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1	Seismic design of non-structural components mounted on
2	irregular reinforced concrete buildings
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14	
15	Abstract
16	The seismic response of non-structural components (NSCs) attached to irregular reinforced concrete (RC)
17	multi-storey buildings is underestimated by current European design provisions. This paper presents a
18	new design model for NSCs, accounting for the effect of torsion and seismic capacity of an irregular RC
19	primary structure (P-structure). The proposed model is a modification of the current Eurocode 8 (EC8)
20	model for the acceleration amplification factor of NSCs. It is based on the results of some 5000 nonlinear
21	dynamic finite element analyses conducted on thirty-three building cases. The finite element analyses
22	covered a wide range of parameters including plan layout, seismic capacity, fundamental vibration period,
23	total height, floor rotation, ground type and eccentricity ratio. The proposed model has been demonstrated
24	to be an improvement over EC8 model, especially for NSCs mounted on the flexible side and in tune with
25 26	the fundamental vibration period of a P-structure.
27	Keywords: design; Eurocode 8; irregular buildings; non-structural components; torsion
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## 30 **1. Introduction**

31 Non-structural components (NSCs) are members or devices attached to a building without 32 substantial contribution to its load resisting system. For instance, architectural elements such as walls, partitions or façades are classified as NSCs along with mechanical and electrical 33 34 devices, also known as acceleration-sensitive components. In the aftermath of earthquake events, it has been recognised that damage to NSCs could significantly affect occupants' 35 quality of life and have drastic consequences on the operation of residential and industrial 36 structures (McKevitt, 2004). Therefore, accurate prediction of the seismic performance of 37 NSCs using seismic codes such as Eurocode 8 (EC8) (2004), ASCE (2010), and UBC (2012) 38 39 is of utmost importance in order to ensure both safety and functionality.

40 Studies on the dynamic behaviour of architectural components attached to primary 41 structures (P-structures) have been reported by Yang and Huang (1993, 1998), Agrawal (1999), Agrawal and Datta (1999a, 1999b), Mohammed et al. (2008), Johnson et al. (2016), Lim et al. 42 (2017), and Sousa and Monteiro (2018). However, our understanding of the behaviour of 43 acceleration-sensitive NSCs attached to irregular reinforced concrete (RC) P-structures is still 44 relatively limited. Lima and Martinelli (2019) examined the mechanical parameters governing 45 the performance of acceleration-sensitive NSCs. Upon performing a nonlinear dynamic study 46 on RC buildings, Petrone et al. (2015) found that EC8 (2004) underestimates the seismic 47 demands of light NSCs for a wide range of excitation frequencies. Focussing on irregular RC 48 multi-storey buildings, Aldeka et al. (2014a, 2014b, 2015) studied the response of acceleration-49 50 sensitive NSCs attached along the heights of irregular P-structures by means of nonlinear 51 dynamic finite element analyses (FEA) and demonstrated that EC8 (2004) underestimates the 52 performance of NSCs. Mohsenian et al. (2019) investigated the seismic demand of non-53 structural components and concluded that currently employed design codes may underestimate 54 the accelerations applied to NSCs by up to 80%. Based on published data, Filiatrault and Sullivan (2014) emphasised the lack of accuracy when designing NSCs under seismic actions. 55 56 Similarly, Martinelli and Faella (2016) presented an overview of seismic code rules suggesting 57 that current design equations could not predict adequately the interaction between the P-58 structures and NSCs. Most recently, Anajafi and Medina, (2018, 2019) examined the floor 59 spectra of instrumented buildings in order to evaluate the effects of torsional flexibility on the 60 seismic design of non-structural components. They concluded that significant torsional response can result in increased NSCs seismic demand, particularly for NSCs at a floor 61

periphery and away from elements of the lateral-force resisting systems. Moreover, they 62 showed that parameters like floor diaphragm flexibility, vertical irregularity in mass and 63 stiffness, and seismic base isolation can affect NSCs accelaration demand. Surana et al. (2018) 64 studied the torsional effects of hill-side RC buildings with irregular configurations and 65 proposed spectral amplification functions that can take into account the effect of the buildings' 66 plan and elevation irregularities on the response of NSCs. In the absence of codified provisions 67 for hill-side buildings, they suggested that the proposed functions could be used for seismic 68 design of acceleration-sensitive NSCs for a given structure, ground motion response spectrum 69 70 and NSC location.

