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1 **Title page:**

2 **Title of article:** Direct access lifestyle training improves liver biochemistry and causes weight
3 loss but uptake is suboptimal in patients with non-alcoholic fatty liver disease.

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20

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27

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29 **None declared**

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31 Not required

32 **ETHICAL APPROVAL**

33 Open access referral to lifestyle trainers was a service improvement offered to all patients
34 attending the NAFLD clinic and therefore this was a service evaluation study, which did not
35 need ethical approval. Data extracted for analysis was anonymised at source.

36

37 **Authorship contributor statement:**

38 IP (Guarantor of the article) contributed to study design, article scrutiny, draft writing and
39 agreed the final version. LM conducted the data collection and statistical analyses and agreed
40 the final version of the article. KA coordinated the lifestyle trainers and organised the
41 intervention. KEL (Guarantor of the article) composed article drafts including the final version.

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45

46 **Abstract:**

47 **Objective:** To evaluate the uptake and effectiveness of an existing open access lifestyle
48 intervention forged in collaboration between a third sector organisation, funded by local
49 government and a secondary care non-alcoholic fatty liver disease (NAFLD) service in the
50 North West of England.

51 **Method:** A service outcome evaluation using pre-post comparison design was conducted to
52 analyse changes between baseline clinical health records and 12 week follow up for NAFLD
53 patients who completed the lifestyle intervention. Lifestyle factors, weight loss, changes in
54 alanine aminotransferase (ALT) enzymes and lipid profiles were compared between patients
55 who completed the programme versus 1:1 matched patients who did not.

56 **Results:** Only 16 of 167 NAFLD patients offered the intervention completed the programme.
57 Intervention patients achieved significant weight loss (-2.3% $p \leq 0.05$) over 12 weeks, where
58 the non-intervention group had non-significant weight gain (+0.95%). ALT improved by
59 20IU/L in the interventional group and 15 IU/L in the non-intervention group; however, this
60 was not statistically different.

61 **Conclusion:** This study presents first of its kind evaluation of a service collaboration in the
62 UK. Only 1 in 10 patients offered the opportunity completed the programme, a limitation that
63 could affect future strategies. Patient and public involvement research is needed to identify
64 barriers to participation, address adherence issues and identify support mechanisms for lifestyle
65 interventions with NAFLD patients.

66

67 **Short summary:**

68 1. What is already known about this subject?

- 69 • Non-alcoholic fatty liver disease (NAFLD) represents a looming public health crisis
70 with estimated prevalence of 20-30% of the population and younger generations
71 increasingly becoming affected.
- 72 • Lifestyle intervention is the first line treatment; however, participation rates are low in
73 NAFLD patients and there is a lack of available localised support schemes.

74 2. What are the new findings?

- 75 • This study presents a first of its kind service evaluation of a collaboration in the UK
76 successfully providing a privately delivered, open access lifestyle training programme
77 funded by local government and embedded in a secondary care NAFLD care
78 pathway.
- 79 • Less than 10% of patients offered the intervention completed the 12 week program.
80 NAFLD patients in the lifestyle intervention group achieved significant weight loss (-
81 2.3% $p \leq 0.05$) coupled with significant improvements to body mass index (BMI) (-
82 0.76 kg/m² $p \leq 0.05$).
- 83 • Alanine aminotransferase (ALT) enzyme levels improved by 20IU / L, however this
84 was not significant, possibly due to the short study duration.

85 3. How might it impact on clinical practice in the foreseeable future?

- 86 • Second tier weight management service providers may offer value in specific patient
87 cohorts, such as those with NAFLD. However, prior to commissioning services such as
88 lifestyle training more research is needed into the reasons for its low uptake in NAFLD

89 patients and to consider holistic behavioural modification to increase the effectiveness
90 of intervention programmes.

91 **Keywords:** Non-alcoholic fatty liver disease, lifestyle intervention, weight loss, alanine
92 aminotransferase enzyme, lipid profiles

93

94 **INTRODUCTION**

95 Non-alcoholic fatty liver disease (NAFLD) represents a looming public health crisis and during
96 the next decade is expected to become the primary cause of end stage liver disease and
97 transplantation¹. Lifestyle intervention is the first line treatment for NAFLD patients, however
98 there is a lack of available localised support schemes and intervention participation rates are
99 low in NAFLD patients^{2,3}.

