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# Deep Learning based Automatic Multi-Class Wild Pest Monitoring Approach using Hybrid Global and Local Activated Features with Stationary Trap Devices

**Abstract**—Specialized control of pests and diseases have been a high-priority issue for agriculture industry in many countries. On account of automation and cost-effectiveness, image analytic based pest recognition systems are widely utilized in practical crops prevention applications. But due to powerless handcrafted features, current image analytic approaches achieve low accuracy and poor robustness in practical large-scale multi-class pest detection and recognition. To tackle this problem, this paper proposes a novel deep learning based automatic approach using hybrid and local activated features for pest monitoring solution. In the presented method, we exploit the global information from feature maps to build our Global activated Feature Pyramid Network (GaFPN) to extract pests' highly discriminative features across various scales over both depth and position levels. It makes changes of depth or spatial sensitive features in pest images more visible during downsampling. Next, an improved pest localization module named Local activated Region Proposal Network (LaRPN) is proposed to find the precise pest objects positions by augmenting contextualized and attentional information for feature completion and enhancement in local level. The approach is evaluated on our 7-year large-scale pest dataset containing 88.6K images (16 types of pests) with 582.1K manually labelled pest objects. The experimental results show that our solution performs over 74.24% mAP in industrial circumstances, which outweighs two other state-of-the-art methods: Faster R-CNN [12] with mAP up to 70% and FPN [13] mAP up to 72%. Our code and dataset will be made publicly available.

**Keywords**—Convolutional Neural Network, Pest Monitoring, Global Activated Feature Pyramid Network, Local Activated Region Proposal Network

## I. INTRODUCTION

Specialized and effective pest control and monitoring in agricultural is becoming an increasingly serious issue all around the world. [1]. The urgent demand for efficiently controlling and inspecting the occurrence of agricultural pests in fields has driven the rapid development of industrial pest prevention solutions and intelligent pest monitoring systems, such as chemical pesticides [2], image analytic systems [3], automatic adjustable spraying device [4], status estimation of wheat plants [5], remote sensing [6], etc. On account of automation and cost-effectiveness, image analytic based pest recognition and monitoring systems are widely utilized in practical crops prevention applications. Typically, these systems install some stationary pest trap devices or facilities in the wild fields for real-time acquisition and transmission of

trap images, and then employ advanced image analytic techniques [7-10] into these images for identification and extraction of pest-associated data in support of intelligent prediction and prevention.

Above advanced image analytic techniques enable abundant success in effective pest detection and recognition of certain types of pest. Yet, utilizing these techniques in designing as well as developing practically useful and robust pest monitoring system is still unsatisfied. The first reason for this problem is that extracted features as pest descriptors are short of sufficient details for tiny and blurred pest objects in 2D static images captured by stationary devices. These pose a fundamental dilemma that it is hard to distinguish small object from the generic clutter in the background. Also, traditional approaches have been suffering from many limitations such as powerless hand-crafted features and the lack of expert consensus. In addition, most of current systems focus on whole pest image classification rather than detection, where the detection aims to localize and identify each pest instance in the image that is necessary for high-level pest analysis towards more efficient pest monitoring in the wild. Therefore, towards more effective large-scale multi-class pest monitoring, it is highly necessary to develop a novel automatic approach by mining more valuable information as highly discriminative features for pest detection.

Recently, advances in deep learning techniques have led to significantly promising progress in the field of object detection, like SSD [11], Faster R-CNN [12], Feature Pyramid Network (FPN) [13] and other extended variants of these networks [14-15]. Among these approaches, two-stage object detection frameworks are the most popular in dealing with practical problems due to higher detection accuracy. In terms of convolutional neural network (CNN) backbone for feature extraction, feature pyramid structure has become a wide selection as it covers low-level object features and high-level semantic features together. In [12], Region-of-Interest (RoI) pooling is used to extract features on a single-scale feature map. But targeting at small object detection, [13] is a better state-of-the-art technique over COCO dataset [16] with mAP up to 56.9%. By building up a multi-scale image pyramid, FPN enables a model to detect all objects across a large range of scales over both positions and pyramid levels. This property is particularly useful to tiny object detection like pest detection.

In this context, this paper targets at finding out a practically

1 effective and robust pest monitoring solution by studying the  
2 state-of-the-art deep learning methods to solve the problems in  
3 current large-scale multi-class pest detection task. As shown in  
4 Fig.1, in our presented method, we firstly construct a CNN  
5 based feature pyramid architecture to ensure the pests across  
6 various scales could be found, and then propose a Global  
7 activated Feature Pyramid Network (GaFPN) for retrieving  
8 depth and spatial attention over different levels in the pyramid  
9 network. Compared to [12] and [13], this approach, the  
10 adjusted network will enable variance or changes of spatial or  
11 depth sensitive features in images more visible in the pooling  
12 layers. This property will allow some missing features of tiny  
13 pests in pooling layers in one level to be redetected by many  
14 pyramid levels. Next, an improved pest localization module  
15 named Local activated Region Proposal Network (LaRPN) is  
16 proposed to find the precise pest objects' positions by  
17 augmenting contextualized and attentional information for  
18 feature completion and enhancement in local level. Following  
19 this idea, we integrate GaFPN and LaRPN into a two-stage  
20 convolutional neural network (CNN) approach. It is evaluated  
21 over our newly published large-scale pest detection specific  
22 image dataset containing 88.6K raw images with 582.1K  
23 manually labelled pest objects. The image data were collected  
24 in the wild field using mobile camera over 7 years. The  
25 experimental results show that our approach achieves over  
26 mAP of 72%, which outweighs two other state-of-the-art  
27 methods [12] with mAP of 70% and [13] mAP of 72%.

