of various modern mammals of different body sizes and gaits, including three dogs, two horses, a camel, an elephant, a red fox, and a raccoon. For all trackways except for the red fox and the raccoon, the actually employed limb phase was extracted from video footage, allowing to determine the accuracy of our

157 estimates. Several trackways were split into sections to determine how the methods

158 perform on shorter trackways that exhibit less pronounced speed changes, resulting in a 159 total of 32 trackways and trackway sections.

160

Trackway	half- step cycles	% speed change	GAD vs stride (p- value)	estimated limb phase	actual limb phase (mean)	error (% limb phase)	
Mammals							
Dog "Elli", trot	21	25	<0.01	50	49	1	
Dog "Elli", walk	27	26	<0.01	24	18	6	j
Dog "Paul", slow trot	13	11	0.59	50	50	C	J
Dog "Paul", slow walk	27	14	0.02	7	15	8	5
Dog "Penny", trot	13	15	0.07	42	48	6	j
Dog "Penny", walk	34	36	<0.01	11	15	4	ļ
Horse "Calimero", trot	29	20	0.13	50	50	C	J
Horse "Calimero", walk	44	10	0.02	30	23	7	,
Horse "Phoenix", trot	94	33	<0.01	50	50	C	J
Horse "Phoenix", walk	61	17	<0.01	27	25	2	!
Red fox, trot	71	15	0.11	50	~50*	-	-
Raccoon, walk	51	32	<0.01	5	~14**	-	-
Camel, walk	20	44	<0.01	23	16	7	,
Elephant "Srisiam",							
"walk"	62	23	<0.01	15	15	C)
Elephant "Srisiam", "run"	22	9	0.37	22	21	1	
Sauropods							
Certain Teed Q1	36	31	<0.01	44	_	_	-
Briar site, trackway 1	31	23	0.11	35	_	_	_
Briar site, trackway 2	33	19	0.37	31	_	_	-
, , -				• •			

¹⁶¹

Table 1. Results of our validation on recent mammals, and estimates obtained for the three sauropod
 trackways. % speed change is calculated based on the range and mean of measured stride lengths. Actual
 limb phases marked with an * are not based on video footage but were estimated; ** from ¹⁴. See also Table
 S1.

167

168

Estimates of our main approach do generally correspond well with the actually employed
limb phase. For the trackways plus shorter sections, the mean error is 4.96% and the
median error 3% limb phase. When only the trackways are considered, the mean error is
3.2% and the median error 2% limb phase.

173 The presence of signal, and therefore the reliability of the estimate, may be assessed by

174 plotting the GAD-0, GAD-25, and GAD-50 measures against stride length (Fig. 3C). We fit

175 separate regression slopes to the point clouds of the three measures. A slope is expected

to be horizontal if the GAD measure corresponds to the actually employed limb phase

because trunk length does not change with speed (Fig. 2H–I). Significant differences in

178 slopes are difficult to explain by other sources of variability that may overprint or skew the

- signal we aim to extract. However, the differences in slopes are significant (p < 0.05) onlyin 14 of the 32 mammal trackways and trackway sections.
- 181 Within-trackway changes in limb phase will add additional noise to the data, but may lead
- 182 to significant overestimations of limb phase when the latter is positively correlated with
- 183 stride length, and underestimations when negatively correlated. In the analysed mammals,
- 184 however, such correlation is absent or weak in walking trackways that only show moderate
- variation in stride length. We therefore do not expect such over- or underestimations to be
- 186 consistent in multiple trackways of the same trackmaker or in different sections of the
- 187 same trackway.
- 188 Based on above considerations, we conclude that 1) clear differences in regression slopes
- 189 (Fig. 3C) and 2) consistent estimates for multiple trackways of a sample and/or sections of
- 190 single trackways increase confidence in obtained estimates.
- 191

192 Sauropod trackways

- 193 We used our methods to estimate limb phase of three long trackways of sauropod
- 194 dinosaurs from the Lower Cretaceous De Queen Formation, Arkansas, US: Trackways 1
- ¹⁹⁵ and 2 of the Briar site ⁶ and trackway Q1 of the nearby Certain Teed Gypsum mine site ⁷.
- 196 These trackmakers were of very large size (median pes length: 70–85 cm; maximum stride
- 197 lengths: 272–342 cm) and distinctly wide-gauged (i.e., the trackway is broad so that tracks
- are well-separated from the trackway midline). The Certain Teed trackway shows the most
- pronounced speed changes (Fig. 3A), with stride lengths ranging from ~230 cm to ~325cm (Fig. 3B).
- 200

