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Teethaisong, Y, Evans, K, Nakouti, I, Tiomyom, K, Ketudat-Cairns, JR, Hobbs, G and Eumkeb, G (2017) The performance of a resazurin chromogenic agar plate with a combined disc method for rapid screening of extended-spectrum- β -lactamases. AmpC β -lactamases and co- β -lactamases

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Microbiology and Immunology

The performance of a resazurin chromogenic agar plate with a combined disc method for rapid screening of extended-spectrum- β -lactamases, AmpC β -lactamases and co- β -lactamases in Enterobacteriaceae.

Journal:	<i>Microbiology and Immunology</i>
Manuscript ID	MAI-2017-036
Manuscript Type:	Original Article
Date Submitted by the Author:	15-Feb-2017
Complete List of Authors:	Teethaisong, Yothin; Suranaree University of Technology, School of Preclinic, Institute of Science Evans, Katie; Liverpool John Moores University Faculty of Science, School of Pharmacy and Biomolecular Sciences Nakouti, Ismini; Liverpool John Moores University School of Pharmacy and Biomolecular Sciences Tiamyom, Kanokwan; Suranaree University of Technology, School of Preclinic, Institute of Science R. Ketudat-Cairns, James ; Suranaree University of Technology, School of Biochemistry, Institute of Science Hobbs, Glyn; Liverpool John Moores University School of Pharmacy and Biomolecular Sciences Eumkeb, Griangsak; Suranaree University of Technology, School of preclinic, Institute of Science
Keywords:	Resazurin chromogenic agar, cefpodoxime combined disc, phenotypic test, β -lactamases, Enterobacteriaceae

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13 4 Enterobacteriaceae.
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17 **Running title:** Screening of ESBL and AmpC β -lactamases
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4 **22 ABSTRACT**
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23 A resazurin chromogenic agar (RCA) along with combined disc method has been
24 developed as a promising method for rapid screening of extended-spectrum- β -lactamase
25 (ESBL), AmpC β -lactamase, and co-production of ESBL and AmpC. Cefpodoxime
26 (CPD) discs supplemented with and without clavulanic acid (CA), cloxacillin (CX), or
27 CA+CX were evaluated against 86-molecularly confirmed β -lactamase-producing
28 Enterobacteriaceae, including 15 ESBLs, 32 AmpCs, 9 co-producers of ESBL and
29 AmpC, and 30 carbapenemase producers. The CA and CX synergy test successfully
30 detected all ESBL producers (100% sensitivity and 98.6% specificity) and all AmpC
31 producers (100% sensitivity and 96.36% specificity). This assay also exhibited a good
32 performance in the screening for the co-existence of ESBL and AmpC (88.89%
33 sensitivity and 100% specificity). The RCA assay is a simple and inexpensive method
34 that allows observation of results within 7 h. It can be applicable in any microbiological
35 laboratory, especially in the endemic areas of ESBL, AmpC, or co- β -lactamase-
36 producing Enterobacteriaceae.

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38 **KEYWORDS:** Resazurin chromogenic agar, cefpodoxime combined disc, phenotypic
39 test, β -lactamases, Enterobacteriaceae.

1. INTRODUCTION

An increasing number of antibiotic-resistant opportunistic pathogens have globally been documented in recent years resulting in decreasing effective antibiotic availability. Not surprisingly, these problems have created a treatment challenge and pose a serious health risk affecting both hospitalized patients and health care providers (1-3). β -Lactamase-associated resistance is a predominant mechanism of resistance to β -lactam antibiotics in Enterobacteriaceae. The dissemination of resistance in these bacteria is frequently facilitated by transferring mobile genetic elements among bacteria (4). Currently, infections caused by multidrug-resistant (MDR) Gram-negative bacteria, in particular ESBL-producing Enterobacteriaceae, are among one of the most serious human health concerns (5). *bla*_{TEM}, *bla*_{SHV}, and *bla*_{CTX-M} genes are the most common ESBL genotypes among Enterobacteriaceae. ESBL-producing isolates characteristically hydrolyze cefotaxime, ceftazidime, cefepime and/or monobactam aztreonam, rendering these antibiotics inactive (6-8). ESBLs are inhibited by β -lactamase inhibitors, namely clavulanate, sulbactam and tazobactam. False-negative ESBL test results using combination disc tests may result from high-level expression of AmpC β -lactamases, which masks the presence of ESBLs. Using CA and CX together allows detection of co-production of ESBL and AmpC (9). In addition, AmpC β -lactamase (AmpC)-producers and co-producers of AmpC and ESBL have also been reported to be resistant to third-generation cephalosporins, cephamycins or β -lactam/ β -lactamase inhibitor combinations (10). Infections caused by AmpC-producing organisms are typically associated with resistance to multiple antibiotics, such as penicillins, oxyimino-7- α -methoxycephalosporins and monobactams (11, 12). In general, AmpC type enzymes are poorly inhibited by β -lactamase inhibitors, especially clavulanic acid. Phenotypic