71 Aiming to address the gap in current seismic design codes, this paper presents a new design 72 expression that improves the predictions of the current EC8 (2004) model for the design of 73 acceleration-sensitive NSCs. It takes into consideration the maximum seismic capacity and the torsional behaviour of P-structures. The new design model is calibrated and validated against 74 75 the results of some 5000 nonlinear dynamic FEA of NSCs attached to irregular RC buildings. The design data are presented in Section 3. A proposal for modifying EC8 (2004) design model 76 77 for NSCs is presented in Section 4. The proposed model is assessed in Section 5 on the basis of FEA results for an extensive range of P-structures. 78

#### 79 **2. Research significance**

80 In seismic codification, acceleration amplification factors are used in the design of NSCs to guarantee safe and functional designs. This approach ensures that NSCs of critical importance, 81 82 such as medical, electrical and mechanical equipment, are designed in such a way that they remain fully functional under seismic actions in lifeline structures such as hospitals, power 83 84 plants, and factories. However, the design of acceleration-sensitive NSCs is currently underestimated by design codes. Damage of such NSCs could pose risk to human life and result 85 in significant economic losses. Hence it is deemed essential that NSCs be designed to withstand 86 earthquake action without damage. This paper proposes a new model for the design of 87 88 acceleration-sensitive NSCs that takes into account the effect of the torsional response and the 89 maximum seismic capacity of P-structures.

## 91 3. Design data

This section gives an overview of the numerical results which formed the basis for the development, calibration and validation of a new seismic design model for NSCs. For this purpose, a comprehensive data-set comprising 5194 nonlinear dynamic FEA was utilised. The numerical models cover a series of geometries and parameters, which are presented in Section 3.1. The key results along with the main modelling considerations are briefly described in Section 3.2. Further details on the development of the FE models and numerical implementation can be found in Aldeka *et al.* (2014a, 2014b, 2015).

## 99 **3.1. Geometries and parameters**

100 The main aim of the numerical investigations was to quantify the response of NSCs under seismic actions. Therefore, a wide range of buildings with varying heights, ground types and 101 102 eccentricity ratios were considered from the authors' previous studies (Aldeka et al., 2014a; 103 2014b; 2015), resulting in a total of thirty-three irregular RC P-structures. The NSCs 104 considered were elastic, lightweight, acceleration-sensitive components, such as mechanical equipment found in industrial buildings, electrical components found in commercial buildings 105 or medical equipment found in healthcare centres. The investigated P-structures were 106 107 categorised into four groups of buildings.

108 Group 1 (G1) comprised four irregular three-storey RC P-structures with a common plan layout 109 and a total height of 9 m. G1-1 was designed to resist vertical loads only, whereas G1-2 and G1-3 were designed according to EC8 (2004). Type 1 spectrum for ground type C and a design 110 ground acceleration  $(a_a)$  of 0.15 g and 0.25 g, respectively was employed. The values of the 111 112 over-strength factor ( $\gamma_{Rd}$ ) for G1-2 and G1-3 did not satisfy the global and local ductility requirements recommended by EC8 (2004), hence, G1-4 which conforms to EC8 (2004) 113 Ductility Class M requirements was also included in the group. The concrete class was C25/30 114 and the steel reinforcement was Grade 400 for all G1 buildings except for G1-1 which had steel 115 reinforcement with a nominal yield strength of 459 MPa (Rozman and Fajfar 2009). 116

117 Group 2 (G2) comprised five irregular RC P-structures with the same plan layout and storey

height (i.e., 3 m) as G1 buildings. In this case the total height was modified, resulting in 5-, 7,

- 119 10-, 13- and 15- storey buildings designated as G2-1, G2-2, G2-3, G2-4 and G2-5. The group
- 120 was designed according to EC8 (2004) Ductility Class M requirements using Type 1 spectrum

- for ground type C,  $a_g$  value of 0.25 g and a behaviour factor (q) of 3.45. Concrete Class C25/30 and steel reinforcement Class C S500 were used.
- In Group 3 (G3), the ground type (A, B, D, E) was varied as per EC8 (2004) for four different
- as G1-1 and four different heights ranging from 9 to 45 m were examined resulting in a total

heights. Four irregular RC P-structures (G3-1, G3-2, G3-3 and G3-4) with the same plan layout

- 126 of 16 RC P-structures. An elastic response spectrum consistent with Type 1 was applied. The
- 127 behaviour factor was taken equal to 3.45 and the design acceleration for Type A ground was
- taken equal to 0.25 g.

124

- 129 Finally, in Group 4 (G4), the effect of eccentricity ratio (R) on the response of eight three-
- 130 storey RC buildings was assessed. The investigated P-structures (G4-1 to G4-8) had R values

131 of 0.0, 0.026, 0.060, 0.098, 0.143, 0.205, 0.284, and 0.372, respectively, in two perpendicular

horizontal directions (i.e.  $R_x = R_y$ ). As shown in Fig. 1(b), the P-structures had a single bay of

- 133 5.5 m in both X and Y directions and square column cross-sections. The design of G4 P-
- structures was carried out according to Eurocodes EC1 (2002), EC2 (2004), and EC8 (2004).