100

101 Estimated prevalence of NAFLD in the general British population is 20-30% with younger
102 generations increasingly becoming affected². NAFLD occurs due to accumulation of liver fat
103 (steatosis) usually as a consequence of the metabolic syndrome (MetS), a combination of
104 insulin resistance, dyslipidaemia, obesity and hypertension leading to generation of lipotoxic
105 intermediates, and a cycle of liver cell stress, inflammation and fibrosis⁴. Approximately one
106 in five people (5% of the population) who have NAFLD will go on to develop the more serious
107 non-alcohol related steatohepatitis (NASH), where the liver becomes inflamed^{2,5}. Progression
108 to cirrhosis, the most serious stage of NAFLD has increased dramatically over the last 10 years.
109 NAFLD is evolving to become the commonest cause for hepatocellular cancer (HCC) and liver
110 transplant in the western world⁶.

111

112 Obesity is closely associated with NAFLD and NHS spending on conditions linked to obesity
113 reached more than £6.05bn per annum in 2017⁷. NAFLD and NASH are typically
114 asymptomatic and medical attention is frequently devoted to the other associated features of
115 MetS including obesity, insulin resistance, type 2 diabetes, hyperlipidaemia and hypertension,
116 which also affect prognosis, increasing the risk of cardiovascular mortality in this group of
117 patients⁴. In fact, mortality in patients with NAFLD is predominantly due to non-hepatic
118 comorbidity. Coupled with obesity related comorbidities there are clear associations of NASH
119 with increased risk of cardiovascular disease (CVD), cerebrovascular disease⁸, malignancy⁹,
120 and abnormal lipoprotein subclasses^{8 10}. In addition, emerging evidence suggests links to poor
121 coronavirus disease 19 (COVID-19) outcomes in NAFLD patients possibly linked to immune
122 dysregulation both intrahepatic and extrahepatic with a higher risk of progression to severe
123 COVID-19 and longer viral shedding time in a cohort of 202 COVID-19 patients studied in
124 China¹¹.

125

126 Lifestyle modification to lose 7-10% of total body weight by diet and exercise is the first line
127 treatment for NAFLD^{2 6 12}, however evidence suggests that 50% of patients do not adhere to
128 lifestyle interventions¹³⁻¹⁵. Coupled with this a lack service provision for the management of
129 MetS and the need for improved access to lifestyle interventions were identified as key issues
130 in a cross-sectional survey of 175 UK gastroenterologists and hepatologists regarding NAFLD
131 diagnosis and management⁴. The long term NHS plan of universalised personalised care¹⁶
132 using social prescribing to improve access to better lifestyle has not yet found its roots and the
133 delivery of such a system may take some time to set up. Some local authorities in England
134 commission second tier weight management services and whilst their value in reducing the
135 prevalence of obesity in the general population is unclear¹⁷, they may offer value in specific
136 patient cohorts, such as those with NAFLD.

137 Moreover, they present an opportunity for the NHS to collaborate with non-healthcare
138 organisations and private sector companies to optimise outcomes for this patient cohort.

139

140 The aim of this study was to evaluate the uptake and effectiveness of an existing open access
141 lifestyle intervention forged out of a collaboration between a privately run lifestyle intervention
142 service, funded by local government and a secondary care NAFLD service based in the North
143 West of England.

144

145 **METHODS**

146 **Participants and Design**

147 A service outcome evaluation using pre-post comparison design was conducted to analyse
148 changes between first visit clinical health records and after 12 weeks follow up for NAFLD
149 patients who were offered and completed the lifestyle intervention to determine if
150 improvements occurred. The study evaluated an existing programme of lifestyle intervention
151 in a secondary care clinic as part of a care pathway for NAFLD management. All patients
152 attending a dedicated multidisciplinary NAFLD clinic at the Royal Liverpool and Broadgreen
153 University Hospitals NHS Trust from April 2018 to March 2019 were offered a referral to a
154 lifestyle trainer programme funded by Liverpool Council. The diagnosis of NAFLD was made
155 in a pragmatic real world setting using a combination of non-invasive blood test and
156 biomarkers, negative imaging, values of controlled attenuation parameter (CAP) obtained at
157 liver stiffness measurements and/or histology and in the absence of excessive and/or harmful
158 alcohol consumption (equating to less than 14 units of alcohol per week for men and women¹⁸)
159 and secondary causes for fatty liver disease.

