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Multisensory Collaborative Damage Diagnosis of a 10MW Floating Offshore Wind Turbine Tendons using Multi-Scale Convolutional Neural Network with Attention Mechanism

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Abstract:

An effective damage diagnosis and prognostic management method can considerably reduce operation and maintenance costs of floating wind turbines. In this research, an intelligent damage diagnosis framework, named “MS-ACNN”, has been developed using a multi-scale deep convolution neural network model fused with an attention mechanism. The framework is used to detect, localize, and quantify existing and potential damages on multibody floating wind turbine tendons. The MS-ACNN framework is fitted with two multi-scale extractors, designed to capture multi-scale information from raw wind turbine response signals measured using multi-sensor. The attention mechanism uses weight ratios of extracted damage feature to enhance the MS-ACNN’s capability in offering a better generalization in damage diagnosis. The framework’s performance is examined under normal and noisy environments and with a diagnosis accuracy of 80%, which is higher than those obtained using most generic industrial grade diagnostic tools (MS-CNN-I, MSCNN-II, CNN, CNN-LSTM and CNN-BiLSTM) by at least 10%. The framework is also fitted with a Majority Weighted Voting rule to reduce false alarms and ensure optimum performance of the multi-sensor during collaborative diagnosis.

24 Further examination shows that the inclusion of a voting rule increases the diagnostic performance's
25 F1 index from 90% and 84% for single- and multi-sensor results to 94%.

26 **Keyword:** FOWT, deep learning, structural health monitoring, damage diagnosis, multisensory,
27 maintenance

28 **1. Introduction**

29 Large-scale Floating Offshore Wind Turbines (FOWTs) are increasingly becoming the platforms
30 of choice in offshore clean power generation in order to meet the global target for net zero emission.
31 Future concepts of FOWTs are expected to be of multi-body types, consisting of upper (platform base)
32 and lower (stabilization) tanks for better station-keeping and improved performance. These upper and
33 lower platforms need multiple tendons to connect them together for stability, safety, and reliability
34 purposes [1]. Structural health of the tendons is a prerequisite for safe and stable FOWTs operation.
35 Consequently, intelligent damage diagnosis of tendons including damage localization and
36 quantification is crucial to reducing the maintenance costs [2], [3], [4]. For example, lack of early
37 damage diagnosis of tendons in the first-generation tension leg (TLP) platform has been responsible
38 for tendons' failure and total collapse of the platform [5], [6], [7]. Although modern tension leg
39 platforms are fitted with different kinds of diagnostic tools, recent experience and practice show that
40 these tools cannot be effectively used to diagnose the tendons of FOWTs due to fundamental
41 differences in their operating principles. For example, a 10MW FOWT has a concentrated mass of the
42 nacelle on a slender tower and a wind turbine rotor that is highly sensitive to wind loads, leading to
43 completely different dynamic responses from wind-wave coupling loads to TLP [8]. For such types of
44 structures, the failure probability of FOWT tendons is significantly increased, making it more
45 challenging to achieve optimal safety and reliability for large-scale FOWTs. An effective solution to
46 overcoming these potential challenges requires the development and application of intelligent

47 diagnosis tools, as part of a prognostic and health management (PHM) framework for predictive
48 maintenance. An intelligent diagnosis is a precursor to developing a real-time structural health and
49 operation monitoring and offers the most viable solution to unlocking the huge market potentials of
50 deep-water offshore wind turbines. PHM makes it possible to adopt an appropriate maintenance mode
51 for fundamental components of FOWT structures based on its operating condition (condition-based
52 maintenance) [9]. Consequently, developing a robust PHM framework can lead to a reduced downtime
53 for maintenance, thereby significantly improving operational reliability and reducing maintenance
54 costs [10].

55 Damage detection, being the first step in PHM, has a main goal of achieving early identification
56 of potential structural changes or damage as part of the real-time monitoring of FOWT tendons' state
57 of health. The significance of early damage detection is that degradation of FOWT tendons' structural
58 strength can be timely detected in order to avoid serious faults [11], [12]. This can considerably reduce
59 the costs of maintenance, increase safety and reliability thresholds, and offers immediate benefits in
60 industrial engineering application.

61 Current damage diagnosis practice involves the conduct of repeated simulations in order to detect
62 damages on a wind turbine. Majority of existing methodologies for damage detection are based on
63 data-driven methods rather than model-based methods. This is because model-based methods depend
64 on the use of precise mathematical models, which largely limits the accuracy of detection due to high
65 requirement for modeling accuracy. Data-driven methods have advantages in extracting knowledge
66 from historical data, which can be used for fault diagnosis without needing a precise mathematical
67 model. These are some of the reasons data-driven methods are becoming more widely used in detecting
68 faults and conducting diagnosis for FOWT components and systems, such as blades, tower, and
69 tendons or the entire FOWT platform. Generally, data-driven techniques have been made possible by
70 advancements in big data technology like the machine learning techniques. Currently, most of machine

71 learning techniques, including Deep Learning (DL), are being investigated for application in detecting
72 damages and conducting a credible fault diagnosis.

73 Liu *et al* [13] established a neural network (NN) based damage detection model that is trained by
74 FEM-simulated structural damage datasets. Nguyen *et al* [14] adopted a similar approach in their study
75 by using a numerical simulation to calculate a damage dataset of wind turbine support structures. They
76 used a neural-network-based Structural Health Monitoring (SHM) method for training the model using
77 both time domain and frequency domain data. The results indicated that frequency-domain signals
78 when used as training data for training a NN model have better diagnostic performance. Devilis *et al.*
79 [15] used a PHM method developed based on a shallow neural network to study wind turbines' key
80 structural components. The results confirmed that the NN-based PHM model can detect potential
81 damages before their onset, or they developed into a larger visible crack.

82 The above damage detection methods are classed as machine learning methods developed based
83 on conventional neural network algorithms but not deep neural networks. However, these methods
84 have some inherent limitations in their application to PHM method when it comes to how artificial
85 feature-extractions and the lack of generalization capability in the models are handled. The pre-
86 processing of features and pattern recognition are supreme requirements in feature extraction
87 engineering because they determine the upper limit of pattern recognition performance [16]. DL can
88 achieve feature extraction and state classification at a faster rate than most of the conventional machine
89 learning methods in existence. This makes its application unique in the development of an effective
90 PHM strategy for floating offshore wind turbines operating in locations where access for inspection
91 and maintenance is often very limited and costly. In addition, this offers a break-through in overcoming
92 the limitations of traditional machine learning algorithms in PHM [17].

93 Therefore, using the DL technology to develop an intelligent FOWT tendons damage detection
94 for PHM is extremely competitive. Choe *et al* [18] combined Long Short-Term Memory (LSTM) and

95 Gated Recurrent Unit (GRU) networks to establish a FOWT damage monitoring model. The results
96 show that using the DL technique to develop a PHM model offers a better generalization performance
97 than using a shallow learning method. Xiang *et al.* [19] developed an end-to-end wind turbine damage
98 recognition model for SHM by combining the convolutional networks and recurrent neural networks
99 together. The results indicate that using Convolution Neural Network (CNN) to extract features is more
100 effective than artificially extracting the features. Yang *et al.* [20] used a convolutional network to
101 segment the image information of wind turbine structural damage and went on to establish a diagnosis
102 model based on pixelated features rather than raw vibration signals.

103 DL models are particularly efficient in developing an intelligent diagnosis framework because of
104 their ability to use both limited and raw vibration signals from a sensor. For large structures such as
105 FOWTs, a single sensor cannot optimally meet the demand for monitoring all the key structural
106 components. Consequently, a new method to effectively monitor multibody platform must use multi-
107 sensor. It must equally consider the impact of maintaining the sensory architecture on FOWTs. Thus
108 far, only limited studies on the application of the DL technique to develop diagnosis models based on
109 multisensory approach to design a PHM framework for FOWT monitoring and maintenance have been
110 reported. These studies largely focused on using multi-sensor SHM methods for wind turbine gearbox
111 diagnosis and maintenance [21], [22], [23], [24]. In the studies, DL algorithms were designed for high-
112 frequency vibration signals, which is unsuitable for application in FOWTs due to their dynamic
113 response signals having long response periods. In addition, the influence of feature-fusion on the
114 performance of a PHM method in multi-sensor method has not been considered in their research. This
115 is very critical to having rational decision-making and information fusion methods in order to develop
116 an intelligent diagnosis framework [25].

117 From the above literatures, it is evident that intelligent diagnosis algorithms require robust feature
118 extraction and pattern recognition capability for successful application in predictive maintenance. This

119 can be achieved by using a combination of the DL algorithm and attention mechanism to develop an
120 intelligent diagnosis for PHM and maintenance of FOWTs. The combination of a DL with an attention
121 mechanism offers better potential for application in FOWT damage detection than other shallow
122 machine learning algorithms. This is because the combined capability, following the incorporation of
123 an attention mechanism, provides solutions to the use of limited data and the possibilities for intelligent
124 feature extraction. Research on multi-sensor collaborative work to achieve a comprehensive FOWT
125 diagnosis and predictive maintenance shows that both the good generalization of the learning model
126 and the rationality of the collaborative strategy jointly determine the reliability and superiority of an
127 intelligent PHM method. Therefore, considering the key influencing factors of the above-mentioned
128 intelligent PHM method for FOWTs, this study has made the following main contributions:

129 (1) Development of multi-scale modules fused with a CNN model and an attention mechanism,
130 consisting of multiple multi-scale parallel convolution modules of different depths. The module is
131 designed to capture multi-scale information from responses with different degrees of freedom (DOF)
132 in order to automatically realize the multi-scale feature extraction and improve the neural-network-
133 based model's performance.

134 (2) Establishing the effectiveness of using FOWT's different DOF responses in training the MS-
135 ACNN model and the resulting impact on the accuracy of the collaborative multisensory module. This
136 was achieved by using a dataset of FOWT tendons with potential damages by accounting the impacts
137 of fully coupled wind-wave loads on a FOWT.

138 (3) Incorporation of a majority weighted hard-voting rule, using a Particle Swarm Optimization
139 (PSO) algorithm, to fuse outputs from the MS-ACNN model in the decision-making level to ensure
140 that the performance of multisensory feature fusion can improve the robustness of the intelligent
141 diagnosis method of FOWTs.

