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Exploring the origin of the extended main-sequence turn-off in M37 through the white dwarf cooling sequence

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ABSTRACT

We use new observations from the Canada–France–Hawaii Telescope to study the white dwarf cooling sequence of the open cluster M37, a cluster that displays an extended main-sequence turn-off and, according to a recent photometric analysis, also a spread of initial chemical composition. By taking advantage of a first epoch collected in 1999 with the same telescope, we have been able to calculate proper motions for sources as faint as $g \sim 26$ (about ~ 6 mag fainter than the *Gaia* limit), allowing us to separate cluster members from field stars. This has enabled us to isolate a sample of the white dwarf population of M37, reaching the end of the cooling sequence (at $g \sim 23.5$). Here, the derived atlas and calibrated catalogue of the sources in the field of view is publicly released as supplementary online material. Finally, we present an exhaustive comparison of the white dwarf luminosity function with theoretical models, which has allowed us to exclude the age-spread scenario as the main responsible for the extended turn-off seen in the cluster colour–magnitude diagram.

Key words: techniques: photometric – astrometry – white dwarfs – open clusters and associations: individual: M37 (NGC 2099) – Hertzsprung – Russell and colour – magnitude diagrams.

1 INTRODUCTION

During the last few years, the unprecedented quality of the photometric and astrometric data obtained with the *Gaia* spacecraft has greatly refined our knowledge of the Milky Way open clusters (OCs). The OC census has improved through the rejection of thousands of misidentified OCs in the literature and the discovery of several hundreds new confirmed OCs (see e.g. Cantat-Gaudin et al. 2018; Castro-Ginard et al. 2018, for some examples); moreover, the improved determination of stellar memberships and orbital parameters has provided us with a better characterization of individual clusters.

In this respect, the analysis of the exquisite, high-precision *Gaia* colour–magnitude diagrams (CMDs) of *bona fide* members of selected OCs, has recently revealed the presence of extended main-sequence (MS) turn-off (TO) regions and broadened MSs, that cannot be originated by field contamination, binaries and differential reddening alone (see e.g. Bastian et al. 2018; Cordoni et al. 2018; Marino et al. 2018b; Griggio et al. 2022b, and references therein). These features are similar to what is observed in the Magellanic where star clusters younger than about 2 Gyr display extended TO regions (see e.g. Mackey & Broby Nielsen 2007; Mackey et al. 2008; Goudfrooij et al. 2014; Piatti & Bastian 2016, and references therein), and clusters younger than ~ 600 –700 Myr display also split

MSs (see e.g. Correnti et al. 2017; Li et al. 2017; Marino et al. 2018a, and references therein).

Whilst there is mounting evidence that rotation – as opposed to an age range among the cluster population – is the main culprit to explain these features in the CMD of both open OCs and Magellanic Cloud clusters (see e.g. Bastian et al. 2018; Kamann et al. 2018, 2020, 2023, and references therein), our photometric analysis of the ~ 500 Myr old OC M37 (NGC 2099) – with an extended TO and no split MS – has targeted a magnitude range populated by stars with convective envelopes, hence predicted to be in any case slow rotators, disclosing the presence of a sizeable initial chemical abundance spread, which may or may not be somehow related to the extended TO (Griggio et al. 2022b). We made use of synthetic stellar population and differential colour–colour diagrams using a combination of *Gaia* and *Sloan* photometry to show that the observed MS colour spread in the high-precision *Gaia* Early Data Release 3 (EDR3; Gaia Collaboration 2021) CMD can only be reproduced by differential reddening and unresolved binaries plus either a metallicity spread $\Delta[\text{Fe}/\text{H}] \sim 0.15$, or a range of initial helium mass fractions $\Delta Y \sim 0.10$. As discussed in Griggio et al. (2022b), the existing spectroscopic (high and medium resolution) measurements of the cluster stars’ metallicity provide indications both in favour and against the existence of a $[\text{Fe}/\text{H}]$ spread (in which case our results would point to a sizeable helium abundance spread), but a high-precision differential abundance analysis of a consistent sample of cluster stars is needed to address this issue spectroscopically.

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It is worth noticing that the existence of chemical abundance spreads in low-mass clusters like OCs (M37 has an estimated mass of just 1 000–1 500 M_{\odot} , see Piskunov et al. 2008) is unexpected and hard to explain, and has important implications not only for models of cluster formation and the test of stellar models on CMDs of OCs, but also for the technique of chemical tagging (Freeman & Bland-Hawthorn 2002), based on the idea that clustering in chemical space can in principle associate individual field stars with their birth clusters, assumed chemically homogeneous. If OCs are commonly born with a sizeable internal [Fe/H] range, the suitability of this technique for field stars in the disc of the Milky Way is challenged.

In this paper, we present a new photometric analysis of M37's white dwarf (WD) cooling sequence (CS), which improves upon earlier results by Kalirai et al. (2001b) in several ways. The area covered by our observations is over three times larger than Kalirai et al. (2001b), who also used the outer regions of their mosaic to estimate field stars contamination, which are however now known to host several members stars (Griggio et al. 2022a). For our field decontamination, we have used a safer region much further away from the cluster core, and in addition we exploited their data to obtain proper motions with a time baseline of 23 yr, which allowed us to determine a sample of WD members.

Taking advantage of this new data we have performed a theoretical analysis of the observed CS to seek for additional constraints on the origin of the cluster extended TO and its chemical abundance spread. The *present-day* low total mass of M37 seems to preclude the presence of multiple generations of stars and hence of an age spread according to the scenario presented by Goudfrooij et al. (2014), because the cluster should not be able to retain the ejecta of those first-generation stars that can provide material for further episodes of star formation (asymptotic giant branch stars, supernovae). However, the chemical composition spread we detected photometrically seems to suggest otherwise; hence, it is important to derive independent constraints about the origin of the observed extended TO. The study of the WD cooling sequence and its consistency – or lack of – with ages inferred from the TO can provide us with these independent clues.

We also publicly release the catalogue with magnitudes and proper motions of the covered region, containing more than 120 000 sources.

The outline of the paper is as follows. Section 2 presents our new observations, the data reduction process, and the artificial star tests; Sections 3 and 4 present the observed WD CS and its theoretical analysis, respectively, and are followed by Section 5 with the conclusions.

2 OBSERVATIONS

The main data employed in this article was obtained with the MegaPrime camera at *CFHT*, between 2022 September 27 and 29 (PI: Nardiello). The MegaPrime camera is composed of forty 2048×4612 pixels CCDs, with a pixel scale of ~ 0.187 arcsec px^{-1} . We collected a set of three images with an exposure time of 300 s, and three images of 5 s, both in the *Sloan* filters *g* and *r*. The observations in *g* were repeated twice, for a total of eighteen images, twelve in *g* and six in *r*. The data were dithered enough to cover the CCDs' gaps, with a total field of view of about 1.2×1.0 square degrees; a three-colour stacked image of the data is shown in Fig. 1.