The plan layouts of the modelled buildings are shown in Fig. 1, where the eccentricities between their centres of rigidity (CR) and centres of mass (CM) are also shown. In this paper, the eccentricity ratio in a given direction is defined as the static eccentricity in that direction divided by the elasticity radius. Initially, the CM coordinates  $(g_x, g_y)$  were calculated as follows:

$$g_x = \frac{\sum_{N=1}^{i=1} (w_i \cdot x)}{\sum_{N=1}^{i=1} w_i}$$
(1)

$$g_{y} = \frac{\sum_{N}^{i=1} (w_{i} \cdot y)}{\sum_{N}^{i=1} w_{i}}$$
(2)

where N is the number of the structural members,  $w_i$  is the weight of a structural member, and x and y are the member coordinates. Subsequently, the CR coordinates  $(l_x, l_y)$  were calculated as follows using the lateral stiffness of the structural members,  $K_x$  and  $K_y$ :

$$\ell_x = \frac{\sum(K_y, x)}{\sum K_y} \tag{3}$$

$$\ell_{y} = \frac{\sum (K_{x} \cdot y)}{\sum K_{x}} \tag{4}$$

142  $K_x$  and  $K_y$  were calculated using MIDAS Gen ver. 2.1 (2012). The reader is referred to

MIDAS Gen (2012) Analysis Manual for further details. The static eccentricity in a given
direction was calculated as follows:

$$e_x = |\ell_x - g_x| \tag{5}$$

$$e_{\mathcal{Y}} = \left| \ell_{\mathcal{Y}} - g_{\mathcal{Y}} \right| \tag{6}$$

145 The torsional stiffness,  $K_R$  of a structure about its centre of rigidity, CR is given by:

$$K_{R} = \sum (K_{\chi}.\bar{Y}^{2}) + (K_{y}.\bar{X}^{2})$$
(7)

146 where  $\overline{X}$  and  $\overline{Y}$  may be calculated as:

 $\bar{X} = x - \ell_x \tag{8}$ 

$$\bar{Y} = y - \ell_{\gamma} \tag{9}$$

147 The radii of elasticity in the two horizontal directions ( $r_{ex}$  and  $r_{ey}$ ) were calculated as foll 148 ows:

$$r_{ex} = \sqrt{\frac{K_R}{\sum K_x}} \tag{10}$$

$$r_{ey} = \sqrt{\frac{K_R}{\sum K_y}} \tag{11}$$

Finally, the eccentricity ratios  $R_{ex}$  and  $R_{ey}$  in X and Y directions, respectively, were calc ulated as follow:

$$R_{ex} = e_y / r_{ex} \tag{12}$$

$$R_{ey} = e_x / r_{ey} \tag{13}$$

It should be noted that the eccentricity ratios reported in this paper correspond mainly to the top floors of the P-structures. For the analysis and interpretation of the numerical results, the following key characteristic design parameters were evaluated for each group: a) the fundamental vibration periods of the P-structures and the NSCs, b) the maximum seismic capacities of the P-structures and c) the top-floor rotations. A summary of the characteristic design parameters for each model is given in Table 1.



Fig. 1: Plan layouts of modelled P-structures (Aldeka et al., 2014a, 2014b, 2015)

#### 158 **3.2. Modelling considerations and key results**

159 For the thirty-three cases (Aldeka et al., 2014a, 2014b, 2015) presented in Section 3.1, the finite element program MIDAS Gen ver. 2.1 (2012) was employed to conduct nonlinear 160 dynamic analyses. The P-structures were modelled using distributed inelastic fibre elements. 161 The concrete behaviour was defined using the confined and unconfined concrete models 162 proposed by Mander et al. (1988) whereas the steel reinforcement was modelled using the 163 analytical model by Menegotto and Pinto (1973) for cyclic loads. Natural and artificial 164 earthquake records comprising 70 accelerograms were adopted for the selected analyses. 165 REXEL software (Iervolino *et al.*, 2010) from the European Strong-motion Database (ESD) 166 was used to obtain the natural records. SIMQKE code (Gelfi, 2007) was used to generate 167 artificial records. A NSC was modelled as a fixed vertical cantilever with a lumped mass at its 168 169 free end. This approach has been widely adopted in previous studies (see e.g., Sackman and 170 Kelly, 1979; Yang and Huang, 1998; Agrawal, 1999; Mohammed et al. 2008; Chauduri and Villaverde, 2008; Opropeza *et al.*, 2010). The vibration period ( $T_c$ ) of the NSC matched one 171 of the first three vibration periods  $(T_1, T_2, \text{ or } T_3)$  of the P-structure. Based on the 172 recommendation by Graves and Morante (2006), a damping ratio of 3% was employed for the 173 NSCs but further research is recommended to investigate the effect of NSC damping ratio on 174

the accuracy of design model predictions. For further details on the validation of the nonlinear dynamic FE model, the reader is referred to Aldeka *et al.* (2014a). In total, 5194 nonlinear dynamic FEA were carried out and form the basis of the calibration and validation of the new design model presented in this paper.