142 **2. Modelling Structural Damage on Floating Offshore Wind Turbine Tendons**

143 Details of the FOWT model used in this study and methodology for modelling tendon damages
144 are provided in this section.

145 **2.1. The structural model of the 10MW multi-body Floating Offshore Wind Turbine**

146 In this study, a 10MW multibody TELWIND floating wind turbine structure is used to support
147 the 10MW DTU baseline wind turbine. A model of the 10MW TELWIND platform is presented in
148 Figure 1.

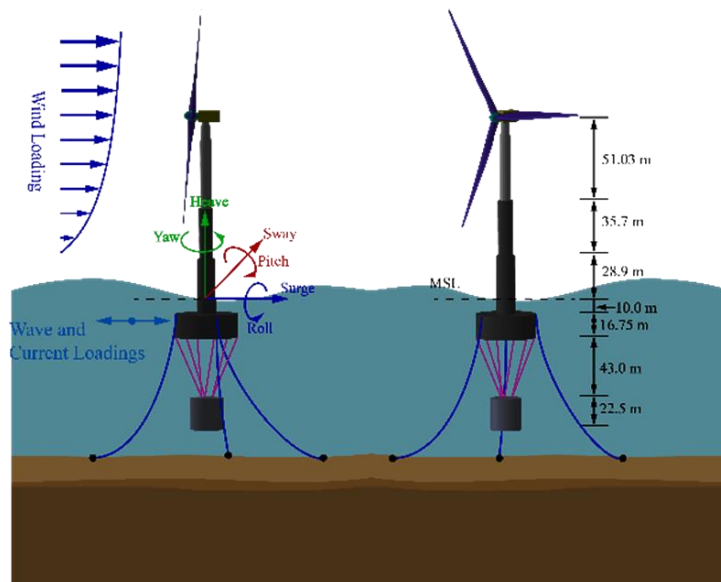


Figure 1: A model of the 10 MW TELWIND wind turbine

149 The 10 MW TELWIND FOWT is designed for application in 110 m water depth or deeper
150 offshore locations. For station-keeping purposes, the mooring lines configuration has been modified
151 for application in the selected location. The platform consists of an upper tank (UT) and a lower tank
152 (LT). The UT is located at 10 m below mean water level (MWL) and has a 16.75 m draught. The LT's
153 draught is 22.5 m. The platform has a combined total draught of 92.25 m. Both UT (diameter of 44.5
154 m) and LT (diameter of 23 m) have a cylindrical geometry. The length of each tendon is 48.81 m with
155 a diameter of 0.271 m.

156 **2.2 Modelling of damage scenarios**

157 The dynamic response of the 10 MW FOWT structure which consists of a cylindrical platform's
 158 base (Upper Tank), a ballast tank (Lower Tank) connected by tendons (6) and the mooring lines for
 159 station-keeping are simulated as a coupled system. This is done to obtain the requisite datasets for
 160 different tendon damage scenarios needed for the damage diagnosis and PHM.

161 In order to ensure that the predicted response data accurately represents the dynamics of the
 162 prototype TELWIND FOWT, a coupled (FAST and AQWA, F2A) numerical tool is used to conduct
 163 the FOWT aero-hydro-servo-elastic analysis. The framework of the coupling tool is presented in
 164 Figure 2.

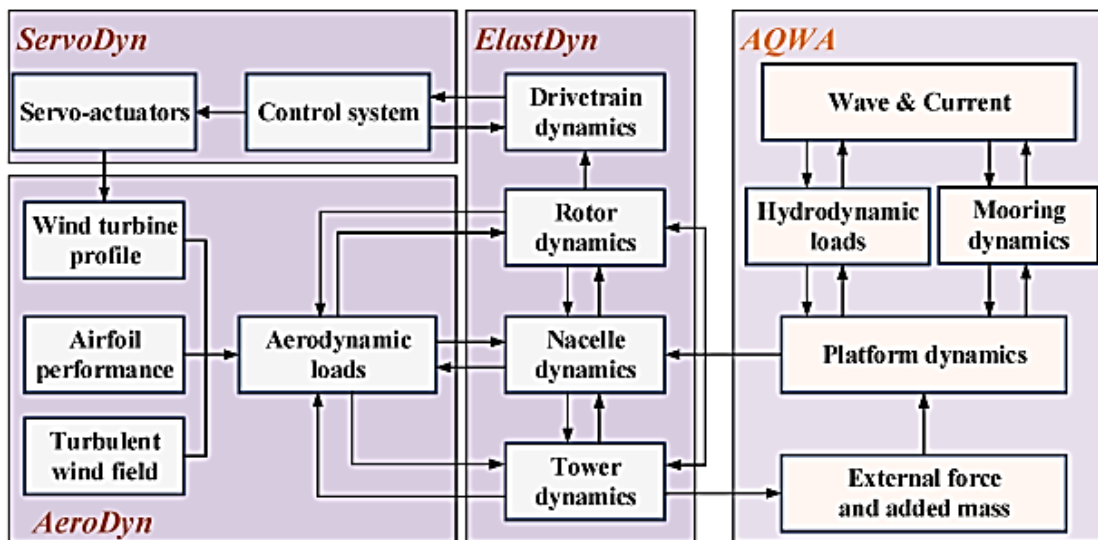


Figure 2: Flowchart of F2A Module

165 AeroDyn, ElastDyn and ServoDyn modules of FAST are integrated in AQWA using a dynamic
 166 link library (DLL) in order to calculate the wind turbine platform's response based on solutions of
 167 dynamic equation of motion. The coupled numerical tool is designed to capture the platform's
 168 responses in all DOFs. The tool can conduct arbitrary simulation of the damage scenarios in a coupled
 169 mode with F2A as described in ref [26]. The significance of the coupled analysis is to ensure that
 170 platform responses are included in the real-time prediction of tendons' responses based on cable

171 dynamics using finite element method. Each tendon is discretized into finite lengths with their
 172 individual masses applied at the centroid of the unit element as concentrated mass. Figure 3 shows a
 173 diagram of the forces acting on a unit tendon length.

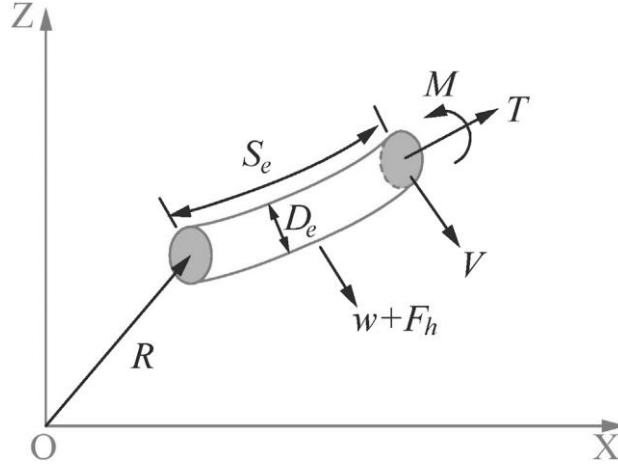


Figure 3: Forces and moment on a discretized tendon element

174 Dynamic responses of the tendon modelled as a cable element are calculated using Equation 1.

$$\begin{cases} \frac{\partial \mathbf{T}}{\partial S} + \frac{\partial \mathbf{V}}{\partial S} + \mathbf{w} + \mathbf{F} = m \frac{\partial^2 \mathbf{R}}{\partial t^2} \\ \frac{\partial \mathbf{M}}{\partial S} + \frac{\partial \mathbf{R}}{\partial S} \times \mathbf{V} + \mathbf{q} = \mathbf{0} \end{cases} \quad (1)$$

175 where \mathbf{T} and \mathbf{V} are the tensile force and shear force vectors acting on the node of a unit tendon length,
 176 respectively; \mathbf{R} is the unit tendon length's position vector. S denotes the un-stretched length of a unit
 177 tendon in an unloaded condition; \mathbf{w} and \mathbf{F} are the respective unit weight and hydrodynamic load acting
 178 on the tendon element; \mathbf{M} is the nodal bending moment vector acting on the unit tendon's node; \mathbf{q} is
 179 the unit tendon's distributed moment per length.

180 The nodal bending moment and tensile force acting on each unit element are calculated using
 181 Equation 2.

$$\begin{cases} \mathbf{M} = EI \frac{\partial \mathbf{R}}{\partial S} \times \frac{\partial^2 \mathbf{R}}{\partial S^2} \\ \mathbf{T} = EA \varepsilon \end{cases} \quad (2)$$

182 where ε is the stretched length; EA and EI are the corresponding nodal axial and bending stiffnesses

183 acting on a unit tendon element. The tendon stiffness is equal to zero in the event of a failure occurring
 184 at any specific instant or during the examination of the tendon breakage scenario.

185 All six tendons have been modelled to have damage magnitudes ranging from 5% to 50% and
 186 they are accordingly simulated to develop a potential damage dataset of the tendon structure. The
 187 potential damage is defined by changes in the tendon stiffness. The dataset includes six DOF responses
 188 of the tendon systems, recorded as displacement, velocity, and acceleration on the upper tanks of the
 189 coupled FOWT system. However, only the acceleration dataset was used for the damage diagnosis
 190 study. Detailed wind-wave conditions used in the simulations of tendon damages are presented in Table
 191 1. The observed wind-wave conditions data were measured from 2011 to 2016 [27].

Table 1: Details of wind-wave conditions for the FOWT dataset prediction.

