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1 **Reciprocity in group-living animals: partner control *versus***
2 **partner choice**

3

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14

15 **ABSTRACT**

16 Reciprocity is probably the most debated of the evolutionary explanations for
17 cooperation. Part of the confusion surrounding this debate stems from a failure to note
18 that two different processes can underlie reciprocity: partner control and partner
19 choice. We suggest that the common observation that group-living animals direct their
20 cooperative behaviours preferentially to those individuals from which they receive
21 most cooperation is to be interpreted as the result of the sum of the two separate
22 processes of partner control and partner choice. We review evidence that partner
23 choice is the prevalent process in primates and propose explanations for this pattern.

24 We make predictions that highlight the need for studies that separate the effects of
25 partner control and partner choice in a broader variety of group-living taxa.

26

27 *Key words:* cooperation, reciprocity, partner control, partner choice, proximate
28 mechanisms.

29

30 CONTENTS

31	I. Introduction	4
32	II. The consequences of not distinguishing	5
33	III. Across-dyad correlations between giving and receiving	7
34	IV. The meaning of across-dyad correlations	9
35	V. Trying to distinguish	10
36	VI. The proximate mechanisms underlying reciprocity	14
37	(1) Hard-wired reciprocity	15
38	(2) Emotionally based reciprocity	16
39	(3) Calculated reciprocity	17
40	VII. Modelling reciprocity in group-living animals	18
41	VIII. Inferences and predictions	20
42	IX. Conclusions	25
43	X. Acknowledgements	26
44	XI. References	27

45

46 **I. INTRODUCTION**

47 In the endless debate about reciprocity, an aspect that is often neglected is the
48 need to distinguish between the two different processes that can underlie reciprocal

49 exchanges of cooperative behaviours (Noë & Voelkl, 2013). The first study to
50 distinguish these two processes was probably Bull & Rice (1991; see Eshel & Cavalli-
51 Sforza, 1982 for an earlier insight), that named them 'partner fidelity' (later called
52 'partner control' by Noë, 2006) and 'partner choice'. In partner-control models (e.g.
53 Axelrod & Hamilton, 1981) dyads of interacting individuals are conceptually isolated
54 from other dyads and the behaviour of each subject depends only on the previous
55 behaviour of the partner. As there is no possibility of switching partner, individuals
56 must try to control the behaviour of the partner by rewarding cooperation and
57 sanctioning uncooperative behaviour. In partner-choice models (e.g. Campenni &
58 Schino, 2014) individuals choose their partners on the basis of the benefits they offer,
59 and the need to sanction uncooperative partners is replaced by partner switching and
60 outbidding competition. Partner choice features pre-eminently in biological market
61 models, and proponents of biological market theory have repeatedly emphasized the
62 distinctiveness of the two processes (Noë & Hammerstein, 1994, 1995; Noë, 2006).
63 Empirical tests of biological market theory have however tended to focus on market
64 effects, rather than on distinguishing and separating the effects of partner control and
65 partner choice (e.g. Henzi & Barrett, 2002; Fruteau *et al.*, 2009).

66 In our opinion, the failure to distinguish and separate the two processes of
67 partner control and partner choice has hampered empirical research and biased
68 recognition of the presence of reciprocity, especially among group-living animals.
69 Herein, we discuss why distinguishing the two processes is important, review the
70 (few) attempts to quantify their relative prevalence, and make predictions about their
71 distribution in nature.

72

73 **II. THE CONSEQUENCES OF NOT DISTINGUISHING**

74 A common assumption of research on reciprocity is that the only way actually
75 to demonstrate reciprocity is to show the existence of a temporal contingency between
76 the receipt of cooperation and the giving of cooperation (and/or between failure to
77 receive cooperation and subsequent retaliation) (Hauser *et al.*, 2003; Silk, 2003). This
78 assumption implicitly equates reciprocity with partner control and, given the relative
79 rarity of convincing examples of true temporal contingencies between giving and
80 receiving cooperative acts, it leads to the conclusion that reciprocity must be rare (e.g.
81 Stevens, Cushman & Hauser, 2005; see Cheney *et al.*, 2010, and Olendorf, Getty &
82 Scribner, 2004 for two examples of temporal contingencies studies). In fact, temporal
83 contingencies between giving and receiving only test for partner control, and say
84 nothing about partner choice (Carter, 2014). Thus, the emphasis on temporal
85 contingencies, and the failure to note that they are predicted by partner control only,
86 caused a widespread underestimation of the prevalence of reciprocity.