160 The lifestyle intervention programme involved the patient seeing a health trainer in community
161 hubs or GP practices weekly or fortnightly for 12 weeks. Personalised advice and support was
162 offered to complete objectives in a Personalised Health Plan (PHP) based on Public Health
163 England (PHE) guidelines¹⁹. The PHP had a holistic approach towards wellbeing, and
164 examined patients eating; drinking and smoking habits; physical fitness, self-confidence;
165 starting weight and height were also measured. Guidance and recommendations followed PHE
166 Guidelines (e.g. Physical activity guidelines¹⁹ for adults and The Eatwell Guide²⁰), and aimed
167 to bring patients lifestyles closer to the guideline recommendations. Patients were asked to
168 record everything they consumed in a 7-day estimated intakes food diary during the weeks
169 prior to baseline and study endpoint²¹. Patients were asked to rank their emotional wellbeing
170 on a scale of 0 to 100 and key health indicators such as BMI; consumption of fruit, vegetables,
171 dairy products and fried foods were recorded at baseline and endpoint. Weight loss, changes in
172 alanine aminotransferase (ALT) enzymes and lipid profiles were compared between patients
173 who completed the programme and matched patients who did not.

174

175 An equal sized control group of age and sex matched patients (Table 1) who were offered but
176 did not opt in to the programme were selected with a 1:1 ratio to measure naturally occurring
177 changes not caused by the intervention. The group that did not take part in the intervention
178 were not aware their biochemistry would be compared to the group that completed the
179 intervention although they did visit the clinic at the same time points and duration. Clinical
180 health record data was collected for both groups at baseline visit and at the 12 week follow up.

181

182 **Data analysis**

183 Anonymised data was analysed using Statistical Package for the Social Sciences (SPSS),
184 version 26 (IBM SPSS Statistics for Windows, Version 25.0. Armonk, NY: IBM Corp)
185 statistical software.

186 RESULTS

187 Lifestyle intervention was offered to 167 NAFLD patients. A total of 16 (9.6%, 95% CI 6% -
188 15%) patients completed the 12 week programme. Median age of the intervention cohort was
189 58 years, half the cohort were female. There were no significant differences between the
190 intervention and non-intervention (control) groups with regards to age, sex, weight, total
191 cholesterol, triglycerides and ALT (Table 1)

192

193 Table 1 - Demographic data, anthropometric variables, metabolic syndrome parameters, and
194 ALT levels for intervention and non-intervention patients at baseline.

	Intervention (n=16)	Non-intervention (n=16)	P
Age (y) [†]	53.50 ± 14.17	52.81 ± 13.18	0.836
Male/female [‡]	8/8	8/8	1.00
Initial weight (kg)	105.09 ± 24.61	97.96 ± 24.46	0.213
TC (mmol/L)	4.68 ± 1.83	4.45 ± 0.93	0.594
TGL (mg/dL)	3.7 ± 5.28	2.35 ± 2.28	0.462
ALT (IU/L)	76.27 ± 69.41	79.63 ± 117.86	0.187

195 TC, total cholesterol; TGL, triglyceride; ALT alanine aminotransferase. Values are expressed
196 as mean ± SD. † Mann-Whitney test. ‡ Chi-square test; *P* < 0.05*.

197

198 NAFLD patients in the intervention group achieved significant weight loss (-2.3% *p* ≤ 0.05)
199 coupled with significant improvements to BMI (-0.76 kg/m² *p* ≤ 0.05) over the study duration
200 in comparison to baseline measurements whilst the non-intervention group were noted to have
201 non-significant weight gain (+0.95%). A reduction in ALT was noted in both groups (- 20.6

202 IU/L vs -15.75 IU/L $p = 0.579$) but this was non-significant compared to baseline and between
203 groups. Similarly, there was no impact of the intervention or weight loss on the total cholesterol
204 or triglyceride levels pre and post intervention (Tables 1, 2 and 3).