In Table 1, key results are listed along with the labelling used in the references, the updated 179 notation applied in this paper, and the ground type for each case. In particular, Tc, the 180 fundamental vibration period of the NSCs; and  $T_1$ ,  $T_2$ , and  $T_3$ ; the first three vibration periods 181 of the P-structures, are reported.  $T_1$  and  $T_2$  refer to the translational mode periods whereas  $T_3$ 182 refers to the torsional mode period. For Groups 1 and 4, NSCs with fundamental vibration 183 184 periods similar to the first three vibration periods of the P-structures were considered. In Group 2,  $T_1$  and  $T_2$  were approximately equal hence NSCs with fundamental vibration periods similar 185 to  $T_1$  and  $T_3$  were considered. In Group 3, NSCs with  $Tc = T_1$  were considered. 186

The elastic and maximum  $(F_{SC})$  seismic capacities of the P-structures in [g] are also given 187 in Table 1. The elastic and maximum seismic capacities were calculated using the extended N2 188 189 procedure. This is a simplified nonlinear method for the seismic analysis of plan-asymmetric structures. In the extended N2 procedure, the results of a three-dimensional nonlinear static 190 (push-over) analysis are combined with the results of a modal analysis of a two-dimensional 191 192 model. The extended N2 method has been demonstrated to provide reasonable predictions of the torsional influences in asymmetric structures (Faifar, 2002; Faifar et al., 2005a; Kreslind 193 194 and Fajfar, 2013; Stefano and Pintucchi, 2010).

				E. I	Elastic	Max.	Π	F <sub>T</sub>		$T_C$	
	Labelling of Reference	Notation herein	Ground type	Fund. period, T [s]	Capacity factor	Capacity factor [g]	Top rotation, θ [rad]	<sup>mean</sup> Eq.	$T_1$	$T_2$	$T_3$
					[g]	$F_{SC}$		(14)			
Group 1	Test	G1-1		0.82	0.070	0.26	0.0084	1.36	0.82	0.73	0.65
(Aldeka	Test 0.15	G1-2	C	0.82	0.100	0.46	0.0084	1.36	0.82	0.73	0.65
et al.,	Test 0.25	G1-3	C	0.82	0.120	0.51	0.0077	1.33	0.82	0.73	0.65
2014a)	EC8 M	<u>G1-4</u>	C	0.55	0.135	0.76	0.0038	1.16	0.55	0.52	0.42
Group 2	EC8 M5	G2-1	C	0.66	0.160	0.74	0.0045	1.19	0.66	-	0.51
(Aldeka	EC8 M7	G2-2	C	0.84	0.160	0.69	0.0059	1.26	0.84	-	0.66
et al.,	EC8 M10	G2-3	C	1.17	0.160	0.63	0.0090	1.39	1.17	-	0.92
2014a)	EC8 M13	G2-4	C	1.29	0.170	0.58	0.0106	1.46	1.29	-	1.02
	EC8 M15	G2-5	C	1.39	0.170	0.58	0.0117	1.51	1.39	-	1.12
		G3-1A	A	0.620	0.120	0.69	0.0052	1.23	0.62	-	-
	EC8 M3	G3-1B	В	0.590	0.131	0.72	0.0046	1.20	0.59	-	-
	200110	G3-1D	D	0.470	0.149	0.83	0.0024	1.10	0.47	-	-
		G3-1E	E	0.520	0.143	0.79	0.0029	1.13	0.52	-	-
		G3-2A	А	0.750	0.142	0.64	0.0067	1.29	0.75	-	-
	EC8 M5	G3-2B	В	0.710	0.156	0.68	0.0057	1.25	0.71	-	-
Group 3		G3-2D	D	0.610	0.179	0.78	0.0032	1.14	0.61	-	-
(Aldeka		G3-2E	E	0.660	0.160	0.74	0.0047	1.20	0.66	-	-
et al.,		G3-3A	А	1.250	0.135	0.57	0.0102	1.44	1.25	-	-
2014b)		G3-3B	В	1.220	0.150	0.59	0.0096	1.42	1.22	-	-
20110)		G3-3D	D	1.080	0.178	0.70	0.0057	1.25	1.08	-	-
		G3-3E	E	1.170	0.160	0.63	0.0091	1.39	1.17	-	-
	EC8 M15	G3-4A	А	1.500	0.148	0.50	0.0163	1.71	1.50	-	-
		G3-4B	В	1.450	0.168	0.54	0.0140	1.61	1.45	-	-
		G3-4D	D	1.280	0.192	0.64	0.0081	1.35	1.28	-	-
		G3-4E	Е	1.390	0.170	0.58	0.0117	1.51	1.39	-	-
	Reference $(R_x=R_y=0.000)$	G4-1	С	0.385	0.15	0.57	0.0000	1.00	0.385	0.379	0.261
	Modified 1 $(R_x = R_y = 0.026)$	G4-2	С	0.385	0.14	0.57	0.0003	1.01	0.385	0.379	0.261
<b>C</b> 1	Modified 2 ( $R_x = R_y = 0.060$ )	G4-3	С	0.385	0.14	0.56	0.0007	1.03	0.385	0.379	0.261
Group 4 (Aldeka	Modified 3 $(R_x = R_y = 0.098)$	G4-4	С	0.385	0.14	0.55	0.0013	1.06	0.385	0.379	0.261
<i>et al.</i> , 2015)	Modified 4 ( $R_x = R_y = 0.143$ )	G4-5	С	0.385	0.14	0.55	0.0022	1.10	0.385	0.379	0.261
	Modified 5 ( $R_x = R_y = 0.205$ )	G4-6	С	0.385	0.15	0.55	0.0038	1.16	0.385	0.379	0.261
	Modified 6 ( $R_x = R_y = 0.284$ )	G4-7	С	0.385	0.15	0.54	0.0072	1.31	0.385	0.379	0.261
	Modified 7 ( $R_x = R_y = 0.372$ )	G4-8	С	0.385	0.15	0.54	0.0114	1.49	0.385	0.379	0.261

