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1 Review

2 **Relationship between Lipoproteins, Thrombosis and Atrial Fibrillation**

3

4 Wern Yew Ding¹ * MRCP

5 Majd B. Proty² * MRCP MSc

6 Ian G Davies³ PhD

7 Gregory Y. H. Lip^{1,4} MD

8

9 ¹Liverpool Centre for Cardiovascular Science, University of Liverpool and Liverpool Heart &
10 Chest Hospital, Liverpool, United Kingdom; ²Systems Immunity University Research Institute,
11 Cardiff University, Cardiff, United Kingdom; ³Research Institute of Sport and Exercise
12 Science, Liverpool John Moores University, Liverpool, United Kingdom; ⁴Aalborg
13 Thrombosis Research Unit, Department of Clinical Medicine, Aalborg University, Aalborg,
14 Denmark

15 [* joint first authors]

16

17 **Corresponding author:**

18 Prof Gregory Y H Lip gregory.lip@liverpool.ac.uk

19 Full mailing address University of Liverpool

20 William Henry Duncan Building

21 6 West Derby Street

22 Liverpool L7 8TX, United Kingdom

23 Telephone number 0151 794 9020

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29 Abstract

30 The prothrombotic state in atrial fibrillation (AF) occurs as a result of multifaceted interactions,
31 known as Virchow's triad of hypercoagulability, structural abnormalities and blood stasis.
32 More recently, there is emerging evidence that lipoproteins are implicated in this process,
33 beyond their traditional role in atherosclerosis. In this review, we provide an overview of the
34 various lipoproteins and explore the association between lipoproteins and AF, the effects of
35 lipoproteins on haemostasis, and the potential contribution of lipoproteins to thrombogenesis
36 in AF. There are several types of lipoproteins based on size, lipid composition and
37 apolipoprotein category, namely: chylomicrons, very low density lipoprotein, low density
38 lipoprotein (LDL), intermediate density lipoprotein and high density lipoprotein. Each of these
39 lipoproteins may contain numerous lipid species and proteins with a variety of different
40 functions. Furthermore, the lipoprotein particles may be oxidised causing an alteration in their
41 structure and content. Of note, there is a paradoxical inverse relationship between total
42 cholesterol and LDL-C levels, and incident AF. The mechanism by which this occurs may be
43 related to the stabilising effect of cholesterol on myocardial membranes, along with its role in
44 inflammation. Overall, specific lipoproteins may interact with haemostatic pathways to
45 promote excess platelet activation and thrombin generation, as well as inhibiting fibrinolysis.
46 In this regard, LDL-C has been shown to be an independent risk factor for thromboembolic
47 events in AF. The complex relationship between lipoproteins, thrombosis and AF warrants
48 further research with an aim to improve our knowledge base and contribute to our overall
49 understanding of lipoprotein-mediated thrombosis.

50 **Introduction**

51 Atrial fibrillation (AF) is a multi-systemic condition that is associated with serious
52 complications including thromboembolism, dementia and heart failure, resulting in impaired
53 quality of life, significant morbidity and increased mortality¹⁻⁵. The prevalence of AF rises
54 with age and concomitant comorbidities^{6,7}. At present, there is an upward trajectory to the
55 global incidence and prevalence of AF^{8,9}. Indeed, every individual has a 1-in-4 lifetime risk of
56 developing this condition^{10,11}, with a greater burden amongst those with risk factors¹². By
57 2060, it is projected that at least 17.9 million people in Europe will be affected by AF^{13,14}.

58

59 The mechanism by which AF occurs is complex but has previously been described in detail¹⁵.
60 Management of patients with the condition is primarily focused on the prevention of
61 thromboembolism due to the presence of a prothrombotic state with this arrhythmia. The
62 prothrombotic or hypercoagulable state in AF occurs as a result of multifaceted interactions,
63 known as Virchow's triad of hypercoagulability, structural abnormalities and blood stasis¹⁶.
64 Despite considerable research in this area, the precise mechanisms by which AF contributes to
65 a prothrombotic state remains ill-defined.

66

67 There is emerging evidence that lipoproteins are implicated in thrombogenesis, beyond their
68 traditional role in atherosclerosis. In this review, we provide an overview of the various
69 lipoproteins and explore their relationship with AF, haemostasis, and the potential contribution
70 to thrombogenesis.

71

72 **Lipoproteins**

73 Lipids (also known as 'fat') are naturally occurring compounds serving numerous biological
74 functions including the formation of plasma membranes or signalling molecules, and as a

75 source of energy. They exist in several forms including free fatty acids, glycerolipids (GL),
76 glycerophospholipids (GPL), sphingolipids and sterol lipids. Each of these lipid subtypes have
77 different molecular structures and basic properties (**Figure 1**). As a brief overview, fatty acids
78 form the fundamental category of biological lipids and therefore the basic building blocks of
79 more complex lipids. Their chemistry consists of a hydrocarbon chain with a terminal
80 carboxylic acid group and may be defined as saturated or unsaturated depending on the
81 maximum possible number of bonds or hydrogen atoms ^{17,18}. GL consist of a single glycerol
82 molecule which acts as the backbone for attachment to fatty acid chains. The most relevant
83 example of GL are triglycerides (TG), which contain three fatty acid chains and play an
84 important role in metabolism as energy sources and sources of dietary fat ^{18,19}. Sterol lipids
85 consist of four fused rings of hydrocarbon to which other molecules attach. A major type of
86 sterol lipid is cholesterol which serves as a precursor for the synthesis of other steroids as well
87 as serving as structural support for plasma membranes ^{20,21}. Dietary cholesterol is often stored
88 and transported in the form of a cholesterol ester (CE), which chemically represents a
89 cholesterol molecule joined to a fatty acid via an ester bond ²².

90

91 One common feature that lipids share as a group is their insolubility in water. Consequently,
92 they must be transported with proteins in the circulation ('lipoproteins') ²³. Lipoproteins are
93 complex structures consisting of a central hydrophobic core primarily composed of CE and TG
94 which is surrounded by a hydrophilic membrane comprising of GPL, free cholesterol and
95 apolipoproteins ^{23,24}. There are several types of lipoproteins based on size, lipid composition
96 and apolipoprotein category, namely: chylomicrons, very low density lipoprotein (VLDL), low
97 density lipoprotein (LDL), intermediate density lipoprotein (IDL) and high density lipoprotein
98 (HDL). When elevated, all lipoproteins confer a pro-atherogenic risk, apart from HDL which

99 is anti-atherogenic²³. Each lipoprotein contains numerous types of lipid species and proteins,
100 whose composition varies even between individual lipoproteins of the same type (**Figure 2**).

