



LJMU Research Online

Marty, PR, Hodges, K, Heistermann, M, Agil, M and Engelhardt, A

Is social dispersal stressful? A study in male crested macaques (*Macaca nigra*).

<http://researchonline.ljmu.ac.uk/id/eprint/4770/>

Article

Citation (please note it is advisable to refer to the publisher's version if you intend to cite from this work)

Marty, PR, Hodges, K, Heistermann, M, Agil, M and Engelhardt, A (2017) Is social dispersal stressful? A study in male crested macaques (*Macaca nigra*). *Hormones and Behavior*, 87. pp. 62-68. ISSN 0018-506X

LJMU has developed **LJMU Research Online** for users to access the research output of the University more effectively. Copyright © and Moral Rights for the papers on this site are retained by the individual authors and/or other copyright owners. Users may download and/or print one copy of any article(s) in LJMU Research Online to facilitate their private study or for non-commercial research. You may not engage in further distribution of the material or use it for any profit-making activities or any commercial gain.

The version presented here may differ from the published version or from the version of the record. Please see the repository URL above for details on accessing the published version and note that access may require a subscription.

For more information please contact researchonline@ljmu.ac.uk

<http://researchonline.ljmu.ac.uk/>

1
2
3
4 **1 Is social dispersal stressful? A study in male crested macaques (*Macaca nigra*)**
5
6
7
8

9 4 Pascal R. Marty^{1,2,3} *, Keith Hodges², Michael Heistermann⁴, Muhammad Agil⁵, Antje Engelhardt^{2,6}
10
11
12
13
14
15
16
17

18 9 ¹Department of Population Health and Reproduction, University of California Davis, USA
19

20 10 ² Junior Research Group Primate Sexual Selection, German Primate Center, Göttingen, Germany
21

22 11 ³ Reproductive Biology Unit, German Primate Center, Göttingen, Germany
23

24 12 ⁴ Endocrinology Laboratory, German Primate Center, Göttingen, Germany
25

26 13 ⁵ Faculty of Veterinary Medicine, Bogor Agriculture University, Indonesia
27

28 14 ⁶ Faculty of Science, School of Natural Sciences and Psychology, Liverpool John Moores University, UK
29
30
31
32
33
34
35
36
37
38
39

40 20 * *Corresponding author:*

41 21 *Pascal R. Marty*

42 22 *University of California, Davis*

43 23 *1089 Veterinary Medicine Dr.*

44 24 *95161 Davis*

45 25 *USA*

46 26 *E-Mail: pmarty@ucdavis.edu*
47
48
49
50
51
52
53
54
55
56

57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110
111
112

27 **Summary**

28 In gregarious species, dispersal events represent one of the most dramatic changes in social life and
29 environment an animal will experience during life due to increased predation risk, aggression from
30 unfamiliar conspecifics and the lack of social support. However, little is known about how individuals
31 respond physiologically to dispersal and whether this process is stressful for the individuals involved. We
32 therefore studied the physiological stress response during dispersal in the crested macaque, a primate
33 species in which males often change groups. Over a period of 14 months and 14 dispersal events in 4
34 groups, we determined faecal glucocorticoid metabolite (FGCM) levels during the process of immigration
35 into a new group and examined a variety of factors (e.g. male age, rank achieved, number of males in the
36 group) potentially affecting FGCM levels during this process. We found that FGCM levels were
37 significantly elevated in the first few days upon immigration, after which levels returned quickly to
38 baseline. FGCM response levels upon immigration were significantly and positively influenced by the
39 number of males in the group. The rank a male achieved upon immigration, aggression received, as well
40 as the proximity to other males did not significantly influence FGCM levels. Our data confirm previous
41 findings on other species demonstrating that in crested macaques immigration into a new social group is
42 associated with an acute endocrine stress response. However, given that stress hormone levels remained
43 elevated only for a short period of time, we do not expect males to experience high physiological costs
44 during immigration. Given our limited knowledge on the physiological responses to dispersal in animals,
45 this study contributes to our understanding of dispersal more generally, and particularly inter-individual
46 differences in the stress response and the potential physiological costs associated with these.

60 Introduction

61 In gregarious animals, certain individuals leave their natal group as a consequence of selective pressures
62 from within-group competition and inbreeding avoidance (Clutton-Brock and Lukas, 2012; Crnokrak and
63 Roff, 1999; Henzi and Lucas, 1980; Pusey, 1987). The dispersal of an individual from one social unit to
64 another is likely to represent one of the most fundamental shifts in social life and environment in an
65 animal's life (Smale et al., 1997). Dispersal is often accompanied by substantial benefits such as access to
66 unrelated females but may also come with a number of costs to the individual involved. For instance, in
67 comparison to philopatric individuals, conspecifics transferring from one group or territory to another
68 often face increased predation risk and restricted access to known food resources (e.g. Alberts and
69 Altmann, 1995; Pärt, 1995; Ridley et al., 2008). Furthermore, upon arrival in a new social group,
70 dispersing individuals may face an elevation in aggression (Teichroeb et al., 2011; Ydenberg et al., 1988),
71 the need to establish a permanent residency and dominance rank, and unfamiliar competitors – which
72 require them to adapt their behaviour accordingly (Smale et al., 1997). Dispersal from a social group and
73 immigration into a new group represent therefore potentially highly stressful and costly life-phases for the
74 dispersing individual. To date, however, information on the physiological response and potential costs of
75 such dispersal events and, in particular, how stressful the different phases (i.e. transition, immigration,
76 membership in new group) associated with dispersal events are, is scarce. Such information, however,
77 would be important to better understand the implications of this event on the health, survival and
78 consequently the fitness of individuals.

