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## Multi-hazards Coastal Vulnerability Assessment of Goa, India, using Geospatial Techniques

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24 **1. Introduction**

25 History shows a long and intrinsic relationship between coastal areas and human  
26 settlements (UNEP, 2005). In India, about 25 percent of the population lives within 50 km of the  
27 coast (Krishna, 2005). The coastal regions of India are under serious threat from tropical  
28 cyclones and tsunamis (Chaudhuri et al., 2013), whose destruction and loss of human life is  
29 mainly attributed to flooding as a result of a storm surge (Sindhu and Unnikrishnan, 2012). In the  
30 North Indian Ocean, tropical cyclones form over both the Arabian Sea and the Bay of Bengal  
31 (Chaudhuri et al., 2013). West India is impacted by tropical cyclones originating from the  
32 southeast Arabian Sea where one or two tropical cyclones form every year (Evan and Camargo,  
33 2011). The west coast of India is also impacted by cyclones originating over the Bay of Bengal.  
34 However, these storms weaken after making landfall and travelling across the Indian  
35 subcontinent. Two recent tropical cyclones that formed in the Arabian Sea are Gonu and Phyan.  
36 Gonu, which developed in June 2007, and made landfall in Oman, is the strongest tropical  
37 cyclone on record in the Arabian Sea (Fritz et al., 2010). Phyan formed on November 4, 2009,  
38 and caused intensified waves and a moderate storm surge along west coast of India (Joseph et al.,  
39 2011).

40 Tsunamis refer to a vertical displacement of a water column as a result of an earthquake,  
41 volcanic eruption, or submarine mudslide (Krishna, 2005). Tsunamis are rare in the Indian Ocean  
42 in comparison to the Pacific Ocean. Nonetheless, past records show that parts of the Indian  
43 coastline have been inundated as a result of tsunamis (Patel et al., 2013). For instance, in 1945, a  
44 giant tsunami generated in the Arabian Sea affected the Makran coast in Pakistan with waves  
45 traced back to Mumbai and the coast of Goa (Jordan, 2008). However, except for the occurrence

46 of these disastrous events, there is no detailed documentation either on the impact or magnitude  
47 of the disasters.

48         Although the frequency of tropical cyclones and the associated storm surge and coastal  
49 flooding is lower in the Arabian Sea than the Bay of Bengal (Dube et al., 1997), the recent  
50 occurrence of cyclones of the magnitude of Gonu and Phyan reminded residents and policy  
51 officers of the vulnerability of the coastal regions of western India to such hazards. In addition,  
52 the tsunami of December 2004 and its devastating impacts on the coastal zone reminded the  
53 country of its lack of preparedness to natural hazards (Krishna, 2005), and stressed the  
54 importance of performing scientific studies on its vulnerability to such coastal hazards,  
55 particularly in view of climate change induced sea-level rising (SLR) and an increasing coastal  
56 population, as well as the demand for reliable information from community residents,  
57 developers, and government decision-makers (Kumar and Kunte, 2012). One way to address this  
58 stakeholders' need is to classify coastal lands according to their sensitivity to erosion, flooding,  
59 and inundation. In the past, the major constraint in undertaking vulnerability assessments has  
60 been a lack of data (Sterr et al., 2003). However, recent advances in spatial data gathering and  
61 processing techniques, including satellite remote sensing and Geographic Information Systems  
62 (GIS), have helped to overcome this barrier.

63         There are numerous definitions of vulnerability. The Intergovernmental Panel on Climate  
64 Change (IPCC) defines vulnerability as a function of exposure, sensitivity, and adaptive (or  
65 coping) capacity (Das, 2012). Exposure in this case refers to frequency and magnitude of a  
66 climatic event, for example, a drought, while sensitivity represents the degree to which the  
67 system under analysis is impacted by that exposure. The third element, adaptive capacity,  
68 represents the ability of the system to adapt to or recover from that exposure (Hahn et al., 2009).

69 According to the natural hazards' perspective, risk is the probability of an hazardous event to  
70 occur (Boruff et al., 2005), e.g. cyclone, tsunami, while vulnerability can be defined as the  
71 degree to which a person, community or a system is likely to experience harm due to exposure to  
72 that event (Kumar and Kunte, 2012). Vulnerability comprises a set of conditions and processes  
73 resulting from environmental and socio-economic factors that increase the susceptibility of a  
74 community to the impact of hazards, and can also encompass the notion of coping capacity of the  
75 community to respond to disasters (Mahendra et al., 2011). Vulnerability assessments are  
76 performed to estimate the degree of loss or damage that could result from a hazardous event of a  
77 given severity, including damage to infrastructure, interruption of economic activities, and  
78 impacts on livelihoods (Kumar and Kunte, 2012).

79 A common way to assess vulnerability is by using indicators, which are usually combined  
80 together in a composite index. An example of a composite index is the Human Development  
81 Index, which incorporates various national indicators, notably, life expectancy, health, education,  
82 and standard of living, to provide an overall picture of well-being for a particular country (Hahn  
83 et al., 2009). Indicators and indices are useful to provide a simple representation of a complex  
84 issue and to make comparisons across time and between regions (Heltberg and Bonch-  
85 Osmolovskiy, 2010). Coastal environments are exposed to multiple threats, and for this reason,  
86 assessing vulnerability in such environments has led to the construction of composite indices,  
87 with a common index known as the Coastal Vulnerability Index (CVI). Integrated indices such  
88 as the CVI enable information from various sources to be combined together. They represent a  
89 complex issue in a simple way and are therefore a useful prioritisation tool for policy officers  
90 (Addo, 2013).

91 Thieler and Hammer-Klose (1999, 2000a, b) used such a CVI based on the work of  
92 Gornitz et al. (1994) and Shaw et al. (1998) to assess the vulnerability of the Atlantic, Pacific and  
93 Gulf of Mexico coasts of the United States to SLR (Boruff et al., 2005). Their index incorporated  
94 six physical variables, i.e., historical shoreline erosion or accretion, rate of relative sea-level  
95 change, coastal slope, mean tidal range, mean wave height, and geomorphology, with the end  
96 product highlighting the coastal areas where the impacts of SLR are expected to be the most  
97 severe. A CVI was also developed by Pendleton et al. (2005) to assess the vulnerability of the  
98 coast of the Golden Gate National Recreation area in Northern California to SLR by ranking the  
99 same variables as Thieler and Hammer-Klose (1999, 2000a, b). The variables selected for the  
100 construction of both the index of Thieler and Hammer-Klose (1999, 2000a, b) and Pendleton et  
101 al. (2005) accounted for the exposure and sensitivity of the coastal zone to SLR, but without  
102 considering the capacity of the affected communities to adapt to the projected changes.

103 The CVI methodology initially developed for the continental United States was  
104 subsequently applied to coastal locations in Alaska (Gorokhovich et al., 2014), Argentina (Diez  
105 et al., 2007), Brazil (Szlafsztein and Sterr, 2007), the Canary Islands (Di Paola et al., 2011),  
106 China (Yin et al., 2012), Ghana (Addo, 2013), Greece (Doukakis, 2005a, b; Gaki-Papanastassiou  
107 et al., 2010; Karymbalis et al., 2012), the Philippines (Clavano, 2012), South Africa (Hughes and  
108 Brundrit, 1992; Palmer et al., 2011), Thailand (Duriyapong and Nakhapakorn, 2011), and Turkey  
109 (Ozyurt and Ergin, 2009, 2010). The majority of those studies used the same geologic and  
110 physical variables as Thieler and Hammer-Klose (1999, 2000a, b), or a number of them  
111 depending on data availability, while a few also incorporated mean elevation and geology, two  
112 risk variables used in the original CVI studies by Gornitz et al. (1991; 1994). In most studies,  
113 coastal vulnerability to SLR was determined on the basis of geologic and physical parameters

114 only. However, vulnerability is also influenced by social, economic, and built-environment  
115 characteristics (Boruff et al., 2005).

116 Many studies that developed a physically-based CVI acknowledged the need to include  
117 demographic and economic variables to produce a more useful index (Clavano, 2012; Diez et al.,  
118 2007; Dominguez et al., 2005; Gornitz et al., 1994). For instance, Clavano (2012) suggested the  
119 inclusion of population density and coping capacity. Even though most of the socioeconomic  
120 variables influencing coastal vulnerability are known conceptually very few empirical studies  
121 incorporating human factors have been conducted (Boruff et al., 2005; Gorokhovich et al., 2014).  
122 Previous studies that included socioeconomic indicators in their vulnerability index include  
123 Boruff et al. (2005), Reyes and Blanco (2012), Szlafsztein and Sterr (2007), and Duriyapong and  
124 Nakhapakorn (2011). In these four studies a Socioeconomic Vulnerability Index (SVI) was  
125 linked to a physically-based CVI to assess the vulnerability of the coast of the 48 contiguous US  
126 states, a study site in the Philippines, the state of Pará in Brazil, and the Samut Sakhon coast of  
127 Thailand, respectively.

128 The SVI of Boruff et al. (2005) was based on Cutter et al. (2003) and incorporated 39  
129 socioeconomic and demographic variables derived from the United States census; for example,  
130 median age of population, percent of elderly population, birth rate, ethnicity, per capita income,  
131 median rent or value of properties, percentage of population renting, housing unit density, and  
132 density of commercial development. Similarly, Reyes and Blanco (2012) computed their SVI  
133 using population and demographic data (i.e., age and gender), employment, and household size,  
134 but obtained it from questionnaires distributed to households in the study area. The index of  
135 Szlafsztein and Sterr (2007) also aimed to represent the adaptive capacity of the communities  
136 using population and demographic data such as population density, children population, elderly



137 population, non-local population, as well as economic variables like poverty and municipal  
138 wealth.

139         The SVI of Di Paola et al. (2011), however, was different in its approach to the above  
140 three studies and was limited to four variables, namely population density, land use, roads and  
141 railways, and cultural heritage. These variables are similar to those of McLaughlin and Cooper  
142 (2010) who developed a CVI comprising three sub-indices, including a socioeconomic sub-  
143 index to assess the infrastructure potentially at risk to coastal hazards. The socioeconomic  
144 variables considered in this sub-index included those of Di Paola et al. (2011), i.e., population,  
145 land use, roads and railway, and cultural heritage, but also conservation status. Thatcher et al.  
146 (2013) examined the vulnerability of the northern Gulf of Mexico coast of the United States  
147 using socioeconomic in addition to physical factors, which included human population, urban  
148 land cover, economic value of key types of infrastructure such as energy infrastructure, location  
149 of essential facilities, and residential and commercial building values.

150         Including socioeconomic variables in a CVI had significant impact on the outcomes of a  
151 vulnerability assessment. For instance, Boruff et al. (2005) found that although physical factors  
152 were more influential in determining the vulnerability of the Atlantic and Pacific coastal counties  
153 to erosion, the social characteristics were more important in the counties along the Gulf of  
154 Mexico. Across the study area of Duriyapong and Nakhapakorn (2011), it was found that the  
155 socioeconomic variables made a larger contribution to the spatial variability of the CVI than the  
156 physical variables.

157         Duriyapong and Nakhapakorn (2011) suggested including the presence of existing  
158 structures for coastal protection in a CVI, which Di Paola et al. (2011) incorporated in the same  
159 year in an alternative methodology to the CVI and which was also considered, together with

160 *engineered frontage*, in an index published the year before in Turkey (Ozyurt and Ergin, 2010).  
161 Infrastructural variables other than the presence of coastal protection structures, for example, can  
162 also influence positively the adaptive capacity such as proximity to a metallic road, which  
163 increases economic well-being (Das, 2012), and eases evacuation efforts during a disaster, for  
164 example.

165 Other projects have not included socioeconomic variables in their CVI *per se*, but  
166 compared the highly vulnerable areas identified by their CVI to the land use/cover of those areas  
167 in order to assess the socioeconomic impacts of SLR (Gaki-Papanastassiou et al., 2010;  
168 Karymbalis et al., 2012; Palmer et al., 2011). It is the presence of infrastructure or the perceived  
169 high value of the land use that influence the level of protection to an area at risk (Gornitz et al.,  
170 1994). Yin et al. (2012), in contrast, incorporated land use as one of the risk variable in a CVI  
171 applied to the Chinese coast rather than linking the outcome of the CVI to land cover/use.

172 In India, a number of studies have developed a CVI to examine vulnerability to coastal  
173 hazards and SLR (Table 1). Dwarakish et al. (2008; 2009) developed a CVI for the Udupi coast  
174 of the state of Karnataka in South West India. Their assessment used the same variables as  
175 Thieler and Hammer-Klose (1999, 2000a, b) in the United States, and included the rate of  
176 historical shoreline change and SLR, coastal slope, mean tidal range, wave height, and  
177 geomorphology. Sheik Mujabar and Chandrasekar (2011) and Jovivek et al. (2013) used the  
178 same geologic and physical variables as Dwarakish et al. (2008; 2009) to map the vulnerability  
179 of the southern Tamil Nadu coast to erosion while Nageswara Rao et al. (2009) used five of the  
180 six variables, omitting the rate of SLR in their development and application of a CVI to the  
181 Andhra Pradesh coast of India.