Value	Values /(Probabilities)			
Wind direction / (°)	120.6 / (23.6%)	233.1/ (76.4%)		
Wind speed /(m/s)	7.8 / (27.8%)	10.0 / (33.3%)	12.0 / (25.0%)	14.2 / (13.9%)
Significant wave height /(m)	1.8 / (25.0%)	2.4 / (33.3%)	3.6 / (41.7%)	
Spectral peak period /(s)	4.4 / (44.4%)	5.7 / (23.6%)	7.0 / (32.0%)	
Current direction / (°)	96.8 / (51.4%)	275.6 / (48.6%)		
Current speed /(m/s)	0.22 / (100%)			

192 Simulations of the coupled 10MW platform to predict the tendon damages are conducted based
 193 on two wind directions, 120.6° and 233.1° with corresponding probabilities of occurrence of 23.6%
 194 and 76.4%. Four wind speeds are selected to generate the unsteady based on Kaimal wind spectrum
 195 wind by using NREL TurbSim. For the wave conditions, three main significant wave heights with their
 196 corresponding peak periods have been used in the simulations. In addition, the simulation considered
 197 a constant current speed by including the effects of current acting in two directions, 96.8° and 275.6°
 198 with corresponding probabilities of occurrence of 51.4% and 48.6% respectively. The parameters
 199 setting is further described in ref. [1].

200 3. Principles of the Potential Tendon Damage Detection Methodology

201 In this study, parallels of 1-D convolutional neural network modules with different network depth,

202 including pooling and activation layers, batch normalization and fully connected layer, are used as a
 203 multi-scale unit in the module for features extraction. The multi-scale feature extractor module is
 204 composed of parallel convolution groups designed to directly extract features from the FOWT response
 205 signals without a need for an intervening algorithm. This process eliminates a requirement for manual
 206 operation, making the whole algorithm self-adaptive.

207 **3.1 The multi-scale feature-extractor**

208 The newly designed feature extractor unit is composed of convolution, pooling, activation and
 209 batch normalization algorithms fused together to provide optimum performance. The mathematical
 210 formulas governing the design of the algorithms and their corresponding functions are given as follows.

211 In the convolution unit, the convolutional process is given by Equation 3, in which \mathbf{K}_i^l is the i^{th}
 212 filter in the pooling and activation layers l . $\mathbf{X}^{l(R^j)}$ is the j^{th} local area in the convolutional layer l .

$$y^{l(i,j)} = \mathbf{K}_i^l \cdot \mathbf{X}^{l(R^j)} = \sum_{j'=0}^W \mathbf{K}_i^l(j') \mathbf{X}^{l(j+j')} \quad (3)$$

213 where $y^{l(i,j)}$ is the dot product of convolution kernel and the local area. W denotes the width of the
 214 convolution kernel and $\mathbf{K}_i^l(j')$ represents the j^{th} weight of the convolutional layer's kernel l .

215 In order to enhance the capability of the algorithm to capture and express non-linearity in the
 216 input signal and make its learned features more easily identifiable, an activation function, Rectified
 217 Linear Unit (ReLU), is integrated into the algorithm and placed immediately after the convolutional
 218 layer. A mathematical representation of the ReLU activation function is given by Equation 4:

$$a^{l(i,j)} = f(z^{l(i,j)}) = \max\{0, z^{l(i,j)}\} \quad (4)$$

219 where $z^{l(i,j)}$ denotes the Batch Normalization (BN) output array and $a^{l(i,j)}$ represents the activation
 220 function of $z^{l(i,j)}$.

221 The BN technique is introduced before the pooling operation to ensure that the network training

222 is efficiently accelerated and potential problems of gradient disappearance, which are typically caused
 223 by an activation function, are eliminated. The BN technique includes an n -dimensional array
 224 ($\mathbf{y}^l = (y^{l(1)}, y^{l(2)}, \dots, y^{l(n)})$ up to the l^{th} BN layer), represented as $\mathbf{y}^{l(i)} = (y^{l(i,1)}, y^{l(i,2)}, \dots, y^{l(i,n)})$ and
 225 $\mathbf{y}^{l(i)} = y^{l(i)} = y^{l(i,1)}$ when the BN layer is changed from its initial position before the pooling operation
 226 unit to a new position just after convolutional and fully connected layers. A general equation for
 227 calculating the BN operation is given as follows by Equation 5 and it is a sub-component in Equations
 228 6 - 7:

$$\hat{y}^{l(i,j)} = \frac{y^{l(i,j)} - \mu}{\sqrt{\sigma^2 + \varepsilon_s}}, z^{l(i,j)} = \gamma^{l(i)} \hat{y}^{l(i,j)} + \beta^{l(i)} \quad (5)$$

$$\mu = \frac{1}{n} \sum_{i=1}^n y^{l(i,j)} \quad (6)$$

$$\sigma^2 = \frac{1}{n} \sum_{i=1}^n (y^{l(i,j)} - \mu)^2 \quad (7)$$

229 where $z^{l(i,j)}$ is the output of a neuron. μ and σ^2 are respectively the mean and variance of $y^{l(i,j)}$. ε_s
 230 is a negligible constant added to stabilize the calculation and prevents it from becoming invalid when
 231 the variance is zero. $\gamma^{l(i)}$ and $\beta^{l(i)}$ are the respective scale and shift parameters to be learned from the
 232 extracted features.

233 Another important component of the algorithm is the pooling layer, also referred to as the down-
 234 sampling layer. The pooling layer is significant because it provides the algorithm with capability of
 235 reducing the dimensional lengths and the number of parameters to be learned in the extracted features
 236 within the neural network. The algorithm used in this research adopted the maximum pooling
 237 technique instead of the average pooling technique (both of which are commonly available). The
 238 mathematical representation of the selected pooling technique is presented in Equation 8.

$$p^{l(i,j)} = \max_{(j-1)W+1 \leq t \leq jW} \{a^{l(i,t)}\} \quad (8)$$

239 where $a^{l(i,t)}$ denotes the t^{th} neuron value in the i^{th} framework of layer l ; the width of the pooling size
 240 is represented by W ; $p^{l(i,j)}$ is the corresponding value of the neuron in layer l of the pooling unit,

241 and $t \in [(j-1)W+1, jW]$.

242 Features extracted by the convolution layer have probability distributions from each intrinsic
243 mode function (IMF) that are directly transmitted into the fully connected layer for the purpose of
244 feature classification. The resulting output from the classification is accordingly grouped into a
245 probability entity by the softmax function φ , defined by Equation 9 as:

$$\varphi(u_c) = \frac{e^{u_c}}{\sum_{c=1}^T e^{u_c}}, c = 1, 2, \dots, T \quad (9)$$

246 where $\varphi(u_c)$ is a T -dimensional probability vector, which represents the probability distribution under
247 T^{th} test scenario, u_c denotes the extracted output from each one dimension (1-D) CNN.

248 Following a motivation by Zhao and Jiang's studies [28], [29], this research uses a large
249 convolution kernel because of its good receptive field that can be controlled by the size of the kernel.
250 However, Zhao and Jiang's studies merged the advanced features on the first and last layers, which
251 made it unsuitable for application in FOWTs because of its inherently slow response cycles. Therefore,
252 in the proposed multi-scale feature extractor developed in this research, the depth of the network has
253 also become a factor for the model to control the advancement of multi-scale features. In addition, the
254 model is fitted with an attention mechanism to equip it with capability to evaluate the contributions of
255 the advanced fault or damage features that correspond to each extracted features from the multi-scale
256 feature extractor [30]. The advanced channel features are adaptively weighted by the attention
257 mechanism. The weighted features are fused and fed into the classification layer for the purpose of
258 calculating their respective probabilities.

259 A schematic representation of the MS-ACNN model with the designed multi-scale feature
260 extractor is presented in Figure 4. The FOWT acceleration responses used for the damage diagnosis
261 are the response signals on the tendons measured based on relative responses of UT and LT.

