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### Article

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1 **Passengers' likely behaviour based on demographic difference during an**  
2 **emergency evacuation in a Ro-Ro passenger ship**

3

4 **Abstract**

5 By examining the characteristics of passengers on a ship route between the Shandong and  
6 Liaodong Peninsula, through a questionnaire survey, this paper aims to address the likely  
7 behaviours of passengers during emergency evacuation and the demographic differences  
8 among these behaviours.

9 A questionnaire survey of 1,380 passengers shows that passengers on board are more alert  
10 and are more likely to proactively respond to evacuation alarms (62.5%), observe others'  
11 actions (59.1%), follow evacuation instructions (67.9%), obey the crew (66.2%), queue  
12 patiently (63%), return to the cabin when their families are left behind (65.1%), and be  
13 cooperative (59%) rather than competitive (44%). The multinomial logistic regression results  
14 show that passengers who are older, with limited mobility, that have more experience aboard  
15 ships and are part of a larger group, will be more likely to proactively confirm the authenticity  
16 of evacuation events. Men, elderly individuals, people who are part of a larger group and with  
17 less experience in evacuation education are more likely to follow others. When the family is  
18 left behind, elderly individuals and people who are part of a larger group are much more likely  
19 to choose to return to their cabins. Similarly, elderly passengers with larger groups are much  
20 more likely to choose to help others.

21 Although questionnaire research has some limitations, such as a hypothetical response  
22 and closed questions, the research results are of great significance for helping passenger ship  
23 managers to develop appropriate management rules, and conduct effective evacuation  
24 education activities.

25

26 **Keywords:** Human behaviour, Passenger ship safety, Emergency evacuation, Demographic

27 characteristics, Crowd management

28

## 29 **1 Introduction**

### 30 ***1.1 Background***

31 The global passenger ship market has become large-scale, and the passenger capacity has  
32 been gradually increasing in recent years (Li and Cai, 2019; Vanem and Skjong, 2006).  
33 According to the data from the Lloyds Register Fairplay and Lloyds Maritime Information Unit  
34 database, the number of global Ro-Ro passenger ships is 2,020 in 2011, accounting for 2/3 of  
35 the global number of passenger ships, similarly, the transportation capacity is 1,372,871  
36 passengers, accounting for 65% of the global one (Corrigan et al., 2011). The accident  
37 probability of a large passenger ship is deemed to be very low, however, in the event of an  
38 accident, the consequences are considered catastrophic. This is demonstrated in tragedies such  
39 as, the Estonia passenger ship that sank in 1994, where 852 SOBs (Souls on Board) lost their  
40 lives; the Dashun Ro-Ro passenger ship that sank after the fire in 1999, where only 22 of the  
41 312 SOBs were rescued, with a direct economic loss of about ¥90m; and the Sewol Ro-Ro  
42 passenger ship that sank in 2014, with 304 SOBs either declared dead or missing (Sun et al.,  
43 2018a; Kim et al., 2016; Nevalainen, 2015). Thus, the evacuation of large passenger ships  
44 gradually became a primary concern of the safety field (Ahola and Mugge, 2017; Ahola et al.,  
45 2014; Vanem and Skjong, 2006).

46 To actively improve the safety level of passenger ships, especially after the Estonia  
47 accident, the International Maritime Organization (IMO) began to amend the SOLAS  
48 Convention to improve the safety of passenger ships (IMO, 2019; Nevalainen, 2015). In 2016,  
49 after many revisions and additions, the Maritime Safety Committee (MSC) approved the  
50 “Revised guidelines on evacuation analyses of the new and existing passenger ships” at its 96th  
51 session as part of SOLAS Convention II-2/13.3.2.71. This revision made evacuation analysis  
52 mandatory not only for Ro-Ro passenger ships but also for other passenger ships constructed  
53 on or after January 1<sup>st</sup>, 2020 (Li and Cai, 2019; IMO, 2016).

54           However, the data and parameters specified in the guideline are based on civil building  
55    evacuations, and do not take into account the effects on human behaviour of ship movement or  
56    family group behaviour, and rarely provide an effective management strategy (Chen et al., 2018,  
57    2015; IMO, 2016; Lee et al., 2003). Compared with the land-based evacuation, evacuating  
58    people from crowded passenger ships is a complex and difficult task. If the passenger's  
59    behaviour characteristics are not fully understood in advance, it is difficult to manage a crowd  
60    in an emergency (Ahola et al., 2014; Glen et al., 2001).

61           Today, compared with the land-based evacuations (building, train and airplane), the  
62    research on ship evacuation is relatively limited due to the complex structure ships, the highly  
63    variable the marine environment and the difficulty in obtaining evacuation data. There is  
64    limited empirical data on ship evacuation, especially the data related to the walking speed and  
65    human behaviour (Zhang et al., 2016; Vanem and Skjong, 2006). Therefore, there is still a need  
66    to systematically analyse the likely behaviour of passengers during the evacuation of passenger  
67    ships to supplement and advance existing research in this important area.

## 68    ***1.2 Objective and scope of the study***

69           The Ro-Ro passenger ship is one of the successful types of vessel operating in the world.  
70    It has the characteristics of flexible operation and fast speed, making it extremely popular on  
71    many ship routes, particularly on short-sea routes (IMO, 2019).

72           The Ro-Ro passenger ship market in the Bohai Bay area started in 1986 and achieved  
73    unprecedented success in the early 1990s in China. Then in 1999, the Ro-Ro passenger ship  
74    "Dashun", travelling from the Shandong Peninsula to the Liaodong Peninsula, sank after a fire.  
75    This resulted in the Ro-Ro passenger ship market suffering a short "frozen period". At the  
76    beginning of 2016, according to data from the China Ocean Shipping (Group) Company  
77    (COSCO)'s Shipping Passenger Transport Co., Ltd., the number of passenger ships travelling  
78    between the Shandong Peninsula and the Liaodong Peninsula reached 46 each day, with

79 approximately 20 million people and 600,000 cars carried annually (Yu, 2016).

80 This paper aims to investigate the demographic characteristics and the likely behaviours  
81 of ship passengers during emergency evacuation by conducting a questionnaire survey on a  
82 Ro-Ro passenger ship between the Shandong Peninsula and the Liaodong Peninsula.

83 Although the method of questionnaire survey has been widely used in the analysis of  
84 human behaviour choices, the authors have found that there is no systematic study of the  
85 relationship between the demographic characteristics of a Ro-Ro passenger ship and the likely  
86 behaviour under emergency scenarios. Therefore, this research will bridge this gap, which can  
87 be used to support and expand existing passenger ship evacuation models and simulation  
88 software through providing reliable empirical data. Simultaneously, studying the demographic  
89 differences in passengers' likely behaviours during evacuation can provide valuable insights  
90 for future evacuation planning, and help to improve the understanding of passengers' likely  
91 behaviours. It is also helpful for crowd management during the emergency evacuation of Ro-  
92 Ro passenger ships, and improves the safety of Ro-Ro passenger ships.

## 93 **2 Literature review**

94 The guideline performance standard stipulates that the total evacuation time of a Ro-Ro  
95 passenger ship is no longer than 60 minutes (IMO, 2016). Currently, there are many models  
96 and software packages for evacuation simulation (Kim et al., 2019; Hifi, 2017; Galea et al.,  
97 2013; 2012; 2010; Meyer-König et al., 2007; Kim et al., 2004), but the simulation results are  
98 often quite different from the actual evacuation time. For example, the "Norman Atlantic" Ro-  
99 Ro passenger ship evacuated the entire ship by rescue helicopter and lifeboat due to a fire in  
100 the car deck and the total evacuation process lasted 35 hours (Pospolicki, 2017). Therefore,  
101 there is still a strong need for reliable empirical data, especially experimental data in  
102 emergency situations to develop and verify the evacuation model and improve the accuracy  
103 and authenticity of the result.

104 To provide empirical data for model validation, the research group at the University of  
105 Greenwich conducted three sea trials on a Ro-Ro passenger ship and cruise ships (Galea et al.,  
106 2013; 2012; 2010), and collected three types of data: passenger response times, evacuation  
107 time and questionnaire data, in which the data in the questionnaire section was not presented  
108 in the articles (Nevalainen, 2015). Simultaneously, researchers have conducted human  
109 walking experiments under listing conditions (Kim et al., 2019; Sun et al., 2018a; 2018b;  
110 Zhang et al., 2016; Meyer-König et al., 2007). They simulated the condition of the ship in a  
111 listing state and obtain the average human walking speed. However, considering the safety  
112 and ethical issues, the experiments above were mostly carried out under controlled conditions,  
113 as it was difficult to replicate an emergency scene (Shiwakoti et al., 2017; 2016).

114 Regarding the lack of research in ship evacuation, some researchers have advocated the  
115 use of research results of building evacuations, adapting the research results from the field of  
116 civil evacuations to ship evacuation. To highlight the similarities between building  
117 evacuations and ship evacuations, a questionnaire survey of 100 passengers was carried out  
118 randomly in Ancona, a mother port of Italy's cruise ships, to understand the passengers'  
119 familiarity with the emergency situations and likely behaviour (Casareale et al., 2017). By  
120 analysing the existing land-based research literature in detail, it is possible to see that the likely  
121 behaviour of passengers in emergency situations can be divided into a number of categories,  
122 which are outlined in the following sections.

### 123 ***2.1 Pre-evacuation***

124 Pre-evacuation is sometimes described as pre-movement (Bode and Codling, 2019),  
125 meaning that during the response/reaction phase, people may engage in activities such as  
126 packing items, finding others, investigating the cues, and seeking confirmation from others  
127 before evacuating (Haghani et al., 2019a; Galea et al., 2017). Pre-evacuation time is an  
128 important part of the total evacuation time and is affected by various factors, such as social

129 influence (Bode and Codling, 2019; Lovreglio et al., 2016), distance from the exit (Haghani et  
130 al. 2016) and safe location (Haghani et al. 2019a). Although most evacuation simulation  
131 software tools can be used to predict pre-evacuation behaviour in normal or emergency  
132 situations, the performance of this behaviour is often oversimplified (Lovreglio et al., 2016).  
133 As very few accidents are the same, and humans do not react uniformly to them, it is still  
134 necessary to learn more about the delays in evacuation behaviour due to personnel awareness  
135 and perception (Shiwakoti et al., 2019a; 2016). The existing literature on land-based traffic  
136 accidents shows that different people choose to respond to emergencies actively or passively.  
137 Some people do not evacuate immediately after hearing the alarm until the staff confirm with  
138 the Public Address (PA) system. However, some studies show that people can move to  
139 emergency exits or muster stations in a timely manner when they sense danger (Shiwakoti et  
140 al., 2017; Fridolf et al., 2013). Simultaneously, some studies have shown that pre-evacuations  
141 are affected by environmental factors, and people initiate evacuation actions at different times  
142 after the evacuation alert (Bode and Codling, 2019; Haghani et al. 2019a; Lovreglio et al.,  
143 2019). Questionnaire surveys on cruise ships were conducted to explore passengers' likely  
144 behaviours when hearing the evacuation alarm. The results showed that after hearing the alarm,  
145 88% of passengers choose to confirm the accuracy of the incident (Casareale et al., 2017).

## 146 ***2.2 Path-finding***

147 Currently, regarding the path-finding during emergency evacuation, some researchers  
148 have used mathematical models to analyse the path-finding behaviour of passengers (Kim et  
149 al., 2019; Ni et al., 2017; Kim et al., 2004). A number of researchers investigated the path-  
150 finding behaviour of ship passengers. Research conducted by Casareale et al. (2017) shows  
151 that 42% of people rely on their own understanding of the muster station, and 88% of them  
152 said they would follow the guidance of others. It is surprising that the passenger's trust in the  
153 crew is 100%, regardless of the crew's level or role, and 76% of passengers said they would



154 follow their advice in path-finding activities. Similarly, studies also show that in a panic  
155 situation, driven by the desire to escape from danger, people's decision-making processes are  
156 not necessarily logical. Even if there is a closer evacuation exit, people are also more likely to  
157 use a familiar evacuation exit (Lovreglio et al., 2016; Nevalainen, 2015).