205 Table 2 - Comparison of weight loss, changes in lipid profile and ALT levels for intervention
206 and non-intervention patients

207 TC, total cholesterol; TGL, triglyceride; ALT, alanine aminotransferase. Values are expressed

	Intervention (n=16)	Non-intervention (n=16)	P
Weight (kg)	2.78 ± 3.98	-0.95 ± 2.47	0.021*
TC (mmol/L)	0.22 ± 1.04	0.07 ± 0.36	0.665
TGL (mg/dL)	0.18 ± 1.03	-0.8 ± 0.49	0.456
ALT (IU/L)	20.60 ± 46.58	15.75 ± 47.67	0.451

208 as mean ± SD. * $P \leq 0.05$ (Wilcoxon signed rank test).

209

210

211 Table 3 - Comparison of weight, lipid profiles and ALT levels for intervention and non-intervention patients at baseline and endpoint

	Intervention (n=16)			Non-intervention (n=16)		
	Baseline	Final	P	Baseline	Final	P
Weight (kg)	105.09 ± 24.61	102.68 ± 25.50	0.008**	97.96 ± 24.46	98.91 ± 25.87	0.421
TC (mmol/L)	4.68 ± 1.83	4.46 ± 1.6	0.396	4.45 ± 0.93	4.37 ± 1.01	0.359
TGL (mg/dL)	3.7 ± 5.28	3.51 ± 4.7	0.675	2.35 ± 2.28	2.43 ± 1.97	0.279
ALT (IU/L)	76.27 ± 69.41	55.67 ± 35.4	0.187	79.63 ± 117.86	63.88 ± 74.76	0.451

212 TC, total cholesterol; TGL, triglyceride; ALT, alanine aminotransferase. Values are expressed as mean ± SD. $P < 0.05^*$ $P \leq 0.01^{**}$, $P \leq 0.001^{***}$
 213 (Wilcoxon signed rank test).

214

215

216 In terms of patient lifestyles and behaviours, significant improvements were noted for general
 217 emotional health score and fruit intake, alongside significant reductions in fried food intake (p
 218 ≤ 0.05) at the end of the 12 week intervention. Improvements in importance of healthy eating
 219 scores were approaching significance, patients improved minutes of light exercise by 69 (\pm
 220 51.87) minutes per week (not significant) but did not increase their vegetable intake (Table 4).

221

222 Table 4 - Comparison of intervention patients baseline and final lifestyle data

	Baseline (n=16)	Final (n=16)	P
General emotional health (score)	56.25 \pm 15.43	75.38 \pm 7.76	0.003**
BMI (kg/m²)	34.15 \pm 7.09	33.39 \pm 7.89	0.018*
Importance of healthy eating (score)	74.66 \pm 16.41	80 \pm 18.70	0.053
Fruit intake (portions/day)	0.55 \pm 0.39	1.05 \pm 0.49	0.006**
Vegetable intake (portions/day)	1.15 \pm 1.08	1.1 \pm 0.9	0.888
Fried food intake (portions/day)	0.35 \pm 0.5	0.15 \pm 0.16	0.017*
Light Exercise (mins/week)	143 \pm 132.66	212 \pm 159.56	0.102

223 Values are expressed as mean \pm SD. P < 0.05* P \leq 0.01** (Wilcoxon signed rank test).

224

225

226 **DISCUSSION**

227 This study presents a first of its kind service evaluation of a collaboration in the UK
228 successfully integrating a privately delivered, open access lifestyle training programme funded
229 by local government and embedded in a secondary care NAFLD care pathway. The study
230 involved 167 patients offered lifestyle intervention of whom only 16 (9.58%, 95% CI 6% -
231 15%) completed the 12 week intervention representing a 1 in 10 participation rate. Weight loss
232 in the intervention group was significantly different to the control group (- 2.79 Kg vs + 0.95
233 Kg, equating to 2.3% of baseline weight, $p = 0.008$) and led to improvements in BMI. A drop
234 in ALT was noted in both groups though this was not statistically significant (- 20.6 IU/L vs -
235 15.75 IU/L $p = 0.579$). Similar published studies to this one have successfully reported positive
236 impacts of interventions using small numbers of NAFLD patients^{22 23}.

