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1	Prevalence and clinical outcomes of myocarditis and pericarditis in 718,365 COVID-19
2	patients
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- 25 Abstract
- 26

27 Background

- 28 COVID-19 has a wide spectrum of cardiovascular sequelae including myocarditis and
- 29 pericarditis; however, the prevalence and clinical impact is unclear. We investigated the
- 30 prevalence of new-onset myocarditis/pericarditis and associated adverse cardiovascular
- 31 events in patients with COVID-19.

32 Methods and Results

- 33 A retrospective cohort study was conducted using electronic medical records from a global
- 34 federated health research network. Patients were included based on a diagnosis of COVID-
- 35 19 and new-onset myocarditis or pericarditis. Patients with COVID-19 and
- 36 myocarditis/pericarditis were 1:1 propensity score matched for age, sex, race and co-
- 37 morbidities to patients with COVID-19 but without myocarditis/pericarditis. The outcomes
- 38 of interest were 6-month all-cause mortality, hospitalisation, cardiac arrest, incident heart
- 39 failure, incident atrial fibrillation, and acute myocardial infarction, comparing patients with
- 40 and without myocarditis/pericarditis.
- 41 Of 718,365 patients with COVID-19, 35,820 (5.0%) developed new onset myocarditis and
- 42 10,706 (1.5%) developed new onset pericarditis. Six-month all-cause mortality was 3.9%
- 43 (n=702) in patients with myocarditis and 2.9% (n=523) in matched controls (*P*<0.0001), odds
- ratio 1.36 (95% confidence interval (CI): 1.21-1.53). Six-month all-cause mortality was 15.5%
- 45 (n=816) for pericarditis and 6.7% (n=356) in matched controls (P<0.0001), odds ratio 2.55
- 46 (95% CI: 2.24-2.91). Receiving critical care was associated with significantly higher odds of
- 47 mortality for patients with myocarditis and pericarditis. Patients with pericarditis seemed to
- 48 associate with more new-onset cardiovascular sequelae than those with myocarditis. This
- 49 finding was consistent when looking at pre-COVID-19 data with pneumonia patients.
- 50 Conclusions
- 51 Patients with COVID-19 who present with myocarditis/pericarditis associate with increased
- 52 odds of major adverse events and new-onset cardiovascular sequelae.
- 53

54 Keywords

- 55 COVID-19; Cardiovascular sequelae; Myocarditis; Pericarditis; MACE
- 56
- 57

- 58 **Declarations**
- 59

60 Funding

61 No specific funding was received for this study. TriNetX LLC., funded the acquisition of the 62 data.

63 Conflicts of interest/Competing interests

64 Benjamin JR Buckley has received funding from Bristol-Myers Squibb (BMS)/Pfizer. Stephanie 65 L Harrison has received funding from BMS. Elnara Fazio-Eynullayeva and Paula Underhill are 66 employees of TriNetX LLC. Deirdre A Lane has received investigator-initiated educational 67 grants from BMS, has been a speaker for Boehringer Ingeheim, and BMS/Pfizer and has 68 consulted for BMS, Boehringer Ingelheim, and Daiichi-Sankyo. Gregory YH Lip: consultant for 69 Bayer/Janssen, BMS/Pfizer, Medtronic, Boehringer Ingelheim, Novartis, Verseon and Daiichi-70 Sankyo and speaker for Bayer, BMS/Pfizer, Medtronic, Boehringer Ingelheim, and Daiichi-71 Sankyo. No fees are directly received personally.

72 Availability of data and material

To gain access to the data in the TriNetX research network, a request can be made to TriNetX
(https://live.trinetx.com), but costs may be incurred, a data sharing agreement would be
necessary, and no patient identifiable information can be obtained.

76 Code availability

77 Not applicable

78 Ethics approval

As a federated network, research studies using the TriNetX network do not require ethicalapproval or patient informed consent as no patient identifiable information is received.