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4 68 AmpC confirmation tests are generally based on inhibition of AmpC by either
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6 69 cloxacillin or boronic acid derivatives. Boronic acid also inhibits class A
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8 70 carbapenemases (13), justifying the use of cloxacillin in the present study. Moreover,
9
10 71 co-expression of ESBL and AmpC β -lactamases results in decreased susceptibility to
11
12 72 aztreonam and β -lactam/lactamase inhibitors than those with either ESBL or AmpC β -
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14 73 lactamase alone (14). This makes the selection of an effective antibiotic difficult for the
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16 74 treatment of infections caused by these recalcitrant bacteria.
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20 75 A simple, rapid and inexpensive method for screening and discrimination between
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22 76 these enzymes at a phenotypic level could guide clinicians to prescribe an appropriate
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24 77 chemotherapy. The combined disc method has been used extensively because it is
25
26 78 relatively easy to prepare and perform. However, this test requires at least 18 h or
27
28 79 overnight to obtain the results. A resazurin reduction assay, a colorimetric method, is
29
30 80 based upon the ability of active cells to reduce a blue colored resazurin to a pink colored
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32 81 resorufin (15). A colorimetric (resazurin containing) disc susceptibility method
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34 82 exhibited excellent reproducibility (16) and high sensitivity and specificity in detection
35
36 83 and differentiation of carbapenemase-producing Enterobacteriaceae (17). Cefpodoxime
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38 84 (CPD) is an attractive indicator cephalosporin for detection of ESBL production and
39
40 85 may be used for screening according to EUCAST guidelines. There are several
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42 86 diagnostic methods that have been proposed for phenotypic confirmation of ESBL and
43
44 87 AmpC β -lactamases, including the Etest, combined disc method e.g. MAST D68C test,
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46 88 double disc synergy test, automated broth microdilution test. The time to result for
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48 89 these methods usually takes at least 18 h (9, 18, 19). Hence, the present study has
49
50 90 investigated a resazurin chromogenic agar (RCA) method together with cefpodoxime
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52 91 (CPD) discs alone or supplemented with clavulanic acid (CA), cloxacillin (CX), and
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4 92 both CA and CX to screen for and discriminate between ESBL, AmpC, and co-
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6 93 existence of ESBL-AmpC among Enterobacteriaceae.
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94 **2. MATERIALS AND METHODS**

95 **2.1 Bacterial isolates**

96 The present study employed a total of 86 β -lactamase-producing Enterobacteriaceae
97 isolates to evaluate the performance of the RCA assay in rapid screening and
98 discrimination of ESBL, AmpC, and co-producers of ESBL and AmpC. The organisms
99 used in the present study are summarized in Table 1 (17, 20). The molecular types
100 included 15 Ambler class A ESBL producers (4 CTX-M-types, 3 SHV-types, 3 TEM-
101 types, 1 CTX-M+SHV-type, 3 SHV+TEM-types, and 1 CTX-M+SHV+TEM-type), 32
102 Ambler class C AmpC producers (6 DHA family, 7 CIT family, 2 MOX family, 11
103 EBC family, and 6 FOX family) and 9 co-producers of ESBL and AmpC (1
104 TEM+ACT-type, 4 CTX-M+ACT-types, 1 TEM+SHV+ACT-type, 1 TEM+CTX-
105 M+ACT-type, 1 SHV+ACT type, and 1 SHV+CTX-M-ACT-type). Thirty
106 carbapenemase-producing isolates (8 KPC, 11 MBL, and 11 OXA-48 producers) were
107 also included to validate the performance of the RCA plate assay. A reference strain *E.*
108 *coli* ATCC 25922 was used as a negative β -lactamase control strain. The following β -
109 lactamase-producing isolates obtained from the American Type Culture Collection
110 (ATCC) and National Collection of Type Cultures (NCTC) were used as controls; *E.*
111 *cloacae* ATCC BAA-1143 (*bla*_{ACT-32}), *E. coli* NCTC 13352 (*bla*_{TEM-10}) and *E. coli*
112 NCTC 13353 (*bla*_{CTX-M-15}).

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114 **2.2 Resazurin chromogenic agar (RCA) plate and disc preparations**

115 RCA plates were prepared according to previous studies (16, 17). For the preparation
116 of the antibiotic- or β -lactamase inhibitor-containing discs, 10 μ g of CPD discs (MAST
117 Group, UK) were supplemented with 10 μ l of 1 mg/mL CA (Sigma-Aldrich, UK), 10 μ l
118 of CX (Sigma-Aldrich, UK) at a concentration of 50 mg/mL, or impregnated with both
119 CA and CX. Meropenem (MER) discs (10 μ g) were prepared by adding 10 μ l of MER
120 (Sigma-Aldrich, UK) at a concentration of 1 mg/mL to blank discs (6.5 mm diameter,
121 MAST Group, UK). Prior to performing disc diffusion susceptibility testing, the discs
122 were air-dried in a biosafety cabinet for 1 h.