Since the brightest members of M37 MS and all the red clump stars were saturated even in the short exposures, we collected a set of 50 dithered images with exposure times of 10 s in both *g* and *r* with the Asiago Schmidt telescope, to complete the photometry of the brighter part of the CMD. The Asiago Schmidt telescope has a

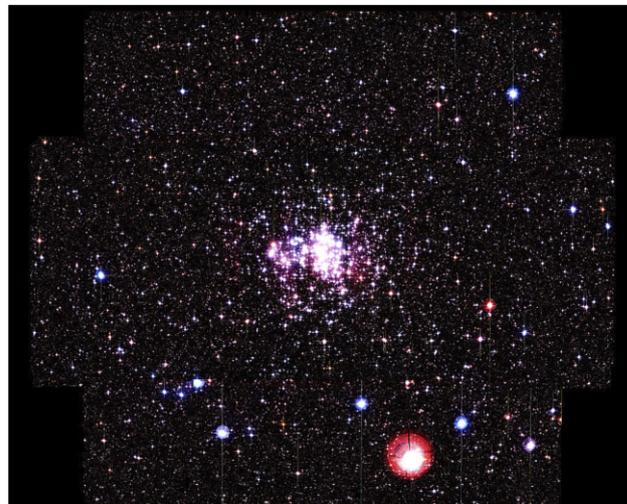


Figure 1. Three-colour view of the field of view. We used *g* as blue, *r* as red, and a combination of *gr* for the green colour.

Table 1. Summary of the observations.

Filter	Exp. time (s)	Number of images	Average seeing (arcsec)
MegaPrime			
<i>g</i>	300 s	6	0.55
<i>g</i>	5 s	6	0.58
<i>r</i>	300 s	3	0.56
<i>r</i>	5 s	3	0.70
Schmidt			
<i>g</i>	10 s	50	1.86
<i>r</i>	10 s	50	1.97
CFH12K			
<i>B</i>	300 s	3	0.79
<i>V</i>	300 s	3	0.81

~ 1 square degree field of view, and similar data collected with this instrument were described in Griggio et al. (2022a).

We also took advantage of an early epoch collected at *CFHT* (with the pioneering CHF12K camera, 12 CCDs, ~ 0.206 arcsec px^{-1} , 42×28 square arcmin) in 1999 (PI: Fahlman, Kalirai et al. 2001a), to obtain proper motions. The CHF12K was one of the first wide-field CCD camera to become operative, and these images were collected in the *Johnson B* and *V* filters. We used three images per filter, with an exposure time of 300 s.

A log of the observations is reported in Table 1.

2.1 Preliminary photometry

As a first step, we derived a ‘preliminary photometry,’ i.e. we measured the flux and position of the brighter sources, that are then used as a starting point to correct for the geometric distortion and to compute the transformations between the different exposures. We treated each CCD of each exposure as an independent image; in the following, we will use the terms ‘exposure’ and ‘image’ to refer to the image associated with the single CCD. Using a version of the software by Anderson et al. (2006) adapted to the *CFHT* data, we computed a 5×9 grid of empirical point spread functions (PSFs) for each image to take into account for the time variations; the grid

is necessary to account for the spatial variation of the PSF across the CCD. Each PSF is derived empirically from bright, unsaturated and isolated stars, and to each point on the image we associated a local PSF by a bilinear interpolation of the four closest PSFs in the grid. We then used the software described in Anderson et al. (2006) to find and measure the position and flux of the sources in the images by using the local PSF. The software outputs a catalogue with positions and instrumental magnitudes of the sources for each exposure.

2.2 Geometric distortion

Given that one of our goals was to measure proper motions, we needed accurate positions in both epochs. To this purpose, we corrected the geometric distortion following the same approach for both the detectors CFH12K and MegaPrime (the procedure is similar to the one adopted in Griggio et al. 2022a).

We selected bright ($g_{\text{instr}} < -10$), unsaturated sources from each catalogue derived by the preliminary photometry. We cross-identified the sources in our catalogues with the sources in the *Gaia* DR3 catalogues, projected onto the tangent plane of each image in its central pixel, after transforming the positions to the epoch of each observation. We then fitted the residuals between the *Gaia* positions and the positions measured in our images with a third-order polynomial, and applied the 75 per cent of the correction. We then repeated the process, starting with the corrected positions of the previous iteration, reaching convergence after 30 iterations.

After the correction, the residuals' dispersion for bright sources is smaller than 0.05 pixels in both detectors, corresponding to ~ 10 mas for the 1999 data and to ~ 9 mas for the 2022 data; summing up these residuals in quadrature we obtain a positional dispersion of ~ 14 mas, to be diluted over a time-baseline of ~ 23 yr, i.e. about 0.6 mas yr^{-1} . Given the absolute proper motion of M37, which is about 6 mas yr^{-1} (Griggio & Bedin 2022), this will allow for a proper-motion-based separation between field objects and cluster members (see Sec. 2.4).

2.3 Master frame and zero-points calibration

To measure the faintest sources in the field of view, we needed to perform deep photometry as in Griggio et al. (2022a) (which we name 'second-pass photometry,' see Section 2.4). This requires to define a common reference system for all the exposures, to which we then refer the positions in both epochs, that we call 'master frame'. The master frame was defined by the positions of the *Gaia* DR3 catalogue, projected on to the plane tangent to the central point of image 506225p for CFH12K data, and 2785599p for MegaPrime data. The *Gaia* positions were again transformed to the epoch of each observation. We used the catalogues of each image to derive the six-parameter transformations to bring the positions measured in the detector reference frame of each exposure onto the corresponding master frame.

The MegaPrime exposures were also dithered enough to allow us measuring the CCDs' relative photometric zero points, which we found to be of the order of 0.01 mag. Our derived *BV* photometry for the CFH12K data set, however, was not usable, in part because we could not access the calibration files, and in part because of the non-ideal dither pattern, which did not allow us to register the CCD zero points to a common photometric reference system. Therefore, the 1999 CFH12K images were used only to derive positions in this first epoch, which were in turn employed to derive the proper motions necessary to decontaminate cluster stars from field objects.

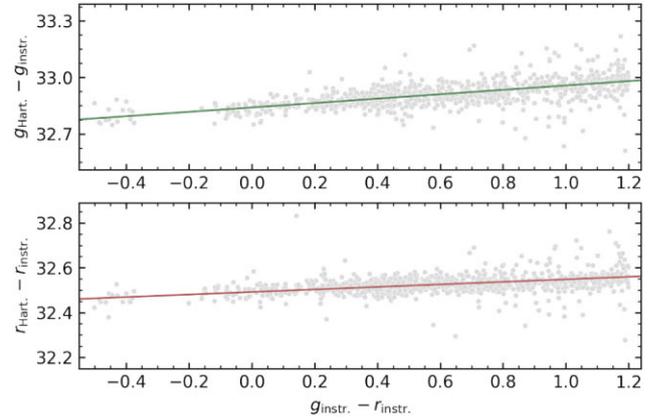


Figure 2. Calibration of the *CFHT* *gr* filters: the coloured lines denote the linear fit to the data. We display the difference in the *g* and *r* filters between Hartman et al. (2008) and our instrumental magnitudes, as a function of the instrumental ($g - r$).

2.4 Photometry and astrometry

To extract the positions and fluxes for all the sources in the field of view we used the code *KS2*, an evolution of the code developed by Anderson et al. (2008) for the *Hubble Space Telescope* data, which was adapted to deal with the *CFHT* data.