87 While empiricists focused on partner control (although apparently implying
88 that the results apply to all forms of reciprocity), theoreticians began producing
89 models of the evolution of cooperation by partner choice (Sherratt & Roberts, 1998;
90 Aktipis, 2004; McNamara *et al.*, 2008; Castro & Toro, 2010; Campenni & Schino,
91 2014; see McNamara & Leimar, 2010 for a review on the role of variation in
92 promoting the evolution of cooperation, especially by partner choice). It is now
93 abundantly clear that partner choice can indeed promote the evolution of cooperation.
94 Furthermore, theoretical models have shown that partner choice can also lead to
95 patterns of reciprocal exchange similar to those observed in animals (see Section VII
96 for details). Notwithstanding this new emphasis on partner choice, the contrast
97 between the easiness with which cooperation evolves in a variety of partner-control
98 models and the difficulties of showing empirical evidence for these models has led

99 some authors to hypothesize that proximate constraints may limit the evolvability of
100 reciprocity (thus again implicitly extrapolating from partner control to all forms of
101 reciprocity; Stevens & Hauser, 2004).

102 A corollary of the neglect of partner choice is that evidence that animals direct
103 their cooperative acts preferentially to those individuals from which they receive most
104 cooperation is dismissed as showing ‘only a correlation’ (Silk, 2013). Such evidence
105 however is (in stark contrast to the limited evidence about temporal contingencies)
106 truly overwhelming, so that we believe it should not be dismissed lightly.

107

108 **III. ACROSS-DYAD CORRELATIONS BETWEEN GIVING AND** 109 **RECEIVING**

110 One of the oldest ways of assessing the relations between giving and receiving
111 cooperation is to carry out a correlation across dyads between cooperation given and
112 cooperation received. Regardless of the statistical technique employed [matrix
113 correlations or some variation of generalized linear mixed models (GLMMs)] these
114 analyses enter dyadic values of cooperation given (i.e. cooperation given by each
115 subject to each other subject) as the dependent variable and dyadic values of
116 cooperation received as one of the independent variables. Kinship and/or other
117 potential confounding factors are usually added as additional independent variables.
118 Typically, dyadic values are calculated on the basis of a relatively long observation
119 period (e.g. grooming rates averaged across several months of observation).

120 In addition to many empirical studies (e.g. Silk, 1992; Watts, 2002; Carne,
121 Wiper & Semple, 2011), three meta-analyses have addressed the relationship between
122 giving and receiving cooperative behaviour in primates. These meta-analyses
123 summarized studies conducted on dozens of different primate species and hundreds of

124 different individuals. Schino (2007; also including a single temporal relations study)
125 assessed the relationship between grooming and agonistic support; Schino & Aureli
126 (2008) examined the relationship between giving and receiving grooming; Jaeggi &
127 Gurven (2013; including data on humans) investigated the relationships between
128 grooming and food sharing and between giving and receiving food. All three of these
129 studies found significant positive weighted average correlations across dyads between
130 giving and receiving cooperative acts. Overall, the results of these meta-analyses
131 provide extremely robust and convincing evidence for reciprocal exchanges in
132 primates. Note, however, that they are silent as to the underlying processes.

133 Across-dyad positive correlations between cooperation given and received are
134 not limited to primates. Recent studies have broadened the phylogenetic scope of such
135 analyses and have obtained similar results in several other species, including jackdaws
136 (*Corvus monedula*) that exchange food and interchange food for allopreening (De
137 Kort, Emery & Clayton, 2006), coatis (*Nasua nasua*) that exchange agonistic support
138 (Romero & Aureli, 2008), spotted hyenas (*Crocuta crocuta*) that interchange
139 communal defence at carcasses for tolerance while feeding (Smith, Memenis &
140 Holekamp, 2007), rooks (*Corvus frugileus*) that exchange food and interchange food
141 for allopreening and agonistic support (Scheid, Schmidt & Noë, 2008), ravens
142 (*Corvus corax*) that exchange agonistic support and interchange allopreening for
143 support (Fraser & Bugnyar, 2012), and vampire bats (*Desmodus rotundus*) that
144 exchange regurgitated blood and interchange blood for allogrooming (Carter &
145 Wilkinson, 2013).