201

p. 9 of 20



Figure 3. Results of the analysed sauropod trackways. A–C: The Certain Teed trackway (Q1) from the De Queen Formation of Arkansas, US⁷. A: Plotted track coordinates used for analysis (above) and site map of the trackway (below), where squares represent hind feet and dots forefeet. B: Differences in stride length along the trackway, from which changes in locomotion speed are inferred. C: Plot of GADs against stride length, showing a significant difference between the slopes, indicating presence of signal. D: Results of our main approach for the three analysed sauropod trackways. The minima of the curves (marked) are interpreted as the most likely limb phases employed.

210

Gait selection at the largest body sizes is of particular interest given the lack of modern 211 analogues. Previous studies suggested pace gaits (limb phase ~0%) or lateral-sequence 212 singlefoot walks (limb phase ~25%) as likely options for sauropods ^{8-9,17}. Our results. in 213 214 contrast, suggest significantly higher limb phases of 35%, 31%, and 44% for the two Briar trackways and the Certain Teed trackway, respectively, suggesting diagonal-couplets 215 walks in lateral sequence (Fig. 3). The regression slopes of GAD-0 and GAD-50 against 216 stride length are significantly different (p-value < 0.001) in the Certain Teed trackway (Fig. 217 218 3C), indicating strong signal, while the other two trackways did not reach significance. The 219 first half of the Certain Teed trackway gave a slightly lower estimate (38%) than the second 220 half (44%), and it is possible that the limb phase estimated for whole trackway is a slight 221 overestimation.

222

223 **DISCUSSION**

224 Generally high limb phases in wide-gauged sauropods are consistent with biomechanical

225 considerations. Modern megaherbivores typically employ lateral-sequence walks

somewhat below 25% unless running or moving very slowly (the short-legged common

hippopotamus, which employs a trot, is a notable exception)¹⁸. However, these animals 227 228 have very narrow gauges ¹, unlike the wide-gauged sauropods analysed here. As gauge width increases, continuous diagonal supports become increasingly important ¹³. In a pace 229 230 gait, one side of the body is unsupported while the feet are swinging forwards. If the left 231 and right feet are not placed precisely in front of each other, the centre of mass needs to 232 constantly shift mediolaterally during walking in order to remain between the supporting limb pair. In a trot, in contrast, both body sides are always supported by at least one leg. 233 234 We may assume that giant wide-gauged sauropods required diagonal supports throughout the step cycle to increase stability. Such continuous support of both body sides is only 235 236 warranted at a limb phase of 25% or above, and, when at 25%, only at very slow gaits where one leg moves at a time (i.e., at duty factors >75%). The generally high limb phases 237 238 reported here allow more efficient and/or faster walking while maintaining diagonal supports. At the same time, disadvantages of even higher limb phases approaching trots, 239 including prevailing bipod rather than tripod supports ¹³ and more pronounced vertical 240 accelerations ¹⁸, are avoided. 241

242 As direct records of animal activity, tracks provide information on extinct animals that 243 cannot be derived from body fossils such as bones and teeth. While the importance of 244 tracks as palaeobiological data sources cannot be overstated, their interpretation suffers from multiple levels of uncertainty, including time averaging of tracksites, trackmaker 245 246 identification, and interpretation of track morphology and trackway patterns ²⁰. Limb phase, as a central parameter, is not only important in its own right, but may help to better 247 248 constrain other uncertainties, such as duty factor and - because the apparent gleno-249 acetabular distance depends on limb phase – body size and shape of the trackmaker, 250 which may eventually aid in identifying trackmaker taxa.

251

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261

262 AUTHOR CONTRIBUTIONS

- 263 J.N.L. conceptualised and performed analyses, collected data, and co-wrote the
- 264 manuscript. P.L.F. conceptualised analyses and co-wrote the manuscript.
- 265

- 266 DECLARATION OF INTEREST
- 267 The authors declare no competing interests.