182 Other CVI studies performed in India incorporated additional risk variables to those  
183 included in Thieler and Hammer-Klose (1999, 2000a, b). Kumar et al. (2010) assessed the  
184 coastal vulnerability of the state of Orissa, East India, based on the same variables as Dwarakish  
185 et al. (2008; 2009), Sheik Mujabar and Chandrasekar (2011), and Jovivek et al. (2013), but also  
186 adding coastal regional elevation and tsunami run-up as additional parameters (Table 1). Mean  
187 elevation is a risk variable that was also incorporated in the original CVI studies by Gornitz et al.  
188 (1991; 1994), and which was also used in the CVI of other countries, notably by Di Paola et al.  
189 (2011) and Diez et al. (2007) in Argentina and the Canary Islands, respectively. Many studies did  
190 not include *elevation* but instead considered *coastal slope* in their CVI with areas of low coastal  
191 slope considered more vulnerable. However, this assumption does not always hold as areas of  
192 low coastal slope with high coastal regional elevation would not be as vulnerable as similar  
193 coastal segments of low coastal regional elevation. Hence, such inconsistency can only be  
194 addressed by incorporating both variables in a CVI (Kumar et al., 2010). Mahendra et al. (2011)  
195 examined the vulnerability of the Cuddalore-Villupuram coast in East India using a more limited  
196 number of variables than previous CVI studies, but adding information about extreme storm  
197 surges, a variable that was also considered by Kumar and Kunte (2012). Extreme storm surges  
198 was a variable preferred to tsunami run-up as the occurrence of the latter is rare and tsunamis are  
199 nonetheless included under the extreme storm surge parameter (Kumar and Kunte, 2012).

200 As mentioned above, many studies that developed a CVI acknowledged that the inclusion  
201 of socio-economic variables would contribute to the identification of vulnerable areas along the  
202 coast and add a different dimension to the analysis (Dominguez et al., 2005; Lichter and  
203 Felsenstein, 2012; McLaughlin et al., 2002). However, our review of the coastal vulnerability  
204 literature suggests that most studies using a CVI to assess the vulnerability of different coastal

205 areas of India are limited to the incorporation of geologic variables, i.e., historical shoreline  
206 change, coastal slope, elevation, and geomorphology, which account for trends in erosion and  
207 accretion, the sensitivity of the coast to flooding, and the relative resistance of the shoreline to  
208 erosion, and physical variables, i.e., relative rate of SLR, mean tidal range, and mean wave  
209 height (Table 1), which influence the risk of coastal inundation (Dwarakish et al., 2009). Hedge  
210 and Reju (2007) is the only study accomplished to date in India that incorporated a socio-  
211 economic variable, i.e., population, in the development of a CVI. This study was not as  
212 comprehensive as others, however, as their CVI was limited to geologic variables and did not  
213 include any of the physical variables typically used in a CVI.

214         Although tropical cyclones and the associated storm surges are not as frequent in the  
215 Arabian Sea, which the state of Goa borders, as in the Bay of Bengal, major destructive storms  
216 have occurred in the past in this region (Dube et al., 1997; Kumar and Kunte, 2012). Moreover, a  
217 classification of the Indian coast into three categories based on its vulnerability to storm surge  
218 from wind data revealed that most of the West coast of India, including Goa, falls into the  
219 moderate risk category, which is a higher vulnerability category than many coastal areas on the  
220 Bay of Bengal (Dube et al., 1997). In addition, climate change and SLR will increase the impact  
221 of existing hazards as well as introducing new ones in some areas, for example, loss of land  
222 through increased erosion and inundation (Joevivek et al., 2013) as well as potentially causing  
223 changes in the frequency and intensity of severe storms (Doukakis, 2005b). These natural  
224 pressures on the coastal system are in addition to anthropogenic factors inducing erosion and the  
225 narrowing of the beach, notably as a result of tourism development and a growing coastal  
226 population.

227           The state of Goa, whose economy is closely related to coastal tourism, has to date not  
228 been included in any vulnerability study making use of a CVI. To help with decision-making,  
229 there is a need to develop CVIs at a scale appropriate for local management, as local or regional  
230 variations in vulnerability could be concealed if the analysis were performed at the state or  
231 national scale (McLaughlin and Cooper, 2010). Following a disaster, evacuation and relief  
232 efforts in Goa are undertaken at the *taluka* or village level and thus knowledge of the relative  
233 vulnerability of the coastal *talukas* comprising the state is important to decision-makers (Das,  
234 2012). A *taluka* is an administrative unit hierarchically above the local city, town, or village, but  
235 subordinate to a larger district of the state, and thus contains a number of villages (Noronha et  
236 al., 2002). A vulnerability analysis at the *taluka* level would help understanding and mitigating  
237 coastal problems locally. Hence, this study aims to develop a CVI to examine the vulnerability of  
238 the different administrative units of the state of Goa to erosion, coastal flooding, and inundation  
239 as a result of coastal hazards such as tropical storms, tsunamis, and SLR. In addition, unlike most  
240 previous studies, the CVI developed as part of this study is not limited to geologic and physical  
241 risk variables, but also includes data on the distribution of the resident and tourist population.  
242 Hence, this paper also examines the importance of including these two socio-economic variables  
243 in the overall assessment of vulnerability.

244           Socio-economic variables, even if only information about the resident and tourist  
245 population, are important variables to consider when assessing vulnerability (Hegde and Reju,  
246 2007). Population and tourist data can influence vulnerability in two opposing ways, however.  
247 On the one hand, an area with a greater population would generally be of higher economic value  
248 (Hughes and Brundrit, 1992), and lead to greater investment to protect properties (Hegde and  
249 Reju, 2007). It is the perception of the social and economic worthiness of the houses and

250 infrastructure in a coastal region that will determine the level of efforts made to protect that area  
251 (Gornitz et al., 1994; McLaughlin et al., 2002). Areas frequented by international tourists are  
252 often more affluent than others, and have better infrastructure, communication, and  
253 transportation links, which affect the capacity of the community to respond to natural hazards  
254 (Krishna, 2005). Thus, population and tourist density data can contribute to the adaptive capacity  
255 component of a vulnerability assessment. In a state like Goa with a relatively high level of  
256 economic development in comparison to neighbouring states (Pillai et al., 2013), this information  
257 would thereby act to reduce the vulnerability of some coastal *talukas*. On the other hand,  
258 population and tourist density data can be can be interpreted as a direct ‘erosion-inducing  
259 variable’ and therefore exert a negative pressure on the coastal system (McLaughlin et al., 2002).

260 In India, Jovivek et al (2013) noted that sand dunes were destroyed for tourism  
261 development and coastal areas with high population density were causing erosion and decreasing  
262 the width of beaches. Hence, according to this perspective a region with a low population density  
263 would exert less (negative) pressure on the environment as opposed to one with a higher  
264 population density. Moreover, mangroves, which are well known to protect communities from  
265 coastal hazards are cut, dried and burned for cooking oil, which one could argue is an activity  
266 that would be more important in an area that is more populated. In addition, sand mining, which  
267 is associated with economic activities and indirectly with number of people, does increase the  
268 sensitivity of the coastal system to coastal hazards.

269

## 270 **2. Study area**

271 The study area stretches for 105 km along the coast of the state of Goa, which is situated  
272 in West India (Fig. 1). It is surrounded by the state of Maharashtra to the north, Karnataka to the

273 east and south and the Arabian Sea to the west. Goa has a surface area of about 3,702 km<sup>2</sup>, it is  
274 India's smallest state and in 2011 it had a total population of approximately 1.4 million  
275 inhabitants (Pillai et al., 2013). The coast line of Goa is characterized by headlands, bays, creeks,  
276 promontories, sea cliffs, estuaries, and world famous beaches (Chandramohan et al., 1997;  
277 Modassir and Sivadas, 2003). In fact, there are 17 sandy beaches in Goa of significant  
278 importance to tourism, for example, Baga, Calangute, and Anjuna in the state of Bardez,  
279 Miramar beach in Tiswadi, and Colva, the second longest beach of India, situated further south  
280 in Salcete (Fig. 1) (Chandramohan et al., 1997). The state of Goa is subdivided into 11 *talukas*  
281 with seven of them bordering the coast.

282

### 283 **3. Material and methods**

284 The methodology used in this paper followed the procedure of the United States  
285 Geological Survey (USGS) published in Thieler and Hammer-Klose (1999, 2000a, b).  
286 Accordingly, coastal vulnerability is assessed using a CVI, which is a composite of different risk  
287 variables, each of which capturing a specific characteristic of vulnerability. In this study, eight  
288 variables were considered in the creation of the CVI: the historical rate of shoreline change  
289 (erosion or accretion), rate of relative sea-level change, coastal regional elevation, coastal slope,  
290 mean tidal range, significant wave height, geomorphology, and socio-economic data. These are  
291 the same geologic and physical variables as used in the USGS studies with the exception of  
292 coastal regional elevation. Elevation was a variable used by Gornitz et al. (1991) and was also  
293 included in three other CVI studies in India (i.e., Kumar et al. (2010), Mahendra et al. (2010),  
294 and Kumar and Kunte (2012)). Geology is another risk variable included in the CVI of Gornitz et  
295 al. (1991) and which was incorporated in the CVI of a coastal region of Argentina (Diez et al.,

296 2007) and Ghana (Addo, 2013), for example; this variable was not incorporated in the USGS  
297 studies and is also not considered in this paper. Table 2 provides information on the source of  
298 data for each of the eight risk variables used in this study.

299         There are different ways to rank each of the variables in a CVI. The USGS methodology  
300 (Thieler and Hammer-Klose, 1999, 2000a, b) consists of ranking each variable on an ordinal  
301 scale from one to five. Most studies have followed this ranking procedure but without  
302 necessarily using the same range for the ranking of the risk variables. Even Pendleton et al.  
303 (2005) used different ranges for the vulnerability ranking of a number of variables depending on  
304 the geographical location of the national park under consideration. Other studies have also  
305 adopted the risk variables of Gornitz et al. (1991), i.e., substituting coastal slope for mean  
306 elevation and adding geology as an additional parameter, with a few of those studies using the  
307 same ranges as Gornitz et al. (1991) in the categorization of most risk variables (Addo, 2013; Di  
308 Paola et al., 2011; Diez et al., 2007). Nonetheless, no standards exist to determine what should be  
309 considered very low, low, moderate, high, or very high vulnerability and even some studies used  
310 a different number of risk rating categories than the USGS studies. For instance, Boruff et al.  
311 (2005) followed the USGS methodology but ranked the variables into four rather than five  
312 categories, while Kumar and Kunte (2012) classified the coastline of Chennai, India, into three  
313 vulnerability categories.

314         Gorokhovich et al. (2014) who applied the CVI methodology to the coast of Alaska is to  
315 the authors' knowledge the only study that directly applied the ranges of the risk variables as  
316 published in the USGS studies. The majority of previous studies based on the USGS  
317 methodology have modified the ranges of some variables under each risk ranking category  
318 (Duriyapong and Nakhapakorn, 2011; Dwarakish et al., 2008; Dwarakish et al., 2009; Gaki-



319 Papanastassiou et al., 2010; Hegde and Reju, 2007; Jana and Bhattacharya, 2013; Jovivek et al.,  
320 2013; Karymbalis et al., 2012; Nageswara Rao et al., 2009; Ozyurt and Ergin, 2010; Sheik  
321 Mujabar and Chandrasekar, 2011), as when the ranking is done relative to the entire region the  
322 resulting CVI was reported to be more useful to end-users (Clavano, 2012). An illustrative  
323 example is Karymbalis et al. (2012) who used a five risk ranking categorization but with the  
324 ranges of the risk ranking determined by taking into consideration the maximum and minimum  
325 values of each variable rather than using the ranges of Thieler and Hammer-Klose (1999, 2000a,  
326 b). Such an approach allows for the determination of the degree of risk of different coastal  
327 stretches relative to the average of the region under investigation but does not allow for direct  
328 comparison between regions.