79 Generally, as an adaptation to cope with the new environment, increased predation risk, and
80 social challenges during the transition period and upon immigration, dispersing individuals can be
81 expected to show a physiological stress response, i.e. an activation of the hypothalmo-pituitary-adrenal
82 (HPA) axis and the secretion of increased levels of stress hormone (i.e. glucocorticoid; GC) (e.g.
83 Sapolsky, 2002). This stress response enables the mobilization of energy for immediate use (e.g. 'flight or
84 fight')(Sapolsky, 2002). Enhanced cognition, analgesia, and sensory function, as well as decreased pain
85 perception are further adaptive consequences of an acute physiological stress response increasing the
86 chances to overcome stressful and life-threatening situations (Nelson, 2005; Sapolsky, 1992). A direct
87 link between a physiological stress response and such situations (e.g. increased predation risk, food
88 scarcity) has been shown in diverse taxa (e.g. amphibians: Narayan et al., 2013; mammals: Sheriff et al.,
89 2009). Studies investigating the direct link between time spent outside a social group and its effect on GC
90 levels are, however, scarce. To our knowledge, the only study to examine this relationship, showed that
91 subordinate male meerkats (*Suricata suricatta*) show increased stress hormone levels when conducting
92 extra-territorial forays (Young and Monfort, 2009). Elevated GC levels upon immigration into a new

169
170
171 93 social group, the second important phase individuals face during dispersal, has been reported for a
172
173 94 number of primate species (long-tailed macaques (*Macaca fascicularis*): van Schaik et al., 1991; yellow
174 95 baboons (*Papio cynocephalus*): Alberts et al., 1992; chacma baboons (*Papio ursinus*): Bergman et al.,
175 96 2005; gray-cheeked mangabeys (*Lophocebus albigena*): Arlet et al., 2009; but see black howler monkeys
177 97 (*Alouatta pigra*): van Belle et al., 2009) and thus seems to be a more common pattern, at least in this
178
179 98 taxon.

180 99 However, the duration of these elevations is mostly unknown despite its potential implication on
181
182 100 an individual's health and fitness. Whereas a short term (i.e. acute) increase in GCs is adaptive and allows
183 101 an individual to better cope with a stressful situation, long-term or frequent activation of the HPA axis
184 102 may lead to chronically elevated GC levels that can lead to physiological costs and thus compromise
185 103 fitness due to its diverse negative effects on health (e.g. immunosuppression, decreased growth, impaired
187 104 reproduction), potentially even leading to death (Sapolsky, 1992; Balm, 1999; Nelson, 2005). As such,
189 105 individuals that are better able to downregulate their physiological stress response experience diminished
190 106 health and fitness impairments. If a stress response is purely adaptive, a rise in GC levels is expected to be
191
192 107 followed by a quick return to baseline levels.

193 108 Although current data on the stress response following dispersal is extremely limited due to the
194
195 109 difficulty to predict the timing of dispersal and immigration, we expect that both intrinsic factors (e.g.
196 110 fighting ability/rank achieved) and extrinsic factors (e.g. number of males in the new group, aggression
197 111 received) will predict inter-individual stress responses for the dispersing males. In primates, males are the
199 112 dispersing sex in the majority of species, and newly-arriving individuals of high fighting ability often
200 113 challenge resident males to achieve a high rank in the new group, often at the expense of high risks such
201 114 as severe injuries (Marty et al., 2016; Drews 1995). Immigrants with comparably lower fighting ability
202 115 often only achieve a lower rank in the new group and develop strategies with which to circumvent
203 116 contest, in this way reducing the potential costs of immigration (Clarke et al., 2008; Marty et al., 2016).
206 117 Given these differences in immigration costs, males of different fighting ability can be expected to also
208 118 differ in their stress hormone responses upon immigration.

209 119 Once arrived in a new group, a successful competition for mates does not only depend on
210 120 intrinsic factors such as fighting ability but also on the number of competitors. We assume that the
212 121 number of competitors and therefore the degree of male-male competition may have an influence on the
213 122 males FGCM levels. FGCM levels are expected to be positively associated with the number of
215 123 competitors in the group. Alternatively, males may circumvent competition by avoiding proximity to
216 124 other males and becoming peripheral (Harcourt 1987).

218 125 To investigate individual stress levels during dispersal and immigration, we studied crested
219 126 macaques (*Macaca nigra*). Crested macaques are an excellent model species as males are known to

225
226
227 127 disperse from one group to another several times in their life (Marty et al., 2016). This species has a high
228
229 128 reproductive skew leading to high male-male competition and a steep linear hierarchy (Neumann et al.,
230 129 2011; Reed et al., 1997)(Engelhardt et al. under review). Reproduction in crested macaques is moderately
231
232 130 seasonal whereby females can give birth year round. Crested macaques are endemic to the island of
233 131 Sulawesi (Indonesia) and show a social system typical for cercopithecines with groups consisting of
234
235 132 several males and females. As in most primates, females are philopatric whereas males disperse after
236 133 reaching their physical prime (Marty et al., in press). Predation risk during the transition between groups
237
238 134 seems to be low (Marty et al., 2016). Immigrations into a new group are non-random and many males are
239 135 observed to immigrate into a group around the same time as other males. Males who achieve a high rank
240 136 upon immigration (i.e. high fighting ability) are mainly young adult males dispersing from their natal
241
242 137 group for the first time, immigrate independent of other males, are more likely to get injured, and can
243 138 expect high future reproductive success. Males who achieve a low rank upon immigration (low fighting
244
245 139 ability) align their immigration to a recent change in the alpha male position (exclusively conducted from
246 140 new immigrants) (Marty et al., in press).

248 141 The overall aim of this study was to investigate the pattern of stress hormone output shown by
249 142 dispersing males of a gregarious primate, and, by doing so, to examine whether dispersal events are
250 143 associated with long-term physiological costs to these animals. We also investigated the effect of a variety
251 144 of factors potentially influencing stress hormone output during immigration. Generally, we posed the
252 145 following predictions: for solitary living males in the transition between two social groups (transient
253 146 males), we predict that (1) they will show higher FGCM levels than individuals living in a group (resident
254 147 males). Directly upon immigration, we predict (2) elevated FGCM levels in the immigrants. However,
255 148 due to differences in a males' physical condition and the target group constellation, inter-individual
256 149 differences in the magnitude of the physiological stress response are expected. Specifically, we therefore
260 150 predict (3) high rank achievers who usually challenge the top rank position upon immigration (Marty et
261 151 al, 2016.) to initially show higher FGCM levels than males who achieve a low rank. Regarding male-male
262 152 competition, we expect (4) males immigrating into groups with comparably more competitors, and males
263 153 that spend more time in the vicinity of such males upon immigration (5) to experience higher FGCM
264 154 levels.