- 81 **Consent to participate**
- 82 Not applicable
- 83 Consent for publication
- 84 Not applicable

85 Introduction

86 Myocarditis and pericarditis are non-ischaemic inflammatory diseases of the myocardium and 87 pericardium, respectively.(1,2) The clinical presentation of these two conditions are highly 88 variable and may be proceeded by coryzal symptoms or non-specific features of general 89 malaise, fatigue, or diarrhoea. At the other extreme, cardiac inflammation may stimulate 90 myocardial infarction, symptomatic arrhythmias, heart failure, cardiogenic shock, or sudden 91 cardiac death.(3) Although the aetiology of myocarditis and pericarditis is heterogenous, 92 infection is the most common cause, (1) with viral pathogens the most commonly implicated 93 in the developed world.(4)

94

95 The hallmark of COVID-19 is respiratory involvement, ranging from mild upper respiratory 96 symptoms to acute respiratory distress syndrome.(5) However, severe COVID-19 has been implicated in multi-organ involvement, with several observational case series showing a 97 98 significant proportion of cardiac involvement among hospitalized patients.(6-8) Moreover, 99 cardiac injury seems to be significantly correlated with increased in-hospital mortality in 100 COVID-19 patients.(7) COVID-19 has a wide spectrum of cardiovascular sequelae, including 101 acute-onset heart failure, arrhythmias, acute coronary syndrome, myocarditis, and cardiac 102 arrest. A growing body of evidence has described cardiac involvement in COVID-19, including 103 myocarditis,(9,10), pericarditis,(11) or more generally, increased biomarkers of cardiac 104 injury,(12) all of which may associate with poor prognosis.(13,14)

105

Despite recent case reports, the prevalence and clinical impact of new-onset presentation of myocarditis and pericarditis in adults with COVID-19 is unclear. Therefore, using a global federated health research network, the aim of the present study was to investigate the prevalence and associated adverse events and cardiovascular sequelae in patients with COVID-19 who also present with new-onset myocarditis/pericarditis.

112 Methods

113 Study Design and Participants

114 A retrospective observational study was conducted within TriNetX, a global federated health 115 research network with access to electronic medical records (EMRs) from participating 116 healthcare organisations including academic medical centres, specialty physician practices, 117 and community hospitals, predominantly in the United States. The TriNetX network was 118 searched on August 19, 2021 for patients with COVID-19 aged 18-90 years identified in EMRs 119 between January 20, 2020 and June 1, 2020. In addition, a pre-COVID-19 cohort was included 120 (between January 20, 2019 and June 1, 2019) presenting patients with an EMR of pneumonia 121 to validate the prevalence and associated outcomes of myocarditis/pericarditis observed in 122 the COVID-19 cohorts. Reporting of this study conforms to broad EQUATOR guidelines(15) 123 and the more specific Strengthening the Reporting of Observational Studies in Epidemiology 124 (STROBE) guidelines.(16)

125

126 Patients with COVID-19 were identified following criteria provided by TriNetX based on 127 Centers for Disease Control and Prevention (CDC) coding guidelines (17). Patients were 128 included if they had one or more of the following International Classification of Diseases, 129 Tenth Revision, Clinical Modification (ICD-10-CM) codes in patient EMRs: U07.1 COVID-19; 130 B97.29 Other coronavirus as the cause of diseases classified elsewhere; B34.2 Coronavirus 131 infection, unspecified; or a positive test result identified with COVID-19 specific laboratory 132 Logical Observation Identifiers Names and Codes (LOINCs). Myocarditis was identified with 133 the following ICD-10-CM codes in patient EMRs: I40, Acute Myocarditis; I41, Myocarditis in 134 diseases classified elsewhere; I51.4 Myocarditis, unspecified; B97.8 Other viral agents as the 135 cause of diseases classified elsewhere; or B89, Unspecified parasitic disease. Pericarditis was 136 identified with the following ICD-10-CM codes in patient EMRs: I30, Acute pericarditis; I31, 137 Other diseases of the pericardium; I32, Pericarditis in disease classified elsewhere. 138 Pneumonia (used to validate the COVID-19 analyses) was identified by ICD-10-CM code, J18. 139

140 The TriNetX platform only uses aggregated counts and statistical summaries of de-identified 141 information. No Protected Health Information or personal data is made available to users of 142 the platform. Thus, research studies using the TriNetX research network do not require ethical approval as no identifiable patient data is received. TriNetX database performs extensive
internal data quality assessments with every refresh based on conformance, completeness,
and plausibility.(18) This includes the evaluation of clinical correctness and agreements of
network insights with external information.