268

- 269
- 270
- 271 STAR METHODS
- 272

273 **RESOURCE AVAILABILITY**

274 CONTACT FOR REAGENT AND RESOURCE SHARING

- 275 Lead Contact
- 276 Further information and requests for resources and reagents should be directed to and will
- 277 be fulfilled by the lead contact, Jens N. Lallensack (jens.lallensack@gmail.com).
- 278
- 279 Materials Availability
- 280 See Key Resources table for materials used in this study.
- 281
- 282 Data and Code Availability
- 283 Data and code used in this study is publicly available (see Key Resources table).
- 284

285 EXPERIMENTAL MODEL AND SUBJECT DETAILS

286 Modern mammals

We collected trackway data from a range of modern mammals with known gaits in order to validate our approach. Of five individual animals (three dogs and two horses), we also collected video footage while the tracks were made, which was then used to calculate the precise limb phases employed.

Two of the dogs, "Elli" (a half-year old crossbreed) and "Penny" (adult crossbreed), were repeatedly led on a leash over a paved surface of ca. 3 m in length. In separate crossings of the surface, the dogs were asked to use different speeds, including slow and fast walks and slow and fast trots. This approach did not produce any footprints; instead, the positions of footfall patterns in 3D space was later determined based on video footage and a photogrammetric model of the paved surface (see below). Crossings of the same dog using the same gait were then combined to obtain longer trackways. Two trackways from a third dog ("Paul", an Airedale Terrier), including a slow walk and a trot, were produced insnow. Again, this dog was led on a leash.

Trackways of the first horse (horse 1) were made in sand (two walks and two trots), with the horse led on a rope. The second horse (horse 2) produced a very long trackway that was made in snow while mounted; the horse was persuaded to increase its speed along

303 the way, covering the range from slow walk to fast trot. Trackway sections pertaining to

304 these gaits were then analysed separately.

Additional trackways produced by wild animals in snow were recorded in Höxter, Germany. Although direct observation was not possible, the limb phases could be constrained based on local knowledge of the trackmakers. Analysed trackways include red fox (*Vulpes vulpes*; trot) and raccoon (*Procyon lotor*; lateral-sequence lateral-couplets walk). We furthermore extracted trackway data based on video coverage of a camel employing a

- 310 lateral-sequence singlefoot walk that is freely available on the internet (see below for
- 311 methodology).
- 312

313 Sauropods

314 The sauropod trackways analysed herein stem from the Lower Cretaceous (Albian) De

315 Queen Formation, which is part of the Trinity Group and laterally equivalent to the Glen

Rose Formation of Texas. The sauropod trackways analysed herein stem from two

317 adjacent sites: the Briar site and the Certain Teed Gypsum mine site, both of which are

318 active quarries. We selected the three longest and most complete trackways for analysis,

319 including two trackways from the Briar site, originally reported by Pittman and Gillette in

³²⁰ 1989⁶, and one trackway from the Certain Teed site that was reported by Platt and

321 colleagues in 2018 7 .

According to Pittman and Gillette ⁶, mapping of the Briar trackways (trackway 1 and 2) was done using a portable grid of 3 x 5 m and the track outlines traced onto graph paper. As these trackways were afterwards destroyed by quarrying operations. The Certain Teed trackway (trackway Q1) was interpreted by one of us (JNL) from published LIDAR 3D data ⁷. Interpretations were made more difficult by the presence of unrelated isolated prints in some sections; we here follow the criteria discussed in ¹⁷ to identify footprints belonging to the trackway.

329

330 Method details

331 Photogrammetry, data extraction, and analysis

332 All trackways of modern mammals, except for the camel, were digitised using

333 photogrammetry ²¹. Vertical photos were shot free-hand in sequence along the trackway

334 from a height of approximately 2 m. High overlap (ca. 90%) between individual shots was

335 aimed for in order to avoid little inaccuracies in image alignment, which along a long

336 trackway would otherwise add up. A second photo sequence was shot in case the first set