268 155

270 156 **Methods**

272 157

273 158 **Study subjects and study site**

274 159 Four groups of wild crested macaques were studied from November 2011 until January 2013 (15
275 160 months) in the Tangkoko Reserve in North Sulawesi, Indonesia (1°33'N, 125°10'E) as part of an on-
276
277
278
279
280

161 going long term project (Macaca Nigra Project, www.macaca-nigra.org). The reserve ranges from sea
 162 level to 1350m and comprises 8867 ha of lowland rainforest (Collins et al.1991; Rosenbaum et al.1998).
 163 The groups live in a mixture of regenerating former gardens, secondary forest, and undisturbed primary
 164 forest. Two of the observed groups (R1 and R2) have been periodically studied during the last ten years
 165 (O'Brien and Kinnaird, 1997; Reed et al., 1997) and continuously since 2006 (e.g. Neumann et al., 2010),
 166 whereas the two other groups (PB and R3) were habituated in 2008 and 2010, respectively (for more
 167 details see Marty et al. 2016). All individuals were fully habituated to the presence of human observers
 168 and individually known by the observers. All dispersing males were fully adult and none of the males
 169 included in this study were considered to be of old age (i.e. worn down canines, skinny body and slower
 170 movement).

172 Behaviour data collection

173 Upon the arrival of a new male in a group, 12 new immigrating males were followed all day,
 174 from one sleeping tree to the next sleeping tree. Two of these males immigrated twice into different
 175 groups (Table 1). Whenever possible, these males were followed on a daily basis for the first 14 days.
 176 Focal data were collected using all occurrence event sampling of all agonistic and affiliative behaviours
 177 with group members, as well as scan sampling every 5 minutes recording position, nearest neighbours,
 178 and activity (Altmann, 1974). The number of adult males in proximity was measured using the data on
 179 males within 10m which was collected every 5 min during a focal observation. A daily average of adult
 180 males within 10m was used for further analyses. All interactions between the focal animal and other
 181 group members were entered into handheld computers (Psion Workabout Pro G2) using spread-sheet
 182 software (PTab Spreadsheet v.3.0; Z4Soft). In addition, data on four solitarily roaming males was
 183 collected while following them for a total of 111 focal hours. Overall, behavioural data was collected
 184 during more than 2300 focal hours.

186 Table 1: Study male ID, number of observed immigrations, ID of the group the males immigrated into,
 187 rank achieved upon immigration, and number of samples within the first 14 days following immigration.
 188

ID	Group	Rank	no of samples
BN	R1	0.07	10
OL	PB	1.00	15
QL	PB	1.00	4
UL	PB	0.78	19
AN	R1	0.00	5
JL	PB	0.00	16

JM	PB	1.00	21
LL	R1	0.63	18
PL	R3	0.33	29
CN	R3	0.00	26
NL *	PB	1.00	2
NL**	R3	1.00	17
FL *	PB	0.11	21
FL**	R2	0.00	14

* = first observed dispersal, ** = second observed dispersal
 Rank: Standardized rank between zero and one, 0.00 represents the highest rank, 1.00 the lowest

Determination of the dominance hierarchy

All displacements (approach/leave interactions) and agonistic dyadic aggressive interactions between males with a clear winner/loser outcome were considered in order to quantify dominance hierarchies. Depending on the available data, interactions within the first three to six months (depending on the group tenure of the males) after the immigration were included into analysis in order to obtain an accurate rank for the newly immigrated male. Dominance rank was assessed using corrected normalized David's score (de Vries et al., 2006), using the package "Steepness" (Leiva and de Vries, 2011) in R (R Development Core Team, 2009) based on a matrix of proportions of wins calculated for each dyad. All ranks were standardized between 0 and 1 with the lower number representing a higher rank (see also Marty et al. 2016).

Sample collection

Urine-uncontaminated faecal samples were collected from transient males, newly immigrated males, and resident males. Samples from newly immigrated males were collected continuously for the first 14 days upon immigration into the new group. If possible, one sample was collected each in the morning, noon, and late afternoon. The freshly defecated faeces were homogenized before an aliquot of 2-3g was placed in a polypropylene tube (Hodges and Heistermann, 2011). Samples were directly stored in a cool box filled with ice until they were placed in a freezer (-18°C) after return to camp. Overall, 217 faecal samples from immigrating males within the first 14 days upon immigration were collected. An additional 187 faecal samples were collected from the immigrating males after the initial 14 days. Furthermore, 130 samples from eight non-natal resident males were collected during the study period for comparison. Eight samples from four transient males were also collected. The first fecal samples for these transient males were collected two days after these males were detected to account for the 1-2 day lag

393
394
395 217 time in fecal glucocorticoid metabolite excretion, thus ensuring the hormone levels represent days the
396 218 male was not in a group.