329         In the current study, each quantitative variable was ranked into three categories according  
330 to the level of risk it represents: low, medium and high, with the ranges of each risk ranking  
331 category determined based on the maximum and minimum values and the average for the state as  
332 a whole. Such an approach was favoured as the purpose of this study was to rank the coastal  
333 *talukas* of Goa according to their relative vulnerability to erosion, coastal flooding, and  
334 inundation; nonetheless, the level of vulnerability for the different risk variables are compared  
335 throughout the paper with other coastal regions of India as reported in the literature. In the case  
336 of the rate of relative SLR, mean tidal range, and significant wave height, the entire coast of the  
337 state was assigned the same risk ranking category, as changes in those variables are marginal  
338 along the coast of Goa; this approach was also adopted in studies focusing in other regions of  
339 India (Kumar and Kunte, 2012; Kumar et al., 2010). The geomorphological variable was ranked  
340 qualitatively into the low, medium, and high risk category according to the resistance of the

341 prevailing coastal landform to erosion. The calculations involved in the computation of each of  
342 the eight variables comprising the CVI are described below.

343

### 344 *3.1 Rate of shoreline change*

345 A change in the location of the shoreline is an indication of the sensitivity of the coast to  
346 erosion. Coastal erosion is considered a risk not only because it threatens buildings and  
347 infrastructure, but also because it degrades and diminishes the extent of the beach, potentially  
348 impacting negatively on tourism (Dominguez et al., 2005). This is unless the shoreline and beach  
349 is not obstructed from moving landward, which is often not the case in regions with a developed  
350 coast such as tourist destinations. The shoreline was digitised using data for the year 1973, 1989,  
351 and 2006 obtained from the Landsat MSS, Landsat Thematic Mapper (TM), and Enhanced  
352 Thematic Mapper (ETM+) satellite, respectively. These satellite images were processed using  
353 the ERDAS Imagine 9.2 software, which included image enhancement, geo-referencing, and  
354 band extraction, while GIS software (ArcGIS version 9.2) was used for vectorization of coastline  
355 and contours. These digitised shorelines (Fig. 2) were used as inputs into the Digital Shoreline  
356 Analysis System, which was downloaded from the USGS (2005) to calculate the rate of  
357 shoreline change.

358 The digitized shorelines for the year 1973, 1989, and 2006 along with a reference  
359 baseline were marked on a map (Fig. 2a) and used to calculate the rate of shoreline change.  
360 Different techniques can be used to calculate the rate of shoreline change, nonetheless all  
361 techniques involve the computation of the change in the position of the shoreline through time by  
362 drawing perpendicular transects to the baseline (Hegde and Reju, 2007). Accordingly, 300 m  
363 long transects at a spacing of 500 m were casted along the 105 km long shoreline of Goa with the

364 help of the DSAS TOOLBAR in ArcGIS 9.3 (Fig. 2b). The different methods used to calculate  
365 the rate of shoreline change include the End Point Rate (EPR), as illustrated in Fig. 2c for the  
366 *taluka* of Pernem, Net Shoreline Movement (NSM), Average Of Rates (AOR), Linear  
367 Regression (LR), and Jackknife (JK) (Hegde and Reju, 2007). Figure 2d illustrates an example of  
368 the NSM, also for Pernem *taluka*. The advantage of the EPR method is its ease of computation,  
369 as it considers only two shoreline positions to calculate the rate of shoreline change (Dolan et al.,  
370 1991). At each transect along the shoreline, the NSM and EPR were estimated and the rate of  
371 shoreline change and associated risk ratings were calculated for each coastal *taluka*. Table 3  
372 displays the rates of shoreline change corresponding to the low, medium, and high risk rating  
373 categories. According to this classification, areas with a shoreline change rate greater than 0.6  
374 m/yr are given a high risk rating.

375

### 376 *3.2 Rate of relative SLR*

377 An important impact of climate change is SLR. This study defines mean sea level as the  
378 height of the sea with respect to a local land benchmark, averaged over a certain period, such as a  
379 month or a year, i.e., a period long enough so as to remove fluctuations caused by waves and  
380 tides (Kumar and Kunte, 2012; Kumar et al., 2010). With respect to vulnerability, coasts that are  
381 subject to a high rate of SLR are considered as highly vulnerable and vice versa. In the present  
382 study, the rate of SLR was computed using annual mean relative SLR rate data from tide gauge  
383 stations surrounding the Indian Ocean over the period 1969-2007.

384

### 385 *3.3 Coastal regional elevation*

386 Regional elevation refers to the average elevation of a particular coastal area above mean  
387 sea level. Coastal regional elevation is an important parameter in the analysis of coastal  
388 vulnerability as it provides an estimate of the extent of land threatened by projected SLR (Kumar  
389 and Kunte, 2012; Kumar et al., 2010), as well as the sensitivity of the coast to flooding during a  
390 storm surge (Diez et al., 2007) or tsunami. Hence, areas with high coastal elevation will be  
391 considered less vulnerable and vice-versa (Gornitz et al., 1994). The coastal regional elevation  
392 for the coast of Goa was determined using satellite data obtained from the Shuttle Radar  
393 Topography Mission (SRTM) for the year 2000. The procedure consisted of first generating  
394 elevation contours for the case study region using the SRTM data. Then, to calculate the coastal  
395 regional elevation parameter, coastal area extending inland from the shoreline was buffered and  
396 cropped using GIS. The average height of this entire coastal area was subsequently calculated as  
397 well as that of each *taluka*. Risk ratings were assigned for each *taluka* by comparing the average  
398 height of the coastal stretch of each *taluka* with that of the entire coastal area of the state.

399

#### 400 *3.4 Coastal slope*

401 Bathymetry refers to the depth from the shoreline towards the open ocean. It is the  
402 essential baseline for all forms of hydrodynamic, wave, and inundation modelling as near-shore  
403 bathymetry decides the fate of waves as they approach the coast (Kumar and Kunte, 2012).  
404 Hence it is an important parameter to consider when estimating the extent of land at risk of  
405 flooding following a storm surge or tsunami (Krishna, 2005). The parameter *coastal slope* can be  
406 estimated using near shore bathymetry and loss of land due to inundation can be represented as a  
407 function of the coastal slope (Sterr et al., 2003), as locations with gentle slope values retreat  
408 faster than steeper ones (Gaki-Papanastassiou et al., 2010) and are more prone to flooding from

409 storm surges and tsunamis (Kumar et al., 2010). Thus, coastal areas having gentle slopes are  
410 considered as highly vulnerable while areas of steep slope were given low risk rating.

411 Bathymetric data were obtained from the modified ETOPO2 dataset of the National  
412 Institute of Oceanography (NIO), Goa (India), which was derived from satellite altimetry  
413 (Sindhu et al., 2007). The procedure for calculating the coastal slope parameter consisted of first  
414 vectorizing the depth contours from the bathymetric map, and then a GIS-based Triangulated  
415 Irregular Network (TIN) model was developed after geo-referencing the data using the Universal  
416 Transverse Mercator (UTM) projection system together with World Geodetic System (WGS)-84  
417 datum. The depth contours were drawn using a 5 m interval scale using the spatial analyst  
418 extension of ArcGIS 9.3 (Fig. 3). Using this depth contour map, the coastal slope was calculated  
419 at each *taluka* with the slopes categorised as high, medium or low risk rating according to the  
420 thresholds displayed in Table 3.

421

### 422 *3.5 Mean tidal range*

423 Tides are the result of the gravitational attraction of the moon and the sun and are  
424 therefore periodic and highly predictable (Kumar et al., 2010). Tidal range is defined as the  
425 vertical difference between the highest high tide and the lowest low tide and is linked to both  
426 permanent and episodic inundation hazards (Diez et al., 2007; Doukakis, 2005b; Kumar et al.,  
427 2010). From a vulnerability point of view, some studies have designated coastal regions with a  
428 high tidal range as highly vulnerable (Addo, 2013; Di Paola et al., 2011; Diez et al., 2007;  
429 Doukakis, 2005b; Duriyapong and Nakhapakorn, 2011; Gornitz et al., 1994; Kumar et al., 2010;  
430 Yin et al., 2012) while others, including the USGS studies, ranked coastal areas with a low tidal  
431 range as the most vulnerable (Dwarakish et al., 2008; Dwarakish et al., 2009; Gaki-

432 Papanastassiou et al., 2010; Gorokhovich et al., 2014; Jovivek et al., 2013; Karymbalis et al.,  
433 2012; Ozyurt and Ergin, 2010; Pendleton et al., 2005; Sheik Mujabar and Chandrasekar, 2011).

434 Coastal areas with high tidal range are considered highly vulnerable on the basis that a  
435 large tidal range is associated with strong tidal currents that can transport unconsolidated  
436 sediments away from the coast (Gornitz et al., 1994; Kumar et al., 2010). A large tidal range also  
437 has intertidal zones of near zero elevation that would be susceptible to inundation as a result of  
438 SLR (Doukakis, 2005b), and impact on the ecology of wetlands (Gornitz et al., 1994). Others  
439 argue that a large tidal range increases the resilience of a coastal area to SLR (Chauhan et al.,  
440 2004) and that coastal areas with micro-tidal conditions (i.e., low tidal range) have a higher  
441 likelihood to be at high tides during a storm and therefore are at greater risk of erosion and  
442 flooding (Dwarakish et al., 2009; Gaki-Papanastassiou et al., 2010). Tide-gauged data are  
443 available from several coastal locations across India, and the mean tidal range data used in this  
444 study were obtained from the NIO, Goa, for the year 2011.

445

### 446 *3.6 Significant wave height*

447 Significant wave height is used as an alternative to wave energy and is an important  
448 parameter to consider when assessing coastal vulnerability, because it drives the transport of  
449 coastal sediments, thereby influencing coastal erosion (Gaki-Papanastassiou et al., 2010). Hence  
450 wave height gives an indication of the amount of beach materials that may be moved offshore  
451 and thereby removed from the coastal sediment system (Doukakis, 2005b). Significant wave  
452 height is defined as the average height (trough to crest) of one-third of the waves in a wave  
453 spectrum for a given period of time (Kumar et al., 2010). Wave energy is directly related to the  
454 square of wave height by the following formula:

$$E = \frac{1}{8} \rho g H^2 \quad (1)$$

455

456 where  $E$  is energy density,  $\rho$  is water density,  $g$  is acceleration due to gravity, and  $H$  is wave  
457 height. Thus an increase in wave height causes an increase in wave energy, which subsequently  
458 results in increased erosion along the shore (Kumar et al., 2010). Hence, coastlines experiencing  
459 high wave heights are considered more vulnerable than those exposed to low wave heights.  
460 Significant wave height was estimated using wave height data obtained from the NIO in Goa,  
461 which were recorded using a directional wave rider buoy off Mormugao in the *taluka* of  
462 Mormugao (Vethamony et al., 2009).

463

### 464 3.7. *Geomorphology*

465 The geomorphology parameter expresses the degree of resistance of the different  
466 landforms and the materials that compose them (Thieler and Hammer-Klose, 1999). The  
467 landforms with the most erodible feature, for example, active sand dunes, are the most sensitive  
468 and inevitably this feature was given the highest risk rating, whereas landforms with the least  
469 erodible feature, such as rocky cliffs, have low sensitivity and are thereby the least vulnerable. A  
470 geomorphologic map was prepared at the 1:25,000 scale using data for January 1999 from the  
471 high resolution Linear Imagery Self Scanner (LISS) as part of the Indian Remote Sensing  
472 Satellite (IRS) P6. These satellite images were used for delineating the shoreline into the  
473 dominant landform type, including rocky cliffs, exposed rocks, lateritic mesas, beaches,  
474 estuaries, tidal flats, mangroves, stabilized dunes, and active sand dunes; each of which having a  
475 different degree of sensitivity to erosion (Table 3).

476

477 3.8. Socio-economic data

478 Population is one of the major socio-economic variables influencing the vulnerability of a  
479 region to coastal hazards. As previously mentioned, population can exert a negative pressure on  
480 the coastal environment as areas with high population and tourist density would often lead to  
481 greater erosion rates. However, population can also be related to the capacity of a coastal  
482 community to protect itself against natural hazards and SLR and hence act to reduce  
483 vulnerability. In the present study, the population and tourist data were considered as exerting a  
484 further pressure on the coastal system and hence areas with a higher concentration of people  
485 and/or tourists were considered as more susceptible to erosion, in a way similar to Hedge and  
486 Reju (2007), albeit the latter study limited its analysis to the resident population without  
487 considering tourism data. Including tourism data is particularly important in many parts of Goa  
488 where the number of tourists outnumbers the local population (Fig. 4). This approach was  
489 selected as the current study did not aim to make an assessment of adaptive (coping) capacity,  
490 but focused instead on exposure and sensitivity of the coastal system. This is further supported  
491 by previous research, which noted the destabilization of some of the beaches of Goa as a result of  
492 pressure from tourism related activities (Chauhan et al., 2004).