### 158 ***2.3 Behaviour when there is exit congestion***

159 Passengers show varying levels of impatience when the exits are congested, and data  
160 studies show that people are much more impatient than normal in an emergency (Haghani et  
161 al., 2019b; 2016). Mass disasters show that when there is exit congestion, people are more  
162 likely to make less risky choices, ignore favourable exits, and choose to wait in line, leaving  
163 the alternate exit idle (Shiwakoti et al., 2017; Hurley, 2016; Zur and Breznitz, 1981). Research  
164 conducted by Shiwakoti et al. (2017) shows that 43% of people stated that they will choose the  
165 less crowded exit. Furthermore, some studies also show that passengers tend to follow the  
166 guidance of the crew, and individuals act randomly without the crew's guidance. In contrast,  
167 people form a queue when there is a crew member to provide guidance (Casareale et al., 2017).

### 168 ***2.4 Count flow behaviour***

169 In the evacuation of high-rise buildings, there are bidirectional flows with people  
170 evacuating downstairs and firefighters going upstairs. The impact of a bidirectional flow of  
171 people is significant for both descending and ascending personnel (Cłapa et al., 2015). In the  
172 evacuation process of ship passengers, as well as crew members returning to the cabin to rescue  
173 passengers, there will also be passengers returning to the cabin to find valuables, relatives and  
174 friends; this is particularly true for parents who will return to find their children (Glen et al.,  
175 2001; Kvamme, 2017). In existing evacuation models, some researchers have used  
176 mathematical modelling methods to simulate the counter flow-avoiding behaviour of personnel  
177 and employed advanced evacuation simulation tools to produce experimental results for use by  
178 the IMO (Kim et al., 2019; Ha et al., 2012).

179 **2.5 Competition and cooperation behaviour**

180 Existing evacuation studies have showed that in emergencies, people show competitive or  
181 cooperative behaviours such as shoving, trampling, or staying calm and helping each other  
182 (Shiwakoti et al., 2017; Sime, 1995). In the “Costa Concordia” accident, some male passengers  
183 tried to push away the crowd and forcibly entered lifeboats, causing some passengers to fall  
184 from the steps and become injured (Kvamme, 2017; Elnabawybahriz and Hassan, 2016).  
185 Compared with competitive behaviours, many fire accident cases show that in an emergency,  
186 the first response of the evacuation personnel is to help each other. Some people will return to  
187 the fire zone to find relatives, whereas staff will return to the fire area to help customers and  
188 show heroic behaviour (Hurley, 2016; Aguirre et al., 2011). To better understand the impact of  
189 competitive behaviour and cooperative behaviour in an evacuation, some mathematical  
190 simulation tools have been used to simulate the push behaviour and help behaviour of people  
191 through mathematical models (von Sivers et al., 2016; Song et al., 2006).

192 **2.6 Group behaviour**

193 Another salient phenomenon in the process of emergency evacuation is the behaviour of  
194 evacuees in social groups, i.e., social groups with close relationships, such as relatives and  
195 friends. In this instance, people support each other in the process of evacuation and negotiate  
196 to determine the evacuation strategy (Kvamme, 2017; Mawson, 2007; Sime, 1983). For  
197 example, during the “Costa Concordia” a woman and her colleagues packed their luggage and  
198 went to the embarkation station together (Casareale et al., 2017). To fully evaluate the  
199 evacuation efficiency of ships and manage personnel in high-density areas, it is necessary to  
200 consider the family and group behaviour of the personnel (Shiwakoti et al., 2017; Kim et al.,  
201 2004; Lee et al., 2003).

202 **2.7 Impatient behaviour**

203 In the case of short evacuation time available, the evacuated people usually show irrational,

204 impulsive or inappropriate behaviour. This behaviour coupled the increase of crowd density,  
205 will cause the evacuated people to become impatient; the walking speed will increase;  
206 competition and push behaviour will increase (Haghani et al., 2019b; Shiwakoti et al., 2019b;  
207 Vanem and Skjong, 2006). In the "Costa Concordia" accident, passengers began to become  
208 restless due to the sound of wind and waves hitting the ship, and during the boarding process  
209 some passengers chose to jump into the water thus the evacuation scene was out of control  
210 (Kvamme, 2017). If factors such as passenger psychological panic caused by the complex  
211 environment of the ship are not taken into account, the evacuation analysis will be divorced  
212 from reality and not instructive (Lee et al., 2003). Therefore, it is necessary to understand  
213 whether passengers will display impatient behaviour in the case of emergency evacuation, and  
214 whether it has a certain relationship with the educational background, social experience or  
215 psychological quality (Nevalainen, 2015).

## 216 ***2.8 Carrying luggage***

217 For the evacuation analysis under the influence of obstacles of passenger ships, some  
218 researchers have studied the interaction between personnel and obstacles by combining the  
219 cellular automaton model or social force model, but the obstacles studied are mostly walls,  
220 tables, *etc.* (Ni et al., 2017; Ha et al., 2012; Vanem and Skjong, 2006). In the "Costa Concordia"  
221 accident, despite the heavy listing of the ship, there were still many elderly people who were  
222 reluctant to leave their luggage items until the rescuers force them to give up their personal  
223 belongings (Kvamme, 2017). Therefore, it is necessary to study the probability of personnel  
224 carrying luggage during evacuation, with respect to the impact of the movement of people and  
225 the entire evacuation time.

## 226 ***2.9 Temporary leadership behaviour***

227 In the case of emergency evacuation, when there are no staff in the vicinity, some  
228 passengers will participate in the process of guiding the crowd evacuation due to the internal

229 responsibility of the individual (Hurley, 2016). Current research indicates that, a majority (92%)  
230 of those who do not understand emergency evacuation procedures, tend to rely on temporary  
231 leadership (Casareale et al., 2017; Hou et al. 2014). When leaders are unable to maintain  
232 leadership, teams will choose new leaders (Kuligowski, 2011). In the “Costa Concordia”  
233 accident, evacuation personnel clearly showed competitive behaviour and chaotic reactions,  
234 while passengers' spontaneous leadership behaviour and some calm behaviour quickly eased  
235 the chaos (Kvamme, 2017).

236 There are some similarities between a passenger ship evacuation and a land-based  
237 evacuation. Considering the particularity of the dynamic environment on board a ship and the  
238 complicated behaviour of personnel under the influence of the particular environment,  
239 passengers' own travelling experiences and their familiarity with the ship, passengers' choices  
240 of behaviour during ship evacuation may be more complicated than typical choices during land-  
241 based evacuations (Nevalainen, 2015; Glen et al., 2001). Although some researchers have  
242 studied the emergency evacuation behaviour of passengers on passenger ships, the sample data  
243 of the survey is relatively small, and due to the different data of ethnicity, cultural background,  
244 and education level, the likely behaviour of personnel may also be different.

### 245 **3 Data and method**

#### 246 *3.1 Description of the study scope*

247 The passenger ship transport across the Bohai Bay is one of the major routes in China. It  
248 is the longest cross-strait passenger route and a high-risk sea area for maritime transport (Yantai,  
249 2017). By the end of 2017, there were 23 Ro-Ro passenger ships serving Bohai Bay, which had  
250 a daily passenger capacity of 32,340 people and 3,442 parking spaces. In 2017, the Bohai Bay  
251 Ro-Ro passenger ship completed transportation of 5.5 million passengers, and 1.24 million  
252 vehicles, with an annual increase of 6% and 9% over 2016, respectively.

253 COSCO Shipping Passenger Transport Co., Ltd. is a state-owned sea passenger transport

254 enterprise directly under the management of COSCO Shipping Group. This company mainly  
255 undertakes maritime transportation of passengers and vehicles in China's coastal areas,  
256 especially in the Bohai Bay. It has eight large luxury Ro-Ro passenger ships such as "Bang  
257 Chui Dao" and "Yong Xing Dao". As an example of size, "Yong Xing Dao" has a length of  
258 167.5 m, a width of 25.2 m and a tonnage of 24,572, as well as 23 crew members and 27 service  
259 staff, a passenger capacity of 1,400 and a car capacity of 2,000. The ships travel to and from  
260 the Shandong Peninsula and Liaodong Peninsula once a day.

261 A questionnaire survey was designed to investigate the demographic characteristics of  
262 passengers on the route and their likely behaviours during emergency evacuation. The survey's  
263 relevant ethics clearance was obtained from Dalian Maritime University's Human Research  
264 Ethics Committee and approved by the ship's Master and the company. Then the survey was  
265 carried out in a random, voluntary, autonomous and innominate form after the passengers were  
266 on board. The survey was disseminated on 3<sup>rd</sup> April by service staff on board the ship and  
267 returned to researchers on 18<sup>th</sup> May 2019. Prior to the survey, the research group trained the  
268 service staff so that passengers could be given detailed answers when they had questions about  
269 a problem (e.g. an evacuation experience). Each questionnaire took approximately 5 minutes  
270 to complete.

### 271 ***3.2 Measure method***

272 Based on the existing research results, after communicating with the passenger ship staff,  
273 the research group designed a preliminary questionnaire. 6 volunteers were arranged to  
274 distribute an initial survey on the Ro-Ro passenger ship on the route in February 2019 and 241  
275 completed survey questionnaires were received. Based on the results of the initial survey and  
276 the feedback from the respondents, the research group adjusted the questionnaire. After the  
277 adjustment, the research group conducted a questionnaire survey on the route again to analyse  
278 the reliability and validity of the questionnaire.

279 Reliability is an indicator of the consistency or stability of the measurement results. The  
280 most commonly used approach is Cronbach's alpha reliability coefficient method with an alpha  
281 value over 0.5 considered acceptable. An ideal method for validity analysis is to use factor  
282 analysis to measure the structural validity of the scale. The Kaiser-Meyer-Olkin (KMO)  
283 statistic test and Bartlett test of Sphericity (BTS) are generally used for suitability analysis. The  
284 closer the KMO value is to 1, the more suitable it is for factor analysis. When the KMO value  
285 is greater than 0.5, it is considered suitable for factor analysis. BTS is used to test whether the  
286 correlation matrix is a unit matrix; thus, if  $P < \alpha$ , the null hypothesis can be rejected, which  
287 also indicates that there is correlation between variables and is suitable for factor analysis (Sun  
288 et al., 2019; Shiwakoti et al., 2016). Those requirements of reliability and validity were applied  
289 to design the questionnaire. After the questionnaire met the requirements for reliability, validity  
290 and the objectives of the survey, the final survey (refer to appendix A) was conducted from  
291 April 2019 which lasted 45 days.

292 The questionnaire is divided into two parts: basic information and emergency evacuation  
293 behaviour. The basic information section investigates the passenger's demographic  
294 characteristics, such as gender, age group, education level, mobility, experience aboard ship,  
295 the number of people travelling together, and experience of ship evacuation education/training.  
296 The emergency evacuation behaviour is divided into pre-evacuation behaviour, path-finding  
297 behaviour, behaviour during exit congestion, counter flow behaviour, competition and  
298 cooperation behaviour, group behaviour, impatient behaviour, temporary leadership behaviour  
299 and carrying luggage. The participants' responses were measured using a 5-point Likert scale,  
300 ranging from 1 to 5, where "1" represents Very Unlikely, and "5" represents Very Likely.

301 The pre-evacuation behaviour is divided into waiting for the staff to confirm, escaping  
302 immediately, observing the movements of others, and proactive confirmation. The path-finding  
303 behaviour is designed to understand the choice of passenger escape route, such as choosing the

304 nearest exit, choosing the most familiar exit, following the majority, and following the  
 305 evacuation guide or PA. When the exit is congested, the behaviour of passengers choosing the  
 306 escape route is investigated. It is divided into queuing patiently, self-finding other exits,  
 307 squeezing forward, and obeying the crew. The counter flow behaviour is divided into returning  
 308 when valuables are left behind and returning when families are left behind. Competition and  
 309 cooperation behaviours are divided into pushing others and helping others during the process  
 310 of evacuation. Each of the groups, group behaviour, impatient behaviour, leadership behaviour,  
 311 and carrying luggage include only one question. That is, when evacuating and escaping, they  
 312 will find a companion to escape together. When there is a fire, they will feel impatient, and will  
 313 follow the team's temporary leader and carry luggage.

### 314 **3.3 Participants in the survey**

315 In this survey, a total of 1,800 questionnaires were disseminated, 1,550 of them were  
 316 retrieved, and 1,380 valid questionnaires were obtained after the incomplete and damaged  
 317 questionnaires were weeded out. Thus the proportion of valid questionnaires was 89%. The  
 318 demographic characteristics of the 1,380 respondents are shown in Table 1.