28 The major contributions of this paper are as follows:

29 1) A novel two-stage CNN based pest monitoring approach  
30 using hybrid global and local activated feature is designed for  
31 large-scale multi-class pest dataset. It is implemented as a  
32 practically automatic pest monitoring system, which enables  
33 accurately and effectively detect 16 types pest in fields.

34 2) The proposed approach introduces two novel global and  
35 local activation branches: GaFPN and LaRPN for automatic  
36 multi-scale feature extraction and efficient region providing  
37 and fine-tuning respectively. Our approach could help  
38 recognize and extract discriminative features of tiny objects  
39 and accommodate large variations and changes of distribution  
40 of tinny objects over images. It benefits the precise measure  
41 and prediction of pest in complex circumstances with  
42 multi-class insect.

43 3) A comprehensive and in-depth experimental evaluation  
44 on practical industry level large-scale pest dataset (88.6K  
45 images) is provided for verifying the usefulness and  
46 robustness of proposed system and approaches. The results  
47 show that our approach deliver a mAP of 74.24% over 16  
48 types of pest detection, which outweighs two other  
49 state-of-the-art methods: Faster R-CNN [12] with mAP up to  
50 70% and FPN [13] mAP up to 72%.

51 The rest of the paper is organized as follows. Section II  
52 presents related work. Section III gives an overview to our pest  
53 monitoring system; and technical details of our system are  
54 introduced in Section IV. Then Section V describes the system  
55 settings and discuss the experimental results. Finally, we  
56 conclude this paper in Section VI.

## II. RELATED WORK

Typical image analytics techniques for pest monitoring focus on the study of object identification, including feature extraction and model training. Early works on insect classification include RGB multispectral analysis [8] and Principle Component Analysis (PCA) algorithm [17]. Then, more valuable and representative features are mined for precise pest recognition such as size, color [18], shape and texture [19]. But these features were too weak to be insensitive to rotation, scale and translation. Thus, Scale-invariant feature transform (SIFT) in modern computer vision techniques are popular to realize rotational variance for pest classification [20]. On the other hand, classifiers are key to achieve better model training performance, such as support vector machine (SVM) [12], k-nearest neighbors (KNN) [21], linear discriminate analysis (LDA) [22] and Artificial Neural Network (ANN) [23]. While aforementioned approaches achieved success to some extent, their results rely too much on quality of handcrafted features selection. Towards large-scale multi-class insect dataset, one consequence is that within species, extracted descriptors show strong similarity to others. Feature vectors with different species are highly close in feature space to relative variability of their texture, color, shape and so on. It is hard to utilize these approaches in practical pest monitoring applications, since the process of selecting and designing features is laborious and insufficient to represent all aspects of the insects.

Fortunately, the emergence of deep learning techniques has led to significantly promising progress in the field of object detection. CNN has exhibited superior capacities in learning invariance in multiple object categories from large amounts of training data [24]. It enables suggesting object proposal regions in detection process; and extract more discriminative features than hand-engineered features. By detecting locations [14] and fine-tuning [25] general representation to a specific object category, CNNs perform well in object detection. Some two-stages approaches [12] utilizes dense sliding window to find out the possible object regions with low-level cues. They can detect the better proposals and share the weights of convolutional layers with other of detectors. They perform even better than one-stage CNN based approaches with higher accuracy of object detection. The above deep learning methods [11-15] have showed great accuracies in many general object detection applications beyond what can be achieved by previous methods [21-23], but they are often intractable for pest monitoring applications.

Towards large-scale multi-class pest monitoring, deep learning methods need to integrate with other techniques like feature pyramids [13] for improved performance. The experiment results on the Microsoft COCO dataset [16] shows that two-stage object detection framework such as Faster R-CNN is an effective region-based object detector towards general object detection with a mean Average Precision (mAP) up to 42.7% because of region proposals are computed at first stage. But for small object detection, FPN is a better state-of-the-art technique over COCO dataset with mAP up to 56.9% due to the fused low-level object features and high-level semantic features. Despite the fact that Faster RCNN have showed great accuracies in generic object

detection applications, they are often intractable for use in practical real-world small object detection. Taking our targeted pest detection in the wild as an example, designing an effective deep learning approach is extremely difficult due to many constraints: 1) The intuitive features of pest like texture, shape or color, are easily confused with background information 2). Features of tiny pest like rotation, scale and translation, are too weak and insensitive to be recognized. 3). Many deep learning approaches focus on solving classification of different pests, rather than pest detection (localization and counting). 4). Large variations of density distribution and sizes of tiny pests make the activation of some objects even smaller and insensitive with each pooling layer through a deep learning architecture. In order to overcome above obstacles, we attempt to propose a new effective deep learning approach towards large-scale multi-class pest monitoring by using hybrid global and local activated features.