493 The majority of Goa's population resides near the coast (Fig. 4). Goa is an important  
494 tourism destination for both domestic and international tourists, and the number of people  
495 visiting the state in a year outnumbers three times the resident population (Government of Goa,  
496 2010). For this reason, both population and tourism have a significant influence on the coast and  
497 were accordingly considered as two subcomponents of the socio-economic variable to be  
498 incorporated into the CVI of the present study. Population and tourism data (i.e., number of  
499 foreign and domestic tourists) for each *taluka* were gathered from the state government for the



500 years 2008 and 2009. For the risk ranking, the *talukas* with the highest population and tourist  
501 density were considered as the most vulnerable.

502

### 503 3.9 Calculation of the CVI

504 Once each of the seven *taluka* with a coastline in the state of Goa was assigned a risk  
505 value for every variable, the CVI was calculated as the square root of the product of the ranked  
506 variables divided by the total number of variables (Pendleton et al., 2005):

$$507 \quad CVI = \sqrt{\frac{a * b * c * d * e * f * g * h}{8}} \quad (2)$$

508 where a = rate of shoreline change, b = rate of relative SLR, c = coastal regional elevation, d =  
509 coastal slope, e = mean tidal range, f = significant wave height, g = geomorphology, and h = the  
510 sum of population and tourism density.

511 There are different ways to combine variables in a composite index. Some composite  
512 indices are calculated using weighted averages of individual parameters, which inevitably require  
513 a degree of judgment about the influence of each variable (Hahn et al., 2009). In the present  
514 study, however, equal weight was given to every variable; an approach that is the most widely  
515 used in the literature (Addo, 2013; Boruff et al., 2005; Clavano, 2012; Di Paola et al., 2011;  
516 Dwarakish et al., 2008; Dwarakish et al., 2009; Gaki-Papanastassiou et al., 2010; Gorokhovich et  
517 al., 2014; Hegde and Reju, 2007; Jovivek et al., 2013; Karymbalis et al., 2012; Kumar and  
518 Kunte, 2012; Kumar et al., 2010; McLaughlin and Cooper, 2010; Ozyurt and Ergin, 2009, 2010;  
519 Pendleton et al., 2005; Sheik Mujabar and Chandrasekar, 2011), including the USGS studies  
520 (Thieler and Hammer-Klose, 1999, 2000a, b) and Gornitz et al. (1991).

521 A few studies have developed a CVI composed of weighted variables (Diez et al., 2007;  
522 Doukakis, 2005b; Duriyapong and Nakhapakorn, 2011; Nageswara Rao et al., 2009; Szlafsztein  
523 and Sterr, 2007; Yin et al., 2012). Palmer et al. (2011) did not weight the risk variables of their  
524 CVI *per se*, but included additional weighting to grid cells that covered an estuarine area. Diez et  
525 al. (2007) assessed the coastal vulnerability of a region of Argentina using equation 2 above,  
526 albeit without socio-economic data and using elevation as opposed to coastal slope. However,  
527 they also used another CVI formula as published in Gornitz et al. (1997), with each indicator  
528 carrying different weights, and found that the outcome of the vulnerability assessment differed  
529 considerably between the two approaches. In their alternative CVI, elevation, SLR, and the rate  
530 of shoreline change were given twice the weight of the other variables, which included  
531 geomorphology, wave height, and mean tidal range.

532 Doukakis (2005b) also used weighted variables in their CVI, giving three times more  
533 weight to all risk variables with the exception of coastal slope. Coastal slope was also the  
534 variable carrying the lowest weight in the CVI of Yin et al. (2012) with SLR given the highest  
535 weight, followed by elevation and geomorphology. However, this is in contrast to Duriyapong et  
536 al. (2011) who determined the weight of the risk variables based on consultations with experts  
537 and of the four physical risk variables, coastal slope was given the highest weight (0.35) then  
538 wave height (0.29), erosion (0.25), and tidal wave (0.11). Nageswara Rao et al. (2009) is yet the  
539 only study in India that developed a CVI based on weighted variables. As in Diez et al. (2007)  
540 they gave a lower weight to wave height and tidal range but multiplied geomorphology and  
541 coastal slope by a factor of four and the rate of shoreline change by a factor of two.

542 As there is no agreement in the literature on the weight assigned to the different variables  
543 comprising a CVI, the more widely used method of assigning equal weight to all variables was

544 preferred, otherwise the process of assigning weights would be subjective and hence the  
545 outcomes of the vulnerability assessment would be influenced by personal judgement.  
546 Nonetheless, the influence of each variable on the outcome of the CVI was estimated by  
547 excluding one variable at a time.

548 Equation 2 was calculated for each taluka and the resulting CVI values were ranked into  
549 three classes, depending on their overall level of vulnerability: low, medium, and high,  
550 corresponding to the 25<sup>th</sup> percentile, 25<sup>th</sup> to 50<sup>th</sup> percentile, and 50<sup>th</sup> percentile, respectively.

551

## 552 **4. Results**

### 553 *4.1 Rate of shoreline change*

554 The calculations of the rate of shoreline change indicate that the *talukas* of Bardez and  
555 Salcete are the most sensitive to coastal erosion and thus have a high risk rating (Fig. 5),  
556 recording erosion rates of more than 0.6 m per year. The coastline of Pernem, Tiswadi, Quepem  
557 and Canacona with erosion rates between 0.3 and 0.6 m/year are ranked as medium risk. The  
558 coast of the *taluka* Mormugao has experienced the lowest erosion rates (< 0.3 m/year) and is  
559 thereby ranked as low risk level.

560

### 561 *4.2 Rate of relative SLR*

562 Sea level has increased at a rate varying between 1.06 and 1.75 mm/year during the  
563 period 1969-2007, depending on the tide gauge recording site, with an estimated regional  
564 average of 1.29 mm/year, subsequent to a global isostatic adjustment correction (Unnikrishnan  
565 and Shankar, 2007). This regional average is within the 1-2 mm/year global SLR estimate  
566 reported by the IPCC for the past 100 years (Hegerl, 2007). Since variations across Goa are

567 minimal, which is not surprising for a state with a relatively small surface area, one could  
568 categorise the entire 105 km coastline in the same risk rating category, which in this case was  
569 considered as medium risk, given that it is within the IPCC range. Sea level will continue to rise  
570 given the projected increase in emissions of greenhouse gases (GHG), with SLR projected to be  
571 approximately 4 mm/year by the end of this century (Unnikrishnan et al., 2004).

572

### 573 *4.3 Coastal regional elevation*

574 The coastal elevation for Goa ranges between sea level 0 and 100 m. Accordingly,  
575 *talukas* with a coastal regional elevation of less than 35 m were categorised as high risk while  
576 those with a coastal elevation greater than 55 m were classified as low risk (Table 3). This study  
577 revealed that the 30 km of the coast of the *talukas* of Salcete, Bardez and Tiswadi has a coastal  
578 regional elevation of less than 35 m, which was assigned a high risk rating (Fig. 6). About 35 km  
579 of coastline covering the *talukas* of Pernem and Mormugao falls within the medium risk rating  
580 category whereas the remaining 40 km of coast Quepem and Canacona *talukas* in the south of  
581 the state has a high coastal regional elevation and was therefore assigned a low risk rating.

582 An inundation map was prepared for the study area to show the potential risk of  
583 inundation based on various synthetic SLR scenarios. As figure 7 illustrates, river systems in the  
584 study region are corridors for inundation as they allow the flood water to be carried upstream for  
585 long distances resulting in flooding along the proximal areas of the rivers. During high tide, for  
586 example, sea water can reach up to 40 km upstream (Shetye et al., 2007). Figure 7 also shows the  
587 vulnerability of a number of coastal locations to submergence as a result of SLR, notably parts  
588 Bardez and Tiswadi, and most of the coastal area of Salcete, which are the *talukas* with the  
589 lowest elevation as mentioned above.

#### 590 4.4 Coastal slope

591 The present study revealed that about 35.7 km of Pernem and Salcete *taluka* coastline has  
592 a low risk rating as coastal slope is steep, i.e., more than 0.3 degree (Fig. 8). The 21.2 km of the  
593 coastline of the *taluka* of Bardez and Quepem has a moderate risk rating with a coastal slope  
594 varying between 0.1 and 0.3 degree. About 73.9 km of Tiswadi, Mormugao and Canacona *taluka*  
595 coastlines have low coastal slopes and are therefore considered more sensitive to storms and SLR  
596 and were accordingly given a high risk rating (Fig. 3).

597

#### 598 4.5 Mean tidal range

599 The tidal range for a short shoreline such as Goa does not fluctuate much in a year  
600 (Kumar and Kunte, 2012). The mean tidal range was calculated as 0.2 m to 2.4 m for the year  
601 2011. Hence, the entire 105 km coastline of Goa was classified under the moderate risk  
602 | ~~category~~category, which is consistent with Kumar et al. (2010) who considered a tidal range  
603 below 2.5 m in the same risk rating category.

604

#### 605 4.6 Significant wave height

606 During the non-monsoon months (October - May) significant wave heights do not exceed  
607 2.0 m off Mormugao Port. During the monsoon months (June - August) and in September, the  
608 significant wave height reaches more than 2.5 m (Fig. 9). Given the magnitude of the significant  
609 wave heights, the entire coast of Goa was classified in the medium risk rating category, in  
610 agreement with Dwarakish et al. (2009) who considered coastlines with significant wave heights  
611 ranging from 1.6 to 2.8 m as moderately vulnerable. For a small state such as Goa significant

612 wave height does not vary significantly across the state and the entire coastline was given the  
613 same risk rating.

614

#### 615 4.7 *Geomorphology*

616 Some beaches such as Baga, Calangute, and Anjuna, all located in the Bardez *taluka* (Fig.  
617 1), as well as Arambol in the Pernem *taluka* are backed by stabilized sand dunes and are  
618 therefore moderately vulnerable, whereas low-lying beaches like Colva in Salcete *taluka* and  
619 Caranzalem in the Tiswadi *taluka* are highly vulnerable. Coastlines such as the Quepem,  
620 Canacona and Mormugao are backed by headlands or cliffs and are thus the least vulnerable.  
621 There are limitations, however, to the categorisation of risk level at the spatial scale of a *taluka*.  
622 For example, the presence of mangroves and river size are factors that influence local  
623 vulnerability but may not always be considered depending on the scale of analysis. Previous  
624 research has shown that the width of mangrove forest reduces death significantly during severe  
625 events. Major rivers carry away surge water and help in reducing surge velocity to flooding,  
626 hence nearness to a major river decreases vulnerability while minor rivers can have the opposite  
627 effect likely because of their low water carrying capacity (Das, 2012).

628

#### 629 4.8 *Socio-economic data*

630 In terms of population, *talukas* with high population density and high number of tourists  
631 in a year were ranked as the most vulnerable and vice-versa. According to this criterion, the  
632 *talukas* of Salcete, Tiswadi and Bardez with their high population density and high number of  
633 visitors were ranked as the three most vulnerable *talukas* (Fig. 4). The *taluka* of Mormugao has  
634 moderate population and receive smaller number of tourists than the above three *talukas* and was

635 thus ranked as moderately vulnerable. The coastal *talukas* of Pernem, Quepem, and Canacona  
636 are the least vulnerable as few tourists visit these less populated *talukas*.

637

#### 638 4.9 Coastal vulnerability in the state of Goa

639 Table 4a illustrates the results of the CVI for each coastal *taluka* of the state of Goa while  
640 their relative ranking is depicted in Table 4b and Figure 10. This classification was based on the  
641 exposure and sensitivity of the coast to seven physical and geologic risk variables plus  
642 population and tourist density data representing the socio-economic component. The CVI values  
643 for the seven coastal *talukas* of Goa varied from 2.0 to 12.7. The 25th and 50th percentiles of the  
644 CVI values are 2.7 and 3.5, respectively. Hence, the *talukas* of Quepem and Canacona, i.e., the  
645 two southernmost *talukas* of the state, are considered to have low vulnerability. Since the *talukas*  
646 of Pernem and Mormugao have CVI values of 2.8 and 4.2, respectively, they fall within the  
647 moderate vulnerability category, while the *talukas* of Salcete, Bardez and Tiswadi, are classified  
648 as the most vulnerable.

649 Since the three physical risk variables of the CVI, i.e., rate of relative SLR, mean tidal  
650 range, and significant wave height, were given the same value across the state, the relative  
651 vulnerability across the different *talukas* was consequently determined on the basis of geologic  
652 parameters, i.e., historical rate of shoreline change, coastal slope, coastal regional elevation, and  
653 geomorphology in addition to population and tourist density data, which were considered as an  
654 additional erosion-inducing variable on the coastal system. The *talukas* of Bardez, Tiswadi, and  
655 Salcete were considered the most vulnerable. Bardez and Salcete have both experienced erosion  
656 rates of more than 0.6 m/year while the erosion rate for Tiswadi was found to be above 0.3  
657 m/year. These are also the most populated *talukas* and where the world famous beaches

658 attracting domestic and international tourists to the state are located, hence further increasing the  
659 erosion risk of those *talukas*. In addition, these three *talukas* are vulnerable to coastal flooding  
660 and inundation due to their low-lying topography, which is further accentuated in the case of  
661 Bardez and Tiswaldi because of their gentle coastal slope. Salcete has low elevation, but it has a  
662 relatively steep coastal slope, and it is for this reason that the overall vulnerability of this state is  
663 lower than Bardez and Tiswaldi located further north. This further shows the importance of  
664 considering these two parameters in a CVI, which few studies have done so far.