The program goes through several iterations, finding and measuring progressively fainter stars, using all the images simultaneously to find the sources, thus increasing the signal-to-noise ratio. This allows to find even the faintest sources that are lost in the noise in single exposure. The software uses a list of bright stars (derived from the preliminary photometry) to construct weighted masks, that help to avoid PSF-related artefacts. The flux is measured performing a PSF fitting of the inner 5×5 pixels of the source, with the appropriate local PSF, and averaged between all the images, with a local sky computed from the surrounding pixels. Measured stars are subtracted from the image before proceeding with the next iteration. The program outputs also some quality flags (see e.g. Bedin et al. 2009), that we used to discard sources with galaxy-like shape and diffraction spikes.

The *gr* instrumental magnitudes have been then calibrated using the deep photometric catalogue by Hartman et al. (2008) by means of a relation in the form $m_{\text{cal}} = m_{\text{instr}} + a(g_{\text{instr}} - r_{\text{instr}}) + b$, with the parameters a and b determined from a linear fit, as shown in Fig. 2. The calibrated CMD of all the sources in the field of view is shown in Fig. 3.

We extracted the photometry from the Asiago data as described in section 3.1 of Griggio et al. (2022a). We did not perform the second-pass photometry as we needed only the bright sources. We employed the same procedure outlined for the MegaPrime data to calibrate the Asiago photometry.

The flux and position of the sources in the 1999 exposures were extracted with the software *KS2*. However, due to the issues described in the previous section, we did not carry out the photometric calibration.

Proper motions were calculated using the displacements dx and dy between the two epochs, divided by the time baseline of ~ 23 yr, and are shown in Fig. 4 (where we used the cluster's mean proper motion as the origin); the displacements were measured by transforming the positions of the stars in the first epoch into the reference system of the second epoch with a six-parameter transformation, and cross-identifying the common sources.

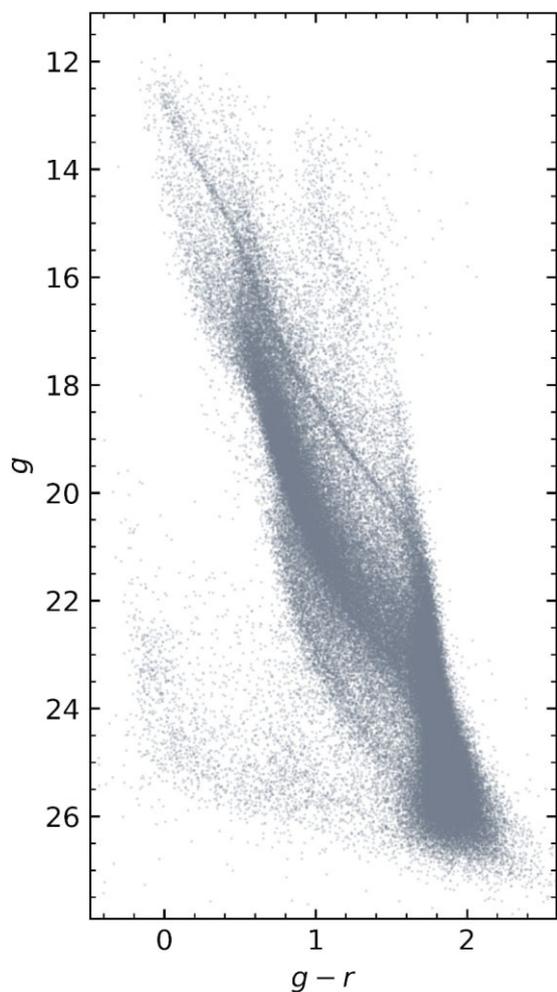


Figure 3. CMD of all the sources that passed the quality cuts in the gr filters ($\sim 120\,000$).

The bottom panel of Fig. 4 shows the member selection; we plotted the distance dr from the origin as function of the g mag, and we drew by hand the red line following the distribution of cluster stars, with a sharp cut where cluster and field cannot be well separated by eye. In addition, we estimated the field median dx and dy and its intrinsic dispersion $\sigma_{x,y}$ as 1.5 times the 68.27th percentile of the distribution of dx and dy around their median, and excluded the sources with proper motion inside a circle centred on the field motion with radius given by the sum in quadrature of $\sigma_{x,y}$ (dashed black circle in Fig. 4). For sources that are present in the catalogue by Griggio et al. (2022a), we adopted their `member` flag, that, for sources at brighter magnitudes, is more reliable than the selection based on our measured proper motions as it is based on the *Gaia* astrometry.

This selection leads to Fig. 5, where we show in light grey all the sources with proper motions (which are less than those in Fig. 3, as the 2022 data are deeper and cover a larger area than the 1999 ones) and in blue the selected cluster members. We plotted the *CFHT* photometry up to $g = 12.5$, and the Schmidt data for $g < 12.5$ to complete the TO and red clump regions that are saturated in the *CFHT* short exposures.

Our derived proper motions represent an extension of the *Gaia* astrometry down to $g \sim 26$, and the deepest astrophotometric catalogue of M37 available until now. Unfortunately, given the large errors on the positions of faint sources in the first epoch, we cannot

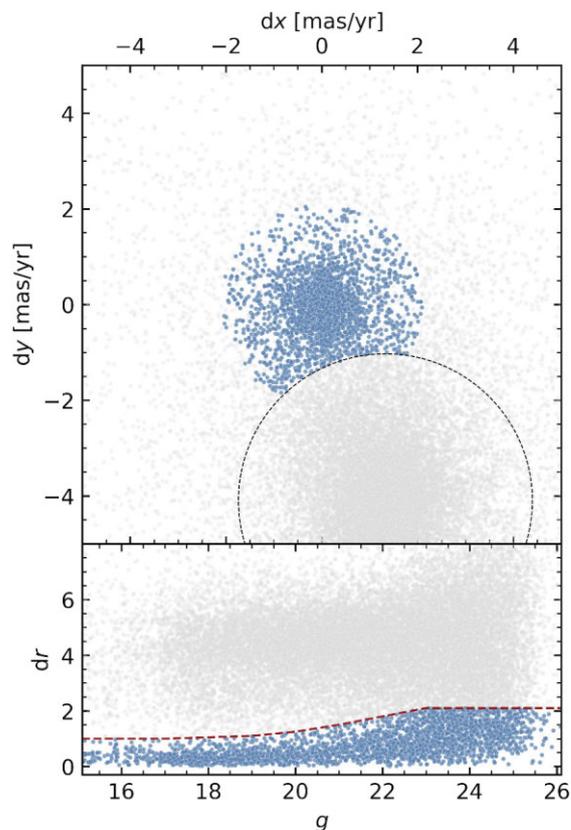


Figure 4. Top panel: Proper motions for all the sources (grey), with selected members highlighted in blue. The dashed black circle is the cut described in the text. The origin is set to the cluster's mean proper motion. Bottom panel: dr versus g for all the sources (grey) and cluster members (blue).

discriminate very well between members and field stars for $g \gtrsim 22.5$. None the less, we proved the capability of ground-based wide-field imagers in providing useful astrometry even in the *Gaia* era.

Finally, we confirm WD1, WD2 and WD3 of Griggio et al. (2022a) as member candidates according to their proper motions obtained in this work, while WD5 proper motions are not compatible with those of the cluster. The other WDs, namely WD4, WD6 and WD7, fall outside the field of view, and we could not measure their motion.