- 337 aligned imperfectly. At different points along the trackway, additional shots from different
- 338 heights and camera orientations were shot of the same surface for calibration purposes.
- 339 This process allowed for the accurate and time-efficient capture of large quantities of
- 340 trackways. Photogrammetric models were then produced using Agisoft Metashape
- 341 (agisoft.com). The horizontal plane was automatically fitted using the free software
- 342 MeshLab (www.meshlab.net). Orthophotos and other graphical visualisations were
- 343 produced with MeshLab; these outputs form the basis for our further analysis. See
- 344 Lallensack et al. ²² for details on the photogrammetric procedure.
- A different approach was employed to obtain footfall coordinates of two of the dogs ("Elli" and "Penny"). The locomoting dogs were filmed simultaneously with two 4K video cameras mounted on tripods, each covering the entire surface. After multiple crossings of the dogs
- 348 over this surface, an orthophoto of the surface was obtained using photogrammetry. The
- 349 paved surface was rich in features that were visible on both the videos and the orthophoto:
- 350 these were used to determine the footfall positions on the orthophoto.
- 351 Footfall data of the camel were collected from an openly available video
- 352 (https://www.youtube.com/watch?v=Uu2_AO6Ozlw) showing the walking animal in side-
- 353 view. Measurements of footfall positions were obtained using the free software Kinovea
- 354 (www.kinovea.org). The distances between each footfall along the trackway were then
- 355 summed up to obtain a one-dimensional trackway (the trackway width was arbitrarily set to356 a fixed value).
- Footfall data for the elephant were extracted from video frames that show the animal
 walking repeatedly over a walkway. We here analysed trials 1–15 of a single elephant
 individual ("Srisiam"), an sub-adult Asian Elephant (hip height: 1.32 m) ^{23,24}. The data was
 generously provided to the authors by John H. Hutchinson (Royal Veterinary College,
 London).
- Coordinates of the footfalls of all trackways except for the camel and the elephant were 362 extracted using Inkscape (see Lallensack et al. 2020 for details) and passed to a custom 363 set of scripts written in the free statistical computing environment R (www.r-project.org). 364 365 These scripts calculate a range of different trackway parameters, including slightly different ways to compute the GAD (see below for details). These scripts (see Key Resources 366 367 table) furthermore calculate limb phases, perform statistical tests, and generate graphics. We also explore the theoretical performance of the tested methods using a mathematical 368 369 model based on Formula 1.
- 370

371 **QUANTIFICATION AND STATISTICAL ANALYSIS**

- 372
- 373 Main approach

After calculating expected GADs for limb phases between 0 and 50% for all half-step 374 cycles of the trackway, we calculate variability for each of these discrete limb phases - the 375 376 limb phase with the lowest variability can be considered the most likely. We tested a number of variability measures, including variance, median absolute deviation (MAD), and 377 378 the sum of deviations from the median or mean. This choice of method had a significant 379 impact on the accuracy of the results. The sum of deviations from the mean produced the most accurate results on our mammal sample. In our implementation, we plot the 380 381 deviations from the mean against limb phase – the limb phase at which the deviations are 382 at a minimum is interpreted as the actually employed limb phase.

383

384 Additional approach 1

It is possible to calculate a limb phase based on only two half-step cycles. In our approach, we plot GAD-0 and GAD-50 of both half-step cycles against their respective limb phase (0 and 50; resulting in four plotted points; Fig. S1A). The GAD-0 and GAD-50 measures within a half-step cycle are then connected by a line, and the intersection between the two lines is determined. This intersection point can be interpreted as the combination of GAD and limb phase that both half-step cycles have in common – and is consequently the most likely combination.

A single intersection, however, is a highly unreliable estimate as it is easily affected by
noise and/or absence of signal between the two half-step cycles. We therefore use all
possible pairs of half-step cycles in a trackway (so that the number of calculated limb
phases is higher than the number of half-step cycles when more than three half-step
cycles are available). We then plot a histogram and take the median of these calculated
limb phases, which is hypothesised to reflect the actually employed limb phase (Fig. S1B–
C).

399 To lessen the influence of noise, we implement a number of filtering and correction 400 procedures. First, we normalise all GAD measures to a range between 0 and 50, as 401 otherwise slope differences tend to decrease with trackmaker body size. We then remove 402 all half-step cycle pairs in which the slopes of the intersecting lines differ by less than 1.5° 403 (this value produced the best estimates in our mammal sample). Second, we remove calculated limb phases if they fall way outside the target interval (i.e., <-50% and >100%). 404 405 Third, we perform a leave-one-out permutation test to check if the removal of one half-step 406 cycle significantly affects the median of the overall limb phase calculated for a particular 407 trackway; if such is the case, the affected half-step cycle will be automatically removed, and the limb phases and permutation test re-calculated. This step is required because a 408 409 single half-step cycle that gives an inaccurate signal will form pairs with all other half-step 410 cycles, and thus has a potentially large impact on the overall results.