665         The *talukas* of Quepem and Canacona were ranked the least vulnerable to erosion due to  
666 presence of rocky cliffs, exposed rocks, and mesas, and also because they have low population  
667 density and do not attract many tourists. Even though these two *talukas* have relatively gentle  
668 coastal slope, they are not considered at significant risk of flooding and inundation due to their  
669 relatively high coastal regional elevation. The *talukas* of Pernem and Mormugao were  
670 categorized as moderately vulnerable. Pernem has experienced significant erosion in recent  
671 decades owing to its geomorphology but its elevation is relatively high and it has a steep coastal  
672 slope. Mormugao is one of *the talukas* with the lowest erosion rates but it is moderately  
673 vulnerable, due to its gentle coastal slope and moderate elevation.

674

#### 675 *4.10 Sensitivity of the CVI to socioeconomic characteristics*

676         The sensitivity of the CVI to the inclusion of socioeconomic characteristics was  
677 examined by recalculating the vulnerability of the coastal *talukas* without considering  
678 information about population and tourist density. This resulted in a significant decrease in the  
679 vulnerability value for the three touristic *talukas* of Bardez, Tiswadi, and Salcete, but these  
680 *talukas* would, on a relative basis, still remain the three most vulnerable in the state. The



681 vulnerability of the Pernem *taluka* increased slightly after incorporating the socioeconomic  
682 variable while that of Mormugao had its vulnerability ranking decreased from moderate to low,  
683 resulting in this *taluka* having a vulnerability value similar to the two southernmost *talukas* of  
684 the state.

685

## 686 **5. Discussion and conclusions**

687         Concerns about climate change has led to a growing body of research on coastal  
688 vulnerability to SLR (Boruff et al., 2005). This study used seven physical and geologic risk  
689 variables in addition to population and tourist density data in the creation of a CVI for the state  
690 of Goa. The seven physical and geologic risk variables, i.e., rate of shoreline change, mean SLR,  
691 coastal regional elevation, coastal slope, mean tidal range, significant wave height, and  
692 geomorphology were selected on the basis of a review of the international literature and are in  
693 agreement with the variables used in the most comprehensive vulnerability assessments  
694 undertaken to date in other coastal regions of India.

695         Few studies have attempted to include socioeconomic indicators in their coastal  
696 vulnerability assessment (Gorokhovich et al., 2014), even though incorporating population  
697 density as a risk factor was suggested in one of the first studies making use of a CVI (Gornitz,  
698 1991). Socio-economic variables and, in particular, information on the distribution of the  
699 resident and tourist population along the coast are important variables to consider especially  
700 when assessing local vulnerability to erosion. This is particularly important in Goa in view of the  
701 state's growing population and the importance of tourism to the state economy; the latter causes  
702 mounting pressure for the development of new facilities, infrastructure, and transportation links  
703 (Murali et al., 2006; Noronha, 2004; Wilson, 1997).

704 The CVI was calculated for all *talukas* having a coast in the state of Goa. Indicators-  
705 based methods such as the CVI are relatively simple to calculate, yet they are built on detailed  
706 quantitative analyses and are able to assess non-linear effects as well as considering interactions  
707 between different processes (Ramieri et al., 2011). Such analyses have become possible in recent  
708 years in view of satellite data, numerical modelling, and tools to process such data, e.g. GIS.

709 One issue facing planners and other decision-makers is to identify how, where, and when  
710 to adapt to the impacts of coastal hazards and SLR (Moser et al., 2012). The CVI provides a  
711 comparative metric of vulnerability of the coastal *talukas* of the state (Chandramohan et al.,  
712 1997). In the state of Goa, information for all disasters is collected at various levels, i.e., the  
713 district, *taluka*, and village. Based on this information, decisions are taken by the Chief Minister  
714 in consultation with his ministers and district administrators (Collector). The decisions are  
715 implemented by the village (Panchayat - a locally elected body) and *taluka* administrators. The  
716 current *taluka*-based vulnerability map provides a useful tool to decision-makers by depicting  
717 areas most vulnerable to erosion, coastal flooding, and inundation.

718 It is expected that this vulnerability map in addition to the inundation map based on  
719 synthetic SLR scenarios will be useful in 1) land use planning and zoning ordinances to protect  
720 community resources as well as guiding new development by formulating regulations and  
721 building codes that are area specific, and 2) developing emergency management plans to prepare  
722 for natural disasters like flooding as a result of tropical storms. In view of projected SLR, this  
723 vulnerability map explains why some parts of the 105 km long coast of the state of Goa are more  
724 vulnerable than others and would therefore allow policy makers to direct funding to the most  
725 vulnerable sections of the coast.

726 This study focused on the vulnerability to coastal hazards and SLR. Other impacts of  
727 SLR not mentioned here and that are relevant to Goa include salt water intrusion of estuaries and  
728 groundwater aquifers (Gornitz, 1991) while other hazards also affecting coastal environments  
729 include industrial/sewage pollution and harmful algal blooms (Krishna, 2005). The potential of  
730 oil spills is another hazard affecting the coast of Goa because of the fact that the Arabian Sea lies  
731 in an important traffic zone for oil transport, which is likely to continue or increase further in  
732 importance (Yap and Lam, 2013). Oil slicks can easily reach the shore during favourable wave  
733 or tidal actions, causing major ecological damage when this occurs. Such hazards were not  
734 considered in the present vulnerability analysis; nonetheless, it is important to mention that the  
735 natural hazards studied in this study also have the potential to increase the likelihood of  
736 hazardous events with regards to oil spills.

737 We trust that the results of this assessment based on the most reliable scientific  
738 information currently available will serve to increase awareness about the vulnerability of the  
739 coastal zone of Goa to erosion, coastal flooding, and SLR, as well as catalyzing policy options  
740 by coastal planners and government authorities with regards to prioritizing coastal areas for  
741 adaptation. A follow-up on this study could be the development of an action plan map, which  
742 would integrate the outcome of this CVI plus information about the presence of coastal  
743 infrastructure decreasing vulnerability, e.g. sea walls.

744 This study included two socio-economic characteristics in the calculation of the  
745 vulnerability of the coastal *talukas* of Goa. However, there are also human activities that could  
746 increase erosion along the coast. Beach erosion is influenced by the interception of silt and sand  
747 by upstream reservoirs, coastal engineering structures such as groins and jetties, which trap sand  
748 moving along the shoreline and hence reduce the supply of sand to some areas, and sand mining

749 on the beach (Gornitz, 1991; Jeevivek et al., 2013). The first two of these human influence  
750 parameters were incorporated in the CVI of Ozyurt and Ergin (2010) by considering reduction of  
751 sediment supply, river flow regulation, and the percentage of the land in the presence of  
752 engineered frontage and coastal protection structures.

753 Further work should include more social, economic but also built environment  
754 characteristics in the vulnerability assessment. The work presented here is beyond what has been  
755 achieved to date in India but it remains only a first step towards a comprehensive vulnerability  
756 assessment, which should include an assessment of the adaptive (coping) capacity. Future work  
757 includes the adoption of an Integrated Assessment Model to evaluate the vulnerability of coastal  
758 systems to the impacts of multiple natural hazards. Such a model can include the cross-sector  
759 analysis of interaction among different impacts and the synergetic effects of changes in climate  
760 and other key variables affecting the coastal system such as socio-economic development and  
761 adaptation measures. The ability of a fully integrated assessment of coastal vulnerability, also  
762 considering dynamic interactions between sectors and/or processes, makes integrated assessment  
763 models very useful in supporting policy and decision-making at various spatial scales.

764

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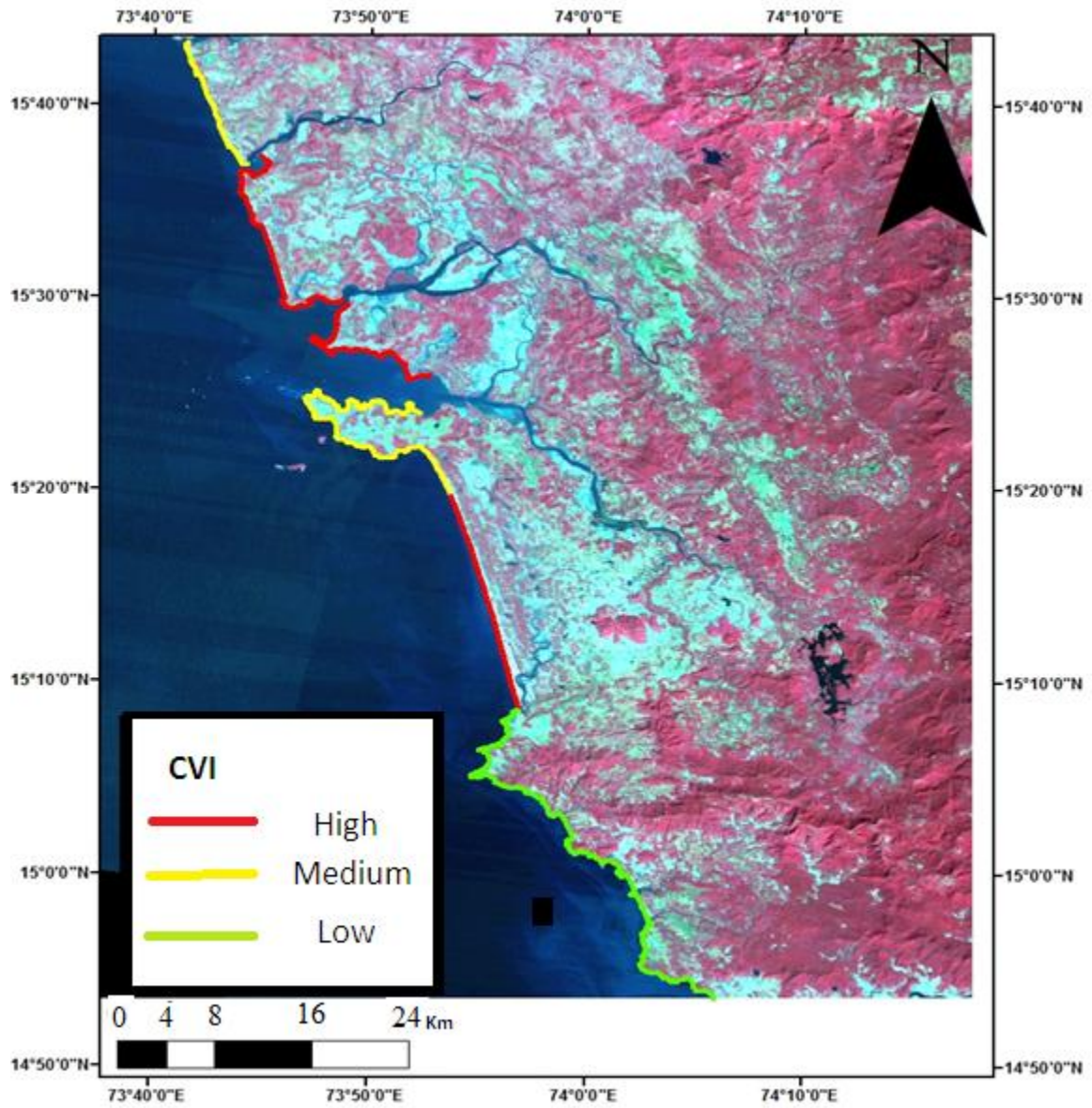
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## Research Highlights

- A Coastal Vulnerability Index (CVI) is developed using eight risk variables
- The data from conventional and remote sensing sources were processed using GIS
- Socio-economic data supplemented the geologic and physical risk variables
- The CVI was used to assess the vulnerability of the coast of Goa, India
- The vulnerability map depicts the coastal zones most at risk to multiple hazards

Multi-hazards Coastal Vulnerability Assessment of Goa, India, using Geospatial Techniques