#### 199 **4. Proposed model for the seismic design of NSCs**

Aldeka *et al.* (2014a, 2014b, 2015) demonstrated that, at the design PGA of the P-structures, EC8 (2004) underestimates the acceleration response of NSCs with  $T_c$  equal to  $T_1$  and attached to the flexible sides of the top floors by about 35%. Similarly, an underestimation of about 52% was observed at the PGA values corresponding to the maximum seismic capacities of the Pstructures. This is attributed to the fact that EC8 (2004) does not explicitly account for the increase in NSCs accelerations caused by the torsional behaviour of the P-structures.

206 In Eq. (14) the relationship between the torsional amplification factor for NSCs accelerations ( $F_T$ ) and the rotation ( $\theta$ ) of the top floor is given, as presented by Aldeka *et al.* 207 208 (2014a), for NSCs with  $T_C$  equal to  $T_I$ . The torsional amplification factor ( $F_T$ ) is defined as the ratio of the peak component acceleration at the flexible side (PCA<sub>xv,FS</sub>) to the corresponding 209 210 value at the centre of the rigidity (PCA<sub>xy,CR</sub>). PCA<sub>xy</sub> is computed as the square root of the sum of the squares of PCA<sub>x</sub> and PCA<sub>y</sub>. PCA<sub>x</sub> and PCA<sub>y</sub> are the peak component acceleration (PCA) 211 212 values in the horizontal x and y directions, respectively. Eq. (14) was used to quantify  $F_T$  for 213 the thirty-three cases reported in Section 3.1 and the results are presented in Table 1.

$$F_T = 43.3\theta + 1.0 \tag{14}$$

Eq. (14) calculates  $F_T$  as a function of  $\theta$  only. However, in the proposed design model (see Eq. (17)), a linear variation of  $F_T$  along the height of a P-structure is considered by multiplying  $F_T$ with z/H (i.e., the relative position of NSCs).

217

Even though the behaviour of NSCs can be influenced by the P-structure torsional response, this is currently not considered by EC8 (2004) provisions. In particular, Section 4.3.5.2 of EC8 (2004) suggests Eq. (15) for calculating the NSC acceleration amplification factor:

$$\frac{S_a}{\alpha S} = \left[\frac{3[1+(z/H)]}{1+[1-(T_c/T_1)]^2} - 0.5\right]$$
(15)

- where
- 222 *S<sub>a</sub>*: seismic coefficient applicable to NSC
- 223  $\alpha$ : the ratio of the design ground acceleration on type A ground to the acceleration of gravity
- 224 S: soil factor (S is taken as 1.0, 1.2, 1.15, 1.35, or 1.40 for ground types A, B, C, D, or E,
- respectively, considering Type 1 elastic response spectrum of EC8)
- 226  $T_C$ : fundamental vibration period of the NSC
- 227  $T_1$ : fundamental vibration period of the P-structure (in the examined direction)

228 *z*: height of the NSC above the level of application of the seismic action and

*H*: building height measured from the level of application of the seismic action.

230 Utilising the FE results of the NSCs attached to Group 1 buildings, the underconservative nature of Eq. (15) is demonstrated in Fig. 2, which shows the variations of the acceleration 231 amplification factor  $(A_n^a)$  with  $T_C/T_1$ . The acceleration amplification factor  $(A_n^a)$  is defined 232 as PCAxy/PGA for the NSCs attached to the flexible sides of the top floors. Fig. 2 also 233 compares the results of the NSCs attached to Group 1 buildings (G1-1, G1-2, G1-3 and G1-4) 234 with the predictions of Eq. (15). Although G1-1, G1-2 and G1-3 had the same fundamental 235 236 periods (see Table 1), their NSCs acceleration response increased with the maximum seismic capacities of P-structures ( $F_{SC}$ ). Eq. (15) conservatively predicted the response of the NSCs 237 238 attached to G1-1, which was designed for gravity loads only. Moreover, as also shown in Fig. 2 and explained in Aldeka *et al.* (2014a, 2014b, 2015), the response of NSCs with  $T_C \approx 0$ s (i.e., 239 rigid NSCs) could be adequately predicted by Eq. (15). However, as can also be observed in 240 Fig. 2, Eq. (15) significantly underestimated the amplification factors for NSCs with  $T_C/T_1$ 241 values in the range of 0.68 to 1.0. Similar trends to those presented in Fig. 2 were reported by 242 Aldeka et al. (2014a, 2014b, 2015). Hence evaluating the NSCs behaviour using only the 243 244 fundamental vibration period can lead to inaccurate estimations. This is more pronounced in Zone 2 than in Zones 1 and 3 (see Fig. 2), where Zones 1, 2 and 3 correspond to  $0 \le T_C/T_1 \le$ 245 0.68,  $0.68 \le T_C/T_1 \le 1.0$ , and  $1.0 \le T_C/T_1 \le 2.5$ , respectively. Hence, Eq. (15) is hereinafter 246 modified in such a way that it better predicts the acceleration response of NSCs attached to 247 248 irregular RC P-structures.