### III. APPROACH OVERVIEW

Our proposed approach is a two-stage CNN based pest detection and classification workflow shown in Fig. 1. Two major stages in this approach are GaFPN for automatic multi-scale feature extraction and LaRPN for generated boxes classification and regression. The output of this approach contains three levels: low-level region features, mid-level pest detection and high-level semantic analysis.

In the first stage of feature extraction, it relies on traditional CNN backbone by with a new global activation feature pyramid network (GaFPN) which is aggregated on each convolutional block for screening and activating depth and spatial information from feature maps outputted by each block. Multi-scale image features extracted from GaFPN are used to re-build the feature maps. This design has two considerations: 1) Sufficient shallow layers enables mining more valuable semantic features for classification. 2) The bottom layers with high spatial information are fully utilized for avoiding some features vanish in deep block.

In the second stage of pest localization, according to feature maps extracted from stage one, an improved local activated region proposal network (LaRPN) is proposed for providing region proposals and fully connected layers, which are adopted for pest classification and position regression. Different from the standard Region Proposal Network (RPN), we augment local contextualized and attentional information into region proposals for providing more efficient and precise regions.

Finally, we adopt several fully connected layers for the final pest localization and classification results including mid-level pest detection outputs for localization and classification in addition to high-level semantic analysis outputs for pest severity estimation including counting and severity prediction. The entire training and inference phase run automatically to achieve effective pest recognition and classification without any human intervention so our method is an end-to-end system.

### IV. MATERIALS AND METHODS

#### A. Dataset Setup for Large-scale Multi-Class Pest

To our best knowledge, while there exist some open insect

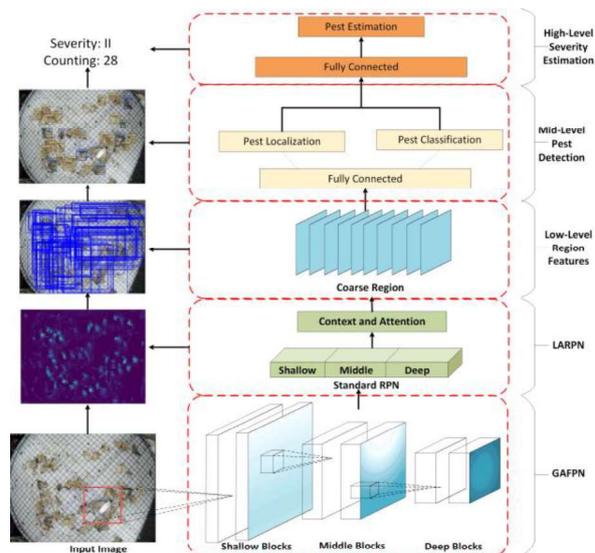


Fig. 1. Workflow of our two-stage CNN based approach

TABLE 1. Statistics on Two Subsets for our dataset with training subset and validation subset. For each class, the number of images and objects are shown in this table. Note that because single image may contain objects of several classes, the totals shown in the ‘#images’ columns are not simply the sum of the corresponding columns. (CM: *Cnaphalocrocis medinalis*, CMw: *Cnaphalocrocis medinalis* (Walker), MS: *Mythimna separate*, HA: *Helicoverpa armigera*, OF: *Ostrinia furnacalis*, PL: *Proxenus lepigone*, SL: *Spodoptera litura*, SE: *Spodoptera exigua*, SI: *Sesamia inferens*, AI: *Agrotis ipsilon*, MB: *Mamestra brassicae*, HT: *Hadula trifolii*, HP: *Holotrichia parallela*, AC: *Anomala corpulenta*, GO: *Gryllotalpa orientalis*, AS: *Agriotes subtrittatus*)

Pest name	ID	Training Subset		Validation Subset	
		#images	#objects	#images	#objects
CM	1	6663	11663	768	1332
CMw	2	2956	7548	367	914
MS	3	11280	23055	1222	2471
HA	4	22854	67426	2510	7343
OF	5	17586	39126	1950	4190
PL	6	21675	110309	2366	12200
SL	7	7301	9857	782	1079
SE	8	13212	25589	1403	2544
SI	9	5136	7645	583	830
AI	10	8952	13844	992	1553
MB	11	6389	9345	719	1065
HT	12	11827	21051	1287	2251
HP	13	8905	30792	963	3460
AC	14	13765	108112	1606	12141
GO	15	9632	17432	1038	2056
AS	16	4756	21768	546	2219
total		79800	524562	8870	57648

databases released, no existing large-scale datasets that cover multiclass pests in the wild or nature environments are released for study yet. We establish our own dataset for large-scale multi-class pest monitoring by designing an industrial pest capture equipment shown in Fig. 2. This device uses multispectral light trap for attracting various types of pests, where the wavelengths vary with time according to the habit of pests in the day. Meanwhile, HD camera above the tray of this device is set to take pictures at  $2592 \times 1944$  resolution periodically at 15-second intervals. Pests in the trays were swept away after photographing to avoid images containing 582,170 pests of 16 different types after manual

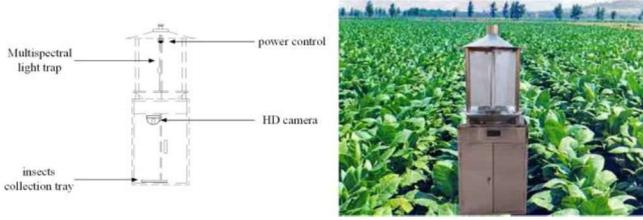


Fig. 2. Pest monitoring equipment in our work

screening to deleting obscure and over-occulted images are used to build our dataset.