411

412 Additional approach 2

- 413 This approach is not based on GADs but on differences between stride lengths, and may
- 414 detect if a trot (or, generally, limb phases >25%) or a pace gait (<25%) is significantly more
- 415 likely. This approach is based on the idea that the two strides associated with the limbs
- that swing in sync (assuming either a trot or pace gait) are not separated by time, and
- 417 consequently will not differ due to small changes in speed. In contrast, the other limb pair,
- 418 $\,$ which is out of sync, will record such speed changes the later of the two strides tends to
- 419 be longer than the earlier if speed increases.
- In our implementation, we compute the differences between contralateral strides (A and B
 in Fig. S1D) as well as ipsilateral strides (A and C in Fig. S1D) along a trackway. We then
 perform a chi-squared test to check for significant differences between these differences. If
 the test is significant (p<0.05), we may conclude that a trot is more likely than a pace gait if
 the associated mean of the differences is smaller, or vice versa. When analysing multiple
 trackways together, we scale the trackways to a common mean stride length, and then
 perform a single test of the combined stride differences of all trackways.
- 427 While this approach did not reach significance for most mammal trackways (in which case 428 its result should be disregarded), it tended to be significant if trackways of separate
- 429 individuals that use similar limb phases are combined.
- 430
- 431 Validation

432 To test for the presence of signal, we use regressions of GAD against stride length to test 433 if a trackway contains relevant signal (Fig. 3C). For each half-step cycle, we pair GAD-0 and GAD-50 with the preceding pes stride (i.e., the stride that is completed when the 434 435 relevant footfalls for the two GAD-measurements do occur). If this stride is not available 436 (as is usually the case at the beginning of a trackway), we instead use the mean of the two 437 subsequent manus strides (left and right). We then plot both GAD-0 and GAD-50 against 438 stride length, and fit a linear regression for each of the two GADs. For visualisation 439 purposes, we also add GAD-25 to the plot. It has to be noted that the GAD-25 point cloud 440 tends to deviate less from the regression line because GAD-25 is measured based on five 441 footprints while GAD-0 and GAD-50 are measured based on only two footprints.

- 442
- 443 Changes in limb phase

444 Our methods assume that limb phase remains approximately constant throughout the

trackway, which is not necessarily the case. We here discuss the possible effects of withintrackway changes of limb phase on our results.

Variation in limb phase that is not correlated with stride length is expected to increase
noise, but will not skew overall results (although over- or underestimations due to such
noise may occur by chance when few data points are available). More concerning are
cases in which limb phase changes with stride length: a positive correlation between limb

451 phase and stride length generally leads to an overestimation of the limb phase, while a452 negative correlation leads to an underestimation.

Correlation between limb phase and stride length is most obvious when the change occurs 453 abruptly rather than gradual. Fig. S2A combines the walking trackway and trotting 454 455 trackway sections of the dog "Elli" (actual limb phases of 18% and 49%, respectively), and performed our analysis as if both sections would represent a single trackway. The GAD vs 456 457 Stride plot shows the distinct gait transition, with stride lengths below 75 cm representing 458 the walks at low limb phases, and stride lengths above 75 cm representing trots. The change in limb phase determines the slopes of the regression lines, and all three 459 460 regression lines are rising, indicating either changes in limb phase or body size, therefore invalidating the signal. More difficult to detect, however, are cases where limb phase 461 462 changes are smaller and the correlation is continuous rather than abrupt.

The effects of perfect correlations between limb phase and stride length were explored using simulated trackways generated based on formula 1 (Fig. S2B). We define Δ Ip as the total shift in limb phase that occurs (8% in Fig. S2B) and Δ st as the range of stride lengths that shows a correlation with limb phase (2 m in Fig. S2B).

467 From the simulations, we derive the following observations:

- 468 1) positive correlation between stride length and limb phase leads to overestimation,
 469 and negative correlation to underestimation of the actually employed limb phase.
- the slopes will be slightly curved upwards (if correlation is positive) or downwards (if
 correlation is negative) even when correlation is perfect.
- 472 3) The error (over or underestimation) increases with
- 473 1) the $\Delta lp/\Delta st$ ratio and
- 474 2) the ratio between the minimum of the stride range and the GAD

Limb phase remained relatively stable within the walks and trots of the dogs "Elli" and
"Paul", while in the walks of the dog "Penny" limb phase varies from 9% to 28% (run
means range from 11–18%) (Table S2). The run means show a negative correlation (Fig.
S2C), but this correlation is much less evident when all values are plotted (Fig. S3D),
indicating that limb phase is not tightly controlled by stride length. The limb phase
estimated with our main approach is therefore only a slight underestimation (11% instead
of 15%); the estimate given by approach 2 is slightly lower (8%).