146 Overall, evidence that group-living animals (including humans) direct most of
147 their cooperative acts towards those individuals from which they receive most

148 cooperative acts seems unassailable. It is clear that we need an interpretation for such
149 a common phenomenon.

150

151 **IV. THE MEANING OF ACROSS-DYAD CORRELATIONS**

152 We propose that across-dyad correlations between cooperative acts given and
153 received are the result of the sum of the two separate processes of partner control and
154 partner choice. Due to the nature of these dyadic data, no information is available on
155 the temporal relationships between single cooperative events. In principle, it is
156 possible to imagine two extremes of a continuum. At one end of the continuum, a
157 significant positive dyadic correlation can result from a tight temporal relationship
158 between giving and receiving (each act of giving is immediately returned). At the
159 other end of the continuum, it can derive from interindividual preferences based on a
160 comparison of the overall amount of cooperation received from each group member,
161 in the complete absence of a temporal relationship between giving and receiving (see
162 Section VII and Campennì & Schino, 2014, for a model showing that when partner
163 choice is based on a comparison of the amount of cooperation received from group
164 members, across-dyad correlations between cooperation given and received emerge).
165 The first extreme of the continuum would correspond to strict partner control in the
166 absence of partner choice; the other extreme would correspond to partner choice in
167 the absence of partner control. As already noted, real animals probably use a mixture
168 of the two strategies and the across-dyad correlations we observe empirically thus
169 reflect the sum of these two processes.

170 It follows from the above reasoning that across-dyad correlations do provide
171 evidence for reciprocity, but they do not tell us anything about the relative
172 contributions of the two processes. The paucity of convincing evidence of partner

173 control together with the abundance of across-dyad correlations between cooperative
174 acts given and received suggest that partner choice may be the prevalent process, but
175 we have relatively little quantitative evidence from studies that compare the two
176 processes. Below, we review this evidence.

177

178 **V. TRYING TO DISTINGUISH**

179 A few studies have tried to estimate the relative contributions of partner
180 control and partner choice in the same species and setting by evaluating both the
181 temporal relationships between individual cooperative events and the across-dyad
182 correlations between overall cooperation given and received. Schino, Ventura &
183 Troisi (2003) and Schino, Polizzi di Sorrentino & Tiddi (2007) observed no short-
184 term temporal relations between giving and receiving grooming or between grooming
185 and agonistic support in Japanese macaques (*Macaca fuscata*). At the same time,
186 macaques showed strong positive across-dyad correlations between grooming given
187 and received and between grooming and support. Tiddi *et al.* (2011) observed no
188 short-term temporal relationship between grooming and tolerance over a clumped
189 food resource coupled with strong across-dyad correlations in wild tufted capuchin
190 monkeys (*Cebus apella*). Kaburu & Newton-Fisher (2015) observed no short-term
191 exchange of grooming for sexual access together with a positive across-dyad
192 relationship between male mating success and grooming by males to females in a
193 community of wild chimpanzees (*Pan troglodytes*) characterized by egalitarian
194 dominance relationships. Jaeggi, Stevens & Van Schaik (2010) and Jaeggi *et al.*
195 (2013) observed no short-term temporal relationship between grooming and food
196 sharing in chimpanzees, while long-term food sharing given was best predicted by
197 food sharing received. In bonobos (*Pan paniscus*) food sharing increased the short-

198 term exchange of grooming and was also, in the long-term, best predicted by
199 relationship quality (Jaeggi *et al.*, 2010, 2013). Finally, Carter & Wilkinson (2013)
200 conducted a fasting experiment in vampire bats. They found that, within dyads, blood
201 given in a particular trial was not predicted by blood received in the previous trial. At
202 the same time, blood given was strongly correlated with blood received across dyads.