397
398 219

400 220 **Hormone analyses**

401 221 For analysis, all faecal samples were freeze-dried and pulverized and an aliquot of 0.05 – 0.08g of
402 222 the faecal powder was extracted with 3ml of 80% methanol in water (Palme et al., 2013) as described in
403 223 detail by Heistermann et al. (1995). Faecal extracts were analysed for immunoreactive 11 β -
404 224 hydroxyetiocholanolone, a major metabolite of cortisol in the feces of primates (e.g. Heistermann et al.,
405 225 2006; Marty et al., 2015) by using enzyme immunoassay (Ganswindt et al., 2003). The assay, carried out
406 226 as described in Heistermann et al. (2004), has been validated for monitoring adrenocortical activity in
407 227 numerous primate species of all major taxa, including several species of macaques (Fichtel et al., 2007;
408 228 Heistermann et al., 2006; Ostner et al., 2008; Shutt et al., 2012; Weingrill et al., 2011). The assay was
409 229 recently also validated for use in crested macaques by confirming the presence of high amounts of 11 β -
410 230 hydroxyetiocholanolone in the feces of the species, and demonstrating a significant increase in levels in
411 231 response to external stressors, such as injury, caught in a poachers trap or severe harassment by
412 232 conspecifics (Gholib, 2011). Prior to each assay, extracts were diluted 100 to 3000 times (depending on
413 233 concentration) with assay buffer to bring hormone concentrations into the working range of the assay.
414 234 Sensitivity of the assays at 90% binding was 1.0 pg. Inter- and intra-assay coefficients of variation,
415 235 determined by replicate measurements of high- and low-value quality controls, were 10.9% and 5.2%
416 236 (high) and 14.7% and 8.1% (low), respectively. We ran each sample in duplicate and calculated mass
417 237 steroid metabolite per mass fecal dried weight in ng/g.

418
419 238

420 239 **Statistical analyses**

421 240 Samples collected at least one month after the immigration were used to calculate baseline FGCM
422 241 levels. In order to compare the mean FGCM levels between the four transient and the eight resident
423 242 males, a Mann-Whitney-U Test was conducted in R (2.15.2)(R Development Core Team 2009) using
424 243 mean FGCM levels per individual. Baseline values of an additional six males who immigrated during the
425 244 study period were added as resident males to increase the sample size. To investigate factors determining
426 245 FGCM levels upon immigration, a generalized linear mixed model (GLMM) with Gaussian error
427 246 distribution was carried out. For this, FGCM levels were all ln-transformed to meet assumptions of a
428 247 normal distribution. Hormone values in the immigrating males were adjusted (i.e. shifted) for two days to
429 248 account for the time lag in FGCM excretion (Gholib, 2011). Each sample entered the analysis as the
430 249 response variable (N=217). The predictor variables were: 1. number of days after immigration, 2. number
431 250 of males in proximity (10m), 3. rank achieved upon immigration, 4. number of males in the group, 5.

393
394
395
396
397
398
399
400
401
402
403
404
405
406
407
408
409
410
411
412
413
414
415
416
417
418
419
420
421
422
423
424
425
426
427
428
429
430
431
432
433
434
435
436
437
438
439
440
441
442
443
444
445
446
447
448

449
450
451 251 daily aggression rate received from other males. Rank upon immigration entered the model as a control
452 252 variable. Two males changed group twice within the study period and were accordingly given a number
453 253 for the immigration event (1 for the first immigration, 2 for the second immigration). This immigration
454 254 number, as well as the group identity entered the model as random factors. ID was treated as a nested
455 255 random effect within day to control for multiple samples per day and individual. The model was
456 256 conducted using the package “lmer” in R.

460 257 We checked various diagnostics of model validity and stability (Cook's distance, DFBetas,
461 258 DFFits, and Variance Inflation Factors; distribution of residuals, residuals plotted against fitted values),
462 259 and none of these indicated obvious influential cases, nor obvious deviations from the assumptions of
463 260 normality and homogeneity of residuals (Field, 2005; Quinn and Keough, 2002). To obtain reliable p-
464 261 values, a likelihood ratio test was used to compare the full model with respective reduced models using
465 262 the function ‘drop1’ in the package ‘car’ (Chambers, 1992).

469 263 To compare FGCM levels of immigrating males with their respective baseline levels after the first
470 264 four weeks following immigration, FGCM levels of each individual were averaged for the first 14 days
471 265 following immigration and compared to the mean FGCM value recorded during the period 2-6 months
472 266 after immigration using the Wilcoxon paired rank test was used. All significance levels were set at two-
473 267 tailed p-values < 0.05.

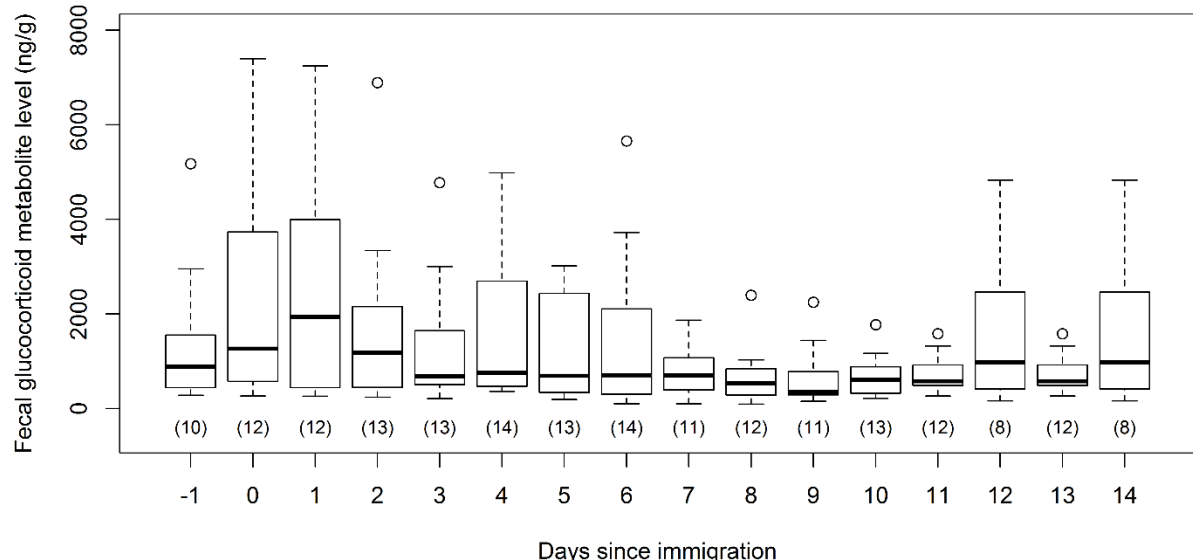
477 269 **Results**

481 271 Although the transition period after departure from a group was not associated with elevated
482 272 FGCMs for dispersing males, entry into a new group was. During the transition period, FGCM levels of
483 273 the four dispersing males sampled did not significantly differ from those recorded for resident males
484 274 (N=14) (Mann-Whitney-Test, U=33, p=0.32, n=18). Upon immigration, the daily mean FGCM levels of
485 275 immigrating males showed a high variation within the first seven days, but did not differ from baseline
486 276 values (assessed several weeks later, see above) in the second week after immigration (Wilcoxon rank
487 277 sum test, W=51, P=0.24, N=24) (Figure 1).