101

102 LDL is the main transporter for cholesterol in the circulation and every LDL particle contains
103 one apolipoprotein B100 molecule. Low-density lipoprotein exists in a spectrum that varies in
104 size and density with the three major density subclasses being small dense LDL (sdLDL),
105 intermediate LDL and large buoyant LDL (lbLDL)²⁵. Small dense LDLs are considered more
106 atherogenic and pro-coagulant compared to the other subtypes of LDL for various features as
107 they have decreased affinity for LDL receptors and hence remain longer in the circulation,
108 more readily enter the arterial intima where they are engulfed by macrophages to become foam
109 cells, and are more susceptible to oxidation than its larger counterpart²⁶⁻²⁸. There is also
110 increasing evidence that the number of ApoB-rich particles or the concentration of
111 apolipoprotein B may contribute to atherogenic risk²⁹.

112

113 Modern lipidomic techniques, with the aid of liquid chromatography coupled to mass
114 spectrometry, have allowed for detailed characterisation of the LDL lipidome³⁰. This has
115 revealed over 300 different lipid species residing within the interior or phospholipid membrane
116 of the LDL particle. Each of these may have specific associations with various pathologies and
117 interactions with traditional risk factors, thereby adding to its complexities^{31,32}. Oxidative
118 modification of LDL, predominantly by non-enzymatic processes, leads to the formation of
119 oxidised LDL (OxLDL) particles. These particles have altered structure and content, containing
120 oxidised proteins and lipids (particularly GPL), and leading to a more atherogenic phenotype
121³³. Furthermore, the susceptibility of LDL to aggregation and proteoglycan binding has
122 provided a deeper insight into the atherogenicity of LDL³⁴.

123

124 Lipoprotein(a) [Lp(a)] is a specialised form of LDL assembled in the liver from LDL and
125 apolipoprotein(a) attached to apolipoprotein B100 via a disulphide bridge (**Figure 2**)³⁵. Lp(a)
126 has been implicated in atherogenesis by enhancing endothelial cell adhesion and molecule
127 expression, promoting the formation of foam cells by binding to macrophages with high
128 affinity and interfering with vascular permeability³⁶. Furthermore, the Lp(a) constituent,
129 apolipoprotein(a), shares many structural similarities with plasminogen which has been
130 reported to cause interference with the physiological fibrinolysis process and to contribute to a
131 prothrombotic phenotype³⁷.

132

133 **Lipoproteins and atrial fibrillation**

134 *The paradoxical inverse relationship between cholesterol and the incidence of AF*

135 The association between serum cholesterol and coronary heart disease has been described since
136 early 1964³⁸. There is an increased risk of coronary heart disease with elevated total cholesterol
137 (TC) and low-density lipoprotein cholesterol (LDL-C), and reduced HDL-C levels^{39,40}. A
138 longitudinal analysis over a 35-year period of patients from the Framingham study confirmed
139 that long-term exposure to these lipid abnormalities led to a greater risk of atherosclerotic
140 cardiovascular disease and mortality⁴¹. Moreover, both the LDL particle and LDL-C are now
141 considered causal for atherosclerotic cardiovascular disease⁴². In turn, atherosclerotic disease
142 is an established independent risk factor for incident AF^{43,44}. As such, elevated levels of TC
143 and LDL-C may have been expected to increase the risk of incident AF. However, current
144 evidence does not support this and in contrast, several well-conducted observational studies
145 have described a paradoxical inverse relationship between TC and LDL-C, and incident AF
146 (**Table 1**).

147

148 A health survey performed by Iguchi *et al.* found that hypercholesterolaemia, defined by TC
149 >220 mg/dL or the use of cholesterol-lowering agents, was related to reduced new-onset AF
150 ⁴⁵. Reduced levels of LDL-C has also been linked to increased prevalence of AF ⁴⁶. In one
151 study of 88,785 patients, for example, TC and LDL-C levels were inversely linked to incident
152 AF over a follow-up period of seven years ⁴⁷. The authors reported no significant association
153 between incident AF, and HDL-C or TG. However, the overall incidence of AF was extremely
154 low at 0.52 per 1000 person-years ⁴⁷. Similar findings were described in the ARIC
155 (Atherosclerosis Risk in Communities) cohort which was validated even when analysing lipid
156 levels as time-dependent variables ⁴⁸. An ancillary study to ALLHAT (Antihypertensive and
157 Lipid-Lowering Treatment to Prevent Heart Attack Trial) demonstrated that low HDL-C was
158 associated with a significant increase in incident AF ⁴⁹. In a Japanese cohort, Watanabe *et al.*
159 also found that both TC and LDL-C were inversely related to incident AF ⁵⁰. Furthermore,
160 reduced levels of HDL-C was independently associated with greater incidence of AF in
161 females, but not males. The former had a 28% higher risk of AF with each 10% decrease in
162 HDL-C. Results from the SPCCD (Swedish Primary Care Cardiovascular Database) showed
163 that each unit (mmol/L) increase in TC and LDL-C were associated with a 19% and 16% lower
164 risk of incident AF, respectively; also, HDL-C and TG were not related to incident AF. In
165 contrast to the previous study, Moutzinis *et al.* found no sex-specific differences in outcomes
166 based on lipid abnormalities ⁵¹.

167

168 The relationship (or lack of) between the aforementioned measures of lipid abnormalities and
169 incident AF has also been demonstrated among patients with ST-elevation myocardial
170 infarction ⁵² and chronic heart failure ⁵³. In a small study of patients who had AF ablation, TC
171 and LDL-C were inversely associated with a higher risk of AF recurrence ⁵⁴. However,
172 subgroup analysis demonstrated that these factors were only significant in females but not

173 males. The levels of HDL-C and TG were not related to AF recurrence post-ablation⁵⁴. The
174 inverse relationship between AF, and TC and LDL-C are further supported by the fact that use
175 of lipid-lowering medications does not reduce the risk of incident AF^{48,55}.