203 In all these studies (with the possible exception of the bonobo studies of
204 Jaeggi *et al.* (2010, 2013) partner control appeared to exert weak or no effect on
205 cooperative behaviours (see Table 1 for details regarding the time windows used to
206 test for immediate reciprocation) and our interpretation is therefore that the positive
207 across-dyad correlations have to be ascribed (almost) entirely to partner choice.

208 Other studies used refined statistical techniques to identify (and then remove)
209 the effects of partner control. Schino, Di Giuseppe & Visalberghi (2009), Schino &
210 Pellegrini (2009) and Campennì *et al.* (2015) used survival analysis to identify the
211 time window over which having received grooming increased the probability of
212 returning grooming to the same partner, thus obtaining an objective (i.e. data-driven)
213 estimate of immediate reciprocation (Table 1). These studies revealed significant
214 short-term temporal relationships between giving and receiving grooming (i.e.
215 animals showed an increased probability of immediately returning grooming,
216 compared to their baseline). However, only 7–35% of the grooming episodes were
217 immediately reciprocated, showing that temporal relationships between events played
218 a limited role in guiding animal decisions about cooperation. When all the cases of
219 immediate reciprocation were excluded from analysis (thus removing any effect of
220 partner control), across-dyad correlations remained significant, again showing an
221 important effect of partner choice (Schino *et al.*, 2009; Schino & Pellegrini, 2009;
222 Campennì *et al.*, 2015). Gomes & Boesch (2009) reported similar results on

223 exchanges of meat for sexual access in wild chimpanzees. Note also that, although it
224 is obviously possible that an act of cooperation received can sometimes affect the
225 likelihood of returning cooperation past the measured time window, this effect is
226 likely to be small. It is clear that any partner-control effect of cooperation received
227 must decrease monotonically with time, so that the magnitude of this effect after it is
228 no longer operationally measurable must be negligible.

229 Applying new methods of network dynamics, Hooper *et al.* (2013) tested
230 ‘stationary’ (i.e. long-term) and ‘dynamic’ (i.e. short-term) reciprocity in the
231 exchange of manioc beer among Tsimane horticulturalists. They found robust long-
232 term reciprocity, while short-term reciprocity only applied to socially distant partners.

233 Fruteau *et al.* (2011) found little evidence for two specific partner-control
234 strategies (‘parcelling’ and ‘raise the stake’) in the grooming exchanges of wild
235 mangabeys (*Cercocebus atys*) and vervet monkeys (*Chlorocebus aethiops*). Finally,
236 Sabbatini *et al.* (2012) conducted a food-sharing experiment on tufted capuchin
237 monkeys in which animals were tested in triads or in dyads. In triadic tests, partner
238 choice exerted a stronger effect than partner control (effect sizes $r=0.289$ and $r=0.194$,
239 respectively; unpublished data from Sabbatini *et al.* 2012). Interestingly, partner
240 control appeared to play a reduced role in triadic compared to dyadic tests. This
241 suggests a causal role for the possibility of partner choice in reducing the scope of
242 partner control. Bshary & Grutter (2002) similarly reported that in a cleaner fish
243 mutualism (*Labroides dimidiatus* with clients *Ctenochaetus striatus*), increased
244 possibilities of partner choice were associated with reduced partner control.

245 Overall, the available evidence shows that partner choice is the prevailing
246 process whenever both processes have been studied simultaneously.

247

248 **VI. THE PROXIMATE MECHANISMS UNDERLYING RECIPROCITY**

249 Before drawing conclusions from the evidence reviewed above, we will
250 discuss briefly the proximate mechanisms underlying reciprocity. This topic is
251 traditionally neglected; most authors that do discuss proximate mechanisms adopt
252 (rather uncritically) the classification proposed by Brosnan & de Waal (2002). Below,
253 we build on the work of Brosnan & de Waal (2002) and propose three hypothetical
254 proximate mechanisms for reciprocity that overcome some of the difficulties
255 encountered by their original formulation. Proximate mechanisms can be thought of
256 as evolved answers to recurrent problems, and can therefore be expected to implement
257 adaptive responses appropriate to the costs and benefits of the situation. The three
258 mechanisms we discuss below are therefore likely to have evolved under different
259 social and ecological conditions.