237
238 Lack of uptake and non-adherence with interventions appears to be a consistent problem
239 amongst NAFLD patients. Although all require lifestyle and dietary intervention, less than 50%
240 will readily accept the need for this and fewer numbers will agree to take part and complete a
241 designed intervention programme^{13 23}. This may in part be due to the asymptomatic nature of
242 both NAFLD and NASH². Although participant uptake and recruitment is not well evidenced,
243 some recent literature mirrors the intervention uptake issues encountered in this study.
244 Kenneally, et al.²⁴ noted small sample sizes for the majority of studies identified in a systematic
245 review of nutrition interventions in NAFLD patients. The authors found weight loss by energy
246 restriction leads to an improvement in NAFLD but further clarification is needed as trials were
247 heterogeneous with large variations in participant numbers and duration. NAFLD patients are
248 18–20 % more likely to report poor physical health or are unable to perform daily activities
249 compared to healthy controls. Besides fatigue, NAFLD patients may also experience other
250 symptoms such as anxiety, depression, cognitive impairment, and loss of self-esteem^{13 25}.

251 These symptoms significantly impact patients well-being and health-related quality of life²⁶
252 which in turn, may affect the implementation of dietary recommendations or other self-
253 management advice.

254

255 Significant weight loss was achieved by patients completing this 12 week intervention,
256 however long term energy and weight control is challenging for many NAFLD patients¹³. The
257 success of shorter term lifestyle interventions may not be sustained over longer periods after
258 the interventions end without targeted support and follow up²⁷. Ultimately, patients with
259 NAFLD are required to self-manage their diet and behaviour. The long term, larger scale
260 evidence on lifestyle intervention and dietary management for those with this condition is
261 conflicting²⁸. Prior to any further larger scale long term trials, a patient and public involvement
262 research approach may be useful to establish potential barriers to lifestyle and dietary
263 interventions in NAFLD patients. This would then inform the design of powered, longer-term
264 large-scale lifestyle interventions in greater numbers of NAFLD patients with the provision of
265 regular medical support from clinicians, dietitians, nutritionists and health trainers, which may
266 lead to further improvements in the parameters measured in this study.

267

268 Reductions in ALT were noted in both groups, ALT improved by 20IU / L in the intervention
269 group and 15 IU / L in the control group, over the 12 week period but these did not reach
270 statistical significance. This may be due to the small sample size and a possible Type II error.
271 The lifestyle intervention patients did increase their exercise levels by 69 minutes (± 51.87) per
272 week over the study duration. The exact mechanisms of how exercise and weight loss may
273 improve NAFLD are not well understood, but regular exercise may change body composition
274 leading to decreased fat mass without overall weight loss²⁴. Alcohol intake is regularly assessed
275 for all patients in the fatty liver clinic and patients with alcohol consumption higher than 14

276 units per week were excluded from this study sample. However, it is possible that even further
277 reductions in alcohol consumption within these limits were made by some patients during the
278 study period which may have contributed to improved ALT levels in both groups. Dietary
279 intakes and exercise levels were not recorded for the control group, therefore it is possible
280 independent lifestyle changes such as taking regular exercise may have been made, which in
281 turn could also lead to the small reductions in ALT for this group in the absence of weight loss.
282 Finally, regular follow up for all patients in a dedicated fatty liver clinic where soft outcomes
283 such as improvements in liver biochemistry which are visible and tangible benefits are
284 commonly discussed might have impacted the results and introduced a bias. Whilst this
285 suggests that the fatty liver clinic is promoting a healthier lifestyle in patients, it presents a
286 potential to confound the results. However, the group that did not take up the intervention were
287 not aware that their biochemistry would be compared to the group that completed the
288 intervention.