Hereafter, images are labeled by agricultural experts with pest categories, localizations and severity. we randomly split entire collected images into 2 subsets for model training and validation respectively at ratio of 9:1, in which training subset could be the ‘gold standard’ to supervise our model because of labels with expert consensus and validation subset is used to evaluate our system’s performance. The statistics of our dataset are provided in Table 1.

### B. Convolutional Neural Network (CNN) Framework

The approach built on a standard CNN framework is composed of three parts: convolutional layer, activation function and pooling layer. Typically, many combinations of these layers are adopted to extract 3D image features, in which images are input into convolutional layers computed with several convolutional kernels for feature extraction.

Standard convolutional layer takes a set of called convolutional kernels to the input and the output feature map in each subsequent layer are regarded as abstract transformations of image. Generally, for each kernel convolutional kernel  $k$ , the forward propagation process of convolution in layer  $l$  could be represented by:

$$a_k^l = \sigma(z_k^l) = \sigma(a^{l-1} * W_k^l + b^l) \quad (1)$$

where the  $a_k^l$  and  $a^{l-1}$  are output of kernel  $k$  from layer  $l$  and  $l-1$ .  $\sigma(\bullet)$  is ReLU function for non-linear transformation in our approach.  $*$  indicate the convolution operation.  $W_k^l$  and  $b_k^l$  represent the convolution kernel and bias in layer  $l$  respectively. Therefore, the output convolutional layer could be computed as the sum of outputs from the filterbank:

$$a^l = \sigma(z^l) = \sigma\left(\sum_{k=1}^M z_k^l\right) = \sigma\left(\sum_{k=1}^M (a_k^{l-1} * W_k^l) + b^l\right) \quad (2)$$

### C. Global activated Feature Pyramid Network (GaFPN)

Based on standard CNN architecture, we design our feature extraction network named Global Activated Feature Pyramid Network (GaFPN) whose structure is show in Fig. 3. The motivation of designing feature pyramid is the observation that recognizing pests at vastly different scales in images is challengeable for detectors in single feature map. Thus, we exploit the inherent multi-scale hierarchy of CNN to achieve feature map extraction at various scales to ensure that pests with different sizes are recognized with enough information and avoid missing features of some tiny pests in down-sampling operations. In GaFPN, the powerfully representative information from all convolutional blocks, including high-resolution levels and high-semantic levels,

could be futurized to produce a multi-scale pest feature descriptor.

Different from the popular object detection framework FPN [23], our GaFPN makes full use of global information between each convolution block to avoid information loss during downsampling operation. As it is well known, feature maps outputted from CNN layers could be a result of convolutional operation with many kernels consisting of set of kernels. The number of kernels corresponds to be the feature depth and each kernel is learned to extract the specific type of feature such as shape and texture. Therefore, we attempt to make the model to automatically mine the depth activation vector while ignoring the effect of spatial information that could weigh the different kernels so influence the weights of feature maps depth. As for position activation, the motivation is that limited receptive field of convolution operations lead to powerless features in pests positions without appropriate supervision. So, we propose a novel supervised mask to learn the spatial activation vector that could activate the position points of objects. Therefore, our GaFPN is proposed to achieve depth and spatial activation in global level that could improve the feature discriminating power of pest objects.

Fig. 4 shows our intuitive overview of GaFPN structure, in which Global Activation Module (GAM) contains two branches for depth and spatial activation respectively. In the upper branch of depth activation, the 3D feature map with shape of  $W \times H \times C$  output from corresponding CNN block is firstly processed by a global pooling layer that averages all the pixels in each channel (depth) and generates a lower dimensional (1D) feature vector ( $1 \times 1 \times C$ ) so the effect of spatial information is eliminated. By taking global pooling, the averaged feature vector describes the global feature in depth level. Next, we apply two sets of fully connected layers with non-linear activation ReLU [26] and Sigmoid following respectively, in which the latter aims to map the feature vector into (0,1). So, the output 1D vector could be learned as depth activation factor in training phase and the final output of depth activation module is the broadcast element-wise product of the input 3D feature maps ( $W \times H \times C$ ) and 1D depth activation factor ( $1 \times 1 \times C$ ). In this way, the feature maps are activated in depth.