491 278 In the GLMM investigating the factors that influence FGCM levels in response to immigration,
492 279 the null model was significantly different from the full model ($\chi^2=51.19$, $df=12$, $P<0.001$, effect size
493 280 $R^2=0.76$). The best predictors of FGCMs in immigrant males were time since arrival and number of other
494 281 males in the group. Day after immigration was a significant predictor for FGCM levels in immigrating
495 282 males (Table 2) with highest FGCM levels being recorded in the two days directly following immigration
496 283 (Figure 1) and markedly declining levels thereafter. Mean FGCM levels were clearly less variable and
497 284 consistently low following day 7. In addition, the number of males in the target group had a significant

505
506
507
508
509
510
511
512
513
514
515
516
517
518
519
520
521
522
523
524
525
526
527
528
529
530
531
532
533
534
535
536
537
538
539
540
541
542
543
544
545
546
547
548
549
550
551
552
553
554
555
556
557
558
559
560

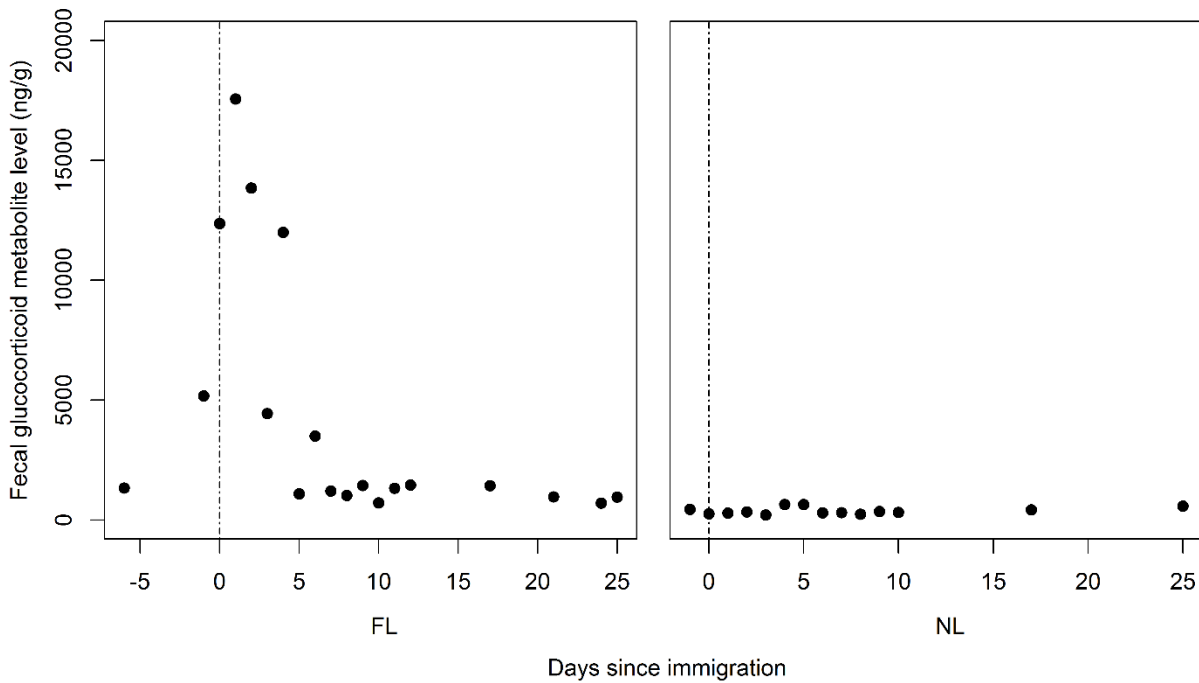
285 influence on the FGCM levels of the immigrating males. The higher the number of males in the target
286 group, the higher were the immigrating males' FGCM levels (Table 2). The rank achieved upon
287 immigration, as well as the proximity to other males did not significantly influence FGCM levels (Table
288 2).



290
291 Figure 1: Boxplot of FGCM levels of immigrating males within the first 14 days upon immigration (the
292 lines represent the minimum/maximum whereas the rectangle represents data from the lower quartile to
293 the upper quartile, outliers above 6000 ng/g were are not shown). Numbers in brackets represent the
294 number of males' sampled on the given day. All hormone data have already been adjusted for the FGCM
295 excretion time lag of two days.
296

561
562
563
564
565
566
567
568
569
570
571
572
573
574
575
576
577
578
579
580
581
582
583
584
585
586
587
588
589
590
591
592
593
594
595
596
597
598
599
600
601
602
603
604
605
606
607
608
609
610
611
612
613
614
615
616

297



298

299 Figure 2: Visualization of the development of two males' (FL, NL) FGCM levels during and after the
300 immigration process. Each point represents the mean daily FGCM. The line represents the time of
301 immigration.

302

303

304 Table 2: Results of the GLMM testing the influence of the day after immigration, the aggression received
305 from males, the rank achieved, and proximity to other males on the FGCM levels of immigrating males.