2.5 Artificial star test

To assess the completeness of our data set, we performed the artificial star (AS) test with the *KS2* program (see e.g. Bedin et al. 2009). Briefly, we injected in the images 100 000 synthetic stars (one at a time, in order to not create false overcrowding), generated with random positions and random g magnitudes, both sampled from a uniform distribution, with r magnitudes such that they lie on the WD CS fiducial drawn by hand on the CMD (Fig. 6, left-hand panel). The software then operates blindly, finding and measuring all the sources in the images. We then compared the list of measured stars with the AS input list. We considered an AS as recovered if its measured position is within 1 pixel in x and y from the injected position and its magnitude within 0.1 from the injected magnitudes in both filters.

In the right-hand panel of Fig. 6, we show the CMD of the recovered stars that guided the choice of the region we adopted to derive the WD differential luminosity function (LF). We divided into 0.5 g -magnitude bins the recovered ASs, and for each bin we computed the median colour and the $\sigma = 68.27$ th percentile of the

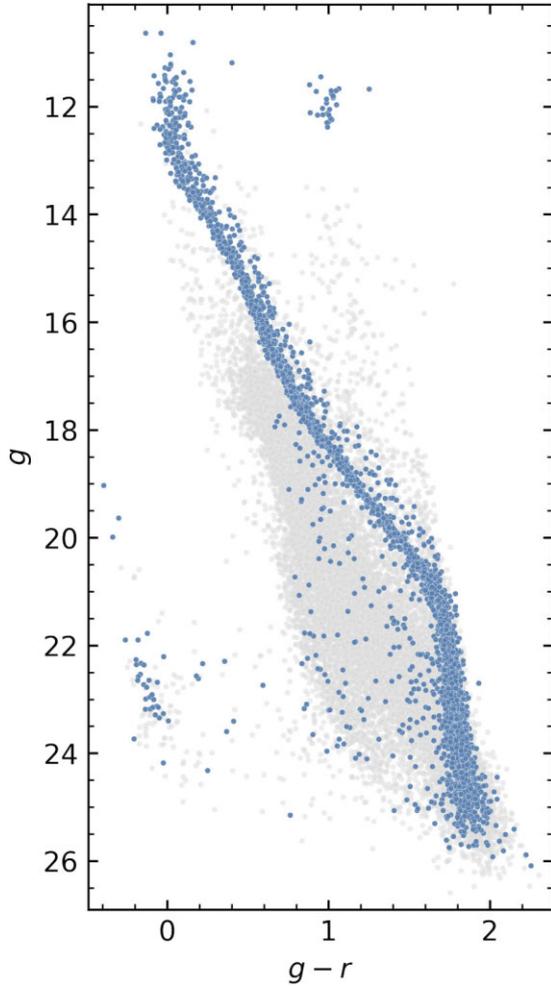


Figure 5. CMD for all the sources with proper motions (light grey, $\sim 24\,000$) and for those selected as cluster members (blue, $\sim 3\,200$).

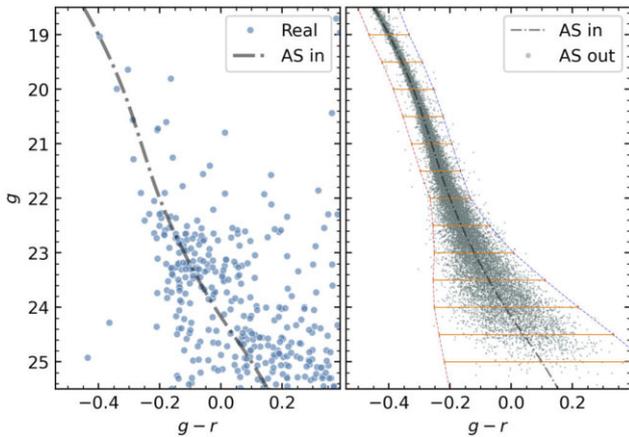


Figure 6. Artificial star test. Left-hand panel: blue points denote the observed white dwarfs, the dark grey line represents the fiducial along which we generated the artificial stars. Right-hand panel: recovered artificial stars. The orange error bars are calculated as three times the 68.27th percentile of the colour residuals around the median, in each 0.5 mag bin. The dashed lines connecting the edges of the error bars define the region in which we will count the white dwarfs.

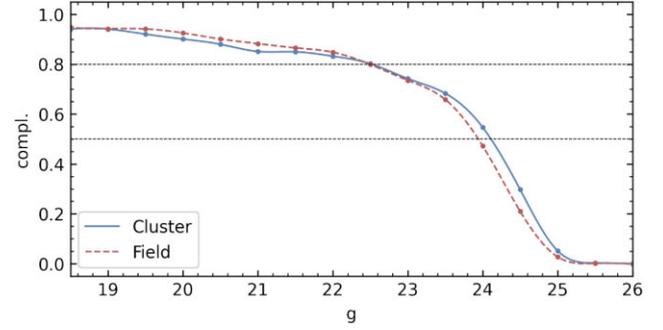


Figure 7. Completeness of our data in the ‘cluster’ and ‘field’ regions. See the text and Fig. 8.

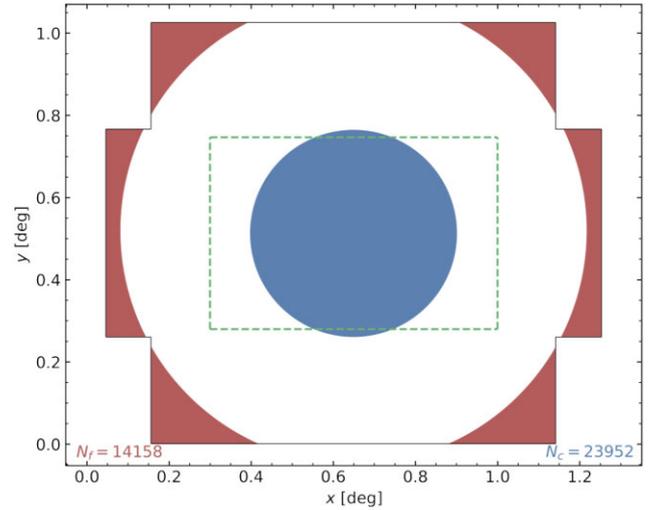


Figure 8. Total field of view of the MegaPrime data. The black lines delimit the observed region. The blue filled area is the ‘cluster’ region, while the red filled area is the ‘field’ region. The two regions have the same area of ~ 70 Mpx. The number of stars in each region is annotated in the lower corners. The green dashed line shows the area covered in 1999 by the CFH12K detector.

colour residuals around the median. The orange error bars in the right-hand panel of Fig. 6 represent the 3σ interval, and the blue and red curves connecting the edges of the error bars define the region that we will use for our analysis.

The AS test let us infer the completeness of our data set, defined as the ratio between the number of recovered stars and the number of injected stars, which varies across the magnitude range covered by our observations. We computed this ratio for each 0.25 g magnitude interval, and interpolated the values with a spline. The derived completeness curves are plotted in Fig. 7: the two horizontal lines mark the 80 per cent and 50 per cent completeness levels. Notice that the completeness drops below 50 per cent at about $g \sim 24$, and reaches zero at $g \sim 26$.

The completeness has been computed both for the ‘cluster’ region and ‘field’ regions, shown in Fig. 8 in blue and red, respectively. The two regions have roughly the same area of about 0.2 deg^2 , and will be employed in Section 3 in the study of the WD CS.