319  
 320  
 321

**Table 1**  
 Demographic characteristics of survey participants

Demographic characteristics	Classification	Frequency	Percentage
Age	16 and below	83	6%
	17-25	376	27.2%
	26-30	234	17%
	31-40	138	10%
	41-50	263	19.1%
	51-60	247	17.9%
Gender	61 and above	39	2.8%
	Male	569	41.2%
Education level	Female	811	58.8%
	Primary and below	248	18%
	Secondary school	653	47.3%
	College	311	22.5%
Mobility level	Graduate students and above	168	12.2%
	Very poor	61	4.4%
	Poor	131	9.5%
	Neutral	452	32.8%
	Good	387	28%
Experience on board	Very good	349	25.3%
	0	119	8.6%
	1	273	19.8%
	2-4	776	56.2%
Number of people travelling together	5 or more	212	15.4%
	Alone	122	8.8%
	1	209	15.1%
	2-5	553	40.1%
	6-10	401	29.1%

	11 or more	95	6.9%
Evacuation education/ training experience	Never	385	27.9%
	Have, but do not remember	536	38.8%
	Once a year	213	15.4%
	More than once a year	246	17.8%

322

### 323 **3.4 Data analysis**

324 Statistical analyses were conducted with SPSS (Version 22.0). To test the possibility of a  
325 specific behaviour, depending on whether the sample data satisfies a normal distribution, the  
326 one-sample T-test or the Wilcoxon one-sample test in the non-parametric test section is  
327 employed to verify that the average of the individual variables is different from the neutral  
328 score of 3. If the sample data satisfies the normal distribution, the one-sample T test is selected,  
329 otherwise, the Wilcoxon one-sample test is selected. If the null hypothesis that the mean is  
330 equal to the neutral value of 3 is rejected, on average, then a score greater than 3 is likely to  
331 occur, and a score less than 3 is unlikely to occur (Shiwakoti et al., 2016; Greene, 2002).

332 For the same set of behaviour, such as the four strategies of pre-evacuation, the form of  
333 pairwise comparison is taken to compare the relative importance of the different strategies. In  
334 addition, based on whether the sample data satisfies the normal distribution, the paired sample  
335 T-test or the non-parametric test is selected to check whether there is a significant difference  
336 between the sample data. If the null hypothesis that there is no clear difference between the  
337 strategies is rejected, there are significant differences between the sample data, and the strategy  
338 with a larger average is more likely to occur (Shiwakoti et al., 2017; 2016).

339 To better understand the differences between demographic characteristics and likely  
340 behaviours, a series of logistic regression analyses were performed on the 5-point Likert scale  
341 based on the dependent and independent variable types. In the ordinal logistic regression, it is  
342 assumed that the coefficients of the independent variables in several binary logistic regressions  
343 are equal, and it is necessary to test the hypothesis that the coefficients of the independent  
344 variables are equal (also called a parallel line test). If this assumption is not met, a multinomial  
345 logistic regression model is considered to be used. Multinomial logistic regression is one of the

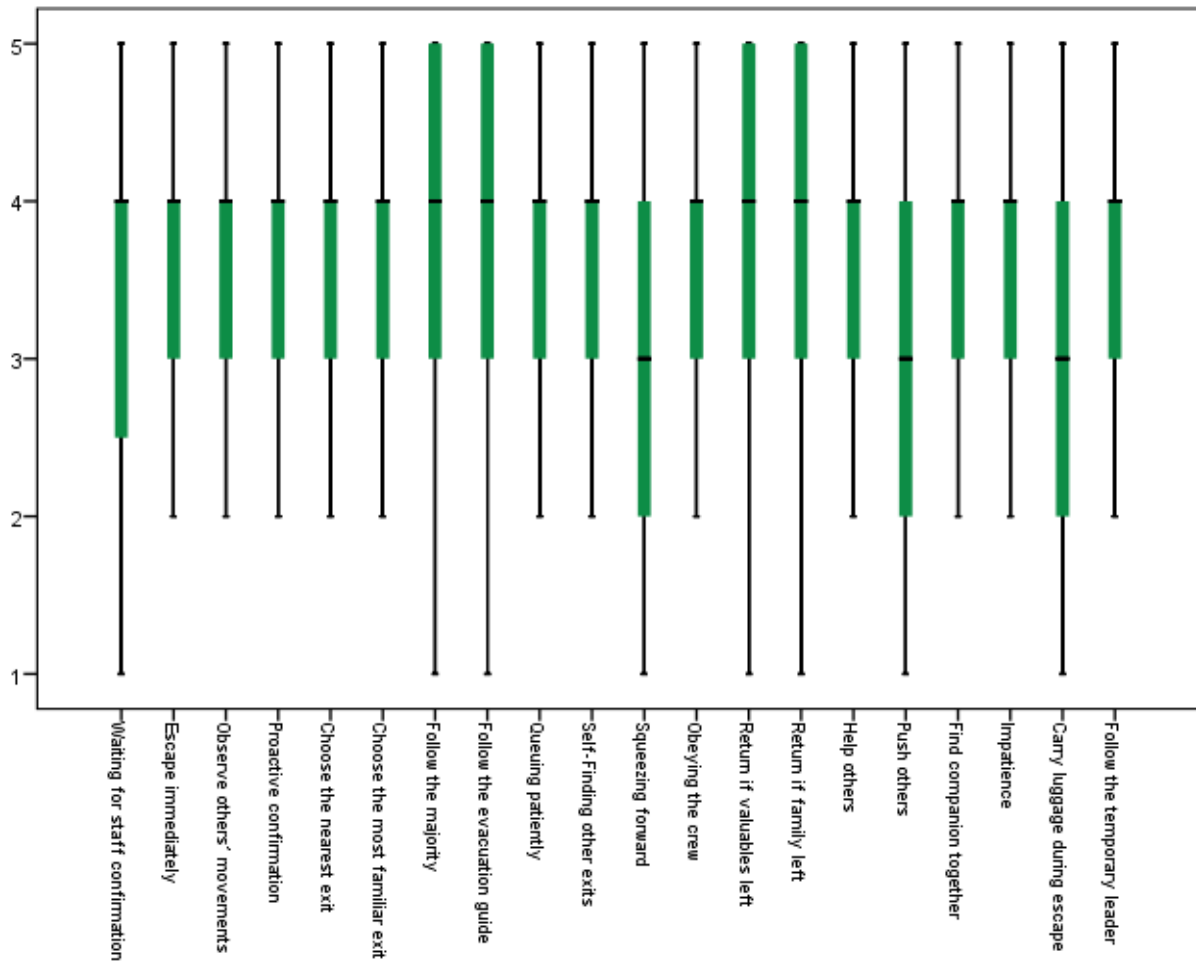


346 most commonly used econometric models to generalize logistic regression to multiple types of  
347 problems. Specifically, it is a model used to predict the probability of a given set of independent  
348 variables affecting the possible outcomes of the dependent variable (Stoneman et al., 2019;  
349 Weng et al., 2019).

#### 350 **4 Results**

351 Many studies have used statistical methods to investigate personnel's selection behaviour  
352 in emergency situations, and provide numerical analysis results based on statistical models,  
353 which have provided references for this study (Orlov and Kallbekken, 2019; Shiwakoti et al.,  
354 2019a; 2017; Ahola and Mugge, 2017; Haghani and Sarvi, 2016; Hatfield and Prabhakaran,  
355 2016; Basha and Maiti, 2013). It is generally believed that Cronbach's alpha coefficient is very  
356 good when the score is between 0.8 and 0.9 (Sun et al., 2019). Based on statistics analysis, the  
357 Cronbach's alpha coefficient of this questionnaire is 0.881, which is between 0.8 and 0.9,  
358 indicating that the reliability of the questionnaire is very good. The questionnaire is designed  
359 based on literature research, practical analysis and expert interview. Therefore, only a structural  
360 validity analysis is carried out. The KMO value of this survey is 0.87, and the Sig value of BTS  
361 is  $P < 0.01$ , indicating that there are correlations between the variables.

362 The box plot of Fig. 1 shows the distribution of each likely behaviour of the respondents,  
363 from which it is easy to see how close the behaviour selection data is to the neutral value or  
364 extreme value. Table 2 summarizes the distribution of each behavioural strategy. Since the  
365 sample data does not obey a normal distribution, a series of Wilcoxon single-sample tests were  
366 performed for each likely behaviour. At the 99% confidence level, all test results ( $p < 0.001$ ,  
367 refer to appendix B-Table B1) reject the null hypothesis that the mean is equal to the neutral  
368 value of 3. Given that a score greater than 3 is likely to occur, and a score less than 3 is unlikely  
369 to occur if the null hypothesis is rejected, from Table 2, it can be therefore concluded, on  
370 average, that passengers tend to perform all the behaviours investigated.



Note: 1="Very Unlikely" to 5="Very Likely"

**Fig.1.** Box plots of passenger's behaviour

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For the internal comparative analysis of likely behaviours in the criteria of pre-evacuation behaviour, path-finding behaviour, and behaviour at exit congestion, each of the three groups has four strategies and a correction for multiple comparisons should be considered (Shiwakoti et al., 2019a; Curtin and Schulz, 1998). For instance, for the four questions on pre-evacuation in the questionnaire, all possible pairwise comparisons were considered to establish if the answers to these questions were different (Curtin and Schulz, 1998). The Bonferroni correction of every group's strategies is showed in Table 3.

At the 95% confidence level, the tests of the null hypothesis (i.e. that there is no clear difference) were rejected for all of these pairwise comparisons, except for comparative analysis of QA1-QA2, QA3-QA4, QB1-QB2, QB2-QB3 and QC1-QC4. For the pre-evacuation

behaviour, there is no statistically significant difference between QA3 and QA4, and between QA1 and QA2. However, there are statistically significant differences between QA1 and QA3, between QA1 and QA4, between QA2 and QA3, and between QA2 and QA4. Considering that a higher average score in the survey response implies that passengers are more likely to follow this behaviour if the null hypothesis is rejected, it is suggested that QA3 and QA4 are statistically more likely than QA1 and QA2. Therefore, it can be concluded from Table 2 and Table 3 that in the pre-evacuation behaviour, passengers tend to proactively respond to evacuation alarms, and observe others' actions, rather than waiting for the staff to decide or escape immediately. In the path-finding behaviour, passengers tend to follow the evacuation PA or instructions, rather than choosing the nearest exit or most familiar exit. When the exit is congested, passengers tend to choose to follow the crew's guidance and wait patiently, rather than rushing forward or self-finding other exits. Furthermore, the Wilcoxon signed-rank test was used to detect the internal comparative analysis in the groups of counter flow behaviour, and competition and cooperative behaviour of which there were no multiple comparisons. At the 99% confidence level, the tests ( $p < 0.001$ , refer to appendix B-Table B2) of the null hypothesis were rejected, which means that there is a clear difference between the compared strategies. It can be concluded from Table 2, in the case of counter flow behaviour, when the family is left behind, the passengers are more likely to return. In competition and cooperation, passengers tend to assist others in evacuation rather than competing with others.