260

261 **(1) Hard-wired reciprocity**

262 This mechanism replaces Brosnan & de Waal's (2002) symmetry-based
263 reciprocity that, in its original formulation, cannot be evolutionarily stable (M.
264 Campenni & G. Schino, in preparation). Hard-wired reciprocity can be hypothesized
265 to evolve whenever reciprocity is needed in one-shot interactions (i.e. interactions that
266 are unlikely to be repeated). The animal responds 'instinctively' to the receipt of a
267 cooperative act by immediately returning it. This mechanism is characterized by short
268 delays between receiving and giving and by a one-to-one relationship in the
269 currencies exchanged (one kind of cooperation is always exchanged with the same
270 other kind of cooperation). It requires neither individual recognition, nor stable social
271 relationships, and is likely to be found in cognitively unsophisticated animals (e.g.
272 egg trading in simultaneous hermaphrodites; Fischer, 1980; Sella, 1985) or in animals

273 that do not form stable social groups [e.g. grooming in impalas (*Aepyceros*
274 *melampus*); Connor, 1995]. It is inherently a partner-control mechanism, although, in
275 a biological market perspective, it can be conceived to allow some flexibility in
276 exchange rates in relation to the conditions of the market place.

277

278 **(2) Emotionally based reciprocity**

279 To the extent that emotions can be conceived as task-specific computational
280 mechanisms (LeDoux, 2012; Cosmides & Tooby, 2013), it is possible to hypothesize
281 that a system of ‘emotional bookkeeping’ (Schino & Aureli, 2009) evolved to support
282 reciprocity in group-living animals, i.e. under conditions of continuous social
283 interaction with individually recognizable group mates.

284 Our formulation of emotionally based reciprocity is derived from Brosnan &
285 de Waal's (2002) attitudinal reciprocity, but acts over a longer time frame. Through
286 this mechanism, cooperation received triggers partner-specific positive emotions that
287 promote subsequent cooperation. What motivates an animal to engage in emotionally
288 based cooperation is the social attachment that it has developed with group
289 companions (social attachment that itself depends also on the receipt of cooperative
290 behaviours). Emotions thus constitute a bookkeeping system that can act over
291 relatively long time frames and allow easy and flexible conversion among multiple
292 currencies (Schino & Aureli, 2009). Emotionally based reciprocity requires the
293 formation of differentiated social relationships that guide social decision-making, but
294 no special cognitive abilities are otherwise needed. The formation of differential
295 social relationships (from strong social bonds to simple cohabitation) is inherently a
296 partner-choice mechanism that promotes outbidding competition (Campennì &
297 Schino, 2014). In humans emotions associated with cooperative exchanges (e.g. anger

298 or gratitude) presumably play a role also as a partner-control mechanism (van't Wout
299 *et al.*, 2006; McCullough, Kimeldorf & Cohen, 2008). We know very little about the
300 short-term emotional consequences of cooperation in animals. In primates,
301 displacement activities have been proposed as a measure of anxiety-like emotions
302 (Maestriperi *et al.*, 1992; Schino *et al.*, 1996) and used to gauge the emotional
303 consequences of receiving grooming. Overall, rather inconsistent results have been
304 obtained (Schino *et al.*, 1988; Radford, 2012; Molesti & Majolo, 2013; Semple,
305 Harrison & Lehmann, 2013).

306

307 **(3) Calculated reciprocity**

308 Calculated reciprocity can be hypothesized to evolve as a response to the need
309 to be able to engage in reciprocal exchanges in rare and or/novel situations and/or
310 when interacting with unfamiliar individuals. Alternatively, it can be conceived as a
311 by-product of selection for the general ability to plan future actions.

312 An animal that engages in calculated reciprocity is supposed to be motivated
313 by the expectation of a future return benefit (the reciprocated cooperation). Calculated
314 reciprocity thus requires advanced cognitive abilities such as the capacity to plan
315 social interactions or some form of 'future thinking'. Note that, unlike Brosnan & de
316 Waal's (2002) original formulation, we propose the defining characteristic of
317 calculated reciprocity should not be the reliance on some computation of costs and
318 benefits but the role played by expected benefits as the key motivating factor. This
319 also allows calculated reciprocity to be empirically distinguished from emotionally
320 based reciprocity (Schino & Pellegrini, 2011). Calculated reciprocity is likely to be
321 rare outside humans (see Section VIII). It can in principle underlie both partner-
322 control and partner-choice processes.