2.6 Astrophotometric catalogue

Together with this work we publicly release an astrophotometric catalogue of the sources that we measured in the *CFHT* field of view.

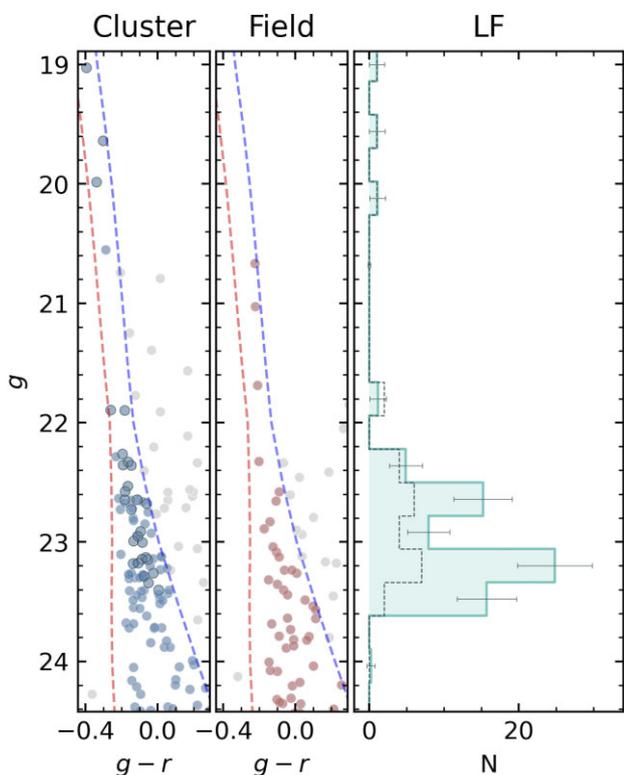


Figure 9. CMD of the WD CS in the ‘cluster’ (left panel) and ‘field’ (middle panel) regions. Blue points with solid black edge in the left-hand panel denote the sources that are member candidates according to their proper motions. The red and blue dashed lines are those defined by the AS test (see Fig. 6). The right-hand panel shows the completeness-corrected LF after field decontamination (sea green). The dark-grey dashed line represents the LF of the proper motion selected WD members. See the text for details.

Proper motions are available only for sources in the common region of the 1999 data, which is about one-third of the new data set (as shown in Fig. 8).

The catalogue contains x and y positions on the master frame in MegaPrime pixels, with 187 mas px^{-1} , the gr photometry and proper motions along the x and y axes in mas yr^{-1} . In addition, the `quality` flag denotes sources that passed our quality cuts, and the `member` flag those who are selected as member candidates in this work (blue points in Fig. 4).

3 THE WHITE DWARF COOLING SEQUENCE

The 1999 data set allowed us to measure proper motions for sources well beyond the *Gaia* magnitude limit, down to $g \sim 26$; however, given the large errors, we could not discriminate well between cluster and field stars at magnitudes fainter than $g \sim 22.5$ (see Fig. 4, bottom panel). Most faint sources that have a clear point-like shape in the 2022 data are heavily affected by the noise in the 1999 data, making their position (and consequently, their proper motion) measurements very uncertain. For this reason, we did not employ proper motions to remove field objects in the derivation of the LF; we have instead performed a statistical decontamination (cfr. Bedin et al. 2023) using the regions defined in Fig. 8 to obtain the WD LF that we will compare to theoretical predictions in the next section.

Fig. 9 shows the CMD of the WD CS, for both the ‘cluster’ and ‘field’ regions defined in Fig. 8. The red and blue lines in these CMDs are those defined by the AS test (Fig. 6) and mark the boundaries of

Table 2. Our derived, completeness-corrected, WD differential LF. Negative values have been set to zero.

g	N	σ_N
19.00	1.1	1.0
19.28	0.0	0.0
19.56	1.1	1.0
19.84	0.0	0.0
20.12	1.1	1.1
20.40	0.0	0.0
20.68	0.0	0.2
20.96	0.0	0.0
21.24	0.0	0.0
21.52	0.0	0.0
21.80	1.2	1.1
22.08	0.0	0.0
22.36	4.9	2.2
22.64	15.2	3.9
22.92	7.9	2.8
23.20	24.8	5.0
23.48	15.7	4.0
23.76	0.0	0.0
24.04	0.3	0.5
24.32	0.0	0.0
24.60	0.0	0.0
24.88	0.0	0.0

the region within which we count WD candidates. The final LF is given by the difference between the completeness-corrected ‘cluster’ and ‘field’ LFs, and is shown in sea-green in the right-hand panel of Fig. 9 (and reported in Table 2), with error bars corresponding to Poisson errors. The dashed dark-grey line represents the LF of WD member candidates selected by proper motions: we note that the two LFs have similar features, and in particular they terminate at the same magnitude $g \sim 23.5$, where the completeness level is still greater than 50 per cent (cfr. Fig. 7). This cut-off of the LF is well-defined and can be used as an age indicator for the cluster; for an increasing age of the cluster’s population, the oldest (earlier forming) WDs have more time to cool down, thus shifting the LF cut-off towards fainter magnitudes.

4 COMPARISON WITH THEORY

In this section, we discuss the comparison of the WD LF of Table 2 with theoretical WD models, that enabled us to derive important constraints on the origin of the extended TO observed in the cluster CMD. Due to the issue highlighted below, we have only compared the LF in the g -band with theory, and not the CS in the CMD.

As already mentioned, we found in Griggio et al. (2022b) that the stellar population hosted by this cluster displays either a range of metallicity $\Delta[\text{Fe}/\text{H}] \sim 0.15$ and a range of differential reddening $\Delta E(B - V) = 0.06$ ([Fe/H] spread scenario), or a spread of helium abundance $\Delta Y \sim 0.06$ and a range $\Delta E(B - V) = 0.03$ (helium spread scenario). For the distance $\sim 1450 \text{ pc}$, consistent with the range $1500 \pm 100 \text{ pc}$ determined by Griggio & Bedin (2022) from *Gaia* EDR3 parallaxes – and reference $[\text{Fe}/\text{H}] = 0.06$ – consistent with the existing few high-resolution spectroscopic measurements (Pancino et al. 2010) – used in Griggio et al. (2022b) analysis, in the [Fe/H] spread scenario the reference $E(B - V)$ ranges from 0.28 to 0.34 mag and the metallicity ranges from $[\text{Fe}/\text{H}] = 0.06$ to $[\text{Fe}/\text{H}] = 0.21$. The lowest metallicity isochrone (from the BaSTI-IAC data base; Hidalgo et al. 2018) matches the blue envelope of the unevolved MS