**Table 1** Parameters used in the development and application of a CVI in different coastal areas of India

**Table 2** Source and period of the different parameters used in the construction of the CVI.

<b>Parameter</b>	<b>Source of data</b>	<b>Period</b>
Historical rate of shoreline change	Landsat TM, ETM and MSS ( <a href="http://glcfapp.glc.f.umd.edu:8080/esdi/index.jsp">http://glcfapp.glc.f.umd.edu:8080/esdi/index.jsp</a> )	1973,1989, 2006
Rate of relative SLR	Annual mean relative sea level data from Indian Ocean tide gauge stations	1969-2007
Coastal regional elevation	Shuttle Radar Topography Mission (SRTM) and ArcGIS 9.3	2000
Coastal slope	ETOPO2 bathymetric dataset from the National Institute of Oceanography, Goa (India)	1971-1984
Mean tidal range	National Institute of Oceanography, Goa (India)	2011
Significant wave height	National Institute of Oceanography, Goa (India) using studies on directional waves off Mormugao Port	2009
Geomorphology	High resolution Linear Imaging Self Scanner of the IRS-P6 satellite of the India Space Research Organisation	January 1999
Population and tourist density data	Statistical Handbook of Goa, Directorate of Planning Statistics and Evaluation, Government of Goa	2008-2009



**Table 3** Risk rating assigned to the different CVI parameters.

Parameter	Risk rating		
	Low	Medium	High
<i>Geologic</i>			
Historical rate of shoreline change (m/year)	< 0.3	0.3 - 0.6	> 0.6
Coastal slope (degrees)	> 0.3	0.1 - 0.3	< 0.1
Coastal regional elevation (m)	> 55	35 - 55	< 35
Geomorphology	Rocky cliffs, exposed rocks, lateritic mesas	Stabilized sand dunes, beaches	Estuaries, mangroves, active sand dunes, tidal flats
<i>Physical</i>			
Rate of relative SLR (mm/year)	----	1.29	----
Mean tidal range (m)	----	0.2 - 2.4	----
Significant wave height (m)	----	0.6 - 2.0	----
<i>Socio-economic</i>			
Population density (persons/km <sup>2</sup> )	< 300	301 - 1000	> 1000
Tourist density (persons/km <sup>2</sup> )	< 200	201 - 2500	> 2500

Table 4. a) Relative risk ranking for all variables, b) CVI values for the coastal *talukas* of the state of Goa. Numbers one, two, and three refer to low, medium, and high risk ranking, respectively.

a)

<b>Factors</b>	<b>EPR</b>	<b>Mean Sea Level Rise</b>	<b>Coastal Elevation</b>	<b>Coastal slope</b>	<b>Tidal Range</b>	<b>Significant wave height</b>	<b>Geomorphology</b>	<b>Socio-Economic</b>
<b>Talukas</b>								
Pernem	2	2	2	1	2	2	2	1
Bardez	3	2	3	2	2	2	2	3
Tiswadi	2	2	3	3	2	2	3	3
Mormugao	1	2	2	3	2	2	1	2
Salcete	3	2	3	1	2	2	3	3
Quepem	2	2	1	2	2	2	1	1
Canacona	2	2	1	3	2	2	1	1

b)

<b>Taluka</b>	<b>CVI</b>
Pernem	2.8
Bardez	10.4
Tiswadi	12.7
Mormugoa	3.5
Salcete	9.0
Quepem	2.0
Canacona	2.4

## Figure captions

Figure 1: Location map of study area

Figure 2: Shoreline change detection in the *taluka* of Pernem. a) Change in the location of the shoreline from 1973 to 2006, b) visual representation of the parameters transect spacing and transect length (USGS 2005), c) End Point Rate (EPR), and d) Net Shoreline Movement (NSM)

Figure 3: Near shore bathymetric map of Goa

Figure 4: Total population and number of domestic and international tourists annually per *taluka*

Figure 5. Risk rating of the coast of Goa according to the rate of shoreline change

Figure 6. Areas of high, medium, and low risk based on the coastal regional elevation parameter

Figure 7. Inundation map of Goa state based on various SLR scenarios

Figure 8. Risk rating of the coast of Goa according to coastal slope

Figure 9: Significant wave heights at various water depths off the coast of Goa (Vethamony et al., 2009)