249

Fig. 2: Acceleration amplification factor  $(A_n^a)$  for varying NSC to P-structure period ratio

 $(T_C/T_1)$ 

11

251

In order to take into consideration the torsional amplification factor ( $F_T$ ) along with the maximum seismic capacity ( $F_{SC}$ ) of the P-structure, Eq. (15) is modified based on statistical calibration of the FE results of Group 1 buildings. The parameters  $F_T$  and  $F'_{SC}$  are incorporated into Eq. (16) in such a way that its predictions are in agreement with the FE results of the NSCs in Zone 2 (see Fig. 2).

$$\frac{S_a}{\alpha S} = \left[\frac{6[1 + (z/H)]F_T F_{SC}'}{1 + [1 - (T_c/T_1)]^2} - 0.5\right]$$
(16)

The dimensionless parameter  $F'_{SC}$  is the maximum seismic capacity ( $F_{SC}$ ), as defined in 257 258 Table 1, divided by the acceleration of gravity [g]. In order to avoid overestimating the acceleration response of rigid NSCs (i.e., with  $T_C = 0$ s), which are adequately predicted by Eq. 259 (15) as can be seen in Fig. 2, Eq. (16) is further modified by multiplying the term  $(1 - (T_C/T_I)^2)$ 260 with the term  $(4F_TF'_{SC} - 1)$ . This ensures an acceleration amplification value of 2.5 for rigid 261 NSCs (i.e., similar to that predicted by Eq. (15) as can be seen in Fig. 3). Eq. (16) is futher 262 263 calibrated on the basis of the FE results of the NSCs that are out-of-tune with the first three vibration periods of the P-structures by applying an exponent of 3/5 to the term  $(1 - (T_C/T_I)^2)$ . 264 265 Hence, Eq. (16) can be re-written as follows:

$$\frac{S_a}{\alpha S} = \left[\frac{6[1 + (z/H)]F_T F_{SC}'}{1 + (4F_T F_{SC}' - 1)([1 - (T_c/T_1)]^2)^{3/5}} - 0.5\right]$$
(17)

266 Figs. 3(a) to 3(d) present the variations of the acceleration amplification factor  $(A_n^a)$  with  $T_C/T_1$  for Group 1 buildings. The FE results are compared with the predictions of EC8 (2004) 267 268 (i.e., Eq. (15)) and Eq. (17). It is shown that Eq. (17) provides improved estimations for the NSCs attached to the top floors of G1 buildings. In addition, as shown in Fig. 3(a), Eq. (17) 269 270 correctly predicts lower acceleration response than EC8 (2004) for the case of the NSCs 271 attached to building G1-1. This better prediction is made possible by Eq. (17) taking into 272 consideration the relatively low maximum seismic capacity of building G1-1 (0.26 g). Overall, Eq. (17) provides better estimations compared with EC8 (2004) (i.e., Eq. (15)) for the NSCs 273 274 attached to Group 1 buildings, demonstrating adequate calibration.





# 277 5. Assessment of the proposed design model

In this section, the assessment of the proposed design model (i.e., Eq. (17)) is presented. The proposed design model differs from current EC8 (2004) design provisions (i.e., Eq. (15)) in that Eq. (17) takes into consideration the torsional behaviour and the maximum seismic capacity of the P-structure. Thus, in order to use Eq. (17), the values of  $F_T$  and  $F_{SC}$  are required. For a given P-structure, a pushover analysis gives  $F_{SC}$  together with the rotation ( $\theta$ ) of the top floor. Once  $\theta$  is known, Eq. (14) may be used to calculate  $F_T$ .

In the following sections, the accuracy of the proposed design model is assessed using the FE results of the NSCs attached to the buildings in Groups 2, 3 and 4. The FE results of Group buildings were not used in the assessment because they were used to calibrate the design model.

## 289 **5.1. Effect of P-structure height**

In Group 2, the focus was on the effect of P-structure height on the response of NSCs attached to irregular RC buildings. The suitability of the proposed design model (i.e., Eq. (17)) for

292 predicting the seismic response of the NSCs attached to G2 buildings is assessed in this section.