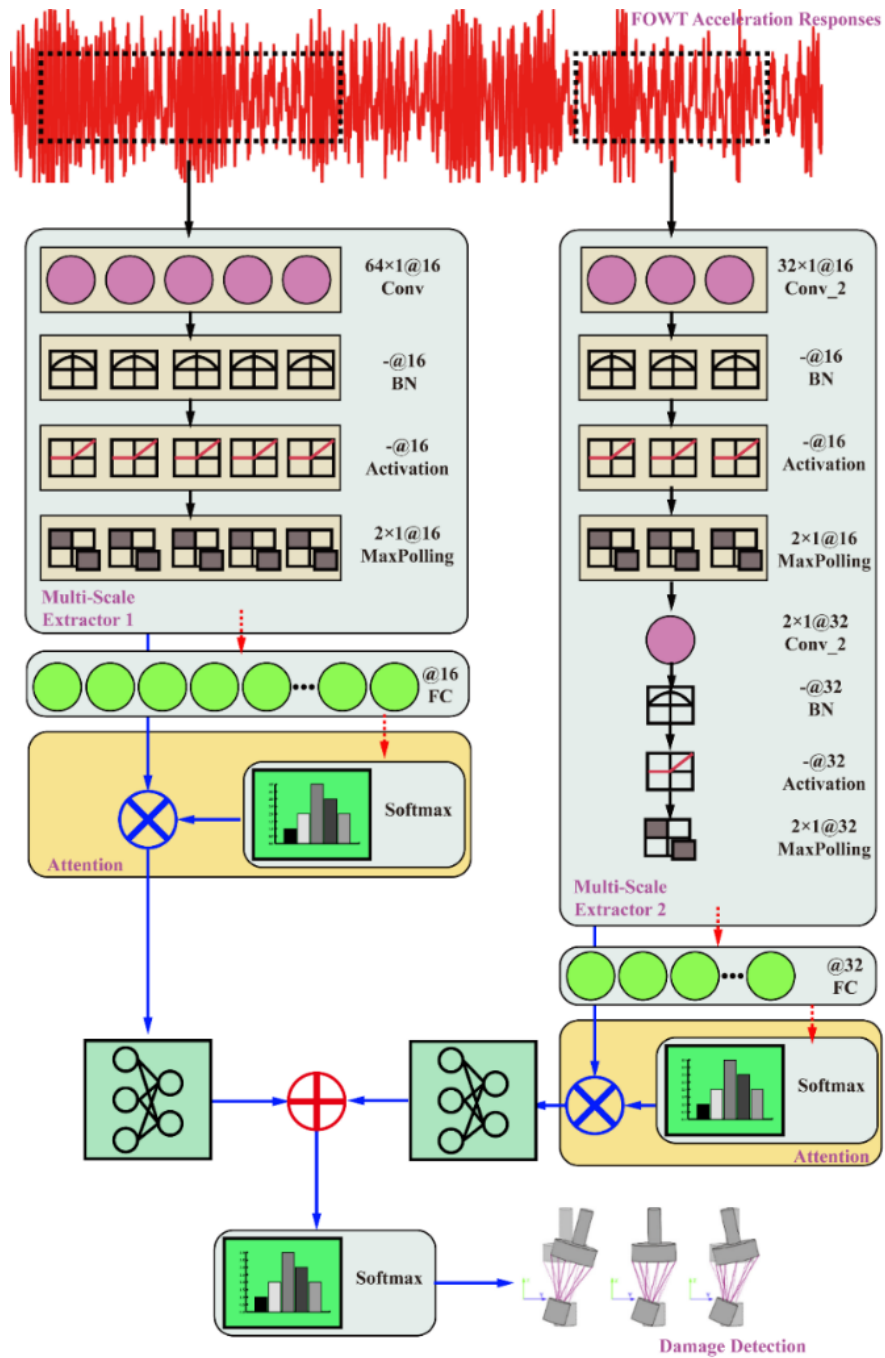


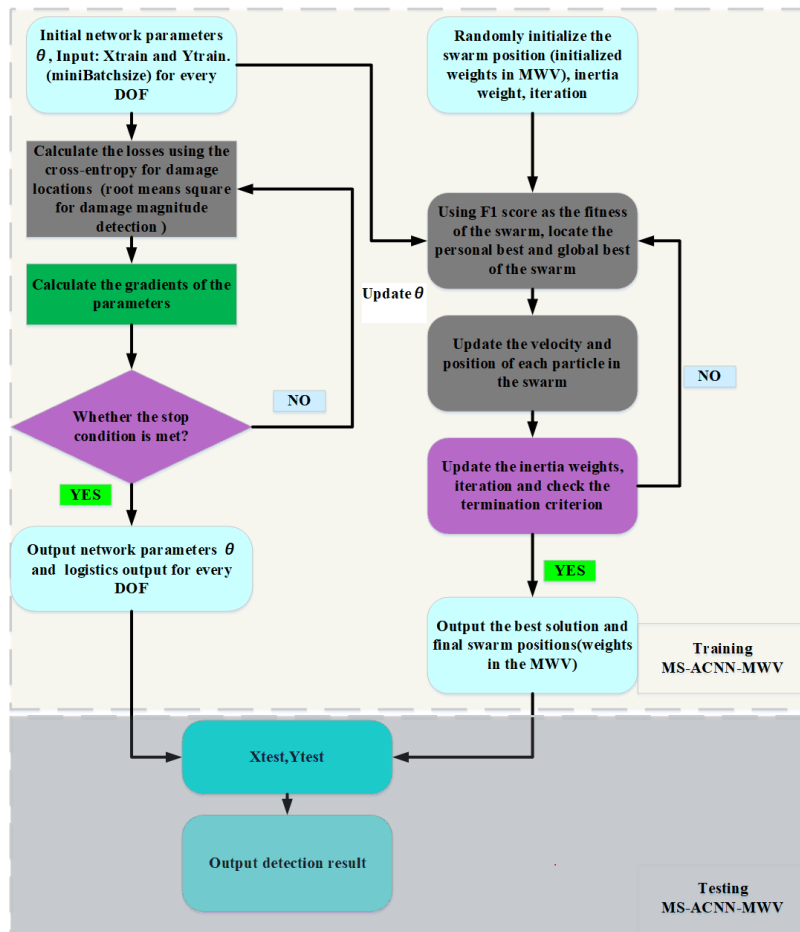
Figure 4: The architecture of MS-ACNN model for tendon damage detection

262 As shown in Figure 4, raw response signals of the FOWT are used as the input in the MS-ACNN
 263 model. The model is fitted with two parallel multi-scale extractors, which act on the raw signals to
 264 capture multi-scale features. The operating principle of the filters is that it is fitted with different kernel
 265 size, which has a better capability in extracting multi-scale information from a signal. Consequently, a
 266 multi-scale extractor with different depths of a network would have capability in obtaining different

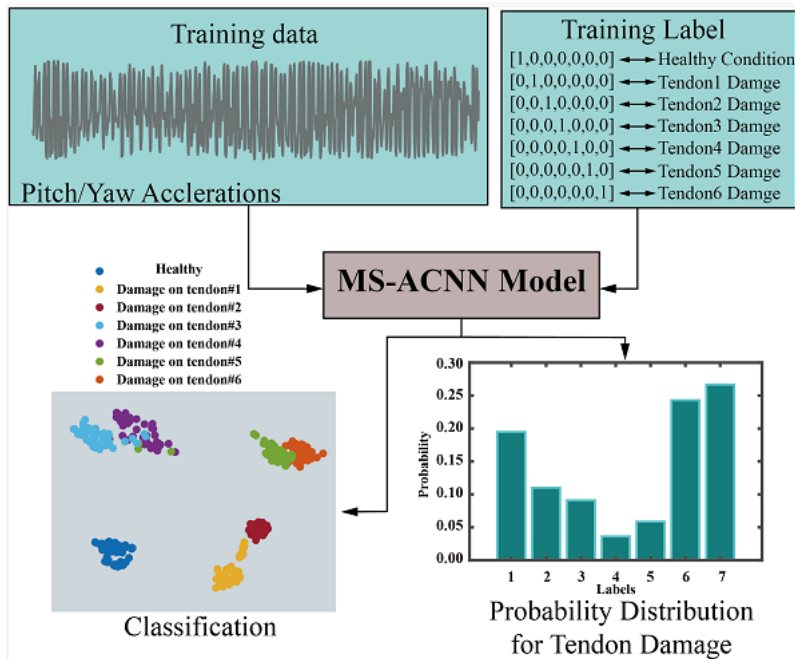
267 advanced features. The attention mechanism is used to give weights to every channel feature so that
268 its contribution in probability calculation can be adequately evaluated. The values of the two parallel
269 extractors are first added and then calculated by the Softmax function to obtain the pattern probabilities
270 of FOWT tendon damage. The MS-ACNN model is optimized by the Adam gradient descent [31], in
271 which the loss is defined as cross entropy for the purpose of realizing the tendon damage localization
272 [32]. It should be noted that another purpose of using the Adam gradient descent is to optimize the
273 MS-ACNN parameters, where the loss is treated as the root mean square to realize damage magnitude
274 recognition [33].

275 ***3.2 MS-ACNN network with Majority Weighted Voting for multisensory collaborative diagnosis***

276 The MS-ACNN algorithm is an “end-to-end” model adopted to systematically extract multi-scale
277 features from raw signals of FOWT and achieve a high-accuracy diagnosis performance without any
278 manual intervention. The MS-ACNN models are trained using different sensors’ signals. A Majority
279 Weighted Voting (MWV) method based on PSO is used to fuse the diagnosis from each MS-ACNN
280 model in order to improve the robustness of the overall performance of FOWT’s PHM method. The
281 robustness of the MS-ACNN acts as a foundation for the multisensory collaborative diagnosis needed
282 for predictive maintenance of FOWT. The framework of the MS-ACNN network fitted with MWV is
283 presented in Figure 5.



(a) The flowchart of the proposed MS-ACNN-MWV



(b) The input and output of the MS-ACNN model

Figure 5: The flowchart of the proposed MS-ACNN-MWV for FOWT fault detection

284 The operating principle of the MS-ACNN network framework is as follows. First, data from
 285 different sensors with different DOFs is used to train the MS-ACNN networks. This is followed by
 286 incorporation of the PSO algorithm to solve the voting weight of each MS-ACNN's recognition of the
 287 FOWT state, leading to the design of the framework as MS-ACNN-MWV model. The state of FOWT
 288 tendons' health is established by using the collected data to be tested in the trained MS-ACNN-MWV
 289 model.

290 The MWV module treats each damage prediction as the final class label in which the choice of
 291 feature weights directly affects the final result of the diagnosis. Details of mathematical representation
 292 of the MWV within the framework are shown in Equation 10.

$$H(x) = C_{\text{arimax}_j} \sum_{n=1}^N w_n h_n^j(x) \quad (10)$$

293 where $h_n^j(x)$ is the predicted nth sub-model for each probability (x). w_n is the weighted majority
 294 voting for each of the predicted nth sub-model ($h_n^j(x)$). The final predicted damage label, $H(x)$, is
 295 calculated by using the $C_{\text{arimax}_j}(\cdot)$ function to determine the prediction that has the most votes.

296 Based on the conventional knowledge that the weighted majority voting rule depends on weights,
 297 this study uses a PSO [34], [35] to predict the optimized weights for application in the majority voting.
 298 The motivation for adopting this approach (using the PSO method) is to evaluate weights and facilitate
 299 the improvement of the F1 score of the MS-ACNN framework for multisensory collaboration [36].
 300 The F1 score is a critical component used in determining the fitness functions of the PSO. The weights
 301 in the MWV are $w_n^c = [w_n^1, w_n^2, \dots, w_n^c]^T$ and they are based on the assumption that the MS-ACNN model
 302 is capable of solving the c -classification problem within the framework.

303 **4. Detection Framework and MS-ACNN-MWV Flowchart**

304 **4.1 Data collection**

305 The acceleration signal from 6 DOF of the floating body is collected at a sampling frequency of
306 10 Hz for use in this study. The collected data includes samples from different damage locations and
307 damage magnitudes. Note that the damage induced in the tendon is based on reduction in magnitude
308 of stiffness from of 5% to 50%.