Elephants differ from other large mammals in lacking a discrete gait transition when
switching from walking to running ^{12,23–24}. They do, however, increase their limb phase from
around 15% towards 25% ¹²; such increases may possibly result in significant overestimations when limb phase is calculated from trackways using our methods. We here
analyse video footage of the elephant individual "Srisiam". Footfall coordinates and limb
phases were extracted from the first 15 trials. Trials 001–008 and 014–015 represent
walks, while in trials 009–012 the elephant was agitated to run.

Limb phases of 14–16% were employed in the "walks" and limb phases of 20–22% were

490 employed in the "runs". Stride length varied from around 190 to 240 cm in the "walks", and

491 from around 290 to 310 cm in the "runs" (Fig. S2E). Combining all trials into a single

492 trackway, the limb phase was significantly overestimated (33% limb phase) (Fig. S2F).

493 However, limb phase does not change with speed when only the walks, or only the runs,

are considered: Our main approach suggests a limb phase of 15% for the "walks" and 22%

495 for the "runs", closely matching the actual values (Fig. S2G–H).

496 Our methods may overestimate limb phase when the latter is positively correlated with 497 stride length, and underestimate it when this correlation is negative. A gradual shift in limb 498 phase was observed in one of the dogs ("Penny") and in the elephant ("Srisiam"), but is absent in the horses. In the dog "Penny", the negative correlation between limb phase and 499 500 speed is only weak, resulting in an underestimation of the limb phase that is negligible. In the elephant "Srisiam", the overestimation is significant, but analysing the slow-speed and 501 502 high-speed sections separately produced very accurate estimates (errors of 0% and 1% 503 limb phase, respectively).

We conclude that, in modern mammals, correlations between limb phase and stride length may occur but tend to manifest only when stride length increases substantially. Moderate increases in stride length in the elephant "Srisiam" are not, or only weakly, correlated with limb phase, but were substantial enough to produce accurate estimates. While this does not rule out the possibility that such moderate stride length changes may occasionally show problematic correlations with limb phase, these are expected to be inconsistent within and between trackways.

511 Consequently, the risk of over- or underestimations due to changes in limb phase may be 512 reduced by 1) analysing multiple trackways of the same trackmaker taxon and 2) perform 513 separate analysis on separate sections of longer trackways (e.g., low-speed and high-514 speed, or first half and second half). If the estimated limb phase is consistent for multiple 515 trackways and trackway sections, the possibility of a significant over- or underestimation 516 may be considered unlikely.

- 517
- 518 Uncertain coupling values

519 The coupling value is the number of strides that separate pes- and manus tracks that

520 belong to the same step cycle ¹⁵. It can be high in long-bodied and short-legged animals or 521 in such that make short steps (i.e., the manus may be several step cycles ahead of the

522 pes as seen in a trackway).

523 While coupling values can be assumed *a priori* in most cases based on rough constrains 524 on trackmaker anatomy, they may be ambiguous where trackmaker anatomy is very poorly 525 constrained, especially in some long-bodied sprawling tetrapods. In such cases, coupling 526 value may be determined by comparing the variability of GAD measurements that reflect 527 the separate possibilities; the GAD measured between the pes and manus prints that are

- 528 part of the same step-cycle should be expected to vary considerably less if speed changes
- 529 (that are measurable as changes in stride length) occur. Evaluating this hypothesis on
- 530 modern long-bodied sprawling tetrapods is, however, beyond the scope of the present
- 531 **study**.
- 532
- 533 Additional resources

534 Animated step cycles of the sauropod Q1 that visualise our results are provided at 535 https://doi.org/10.0.23.196/m9.figshare.18995255.

- 536
- 537 DATA AND SOFTWARE AVAILABILITY
- 538 The data used in this paper are available at https://doi.org/10.6084/m9.figshare.19078337
- and include footfall coordinates of all trackways (mammals and sauropods) as well asindividual results for all trackways.
- 541 R scripts used in this paper are available at https://doi.org/10.6084/m9.figshare.19078337.
- 542 Updated versions of the scripts will be hosted in our GitHub repository
- 543 (https://github.com/JensLallensack/trackway-tools).
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- 545
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