176

177 It is worth noting that conflicting results have been demonstrated in few studies. A combined
178 analysis of the MESA (Multi-Ethnic Study of Atherosclerosis) and Framingham Heart Study
179 cohorts found that raised HDL-C and TG were independently associated with a lower risk of
180 new-onset AF⁵⁶. However, the authors reported that TC and LDL-C were not important risk
181 factors for new-onset AF. In a community-based cohort of Korean males, Kim *et al.* found that
182 although the presence of metabolic syndrome led to greater incidence of AF over a follow-up
183 period of 8.7 years, this was driven primarily by central obesity, and neither TG or HDL-C
184 were risk factors for incident AF⁵⁷. Similar results were obtained from a historical Japanese
185 population⁵⁸.

186

187 Different study designs, populations, lifestyles and age ranges may partly explain some of the
188 inconsistencies of previous studies. Nonetheless, the current literature strongly indicates that
189 both TC and LDL-C have an inverse relationship with incident AF. This is supported by a
190 recent meta-analysis of nine large cohort studies⁵⁹. Overall, these findings are important as
191 they imply that a reduction in TC and LDL-C, may have unintended consequences for the risk
192 of incident AF. The role of TG and HDL-C, and whether there are sex-specific responses to
193 lipid abnormalities with regards to AF need further investigation.

194

195 In addition to the measures of lipids described above, several others have been explored in
196 relation to incident AF. Aronis *et al.* found that Lp(a) levels above 50 mg/dL (compared to <10
197 mg/dL) were not associated with incident AF⁶⁰. Monocyte to HDL-C ratio has also been

198 described as a novel biomarker of inflammation that may be useful to predict new-onset AF in
199 patients undergoing percutaneous coronary intervention ⁶¹ or coronary artery bypass grafting
200 ⁶².

201

202 *Underlying mechanisms*

203 In general, there is limited research on mechanisms that underpin the relationship between
204 lipoproteins and AF. In a report from the Women's Health Study, Mora *et al.* conjectures that
205 the inverse relationship may be due to the stabilising effect of cholesterol on myocardial cell
206 membranes ⁶³. This may occur through the effects of cholesterol on the regulation of ion
207 channels and sensitivity of volume-regulated anion current to osmotic gradients ⁶⁴⁻⁶⁷.
208 Furthermore, cholesterol depletion has been found to impair cardiomyocyte contractility by
209 deregulation of calcium handling, adrenergic signalling and the myofibrillar architecture ⁶⁸.

210

211 The link between cholesterol levels and development of AF may also be related to
212 inflammation. It has been shown that TC, LDL-C and HDL-C levels were decreased while TG
213 was increased during inflammation ⁶⁹. Therefore, reduced levels of cholesterol may be
214 reflective of underlying inflammatory processes within the host that contributes to AF.
215 Furthermore, lipoproteins influence the course of sepsis by binding to bacterial endotoxins and
216 attenuate the harmful effects of inflammatory responses ⁷⁰.

217

218 It was reported that the effects of lipoproteins on incident AF extended beyond the cholesterol
219 content to include the number of lipoprotein particles for LDL and VLDL ⁶³. In this regard, it
220 was the smaller particles for each of these lipoproteins that were the actual driving force
221 contributing to the inverse relationship with AF as larger cholesterol-rich LDL-particles, total
222 HDL-C, Lp(a) and TG were not associated with incident AF ⁶³. In a small study of female

223 patients undergoing catheter ablation, those with AF had smaller lipoprotein particles with
224 increased oxidation, glycation and TG content compared to controls in sinus rhythm ⁷¹. Similar
225 findings have been reported elsewhere among male patients ⁷². Overall, these changes resulted
226 in enhanced foam cell formation via accelerated phagocytosis by macrophages, and reduced
227 antioxidant ability of HDL ⁷¹. These changes are important as HDL particles have been shown
228 to be more protective against cardiovascular events ^{73,74}, which are known to contribute to AF.
229 Furthermore, foam cells are known to initiate a wide range of bioactivities including
230 inflammatory processes ⁷⁵⁻⁷⁷ that may be linked to the pathogenesis of AF.

231

232 Sex differences in the association of lipoproteins and AF that were observed in some studies
233 may be attributable to hormones, especially oestrogen, and differences in body fat distribution
234 or insulin sensitivity ⁷⁸⁻⁸⁰. Moreover, a fall in testosterone levels among ageing males may
235 influence oxidative modification of LDL-C ⁸¹.

236

237 It is worth mentioning that the effects of specific lipoproteins may vary under certain
238 conditions. For example, injection of VLDL extracted from patients with metabolic syndrome
239 into mice resulted in excess lipid accumulation and apoptosis in the atria, and significantly
240 greater left atrial dilatation compared to VLDL from healthy volunteers ⁸². Thus, VLDL may
241 contribute to the development of atrial cardiomyopathy and subsequent vulnerability to AF
242 through direct cytotoxicity, altered action potentials, disrupted calcium regulation, delayed
243 conduction velocities, modulated gap junctions and derangements in sarcomere proteins
244 (Figure 4)⁸³. This highlights the fact that focusing on the quantity of lipoproteins on its own
245 may limit our understanding of the mechanisms underlying the paradoxical inverse relationship
246 of lipoproteins and AF.

247

248 Lipoproteins and thrombosis

249 The role of lipoproteins in modulating thrombosis and haemostasis to produce fibrin clots is
250 well described⁸⁴. LDL and VLDL have been shown to increase thrombin generation and inhibit
251 fibrinolysis^{85,86}. An inverse relationship of VLDL to fibrin clot permeability and fibre mass-
252 length ratio has previously been demonstrated⁸⁷.

253

254 In addition to the coagulation system, platelets seem to be affected by lipoproteins as well. To
255 start with, there is evidence that patients with excessive LDL, such as those in familial
256 hypercholesterolaemia that is characterised by lack or defective LDL receptors, display
257 enhanced platelet reactivity with increased α -granule secretion⁸⁸, fibrinogen binding⁸⁹ and
258 aggregation⁹⁰. In contrast, patients with abetalipoproteinaemia that is characterised by a lack
259 of all apolipoprotein B-containing lipoproteins (chylomicrons, VLDL and LDL) have reduced
260 platelet activation⁹¹. Furthermore, LDL has been shown to promote excess platelet activation
261 which may contribute to the higher incidence of thrombosis in hyperlipidaemia^{92,93}.