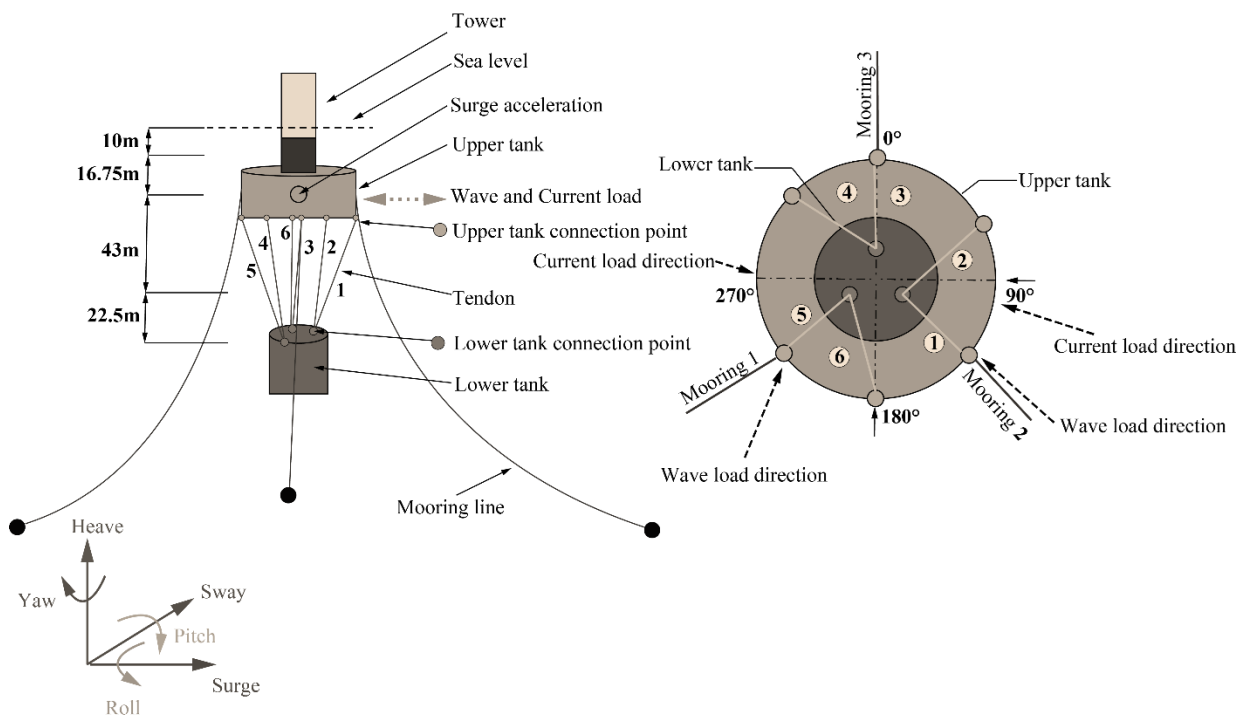


Figure 6: Schematic of tendon configurations in a multibody FOWT platform

309 **4.2 Data normalization & samples segmentation**

310 In order to obtain unbiased data for training the MS-ACNN network, data normalization, as part
311 of preprocessing of the data, is conducted. The FOWT data is predicted from tendons (Figure 6) using
312 different sensors, hence the collected data consists of response data for different degrees of freedom,
313 including features such as speed, acceleration, and displacement. The data contains different features,
314 and this requires normalization in order to provide a reliable diagnosis. In this study, the datasets are

315 normalized using *z-score* normalization in which both mean standard deviation are zero. The method
 316 is commonly used as a data normalization technique [37].

317 In the training phase only, the datasets are further pre-processed based on an augmented sampling
 318 technique in which data-points are overlapped to augment the training data. However, the technique
 319 was not applied to the test samples because the tests data in the testing phase is independent.

320 Detailed information about the training/validation/testing dataset is shown in Table 2.

Table 2: Datasets of tendon damage of FOWT for multiple tasks

Datasets	Samples	Number of samples	Used Parameters
Damage Location Detection	Training	200	Pitch acceleration Yaw acceleration
	Validation	50	
	Test	100 (50 for multisensory)	
Damage Degree Recognition	Training	200	Pitch acceleration Yaw acceleration
	Validation	50	
	Test	100 (50 for multisensory)	

321 *4.3 The MS-ACNN network's hyper-parameters setting and F1 estimators*

322 The hyper-parameter settings of the neural network have a certain impact on the network
 323 performance. In order to overcome this impact, a dropout technique is used before the fully connected
 324 layer in the neural network with a dropout rate of 0.5 [38]. The neural network has an initial learning
 325 rate of 0.001 and fitted with the Adam optimization method [30]. An assessment of the impact of this
 326 addition has been investigated by comparing the performance of the MS-ACNN model integrated with
 327 hyper-parameters with other NN models such as MSCNN-I [28], MSCNN-II [29], CNN, CNN-LSTM
 328 and CNN-Bi-LSTM whose parameters are similar to the hyper-parameters.

329 In order to guarantee and sustain the model's accuracy during feature learning and classification
 330 phases, F1 score is used in comparison and evaluation of the diagnosis model's performance in this
 331 study. F1's mathematical definition is presented in Equation 11.

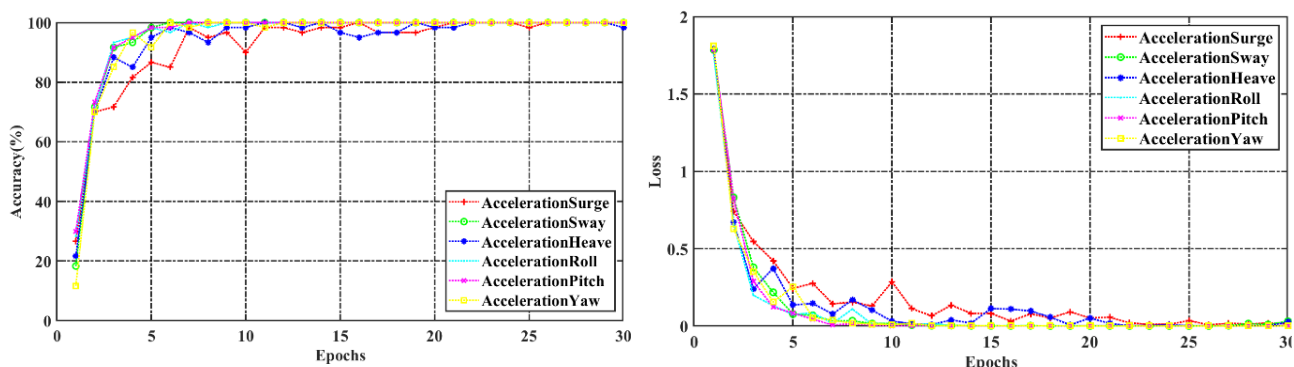
$$F1 = \frac{2TP}{2TP + FP + FN} \quad (11)$$

332 where TP, FP, TN and FN respectively represent faults correctly classified as positive samples, wrongly

333 classified faults as positive samples, faults correctly classified as negative and wrongly classified faults
 334 as negative respectively.

335 5. Discussion and Results

336 In this section, the reliability of the developed MS-ACNN-based SHM method is examined using
 337 a dataset that includes 5% potential structural damage (minor faults) occurring at a location on different
 338 tendons of the FOWT. The first step in the examination is the use of responses from different DOFs
 339 collected as acceleration to train the MS-ACNN model to search the most useful characteristics for
 340 fault locations.



(a) The training accuracy using acceleration dataset to train the model

(b) The training loss using acceleration dataset to train the model

Figure 7: Training information under different DOFs to train MS-ACNN model

341 From the results presented in Figure 7, it is observed that training the MS-ACNN model with
 342 pitch and yaw responses as the feature dataset is much easier than using the responses from sway, roll,
 343 surge and heave. A corollary to this observation is that when the sea conditions change, the heave and
 344 pitch are the most sensitive because the wind-wave loads act perpendicularly on the wind rotor in the
 345 same direction. Therefore, in the subsequent validation of the MS-ACNN-based SHM method,
 346 acceleration responses acting along the pitch and yaw axes are used as dataset for training and testing.

347 **5.1 Comparison of anti-noise examination of diagnosis methods**

348 The procedure of FOWT response signal acquisition is often characterized by noise interference.
 349 Therefore, the robustness of a PHM method in noisy scenarios is particularly important. Dataset for
 350 the 50% structural damage condition was used as the training samples for all the training models,
 351 including CNN, MSCNN-I, MSCNN-II, CNN-LSTM, CNN-Bi-LSTM, and the proposed MS-ACNN.
 352

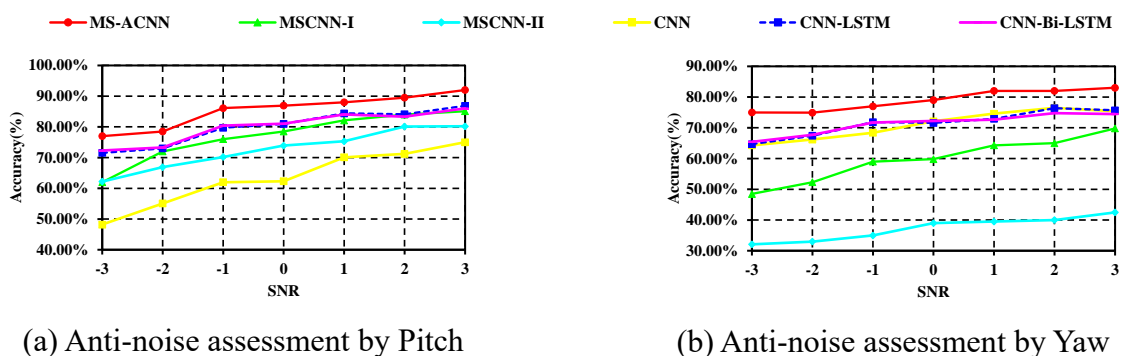


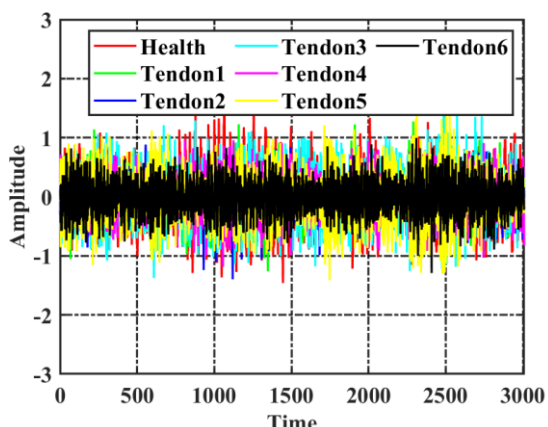
Figure 8: Comparison of anti-noise assessments for diagnosis methods.