123 **2.3 Disc diffusion susceptibility testing**

124 The algorithm for phenotypic screening of ESBL, AmpC, and co-producers of ESBL
125 and AmpC is illustrated in Figure 1. The experimental procedure for disc diffusion
126 susceptibility testing was carried out according to the Clinical Laboratory Standards
127 Institute (CLSI) guidelines (21). Briefly, a sterile swab soaked in a 0.5 McFarland
128 standard of test organism was spread entirely on the surface of the RCA plate. Discs
129 containing CPD alone, CPD plus CA, CPD plus CX, CPD plus CA and CX, and MER
130 alone were placed equidistantly on the RCA's surface. The MER disc was used to
131 screen for carbapenem resistance including carbapenemase production. The inhibition
132 zone diameters were scrupulously measured and interpreted following incubation at 37
133 $^{\circ}$ C for 7 h by observing a change in the medium from the original blue (resazurin)
134 colour to pink (resorufin). The interpretation criteria in screening and differentiation of
135 ESBL, AmpC, and co- β -lactamases were based upon a previous report as presented in
136 Table 2 (19). An increase in zone diameter (≥ 5 mm) of CPD supplemented with β -

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4 137 lactamase inhibitor compared with CPD alone was considered as synergistic activity. To
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6 138 interpret the results, CA synergy was considered as a positive result for ESBL, while
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8 139 CX synergy and CA plus CX synergy were noted as positive results for AmpC and co-
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10 140 production of ESBL and AmpC, respectively. A zone diameter of MER < 25 mm was
11
12 141 used at a cut-off point to screen for the presence of carbapenemases. Sensitivity and
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14 142 specificity of the RCA assay with a combined disc method were calculated by
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16 143 comparing the results with molecular types from PCR and sequencing data. A box-and-
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18 144 whisker plot was analyzed using SPSS statistical analysis program version 18 (SPSS
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20 145 Inc, USA) to elucidate the distribution of zone diameters of discs against different β -
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22 146 lactamase producers.
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27 147 **3. RESULTS**

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30 148 Using the RCA assay along with a combined disc method for phenotypic confirmation
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32 149 of ESBL, AmpC, and co-expression of ESBL plus AmpC clearly showed the inhibition
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34 150 zone diameters within 7 h (Figure 2). Figure 3 illustrates the distribution of the zone
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36 151 diameters of CPD impregnated with and without CA, CX, or CA plus CX, and MER
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38 152 alone against ESBL, AmpC, co-existence of ESBL and AmpC, and carbapenemase-
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40 153 producing Enterobacteriaceae. For screening of ESBL-producing isolates, the median
41
42 154 zone diameter of CPD alone was 6.5 mm (range = 6.5-16 mm) and diameters of CPD
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44 155 supplemented with CA, CX, or CA plus CX were 22 mm (range = 19-25 mm), 6.5 mm
45
46 156 (range = 6.5-17 mm), and 23 mm (range = 21-25 mm), respectively. MER discs
47
48 157 exhibited potential activity in inhibition of ESBL producers with a median zone
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50 158 diameter 25 mm (range = 23-27 mm) (Figure 3A). A substantial increase in zone
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52 159 diameters of CA-containing discs compared with the discs without CA was only
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4 160 observed in ESBL-producing isolates. The mean zone increase of CPD plus CA
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6 161 compared with CPD alone was 14.60 mm (range = 5-17.50 mm). No marked increase in
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9 162 zone diameter was observed in AmpC producers (mean = 0.48 mm and range = 1.5-3.5
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11 163 mm), co-producers of ESBL and AmpC (mean = 2.72 mm and range 1.5-8.5 mm), as
12
13 164 well as carbapenemase-producing isolates (mean = 0.37 mm and range = 0-5.5 mm).
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15 165 The RCA assay with combined disc method successfully detected all test ESBL
16
17 166 producers with 100% sensitivity and 98.6 % specificity (Table 2). A false-positive result
18
19 167 was observed in an OXA-48-producing *E. coli*.

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22 168 In AmpC producers, an increase in median zone diameters was seen in CX-containing
23
24 169 discs. CPD plus CX and CPD plus CX plus CA had equally a median zone diameter of
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26 170 20 mm and a range 12-26 mm. The median zone diameter of CPD against these isolates
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28 171 was 7.25 mm (range = 6.5-20 mm) which was similar to CPD plus CA (median = 8 mm
29
30 172 and range =6.25-21 mm). MER discs inhibited the growth of AmpC-producing isolates
31
32 173 at a median zone diameter of 25 mm and range 23-28mm (Figure 3B). The mean
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34 174 difference of zone diameter of CPD plus CX versus CPD alone was 10.09 mm (range =
35
36 175 5.50 -16.50 mm) against AmpC producers, whilst no dramatic difference in mean zone
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38 176 increase was observed in ESBL producers (mean =0.33 mm and range = 0-2 mm), co-
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40 177 producers of ESBL and AmpC (mean = 4.33 mm and range = 1-7.5 mm), or
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42 178 carbapenemase producers (mean = 0.88 mm and range = 0-9.5 mm). The RCA assay
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44 179 demonstrated an excellence performance in the screening of AmpC-producing strains by
45
46 180 detecting all test AmpC producers (100 % sensitivity), but there were two false-
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48 181 positives in KPC-3-producing *K. pneumoniae* and OXA-48-producing *E. coli* (96.36 %
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50 182 specificity; Table 2).