262

263 Certain subclasses of LDL may be more harmful than others. For instance, sdLDL was shown
264 to be independently associated with both thrombotic and haemorrhagic strokes⁹⁴. A potential
265 mechanism could include increased susceptibility to oxidation which leads to a substantial
266 increase in thrombin generation compared to the larger native LDL^{95,96}. In addition to
267 identifying the lipid subclasses and oxidative states, evaluating the effects of individual lipid
268 species may be of importance. For instance, Klein *et al.* demonstrated that VLDL was capable
269 of activating the contact pathway in the presence of platelets, thereby causing an increase in
270 the rate and amount of thrombin generation⁹⁷. A subsequent detailed lipoprotein analyses
271 revealed that this was driven by phosphatidylethanolamine (PE). Interestingly, PE is also
272 responsible for oxLDL-induced thrombin generation⁹⁸.

273

274 ***OxLDL and haemostasis***

275 Despite many decades of research into oxLDL, definitions of what it contains and method of
276 detection vary between groups and publications ³³. Perhaps the most encompassing definition
277 for oxLDL is ‘A particle derived from circulating LDL that may have peroxides or their
278 degradation products generated within the LDL molecule or elsewhere in the body associated
279 with the particle’ ³³. Such particles therefore may include lipid peroxides, hydroxides or
280 aldehydes such as malondialdehyde (MDA) in addition to protein oxidation products. These
281 biochemical changes give oxLDL altered properties which may facilitate its detection and
282 separation on the basis of density, negative charge and monoclonal antibody (mAb). The latter
283 method utilises antibodies to oxidized epitopes on the surface of oxLDL such as EO6 for
284 oxidised phosphatidylcholine (oxPC) ⁹⁹ and 4E6 for oxidised apoB ¹⁰⁰. Given the variation in
285 detection methods of oxLDL and possible consequences on interpretation of the evidence, this
286 review specifies the method of detection of oxLDL where appropriate.

287

288 Elevated oxLDL levels (detected by 4E6 mAb) are independently associated with several
289 cardiovascular risk factors including increasing age, male gender, raised body mass index,
290 abdominal obesity, hypertension, raised C-reactive protein, renal dysfunction, hyperuricaemia
291 and smoking ¹⁰¹. These risk factors are important in AF, which has also been shown to be
292 directly associated with elevated 4E6-measured oxLDL levels ^{102–105}.

293

294 Oxidised LDL (4E6 mAb) correlates to thrombogenesis by interfering with the coagulation
295 system and clot formation. In this regard, patients with acute coronary syndrome demonstrate
296 a positive correlation between oxLDL and tissue factor levels in plasma ¹⁰⁶. Activation of T
297 lymphocytes by oxLDL, prepared by chemical oxidation of native LDL with copper sulfate,

298 via the lectin-type oxLDL receptor 1 (LOX-1) has also been shown to increase the expression
299 of tissue factor on the surface of leukocytes ¹⁰⁷. Furthermore, oxLDL generated with copper
300 oxidation was noted to inhibit fibrinolysis, modify fibrin clot structure and increase thrombin
301 generation ^{98,108}. Finally, oxLDL (detected by 4E6) correlated to reduced clot permeability and
302 prolonged clot lysis time ¹⁰⁹.

303

304 OxLDL generated *in vitro* by copper oxidation has been shown to cause activation and
305 aggregation of platelets via CD36 and LOX-1 ¹¹⁰⁻¹¹², as well as impair endothelial regeneration
306 by reducing the release of nitric oxide ¹¹³. Furthermore, platelet reactivity in cardiovascular
307 disease can be related to dyslipidaemia ^{114,115}, which is characterised by accumulation of
308 oxLDL as measured by LDL isolation, lipid extraction and subsequent high performance liquid
309 chromatography (HPLC) ¹¹⁶. In turn, platelet reactivity is an important determinant of fibrin
310 clot structure and effective platelet inhibition is associated with a weaker, more permeable
311 fibrin network ¹¹⁷. Therefore, oxLDL may indirectly influence fibrin clot properties through its
312 effects on platelet reactivity. To complicate matters, recent evidence suggests that oxLDL
313 activation of platelets promotes further oxLDL uptake by platelets (detected with the
314 polyclonal orb10973 anti-oxLDL antibody), augmenting the pro-oxidative thrombogenic
315 phenotype ¹¹⁸. Finally, there is evidence suggesting that activated platelets contribute to the
316 formation of oxLDL species and modification of lipoprotein function ¹¹⁹. Putting it together,
317 the evidence points towards a cycle of oxLDL-induced platelet activation leading to further
318 oxLDL formation and uptake by platelets.

319

320 *Lp(a) and haemostasis*

321 In addition to its recognised atherogenic properties ¹²⁰, Lp(a) appears to have a direct
322 prothrombotic effect by interfering with platelets and the fibrinolysis system. Although it has

323 been found to interact with platelets, the target receptor remains unclear¹²¹. Furthermore,
324 literature surrounding the nature of interaction between Lp(a) and platelets is conflicting, with
325 evidence to suggest that it may have both activating and inhibiting effects¹²².

326

327 Lp(a) has been shown to facilitate platelet activation through thrombin-related activating
328 hexapeptide, but not thrombin or adenosine diphosphate¹²³. On the contrary, some studies
329 reported an inhibitory effect of Lp(a) to platelet activation by collagen or thrombin¹²¹. Less
330 controversial is the ability of Lp(a) to impair platelet-mediated fibrinolytic reactions by
331 interfering with the binding of plasminogen, which shares structural similarities to
332 apolipoprotein(a), and tissue plasminogen activator to the platelet surface¹²⁴. This is
333 compounded by the ability of Lp(a) to inactivate tissue factor pathway inhibitor which may
334 promote thrombosis through the extrinsic coagulation pathway¹²⁵. However, evidence in
335 genetic studies on the contribution of Lp(a) to venous thrombosis have been negative^{126,127},
336 suggesting that the primary prothrombotic effects of Lp(a) may be limited to atherothrombosis
337 (arterial) or anti-fibrinolysis¹²⁸. Additional studies describing the association between
338 lipoproteins and thrombotic conditions are summarised in **Table 2**.