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**Table 2**

Summary of passenger's likely behaviour on Ro-Ro passenger ship

Question NO.	Variables	Very Unlikely	Unlikely	Neutral	Likely	Very Likely	Mean	SD
<i>QA</i>	<i>Pre-evacuation behaviour</i>							
QA1	Waiting for staff confirmation	11.5	13.5	24.3	32.7	18	3.32	1.24
QA2	Escape immediately	8.0	13.5	27.4	38.0	13.1	3.35	1.11
QA3	Observe others' movements	6.8	11.2	22.8	43.8	15.3	3.50	1.09
QA4	Proactive confirmation	5.1	12.2	20.1	42.6	19.9	3.60	1.09
<i>QB</i>	<i>Path-finding behaviour</i>							
QB1	Choose the nearest exit	3.9	13.3	21.7	38.8	22.2	3.62	1.09
QB2	Choose the most familiar exit	3.0	11.2	21.3	39.9	24.6	3.72	1.05
QB3	Follow the majority	2.4	11.7	20.9	39.6	25.4	3.74	1.04
QB4	Follow the evacuation guide or PA	2.5	13.4	16.2	34.9	33.0	3.83	1.11
<i>QC</i>	<i>Behaviour during exit congestion</i>							
QC1	Queuing patiently	3.6	12.8	20.6	39.1	23.9	3.67	1.08
QC2	Self-Finding other exits	3.0	13.8	28.0	37.9	17.2	3.53	1.03
QC3	Squeezing forward	9.1	27.2	22.2	25.4	16.2	3.12	1.23
QC4	Obedying the crew	3.6	9.2	21.1	42.1	24.1	3.74	1.04
<i>QD</i>	<i>Counter flow behaviour</i>							

QD1	Return when valuables left behind	6.2	13.6	23.3	28.4	28.5	3.60	1.21
QD2	Return when family left behind	3.8	12.0	19.2	29.3	35.8	3.81	1.15
<i>QE</i>		<i>Competition and cooperation behaviour</i>						
QE1	Help others	3.1	12.9	25.1	40.7	18.3	3.58	1.03
QE2	Push others	8.6	24.1	23.3	26.8	17.2	3.20	1.23
<i>QF</i>		<i>Group behaviour</i>						
QF1	Find companion to escape together	2.8	10.2	19.7	47.7	19.6	3.71	0.99
<i>QG</i>		<i>Impatient behaviour</i>						
QG1	Impatience	2.5	13.6	20.5	40.9	22.5	3.67	1.05
<i>QH</i>		<i>Carrying luggage</i>						
QH1	Carry luggage during escape	9.8	23.3	26.1	24.6	16.2	3.14	1.23
<i>QI</i>		<i>Temporary leadership behaviour</i>						
QI1	Follow the temporary leader	3.3	13.3	26.7	36.8	19.9	3.57	1.05

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**Table 3**

The p-values and test statistic of multiple comparison

Group	Test Statistic	Std. Error	Std. Test Statistic	Sig.	
QA2	15.178	58.163	0.261	0.794	
QA1	QA3	-199.832	58.163	-3.436	0.001*
	QA4	-344.058	58.163	-5.915	<0.001*
QA2	QA3	-215.01	58.163	-3.697	<0.001*
	QA4	-359.236	58.163	-6.176	<0.001*
QA3	QA4	-144.226	58.163	-2.48	0.013
QB1	QB2	-127.742	58.055	-2.2	0.028
	QB3	-155.351	58.055	-2.676	0.007*
	QB4	-322.081	58.055	-5.548	<0.001*
QB2	QB3	-27.608	58.055	-0.476	0.634
	QB4	-194.338	58.055	-3.347	0.001*
QB3	QB4	-166.73	58.055	-2.872	0.004*
QC1	QC2	235.269	58.453	4.025	<0.001*
	QC3	715.364	58.453	12.238	<0.001*
	QC4	-91.812	58.453	-1.571	0.116
QC2	QC3	480.095	58.453	8.213	<0.001*
	QC4	-327.081	58.453	-5.596	<0.001*
QC3	QC4	-807.176	58.453	-13.809	<0.001*

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Note: \* statistically significant difference with  $p < 0.008(0.5/6)$ , incorporating a Bonferroni correction.

411 To explore the demographic differences of the likely behaviours, using demographic  
412 variables as independent variables, and likely behaviours as dependent variables, a series of  
413 multinomial logistic regression models were established using Equation 1. Categorical  
414 variables, such as gender, are treated as dummy variables before analysis. Following this, the  
415 demographic data was put into the model, the quality of the models was tested, and the  
416 likelihood ratio was used to check the variable significance in the model. Subsequently, all the  
417 obtained values were smaller than 0.05 ( $p < 0.05$ ). The original hypothesis that the model has  
418 the same quality before and after inserting the independent variables was rejected, indicating  
419 that the model is effective, and the model construction is reasonable. Finally, the influence of  
420 certain demographic factors on each likely behaviour is analysed under the common influence  
421 of demographic data.

422 The regression coefficient can explain the influence level of the factors. A positive number  
 423 indicates a positive influence, and a negative number indicates a negative influence. The  
 424 magnitude of the influence can be expressed by the odd ratio (OR), as shown in Equation 2,  
 425 which means that an independent variable is increased by one unit, and the dependent variable  
 426 is increased or decreased, when the influence of other independent variables is excluded.

$$427 \quad \Pr\{Y_i = j | X_i\} = \frac{e^{\beta_j X_i}}{1 + \sum_{k=1}^J e^{\beta_k X_i}} \quad j=1 \dots, k-1, \beta_0=0 \quad (1)$$

$$428 \quad OR_j = \exp(\beta_j) \quad (2)$$

429 If the dependent variables have  $k$  categories, the multinomial logistic regression model  
 430 will establish  $k-1$  generalized superiority models and select one of them as the reference. Where  
 431  $i$  is an index for independent variables,  $j$  is an index of different categories of a dependent  
 432 variable,  $Y_i$  represents the dependent variable vector,  $X_i$  represents the independent variable  
 433 vector, and  $\beta_j$  represents the regression coefficient vector. The dependent variable is a selected  
 434 level of likely behaviour and independent variables are the demographic characteristics  
 435 (Greene, 2002; Orlov and Kallbekken, 2019).

436 Statistical analysis was performed based on the results of a series of multinomial logistic  
 437 regression models with different likely behaviours. In the analysis of each multinomial logistic  
 438 regression, grade “1” (Very Unlikely) was chosen as the reference, and each comparative  
 439 analysis was performed with “1” (Very Unlikely) as the reference. For the category *gender*, it  
 440 is treated as a dummy variable before analysis, and male (man) was taken as reference. The  
 441 multinomial logistic regression analysis of the likely behaviour QD2 (Return when family is  
 442 left behind) is shown in Table 4, and the results of the multinomial logistic regression for other  
 443 likely behaviours are presented in the discussion. It can be clearly found that at the 90%  
 444 confidence level, the age group, education level and mobility have significantly affected  
 445 passengers' QD2 behaviour. The correlation coefficient between age group, mobility and QD2

446 behaviour is positive, indicating that passengers are more likely to have QD2 behaviour as the  
 447 age group increases and their mobility increases. The correlation coefficient between education  
 448 level and QD2 behaviour is negative, indicating that as the education level increases, and the  
 449 possibility of QD2 behaviour is gradually reduced. However, it cannot be concluded that people  
 450 with higher education levels are more rational, as the rationality of passenger performance  
 451 requires a reference point, such as individualistic optimum or social optimum (Haghani et al.  
 452 2019b). For some passengers, the safety of their relatives may be more important than their  
 453 own or collective evacuees’.

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**Table 4**  
 Result of multinomial logistic regression on likely behaviour QD2

Demographics	Likelihood	B	SE	P	OR
Age group	2	0.179	0.096	0.062	1.196
	3	0.235	0.091	0.010	1.265
	4	0.160	0.089	0.072	1.173
	5	0.232	0.089	0.009	1.261
Gender (Women vs man)	2	-0.245	0.338	0.468	0.783
	3	-0.123	0.325	0.705	0.885
	4	-0.37	0.314	0.239	0.691
	5	-0.353	0.313	0.259	0.703
Education level	2	-0.498	0.19	0.009	0.608
	3	-0.581	0.182	0.001	0.559
	4	-0.337	0.175	0.054	0.714
	5	-0.389	0.174	0.026	0.678
Mobility	2	0.566	0.16	<0.001	1.761
	3	0.574	0.152	<0.001	1.775
	4	0.619	0.147	<0.001	1.857
	5	0.653	0.148	<0.001	1.922
Experience on board	2	0.024	0.27	0.929	1.024
	3	-0.307	0.258	0.234	0.735
	4	-0.179	0.249	0.473	0.836
	5	0.364	0.249	0.143	1.439
The number of people travelling together	2	0.118	0.204	0.564	1.125
	3	0.257	0.196	0.19	1.293
	4	0.017	0.189	0.928	1.017
	5	-0.001	0.187	0.996	0.999
Evacuation education or training experience	2	-0.049	0.173	0.778	0.952
	3	-0.198	0.166	0.233	0.82
	4	-0.155	0.16	0.335	0.857
	5	-0.100	0.159	0.531	0.905

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Note: The reference category is 1 (Very Unlikely).

## 458 5 Discussion

459 Ship evacuation is affected by many different factors, such as the number of passengers  
 460 and the demographic characteristics of passengers (Vanem and Skjong, 2006). The IMO’s  
 461 guideline explains in detail the variables that affect the evacuation of passenger ships, and  
 462 guides member states in conducting evacuation analysis studies. Based on the gender and age

463 of the evacuees, the guideline gives maximum and minimum speeds for walking on flat areas  
 464 and staircase areas (divided into travelling up and down stairs). However, the values of each  
 465 variable are estimated by the IMO according to the data submitted by the member states. Due  
 466 to the different race, cultural background and education level of sample data, the IMO still  
 467 needs to carry out a large number of experiments and investigations to collect human behaviour  
 468 data during emergency evacuation, and optimize ship evacuation algorithms and models (IMO,  
 469 2016; Lu and Liang, 2012).

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**Table 5**  
 Population's composition (age and gender) of this survey compared with the guideline

Population groups – passengers	The Guideline	This Survey
Females younger than 30 years	7%	29%
Females 30-50 years old	7%	16%
Females older than 50 years	16%	10%
Females older than 50, mobility impaired (1)	10%	
Females older than 50, mobility impaired (2)	10%	3%
Males younger than 30 years	7%	21%
Males 30-50 years old	7%	13%
Males older than 50 years	16%	6%
Males older than 50, mobility impaired (1)	10%	
Males older than 50, mobility impaired (2)	10%	2%

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473 The comparison of the passenger composition of this survey with the recommendations  
 474 of the IMO guideline is shown in Table 5. As stated by Lu and Liang (2012), the composition  
 475 of passengers varies by region, ethnicity, and cultural background. It can be clearly seen that  
 476 the population composition of the IMO guideline is significantly different from this survey.  
 477 The passenger composition recommended by the IMO is the average level of the member states,  
 478 and the data provided by a member state may represent the domestic average level. When  
 479 analysing the passenger composition of a certain ship route or region, a targeted survey is  
 480 proposed to find out whether there are any differences between theoretical recommendation  
 481 and the actual situation, and then study the impact of passenger composition on evacuation  
 482 results.

### 483 **5.1 Pre-evacuation**

484 Given that a higher average score in the survey response implies that passengers are more  
 485 likely to follow this behaviour if the null hypothesis is rejected, the test results of the non-

486 parametric test and multiple comparisons show that during the pre-evacuation of the passenger  
487 ship, passengers are more likely to respond proactively or observe others' actions rather than  
488 passively when waiting for the staff to confirm or escape immediately. As shown in Table 6,  
489 the multinomial logistic regression results show that for the QA4 strategy (Proactive  
490 confirmation), the correlation coefficients between the mobility and the experience of  
491 evacuation education are negative, and the rest are positive. When compared to the condition  
492 of Very Unlikely, under the condition of Very Likely, the age, mobility, experience on board  
493 and the number of people travelling together are statistically significant under the 95%  
494 confidence level, indicating that the pre-evacuation behaviour of passengers is affected  
495 statistically significant by these factors. The correlation coefficient of the age group is 0.217  
496 ( $p=0.019$ ), which suggests that the age group might have a statistically significant positive  
497 association with the QA4 strategy, and the OR is 1.242. In other words, there is a possibility  
498 that, as the age increases, the probability of passengers choosing the QA4 strategy may  
499 gradually increase. Moreover, for each additional unit in the age group, the possibility of  
500 choosing the QA4 strategy increases by 1.242 times. This impact analysis is also applied to the  
501 rest of the discussion section. The correlation coefficient of the mobility to act is -0.379  
502 ( $p=0.002$ ), which indicates that there were statistically significant negative interaction effects  
503 between mobility and the QA4 strategy, with an OR of 0.672.

504 The correlation coefficient of the experience on board is 0.77 ( $p=0.001$ ), which indicates  
505 that the experience on board will have a statistically significant positive relationship with the  
506 QA4 strategy, and the OR value is 2.16. The correlation coefficient of the number of people  
507 travelling together is 0.842 ( $p<0.001$ ), which means that the number of people travelling  
508 together will have a statistically significant positive impact on the QA4 strategy, and the OR is  
509 2.32. Compared to "age group", the influence degree of "the number of people travelling  
510 together" on the QA4 strategy is greater, most likely due to the fact that people who are part of



511 a large group are more concerned about safety-related issues and have a higher level of safety  
 512 awareness (Hurley, 2016).