353 As shown in Figure 8, the MS-ACNN model has a superior diagnostic accuracy of nearly 80% in
 354 a large-noise testing background compared to other algorithms. Although the application of MSCNN
 355 models including MSCNN-I and MSCNN-II has demonstrated good performance in wind turbine
 356 gearbox faults diagnosis, the models do not perform as good as the deep convolutional networks in the
 357 diagnosis of FOWT tendons damage. This is because the response cycle of the FOWT is much slower
 358 than the gearbox, causing the deeper features in a neural network to have a negative effect in the
 359 classification task. This proves the superiority of using the proposed MS-ACNN model in the damage
 360 detection of FOWT tendons than most of the current industrial grade CNN models in use. In
 361 consideration of the long-term dependencies of the responses of FOWT, the results show the capability
 362 of fault recognition of the proposed MS-ACNN model in comparison to respectively using LSTM and
 363 Bi-LSTM combined with CNN. The proposed model fitted with multi-scale resolution with an
 364 attention mechanism offers better performance than other models that consider long-term

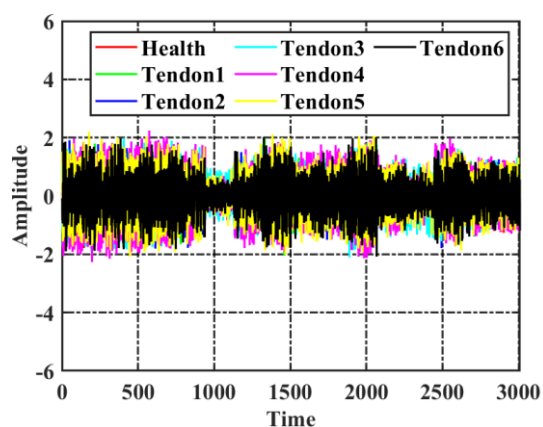
365 dependencies. Furthermore, to explain the performance of convolution network features using the
 366 analogy of a black box, the key features in the multi-scale filters and advanced features are shown and
 367 visualized via t-distributed stochastic neighbor embedding (T-SNE) in the next section.

368 **5.2 Features visualization**

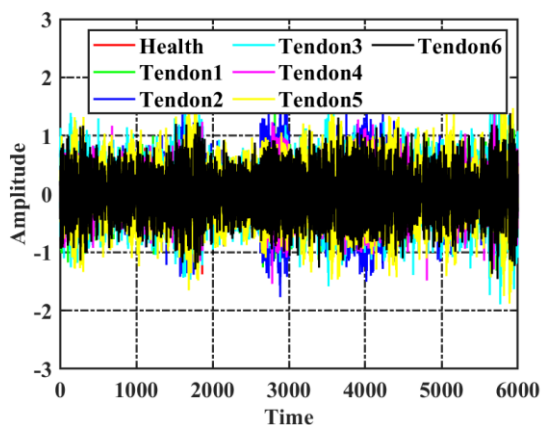
369 In order to explain what features the proposed MS-ACNN model has learned from the responses
 370 when the datasets with a damage magnitude of 15% is used, the acceleration responses of pitch and
 371 yaw in the different depth of the MS-ACNN model are presented as time series in Figure 9.
 372 Furthermore, Figure 9 also presents the features extracted by the MS-ACNN model and the network's
 373 structure of different scales (every channel's features).



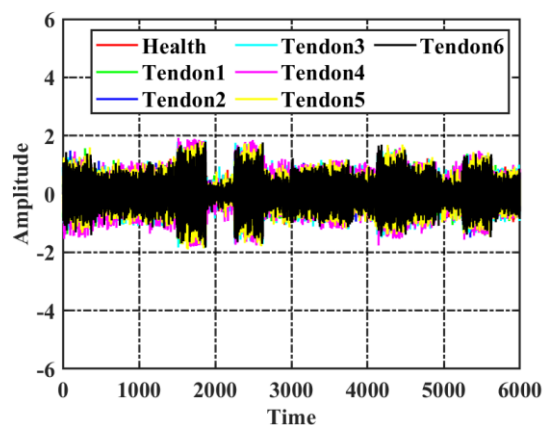
(a) Pitch features in Conv_1



(b) Yaw features in Conv_1



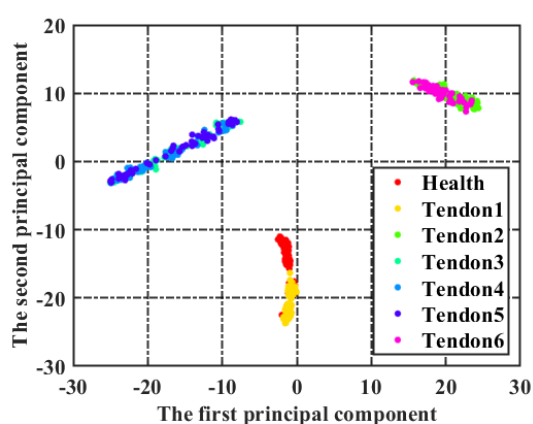
(c) Pitch features in Conv_2



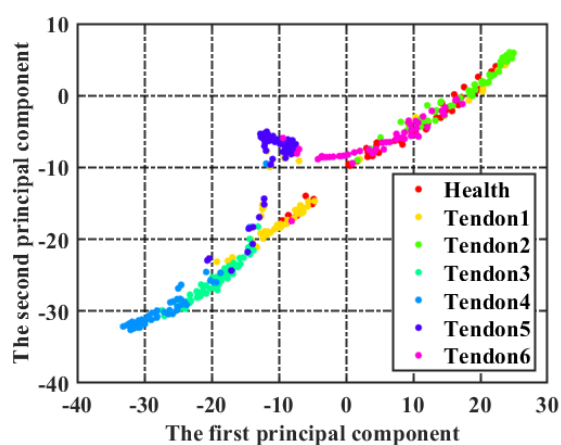
(d) Yaw features in Conv_2

Figure 9: The time series of the features in multi-scale Convolution modules with 15% FOWT tendon damage.

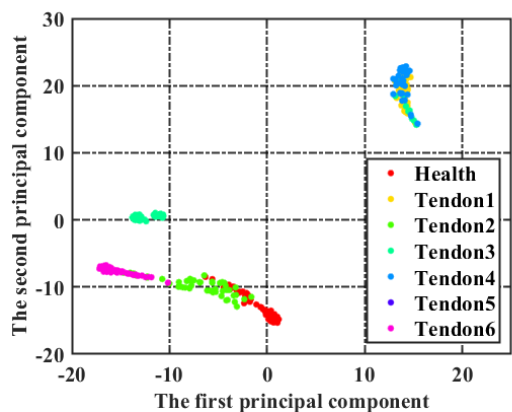
374 As shown in Figure 9, Conv_1 and Conv_2 are filters with different convolution kernel sizes. The
 375 features in Conv_1 and Conv_2 have different scales of information. It is observed that in the features
 376 filtered by Conv_2, the responses of tendon 6 are more significant than those of the other two filters.
 377 This indicates that the relatively small size of the convolution kernel can capture the response
 378 characteristics of a FOWT when tendon 6 has little damage. In order to further explain what features
 379 are filtered by the multi-scale convolution modules in the MS-ACNN, an attempt to visualize the
 380 advanced features of FOWT responses by T-SNE was made.



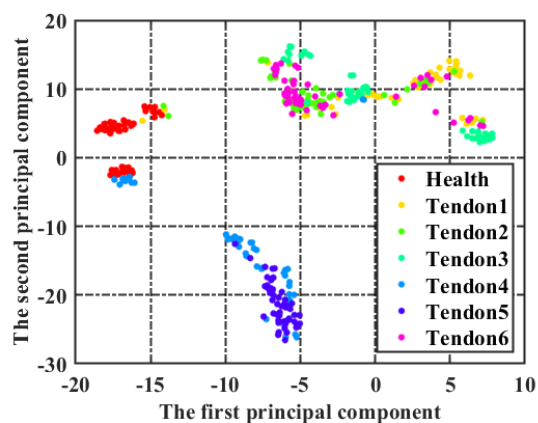
(a) Clustering of pitch from multi-scale 1



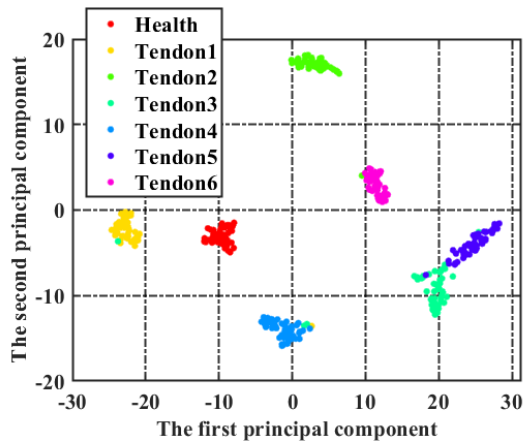
(b) Clustering of yaw from multi-scale 1



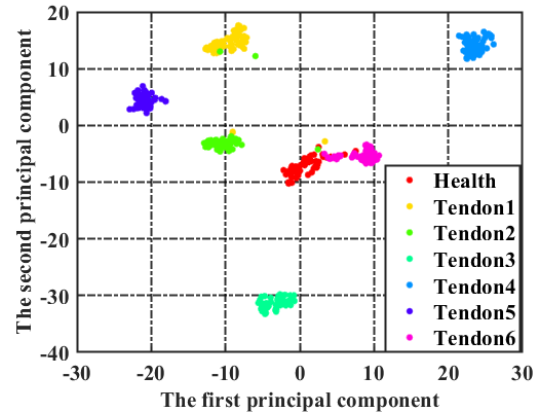
(c) Clustering of pitch from multi-scale 2