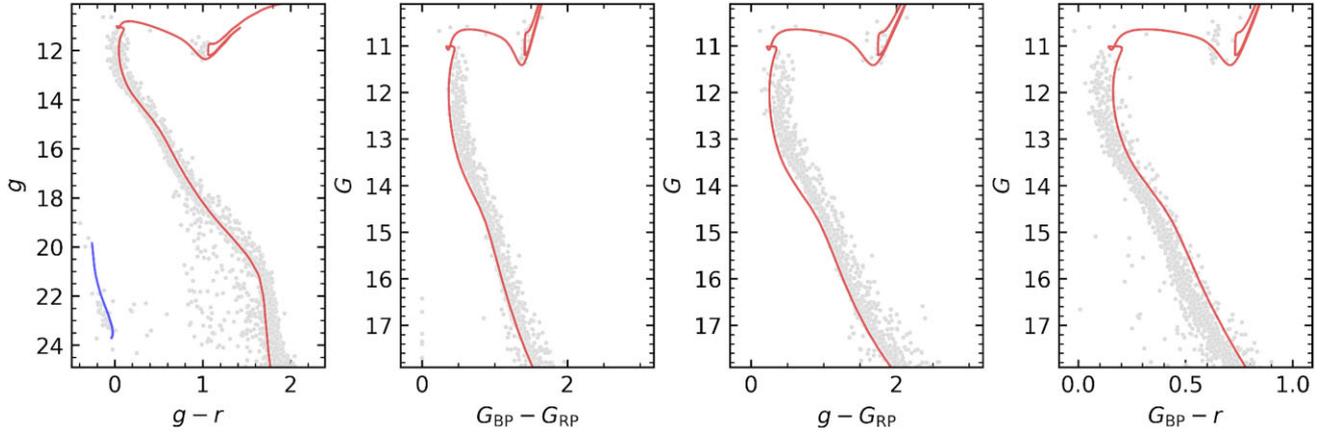


Figure 10. CMDs of M37 stars in several magnitude and colour combinations. Theoretical isochrones (including the WD sequence in the left-hand panel) are compared to the observations using $E(B - V) = 0.28$, and a distance $d = 1450$ pc (see the text for details). The extinction law is taken from Zhang & Yuan (2023), for the *Sloan* filters and from the *Gaia* website (<https://www.cosmos.esa.int/web/gaia/edr3-extinction-law>) for *Gaia* magnitudes.

in the *Gaia* CMD (G magnitudes between ~ 15 and ~ 17) for the lowest value of the reddening, $E(B - V) = 0.28$ (see e.g. figs 1 and 9 in Griggio et al. 2022b). In the Y spread scenario, we found Y ranging from $Y = 0.269$ – the standard value of Y at $[\text{Fe}/\text{H}] = 0.06$ in the BaSTI-IAC isochrones – to $Y = 0.369$, for $E(B - V)$ between 0.33 and 0.36 mag. In this case, the blue envelope of the unevolved MS in the *Gaia* CMD is matched by the most helium-rich $Y = 0.369$ (hence bluer) isochrones, and $E(B - V) = 0.33$.

The leftmost panel of Fig. 10 shows that, in the $[\text{Fe}/\text{H}]$ spread scenario, when we match a 400 Myr (the exact age is irrelevant to this discussion) $[\text{Fe}/\text{H}] = 0.06$ BaSTI-IAC isochrone (from the same sets adopted in Griggio et al. 2022b) to the MS in the *Sloan* g -($g - r$) CMD using $E(B - V) = 0.28$ and the extinction ratios by Zhang & Yuan (2023), the models are redder than the blue edge of the unevolved MS in a wide magnitude range. This includes the interval between $g \sim 16$ and ~ 19 , which approximately corresponds to the G magnitude range of the *Gaia* CMD where the isochrones match the blue edge of the MS (Griggio et al. 2022b), as shown is the second panel from the left of the same figure.

We also show a 400 Myr WD BaSTI-IAC isochrone calculated from hydrogen-envelope (DA) carbon-oxygen (CO) core WD cooling tracks (computed with the Cassisi et al. 2007, electron conduction opacities) with $[\text{Fe}/\text{H}] = 0.06$ progenitors by Salaris et al. (2022), the initial-final-mass relation (IFMR) by Cummings et al. (2018) and progenitor lifetimes from Hidalgo et al. (2018), compared to the observed CS for the same choice of distance and reddening. The WD isochrone also appears redder than the observations.

To investigate the cause(s) of this inconsistency with the fit to the MS in the *Gaia* CMD, the third and fourth panel from the left in Fig. 10 display CMDs with the *Gaia* G magnitude on the vertical axis, and colours calculated using one *Gaia* and one *Sloan* magnitude. The same isochrone of the left-hand panel is compared to the data in these two CMDs. We can see that the models in the $G - (g - G_{\text{RP}})$ CMD match the blue edge of the MS, while the isochrones are redder than the observed MS in the $G - (G_{\text{BP}} - r)$ CMD. This suggests that the inconsistency between the fits in the two photometric systems arises from a mismatch between the theoretical and observed r magnitudes.¹

¹This mismatch exists also in comparison with Hartman et al. (2008) photometry, which has been used to calibrate our magnitudes, as shown in Fig. 2.

For this reason, in our study of the WD cooling sequence, we will consider only the g magnitudes.

To compare the WD g -band LF with models we computed grids of CO-core DA WD isochrones with the same inputs as the one in Fig. 10 (from progenitors with $[\text{Fe}/\text{H}] = 0.06$), for ages between 150 and 450 Myr at steps of 25 Myr, and calculated synthetic LFs using Monte-Carlo techniques. As shown in Fig. 10, at these ages the WD isochrones are sequences of continuously increasing magnitude in the g band, and the WD mass evolving at a given brightness increases monotonously with increasing g . Due to the younger ages, the ranges of progenitor and WD masses along the isochrones are narrower than in the case of globular clusters. At 150 Myr, the brightest part of the isochrones is populated by $\sim 0.95 M_{\odot}$ WDs with progenitor masses equal to $\sim 4.6 M_{\odot}$, while at 450 Myr the WDs have a mass equal to $\sim 0.75 M_{\odot}$ with progenitors of $\sim 2.9 M_{\odot}$. The bottom end of the isochrones is populated by $1.1 M_{\odot}$ WDs with $\sim 6.4 M_{\odot}$ progenitors.

For each isochrone, we have produced a sample of g magnitudes of synthetic WDs (20 000 for each age, to minimize statistical fluctuations of their magnitude distribution), by drawing randomly progenitor masses according to a Salpeter mass function (power law with exponent $x = -2.3$) and interpolating along the isochrone to determine the g magnitude of their WD progeny. We then corrected the magnitude for the assumed cluster distance and applied a random extinction (using the extinction law by Zhang & Yuan 2023) from values of $E(B - V)$ drawn with a uniform probability within the range appropriate to the explored scenario ($[\text{Fe}/\text{H}]$ or Y spread). Each synthetic g was then perturbed by a random Gaussian photometric error with σ estimated from the observations (see Section 2.5).

For each of these samples (corresponding to a given WD isochrone age), we finally calculated the differential LF with the same binning of the observed one, and rescaled the total number of objects in the LF to the observed (completeness corrected) one, before comparing it with the observations.