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**Table 6**  
 Result of multinomial logistic regression on likely behaviour QA4

Demographics	Likelihood	B	SE	P	OR
Age group	2	0.101	0.096	0.29	1.106
	3	0.137	0.092	0.135	1.147
	4	0.281	0.088	0.001	1.324
	5	0.217	0.093	0.019	1.242
Gender (Women vs man)	2	0.414	0.297	0.164	1.513
	3	0.429	0.286	0.134	1.536
	4	0.275	0.27	0.31	1.316
	5	0.169	0.288	0.558	1.184
Education level	2	0.215	0.184	0.242	1.24
	3	0.098	0.177	0.582	1.102
	4	0.1	0.168	0.55	1.106
	5	0.194	0.179	0.278	1.214
Mobility	2	-0.306	0.131	0.019	0.736
	3	-0.493	0.128	<0.001	0.611
	4	-0.264	0.12	0.027	0.768
	5	-0.397	0.131	0.002	0.672
Experience on board	2	0.081	0.232	0.729	1.084
	3	0.365	0.224	0.103	1.441
	4	0.408	0.209	0.050	1.504
	5	0.77	0.226	0.001	2.16
The number of people travelling together	2	0.687	0.199	0.001	1.988
	3	0.896	0.19	<0.001	2.451
	4	0.68	0.18	<0.001	1.974
	5	0.842	0.19	<0.001	2.32
Evacuation education or training experience	2	-0.209	0.172	0.226	0.812
	3	-0.095	0.164	0.56	0.909
	4	-0.061	0.156	0.698	0.941
	5	-0.064	0.165	0.699	0.938

Note: The reference category is 1 (Very Unlikely).

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During the evacuation of land-based transportation facilities, passengers are more likely  
 to choose a passive strategy. Even if they hear an evacuation alarm, they tend to wait for staff's  
 confirmation (Shiwakoti et al., 2017; Fridolf et al., 2013). Ship passenger evacuation studies  
 showed that 83% of people chose to proactively verify the accuracy of the alarm after hearing  
 the evacuation alert (Casareale et al., 2017), which is similar to the results of this study (62.5%),  
 indicating the safety alert of the ship's passengers is stronger. After hearing the evacuation  
 alarm, they are more likely to proactively confirm the authenticity of the incident. This may be  
 related to the situation where ship passengers are not familiar with the ship environment and  
 have higher safety awareness; however, this kind of proactive behaviour and its impact on the  
 people nearby may benefit evacuation efficiency (Haghani et al. 2019b). Moreover, this feature  
 is significantly affected by factors such as age group, mobility, experience on board and the  
 number of people travelling together. Passengers who are elderly, with limited mobility, have

529 more experience on board and are part of a larger number of people travelling together are more  
530 likely to actively confirm the incident.

531 Similar to the results in the literature (Bode et al., 2019; 2015a; 2015b; 2013; Proulx et  
532 al., 1995; Horasen and Bruck, 1994), this study did not find significant differences in the pre-  
533 evacuation behaviour between men and women. However, similar to the above studies, this  
534 study found that passengers are also more likely not to choose to evacuate immediately.  
535 Therefore, it is inappropriate not to consider the pre-evacuation behaviour of passengers in  
536 some current evacuation studies, and the calculated evacuation time is not accurate enough  
537 (Haghani et al., 2019a; Hurley, 2016; Nevalainen, 2015). The results of this study also support  
538 some mathematical models or standpoints in the simulation study that consider the loss of the  
539 initial time of evacuation. In the analysis of pre-evacuation behaviour, some researchers argue  
540 that the individual's familiarity with the environment is an important factor in determining the  
541 delay of action, but this kind of delay has different opinions on a positive or negative impact  
542 of the overall evacuation time (Bode and Codling, 2019; Haghani et al. 2019a; 2019b). It is  
543 recommended that experimental research should be carried out to collect data on the pre-  
544 evacuation behaviour and reaction time of passengers and provide support for current  
545 evacuation modelling.

## 546 ***5.2 Path-finding behaviour***

547 Given that a higher average score in the survey response implies that passengers are more  
548 likely to follow this behaviour if the null hypothesis is rejected, the test results of the  
549 nonparametric test and multiple comparisons show that, in the category path-finding, the  
550 passengers are more likely to choose the QB4 (Follow the evacuation guide or PA) strategy. In  
551 the QB4 strategy, the proportion of passengers who chose Likely or Very Likely is as high as  
552 67.9%, which also shows the importance of the crew's guidance on evacuating passengers. In  
553 the QB3 (Follow the majority) strategy, the proportion of passengers who chose Likely or Very

554 Likely is as high as 65%, confirming the phenomenon of “herding behaviour” or “imitation  
555 behaviour” (Haghani et al. 2019b) during evacuation. As shown in Table 7, the multinomial  
556 logistic regression results show that for the QB4 strategy, the correlation coefficients of age  
557 group, gender, mobility, and the number of people travelling together are positive. At a 95%  
558 confidence level, when compared to the condition of Very Unlikely, the significance of the age  
559 group is statistical significant under the condition of Very Likely, and the correlation coefficient  
560 is 0.363 ( $p < 0.001$ ). This indicates that there are statistically significant positive relationships  
561 between the age group and the QB4 strategy. Similarly, the OR for this analysis is 1.438.

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**Table 7**  
Result of multinomial logistic regression on likely behaviour QB4

Demographics	Likelihood	B	SE	P	OR
Age group	2	0.078	0.109	0.471	1.082
	3	0.08	0.107	0.453	1.084
	4	0.186	0.103	0.071	1.204
	5	0.363	0.104	<0.001	1.438
Gender (Women vs man)	2	0.404	0.377	0.284	1.498
	3	0.388	0.372	0.296	1.474
	4	0.247	0.358	0.489	1.281
	5	0.151	0.36	0.675	1.163
Education level	2	-0.043	0.226	0.849	0.958
	3	0.215	0.222	0.333	1.239
	4	0.034	0.214	0.875	1.034
	5	-0.185	0.215	0.39	0.831
Mobility	2	0.731	0.184	<0.001	2.076
	3	0.59	0.182	0.001	1.803
	4	0.774	0.176	<0.001	2.169
	5	0.83	0.178	<0.001	2.293
Experience on board	2	-0.414	0.296	0.161	0.661
	3	-0.35	0.292	0.231	0.705
	4	-0.447	0.281	0.112	0.639
	5	-0.079	0.283	0.781	0.924
The number of people travelling together	2	0.075	0.226	0.741	1.078
	3	0.361	0.223	0.106	1.435
	4	0.288	0.214	0.179	1.334
	5	0.289	0.215	0.178	1.335
Evacuation education or training experience	2	-0.272	0.201	0.177	0.762
	3	-0.405	0.199	0.041	0.667
	4	-0.211	0.19	0.268	0.81
	5	-0.064	0.191	0.738	0.938

Note: The reference category is 1 (Very Unlikely).

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For another likely behavioural strategy QB3, as shown in Table 8, the correlation  
567 coefficients of age group, mobility, and the number of people travelling together are positive  
568 while the rest are negative. At the 95% confidence level, age group, gender, number of people  
569 travelling together, and experience of evacuation education were statistically significant. When  
570 compared to the condition of Very Unlikely, the correlation coefficient for the age group is  
571 0.539 ( $p < 0.001$ ) under the condition of Very Likely, which indicates that the age group is

572 statistically significantly correlated positively with the QB3 strategy, and an OR of 1.715. The  
573 correlation coefficient of gender is -1.071 ( $p=0.018$ ), which suggests that gender has a  
574 statistically significant negative impact on the QB3 strategy, where the OR is 0.343. The  
575 correlation coefficient of the number of people travelling together is 0.916 ( $p<0.001$ ), which  
576 indicates that the number of people travelling together has a statistically significantly positive  
577 link with the QB3 strategy, and the OR is 2.498. The correlation coefficient of the experience  
578 of evacuation education is -0.372 ( $p=0.05$ ), which shows that the experience of evacuation  
579 education has a statistically significant negative impact on the QB3 strategy, and the OR value  
580 is 0.689. Similar to the QA4 strategy, the influence degree of “the number of people travelling  
581 together” on the QB3 strategy is greater than others.

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**Table 8**  
Result of multinomial logistic regression on likely behaviour QB3

Demographics	Likelihood	B	SE	P	OR
Age group	2	0.376	0.139	0.007	1.456
	3	0.452	0.134	0.001	1.571
	4	0.527	0.133	<0.001	1.694
	5	0.539	0.134	<0.001	1.715
Gender (Women vs man)	2	-1.109	0.466	0.017	0.33
	3	-1.047	0.455	0.021	0.351
	4	-1.088	0.449	0.015	0.337
	5	-1.071	0.454	0.018	0.343
Education level	2	-0.078	0.238	0.742	0.925
	3	0.104	0.228	0.647	1.11
	4	-0.134	0.224	0.549	0.874
Mobility	5	-0.009	0.228	0.97	0.991
	2	0.428	0.182	0.019	1.535
	3	0.255	0.176	0.148	1.291
	4	0.401	0.173	0.021	1.493
Experience on board	5	0.357	0.178	0.044	1.43
	2	-0.326	0.297	0.272	0.722
	3	-0.207	0.287	0.471	0.813
	4	-0.163	0.281	0.561	0.85
The number of people travelling together	5	-0.104	0.288	0.718	0.901
	2	0.482	0.232	0.038	1.619
	3	0.673	0.222	0.002	1.96
	4	0.75	0.217	0.001	2.117
Evacuation education or training experience	5	0.916	0.221	<0.001	2.498
	2	-0.58	0.205	0.005	0.56
	3	-0.566	0.196	0.004	0.568
	4	-0.514	0.191	0.007	0.598
5	-0.372	0.195	0.05	0.689	

Note: The reference category is 1 (Very Unlikely).

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586 In an emergency, the path-finding behaviour of the person is driven by the instinct to keep  
587 away from danger. A person’s choice is related to factors such as personal experience and  
588 familiarity with the environment. The first is the individual's perception of the evacuation  
589 environment, such as familiarity (Lovreglio et al., 2016), exit affordability (Haghani et al. 2016)

590 and safe location (Haghani et al. 2019a), which significantly influence the decision-making  
591 and behaviour of individuals in selecting an exit route in an emergency (Hurley, 2016;  
592 Nevalainen, 2015). Similar to the literature research above, this research shows that when  
593 selecting the evacuation path, people prefer to rely on evacuation indication or PA, i.e., static  
594 or dynamic evacuation indication. Studies have also shown that compared to static guidance  
595 systems, in the case of high pressure, it is easy for people to misunderstand static evacuation  
596 instructions. The main help for passengers is dynamic guidance, or mutual help between  
597 personnel (Galea et al., 2017; Kvamme, 2017; Lovreglio et al., 2016).

598         The research results show that people tend to follow others and show herding behaviour,  
599 however, the path-finding behaviour is the result of a combination of multi-attribute factors.  
600 The impact of other factors still needs to be considered, such as the distance from the exit and  
601 the degree of congestion (Shiwakoti et al., 2017; Haghani et al. 2016; Lovreglio et al., 2016).  
602 Some studies have shown that most people do not show herding behaviour in an emergency;  
603 in contrast, they tend to avoid joining crowded people (Haghani et al. 2019a; 2019b; 2019c).  
604 However, when the exit is not visible, there is a higher possibility of herding behaviour  
605 (Haghani and Sarvi, 2016). According to a survey conducted by Casareale et al. (2017), 76%  
606 of passengers said they would follow the given advice during the path-finding. Similarly, this  
607 survey shows that the proportion of passengers who choose Likely or Very Likely in the QB3  
608 strategy is as high as 65%, indicating that the proportion of people who choose to follow others  
609 during the emergency evacuation process is higher. This may be related to the phenomenon  
610 that people are less familiar with the ship. Unlike the study of Shiwakoti et al. (2017), that men  
611 are more likely to show risky behaviours, however, this survey shows that men are more likely  
612 to show herding behaviour.