339

340 *The effects of lipid-modifying therapy on thrombosis and haemostasis*

341 The role of lipoproteins in haemostasis is further supported by the fact that application of lipid-
342 modifying therapy is associated with changes in haemostasis¹²⁹. Specifically, atorvastatin may
343 exert antiplatelet effects by interfering with redox signalling¹³⁰. It has also been shown that
344 statins are able to reduce fibrin clot lysis time, independent of warfarin¹³¹. For example, a
345 randomised controlled trial by Undas *et al.* confirmed the effects of statins and also showed
346 similar results with the use of other lipid-modifying therapy, specifically fenofibrate¹³². The
347 authors reported increased fibrin clot permeability and reduced lysis time with the use of these

348 agents compared to pre-treatment values, potentially through its effects on thrombin
349 generation. Turbidity analysis also showed that use of these drugs resulted in thicker fibres that
350 were more prone to effective fibrinolysis.

351

352 A further randomised controlled trial of patients with type 1 diabetes mellitus and
353 dyslipidaemia found that the beneficial effects of statins on fibrin clot properties may be related
354 to reduced expression of glycoprotein IIIa, tissue factor and P-selectin ¹³³. Finally, the use of
355 statins has been associated with risk reduction of both venous and arterial thromboembolisms
356 ^{134–138}. Therefore, it is tempting to speculate that the statin-induced protective effects may be
357 related to its influence on reduction of pro-coagulant lipoproteins or enhancement of anti-
358 coagulant lipoproteins ⁸⁶.

359

360 A prospective, case-controlled study of patients with stable coronary artery disease and
361 hypercholesterolaemia found that use of pravastatin was associated with reduced thrombus
362 formation at both high and low shear rates ¹³⁹. As expected, there was a significant decrease in
363 TC and LDL-C levels with pravastatin. Thrombus formation was also assessed after one week
364 of treatment with pravastatin, prior to any significant reduction in TC and LDL-C levels, and
365 it was found that this was unchanged compared to pre-treatment. As a result, the authors
366 concluded that the beneficial effects of pravastatin on thrombogenicity was due to its effects
367 on lipids/lipoproteins ¹³⁹. Interestingly, other studies have reported that the anti-coagulant
368 effects of statin therapy, in terms of thrombin generation and platelet activation, were seen as
369 early as three days following treatment ^{140,141}.

370

371 Nonetheless, it should be noted that there currently remains insufficient evidence to conclude
372 whether the protective effects of statins are related to its lipid-modifying effects or otherwise

373 ¹³⁵. In contrast to the aforementioned studies, Dangas *et al.* showed a reduction in
374 thrombogenicity among patients after six months of treatment with pravastatin, regardless of
375 change in LDL-C ¹⁴². Furthermore, despite a similar reduction in LDL-C between subgroups
376 of patients treated with pravastatin compared to dietary advice only, the anti-thrombotic benefit
377 was only demonstrated among those receiving pravastatin. Additionally, a study by Undas *et*
378 *al.* found that the use of simvastatin was associated with a reduction in thrombin generation,
379 independent of changes in lipid profile ¹⁴³. Overall, there may be various pathways by which
380 lipid-modifying therapy, in particular statins, may interact with the haemostatic process.

381

382 **Lipoproteins and thromboembolism in AF**

383 Given the effects of lipoproteins on haemostasis, their contribution to thromboembolic events
384 may be expected. Indeed, lipoprotein abnormalities have been shown to be an independent risk
385 factor for stroke and venous thromboembolism ^{144–147}. However, few studies have explored this
386 relationship in the context of AF (**Table 3**).

387

388 ***Low-density lipoprotein cholesterol***

389 LDL cholesterol has been implicated in thromboembolic events among patients with AF. Wu
390 *et al.* found that LDL-C was an independent risk factor for both a history of ischaemic stroke
391 and future stroke risk among patients with AF ¹⁴⁸. Similar findings were reported in a case-
392 controlled study, whereby raised LDL-C was shown to be an independent predictor of
393 ischaemic stroke in patients with AF, irrespective of the CHA₂DS₂-VASc score ¹⁴⁹.
394 Furthermore, this association demonstrated a dose-response pattern. A later study confirmed
395 the relationship between LDL-C and ischaemic stroke, and observed that lowering LDL-C may
396 be particularly beneficial among AF patients with a low CHA₂DS₂-VASc score (less than two
397 in males and three in females) ¹⁵⁰. Interestingly, LDL-C appears to have an opposite influence

398 on the risk of incident AF and subsequent thromboembolic risk which highlights the
399 importance of regular monitoring and treatment adjustments in clinical practice.

400

401 ***Lipoprotein(a)***

402 There are conflicting reports on the effects of Lp(a) on thromboembolic risk in AF. Igarashi *et*
403 *al.* demonstrated that serum Lp(a) was an independent risk factor for left atrial thrombus
404 detected on trans-oesophageal echocardiogram in patients with chronic AF ¹⁵¹. Additionally,
405 left atrial thrombus was present in 48% of AF patients with a Lp(a) level ≥ 30 mg/dL, suggesting
406 that this may be a useful biomarker to identify patients at high-risk of thromboembolism.
407 However, a limitation of this study was that relatively few patients (19%) were on
408 anticoagulation therapy ¹⁵¹.

409

410 More recently, higher Lp(a) levels were found to be independently associated with clinically-
411 confirmed thromboembolic events in non-valvular AF patients with a CHA₂DS₂-VASc score
412 of less than two ¹⁵². Curiously, Aronis *et al.* found that elevated levels of Lp(a) was associated
413 with an increased stroke risk among non-AF patients, but not in those with AF ⁶⁰. In support of
414 the latter, we previously demonstrated that there was no correlation between Lp(a) and D-
415 dimer, as a marker of thrombogenesis ¹⁵³. Overall, the inconsistent results on Lp(a) may suggest
416 the existence of different Lp(a) phenotypes that contribute differently to thrombogenesis ¹⁵⁴
417 and therefore, sole measurement of total Lp(a) levels may be inadequate for this purpose. In
418 this regard, the measurement of oxidised lipids may have an important role to increase
419 our understanding on the potential impact of Lp(a) on atrial function and risk of AF ¹⁵⁵⁻¹⁵⁷.