(d) Clustering of yaw from multi-scale 2



(e) Clustering of pitch from fusion features

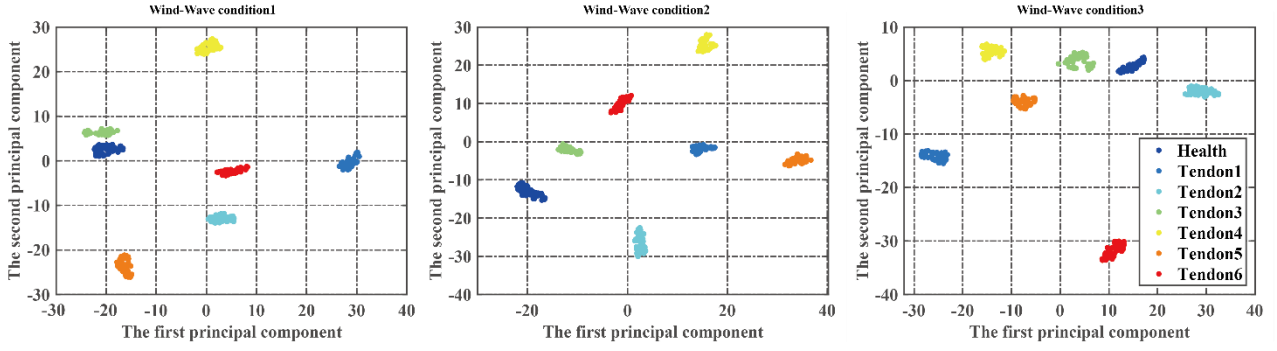


(f) Clustering of yaw from fusion features

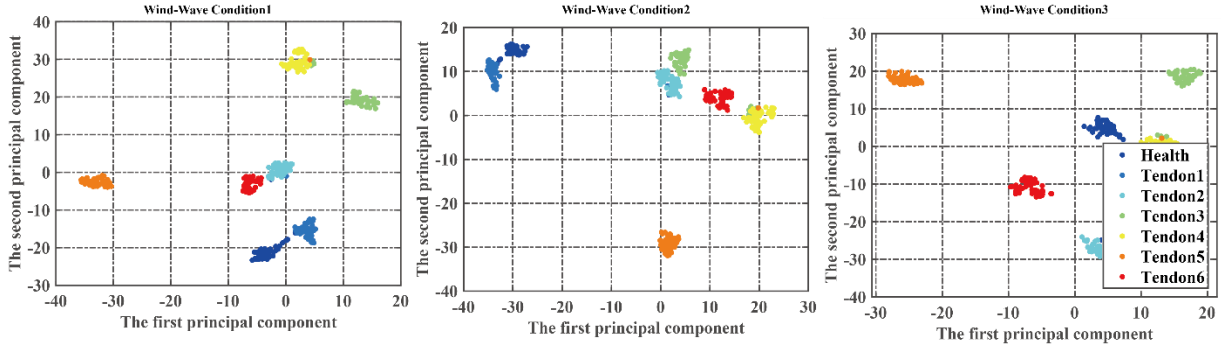
Figure 10: The clustering results of the features in multi-scale Convolution modules for 15% FOWT tendon damage

381 Figure 10 (a-f) shows that the data points of 7 tendons states (including healthy state) are
 382 visualized from a two-dimensional plane through T-SNE. The clustering states of pitch and yaw have
 383 different effects in different scale feature-learning modules. Compared to Figures 10(b) and (d), and
 384 using a cluster of yaw as an example, the health state of FOWT tendons can be well distinguished from
 385 the tendons damage through the multi-scale module 2, but not through the multi-scale module 1. In
 386 Figure 10 (f), the fusion of outputs corresponding to the features in the multi-scale modules (to obtain
 387 the fused feature), demonstrates that the developed MS-ACNN can complement the advantages of the
 388 two scales learned from the FOWT responses for pattern recognition and offer a better classification
 389 performance.

390 To demonstrate the influence of wind-wave actions on the damage conditions during
 391 classification performance, the clustering results of the features in the fusion layer are presented in
 392 Figure 11.



(a) Clustering of pitch from fusion features



(b) Clustering of yaw from fusion features

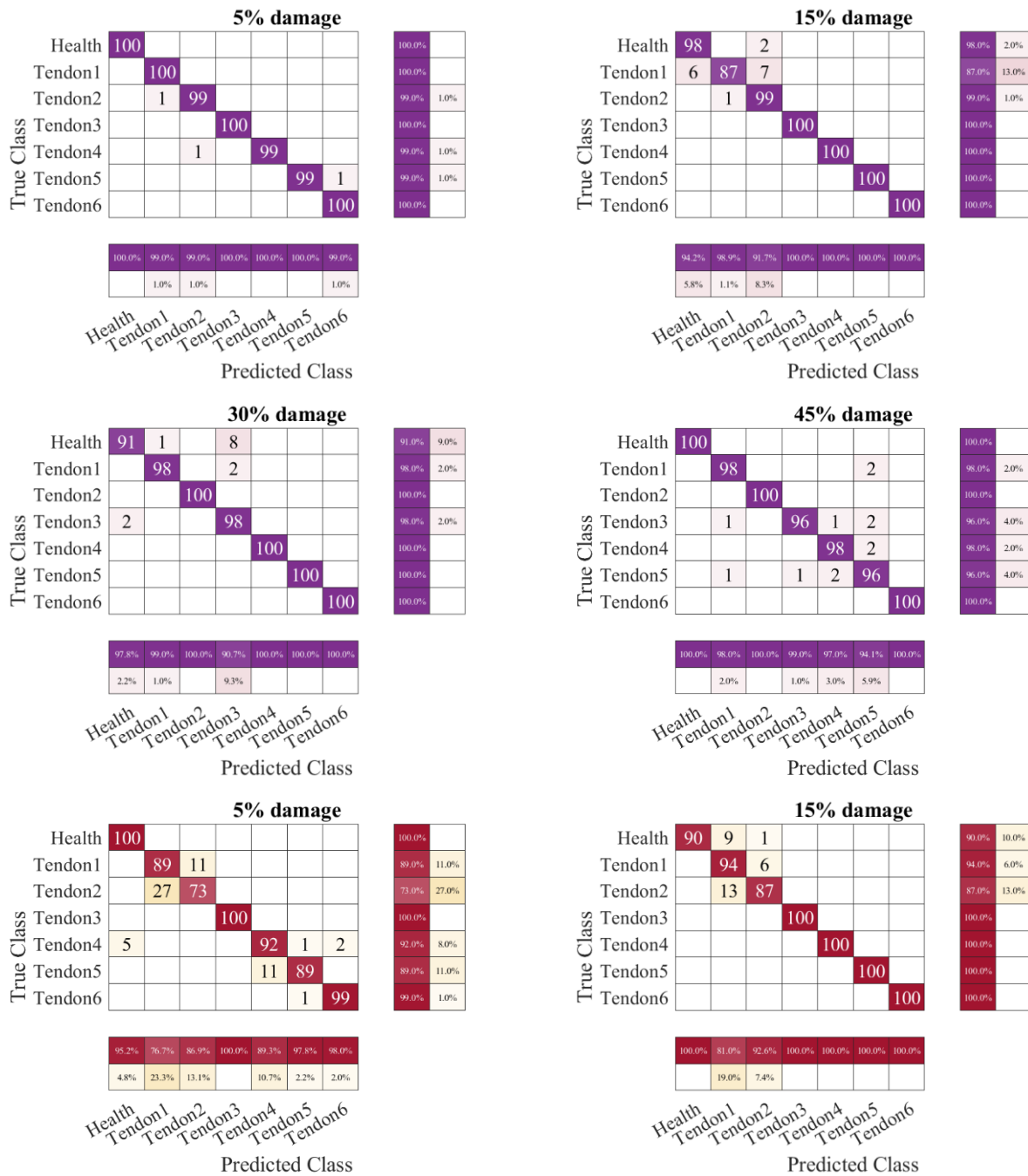
Figure 11: The clustering results of features in multi-scale Convolution modules for 30% FOWT tendon damage under different wind-wave conditions

393 As shown in Figure 11, the clustering results of the features in MS-ACNN model for 30% tendon
 394 damage are all distinguished by t-SNE compared with the clustering results in Figure 10. This is
 395 significant in interpretation of the clustering results since a much larger damage magnitude will bring
 396 more significant responses. In comparison with the diagnosis results of pitch and yaw, the classification
 397 performance based on pitch response is still clearer than those from yaw. The clustering results of the
 398 multi-scale fused features in the MS-ACNN model show different clustering forms due to changes in
 399 the wind-wave condition. In Figure 11(b), the distance between the clustering points of different tendon
 400 damages changes with the wind-wave condition.

401 5.3 Detection of damage location

402 To further prove the robustness and superiority of the newly developed diagnostic module (MS-
 403 ACNN) for the PHM method, the performance of MS-ACNN in locating damage on tendons was

404 examined. Damage tests with magnitudes ranging from 5% to 45% are used to examine the MS-ACNN
 405 model. The test result is presented in a confusion matrix.



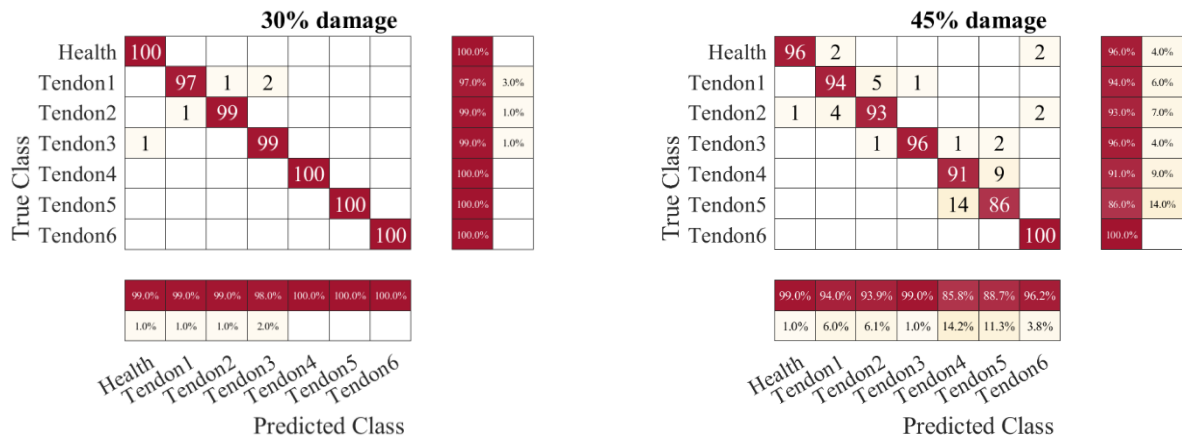


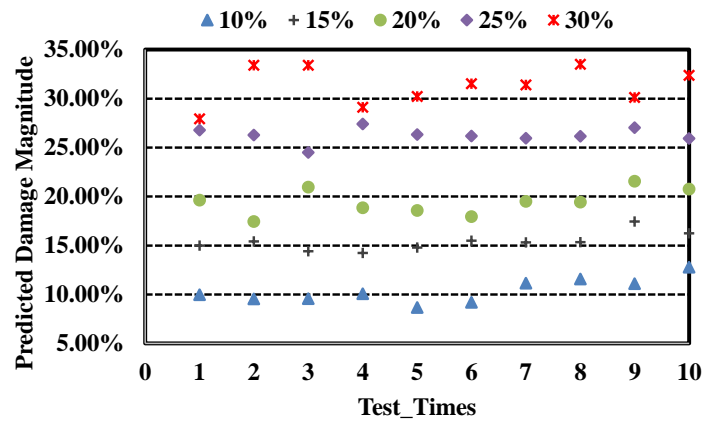
Figure 12: Performance of MS-ACNN model in detecting damage locations