The second branch of GAM in Fig. 4 is used for activating spatial position that introduces a novel supervised mask to learn a spatial activation vector. Specifically, the spatial activation branch is a segmentation-like branch, in which the supervised mask is obtained by fulfilling 1 into the ground truth positions and 0 into the background areas. In this part, the input feature map with shape of  $W \times H \times C$  is input into a

global convolution operation that takes  $1 \times 1$  kernel to reduce the number of channels to 1 so the output is a  $W \times H \times 1$  feature vector, which could ensure the spatial activation vector is learned in spatial level by supervised attention loss. In this method, we adopt pixel-wise sigmoid across entropy as the attention loss. Next, we employ two set of dilated convolution operations [27] with various kernel sizes (i.e.  $5 \times 5$  and  $7 \times 7$ ) that could relieve the drawback of limited receptive field. Similar to depth activation branch, the ReLU and Sigmoid are followed and the output spatial activation factor is learned to be a 2D feature vector whose values are in (0,1). At last, the

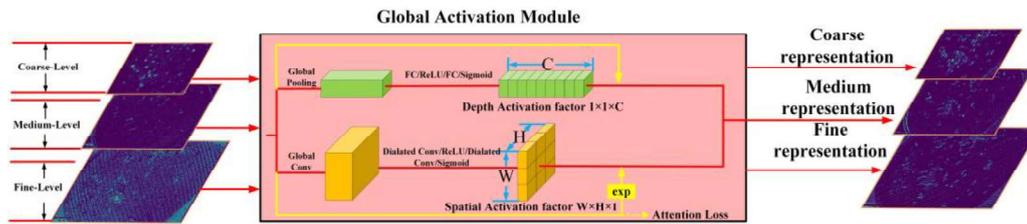


Fig. 3. Structure of Global activated Feature Pyramid Network (GaFPN)

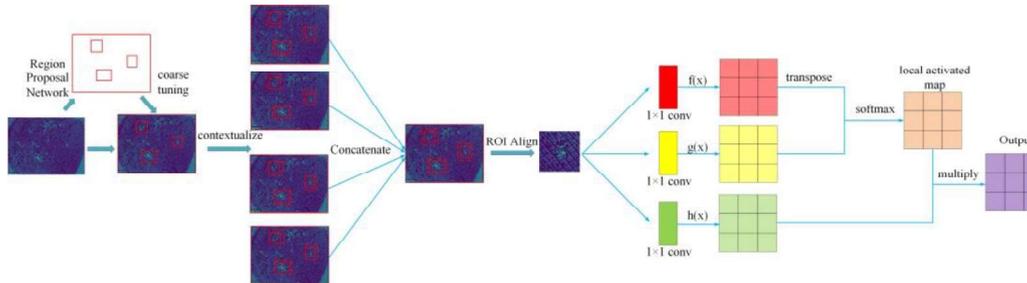


Fig. 4. Structure of Local activated Region Proposal Network (LaRPN)

learned spatial activation factor is fed into exponential operation and then dot with the input 3D feature maps in each position rather than naïve multiplication. In this way, it could maintain more context information while highlight the object information. Thus, our spatial activation could enhance the feature maps in pest objects area and diminish the opposition. Finally, the output of each block in GaFPN is the sum of two activated feature maps and all of the outputs from blocks will be processed by LaRPN for pest region searching.

#### D. Local activated Region Proposal Network (LaRPN)

Our proposed system is an improvement on the Region Proposal Network by enhancing the region information in local level during box fine-tuning phase. We called our approach Local activated Region Proposal Network (LaRPN). The first motivation of local activated is that part of region proposals provided by standard RPN might not cover complete information of target objects. This would result in inaccurate box regression with insufficient features because ROI Align [28] is used to ‘crop’ the regions into local level from feature maps. To solve this problem, we augment some extra contextual information [15] to ensure enough object features could be considered into box regression. Secondly, the local spatial positions contribute to the pest regions classification because the key feature for precise region might be the fine-grained characteristics such as colors or shapes of pests’ wings. Besides, rotational invariance should be ensured when our model is able to be sensitive to local spatial positions of pests.

Motivated by these observations, we propose an improvement of standard RPN named LaRPN to take contextual and attentional information into consideration to locally activate region proposals derived from RPN, whose structure is shown in Fig.4. There are three steps in our LaRPN. Firstly, apply the standard RPN referenced by [12] in each output from GAM in GaFPN with our assigned anchors associated with every specific scale of feature pyramid structure. The aspect ratio for our anchors is set to be 1:1.5 because most of pests in our dataset are approximately square. During training phase, the anchors with

Intersection-over-Union (IoU) to ground truth more than 0.7 are regarded as preliminary pest regions. Next, we expand these positive regions to be 1.5 times larger in four different directions to ensure the contextual regions could cover more complete information. And the enriched pest regions are mapped to feature maps and processed by ROI Align to be  $3 \times 3$  features. Thirdly, we introduce self-attention mechanism [29] with softmax activation function to obtain the local attention vector in spatial level. Therefore, the relationships among different positions of pests could be learned and the output is multiplication of regions and spatial activated map. Finally, the output is used for pest classification and box fine-tuning.

#### E. Training and Evaluation

We use large-scale pest dataset for training and validating our proposed approach. Different loss functions are selected as supervisory indicators for pest localization, classification and estimation training. A number of evaluation metrics were built to access performance of our system on these tasks.