306

Model	Estimate	SE	t	P
Intercept	5.39	0.51	10.61	< 0.001
Day after immigration	-0.09	0.22	-4.00	0.002
Proximity to other males	-0.05	0.10	-0.52	0.604
Rank achieved	0.40	0.20	2.00	0.07
Number of males	0.20	0.04	5.62	< 0.001
Aggression received	-0.01	1.53	0.00	0.996

307

308

309

310 **Discussion**

311

617
618
619
620
621
622
623
624
625
626
627
628
629
630
631
632
633
634
635
636
637
638
639
640
641
642
643
644
645
646
647
648
649
650
651
652
653
654
655
656
657
658
659
660
661
662
663
664
665
666
667
668
669
670
671
672

312 In the present study, we investigated in wild crested macaques the extent to which the process of
313 male dispersal and immigration into a new group elicits a physiological stress response (i.e. increased
314 HPA axis activity as measured by faecal glucocorticoid (FGCM) levels) in the dispersing individuals. We
315 also, more specifically, examined the relative importance of several factors on a male's glucocorticoid
316 excretion upon immigration. Opposite to what we expected, there was no indication that males show
317 elevated FGCM levels during the transition between groups. Males demonstrated, however, elevated
318 FGCM levels during the first few days after immigration into the new group, indicating that this critical
319 phase of the dispersal process elicits a physiological stress response. However, the period of elevated
320 FGCM levels was relatively short even in newly immigrating males, with FGCM levels dropping to
321 baseline already in the second week after immigration. Inter-individual variation of FGCM levels upon
322 immigration was mainly modulated by the number of potential competitors in the new group. A high
323 number of males in the target group was associated with comparably higher FGCM levels, suggesting a
324 more marked stress response in the immigrating individuals under such conditions.

325 The combination of the absence of social partners, an increased risk of being attacked by
326 conspecifics and predators, and life in an unknown environment is usually considered to represent a
327 stressful situation for a dispersing individual (Smale et al., 1997; Young and Monfort, 2009).
328 Interestingly, in our study species, dispersing individuals during the transition phase of their dispersal did
329 not show increased FGCM levels compared to resident males. The lack of elevated FGCM levels in our
330 roaming males may be ascribed to the small samples size that limits statistical power; thus the results
331 need to be treated with caution. Alternatively, however, the relatively low FGCM levels in our roaming
332 males may be related to the low predation pressure that macaques face on Sulawesi. The risk of predation
333 in crested macaques may in principle be low for both group living animals as well as for those roaming
334 alone. If so, our limited results suggest that the primary stressor for male crested macaques in this
335 population may be social in nature rather than ecological (i.e., predation). In addition, aggression from
336 conspecifics towards strangers is common also in dispersing male crested macaques and severe injuries
337 inflicted by attacks on solitary males have been observed (personal observation). The four roaming males
338 followed in this study, however, did not face such potentially stressful and harmful attacks during the
339 observation time, which may add to the reasons for why FGCM levels were not elevated in these
340 individuals. Whether the lack of encounters with other potentially threatening males was due to active
341 avoidance strategies of the dispersing males, or just a matter of chance, remains unclear. In the few cases
342 where we observed attacks towards solitary males, these occurred only when transient males approached
343 a group for immigration. These attacks may therefore be part of the immigration process rather than of the
344 transition period. However, as already mentioned, our sample size here is inherently small and results thus
345 need to be confirmed, ideally by studying dispersing individuals prior to and during the dispersal process.

673
674
675
676
677
678
679
680
681
682
683
684
685
686
687
688
689
690
691
692
693
694
695
696
697
698
699
700
701
702
703
704
705
706
707
708
709
710
711
712
713
714
715
716
717
718
719
720
721
722
723
724
725
726
727
728

346 This will be an extremely challenging task though as the timing of dispersal is not predictable and
347 following roaming males for extended periods of time is inherently difficult to do.

348 As expected, and corroborating findings for other primate species (Alberts et al., 1992; Arlet et
349 al., 2009; Bergman et al., 2005), FGCM levels of male crested macaques were significantly elevated upon
350 immigration indicating that this critical step of dispersal represents a stressful event also for our study
351 species. Excreting stress hormones during a challenging period is clearly adaptive as it enables the
352 mobilization of energy for immediate use (e.g., the ‘flight or fight’ response) (Sapolsky, 2002),
353 presumably to cope with a new uncertain environment with potential challengers/competitors.
354 Competition is often associated with elevated levels of physiological stress, whereby individuals do not
355 only show elevated stress levels during, but already prior to the competitive event (e.g. *Macaca*
356 *fascicularis*: Girard-Buttez et al. 2009; humans: Aubets and Segura, 1995). However, our results also
357 show that the elevation in stress hormone output associated with immigration is not maladaptive as the
358 increase only lasted a few days at most, helping the individual to cope with the energetic challenges
359 associated with this process but not leading it into allostatic overload (McEwen 1998). Thus, detrimental
360 and long-lasting effects on an individual’s health as seen under chronic conditions of stress (e.g.
361 Sapolsky, 2002) are unlikely to occur in male crested macaques as a consequence of dispersal, at least not
362 if immigrations are successful as they all were in our study. In recent years, it has been debated whether
363 or not animals suffer from chronic stress in a wild environment at all or if our knowledge is too much
364 influenced by biomedical research on captive animals (e.g. Boonstra, 2013; Wingfield and Ramenofsky,
365 2011). Research on fitness consequences of prolonged or chronic physiological stress in a natural setting
366 is very limited in comparison to the overwhelming literature in biomedical research (Boonstra 2013). It
367 has, however, been shown that the risk of extended periods of elevated stress hormone levels might affect
368 an individual’s behavioural strategy leading it to withdraw from a challenge (Girard-Buttoz et al. 2014).
369 Our finding that dispersing between groups does not pose a long-term stressor to male crested macaques
370 does not only show the absence of a maladaptive stress response but might also facilitate male dispersals
371 in this species and thus explain the many immigration events we observed even for males entering at the
372 lower end of the hierarchy.