420

421 ***Other measures of lipoproteins***

422 In a sub-study of the ARISTOTLE trial, higher levels of Apolipoprotein A1 were
423 independently associated with a lower composite risk of ischaemic stroke, systemic embolism,
424 myocardial infarction and cardiovascular mortality ¹⁵⁸. When analysed separately,
425 Apolipoprotein A1 was found to be a risk factor for each of the individual outcomes apart from
426 myocardial infarction. In reverse, the authors reported that Apolipoprotein B was not associated
427 with the risk of composite outcomes but that it was a risk factor for myocardial infarction.
428 Decker *et al.* demonstrated that low HDL and high triglycerides were not independently
429 associated with ischaemic stroke among AF patients over a follow-up period of 14.8 years,
430 though there was a trend for the former (hazard ratio [HR] 1.47 [95% confidence interval [CI]
431 0.99 - 2.20], $p = 0.06$) ¹⁵⁹.

432

433 The relationship between lipoproteins and thromboembolism in AF is further indicated by
434 studies that have explored the impact of statins, as medications that are known to regulate
435 lipoproteins. A subgroup analysis comprising of 1446 AF patients with ischaemic stroke found
436 that higher statin adherence during 5-year follow-up predicted a reduced risk of stroke
437 recurrence (HR 0.59 [95% CI 0.43 - 0.81]) ¹⁶⁰. In this context, the effects of statins may be
438 related to a reduction of oxLDL levels that promote its anti-inflammatory properties ^{161,162},
439 which has been shown to reduce the endogenous thrombin potential in patients with AF ¹⁶³. He
440 *et al.* found that prior use of statins resulted in lower plasma oxLDL levels at baseline and at
441 3-month follow-up among patients presenting with an ischaemic stroke ¹⁶⁴. Furthermore, pre-
442 stroke statin use was associated with reduced short-term mortality (odds ratio [OR] 0.38 [95%
443 CI 0.16 - 0.91] and major disability (OR 0.38 [95% CI 0.15 - 0.99]).

444

445 **Gaps and limitations**

446 Despite a wealth of evidence on the role of lipoproteins in thrombosis and AF, it is recognised
447 that these molecules are heterogenous, containing numerous subclasses and lipid species with
448 variable effects ¹⁶⁵. In this regard, much of the conflicting evidence and paradox in prior studies
449 may be due to the usage of crude methods of classification that undermines the complexity of
450 lipoproteins. Given recent advancements in our ability to accurately analyse lipoprotein
451 subclasses and lipid species, future studies should focus on identifying the relationship of these
452 molecules with incident AF and thromboembolic complications. Moreover, the mechanism by
453 which this occurs also warrants further investigation. With better understanding in this area,
454 the development of targeted treatment approaches for high-risk subgroups may be possible.
455 Moreover, ongoing clinical trials such as the Lp(a)HORIZON study (ClinicalTrials.gov
456 NCT04023552) are examining novel agents targeting Lp(a) levels and may provide more data
457 on the association of Lp(a), incident AF and thrombotic events.

458

459 One group of lipids which is emerging as a key player in haemostatic reactions is oxidised
460 GPL. These molecules have been shown to play a role in thrombotic disorders and are primarily
461 generated enzymatically by platelets and leukocytes ^{166,167}. The presence of these molecules in
462 lipoproteins has not been conclusively studied, particularly in light of newer lipidomic
463 technologies. The majority of previous studies of oxidised GPL in lipoproteins had relied on
464 antibodies that bind oxPC, demonstrating their presence as a defining feature of oxLDL ¹⁶⁸ and
465 Lp(a) ¹⁶⁹. It is not known whether the presence of oxPC, or other oxidised GPL, on lipoproteins
466 enhance coagulation reaction in a similar way to enzymatically-generated oxPC on the surface
467 of activated cells ¹⁶⁶. The growth in the lipidomics field and availability of increasingly
468 sensitive techniques may pave the way for studies in this area.

469

470 Moving forward, the role of genetics in lipoproteins should also be considered. Elevated Lp(a)
471 is prevalent in approximately 20% of the population ¹⁷⁰, and strongly influenced by genetic
472 variability ¹⁷¹. Much of the variation is related to the apo(a) protein, which consists of kringle
473 domains that vary in molecular weight and therefore size of the Lp(a) particle ^{172,173}. The
474 genetic variation in the *LPA* locus has enabled Mendelian randomisation studies to demonstrate
475 that both the Lp(a) concentration and the smaller apo(a) isoform are independently causal for
476 some cardiovascular diseases ^{170,174-178}. While a large UK-based population study by Zanetti *et*
477 *al.* found no causal relationship between Lp(a) and AF, further Mendelian randomisation
478 studies are needed to confirm this finding in other cohorts ¹⁷⁵.

479

480 **Conclusion**

481 There is a paradoxical relationship between TC and LDL-C, and incident AF. The mechanism
482 by which this occurs is poorly defined but may be related to changes in the regulation of ion
483 channels and inflammatory processes. To complicate matters, excess lipoproteins promote
484 thrombin generation, inhibit fibrinolysis and enhance platelet activation. In this regard, LDL-
485 C has been shown to be an independent risk factor for thromboembolic events in AF. Overall,
486 the complex relationship between lipoproteins, thrombosis and AF warrants further research.
487 An improved knowledge base in this area may unlock important mechanistic pathways that
488 contribute to our overall understanding of haemostasis and guide our clinical approach in the
489 treatment of prothrombotic conditions. I

490

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493

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1134 **Figure Legends**

1135 **Figure 1. Representative schematic of lipid subtypes.** Example structures from each
1136 LIPID MAPS category of lipids are shown in this figure highlighting their
1137 structural features. Fatty acids (FA), which may be saturated or unsaturated,
1138 form the basic building blocks of lipids, with each class having specific
1139 defining feature. Chemical structures are from PubChem and LIPID MAPS.

1140 **Figure 2. Lipoprotein types and structures.** Representative description of typical
1141 diameter, content and apolipoprotein constituents of different lipoprotein
1142 classes ²³. (ApoB-100: apolipoprotein B100; CE: cholesterol ester; GPL:
1143 glycerophospholipids; HDL: high density lipoprotein; IDL: intermediate
1144 density lipoprotein; LDL: low density lipoprotein; Lp(a): lipoprotein(a); TG:
1145 triglycerides; VLDL: very low density lipoprotein). Created using
1146 Biorender.com.