**Pest Localization:** Pest localization is a task to predict bounding boxes for each input image. To measure the performance of localization, we pay more attention on the positioning accuracy rather than categories of boxes. Therefore, we employ box regression loss as the criterion for pest localization task during training phase. Among various regression losses, we select smooth L1 loss as the loss function which is the combination of L1 and L2 norm so the gradient near 0 is smoother:

$$Loss_L = \sum_{i \in (x,y,w,h)} \begin{cases} \tau(t_i - \hat{t}_i)^2, & \text{if } |t_i - \hat{t}_i| \leq \tau \\ |t_i - \hat{t}_i|, & \text{otherwise} \end{cases} \quad (3)$$

Where  $\tau$  is usually set to 0.5. In this loss function, a region could be characterized by  $\{t_x, t_y, t_w, t_h\}$  in which  $\{t_x, t_y\}$  are the upper-left coordinates of boxes and  $\{t_w, t_h\}$  are the width and height. Thus,  $t_i$  and  $\hat{t}_i$  represent the ground truth and localized bounding boxes respectively.

In terms of metrics, binary precision and recall are chosen to evaluate the pest localization performance. During testing phase, the regions are predicted into two categories: non-background and background, in which non-background (positive) samples are the regions with overlap more than 0.7 with the ground truth bounding boxes while the other regions are background (negative). The Precision and Recall are calculated by:

$$\text{Precision}(c) = \frac{\#TP(c)}{\#TP(c) + \#FP(c)}, \text{Recall}(c) = \frac{\#TP(c)}{\#TP(c) + \#FN(c)} \quad (4)$$

in which  $TP$ ,  $FP$  and  $FN$  represent True Positive, False Positive and False Negative samples respectively so the Precision measures the samples that are incorrectly detected while higher Recall indicates the lower misdetection rate.

Furthermore, Average Precision (AP) for binary pest localization is applied as a comprehensive evaluation metric to fuse the Precision and Recall together. In localization task, the AP is computed by the integration of Precision-Recall (PR) curve:

$$AP_L = \int_0^1 \text{Precision} d \text{Recall} \quad (5)$$

**Pest Classification:** while localizing pest objects in images, we classify each bounding box into the corresponding category. Different from binary classification in LaRPN (foreground or background), the bounding boxes are classified into 16 types that are the major categories of pests we target to monitor in our approach. In this task, we use multi-class cross-entropy loss for this pest classification problem:

$$\text{Loss}_C = \sum_{i=1}^N -y_i \log(\hat{y}_i) \quad (6)$$

Where  $y_i$  and  $\hat{y}_i$  indicate the truth label and predicted category respectively. From the perspective of evaluation metrics for pest classification, AP value [16] is updated for different categories and we combine localization and classification validation methods together. Thus, in our system, we calculate APs for 16 categories based on the corresponding PR curve as:

$$AP(c) = \int_0^1 \text{Precision}(c) d \text{Recall}(c) \quad (7)$$

In addition, the final metric for pest classification task, mAP is obtained by taking the mean of APs with all the classes:

$$mAP = \frac{1}{N_{cls}} \sum AP(c) \quad (8)$$

where  $N_{cls}$  represents the number of pest categories (in our task,  $N_{cls} = 16$ ).

**Pest severity estimation:** the high-level task, pest severity estimation targets at predicting the severity of pest occurrence from the input image. According to agricultural experts' consensus, the severities are divided into 5 levels from 'general' to 'serious' that describes the occurrence of pests in the field, so the images are labeled to I-V by experts after image acquisition. In the process of pest severity prediction,

the input features are the combined results from localization and classification tasks above. In terms of encoding method, we adopt a variant of one-hot encoder to transform the pest detection results into  $N_{cls}$ -dimensional vector, where each element in this vector indicates the number of detected pests with corresponding category. In this input vector, we only focus on the quantity of detected pests from each category rather than their positions.

In pest severity estimation task, we build consequent two FC layers for feature extraction and softmax predictor for severity estimation. As criterion, we employ a weighted multi-class cross-entropy loss defined as:

$$\text{Loss}_E = \sum_{i=1}^N -\lambda_i y_i \log(\hat{y}_i) \quad (9)$$

where  $\lambda_i$  is parameter to weight the loss function which measures the risk of different misclassification samples. We define the risk parameter  $\lambda_i$  as the difference between predicted severity and truth severity. As for evaluation, we consider total accuracy as evaluation metric for pest estimation task.

## V. EXPERIMENTAL RESULTS AND DISCUSSION

We use Inception [30] and ResNet50 [31] as CNN backbones to train our pest monitoring model and also build some experiments to evaluate the performance of our system. During the training phase, we set the SGD gradient descent with momentum 0.9 [32] and initialize learning rate to 0.001 that will be dropped by 10 at 80k and 160k iterations. In terms of weight initialization, we adopt transfer learning that copy the CNN backbones weights pre-trained on ImageNet dataset. In order to avoid over-fitting problem, we utilize early-stopping strategy [33] to select the best training iteration. The performance of our approach is evaluated on our built dataset across multiple tasks: pest localization, classification and severity estimation.

### A. Pest Localization Task

For pest localization task, we present the experimental results in Table 2, in which we compare our method with two state-of-the-art approaches Faster RCNN [12] and FPN [13] that are the base detectors we attempt to improve using our proposed techniques. Because localization task is evaluated on regions accuracy alone, the  $AP_L$  does not take categories into consideration. As it can be observed, our proposed method could dramatically surpass the localization performance of Faster RCNN using different CNN backbones for feature extraction, which achieves 4.35% and 3.93%  $AP_L$  improvement. Besides, compared with another feature pyramid method FPN, our system could also obtain a slight improvement in pest localization task. Among these results of our method, the best performance occurs in ResNet50 backbone which achieves localization accuracy with 82.67%  $AP_L$ .