373 On an individual level, our results show that differences in the magnitude of the stress response
374 are linked to the number of potential challengers/competitors in the target group. Males who immigrated
375 into groups with a higher number of adult males showed comparably higher FGCM levels than males
376 who immigrated into groups with fewer potential competitors. Interestingly, not males who are actually at
377 the highest risk of getting injured (high rank achievers) but males with the highest potential for being
378 attacked (number of competitors) show higher FGCM levels. Even though immigration into a group with
379 a comparable high number of males elicits a stronger physiological stress response, male crested

729
730
731 380 macaques do not preferentially immigrate into groups with a lower number of competitors (Marty et al.,
732 381 2016). This supports our assumption that the stress response upon immigration is purely adaptive with no
733 382 negative consequences on the males' fitness. The stress response probably simply prepares the individual
734 383 for upcoming potential or real challenges. The initial strong stress response may come along with rank
735 384 uncertainties upon immigration and may return to homeostasis as soon as the potential of challenges
736 385 declines with an increase in rank certainty. The higher the number of competitors in a group is, the longer
737 386 it may take to consolidate the rank a male achieved.

741 387 Even though dispersal is often accompanied by cost in terms of injuries, predation, or starvation
742 388 (e.g. Alberts and Altmann, 1995; Cheney and Seyfarth, 1983; Pärt, 1995) and represents one of the most
743 389 stressful periods in a male's life (Smale et al., 1997), physiological costs might be overestimated. Both on
744 390 an individual and a population level, the stress responses we observed to immigration followed the pattern
745 391 expected for an adaptive response to an acute stressor. Our results do not provide any evidence of chronic
746 392 stress or a prolonged stress response. Individuals in the wild may have adapted to stressful situations such
747 393 as dispersal and immigration over time by using strategies to reduce costs (Marty et al., 2016). Our results
748 394 suggest that inter-individual differences in the stress response are likely to be adaptive due to varying
749 395 external conditions during and upon immigration.

750 396 Overall, our study demonstrates that the physiological stress response to migration shown by male crested
751 397 macaques is adaptive and does most likely not carry any physiological costs. Interestingly, we did not
752 398 find any statistically significant individual differences in the males' stress response suggesting that
753 399 immigration is similarly stressful in this species whether males try to achieve a high or a low rank. This
754 400 might explain why dispersal in crested macaques is highly dynamic with males migrating even frequently
755 401 when the prospect for reproductive benefits achieved in the new group is bad. Similar studies on other
756 402 species are now needed to better understand in how far duration of and inter-individual differences in the
757 403 physiological stress response influence a species' migration dynamic.

758 404

759 405

760 406 **ACKNOWLEDGEMENTS**

761 407

762 408 We thank the Indonesian State Ministry for Research and Technology (RISTEK), the Director
763 409 General Department Kehutanan (PHKA), the Department Dalam Negeri, the local Government in North
764 410 Sulawesi and BKSDA Manado for giving us the permission to conduct research in Indonesia. We are
765 411 thankful to all assistants, in particular to Agung, Arief, Caitlin Hannah and Maura Tyrrell for their help in
766 412 collecting data. We thank Ugiek, Stephan Lentey, Maria Panggur and Edith Sabara for administrative
767 413 support in Indonesia. For helpful comments on the manuscript, we would like to thank the two

768 414

769 415

770 416

771 417

772 418

773 419

785
786
787
788
789
790
791
792
793
794
795
796
797
798
799
800
801
802
803
804
805
806
807
808
809
810
811
812
813
814
815
816
817
818
819
820
821
822
823
824
825
826
827
828
829
830
831
832
833
834
835
836
837
838
839
840

414 anonymous reviewers For her help in the laboratory we thank Andrea Heistermann. We thank the
415 Leibnitz Association and the German Research Council (grant no. EN 719/2) for financial support.

416
417

418 **References**

419

420 Adamo, S.A., Kovalko, I., Mosher, B., 2013. The behavioural effects of predator-induced stress responses
421 in the cricket (*Gryllus texensis*): the upside of the stress response. *J. Exp. Biol.* 216, 4608–14.

422 Alberts, S.C., Altmann, J., 1995. Balancing costs and opportunities: dispersal in male baboons. *Am. Nat.*
423 145, 279–306.

424 Alberts, S.C., Sapolsky, R.M., Altmann, J., 1992. Behavioral, endocrine, and immunological correlates of
425 immigration by an aggressive male into a natural primate group. *Horm. Behav.* 26, 167–78.

426 Altmann, J., 1974. Observational study of behavior: sampling methods. *Behaviour* 49, 227–267.

427 Arlet, M., Grote, M., Molleman, F., 2009. Reproductive tactics influence cortisol levels in individual male
428 gray-cheeked mangabeys (*Lophocebus albigena*). *Horm. Behav.*

429 Aubets, J., Segura, J., 1995. Salivary cortisol as a marker of competition related stress. *Sci. Sports* 10,
430 149–154.

431 Balm, P.H., 1999. *Stress Physiology in Animals*. Sheffield Academic Press, Sheffield.

432 Bergman, T., Beehner, J., Cheney, D., Seyfarth, R., Whitten, P., 2005. Correlates of stress in free-ranging
433 male chacma baboons, *Papio hamadryas ursinus*. *Anim. Behav.* 70, 703–713.

434 Boonstra, R., 2013. Reality as the leading cause of stress: rethinking the impact of chronic stress in
435 nature. *Funct. Ecol.* 27, 11–23.

436 Chambers, J.M., 1992. Linear models, in: Chambers, J.M., Hastie, T.J. (Eds.), *Statistical Models in S*.
437 Wadsworth & Brooks.

438 Cheney, D.L., Seyfarth, R.M., 1983. Nonrandom Dispersal in Free-Ranging Vervet Monkeys: Social and
439 Genetic Consequences. *Am. Nat.* 122, 392.

440 Clarke, P.M.R., Henzi, S.P., Barrett, L., Rendall, D., 2008. On the road again: competitive effects and
441 condition-dependent dispersal in male baboons. *Anim. Behav.* 76, 55–63.

442 Clutton-Brock, T., Lukas, D., 2012. The evolution of social philopatry and dispersal in female mammals.
443 *Mol. Ecol.* 472–492.