1147 **Figure 3. Effects of lipoproteins on haemostasis.** Created using Biorender.com. (HDL:
1148 high density lipoprotein; LDL: low density lipoprotein; Lp(a): lipoprotein(a);
1149 PAI-1, plasminogen activator inhibitor-1; TF, tissue factor; TG: triglycerides;
1150 tPA, tissue plasminogen activator; VLDL: very low density lipoprotein).

1151 **Figure 4. Pathogenic role of VLDL in metabolic syndrome-related atrial**
1152 **cardiomyopathy.** Created using Biorender.com. (MetS, metabolic syndrome;
1153 NFAT, nuclear factor of activated T cells; SOCE, store-operated calcium
1154 entry; VLDL, very low density lipoprotein).

1155 **Tables**1156 **Table 1.** Impact of lipoprotein abnormalities on incidence or prevalence of atrial fibrillation

Author, year [ref]	Study type	Population	n	Follow-up (months)	Finding(s) <i>in relation to incidence or prevalence of AF</i>
Harrison, 2020 ¹⁷⁹	Prospective	Community-based cohort	13,724	NA	↑ TC: PR 0.61 (95% CI, 0.49 - 0.75) ↑ LDL-C: PR 0.60 (95% CI, 0.48 - 0.75) ↑ HDL-C: PR 0.58 (95% CI, 0.46 - 0.74) ↑ non-HDL-C: PR 0.63 (95% CI, 0.51 - 0.78) ↑ LDL-C/HDL-C ratio: PR 0.75 (95% CI, 0.61 - 0.94)
Xue, 2019 ⁵²	Prospective	STEMI	985	31	↑ TC: HR 0.54 (95% CI, 0.32 - 0.90) ↑ LDL-C: HR 0.56 (95% CI, 0.31 - 1.00) TG or HDL-C not found to be risk factors
Choe, 2018 ¹⁸⁰	Retrospective	Population-based cohort	22,886,661	65	↑ TG: HR 1.12 (95% CI, 1.12 - 1.13) ↑ HDL: HR 1.24 (95% CI, 1.23 - 1.25)
Li, 2018 ⁴⁷	Prospective	Community-based cohort	88,785	85	↑ TC: HR 0.60 (95% CI, 0.43 - 0.84) ↑ LDL-C: HR 0.60 (95% CI, 0.43 - 0.83) TG or HDL-C not found to be risk factors
Mourtzinis, 2018 ⁵¹	Retrospective	Hypertensive	51,020	42	↑ TC: HR 0.84 (95% CI, 0.78 - 0.92) ↑ LDL-C: HR 0.86 (95% CI, 0.79 - 0.97) TG or HDL-C not found to be risk factors
Liu, 2018 ⁵³	Prospective	Chronic heart failure	308	36	↑ TC: HR 0.99 (95% CI, 0.97 - 1.00) ↑ LDL-C: HR 0.98 (95% CI, 0.97 - 1.00) HDL-C not found to be risk factor
Ulus, 2018 ⁶¹	Prospective	Elderly (>65 years) with ACS undergoing PCI	308	NA	↑ MHR: OR 1.10 (95% CI, 1.05 - 1.15)

Kim, 2018 ⁵⁷	Retrospective	Community-based cohort of males	21,981	104	TG or HDL-C not found to be risk factors
Kokubo, 2017 ⁵⁸	Prospective	Community-based cohort	6,898	166	TC, TG or HDL-C not found to be risk factors
Aronis, 2017 ⁶⁰	Prospective	Community-based cohort	9,908	167	↑ Lp(a) not found to be risk factor
Saskin, 2017 ⁶²	Retrospective	Isolated CABG	662	0.23	↑ MHR: OR 11.5 (95% CI, 1.25 - 106.67)
Krittayaphong, 2016 ⁴⁶	Retrospective	Hypertensive	13,207	NA	↑ LDL-C: OR 0.53 (95% CI, 0.37 - 0.78)
Alonso, 2014 ⁵⁶	Prospective	Community-based cohort	7,142	115	↑ HDL-C: HR 0.64 (95% CI, 0.48 - 0.87) ↑ TG: HR 1.60 (95% CI, 1.25 - 2.05) TC and LDL-C not found to be risk factors
Mora, 2014 ⁶³	Prospective	Healthy female healthcare professionals	23,738	197	↑ LDL-C: HR 0.72 (95% CI, 0.56 - 0.92) ↑ VLDL-particles: HR 0.78 (95% CI, 0.61 - 0.99) ↑ LDL-particles: HR 0.77 (95% CI, 0.60 - 0.99) ↑ Cholesterol-poor small LDL: HR 0.78 (95% CI, 0.61 - 1.00) ↑ Small VLDL particles: HR 0.78 (95% CI, 0.62 - 0.99) Larger cholesterol-rich LDL-particles, total HDL-C, Lp(a) and TG not found to be risk factors
Lopez, 2012 ⁴⁸	Prospective	Community-based cohort	13,044	224	↑ LDL-C: HR 0.90 (95% CI, 0.85 - 0.96) ↑ TC: HR 0.89 (95% CI, 0.84 - 0.95) HDL-C, TG and use of lipid-lowering medications not found to be risk factors
Watanabe, 2011 ⁵⁰	Prospective	Community-based cohort	28,449	54	↑ HDL-C in females: HR 0.35 (95% CI, 0.18 - 0.67) ↑ HDL-C in males not found to be risk factor (HR 0.74 [95% CI, 0.42 - 1.30]) ↑ TC: HR 0.94 (95% CI, 0.90 - 0.97) ↑ LDL-C: HR 0.92 (95% CI, 0.88 - 0.96)

Iguchi, 2010 ⁴⁵	Prospective	Community-based cohort	30,449	NA	Hypercholesterolaemia, as defined by TC >220 mg/dL or the use of cholesterol-lowering agents: OR 0.75 (95% CI, 0.58 - 0.96)
Haywood, 2009 ⁴⁹	Prospective	Hypertensive	39,056	NA	↑ HDL-C: OR 0.77 (95% CI, 0.62 - 0.95)
Rosengren, 2009 ¹⁸¹	Prospective	Community-based cohort of males	6,903	412	TC not found to be risk factor
Frost, 2005 ¹⁸²	Prospective	Population-based cohort without endocrine or cardiovascular diseases at baseline	47,589	68	(Females) ↑ TC: HR 0.57 (95% CI, 0.42 - 0.78) TC not found to be a risk factor in males

1157 ACS, acute coronary syndrome; AF, atrial fibrillation; CABG, coronary artery bypass graft; CI, confidence interval; HDL-C, high-density lipoprotein
1158 cholesterol; HR, hazard ratio; LDL-C, low-density lipoprotein cholesterol; Lp(a), lipoprotein(a); MHR, monocyte to high-density lipoprotein
1159 cholesterol ratio; NA, not applicable; OR, odds ratio; PCI, percutaneous coronary intervention; PR, prevalence ratio; STEMI, ST-elevation myocardial
1160 infarction; TC, total cholesterol; TG, triglycerides; VLDL-C, very-low-density lipoprotein cholesterol.