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55 183 For screening of ESBL and AmpC-co-producing Enterobacteriaceae, CPD discs alone
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4 184 exhibited a median zone diameter of 9 mm (range = 6.5-22 mm). CPD plus CA (median
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6 185 = 15 mm and range = 6.5-22 mm) and CPD plus CX (median = 14 mm and range = 10-
7
8 186 27 mm) showed a slight increase in median zone diameter compared with CPD alone.
9
10 187 CPD plus CA plus CX demonstrated excellent activity in inhibiting the growth of ESBL
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12 188 and AmpC co-producers. The median zone was significantly increased (median = 24
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14 189 mm and range = 21-27 mm) in comparison with those of CPD alone, CPD plus CA, and
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16 190 CPD plus CX. The median zone diameter and zone range of MER against these isolates
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18 191 were 25 mm and 23-26 mm, respectively (Figure 3C). The mean difference in zone
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20 192 diameter of CPD plus CA and CX versus CPD plus CA, or versus CPD plus CX was
21
22 193 also calculated. The mean zone increase of CPD plus CA and CX versus CPD plus CA
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24 194 was 11. 61 mm (range=5-19.5 mm). A similar result was observed in CPD plus CA and
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26 195 CX versus CPD plus CX. The sensitivity and specificity of the RCA assay with the
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28 196 combined disc method were 88.89% and 100%, respectively (Table 2). The assay failed
29
30 197 to detect ESBL activity in a SHV plus ACT-producing *E. aerogenes*. Furthermore, in
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32 198 carbapenemase-producing isolates, the median zone diameters of CPD with and without
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34 199 CA, CX, or CA and CX were not markedly different, while the ranges did vary. The
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36 200 MER disc alone had a median zone diameter of 17 mm and range 6.5-25 mm. A
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38 201 reference strain *E. coli* ATCC 25922 was inhibited by a CPD disc alone with zone
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40 202 diameter 25 mm which was in the susceptible range according to the CLSI breakpoint
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42 203 (≥ 21 mm) (22). The findings of this study demonstrated that the RCA assay with CPD
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44 204 combination discs showed an excellent performance in screening of and differentiation
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46 205 between ESBL, AmpC, and co-production of ESBL and AmpC in Enterobacteriaceae.
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207 4. DISCUSSION

208 Resistance to β -lactams, the most potent bactericidal antibiotics commonly used for the
209 treatment of bacterial infections, has been continuously documented throughout the
210 globe. β -Lactamase-mediated resistance is a major mechanism that can potentially
211 render β -lactams inactive by hydrolytically cleaving the amide bond of the β -lactam
212 ring (23). To guide clinicians to prescribe antibiotic therapy appropriately, development
213 of quick phenotypic methods is necessary. The detection of the presence of β -lactamase
214 enzymes in Gram-negative bacteria at the phenotypic level is useful because it is not
215 costly compared with genotypic tests (PCR and sequencing). Also, a phenotypic method
216 does not require skilled and experienced technicians (24). The principle of the
217 phenotypic test is fundamentally based upon a synergistic effect between antibiotics and
218 β -lactamase inhibitors (25). Several phenotypic tests for the detection of β -lactamase
219 enzymes in Gram-negative bacteria have currently been proposed including disc
220 diffusion assays and broth microdilution methods (9, 19, 26, 27). The time to interpret
221 these results usually takes 18 h or overnight incubation (28, 29). In the present study,
222 we propose the rapid screening method using RCA assay along with CPD combined
223 disc method to detect the presence of and discriminate between β -lactamases within 7
224 h.

225 CA synergy test using the RCA assay with CPD combined discs to confirm the presence
226 of ESBL production in Enterobacteriaceae was capable of detecting all test ESBL-
227 producing isolates. There was only one false-positive found in an OXA-48-producing
228 isolate. This finding agrees with a previous report published by Derbyshire and
229 colleagues (26). They found that a CA synergy test using CPD was able to detect all 117
230 ESBL producers indicated by a ≥ 5 mm increase in zone diameter of CPD plus CA in