### 613 ***5.3 Choice behaviour when there is exit congestion***

614         Currently, the majority of research regarding passenger evacuation focuses on the exit

615 design and exit idleness (Shiwakoti et al., 2017; Hurley, 2016; Lee et al., 2003; Glen et al.,  
616 2001). Given that a higher average score in the survey response implies that passengers are  
617 more likely to follow this behaviour if the null hypothesis is rejected, the results of the  
618 nonparametric test and multiple comparisons show that, in general, when the exit is congested,  
619 the passenger is more likely to choose the QC4 (Obeying the crew) and QC1 (Queuing patiently)  
620 strategies, and finally the QC3 (Squeezing forward) strategy. Among the passengers who chose  
621 Likely or Very Likely, the proportion of the QC4 strategy is as high as 66.2%, indicating the  
622 degree of passenger dependence on the crew when the exit is congested, and the proportion of  
623 the QC1 strategy is 63.0%, while the QC3 strategy is only 41.6%, indicating that passengers  
624 are more likely to be patiently waiting for evacuation, not forcing forward. As shown in Table  
625 9, the multinomial logistic regression results show that the mobility is statistically significant  
626 at the 95% confidence level. When compared to the condition of Very Unlikely, the correlation  
627 coefficient of mobility is 0.678 ( $p < 0.001$ ) under the condition of Very Likely, suggesting that  
628 statistically significant positive interactive effects between mobility and the QC4 strategy, with  
629 an OR of 1.97.

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**Table 9**  
Result of multinomial logistic regression on likely behaviour QC4

Demographics	Likelihood	B	SE	P	OR
Age group	2	0.101	0.103	0.327	1.106
	3	0.174	0.095	0.067	1.19
	4	0.382	0.093	<0.001	1.466
	5	0.356	0.094	<0.001	1.428
Gender (Women vs man)	2	-0.202	0.356	0.570	0.817
	3	-0.338	0.331	0.307	0.713
	4	-0.325	0.323	0.314	0.722
	5	-0.413	0.328	0.209	0.662
Education level	2	-0.073	0.204	0.720	0.93
	3	-0.089	0.189	0.636	0.915
	4	-0.122	0.183	0.505	0.885
	5	0.005	0.186	0.977	1.005
Mobility	2	0.529	0.172	0.002	1.697
	3	0.75	0.162	<0.001	2.118
	4	0.985	0.159	<0.001	2.678
	5	0.678	0.161	<0.001	1.97
Experience on board	2	-0.362	0.282	0.199	0.696
	3	-0.425	0.262	0.105	0.654
	4	-0.351	0.255	0.168	0.704
	5	-0.079	0.259	0.762	0.924
The number of people travelling together	2	0.103	0.21	0.624	1.109
	3	0.139	0.195	0.476	1.149
	4	0.117	0.189	0.537	1.124
	5	0.1	0.192	0.602	1.105
Evacuation education or	2	-0.197	0.18	0.274	0.821
	3	-0.332	0.168	0.048	0.717

training	4	-0.296	0.162	0.068	0.744
experience	5	-0.088	0.165	0.595	0.916

Note: The reference category is 1 (Very Unlikely).

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For the QC1 strategy, as shown in Table 10, the correlation coefficients of the age group, mobility and the number of people travelling together are positive while the others are negative. When compared to the condition of Very Unlikely, the correlation coefficient between the experience on board and the experience of evacuation education under the condition of Likely is -0.448 ( $p=0.063$ ) and -0.237 ( $p=0.141$ ), respectively, indicating that as the experience on board and the experience of evacuation education increases, the likelihood of passengers choosing the QC1 strategy is reduced. At the 90% confidence level, the number of people travelling together is statistically significant. When compared to the condition of Very Unlikely, the correlation coefficient of number of people travelling together is 0.318 ( $p=0.081$ ) under the condition of Very Likely, which indicates that the number of people travelling together will have a statistically significant positive impact on the QC1 strategy, with an OR of 1.374.

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**Table 10**

Result of multinomial logistic regression on likely behaviour QC1					
Demographics	Likelihood	B	SE	P	OR
Age group	2	0.029	0.101	0.771	1.03
	3	0.164	0.096	0.088	1.178
	4	0.271	0.093	0.004	1.311
	5	0.34	0.095	<0.001	1.406
Gender (Women vs man)	2	-0.194	0.342	0.570	0.823
	3	-0.114	0.328	0.729	0.893
	4	-0.347	0.318	0.274	0.706
	5	-0.399	0.325	0.219	0.671
Education level	2	-0.289	0.196	0.141	0.749
	3	-0.073	0.185	0.693	0.93
	4	-0.355	0.179	0.048	0.701
	5	-0.13	0.183	0.475	0.878
Mobility	2	0.327	0.158	0.038	1.387
	3	0.284	0.152	0.061	1.329
	4	0.548	0.148	<0.001	1.73
	5	0.436	0.152	0.004	1.547
Experience on board	2	-0.458	0.262	0.08	0.633
	3	-0.358	0.249	0.151	0.699
	4	-0.448	0.241	0.063	0.639
	5	-0.167	0.247	0.500	0.846
The number of people travelling together	2	0.363	0.196	0.064	1.438
	3	0.387	0.186	0.037	1.473
	4	0.349	0.179	0.051	1.418
	5	0.318	0.182	0.081	1.374
Evacuation education or training experience	2	-0.436	0.176	0.013	0.647
	3	-0.463	0.167	0.006	0.63
	4	-0.237	0.161	0.141	0.789
	5	-0.065	0.164	0.692	0.937

Note: The reference category is 1 (Very Unlikely).

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In terms of vessel design, simply arguing that ship layout and emergency indication

650 signals are sufficient to guide passengers or assist in choosing a reasonable exit is not  
651 convincing (Casareale et al., 2017). Similar to the findings of path-finding behaviour, when  
652 exit is congested, passengers will rely strongly on the crew's guidance instructions for exit  
653 selection. The following statement is also true, that the older the passenger, the higher the  
654 degree of dependence in an evacuation. Furthermore, in the absence of crew guidance,  
655 passengers tend to choose to wait patiently, and the larger the number of people travelling  
656 together, the more likely they are to choose the QC1 strategy. However, the greater the  
657 passengers experience on board and evacuation education, the less likely they are to choose the  
658 QC1 strategy. This shows that personal experience can improve people's decision-making  
659 ability to deal with risks, reduce the possibility of cognitive paralysis, and reduce conservative  
660 attitudes. One-sided cognition brings unfavourable behaviours, such as preferring to wait  
661 patiently and not trying to find other exits, causing an exit to be too congested, while other  
662 favourable exits are idle (Kvamme, 2017). Consequently, the ship designer should optimize a  
663 static guidance system such as graphic indication signs and sound guidance. Ship managers  
664 should set up guidance personnel at key locations where crowding or bottlenecking may occur,  
665 and guide passengers to evacuate through gestures and voice commands, minimizing the  
666 possibility of idle exits.

#### 667 ***5.4 Counter flow behaviour***

668 The results of the non-parametric test show that, relatively speaking, passengers were  
669 more likely to return when their family left. The proportion of the QD1 (Return when valuable  
670 left behind) and QD2 (Return when family left behind) strategies among passengers who chose  
671 Likely or Very Likely is as high as 56.9% and 65.1%, respectively. For the QD2 strategy, as  
672 shown in Table 4, the correlation coefficients for age group, mobility, and the number of people  
673 travelling together are positive while the rest are negative. At the 90% confidence level, the  
674 age, education level, and mobility are statistically significant. When compared to the condition



675 of Very Unlikely, under the condition of Very Likely, the correlation coefficient for the age  
676 group is 0.232 ( $p=0.009$ ), which suggests that the age group has a statistically significant  
677 positive relationship with the QD2 strategy where the OR is 1.261. The correlation coefficient  
678 of education level is -0.389 ( $p=0.026$ ), which indicates that there is a statistically significant  
679 negative relationship between education level and the QD2 strategy where the OR is 0.678.  
680 The correlation coefficient of mobility is 0.653 ( $p<0.001$ ), which suggests that the mobility to  
681 act will have a statistically significant positive impact on the QD2 strategy, with an OR of 1.922.

682 During the evacuation process, the typical counter flow avoidance behaviour is that  
683 passengers return to the cabin to find families or valuables. For example, in the “Costa  
684 Concordia” accident, a passenger spent 1.5 hours finding his daughter (Kvamme, 2017). This  
685 study supports the existence of the phenomenon, especially in the case of left-behind families,  
686 that the possibility of passengers choosing to turn back is relatively high. For demographic  
687 differences in counter flow behaviour, older passengers, with better mobility and a large  
688 number of people travelling together, the greater the likelihood of choosing the QD2 strategy.  
689 As people have different levels of attachment to relatives or valuables, it is generally dependent  
690 on the type of each individual in terms of turning back (Bode and Codling, 2019). However,  
691 the higher the level of education, the less likely it is for a passenger to choose the QD2 strategy.  
692 To reduce the adverse impact of the counter flow behaviour on evacuation, the ship manager  
693 should pay more attention to the volume of old people travelling, and should guide them to  
694 avoid returning to the cabin as much as possible.

### 695 ***5.5 Competition and cooperation behaviour***

696 The results of the non-parametric test show that, compared to competitive behaviour,  
697 passengers are more likely to show cooperative behaviour. In the QE1 (Help others) strategy,  
698 the proportion of passengers who choose Likely or Very Likely is 59%, and the proportion of  
699 passengers who choose Unlikely or Very Unlikely is only 16%. As shown in Table 11, the

700 results of the multinomial logistic regression model shows that the correlation coefficients  
 701 between age group, mobility and the number of people travelling together are positive while  
 702 the rest are negative. When compared to the condition of Very Unlikely, the age group  
 703 correlation coefficient is 0.207 ( $p=0.04$ ) under the condition of Very Likely, which indicates  
 704 that the age group has a statistically significant positive association with the QE1 strategy, and  
 705 the OR is 1.23. The correlation coefficient of the number of people travelling together is 0.460  
 706 ( $p=0.018$ ), which suggests that the number of people travelling together is statistically  
 707 significantly correlated positively with the QE1 strategy, and the OR is 1.584.

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**Table 11**

Result of multinomial logistic regression on likely behaviour QE1

Demographics	Likelihood	B	SE	P	OR
Age group	2	0.165	0.104	0.110	1.18
	3	0.208	0.099	0.036	1.231
	4	0.206	0.098	0.035	1.229
	5	0.207	0.101	0.04	1.23
Gender (Women vs man)	2	-0.01	0.351	0.978	0.99
	3	0.229	0.337	0.496	1.258
	4	-0.145	0.329	0.659	0.865
	5	-0.262	0.34	0.442	0.77
Education level	2	-0.384	0.203	0.058	0.681
	3	-0.396	0.193	0.040	0.673
	4	-0.248	0.188	0.187	0.78
	5	0.04	0.194	0.836	1.041
Mobility	2	0.156	0.166	0.346	1.169
	3	0.399	0.16	0.012	1.491
	4	0.507	0.156	0.001	1.661
	5	0.234	0.162	0.149	1.264
Experience on board	2	-0.092	0.27	0.734	0.913
	3	-0.425	0.26	0.101	0.654
	4	-0.353	0.253	0.163	0.702
	5	-0.198	0.264	0.452	0.82
The number of people travelling together	2	0.151	0.201	0.451	1.163
	3	0.419	0.193	0.030	1.521
	4	0.392	0.187	0.036	1.48
	5	0.46	0.195	0.018	1.584
Evacuation education or training experience	2	-0.395	0.182	0.030	0.674
	3	-0.398	0.173	0.022	0.672
	4	-0.41	0.169	0.016	0.664
	5	-0.26	0.176	0.139	0.771

Note: The reference category is 1 (Very Unlikely).

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713 Similar to the findings of Shiwakoti et al. (2017), this study did not find gender differences  
 714 in the behaviour of helping others. However, it is found that the older the passengers and the  
 715 larger number of people travelling together, the more likely they are to help others. This may  
 716 be due to the stronger social cognition of the seniors and the mutual trust between people in  
 717 the group (Ahola et al., 2014). However, it is worth noting that some researchers argue that the  
 cooperation and competitive behaviour between passengers is related to the nature and extent

718 of the danger. In non-emergency situations, passengers cannot truly perceive the urgency and  
 719 seriousness of the danger; therefore, they tend to choose a cooperative strategy rather than a  
 720 competitive one (Shiwakoti et al., 2017). As the results of this survey show, for competitive  
 721 behaviour, 44% of passengers choose Likely or Very Likely, and for cooperative behaviour, 16%  
 722 of passengers still choose Unlikely or Very Unlikely.