Figure 10. CVI for the coast of Goa

Figure 1

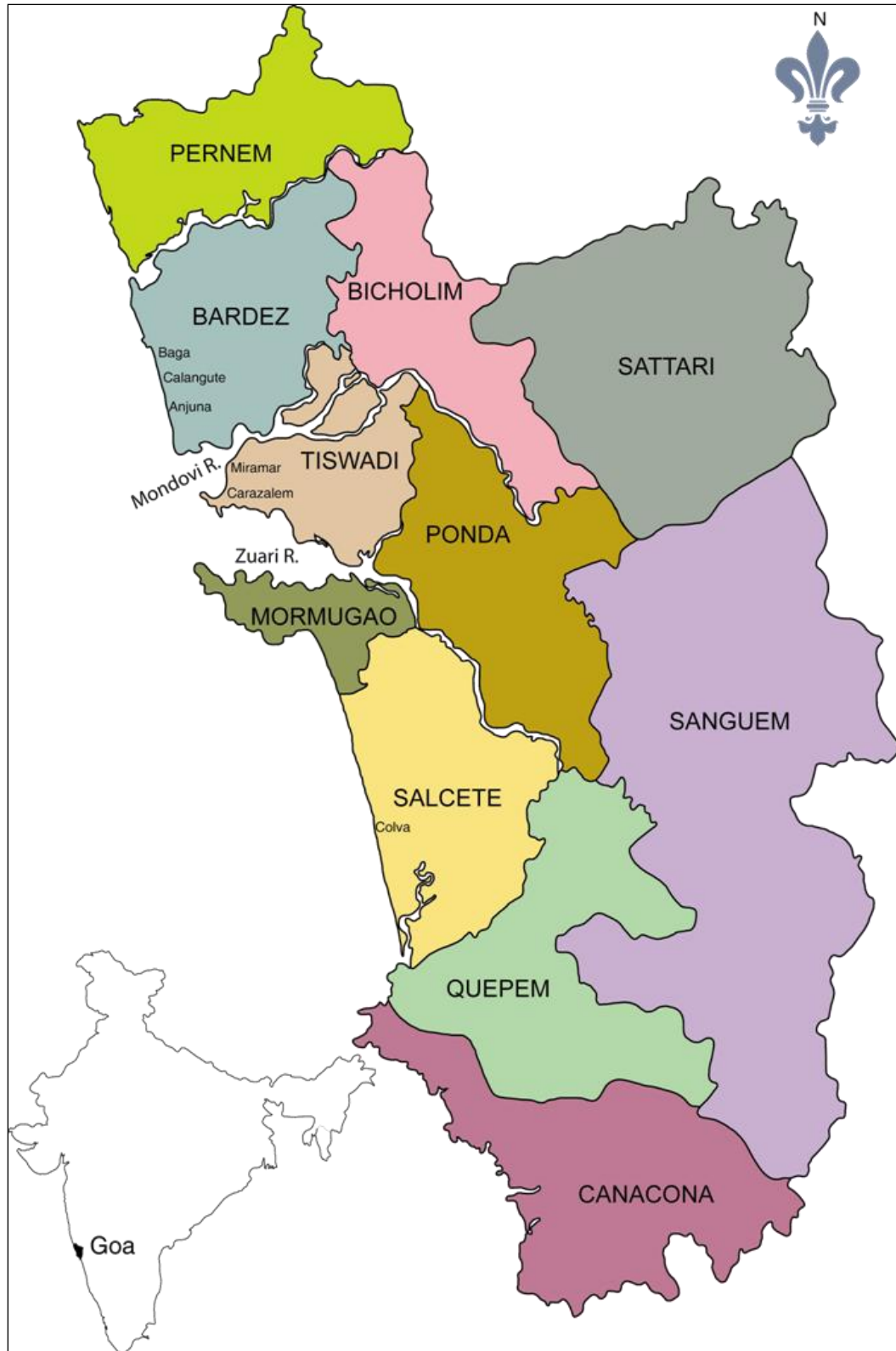


Fig. 1

Figure 2

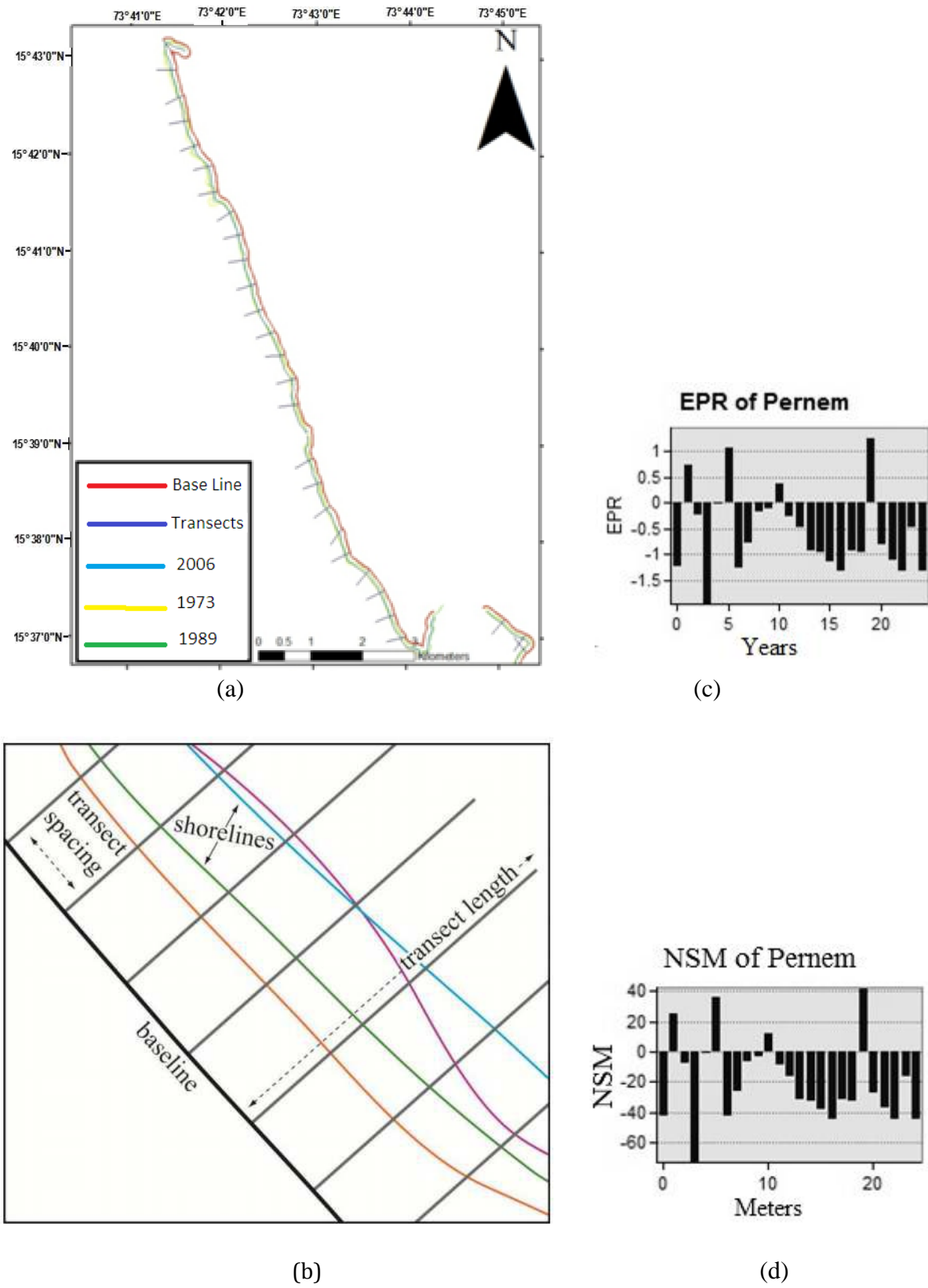


Fig. 2

Figure 3

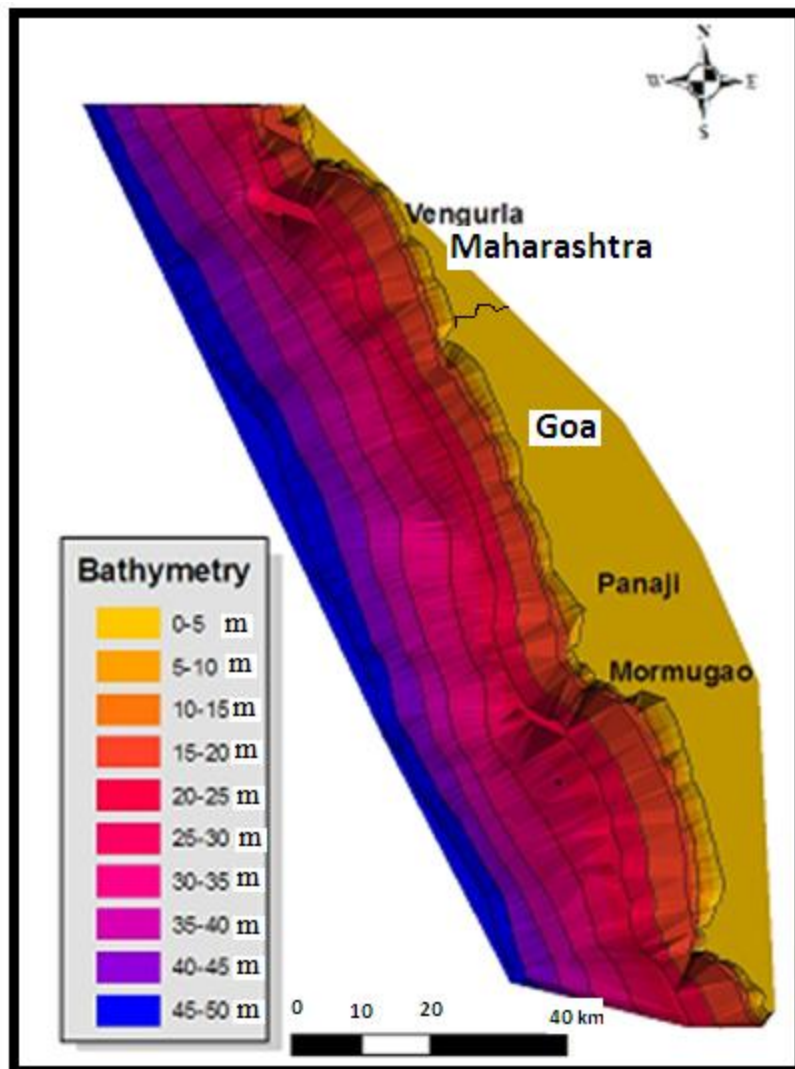


Fig. 3

Figure 4

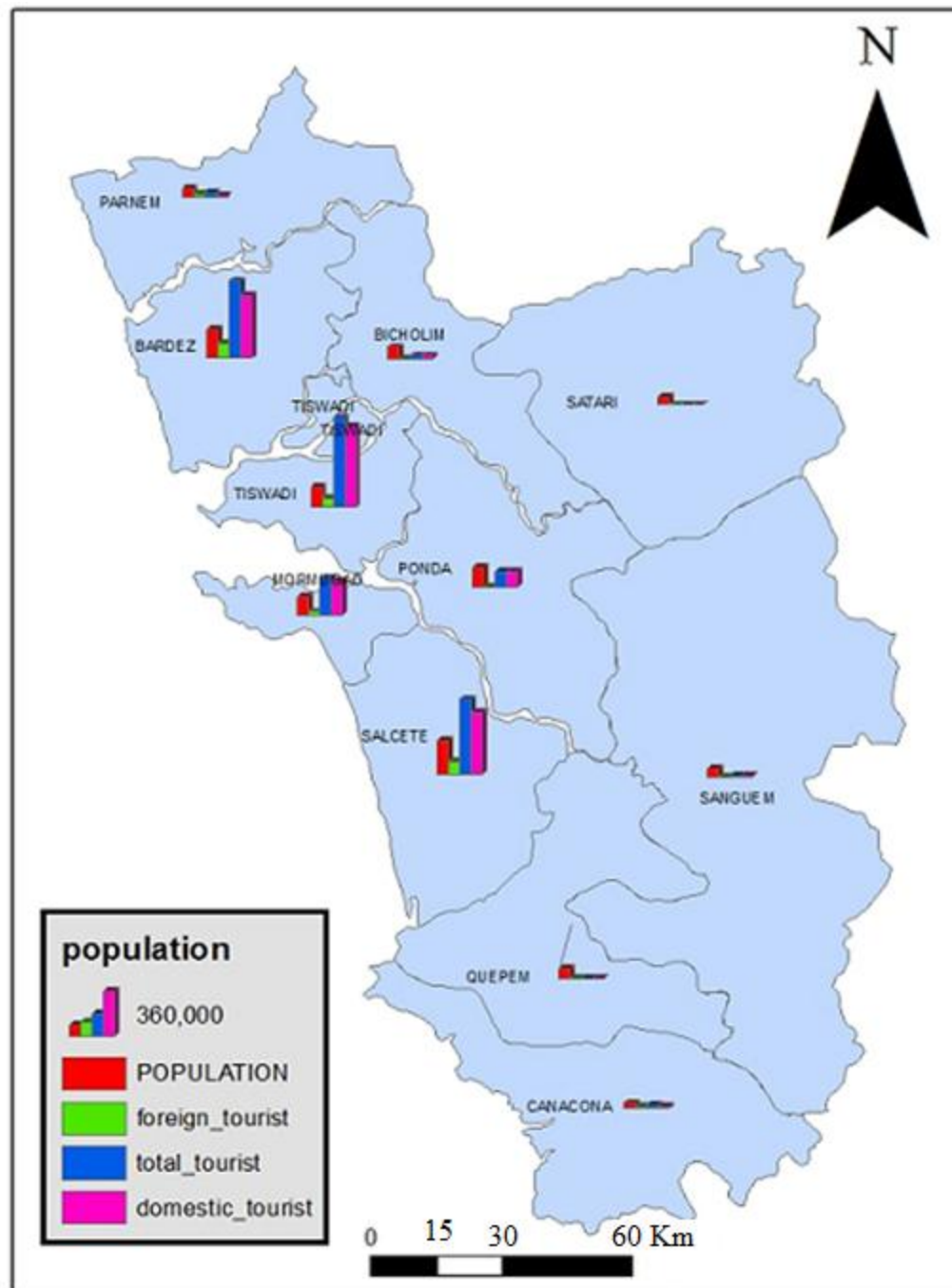


Fig. 4

Figure 5

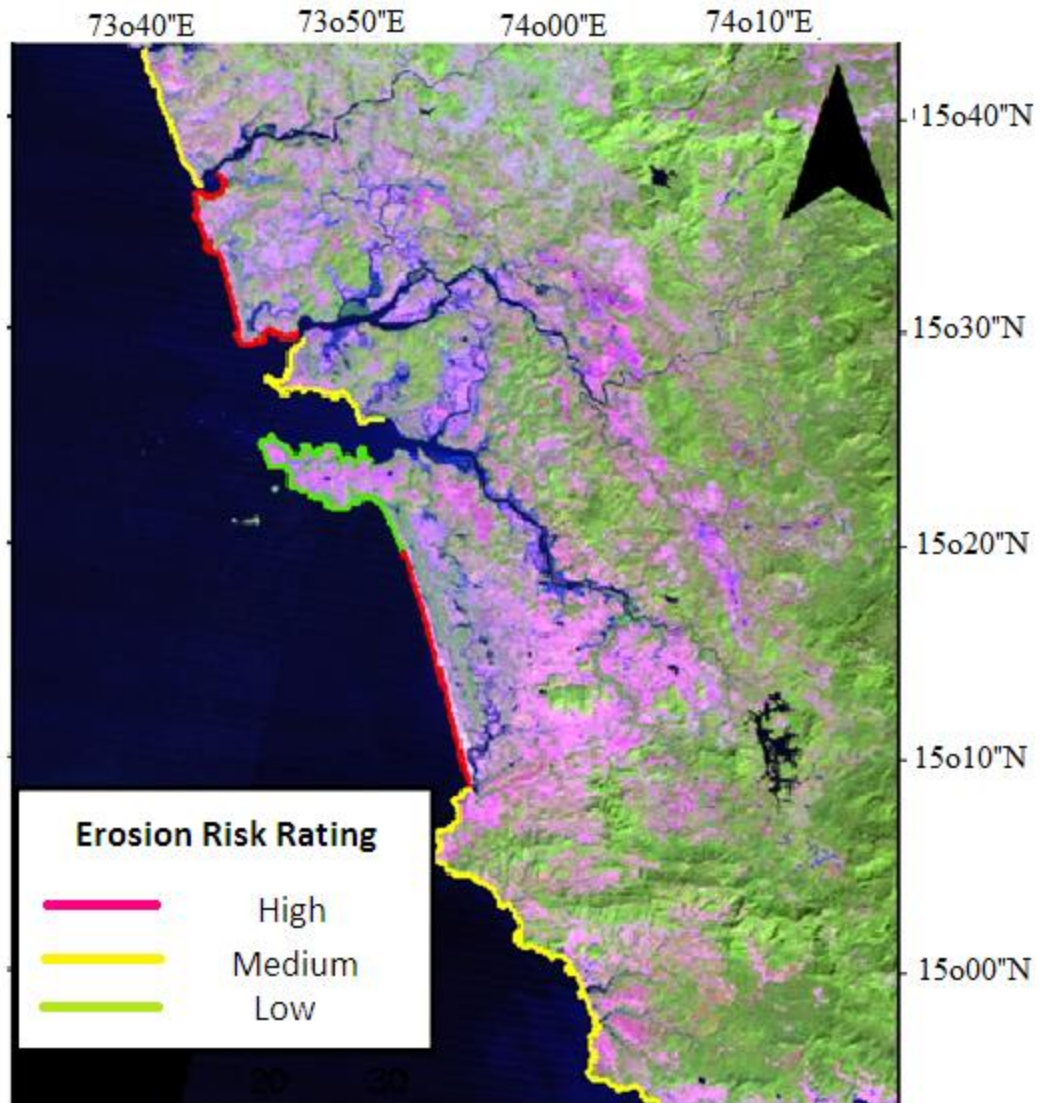