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4 231 comparison with CPD alone. This synergy test could not detect ESBLs in the co-
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6 232 presence with AmpCs. Similarly, CPD exhibited excellent performance in the screening
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8 233 of ESBL in *K. pneumoniae* and *E. coli*, but poor sensitivity for *K. oxytoca* (30, 31). The
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10 234 presence of ESBLs may also be masked by carbapenemases such as MBLs or KPCs
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12 235 (32). Furthermore, not all OXA-48-variants exhibit significant carbapenemase activity,
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15 236 some OXA-48 variants such as OXA-163 and OXA-405 have been reported to be
16
17 237 resistant to either carbapenem antibiotics or to extended-spectrum cephalosporins.
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19 238 These two variants were significantly inhibited by CA (33, 34). We speculate that a
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21 239 OXA-48-like-producing isolate used in the present study might have low
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23 240 carbapenemase activity as indicated by relatively large zone diameter for MER (22 mm)
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25 241 and might also co-produce ESBL.
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28 242 For screening of AmpC-producing isolates using CX synergy test, the assay was able to
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30 243 detect all AmpC producers and two-false positive results (100% Sensitivity and 96.36%
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32 244 specificity). This result is consistent with many previous works reporting a good
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34 245 performance of CPD and CX synergy test in detection of these enzymes. In one such
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36 246 study MAST[®] D68C successfully detected almost all AmpC producers whilst a few
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38 247 false-positive results were also reported (96.7 % sensitivity and 96.9% specificity). The
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40 248 test could not detect the low production of AmpC β -lactamases in AmpC-producing
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42 249 isolates (19). A similar result was reported by Ingram and colleagues, they found that
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44 250 MAST[®] D68C exhibited a sensitivity and specificity above 90% in detection of the
45
46 251 presence of AmpC β -lactamase in Enterobacteriaceae. In agreement with a previous
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48 252 study, MAST-4 disc demonstrated good sensitivity (92%) and specificity (86.7%) in the
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50 253 detection of AmpC-producing nosocomial *Klebsiella* isolates (35). Combined activity of
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52 254 ESBL and AmpC in the same strain can result in phenotypic detection failure (36). Co-
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4 255 production with AmpC β -lactamases can mask ESBL production with CLSI
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6 256 confirmatory tests leading to false-negative results (37). Therefore, adding two or more
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8 257 specific β -lactamase inhibitors could exclude different types of β -lactamase in the same
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10 258 strain. In the present study, we used CA plus CX synergy test to discriminate co-
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12 259 producers of ESBL and AmpC. The assay was able to detect 8 co-producers of ESBL
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14 260 and AmpC. Only AmpC was detected in one co-producer of ESBL and AmpC. This
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16 261 false-negative isolate was susceptible to CPD according to the CLSI breakpoint
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18 262 (Clinical Laboratory Standards Institute, 2014). The finding from this study is similar to
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20 263 the result from a previously mentioned study where MAST[®] D68C was reported to
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22 264 successfully detect all 8 ESBL and AmpC-co-producing isolates (19).

23
24 265 To screen carbapenemase-producing isolates, it has been recommended to use a cut-off
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26 266 point lower than 25 mm for MER disc because the zone diameter of MER in some
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28 267 OXA-48 like-producing bacteria is still in the susceptible range (≥ 23 mm) (38, 39). The
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30 268 current study found that MER zone diameters against ESBL, AmpC, and Co-ESBL and
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32 269 AmpC ranged from 23-28 mm, whilst in carbapenemase-producing isolates zone
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34 270 diameters ranged from 6.5 – 25 mm. Only one OXA-48 producing isolate had a zone
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36 271 diameter of 25 mm. Thus, the isolates showing zone diameters < 25 mm for 10 μ g MER
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38 272 disc should be further investigated to detect the distinct type of carbapenemase (metallo-
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40 273 β -lactamases, *Klebsiella pneumoniae* carbapenemases, and OXA-48 like
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42 274 carbapenemases) or AmpC plus porin loss.

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44 275 To summarize, the combined disc test is commonly used in many microbiological
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46 276 laboratories, because it is very simple. The conventional method takes at least 18 h to
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48 277 observe the inhibition zone diameter. In the present study, we support the use of the
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50 278 RCA assay to improve a time to result for the disc diffusion susceptibility test. The
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4 279 result from RCA assay can be observed within 7 h. It also demonstrates excellent
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6 280 sensitivity and specificity for differentiation of ESBL, AmpC, and co-ESBL and AmpC-
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8 281 producing Enterobacteriaceae. The RCA assay could be applicable to commercially
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10 282 available discs, including MAST discs (Mast Group, UK) and it can also be applied in
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12 283 CLSI ESBL confirmatory tests and any disc diffusion method. However, a larger
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14 284 sample size of clinical isolates is still required to further validate and establish the
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16 285 robustness of this assay. A rapid phenotypic method that can detect and differentiate the
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18 286 different types of β -lactamase would improve the effectiveness of antibiotic
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20 287 administration and would also help to control the dissemination of the infection caused
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22 288 by these refractory bacteria.
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30 290 **ACKNOWLEDGEMENT**

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33
34 291 This work was supported by Thailand Research Fund through The Royal Golden Jubilee
35
36 292 Ph.D. Program (Grant No. PHD/0125/2554) and Newton Fund via British Council
37
38 293 Thailand. We are very grateful to Suranaree University of Technology and Liverpool
39
40 294 John Moores University for the facilities to perform this project.
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46 296 **DISCLOSURE**

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50 297 The authors have no conflict of interest to declare.
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22 439 **FIGURE LEGENDS**