It is interesting to note the detailed pest localization performance between our approach and other state-of-the-art methods in Fig. 5 which shows the PR curve of various networks. Obviously, our proposed global and local activated

TABLE 2. Pest Localization Results  $AP_L$ 

CNN Backbone	Method	$AP_L$
Inception	Faster RCNN	74.99%
	FPN	76.65%
	Ours	79.34%
ResNet50	Faster RCNN	78.74%
	FPN	80.29%
	Ours	82.67%

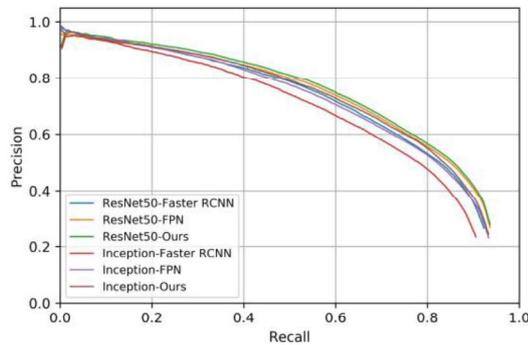


Fig. 5. Precision-Recall curve for pest localization

approach outperforms Faster RCNN by a large margin and improves FPN slightly. This improvement could be contributed to two reasons. Firstly, our method with GaFPN applies a pyramid feature extraction architecture and localize **pests' regions** on multi-level feature maps that could help precisely find pests positions on various scales, which is also evidence from  $AP_L$  values of our method in Fig. 6. Secondly, holding global activation factors by our presented global activated features for enhancing the depth and spatial information in global level makes it easier to localize pests positions because of much more remarkable features between foreground and background.

### B. Pest Classification Task

For pest classification task, we show the experimental results in Table 3 that presents the AP for 16 pest categories performed by our method and other state-of-the-art models. Observed from Table 3, having pest localization information associated with the predicted bounding boxes to pests, our method could achieve more accurate pest recognition on these classes. It is obvious that our approach could significantly outperform Faster RCNN in pest classification over almost all the pest categories under Inception as CNN backbone. The homologous phenomenon occurs in that using ResNet50 network with 3.28% mAP improvement. In addition, our approach could also largely improve mAP compared to another feature pyramid object detection structure FPN. This gain is largely due to our LARP<sup>n</sup>'s ability to introduce the contextual and local activated information before fully connected layers for pest classification, which is helpful to sufficiently learn the features of pests in local level.

Apart from mAP results, there are obvious differences within classes that can be seen in Table 3. Specifically, pest #8 seems to be the most difficult to be categorized on these pre-calculated regions with lowest AP value while almost all the models could classify pest #15 well even using shallow CNN backbone. This can be explained by that the pests in the 'easy' class hold up a large number of training examples,

TABLE 3. Pest Classification Task Results AP value (%)

Pest ID	Inception			ResNet50		
	Faster RCNN	FPN	Ours	Faster RCNN	FPN	Ours
1	51.62	60.24	61.41	57.12	62.13	<b>64.60</b>
2	56.26	61.00	63.15	59.70	62.96	<b>66.01</b>
3	64.27	67.33	68.22	69.75	70.16	<b>71.74</b>
4	80.74	82.10	83.48	83.73	82.82	<b>84.97</b>
5	65.65	69.73	71.44	70.17	71.22	<b>72.07</b>
6	65.36	68.45	71.61	68.60	68.98	<b>72.07</b>
7	63.09	63.30	67.35	68.39	69.46	<b>71.25</b>
8	45.31	49.70	51.04	48.57	53.47	<b>54.50</b>
9	69.93	71.17	73.36	72.56	72.91	<b>76.32</b>
10	75.55	76.27	78.73	79.92	80.58	<b>80.65</b>
11	50.71	51.74	54.28	54.45	57.35	<b>62.36</b>
12	63.17	66.78	69.06	66.26	69.20	<b>72.03</b>
13	77.48	83.31	85.45	84.94	85.18	<b>85.95</b>
14	79.43	86.93	<b>88.21</b>	87.86	88.03	88.08
15	89.81	89.77	89.82	89.93	89.97	<b>90.21</b>
16	69.13	72.51	<b>75.09</b>	73.38	74.37	75.05
mean	66.72	70.02	71.98	70.96	72.42	<b>74.24</b>

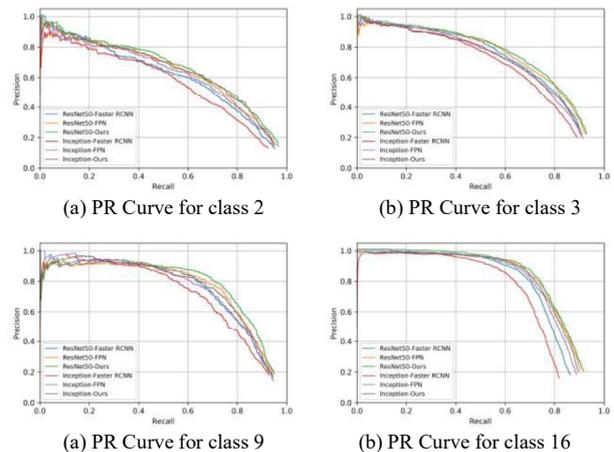


Fig. 6 illustrates some of PR curves in our experiments.

which help reduce difficulty to classify them comparing Table 3 and Table 1. Even though, the amount of data might not be the main factor affecting performance of our approach, where pest #16 still could be categorized with a large AP value (more than 80%) even if there are only 4756 training images containing pests of this class. Therefore, our method could largely overcome the sample limitation and imbalance problem with a great improvement.