Fig. 5



Figure 6

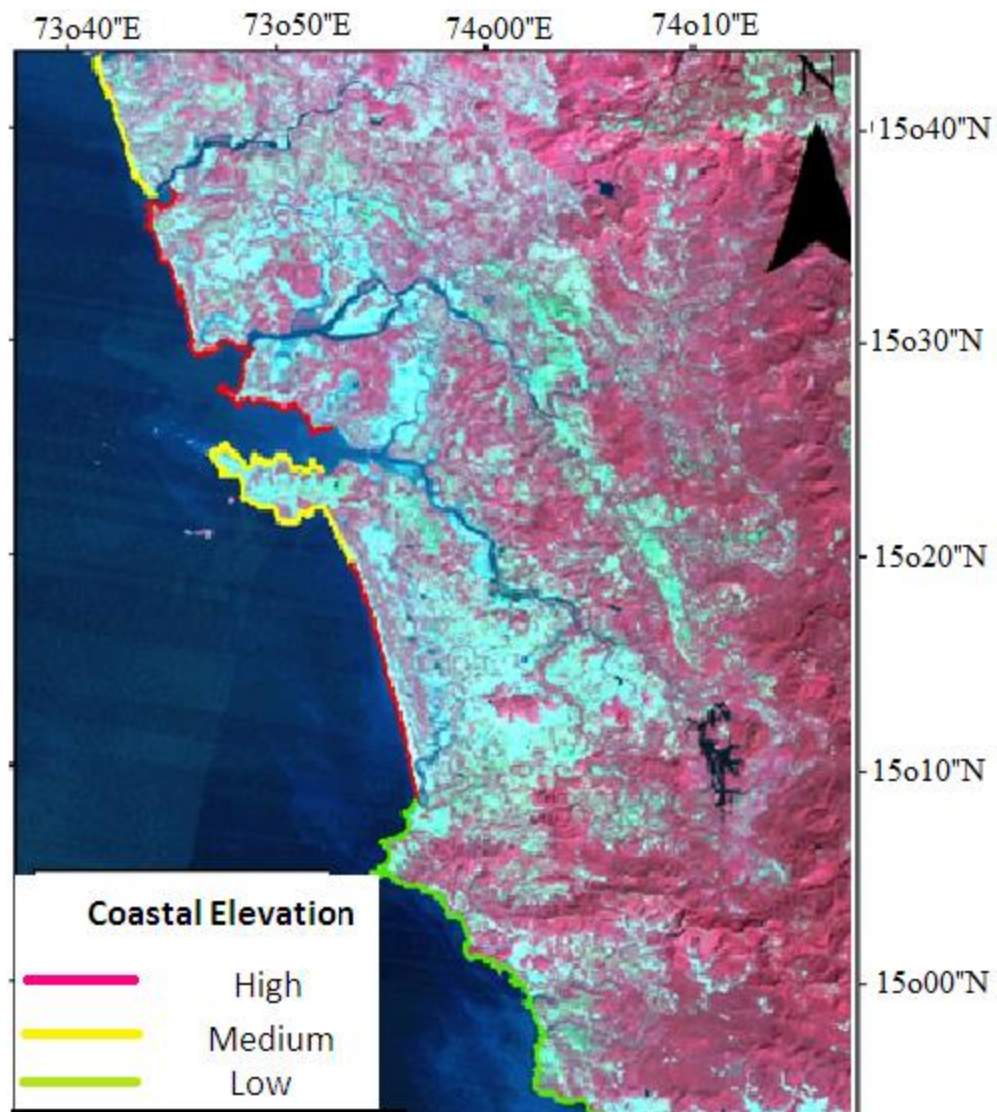


Fig. 6

Figure 7

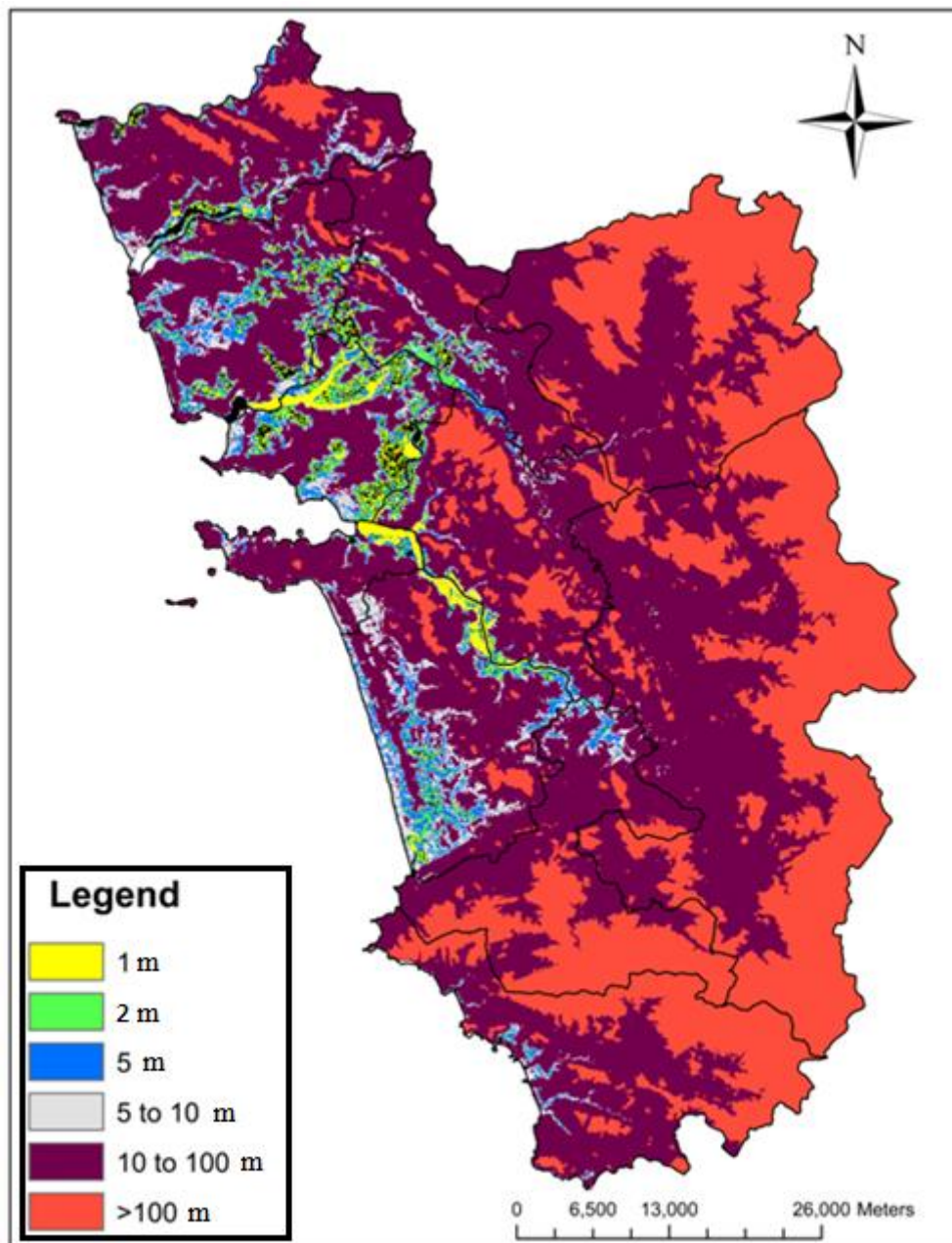


Fig. 7

Figure 8

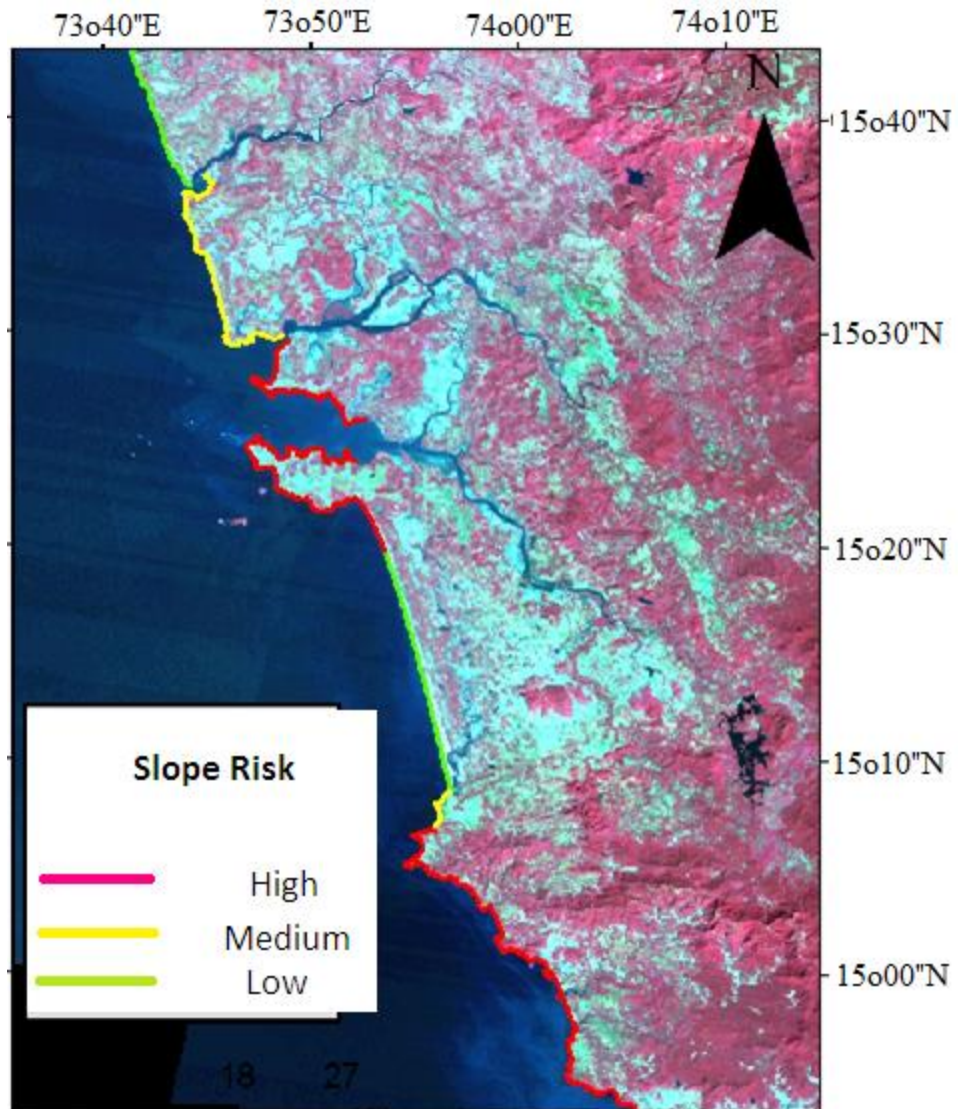


Fig. 8

Figure 9

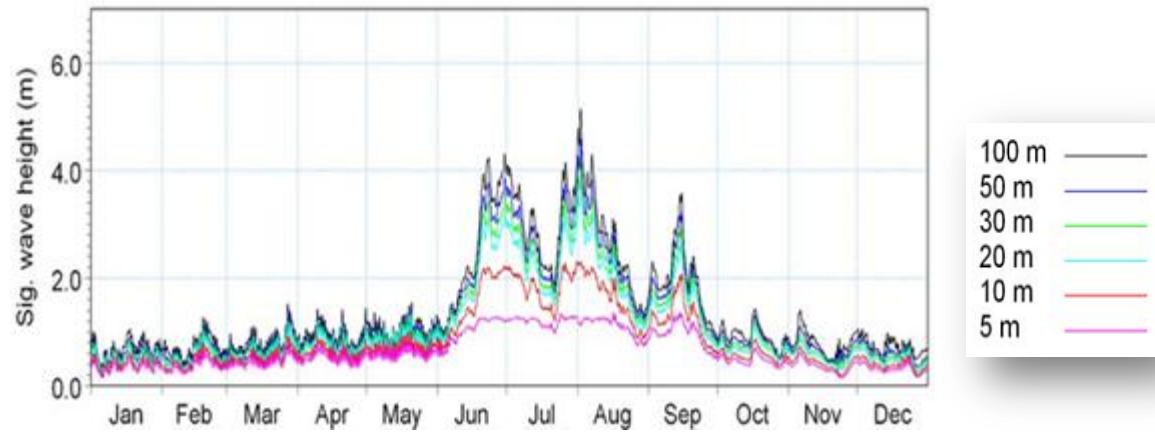


Fig. 9

Figure 10

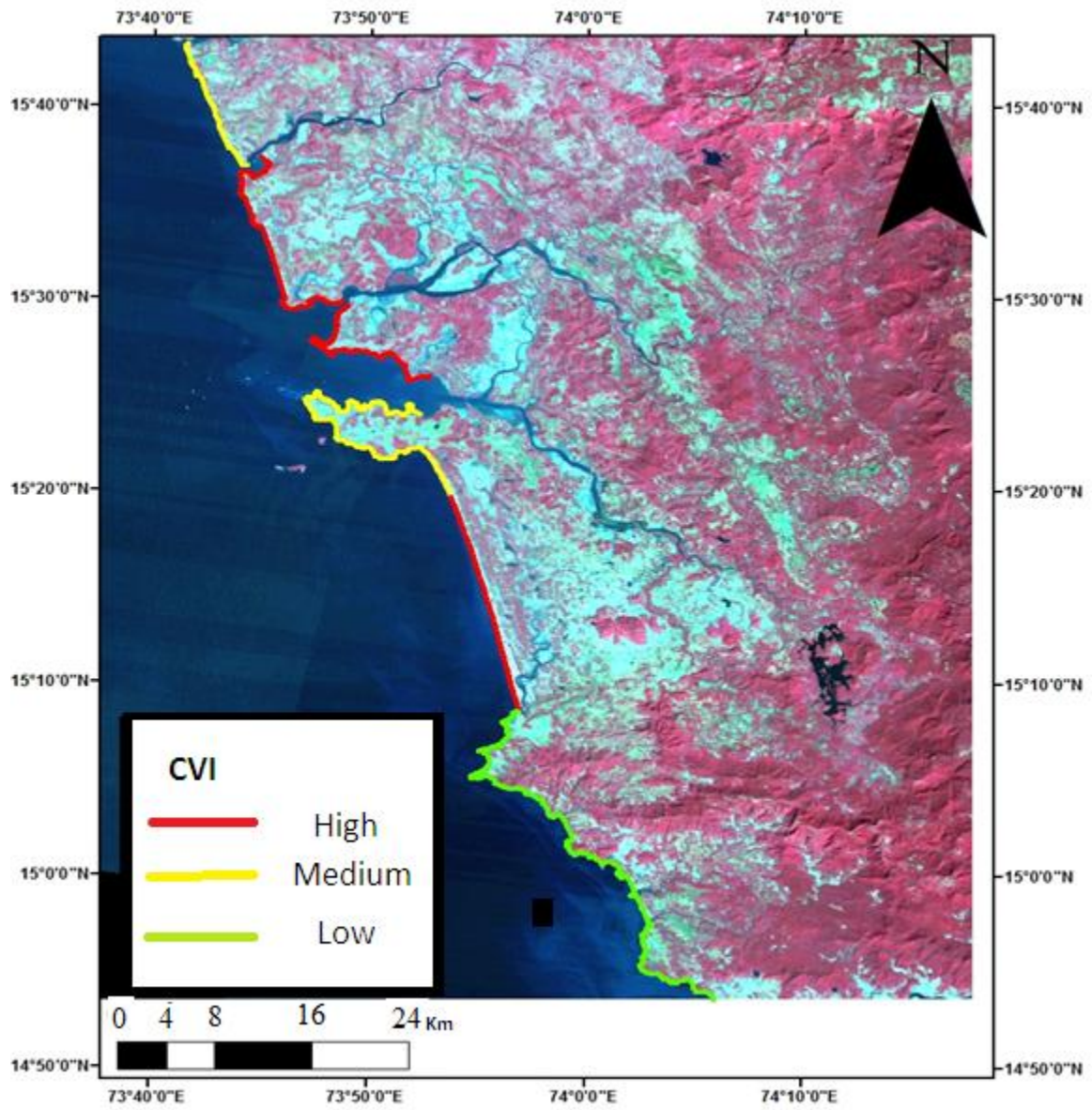


Fig. 10