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25 440 **Figure 1.** The algorithm for confirmation of and differentiation between ESBL,
26
27 441 AmpC, and co-production of ESBL and AmpC in Enterobacteriaceae. CA= clavulanic
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29 442 acid (10 µg); CX = cloxacillin (500 µg).
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33 443 **Figure 2.** Phenotypic results from RCA plate assay with a combined disc method at 7
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35 444 h. A = cefpodoxime (10 µg); B = cefpodoxime (10 µg) + clavulanic acid (10 µg); C
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37 445 =cefpodoxime (10 µg) + cloxacillin (500 µg); D= cefpodoxime (10 µg) + clavulanic
38
39 446 acid (10 µg) + cloxacillin (500 µg);E = meropenem (10 µg).
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42 447 **Figure 3.** Distribution of zone diameters of cefpodoxime (CPD) alone, CPD with
43
44 448 clavulanic acid, CPD with cloxacillin, CPD with both clavulanic acid and cloxacillin
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46 449 and meropenem alone. A = ESBL producers (n=15); B = AmpC producers (n=32); C=
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48 450 co-producers AmpC and ESBL (n=9); D = carbapenemase producers (n=30).
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50 451 CPD=cefpodoxime (10 µg); CA= clavulanic acid (10 µg); CX=cloxacillin (500 µg);

51 452 MER = meropenem (10 µg). ° = mild outlier; * extreme outlier.
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454 **Tables**

455

456 **Table 1.** Summary of ESBL, AmpC, ESBL+AmpC and carbapenemase-producing
457 isolates used in the present study. Abbreviation for Organism; EC = *E. coli*, KP = *K.*
458 *pneumoniae*, EA= *E. aerogenes*, ECL = *E. cloacae*, MM= *M. morgani*, CF=*C. freundii*,
459 KOX= *K. oxytoca*, KOZ= *K. ozaenae*. Abbreviation for β -lactamase; ESBL=extended-
460 spectrum- β -lactamase, KPC= *Klebsiella pneumoniae* carbapenemase, MBL = metallo-
461 β -lactamase

462 **Table 2** Interpretation criteria, sensitivity, and specificity of a combined disc synergy
463 method along with RCA assay for rapid screening of ESBL, AmpC, and co-producers
464 of ESBL and AmpC among Enterobacteriaceae. CPD=cefpodoxime (10 μ g); CA=
465 clavulanic acid (10 μ g); CX=cloxacillin (500 μ g)

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Table 1. Summary of ESBL, AmpC, ESBL+AmpC and carbapenemase-producing isolates used in the present study.

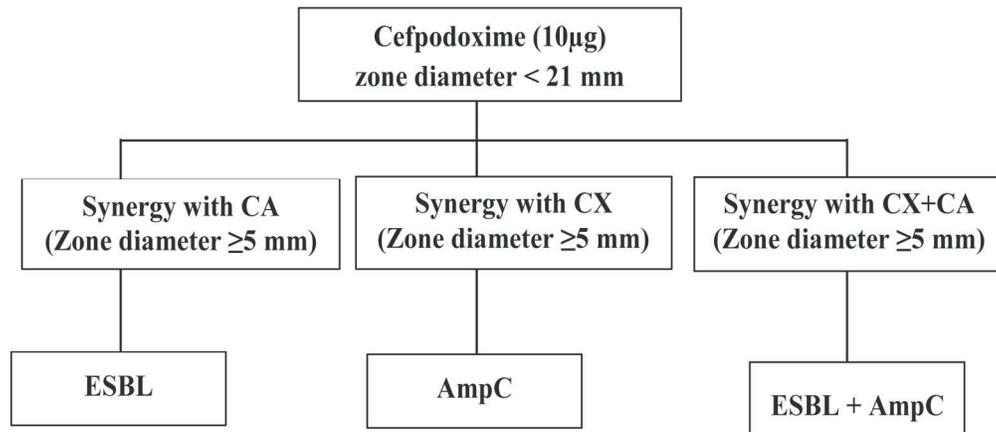
Group of β-lactamases	<i>EC</i>	<i>KP</i>	<i>EA</i>	<i>ECL</i>	<i>MM</i>	<i>CF</i>	<i>KOX</i>	<i>KOZ</i>
Ambler class A ESBL (n =15)								
CTX-M family (n=4)	4	-	-	-	-	-	-	-
SHV family (n=3)	-	2	-	1	-	-	-	-
TEMfamily (n=3)	3	-	-	-	-	-	-	-
CTX-M + SHV (n=1)	-	1	-	-	-	-	-	-
SHV + TEM (n=3)	-	3	-	-	-	-	-	-
CTX-M + SHV+TEM (n=1)	-	1	-	-	-	-	-	-
Ambler class C AmpC (n =32)								
DHA family (n=6)	2	2	-	-	2	-	-	-
CIT family (n= 7)	4	1	1	-	-	1	-	-
MOX family (n=2)	1	-	-	-	-	1	-	-
EBC family (n=11)	1	1	3	6	-	-	-	-
FOX family (n=6)	2	-	3	1	-	-	-	-
Class A + Class C (n=9)								
TEM+ACT (n=1)	-	-	-	1	-	-	-	-
CTX-M + ACT (n=4)	1	-	1	-	-	2	-	-
TEM+SHV+ACT (n=1)	-	-	1	-	-	-	-	-
TEM+CTX-M+ACT (n=1)	-	-	1	-	-	-	-	-
SHV+ACT (n=1)	-	-	1	-	-	-	-	-
SHV+CTX-M+ACT (n=1)	-	-	1	-	-	-	-	-
Carbapenemase producers (n=30)								
class A KPC (n=8)	2	5	-	-	-	-	1	-
class A MBL (n=11)	1	7	-	2	-	-	-	1
Ambler class D OXA-48 (n=11)	4	5	-	2	-	-	-	-
Total (number of isolates)	25	28	12	13	2	4	1	1