- Figs. 4-6 compare the predictions of Eq. (17) with the FE-predicted acceleration amplification
- factors  $(A_p^a)$  for the NSCs at the centre of rigidity (CR) and on the flexible side (FS). The
- results are presented as a function of z/H, where z and H are as defined in Section 4.

For rigid NSCs, Fig. 4 shows that the proposed model yields safe predictions for  $A_p^a$  values at 296 the centre of rigidity. The model predictions are mostly accurate for  $A_p^a$  values on the flexible 297 side. For buildings G2-3, G2-4 and G2-5, with 10, 13 and 15 stories, respectively,  $A_p^a$  values 298 at the lower third of the buildings are slightly underestimated (by a maximum value of 17%) 299 compared with the FE results. In order to prevent any damage in these cases, it is suggested 300 that the design of NSCs is performed using  $A_p^a$  values at z/H = 1.0. As illustrated in Fig. 5, the 301 predictions of the proposed model provides an upper bound on  $A_p^a$  values for the NSCs with 302 303  $T_C$  equal to  $T_I$ . For the NSCs with periods equal to the torsional fundamental period of the Pstructure (i.e.,  $T_C=T_3$ ), as depicted in Fig. 6, the predictions for the flexible sides are mainly 304 305 accurate, especially at upper floors, whereas for the NSCs at the centre of rigidity, a conservative outcome is observed. 306





Fig. 5: Comparison between FE-predicted acceleration amplification factors  $(A_p^a)$  and predictions of Eq. (17) for NSCs with  $T_C=T_I$ 



Fig. 6: Comparison between FE-predicted acceleration amplification factors  $(A_p^a)$  and predictions of Eq. (17) for NSCs with  $T_C=T_3$ 

317 Table 2 shows the comparison between EC8 (2004) and Eq. (17) predictions for the NSCs with  $T_C = T_1$  and attached to the flexible side of the top floors of G2 buildings. To demonstrate 318 the improved safety offered by the proposed model over that offered by EC8 (2004) model, the 319 320 predictions of the two design models are compared with the NSCs acceleration results at PGA values corresponding to the maximum sesismic capacities of G2 buildings. Table 2 shows that, 321 for the considered NSCs, EC8 (2004) underestimates the peak acceleration response by 50% 322 323 on average. On the contrary, Eq. (17) offers improved performance and the predictions of the NSCs peak accelerations are improved by 41% on average (i.e., an average analytical-to-FE 324 ratio of 91%). Overall, Figs. 4-6 and Table 2 demonstrate clearly the suitability of Eq. (17) for 325 326 predicting the seismic response of NSCs attached to irregular RC P-structures with different 327 heights.

Table 2: Comparison between EC8 (2004) and Eq. (17) predictions for NSCs with  $T_C = T_1$ and attached to the top floors of irregular RC buildings with different heights.

Building	PCA <sub>xy</sub> (FEA) [g]	<i>S<sub>a</sub></i> (EC8) [g]	<i>S<sub>a</sub></i> (Eq. (17)) [g]	$\frac{S_a(EC8)}{PCA_{xy}(FEA)}$	$\frac{S_a(Eq.(17))}{PCA_{xy}(FEA)}$
G2-1	3.17	1.58	2.89	0.50	0.91
G2-2	3.05	1.58	2.86	0.52	0.94
G2-3	3.20	1.58	2.88	0.49	0.90
G2-4	3.10	1.58	2.78	0.51	0.90
G2-5	3.15	1.58	2.88	0.50	0.91
Average				0.50	0.91
Standard de	viation		0.01	0.02	

# 331 **5.2. Effect of ground type**

The effect of various ground types was also considered for the assessment of the proposed design model. This is based on the FE results of Group 3 where the effect of ground types A, B, D and E on the seismic response of NSCs attached to the flexible side of the top floors of G3 buildings was studied.

336 Table 3 shows the comparison between EC8 (2004) and Eq. (17) predictions for the NSCs with  $T_C = T_1$  at PGA values corresponding to the maximum sesismic capacities of G3 buildings. 337 338 As can be seen in Table 3, Eq. (17) is much safer than EC8 (2004) model. Eq. (17) underestimates the peak acceleration response of the NSCs attached to the buildings on ground 339 340 types A, B, and D by about 16%, 10%, and 13% on average, respectively. For ground type E, 341 Eq. (17) overestimates the peak acceleration response by approximately 9% on average. On the 342 contrary, EC8 (2004) model underestimates the peak response of the NSCs for the four investigated ground types by 39 to 45%. Overall, Eq. (17) has a mean predicted-to-FE ratio of 343 344 0.93 and a standard deviation of 0.10 whereas EC8 (2004) has a corresponding values of 0.52 and 0.05, respectively. 345 346

Table 3: Comparison between EC8 (2004) and Eq. (17) predictions for NSCs with  $T_C = T_1$ 

and attached to the to	n floors of impositor DC	huildings on differe	nt around turned
and attached to the to	p floors of irregular RC	buildings on annele	ni ground types.