147

148 Data Collection

The TriNetX network was searched on August 19, 2020 and an anonymised dataset of patients was analysed within the online database. The COVID-19 cohort, both with and without myocarditis/pericarditis, were aged ≥18 years with a diagnosis between January 20, 2020 (date COVID-19 first confirmed in the US)(19) and June 1, 2020 (allowing for 6-month followup). At the time of the search, 53 participating healthcare organisations had data available for patients who met the study inclusion criteria.

155

156 Statistical Analysis

157 All statistical analyses were completed within the TriNetX online platform. Baseline 158 characteristics were compared using chi-squared tests for categorical variables and 159 independent-sample t-tests for continuous variables. Propensity score matching (PSM) was 160 used to control for differences in the cohorts and/or known risk factors for cardiovascular 161 disease and all-cause mortality. PSM was therefore used to match patients with COVID-19 162 and myocarditis/pericarditis to patients with COVID-19 without myocarditis/pericarditis. PSM 163 was also conducted for the pneumonia cohort analyses with and without myocarditis/pericarditis. Patients were 1:1 PSM using logistic regression for age, sex, race, 164 hypertensive diseases, ischaemic heart diseases, heart failure, cerebrovascular diseases, 165 166 diabetes mellitus, chronic kidney disease, diseases of the respiratory system, diseases of the 167 digestive system, and diseases of the nervous system. These variables were chosen because 168 they are established risk factors for cardiovascular disease and/or mortality or were significantly different between the two cohorts. The TriNetX platform uses 'greedy nearest-169 170 neighbour matching' with a caliper of 0.1 pooled standard deviations. Following PSM, logistic 171 regressions produced odds ratios with 95% confidence intervals (CIs) for 6-month incidence of all-cause mortality, hospitalisation, cardiac arrest, incident heart failure, incident atrial 172 173 fibrillation (AF), and acute myocardial infarction, comparing patients with/without 174 myocarditis and pericarditis. Statistical significance was set at P<0.05.

175

176 Results

177 Patient characteristics

178 The COVID-19 cohort used in this study was distributed between the four large Census Bureau 179 designated regions of the US as follows: 17% in the Northeast, 19% in the Midwest, 45% in 180 the South, and 19% in the West. Of 718,365 patients with COVID-19, 35,820 (5.0%) developed 181 new-onset myocarditis and 10,706 (1.5%) developed new-onset pericarditis. Compared to propensity matched controls, patients who developed myocarditis/pericarditis had higher 182 183 proportions of comorbidities. Although not all variables were statistically non-significant, 184 following PSM, the myocarditis (Supplementary Table 1) and pericarditis (Supplementary 185 Table 2) cohorts were deemed well-matched.

186

187 Myocarditis and COVID-19

188 Following PSM, six-month all-cause mortality was 3.9% (n=702) in patients with COVID-19 189 who presented with myocarditis and 2.9% (n=523) in the matched controls without 190 myocarditis (P<0.0001), odds ratio 1.36 (95% CI: 1.21-1.53). Associated odds of 191 rehospitalisation (odds ratio 1.90 (95% CI 1.80-2.01) and acute myocardial infarction (odds 192 ratio 1.37 (95% CI 1.17-1.61) were also higher in the myocarditis cohort compared to controls. 193 Associated odds of cardiac arrest, incident heart failure, and incident AF, were not 194 significantly different between the myocarditis cohort and controls. Among subgroups, 195 mortality was higher in all except those aged <45 years and those not hospitalised following 196 COVID-19 (Table 1).

197

198 Pericarditis and COVID-19

Following PSM, six-month all-cause mortality was 15.5% (n=816) in patients with COVID-19 who presented with new-onset pericarditis and 6.7% (n=356) in the matched controls without pericarditis (P<0.0001), odds ratio 2.55 (95% CI: 2.24-2.91). Associated odds of rehospitalisation, cardiac arrest, incident heart failure, incident AF, and acute myocardial infarction were also significantly higher in the pericarditis cohort compared to controls. Mortality was higher among all subgroups (**Table 2**).