289

290 A small but significant increase in fruit by 61.7%, but not vegetable intake was noted in the
291 current study, however overall fruit and vegetable consumption (baseline 0.55 ± 0.39 and
292 endpoint 1.05 ± 0.49 ($p = 0.006$) for fruit and baseline 1.15 ± 1.08 and endpoint 1.1 ± 0.9 (NS)
293 for vegetables respectively) fell far short of both national population averages (4.2 portions)²⁹
294 and the recommended 5 portions a day²⁰. There was a reduction in the use of fried food and
295 snacking by 13% and 21% respectively. Unfortunately these improvements did not translate to
296 patients lipid profiles and there were no significant changes to total cholesterol and blood
297 triglycerides at the end of the study, which may be due to the short study duration. Full
298 nutritional evaluation of the 7-day estimated food intakes was not completed; this is a limitation
299 that should be addressed in future studies.

300 Completing the programme was associated with an improvement in self-rated emotional
301 wellbeing (56.25% to 75%). A mean increase to general emotional health scores ($19\% \pm 4.31$)
302 was noted for the patients completing the intervention showing a significant positive effect on
303 general well-being ($p = 0.003$). This may be linked to the use of lifestyle trainers to support
304 patients during the intervention and coupled with previous evidence^{30 31} suggests lifestyle
305 interventions with a well-being support element may offer an effective strategy in the treatment
306 of NAFLD.

307

308 This pragmatically conducted, real world service evaluation exercise provides important
309 preliminary data to evaluate the efficacy of an existing open access lifestyle intervention in
310 NAFLD patients. However, there are limitations, which must be considered. The 16 patients
311 that took part represented only 1 in 10 patients offered the intervention. Poor uptake of the
312 lifestyle intervention and subsequent small sample size limits the efficacy of comparison
313 between the intervention and the control groups. Coupled with low participation and
314 recruitment rates in NAFLD patients discussed earlier intervention recruitment may have been
315 limited by selection bias. Vilar-Gomez, et al.³² conducted a 52-week long intensive
316 intervention with 293 NASH patients who agreed to have paired biopsies and had reasonable
317 control of metabolic parameters such as HBA1C. With this elaborate lifestyle intervention
318 program, 30% of patients lost more than 5% of their body weight and 25% achieved resolution
319 of steatohepatitis. The uptake of the intervention was reported at 96% though it is important to
320 note that the study was designed to assess the histological impact of intervention. Even with
321 comprehensive intervention in this selected motivated cohort of patients only 30% achieved
322 weight loss of $> 5\%$ which reflects the real world challenges faced by patients and their
323 healthcare providers in achieving weight loss. Whilst histological improvement was not seen
324 in all patients, the reported impact of lifestyle intervention in terms of NASH resolution was

325 comparable to the efficacy reported from pharmacotherapy for NASH currently being
326 recommended³³ or approved for use in NASH³⁴. The limited number of patients that took part
327 in our lifestyle intervention are likely to have been motivated to make changes, where the
328 matched control group were not. Whilst both groups received regular follow up in the NAFLD
329 clinic with ongoing advice and encouragement to address lifestyle in clinic, the intervention
330 group benefited from additional focussed support provided by trained lifestyle health trainers
331 for 12 weeks. Coupled with this the 12 week study duration represents a short term intervention
332 and may not have been long enough to see significant improvements in NAFLD biomarkers. It
333 is important to note that this study did not evaluate the reasons for non-participation, which is
334 a significant limitation and will be evaluated in a future planned study.

335

336 **In conclusion**, offering lifestyle intervention in an integrated NAFLD care management
337 pathway resulted in significant weight loss of 2.3% of baseline body weight in 16 NAFLD
338 patients after 12 weeks with significant improvements to BMI; however, uptake was
339 suboptimal with a participation completions rate of less than 10%. This study highlights the
340 challenge of engaging patients to accept such a programme when offered to them in a real
341 world setting. There was no impact of this degree of weight loss on biochemical liver indices
342 over 12 weeks. Further well-planned research using powered randomised controlled trials of
343 longer duration in larger cohorts of NAFLD patients is urgently needed to ratify the present
344 findings and prior to local government commissioning lifestyle intervention services. Focused
345 patient and public involvement research is needed to identify potential barriers to participation,
346 address adherence issues and identify appropriate support mechanisms for lifestyle
347 interventions with NAFLD patients.

348

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