1161 **Table 2.** Clinical studies describing association of lipoproteins with thrombotic conditions

Author, year [ref]	Study design	Population	n	Finding(s) <i>in relation to thrombosis</i>
Morelli, 2017 ¹⁸³	Case-control	Recent venous thrombosis	5,107	↓ ApoB: OR 1.35 (95% CI, 1.12 - 1.62) ↓ ApoA1: OR 1.50 (95% CI, 1.25 - 1.79)
Grifoni, 2012 ¹⁸⁴	Cross-sectional	First episode venous thromboembolism	747	↑ Lp(a): OR 2.6 (95% CI, 1.7 - 4.0)
Kamstrup, 2012 ¹²⁷	Community-based cohort	White Danish descent	41,231	↑ Lp(a): OR 1.21 (95% CI, 1.10 - 1.33) for risk of myocardial infarction (coronary atherothrombosis) No association between Lp(a) and venous thrombosis
Ohira, 2006 ¹⁸⁵	Cohort	No history of stroke	14,448	↑ Lp(a): OR 1.42 (95% CI, 1.10 - 1.83) for non-lacunar strokes, No association between Lp(a) and lacunar or cardioembolic strokes
Tsimikas, 2005 ³⁵	Cross-sectional	Coronary artery disease	504	↑ oxLDL:ApoB100 ratio: OR 3.12 (p<0.01) ↑ Lp(a): OR 3.64 (p<0.01)
Deguchi, 2005 ¹⁸⁶	Cross-sectional	Men with venous thrombosis	98	↓ HDL: OR 6.5 (2.3 - 19) ↓ ApoA1: OR 6.0 (2.1 - 17) ↑ IDL: OR 2.7 (1.0 - 6.8, p<0.05) ↑ sdLDL: OR 3.1 (1.3 - 7.4)
Doggen, 2004 ¹⁸⁷	Case-control	Post-menopausal women with first venous thrombosis	2,463	↑ HDL-C: OR 0.71 (95% CI, 0.52 - 0.97) ↑ TG: OR 2.13 (95% CI, 1.34 - 3.37)
Marcucci, 2003 ¹⁸⁸	Case-control	History of venous thromboembolism	1,033	↑ Lp(a): OR 2.1 (95% CI, 1.4 - 3.2)
von Depka, 2000 ¹⁸⁹	Case-control	History of venous thromboembolism	951	↑ Lp(a): OR 3.2 (95% CI, 1.9 - 5.3)
Holvoet, 1998 ¹⁹⁰	Case-control	Coronary artery disease	270	↑ oxLDL in acute coronary syndrome than stable angina (r ² 0.65, p<0.01)
Kawasaki, 1997 ¹⁹¹	Case-control	Confirmed deep vein thrombosis	218	↑ TC: OR 4.5 (95% CI, 2.4 - 8.3) ↑ TG: OR 2.4 (95% CI, 1.3 - 4.6)

1162 ApoA1, apolipoprotein A1; ApoB, apolipoprotein B; CI, confidence interval; HDL, high density lipoprotein; HDL-C, high density lipoprotein
1163 cholesterol; IDL, intermediate density lipoprotein; Lp(a), lipoprotein(a); OR, odds ratio; OxLDL, oxidised low density lipoprotein; sdLDL, small
1164 dense low density lipoprotein; TC, total cholesterol; TG, triglycerides.

1165 **Table 3.** Effects of lipoproteins on thromboembolic outcomes in atrial fibrillation

Author, year [ref]	Study type	Population	n	Follow-up (months)	Finding(s)
Liu, 2020 ¹⁵⁰	Retrospective	Non-valvular AF	2,345	26	↑ LDL-C in low-risk: HR 2.60 (95% CI, 1.26 - 5.37) for ischaemic stroke ↑ LDL-C in high-risk: HR 2.50 (95% CI, 1.10 - 5.70) for ischaemic stroke
Yan, 2019 ¹⁵²	Retrospective	Non-valvular AF with low CHA ₂ DS ₂ -VASc score	595	NA	↑ Lipoprotein(a): OR 1.02 (95% CI, 1.01 - 1.03) for thromboembolic events
Pol, 2018 ¹⁵⁸	Prospective	AF with at least 1 stroke/SE risk factor	14,884	23	↑ Apolipoprotein A1: HR 0.81 (95% CI, 0.73 - 0.90) for composite risk of ischaemic stroke, SE, MI and CV death Apolipoprotein B was not associated with composite risk of ischaemic stroke, SE, MI and CV death
Qi, 2017 ¹⁴⁹	Retrospective	AF ± ischaemic stroke	815	NA	↑ LDL-C: OR 2.00 (95% CI, 1.62 - 2.47) for ischaemic stroke
Aronis, 2017 ⁶⁰	Prospective	Community-based cohort	10,127	190	↑ Lipoprotein(a) was not associated with stroke risk in patients with AF
Wu, 2017 ¹⁴⁸	Retrospective	Non-valvular AF	2,470	NA	↑ LDL-C: OR 1.27 (95% CI, 1.08 - 1.49) for ischaemic stroke
Igarashi, 1998 ¹⁵¹	Prospective	Chronic AF	150	NA	↑ Lipoprotein(a) was an independent risk factor for LA thrombus (standardised coefficient of 0.300)

1166 AF, atrial fibrillation; CI, confidence interval; CV, cardiovascular; HR, hazard ratio; LA, left atrial; LDL-C, low-density lipoprotein cholesterol; MI, myocardial infarction; NA, not applicable or available; OR, odds ratio; SE, systemic embolism.
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