Abbreviation for Organism; EC = *E. coli*, KP = *K. pneumoniae*, EA= *E. aerogenes*, ECL = *E. cloacae*, MM = *M. morganii*, CF = *C. freundii*, KOX = *K. oxytoca*, KOZ = *K. ozaenae*. **Abbreviation for β -lactamase;** ESBL = extended-spectrum- β -lactamase, KPC = *Klebsiella pneumoniae* carbapenemase, MBL = metallo- β -lactamase.

Table 2. Interpretation criteria, sensitivity, and specificity of a combined disc synergy method along with RCA assay for rapid screening of ESBL, AmpC, and co-producers of ESBL and AmpC among Enterobacteriaceae.

Synergy test	Definition of the test	ESBL	AmpC	ESBL+ AmpC	Sensitivity	Specificity
CA synergy test	CPD+CA vs CPD \geq 5 mm and CPD+CA+CX vs CPD+CX \geq 5 mm	+	-	-	100 (15/15)	98.6 (71/72)
CX synergy test	CPD+CX vs CPD \geq 5 mm and CPD+CA+CX vs CPD+CA \geq 5mm	-	+	-	100 (32/32)	96.36 (53/55)
CA+CX synergy test	Both CPD+CA+CX vs CPD+CX \geq 5 mm and CPD+CA+CX vs CPD+CA \geq 5 mm	-	-	+	88.89 (8/9)	100 (78/78)

CPD=cefepodoxime (10 μ g); CA= clavulanic acid (10 μ g); CX=cloxacillin (500 μ g)



22 Figure 1. The algorithm for confirmation of and differentiation between ESBL, AmpC, and co-production of
23 ESBL and AmpC in Enterobacteriaceae. CA= clavulanic acid (10 µg); CX = cloxacillin (500 µg).

24 156x67mm (300 x 300 DPI)

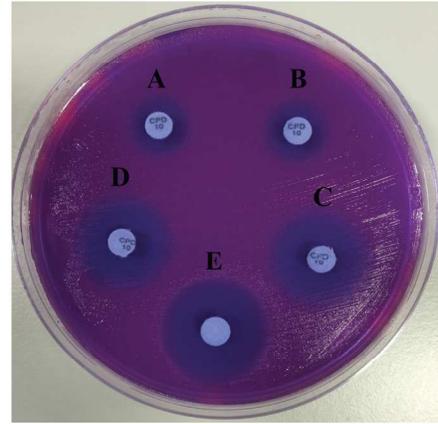
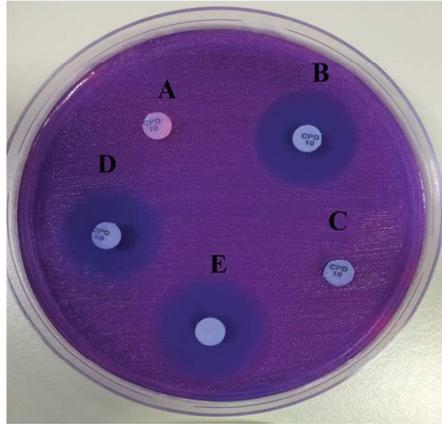
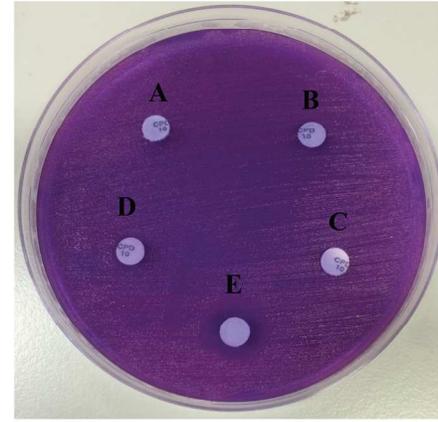
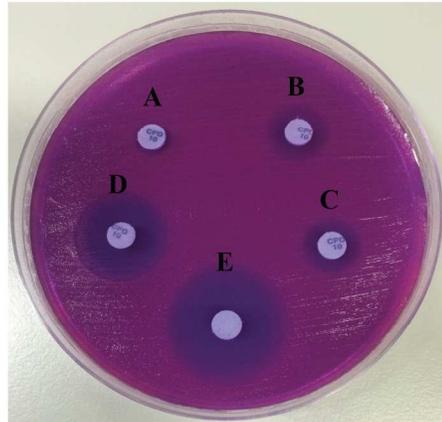
SHV-27+TEM-115-producing *K. pneumoniae*FOX-3-producing *E. coli*CTX-M-3+ACT-1-producing *C. freundii*KPC-2-producing *K. pneumoniae*