These sets of synthetic samples of WDs and the corresponding LFs have been computed for both the $[\text{Fe}/\text{H}]$ spread and Y spread scenarios, considering two distances d equal to 1400 and 1600 pc, respectively, the lower and upper limits of the distance determination from *Gaia* parallaxes by Griggio & Bedin (2022). For the assumed reference metallicity $[\text{Fe}/\text{H}] = 0.06$ the minimum $E(B - V)$ values (determined as described above) for $d = 1400$ pc are 0.26 mag for the $\Delta[\text{Fe}/\text{H}]$ scenario, and 0.31 mag for the ΔY scenario. At $d = 1600$ pc, the minimum reddenings are $E(B - V) = 0.31$ for the

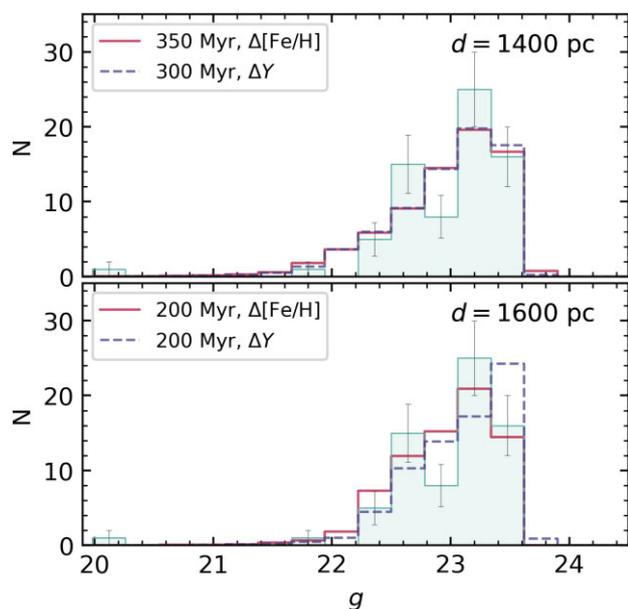


Figure 11. Completeness-corrected differential WD LF of the WDs in M37 (sea green) compared to theoretical LFs calculated for the labelled ages and chemical compositions (see the text for details). The errors in the number counts of the observed LF are also displayed.

Table 3. Maximum ages compatible with the WD LF cut-off magnitude, for the two distances and scenarios discussed in the text.

d (pc)	Age (Myr)	Scenario
1400	350	$\Delta[\text{Fe}/\text{H}]$
1400	300	ΔY
1600	200	$\Delta[\text{Fe}/\text{H}]$
1600	200	ΔY

$\Delta[\text{Fe}/\text{H}]$ scenario, and 0.36 mag for the ΔY scenario. It is important to mention that for the $\Delta[\text{Fe}/\text{H}]$ scenario we have calculated the WD isochrone for just one value of $[\text{Fe}/\text{H}]$ ($[\text{Fe}/\text{H}] = 0.06$). This is because we have found that changing $[\text{Fe}/\text{H}]$ of the progenitors by ± 0.20 dex produces isochrones virtually indistinguishable at these ages. The same is true also for the ΔY scenario, with isochrones calculated considering just the minimum value of Y .

We have determined the oldest cluster age compatible with the observed WD cooling sequence, by finding the theoretical LFs that match the magnitude of the cut-off of the WD LF. Fig. 11 shows the oldest ages compatible with the observed LF – between 200 and 350 Myr, summarized in Table 3 – for the two distances and the two scenarios discussed here. The derived ages are typically older (by 100–150 Myr) for shorter distances, as expected, and at a fixed distance they are very similar in both scenarios. At these ages, all WDs along the cluster CS have not yet started crystallization in their CO cores. It is important to stress that, in case the extended TO of this cluster is due to an age range, the WD LF tells us that the maximum age of the cluster stars cannot be older than the values given above, otherwise we should find WDs fainter than the observed LF cut-off.

We have then repeated the same procedure by employing isochrones derived from WD cooling models (again from Salaris et al. 2022) calculated using the alternative Blouin et al. (2020) electron conduction opacities, and found results consistent with what we have previously obtained from calculations with the Cassisi et al. (2007)

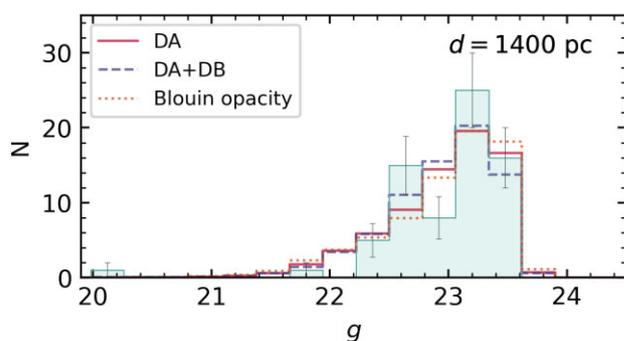


Figure 12. As the upper panel of Fig. 11. The theoretical LFs are for an age of 350 Myr and correspond to the reference DA calculations of Fig. 11, a population of 20 per cent DB (helium envelope) and 80 per cent DA WDs, and a DA population from models calculated using Blouin et al. (2020) electron conduction opacities, respectively (see the text for details).

opacities. As an example, Fig. 12 shows how the 350 Myr theoretical LF in the $\Delta[\text{Fe}/\text{H}]$ scenario calculated using Blouin et al. (2020) opacities and a distance of 1400 pc has the same cut-off magnitude as our reference calculations.

We have also explored the possibility that the cluster hosts not just DA WDs, but also a 20 per cent fraction of WDs with He-dominated atmospheres (this fraction is typical of the Galactic disc field WD population, see e.g. Koester & Kepler 2015). In this case, for each age, we have computed isochrones and synthetic samples of g magnitudes from the helium-envelope WD models by Salaris et al. (2022), and merged them with the corresponding DA samples in a proportion 20/80, before calculating the corresponding LF. The results about the WD-based cluster ages are again unchanged (see Fig. 12 for an example), because in this luminosity regime H- and He-envelope WD models cool down at very similar rates.

Finally, we have explored the role played by the adopted IFMR. For all isochrones employed in our analysis we have adopted the semi-empirical Cummings et al. (2018) IFMR, more specifically the one determined using the Bressan et al. (2012) stellar evolution models (see Cummings et al. 2018 for details) for the determination of the progenitor’s lifetimes, because they are very close to the evolutionary lifetimes of Hidalgo et al. (2018) progenitors’ models used for the calculation of the WD isochrones. As a test, we have calculated some DA WD isochrones and LFs (in the g band) in the age range between 200 and 350 Myr for $[\text{Fe}/\text{H}] = 0.06$, employing the Cummings et al. (2018) IFMR calculated using MIST (Choi et al. 2016) non-rotating stellar models for the progenitor lifetimes. The effect of this alternative IFMR on the magnitude of the LF cut-off at fixed age is only on the order of 0.01 mag, with a negligible impact on the results of our analysis. We have repeated this same test using the independent IFMR determined by El-Badry, Rix & Weisz (2018), and found again a negligible impact on the magnitude of the theoretical LF cut-off.