### 723 5.6 Group behaviour

724 In the survey, 67.3% of passengers were either Likely or Very Likely chose the QF1 (Find  
 725 companion to escape together) strategy. As shown in Table 12, the multinomial logistic  
 726 regression analysis shows that the correlation coefficients between the experience on board and  
 727 the number of people travelling together are positive, but the statistical characteristics were not  
 728 significant. When compared to the condition of Very Unlikely, under the premise of Likely, the  
 729 education level is significant at 95% confidence level, the correlation coefficient is -0.384  
 730 ( $p=0.047$ ). This indicates that the education level displays statistically significant negative  
 731 relevance towards the QF1 strategy, and the OR is 0.681.

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**Table 12**  
 Result of multinomial logistic regression on likely behaviour QF1

Demographics	Likelihood	B	SE	P	OR
Age group	2	-0.015	0.109	0.887	0.985
	3	-0.071	0.104	0.491	0.931
	4	0.075	0.1	0.451	1.078
	5	0.035	0.103	0.734	1.036
Gender (Women vs man)	2	-0.137	0.373	0.713	0.872
	3	-0.05	0.355	0.887	0.951
	4	-0.103	0.341	0.763	0.902
	5	-0.183	0.353	0.604	0.833
Education level	2	-0.151	0.212	0.475	0.859
	3	-0.362	0.202	0.074	0.696
	4	-0.384	0.193	0.047	0.681
	5	-0.085	0.199	0.667	0.918
Mobility	2	-0.145	0.176	0.411	0.865
	3	-0.054	0.166	0.744	0.947
	4	0.276	0.16	0.086	1.317
	5	0	0.166	0.999	1
Experience on board	2	0.205	0.291	0.481	1.228
	3	0.147	0.275	0.592	1.159
	4	0.048	0.263	0.854	1.05
	5	0.067	0.273	0.805	1.07
The number of people travelling together	2	0.321	0.224	0.152	1.378
	3	0.303	0.213	0.154	1.354
	4	0.214	0.204	0.294	1.238
	5	0.176	0.21	0.404	1.192
Evacuation education or training experience	2	-0.278	0.197	0.157	0.757
	3	-0.428	0.187	0.022	0.652
	4	-0.249	0.179	0.164	0.78
	5	-0.001	0.185	0.996	0.999

Note: The reference category is 1 (Very Unlikely).

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736 Group behaviour is a common phenomenon in the process of evacuation, and has attracted  
737 the attention of many researchers (Casareale et al., 2017; Kvamme, 2017; Hurley, 2016; Kim  
738 et al., 2004; Lee et al., 2003; Proulx et al., 1995; Sime, 1983). As reported in Casareale et al.  
739 (2017), in the evacuation of passenger ships, 53% of the personnel would find familiar  
740 personnel after hearing an evacuation alarm. Similarly, this study also supported the  
741 phenomenon of group behaviour. One of the main reasons for the formation of evacuation  
742 groups is the mutual help and support of people in the group, and the consultation of evacuation  
743 strategies to improve the sense of security (Ahola et al., 2014; Mawson, 2007). However,  
744 evacuation managers should be concerned about the dangers of group behaviour. On one hand,  
745 the formation of groups wastes time, leading to delays in evacuation; on the other hand,  
746 someone who helps a small number of people may miss the best evacuation opportunity, thus  
747 leading to the collective exposure to more dangerous situations, and deaths (Haghani and Sarvi,  
748 2019c; Kvamme, 2017; Hurley, 2016).

### 749 **5.7 Impatient behaviour**

750 In the survey, 63.4% of passengers said that they either Likely or Very Likely to be  
751 impatient. As shown in Table 13, the multinomial logistic regression model shows that the  
752 correlation coefficients of age group, gender, and education are negative, and at the 95%  
753 confidence level, the educational level is statistically significant. When compared to the  
754 condition of Very Unlikely, under the condition of Very Likely, the correlation coefficient of  
755 education level is  $-0.443$  ( $p=0.043$ ). This indicates that the education level will have  
756 statistically significant positive correlation with the QG1 (Impatience) strategy, and the OR is  
757 0.642.

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**Table 13**

Result of multinomial logistic regression on likely behaviour QG1					
Demographics	Likelihood	B	SE	P	OR
Age group	2	-0.038	0.119	0.751	0.963
	3	-0.164	0.116	0.160	0.849

	4	-0.046	0.113	0.688	0.956
	5	-0.026	0.115	0.825	0.975
Gender (Women vs man)	2	-0.566	0.406	0.164	0.568
	3	-0.352	0.397	0.375	0.703
	4	-0.538	0.387	0.164	0.584
	5	-0.623	0.394	0.114	0.536
Education level	2	-0.697	0.228	0.002	0.498
	3	-0.629	0.221	0.004	0.533
	4	-0.701	0.215	0.001	0.496
Mobility	5	-0.443	0.219	0.043	0.642
	2	-0.417	0.199	0.036	0.659
	3	-0.323	0.194	0.095	0.724
	4	0.02	0.189	0.917	1.02
Experience on board	5	-0.276	0.193	0.152	0.759
	2	0.123	0.311	0.692	1.131
	3	-0.199	0.303	0.512	0.82
	4	-0.248	0.294	0.399	0.78
The number of people travelling together	5	-0.172	0.301	0.568	0.842
	2	-0.077	0.233	0.740	0.926
	3	0.01	0.227	0.965	1.01
	4	-0.132	0.22	0.548	0.876
Evacuation education or training experience	5	-0.057	0.225	0.801	0.945
	2	-0.032	0.212	0.879	0.968
	3	0.017	0.206	0.934	1.017
	4	0.192	0.2	0.338	1.211
	5	0.278	0.204	0.173	1.32

Note: The reference category is 1 (Very Unlikely).

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762 Evacuation analysis would be out of touch with reality and not instructive if it did not take  
763 into account factors such as passengers' impatience, and irrational or impulsive behaviour that  
764 is caused by the complex environment of the ship (Lee et al., 2003). In the "Costa Concordia"  
765 accident, passengers did not understand the evacuation procedures in the event of an emergency  
766 after the ship left the port. When the unsafe situation was observed, some passengers chose to  
767 go to the lifeboat, not listening to the crew. However, in the "Sally Albatross" accident, the  
768 accident occurred during the day, and the passengers understood the actual situation of the  
769 accident, coupled with the effective guidance of the crew. The crowd did not become out of  
770 control or follow irrational crowding, and there were no subsequent casualties (Nevalainen,  
771 2015). This survey did not find differences between impatient behaviour, and gender and age  
772 groups. However, the results show that the education level has a statistically significant impact  
773 on impatient behaviour. The higher the level of education, the less likely passengers are to  
774 exhibit impatient behaviour.

775 Due to the existence of impatient behaviour, the degree of urgency will lead to  
776 unreasonable decision-making by the evacuation personnel and affect their behaviour. In view  
777 of this, the ship manager should conduct training on the management of the crowd for the staff

778 to understand the passenger's habit characteristics in advance. It is desirable to communicate  
 779 with passengers in an emergency in time to improve the safety of crowd management.

### 780 **5.8 Carrying luggage**

781 Similar to QD1, people choosing to carry luggage during the evacuation process could be  
 782 keen in picking up their valuable belongings. According to Table 2, 40.8% of passengers  
 783 indicated that they were either Likely or Very Likely chose the QH1 (Carry luggage during and  
 784 escape) strategy. As shown in Table 14, the multinomial logistic regression analysis shows that  
 785 the correlation coefficients between gender, the number of people going along, and experience  
 786 of evacuation education are positive. When compared to the condition of Very Unlikely, under  
 787 the condition of Very Likely, the correlation coefficient of the age group is -0.206 ( $p=0.004$ ),  
 788 which means that the age group has a statistically significant negative impact on the QH1  
 789 strategy, and the OR is 0.814. The correlation coefficient of the experience on board is -0.415  
 790 ( $p=0.023$ ), which shows that the experience on board has statistically significant negative  
 791 interactive effects on the QH1 strategy, and the OR is 0.66.

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 793

**Table 14**

Result of multinomial logistic regression on likely behaviour QH1					
Demographics	Likelihood	B	SE	P	OR
Age group	2	-0.082	0.068	0.226	0.921
	3	-0.126	0.067	0.060	0.882
	4	-0.206	0.068	0.002	0.814
	5	-0.206	0.072	0.004	0.814
Gender (Women vs man)	2	0.223	0.209	0.286	1.25
	3	0.205	0.207	0.324	1.227
	4	0.336	0.21	0.110	1.4
	5	0.094	0.226	0.677	1.099
Education level	2	-0.289	0.13	0.027	0.749
	3	-0.265	0.128	0.039	0.767
	4	-0.075	0.128	0.557	0.927
	5	0.174	0.136	0.202	1.19
Mobility	2	-0.342	0.114	0.003	0.71
	3	-0.44	0.112	<0.001	0.644
	4	-0.451	0.112	<0.001	0.637
	5	-0.603	0.12	<0.001	0.547
Experience on board	2	-0.184	0.167	0.271	0.832
	3	-0.52	0.167	0.002	0.595
	4	-0.563	0.167	0.001	0.57
	5	-0.415	0.182	0.023	0.66
The number of people travelling together	2	0.169	0.125	0.177	1.185
	3	0.317	0.126	0.012	1.373
	4	0.204	0.127	0.108	1.226
	5	0.279	0.136	0.041	1.322
Evacuation education or training experience	2	0	0.119	1.000	1
	3	0.058	0.118	0.622	1.06
	4	0.17	0.118	0.151	1.185
	5	0.342	0.126	0.006	1.408

Note: The reference category is 1 (Very Unlikely).

794

795  
796 Currently, there is little research regarding luggage carried by personnel during the  
797 evacuation process. Among the few research results, the proportion of passengers carrying  
798 luggage in the evacuation of high-speed railway personnel is 69.16%, of which 5.03% of  
799 passengers will choose to carry all of their luggage (Chen et al., 2014). The proportion of men  
800 carrying luggage in evacuation of bus station personnel is 50.9%, and that of women is 32.3%  
801 (Zhang et al., 2017). In high-rise buildings, only 26.5% of people adopt a completely risk-  
802 averse strategy and choose not to collect personal items, while 18.1% of people choose to take  
803 the highest risk, that is, collect all personal items (Bode and Codling, 2019). The results of this  
804 study show that during the evacuation of passenger ships, a certain percentage of passengers  
805 still chose to carry their luggage. The younger the passengers and the less experience on board,  
806 the more likely they are to carry the luggage during the evacuation process. In the actual  
807 evacuation process, the evacuation of personnel carrying luggage will not only affect the  
808 evacuation speed of pedestrians but also affect the floor space of personnel during the journey,  
809 occupying large evacuation space and reducing traffic efficiency. During the emergency  
810 evacuation process, the evacuated person may carry the luggage to the vicinity of the exit, and  
811 temporarily decide to abandon the luggage to escape due to the situation. At this point, the  
812 luggage evolves into a non-fixed obstacle that hinders the evacuation, affecting the overall  
813 evacuation process.

814 **5.9 Temporary leadership behaviour**

815 In the survey, 56.7% of passengers Likely or Very Likely chose the Q11 (Follow the  
816 temporary leader) strategy. As shown in Table 15, the multinomial logistic regression analysis  
817 shows that the correlation coefficients of mobility are positive, but the statistics were not  
818 significant.

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Demographics	Likelihood	B	SE	P	OR
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Age group	2	0.013	0.099	0.894	1.013
	3	0.061	0.094	0.514	1.063
	4	0.011	0.093	0.908	1.011
	5	-0.092	0.095	0.335	0.912
Gender (Women vs man)	2	-0.183	0.358	0.608	0.832
	3	-0.523	0.34	0.123	0.592
	4	-0.445	0.336	0.185	0.641
	5	-0.582	0.344	0.091	0.559
Education level	2	-0.311	0.194	0.109	0.732
	3	-0.405	0.185	0.028	0.667
	4	-0.287	0.181	0.114	0.751
	5	0.033	0.186	0.859	1.034
Mobility	2	0.206	0.166	0.215	1.229
	3	0.309	0.158	0.051	1.362
	4	0.494	0.157	0.002	1.638
	5	0.226	0.161	0.159	1.254
Experience on board	2	-0.112	0.273	0.681	0.894
	3	-0.193	0.261	0.460	0.825
	4	-0.388	0.257	0.132	0.678
	5	-0.316	0.265	0.233	0.729
The number of people travelling together	2	-0.045	0.203	0.826	0.956
	3	0.087	0.194	0.655	1.09
	4	0.115	0.191	0.547	1.122
	5	0.144	0.197	0.465	1.155
Evacuation education or training experience	2	-0.086	0.18	0.634	0.918
	3	-0.179	0.171	0.295	0.836
	4	-0.181	0.169	0.283	0.834
	5	0.2	0.173	0.249	1.221

Note: The reference category is 1 (Very Unlikely).