406 As shown in Figure 12, the MS-ACNN model trained using the pitch and yaw responses has
 407 different degrees of sensitivities in identifying the damaged tendon. The pitch response is more
 408 sensitive to weak (lower stiffness [5% - 25%]) structural damage. Therefore, the MS-ACNN model
 409 trained by the pitch responses has a lower false alarm rate than the model trained by the yaw responses.
 410 However, when the structural damage increases to 30%, the model trained based on the yaw responses
 411 has a better diagnostic performance than the model trained by the pitch responses. In addition, when
 412 the structural damage increases to 45%, the models trained by both pitch and yaw responses have
 413 different false alarms for different damage locations. The pitch-response trained model usually has a
 414 false alarm in tendons 3, 4 and 5. On the other hand, the yaw-response trained model has a false alarm
 415 in the normal state and tendons 1 and 2 damages. Again, this is a clear demonstration of the significance
 416 of using multi-sensor collaboration to achieve accurate FOWT tendons damage diagnosis for PHM.

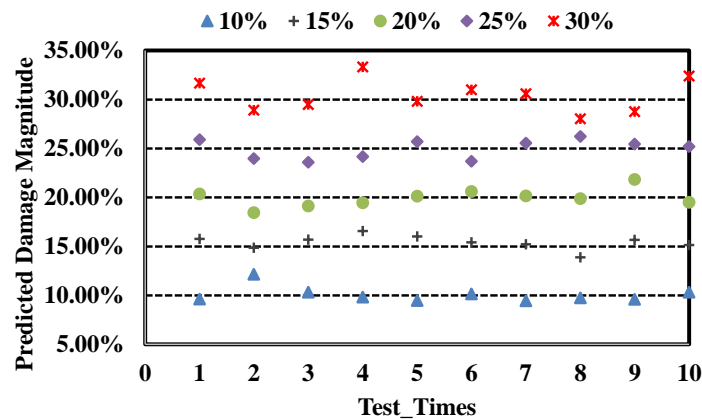
5.4 Damage magnitude detection

418 In order to examine the MS-ACNN model's extrapolation capability of damage magnitude
 419 detection as part of the model's intelligence, training datasets with damage magnitudes of 5%, 10%,
 420 15%, 20% and 25% are used. Equally, the corresponding testing datasets used are for damage rates of
 421 10%, 15%, 20%, 25% and 30%. As an example, the MS-ACNN model trained by 5% damage dataset

422 was compared with a random test result (e.g.10% damage dataset test result) to assess the accuracy of
 423 its predictive performance. The results are presented in Figure 13.



(a) The magnitude detection tested by pitch response for tendon#6



(b) The magnitude detection tested by yaw response for tendon#6

Figure 13: Damage magnitude detection for tendon 4 and tendon 6 by the MS-ACNN model

424 It is shown in Figure 13 that the MS-ACNN model recognizes the magnitude of small damage of
 425 tendon 6 better than the detection for a larger damage magnitude. For identification of a small damage
 426 magnitude in tendon 6, which has an inconsistent data distribution for both training and testing datasets,
 427 it is still observed that the MS-ACNN model offers a good regression performance in detecting the
 428 damage. This further reassures that the MS-ACNN model has good robustness in the identification of
 429 damage magnitude.

430 **5.5 Multisensory collaborative diagnosis**

431 Previous studies have shown that using response-based features with different DOFs to train MS-
 432 ACNN models can be complicated, especially if each MS-ACNN model has a different level of
 433 accuracy for tendon damage diagnosis. Therefore, in this section, a MWV method that uses a PSO
 434 algorithm [38] to fuse diagnosis results from the MS-ACNN models in the decision-making level is
 435 introduced.

436 The potential damage datasets of tendons damage with 50% are used to verify the reliability of
 437 the proposed decision fusion. The results of this examination prove the feasibility of adding MWV into
 438 the PHM method to form a new MS-ACNN-MWV approach for intelligent FOWT tendons diagnosis.
 439 It should be noted that in order to reflect the robustness of MS-ACNN-MWV in the developed
 440 framework, the MS-ACNN model is trained with 45% damage dataset, while the test data from 50%
 441 damage dataset is used.

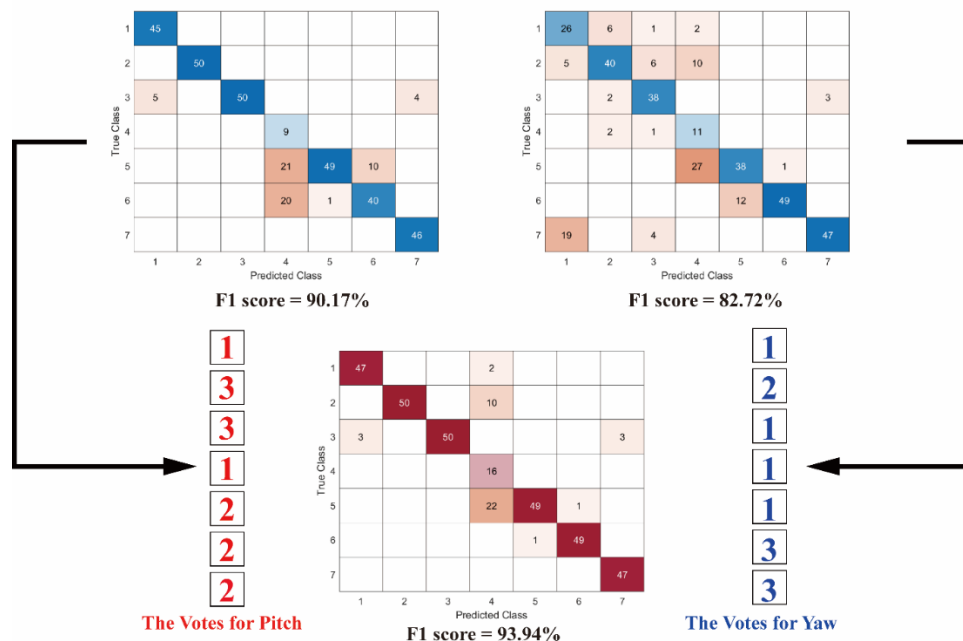


Figure 14: Confusion matrix of the MS-ACNN-MWV method

442 From Figure 14, it can be seen that MWV gives different weights to the decisions in the MS-
 443 ACNN models from pitch and yaw responses, which yields an average F1 score of 93.94%. Although

444 the training and test datasets are derived from different damage magnitude data, the MS-ACNN model
445 shows a good generalization capability, for pitch and yaw with nearly 90% and 83% F1 averages,
446 respectively. The decision from the MS-ACNN model of yaw responses offers a better performance
447 than the one of pitch responses when diagnosing tendon 5 and tendon 6 damages. Thus, the MWV
448 gives more votes to the yaw MS-ACNN model decision than for pitch. The decision from the MS-
449 ACNN model for pitch offers better performance than the decision for yaw when diagnosing healthy
450 conditions, tendon 1 and tendon 2 damages. Thus, the MWV model gives more votes to the pitch MS-
451 ACNN model decision than yaw for health states. In summary, it has been demonstrated that the MWV
452 model can significantly reduce the false positive rate in the diagnosis. This also proves the industrial
453 (engineering) applicability of MS-ACNN-MWV in the PHM method based on its good performance.

454 **6. Conclusions**

455 This research developed an end-to-end multi-sensor collaborative damage recognition method
456 based on deep learning technique to support structural health monitoring of a 10MW FOWT's tendons
457 as part of PHM. A novel multi-scale convolution neural network framework has been developed in this
458 study. The framework contains multiple multi-scale feature extractors that can directly capture damage
459 or faults features at different levels using the FOWT response signals. An attention mechanism is added
460 to the framework to assign advanced feature weights in order to ensure that each channel feature has
461 the best contribution in calculating the probability of damage occurrence for predictive diagnosis.

462 This investigation uses the structural damages of the 10MW FOWT tendons as a basic scenario
463 to establish a dataset from multisensory sources for different damage locations and magnitudes from
464 different DOFs on the tendon. The study found that using pitch and yaw acceleration signals offer an
465 easier means to train the MS-ACNN model than the other four degrees of freedom signals. Two MS-
466 ACNN models are trained with yaw and pitch acceleration signals respectively, and they have an 80%

467 diagnostic accuracy in a large noise background. In comparison to the existing multi-scale models used
468 in the industry, MS-ACNN offers better performance that is at least 15% higher than most of the
469 existing models do. Although performance tests reveal that the MS-ACNN model can offer different
470 levels of superiorities when using either pitch and yaw acceleration signals, the accuracy of damage
471 detection for smaller magnitudes of damages, usually the most difficult in practical applications, has
472 been excellent as demonstrated by the study on tendon damages between 5% and 30%. In addition, the
473 MS-ACNN model can also identify tendons with different damage magnitudes, when the tendons of a
474 FOWT have weak stiffness changes. The addition of a proposed MWV method into the MS-ACNN
475 module for diagnosis provided results, based on fusion of different sensors, that can improve the
476 diagnosis performance by at least 4%.

477 **Acknowledgements**

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