4.1 Constraints on the origin of the extended TO

The impact of these results on the interpretation of the cluster extended TO is shown by Fig. 13, which is analogous to fig. 9 in Griggio et al. (2022b). For each scenario and the same two distances of the WD analysis, we show here the cluster *Gaia* CMD (from Griggio et al. 2022b) together with pairs of isochrones for the combinations of $[\text{Fe}/\text{H}]$ (or Y) and reddenings that match the blue and red limits of the single-star sequence in the magnitude range studied by Griggio et al. (2022b), and ages equal to the corresponding

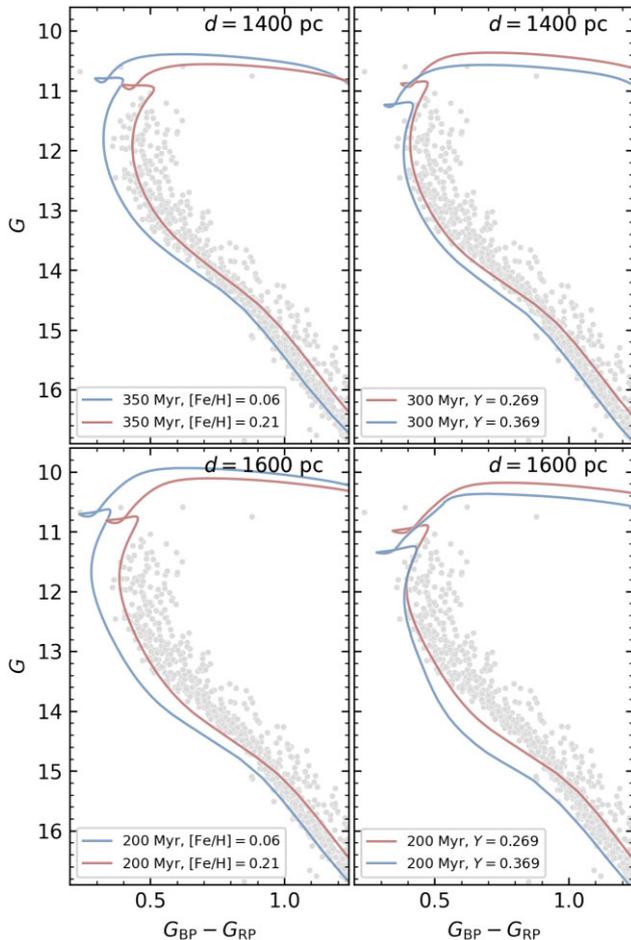


Figure 13. Cluster’s *Gaia* CMD compared to isochrones with the labelled parameters (see the text for details).

maximum ages determined from the WD LF. According to the WD-based ages, no single star along the upper MS and TO can be redder than the metal richer isochrone in the $\Delta[\text{Fe}/\text{H}]$ scenario, or redder than the helium poorer one in the ΔY scenario. This is clearly contradicted by the observed CMD, which displays large fractions (if not the whole cluster population) of objects redder than the reddest isochrone around the TO region. This leads to the conclusion that even considering the metallicity or the helium spread derived from the unevolved MS, the ages determined from the WD LF exclude the presence of an age spread as the reason for the observed extended TO.

4.2 The role played by oxygen-neon core WDs

In our analysis, we have considered the CS sequence to be populated by CO-core WDs, which are by far the most common type of WDs. However, according to stellar model calculations, stellar progenitors in a fairly narrow mass range between very approximately $6.5\text{--}7$ and $9\text{--}10 M_{\odot}$, are expected to produce WDs with an oxygen-neon core and masses between ~ 1.1 and $\sim 1.3 M_{\odot}$, originated from the electron degenerate cores formed at the end of core carbon burning (see e.g. Siess 2006; Poelarends et al. 2008; Doherty et al. 2017, and references therein). Predictions, both empirical and theoretical, for the IFMR of these WDs is very uncertain; however, it is still possible to make an informed assessment of their impact on the WD ages determined in our analysis.

To this purpose, we have considered the ONe-core hydrogen-envelope WD models by Camisassa et al. (2019) and the CO-core DA models from the same group (Camisassa et al. 2017) – both from progenitors with roughly solar metallicity – for a strictly differential analysis using models calculated with the same code and physics inputs. We have considered the $1.1 M_{\odot}$ CO-core cooling model – corresponding to the mass of the more massive model used in our WD isochrones –, and the 1.2 and $1.3 M_{\odot}$ ONe-core models, and calculated WD isochrones and luminosity functions in both $\Delta[\text{Fe}/\text{H}]$ and ΔY scenarios for ages between 200 and 400 Myr, using progenitors lifetimes from Hidalgo et al. (2018) and the IFMR by Cummings et al. (2018) for WD masses up to $1.1 M_{\odot}$, as in our calculations. For the initial masses of the two ONe WD models we have made various assumptions, with values between 7 and 9– $9.5 M_{\odot}$, and obtained always the same results in terms of the LF cut-off magnitudes.

We found that the ONe-core WDs are located at fainter magnitudes with respect to the $1.1 M_{\odot}$ CO-core objects, because of their slightly faster cooling in the relevant luminosity range; the difference (for the $1.3 M_{\odot}$ models) in the *g*-band LF cut-off is on the order of 0.2–0.3 mag. This implies that including massive ONe-core WDs in the calculation of the isochrones would in principle reduce the age necessary to match the observed cut-off by ~ 100 Myr, thus exacerbating the inconsistency between WD ages and the ages required to explain the extended TO in terms of an age spread.

5 CONCLUSIONS

We have presented a new *Sloan* photometry of the OC M37, from the very low-mass star regime to the main sequence TO and red clump, including the WD cooling sequence down to its termination. We make publicly available these catalogue (positions, photometry, proper motions, and flags) and the atlases, as online supplementary material of this article. We have focused our analysis on the WD CS, and determined a new, improved WD LF that we have exploited to set constraints on the origin of the cluster extended TO.

We have found that, irrespective of whether the chemical abundance spread revealed by Griggio et al. (2022b) photometric analysis is due to variations of $[\text{Fe}/\text{H}]$ or Y , for the distance range determined using *Gaia* EDR3 parallaxes the ages determined from the WD LF are incompatible with the ages required to match the observed extended TO region. The maximum age allowed by the analysis of the WD LF is much too young compared to the age required to match the redder and fainter TO region. This is especially true for the Y -spread scenario, and also for the $[\text{Fe}/\text{H}]$ -spread scenario when considering the upper limit of the parallax-based distance.

Our results indirectly support the notion that stellar rotation is needed to explain the origin of the cluster extended TO, like the case of the OC NGC 2818, Bastian et al. (2018), where spectroscopic observations have confirmed the presence of a range of rotation rates among TO stars, with redder TO objects being faster rotators. A comprehensive analysis of the MS extended TO and WD cooling sequence of M37 using models including the effect of rotation² is now needed, together with spectroscopic measurements of the rotation velocities of TO stars, and also spectroscopic metallicities, to determine whether the abundance spread revealed by the photometric analysis of Griggio et al. (2022b) is due to a metal abundance or a helium spread.

²Cordoni et al. (2018) have presented a first preliminary comparison of the cluster extended TO with models including rotation.

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This work has made use of data from the European Space Agency (ESA) mission *Gaia* (<https://www.cosmos.esa.int/gaia>), processed by the *Gaia* Data Processing and Analysis Consortium (DPAC, <https://www.cosmos.esa.int/web/gaia/dpac/consortium>). Funding for the DPAC has been provided by national institutions, in particular the institutions participating in the *Gaia* Multilateral Agreement.

This work has also made use of observations collected at Schmidt telescopes (Asiago, Italy) of INAF.

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DATA AVAILABILITY

The catalogue is available as electronic material with this paper. The image stacks are available at https://web.oapd.inaf.it/bedin/files/PAPERs_eMATERIALS/CFHT/M37_WDCS/.

The isochrones for the MS and TO, and the WD models are available at the BaSTI-IAC model repository <http://basti-iac.oa-abruzzo.inaf.it/>. The WD models by Camisassa et al. (2017) and Camisassa et al. (2019) are available at the La Plata group model repository <http://evolgroup.fcaglp.unlp.edu.ar/TRACKS/tracks.html>.

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