Fig. 6 illustrates some of PR curves in our experiments. Note that only four classes PR curves are shown here due to the space limitation. As it is shown, precision could keep a high value with the recall increasing in various models. Especially, our approach using different CNN backbones could obtain a larger precision and recall compared to Faster RCNN, which indicates that it could effectively reduce false positive rate as well as misdetections rate. Concretely speaking, pest #2 is relatively difficult to classify so the PR curve for this class is further away from the point (1,1). In addition, PR curve for pest # 16 represents that it is hard to obtain a high recall value but could get satisfied precision value so this curve signifies that our system could make sure that almost all the detected insects of this class are correct but might not detect all

of the insects. Furthermore, among these illustrated PR curves, our system performs best on class #3 that maintains high precision in addition to recall simultaneously.

### C. Pest severity estimation Task

For pest severity estimation, our method regards this task as a classification problem so we achieve severity estimation based on the encoded results outputted from previous pest localization and classification tasks. So we compare our severity estimation predictor with the state-of-the-art CNN based models that estimate severity by softmax classifier using the whole image as input. Table 4 illustrates the comparable results in our experiments. As it is shown, our method could beat these CNN approaches with approximately 2% classification accuracy improvement due to the prior information from detected pests.

TABLE 4. Pest severity estimation Task Results Accuracy

CNN Backbone	Method	Accuracy
Inception	Softmax	80.5%
	Ours	82.8%
ResNet50	Softmax	84.9%
	Ours	86.6%

### D. Result Visualization

We visualize part of the pest monitoring results in Fig. 7 that fuses localization, recognition and severity estimation tasks together. These results are outputted by our system based on ResNet50 backbone. The environments of input images from top to bottom are more and more complicated. As it can be seen, our method could achieve multi-class pest localization and recognition under both simple and complicated environments and provide the predicted severity estimation, despite the intractable challenges such as noisy image and tiny objects. Some feature maps outputted from 2 middle blocks with FPN (left) and our method (right) using ResNet50 are visualized in Fig. 8. It is found that, the feature maps in our system diminish the highlights of non-objects and focus more attention on pest regions with lighter activation points. Therefore, our method could perform better on pest detection and progressively learn the pests' features well.

## VI. CONCLUSION

This paper proposes a novel deep learning approach using hybrid global and local activated features for automatic pest monitoring in industrial equipment to simultaneously perform three key tasks: localization, classification and severity estimation. Our method successfully realizes efficient and automatic feature extraction with global activated feature pyramid GaFPN structure. Furthermore, we present local activation to enhance position-sensitive features of pest boxes by LaRPN for powerful regions proposal. Under our enriched stationary pest dataset captured by our designed pest monitoring equipment, our method has outperformed the state-of-the-art methods in pest localization, classification and severity estimation tasks. Future work will consider developing more efficient deep learning architecture for real-time pest monitoring.

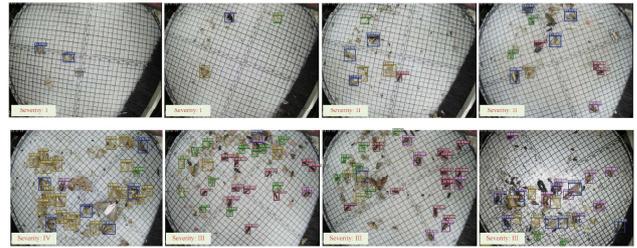
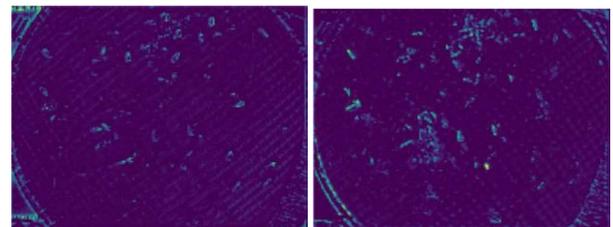
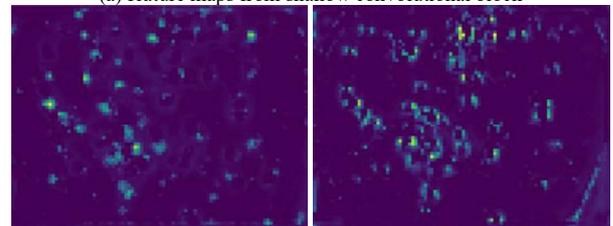


Fig. 7. Examples of pest monitoring results demonstration



(a) feature maps from shallow convolutional block



(b) feature maps from deep convolutional block

Fig. 8. Part of feature maps generated by FPN (left) and our method (right) using ResNet50 backbone extracted from shallow to deep block.

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