821  
822

823 In an urgent situation, some people choose to rely or focus on leaders due to their fear of  
824 danger. Existing research literature shows that one of the factors that causes impatience or  
825 irrational behaviour is the lack of leaders, or the lack of highly convincing leaders. Similarly,  
826 the location of people with strong leadership will also have an impact on evacuation (Hurley,  
827 2016; Nevalainen, 2015; Lee et al., 2003). Although this survey does not find a significant  
828 impact of demographic characteristics on the QI1 strategy, it is found that a certain percentage  
829 of passengers chose the QI1 strategy during the evacuation process. In view of this, ship  
830 managers or fire engineers should fully understand the impact of leadership behaviour on  
831 evacuation and recognize that people with potential leadership qualities are more likely to  
832 respond positively and assume leadership roles. These people should then be organised to  
833 undertake specialist fire safety training to ensure that they provide accurate information and  
834 take appropriate action during evacuation (Hurley, 2016; Fridolf et al., 2013).

## 835 6. Conclusion

836 The complex structure, narrow spaces, the high density of personnel, and the unfamiliarity  
837 of the passengers with the ship's environment make the passenger ship evacuation significantly



838 different land-based evacuation. However, due to the associated high cost and difficulty to  
839 conduct experimental research or investigation, previous research has focused on theoretical  
840 evacuations and simulation. There are limited studies on the likely behaviour of passengers  
841 during ship evacuations, and reliable empirical data is not sufficiently available.

842 To understand the passengers' likely behaviour and demographic differences in the  
843 evacuation process of passenger ships, based on the existing literature, a survey of the likely  
844 behaviour of passengers was conducted on a Ro-Ro passenger ship between the Shandong  
845 Peninsula and the Liaodong Peninsula. The results of the study show that in pre-evacuation,  
846 passengers are more likely to take the initiative to respond to evacuation alarm and observe  
847 others' actions. Regarding path-finding behaviour, passengers are more likely to choose to  
848 follow the evacuation instructions or guidance. In the counter flow behaviour, when a  
849 passengers family is left behind, elderly passengers travelling with a group of people are more  
850 likely to choose to return. Passengers are more likely to have cooperative behaviour than  
851 competitive behaviour, and elderly passengers travelling with a group of people are more likely  
852 to choose to help others. Among other behaviours, highly educated passengers are less likely  
853 to have group and impatient behaviour. Passengers who experienced more trips on board are  
854 less likely to carry luggage during an evacuation, while the demographic characteristics of  
855 temporary leadership behaviour are not statistically significant.

856 The results of this study are important for understanding the behaviour of passenger ship  
857 evacuation, and for developing and verifying evacuation models. Simultaneously, the results  
858 of this survey will help passenger ship managers to develop appropriate management strategies  
859 and conduct effective evacuation education activities. For example, our research results show  
860 that passengers are highly dependent on staff during path-finding and when the exits are  
861 congested. Therefore, ship managers should organize appropriate evacuation training to clarify  
862 the role and responsibilities of staff in emergency situations, make an emergency response plan

863 to better manage crowding and improve the safety level of Ro-Ro passenger ships.

864 This study could be a systematic result of the likely behaviour of passenger ship  
865 evacuation. In interpreting the findings, the following methodological discussions are given:

866 (1) The questionnaire research has a series of limitations. The "closed question" adopted  
867 in this study in which the answers listed inevitably restricted the respondents, may also lead to  
868 the limitation of the respondents' thinking. For example, a certain evacuation behaviour of  
869 passengers may be affected by a combination of factors and may be affected by additional  
870 factors not listed. More importantly, not all respondents have experienced the questions listed  
871 in the questionnaire. Consequently, participants had to try to predict how they would behave in  
872 an evacuation which may lead to inconsistencies with the actual situation.

873 (2) Compared with Ahola and Mugge (2017)'s research on passenger ship safety  
874 experience, this research conducted questionnaire surveys in a real ship environment. However,  
875 it is possible that not all participants fully understood the questions in the questionnaire.  
876 Participants needed to be given explanations, and then their potential reaction behaviours were  
877 estimated. Investigation of such behavioural intentions may make it difficult for participants to  
878 determine their behaviour. To this end, it is proposed to use virtual reality technology to study  
879 the evacuation behaviour on passenger ships in the future, allowing passengers to move in  
880 different areas within the ship, see multiple perspectives, and then investigate the actual  
881 evacuation behaviour.

882 (3) In this study, only limited information was collected on passenger demographics and  
883 choices on a Ro-Ro passenger ship traveling between Liaodong Peninsula and Shandong  
884 Peninsula for 45 days. Although 1,380 survey results were utilised, the time span of the survey  
885 may not be long enough. In addition, passengers of different regions and cultural backgrounds  
886 may react differently due to cultural differences and the impact of social issues. For this reason,  
887 it is proposed to extend the survey time span in the future and investigate the emergency

888 evacuation behaviour of Ro-Ro passenger ships in other regions, as well as conduct a  
 889 comparative analysis.

890 (4) This study analysed the likely emergency evacuation behaviour of passengers on a Ro-  
 891 Ro passenger ship, however, it may be worth investigating certain behaviours more  
 892 comprehensively. For example, when passengers choose an exit during evacuation, it is  
 893 necessary to know if they consider a single criterion or if there is a combination of factors for  
 894 consideration. If it is the latter, then it is useful to investigate the contributing factors, along  
 895 with any significant differences between them, and their influence in decision-making.

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### 903 Appendix A. Questionnaire of the survey

Category	Content	The specific options					
Basic information	Gender	<input type="checkbox"/> Male;	<input type="checkbox"/> Female				
	Age group	<input type="checkbox"/> 16 and below;	<input type="checkbox"/> 16~25;	<input type="checkbox"/> 26~30;	<input type="checkbox"/> 31~40;		
		<input type="checkbox"/> 41~50;	<input type="checkbox"/> 51~60;	<input type="checkbox"/> 61 and above			
	Education level	<input type="checkbox"/> Primary and below;	<input type="checkbox"/> Secondary school;				
		<input type="checkbox"/> College;	<input type="checkbox"/> Graduate students and above				
	Mobility level	<input type="checkbox"/> Very poor;	<input type="checkbox"/> Poor;	<input type="checkbox"/> Neutral;	<input type="checkbox"/> Good;	<input type="checkbox"/> Very good	
	Experience on board	<input type="checkbox"/> Never;	<input type="checkbox"/> 1;	<input type="checkbox"/> 2-4;	<input type="checkbox"/> 5 or more		
	Number of people travelling together	<input type="checkbox"/> Alone;	<input type="checkbox"/> 1;	<input type="checkbox"/> 2-5;	<input type="checkbox"/> 6-10;	<input type="checkbox"/> 11 or more	
Ship evacuation education/training experience	<input type="checkbox"/> Never;	<input type="checkbox"/> Have, but do not remember;					
	<input type="checkbox"/> Once a year;	<input type="checkbox"/> More than once a year					
Category	Question NO.	Variables	Very Unlikely	Unlikely	Neutral	Likely	Very Likely
Emergency evacuation behaviour	<b>QA</b>	<b>Pre-evacuation behaviour</b>					
	QA1	Waiting for staff confirmation	1	2	3	4	5
	QA2	Escape immediately	1	2	3	4	5
	QA3	Observe others' movements	1	2	3	4	5
	QA4	Proactive confirmation	1	2	3	4	5
	<b>QB</b>	<b>Path-finding behaviour</b>					
	QB1	Choose the nearest exit	1	2	3	4	5
	QB2	Choose the most familiar exit	1	2	3	4	5
	QB3	Follow the majority	1	2	3	4	5

QB4	Follow the evacuation guide or PA	1	2	3	4	5
<b>QC</b>	<b>Behaviour during exit congestion</b>					
QC1	Queuing patiently	1	2	3	4	5
QC2	Self-Finding other exits	1	2	3	4	5
QC3	Squeezing forward	1	2	3	4	5
QC4	Obeying the crew	1	2	3	4	5
<b>QD</b>	<b>Counter flow behaviour</b>					
QD1	Return when valuables left behind	1	2	3	4	5
QD2	Return when family left behind	1	2	3	4	5
<b>QE</b>	<b>Competition and cooperation behaviour</b>					
QE1	Help others	1	2	3	4	5
QE2	Push others	1	2	3	4	5
<b>QF</b>	<b>Group behaviour</b>					
QF1	Find companion to escape together	1	2	3	4	5
<b>QG</b>	<b>Impatient behaviour</b>					
QG1	Impatience	1	2	3	4	5
<b>QH</b>	<b>Carrying luggage</b>					
QH1	Carry luggage during escape	1	2	3	4	5
<b>QI</b>	<b>Temporary leadership behaviour</b>					
QI1	Follow the temporary leader	1	2	3	4	5

904

905 **Appendix B. The results of Wilcoxon single-sample test of each likely behaviour and the**  
906 **results of Wilcoxon signed-rank test of QD and QE**

907

**Table B1** The results of Wilcoxon single-sample test of each likely behaviour

Question NO.	Variables	Test statistic	Standardized test statistic	Standard error	Significance
<b>QA</b>	<b>Pre-evacuation behaviour</b>				
QA1	Waiting for staff confirmation	353,402.5	8.521	9,403.930	P < 0.001
QA2	Escape immediately	341,308.5	10.331	8,716.922	P < 0.001
QA3	Observe others' movements	422,845.5	14.568	9,542.949	P < 0.001
QA4	Proactive confirmation	477,672.0	17.227	10,088.691	P < 0.001
<b>QB</b>	<b>Path-finding behaviour</b>				
QB1	Choose the nearest exit	468,781.0	18.021	9,817.019	P < 0.001
QB2	Choose the most familiar exit	497,894.0	20.438	9,921.218	P < 0.001
QB3	Follow the majority	509,535.0	21.107	10,003.393	P < 0.001
QB4	Follow the evacuation guide or PA	576,285.5	21.974	10,982.490	P < 0.001
<b>QC</b>	<b>Behaviour during exit congestion</b>				
QC1	Queuing patiently	493,170.0	19.159	10,052.259	P < 0.001
QC2	Self-Finding other exits	390,343.5	16.642	8,597.984	P < 0.001
QC3	Squeezing forward	327,638.5	4.072	9,709.524	P < 0.001
QC4	Obeying the crew	504,432.5	20.849	9,961.164	P < 0.001
<b>QD</b>	<b>Counter flow behaviour</b>				
QD1	Return when valuables left behind	435,939.5	16.204	9,617.194	P < 0.001
QD2	Return when family left behind	531,335.0	21.150	10,413.588	P < 0.001
<b>QE</b>	<b>Competition and cooperation behaviour</b>				
QE1	Help others	431,094.0	17.917	9,127.787	P < 0.001
QE2	Push others	339,733.0	6.197	9,537.074	P < 0.001
<b>QF</b>	<b>Group behaviour</b>				
QF1	Find companion to escape together	520,780.0	21.121	10,112.688	P < 0.001
<b>QG</b>	<b>Impatient behaviour</b>				
QG1	Impatience	499,583.5	19.799	10,023.718	P < 0.001
<b>QH</b>	<b>Carrying luggage</b>				
QH1	Carry luggage during escape	300,924.0	4.492	9,032.354	P < 0.001
<b>QI</b>	<b>Temporary leadership behaviour</b>				
QI1	Follow the temporary leader	410,068.0	17.309	8,884.522	P < 0.001

908

909

**Table B2** The results of Wilcoxon signed-rank test of QD and QE

Group	Z	Sig.
QD1-QD2	-7.244	P < 0.001
QE1-QE2	-10.858	P < 0.001

910

911 **Appendix C. Supplementary material**

912

Supplementary data associated with this research can be found, in the online version, at

913 [https://data.mendeley.com/datasets/nbb3wc92x7/draft?a=25941f4b-9740-4e82-ae49-](https://data.mendeley.com/datasets/nbb3wc92x7/draft?a=25941f4b-9740-4e82-ae49-086dbbab044c)  
914 [086dbbab044c](https://data.mendeley.com/datasets/nbb3wc92x7/draft?a=25941f4b-9740-4e82-ae49-086dbbab044c)

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