Figure 2. Phenotypic results from RCA plate assay with a combined disc method at 7 h. A = cefpodoxime (10 μ g); B = cefpodoxime (10 μ g) + clavulanic acid (10 μ g); C = cefpodoxime (10 μ g) + cloxacillin (500 μ g); D = cefpodoxime (10 μ g) + clavulanic acid (10 μ g) + cloxacillin (500 μ g); E = meropenem (10 μ g).

236x234mm (300 x 300 DPI)

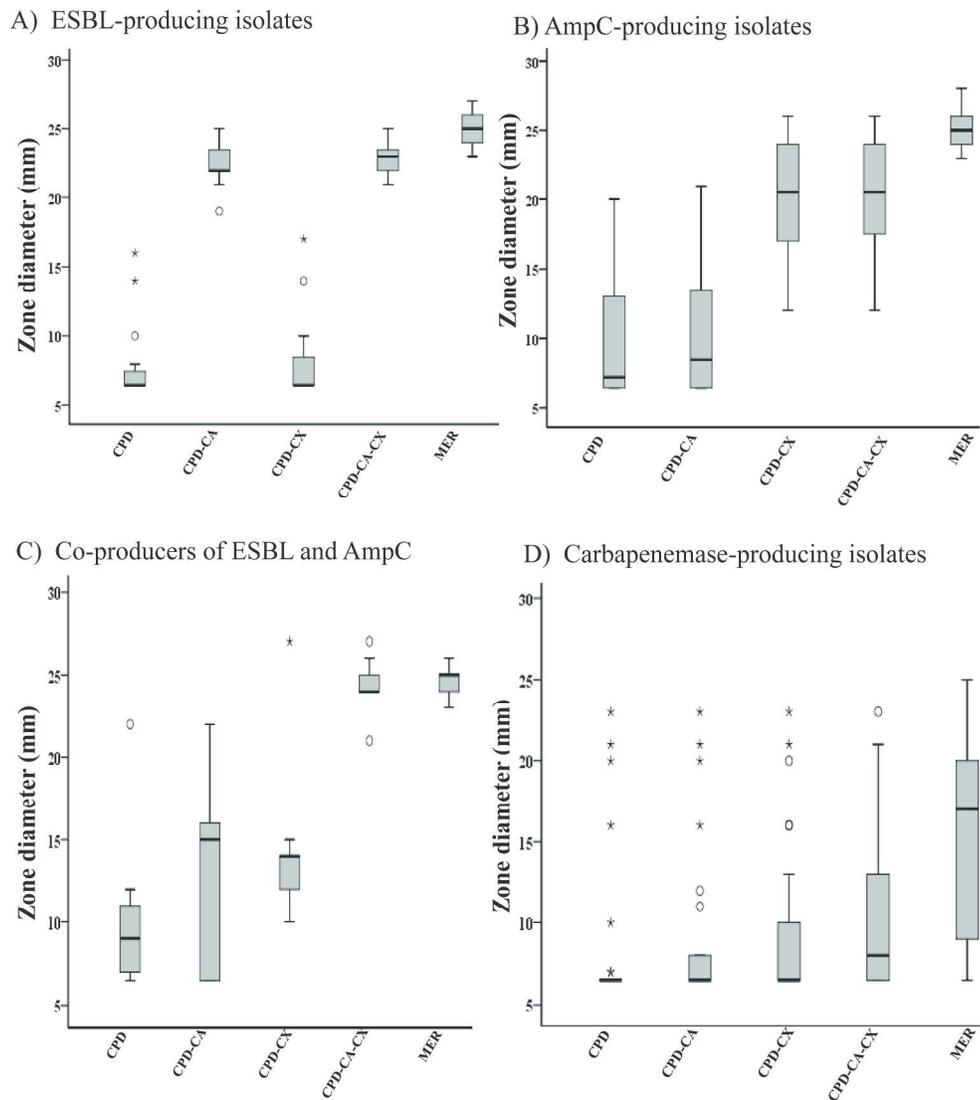


Figure 3. Distribution of zone diameters of cefpodoxime (CPD) alone, CPD with clavulanic acid, CPD with cloxacillin, CPD with both clavulanic acid and cloxacillin and meropenem alone. A = ESBL producers (n=15); B = AmpC producers (n=32); C = co-producers AmpC and ESBL (n=9); D = carbapenemase producers (n=30). CPD=cefpodoxime (10 µg); CA= clavulanic acid (10 µg); CX=cloxacillin (500 µg); MER = meropenem (10 µg). ° = mild outlier; * extreme outlier.

243x276mm (300 x 300 DPI)