323

324 VII. MODELLING RECIPROCITY IN GROUP-LIVING ANIMALS

325 Most theoretical studies of cooperation and reciprocity focus on modelling
326 their evolution rather than on attempting to reproduce existing patterns of exchanges
327 of cooperative acts as observed in group-living animals (see Section II for partner-
328 choice models and Bshary & Bronstein, 2011, for a review and classification of
329 partner-control models). A few recent theoretical studies have used agent-based
330 simulations to model and reproduce empirically observed patterns of social
331 interactions. In these models, artificial agents (that may or may not be set in space)
332 exchange positive or negative interactions based on their past experiences and/or on
333 their spatial proximity with other agents. These models may be relevant for
334 understanding the proximate mechanisms underlying reciprocity and its emergence
335 from animal decision rules.

336 Puga-Gonzalez, Hildenbrandt & Hemelrijk (2009) and Puga-Gonzalez,
337 Hoscheid & Hemelrijk (2015) have shown that across-dyad correlations between
338 cooperative acts given and received can emerge as a consequence of the spatial
339 structuring of agents. When ‘social bonds’ (in the form of a tendency to follow
340 specific individuals) were added to these models, the pattern of reciprocity
341 strengthened. Evers *et al.* (2014, 2015) modelled the emotional consequences of
342 affiliation and fear, and showed that individual-specific emotional states can generate
343 patterns of reciprocity consistent with a mechanism of emotional bookkeeping.

344 Although the aim of these models was only to reproduce existing patterns of
345 reciprocal cooperation in animals, one could argue for the necessity to test also
346 whether the strategies implemented in the agents were evolutionarily robust, i.e. if
347 they competed successfully against other (notably, selfish) strategies. M. Campenni &

348 G. Schino (in preparation) found that when cooperative acts are based on
349 interindividual proximity, reciprocal patterns of exchange emerge (thus confirming
350 the results of Puga-Gonzalez *et al.*, 2015). Nevertheless, such a strategy was
351 unsuccessful in an evolutionary test in which it had to compete against selfish agents
352 that never cooperated. Generally speaking, any strategy that does not include a
353 mechanism that controls or excludes cheaters is likely to be evolutionarily
354 unsuccessful.

355 Campennì & Schino (2014) implemented the same strategy of partner choice
356 based on benefits received in both a ‘single-generation’ and an evolutionary model. In
357 their model, agents choose to cooperate with those partners from which they had
358 received the most cooperation. They showed that a strategy of pure partner choice can
359 both reproduce emergent patterns of reciprocal exchange (i.e. across-dyads
360 correlations) and compete successfully against selfish agents in an evolutionary test.
361 Note that in this model agents were obligate cooperators, and there was therefore no
362 possibility of partner control. This study provided theoretical (simulation-based)
363 support for a role of partner choice in the evolution and maintenance of reciprocal
364 cooperation in group-living animals.

365 Agent-based models appear to be a promising tool for understanding the
366 emergence of reciprocity, but they need to incorporate both tests aimed at reproducing
367 existing patterns of behaviour as observed in real animals and tests aimed at
368 evaluating the evolutionary plausibility of the implemented strategies.

369

370 **VIII. INFERENCES AND PREDICTIONS**

371 Two inferences can be drawn tentatively from the available evidence. First, if
372 one accepts that across-dyad correlations between cooperative acts given and received

373 do provide useful insight, then one must conclude that reciprocity is indeed common,
374 at least in group-living animals. This is in sharp contrast to some commonly held
375 opinions (Stevens *et al.*, 2005, Clutton-Brock, 2009). Second, partner choice seems to
376 play a larger role than partner control in guiding animal decisions about cooperation.
377 This is again in contrast to common (often implicit) assumptions.

378 Our focus herein is on the second of these conclusions, and thus we should ask
379 the following questions: is this to be considered a conclusion of general applicability
380 or is it in some way taxonomically restricted? Are there conditions or animal taxa
381 where partner control can instead be expected to prevail?