206 Pneumonia and myocarditis/pericarditis (Pre-COVID-19 analyses)

Of the total pneumonia cases (n=128,939), 3.1% (n=4,012) developed myocarditis and 1.9% (n=2,497) developed pericarditis. Myocarditis was associated with significantly higher odds of rehospitalisation compared to controls. Pericarditis was associated with significantly higher odds for mortality, rehospitalisation, cardiac arrest, heart failure, AF, and myocardial infarction. The complete prevalence and outcome data for the pneumonia cohort is presented in **Supplementary Table 3**.

213

214 Discussion

215 Collectively, this retrospective analysis represents the largest follow-up data set of its kind for 216 patients with COVID-19 and presentation of new-onset myocarditis or pericarditis. The 217 findings of the present study suggest that myocarditis and pericarditis in patients with COVID-218 19 associates with significantly increased odds of all-cause mortality, rehospitalisation, and 219 acute myocardial infarction. Associated odds of cardiac arrest, incident heart failure, and 220 incident AF, were higher in patients with pericarditis compared to matched controls. In 221 contrast, associated odds of cardiac arrest, incident heart failure, and incident AF were not 222 higher in patients with myocarditis compared to matched controls. Therefore, although new-223 onset myocarditis was more prevalent (5.0%) than pericarditis (1.5%) in patients with COVID-224 19, the latter seems to be associated with more substantial adverse events and cardiovascular 225 sequelae.

226

227 Previous work has demonstrated that in 222 non-COVID-19, biopsy-proven, viral myocarditis 228 cases, the rate of mortality was 19.2% in 4.7 years of follow-up.(20) In a nationwide Danish 229 registry of 8,077 patients with pericarditis, the absolute 1-year mortality was 2.9% compared 230 to 0.8% in matched controls without pericarditis.(21) In the present study, results 231 demonstrate the associated odds of adverse events and cardiovascular sequelae between COVID-19 patients with myocarditis/pericarditis and patients with COVID-19 only. Odds of 232 mortality were 1.90 (95% CI 1.80-2.01) and 2.55 (95% CI: 2.24-2.91) in patients with 233 234 myocarditis and pericarditis, respectively. Although this has not been previously investigated, 235 these findings are complimentary to that of Shi et al who demonstrated that cardiac injury 236 was common (19.7%) among 416 hospitalised patients with COVID-19 in Wuhan, China.(7) 237 Moreover, patients with cardiac injury had higher mortality (51.2%) than those without 238 cardiac injury (4.5%; P < .001), and those who received intensive care, were more likely to 239 have cardiac injury.

240

241 Although we did not investigate cardiac injury per se, we also found an increasing magnitude of the odds of mortality associated with COVID-19 severity, measured by proxy from 242 243 hospitalisation records. Indeed, data suggested a dose-response, with 68% (1.40-2.00) and 244 195% (2.19-3.97) higher odds of all-cause mortality in COVID-19 patients with myocarditis 245 who were either hospitalised or received critical care, respectively. Moreover, there was no 246 increase in the odds of mortality in COVID-19 patients with myocarditis who were not 247 hospitalised with COVID-19 initially. Similarly, for COVID-19 patients with pericarditis, there 248 were increasing odds of all-cause mortality in patients who were not hospitalised, 249 hospitalised, and received critical care following a COVID-19 diagnosis. Thus, the clinical 250 importance of new-onset myocarditis/pericarditis in patients with COVID-19 seems to be 251 dependent on the severity of initial viral infection.

252

253 In a cohort of German patients recently recovered from COVID-19, cardiac magnetic 254 resonance revealed ongoing myocardial inflammation in 60 patients (60%), independent of 255 pre-existing conditions, severity, overall course of the acute illness, and time from the original 256 diagnosis.(6) This aligns with previous non-COVID-19 research that demonstrated clinical 257 presentation with congestive heart failure, ventricular tachycardia/ventricular fibrillation, or 258 AF/atrial flutter did not predict survival in patients with myocarditis.(22) In contrast and in 259 the present study, patients who presented with new-onset myocarditis/pericarditis had 260 higher proportions of comorbidities including cardiovascular, metabolic, nervous, and 261 digestive conditions. This is in keeping with a previous study whereby patients with pre-262 existing cardiovascular diseases seemed to be more susceptible to COVID-19-induced heart 263 injury. Specifically, 30% and 60% of patients with cardiac injury had a history of coronary heart 264 disease and hypertension, respectively; which were significantly more prevalent than in those 265 without cardiac injury.(7)