Duilding	$PCA_{xy}$	$S_a$ (EC8)	<i>S<sub>a</sub></i> (Eq. (17))	$S_a(EC8)$	$S_a(Eq.(17))$	
Building	(FEA) [g]	[g]	[g]	$\overline{PCA_{xy}(FEA)}$	$\overline{PCA_{xy}(FEA)}$	
G3-1A	G3-1A 2.92		2.42	0.47	0.83	
G3-1B	3.37	1.65	2.96	0.49	0.88	
G3-1D	4.11	1.86	3.53	0.45	0.86	
G3-1E	3.34	1.93	3.57	0.58	1.07	
G3-2A	2.85	1.38	2.35	0.48	0.82	
G3-2B	3.25	1.65	2.91	0.51	0.90	
G3-2D	3.99	1.86	3.43	0.47	0.86	
G3-2E	3.22	1.93	3.55	0.60	1.10	
G3-3A	2.82	1.38	2.34	0.49	0.83	
G3-3B	3.21	1.65	2.87	0.51	0.89	
G3-3D	3.95	1.86	3.38	0.47	0.86	
G3-3E	3.24	1.93	3.50	0.60	1.08	
G3-4A	2.73	1.38	2.44	0.51	0.89	
G3-4B	3.18	1.65	2.98	0.52	0.94	
G3-4D	3.80	1.86	3.33	0.49	0.88	
G3-4E	3.15	1.93	3.50	0.61	1.11	
Average				0.52	0.93	
Standard deviation0.050.10						

## 349 **5.3. Effect of eccentricity ratio of the P-structure**

Further to previous sections, the accuracy of the proposed model is herein assessed against 350 351 the FE results of NSCs attached to RC buildings with different eccentricity ratios. Fig. 7 presents the variation of  $A_p^a$  values on the flexible side (FS) of G4 buildings at PGA values 352 corresponding to the elastic seismic capacities of the P-structures. Fig. 7 also compares the 353 predictions of the proposed model and EC8 (2004) with the FE results. For G4-1 with  $R_x = R_y$ 354 = 0 (i.e., regular building), both design models give safe predictions at  $T_C = T_I$ , with the 355 proposed model overestimating the  $A_p^a$  value at  $T_c = T_1$  by 14.9%. However, with increasing 356 the eccentricity ratio from 0.026 (G4-2) to 0.372 (G4-8), EC8 (2004) model increasingly 357 underestimates  $A_p^a$  values at  $T_c = T_1$  from 9.4% (G4-2) to 36.9% (G4-8). Conversely, the 358 proposed model gives consistently accurate predictions at  $T_C = T_1$  for all irregular buildings, 359 360 with a mean predicted-to-numerical ratio of 1.04 and a standard deviation of 0.03. As explained earlier in this paper, EC8 (2004) model does not take into consideration the effect of P-structure 361 362 torsional behaviour and therefore underestimates the acceleration response of the NSCs attached to irregular buildings. On the other hand, the accurate predictions of Eq. (17) confirm 363 364 that the proposed model adequately considers the effect of P-structure torsional behaviour.



Fig. 7: Comparison between acceleration amplification factors  $(A_p^a)$  and the predictions of Eq. (17) for NSCs attached to G4 buildings

#### 369 6. Conclusions

This paper presents a new Eurocode-based model for the design of NSCs attached to irregular RC P-structures. The propsed model accounts for both the torsional behaviour and the maximum seismic capacity of an irregular RC P-structure. The new model is based on the results of more than 5000 nonlinear dynamic FEA of NSCs attached to irregular RC Pstructures with different plan layouts, seismic capacities, total heights, ground types and eccentricity ratios. A subset of the FE results was used to calibrate the proposed model and another subset was used for model validation purposes.

Comparison between the FE results and EC8 (2004) predictions showed that, under tuned conditions, EC8 (2004) design model underestimates the acceleration response of NSCs on the flexible side of irregular RC P-structures. The gap between the FE results and EC8 (2004) predictions increased from 9.4 to 36.9% with increasing the eccentricity ratio from 0.026 to 0.372. On the other hand, the proposed design model has been demonstrated to be an
improvement over EC8 (2004) design provisions, particularly for NSCs on the flexible side
and in tune with the fundamental vibration period of the P-structure.

A parametric study was carried out to assess the effect of P-structure height, ground type, and eccentricity ratio of the P-structure on the accuracy of the predictions of the proposed model. For the vast majority of cases, the proposed model provided safe estimates for the acceleration response of NSCs attached to different heights. For NSCs in tune with the fundamental vibration periods of the P-structures, the proposed model accurately predicted the variation of NSCs acceleration response with ground type or P-structure eccentricity ratio with mean predicted ratios of 0.93 and 1.04, and standard deviations of 0.10 and 0.03, respectively.

# **Declaration of competing interest**

392 The authors declare that they have no known competing financial interests or personal 393 relationships that could have appeared to influence the work reported in this paper.

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