382 Noë & Voelkl (2013) suggested that partner choice should prevail in one-shot
383 interactions, while when animals engage in longer-term relationships progressively
384 larger amounts of partner control should become apparent. We note, however, that in
385 group-living animals (that are likely to have long-lasting relationships) the costs of
386 partner sampling and partner switching are greatly reduced, a factor that should
387 favour partner choice and thus promote cooperation through outbidding competition
388 (Johnstone & Bshary, 2008). Also, stable social relationships facilitate emotionally
389 based reciprocity that, in turn, makes partner choice an easy option for a variety of
390 animals.

391 With a single exception (Carter & Wilkinson, 2013), all studies that tried to
392 compare partner control and partner choice in the same species and setting have been
393 conducted on primates, most concluding that partner choice is the prevalent process
394 (see Section V). Thus, there is an urgent need for similar studies in other taxa. Group-
395 living primate and non-primate species share two important aspects that make us
396 suggest that partner choice may be the prevalent process across taxa. First, positive
397 across-dyad correlations between cooperative acts given and received are common in

398 various taxa (Section III). Second, long-term social bonds have important fitness
399 consequences in group-living primate and non-primate species (Silk, 2007; Silk *et al.*,
400 2010; Cameron, Setsaas & Linklater, 2009; Frère *et al.*, 2010), suggesting that the
401 formation of differentiated social relationships has been subject to positive selection
402 in a variety of taxa. Long-term differentiated social relationships and emotionally
403 based reciprocity are closely linked, and similar mechanisms of emotionally based
404 partner choice may have been favoured by natural selection whenever animals
405 capable of interindividual recognition came to live in permanent groups (Schino &
406 Aureli, 2009).

407 By contrast, animals that do not form long-term social relationships must
408 necessarily rely on hard-wired reciprocity for their cooperative exchanges. Under
409 these conditions, short-term reciprocation based on partner-control processes is likely
410 to be the prevalent process, unless it is possible and inexpensive to ‘sample’ different
411 potential partners before the actual cooperative exchange takes place.

412 We offer two possible explanations for why partner control is comparatively
413 rare among group-living animals, both based on an assessment of the proximate
414 mechanisms likely to underlie reciprocity. The first explanation is that partner control
415 may require immediate reciprocation and thus be limited in scope. Stevens & Hauser
416 (2004) identified several possible cognitive constraints (limited memory, limited
417 computational ability, temporal discounting) that may limit the ability of animals to
418 engage in reciprocal cooperation. We have argued elsewhere (Schino & Aureli, 2009;
419 see also Carter, 2014) that these proximate constraints can be easily sidestepped by a
420 proximate mechanism based on emotional bookkeeping. Emotional bookkeeping,
421 however, is essentially a partner-choice mechanism, and the only way to overcome
422 the cognitive constraints identified by Stevens & Hauser (2004) in a partner-control

423 process would be for reciprocity to be always immediate (possibly through hard-wired
424 reciprocity).

425 Although immediate reciprocation does exist (e.g. immediate grooming
426 reciprocity in primates; Barrett *et al.*, 1999), its scope is clearly limited to those cases
427 in which the exchanged currencies are simultaneously available and needed. For
428 example, exchanges of grooming and agonistic support can only occur when support
429 is needed, so that a tight temporal relationship reduces dramatically the possibility of
430 exchange. Accordingly, reciprocal exchanges of grooming and support appear to
431 occur on a much longer time frame that involves partner choice based on overall
432 grooming/support received rather than short-term temporal relationships between
433 events (Schino *et al.*, 2007).