266

In a single case of COVID-19 in a young child, it was proposed that there was a direct effect of
the SARS-CoV-2 infection on cardiac tissue, which in this case, was a major contributor to the

269 presentation of new-onset myocarditis and heart failure.(23) This case, among others(24,25) 270 provides evidence that COVID-19 may be a multisystem inflammatory syndrome(26) and as 271 in the present study, its cardiovascular sequelae present a significant risk to health. It has 272 been proposed that the pathophysiology of viral myocarditis is a combination of direct cell 273 injury and T-lymphocyte-mediated cytotoxicity, which can be augmented by the cytokine 274 storm syndrome.(27) Mechanisms for COVID-19 associated myocarditis/pericarditis may 275 therefore be similar, given that myocardial localization of COVID-19 has been reported in a 276 case study of a 69-year-old who received endomyocardial biopsy.(28)

277

278 Limitations

279 A number of limitations are noteworthy. First, the data were collected from health care 280 organization EMR databases and some health conditions may be underreported. Indeed, 281 recording of ICD codes in administrative datasets may vary by factors such as age, number of 282 comorbidities, severity of illness, length of hospitalisation, and whether in-hospital death 283 occurred.(29) Specifically, the method of diagnosis of myocarditis/pericarditis from EMRs is 284 unknown. However, we have investigated the prevalence and clinical outcomes of 285 myocarditis and pericarditis following a pneumonia diagnosis in January-June 2019 (i.e., pre-286 COVID-19), in order to verify associations with cardiovascular outcomes in the COVID-19 287 cohort. Findings revealed that of the total pneumonia cases (n=128,939), 3.1% (n=4,012) 288 developed myocarditis and 1.9% (n=2,497) developed pericarditis (lower, albeit not dissimilar 289 from prevalence in the COVID-19 cohort). In the pneumonia cohort, myocarditis was 290 associated with significantly higher odds of rehospitalisation only, whereas pericarditis 291 associated with significantly higher odds for all outcomes (mortality, hospitalisation, cardiac 292 arrest, heart failure, atrial fibrillation, and myocardial infarction; Supplementary Table 3). 293 This is largely aligned with the higher odds of cardiovascular outcomes observed with 294 pericarditis compared to myocarditis in the COVID-19 cohort, presented in this paper. We 295 could also not determine the influence of attending different healthcare organizations due to 296 data privacy restrictions. In addition, outcomes which occurred outside of the TriNetX 297 network are not well captured. Second, the data were from multiple healthcare organizations 298 in the United States but may not be representative of the wider population, thus the 299 generalisability of the results beyond this cohort is unclear. Third, longer follow-up time

periods (beyond 6-months) would be interesting, particularly for mortality and cardiovascular 300 301 disease outcomes. Fourth, immortal time bias needs to be considered when interpreting rates 302 of myocarditis and pericarditis in patients with COVID-19. It is possible that the rates reported 303 in this study are an underrepresentation of the true prevalence. Further, our results should 304 not be interpreted as causal i.e., it can only be interpreted that new-onset myocarditis/pericarditis was associated with higher mortality rates seen in the present study; 305 306 we do not know if myocarditis/pericarditis are determinants, contributors, or markers of 307 effect. Indeed, residual confounding may have impacted our results, including lifestyle 308 factors, socioeconomic status, and other health markers/conditions, which were not available 309 from EMRs. Subsequent prospective work is needed to further investigate the cardiac 310 involvement of COVID-19, especially in patients presenting with severe cases.

311

312 Conclusion

313 Findings from the present study suggest that COVID-19 patients who present with new-onset 314 myocarditis/pericarditis are associated with significantly higher odds of all-cause mortality, 315 relative to patients with COVID-19 only. Further, the severity of COVID-19 seems to be 316 associated with more severe outcomes among patients with myocarditis and pericarditis. 317 Finally, although myocarditis was more prevalent, pericarditis seemed to be associated with higher odds of mortality and new-onset cardiovascular sequelae (a finding that we have 318 319 confirmed in pre-COVID-19 pneumonia analyses). Therefore, the targeting of early 320 intervention and monitoring for patients with new-onset myocarditis/pericarditis following a 321 COVID-19 diagnosis should be considered for populations with pre-existing cardiovascular 322 disease and risk factors.

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