434 The second explanation for why partner control is relatively rare among
435 group-living animals is that partner control may alternatively require some form of
436 ‘planning’ of social interactions and thus be taxonomically restricted to species with
437 advanced cognitive capacities. Partner control may occur when cooperation is
438 proximately motivated by the expectation of a return benefit (i.e. calculated
439 reciprocity). We are aware of only a few studies of non-human animals whose results
440 can be interpreted as a test of calculated reciprocity (as defined above). Dufour *et al.*
441 (2009) reported that a single pair of orang-utan (*Pongo pygmaeus*) exchanged tokens
442 that were valuable only for the partner showing turn taking and signalling. This
443 suggested giving was associated with the expectation that the partner returned the
444 favour. Pelé *et al.* (2009) tested a larger number of apes in a similar setting, and did
445 not observe systematic exchanges that could be interpreted as motivated by the
446 expectation of a return benefit. Similarly, Pelé *et al.* (2010) did not observe systematic
447 exchanges of tokens in tufted capuchin monkeys or Tonkean macaques (*Macaca*

448 *tonkeana*). In a more naturalistic study, Schino & Pellegrini (2011) showed that
449 female mandrills (*Mandrillus sphinx*) did not groom the alpha male immediately
450 before attacking another group member (even if the male was more likely to provide
451 support immediately after grooming), thus suggesting that the expectation to receive
452 support did not motivate the females to groom the male. Interestingly, Sebastian-
453 Enesco & Warneken (2015) recently showed that 5-year-old, but not 3-year-old
454 children adjusted their sharing of a toy in anticipation of future reciprocation, thus
455 highlighting the late development of calculated reciprocity (see also House *et al.*,
456 2013, for comparable results on the development of contingent reciprocity). They also
457 suggested that the mechanisms necessary for partner choice may develop in children
458 earlier than those necessary for partner control. Overall, we argue that partner control
459 may be relatively rare because it is restricted either in scope (being limited to those
460 cases where immediate reciprocation is possible) or taxonomically (being limited to
461 humans and possibly a few other species capable of calculated reciprocity).

462

463 **IX. CONCLUSIONS**

464 (1) Distinguishing between partner-control and partner-choice processes allows a
465 better understanding of the importance of reciprocal cooperation in animals. The
466 available evidence seems to suggest that partner choice is the prevalent process
467 underlying reciprocity in group-living animals. This conclusion, however, is drawn
468 from a taxonomically biased sample of observational studies including almost only
469 nonhuman primates.

470 (2) Three priorities emerge for future research. First, we need studies that quantify
471 simultaneously partner control and partner choice in a broader variety of taxa, in order
472 to assess the generality of our conclusions. Second, we need studies that

473 experimentally manipulate the occurrence of reciprocation in order to assess if and
474 how this manipulation differentially affects partner control and partner choice. Third,
475 we need studies that experimentally manipulate the possibility of partner control and
476 partner choice in order to assess how one process is affected by the presence/absence
477 of the other. Fulfilling these three needs will require the joint effort of researchers
478 with disparate backgrounds and expertise.

479

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483

484 **XI. REFERENCES**

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- 700

Table 1. Assumed and measured time windows for immediate reciprocation in studies of reciprocity.

Species	Assumed/measured	Duration	Reference
<i>Cebus apella</i>	Assumed	About 1 min	Sabbatini <i>et al.</i> (2012)
<i>Cebus nigritus</i>	Assumed	2 h	Tiddi <i>et al.</i> (2011)
<i>Cecrocebus atys</i> and <i>Chlorocebus aethiops</i>	Assumed	30 and 20 s, respectively	Fruteau <i>et al.</i> (2011)
<i>Desmodus rotundus</i>	Assumed	Unclear (about 2 weeks)	Carter & Wilkinson (2013)
<i>Macaca fuscata</i>	Assumed	1 min	Schino <i>et al.</i> (2003)
<i>Macaca fuscata</i>	Assumed	30 min	Schino <i>et al.</i> (2007)
<i>Pan paniscus</i> and <i>P.</i> <i>troglodytes</i>	Assumed	1 h	Jaeggi <i>et al.</i> (2013)
<i>Pan troglodytes</i>	Assumed	30 s	Kaburu & Newton-Fisher (2015)
<i>Callithrix jaccus</i>	Measured	2 min	Campennì <i>et al.</i> (2015)
<i>Cebus apella</i>	Measured	7 min	Schino <i>et al.</i> (2009)
<i>Homo sapiens</i>	Measured	3 days	Hooper <i>et al.</i> (2013)
<i>Mandrillus sphinx</i>	Measured	4.5 min	Schino & Pellegrini (2009)