444 Crnokrak, P., Roff, D.A., 1999. Inbreeding depression in the wild. *Heredity (Edinb)*. 83, 260–270.

445 de Vries, H., Stevens, J.M.G., Vervaecke, H., 2006. Measuring and testing the steepness of dominance
446 hierarchies. *Anim. Behav.* 71, 585–592.

447 Drews, C., 1995. Context and patterns of injuries in free-ranging male baboons (*Papio cynocephalus*).
448 *Behaviour* 133, 443–474.

449 Fichtel, C., Kraus, C., Ganswindt, A., Heistermann, M., 2007. Influence of reproductive season and rank
450 on fecal glucocorticoid levels in free-ranging male Verreaux's sifakas (*Propithecus verreauxi*). *Horm.*
451 *Behav.* 51, 640–8.

452 Field, A., 2005. *Discovering statistics using SPSS*. Sage Publications, London.

453 Ganswindt, A., Palme, R., Heistermann, M., Borragan, S., Hodges, J., 2003. Non-invasive assessment of
454 adrenocortical function in the male African elephant (*Loxodonta africana*) and its relation to
455 musth. *Gen. Comp. Endocrinol.* 134, 156–166.

456 Gholib, 2011. Non-invasive hormone monitoring: Faecal androgen and glucocorticoid in male crested
457 macaques (*Macaca nigra*) in relation to seasonal and social factors. Master thesis, Bogor
458 Agricultural University.

459 Girard-Buttoz C, Heistermann M, Krummel S, Engelhardt A. 2009. Seasonal and social influences on fecal

841
842
843
844
845
846
847
848
849
850
851
852
853
854
855
856
857
858
859
860
861
862
863
864
865
866
867
868
869
870
871
872
873
874
875
876
877
878
879
880
881
882
883
884
885
886
887
888
889
890
891
892
893
894
895
896

460 androgen and glucocorticoid excretion in wild male long-tailed macaques (*Macaca fascicularis*)
461 Physiology & Behavior, 98 :168-175

462 Girard-Buttoz C, Heistermann M, Rahmi E, Agil M, Ahmad Fauzan P, Engelhardt A. 2014. Costs of mate-
463 guarding in wild male long-tailed macaques (*Macaca fascicularis*): Physiological stress and
464 aggression. Hormones and Behavior, 66 :637-648

465 Heistermann, M., Ademmer, C., Kaumanns, W., 2004. Ovarian cycle and effect of social changes on
466 adrenal and ovarian function in *Pygathrix nemaeus*. Int. J. Primatol. 25, 689–708.

467 Heistermann, M., Finke, M., Hodges, J.K., 1995. Assessment of female reproductive status in captive-
468 housed Hanuman langurs (*Presbytis entellus*) by measurement of urinary and fecal steroid
469 excretion patterns. Am. J. Primatol. 37, 275–284.

470 Heistermann, M., Palme, R., Ganswindt, A., 2006. Comparison of different enzymeimmunoassays for
471 assessment of adrenocortical activity in primates based on fecal analysis. Am. J. Primatol. 68, 257–
472 273.

473 Henzi, S.P., Lucas, J.W., 1980. Observations on the Inter-Troop Movement of Adult Vervet Monkeys
474 (*Cercopithecus aethiops*). Folia Primatol. 33, 220–235.

475 Hodges, J., Heistermann, M., 2011. Field endocrinology: monitoring hormonal changes in free-ranging
476 primates, in: Field and Laboratory Methods in Primatology, A Practical Guide. Cambridge University
477 Press, Cambridge, pp. 353–370.

478 Leiva, D., de Vries, H., 2011. Testing Steepness of Dominance Hierarchies.

479 Marty, P.R., Hodges, K., Agil, M., Engelhardt, A., 2016. Determinants of immigration strategies in male
480 crested macaques (*Macaca nigra*). Sci. Rep. 6, 32028.

481 Marty, P.R., Hodges, K., Agil, M., Engelhardt, A., 2015. Alpha male replacements and delayed dispersal in
482 crested macaques (*Macaca nigra*). Am. J. Primatol. doi: 10.1002/ajp.22448.

483 Marty, P.R., van Noordwijk, M.A., Heistermann, M., Willems, E.P., Dunkel, L.P., Cadilek, M., Agil, M.,
484 Weingrill, T., 2015. Endocrinological correlates of male bimaturism in wild Bornean orangutans.
485 Am. J. Primatol. 77, 1170–1178.

486 McEwen BS., 1998. Stress, adaptation, and disease. Allostasis and allostatic load.
487 Ann N Y Acad Sci. 1;840:33-44

488 Narayan, E.J., Cockrem, J.F., Hero, J.-M., 2013. Sight of a predator induces a corticosterone stress
489 response and generates fear in an amphibian. PLoS One 8, e73564.

490 Nelson, R., 2005. An introduction to behaviorial endocrinology. Sinauer Associates, Inc., Sunderland,
491 MA.

492 Neumann, C., Assahad, G., Hammerschmidt, K., Perwitasari-Farajallah, D., Engelhardt, A., 2010. Loud
493 calls in male crested macaques, *Macaca nigra*: a signal of dominance in a tolerant species. Anim.
494 Behav. 79, 187–193.

495 Neumann, C., Duboscq, J., Dubuc, C., Ginting, A., Irwan, A.M., Agil, M., Widdig, A., Engelhardt, A., 2011.
496 Assessing dominance hierarchies: validation and advantages of progressive evaluation with Elo-
497 rating. Anim. Behav. 82, 911–921.

498 O'Brien, T.G., Kinnaird, M., 1997. Behavior, diet, and movements of the Sulawesi crested black macaque
499 (*Macaca nigra*). Int. J. Primatol. 18, 321–351.

500 Ostner, J., Kappeler, P., Heistermann, M., 2008. Androgen and glucocorticoid levels reflect seasonally
501 occurring social challenges in male redfronted lemurs (*Eulemur fulvus rufus*). Behav. Ecol.
502 Sociobiol. 62, 627–638.

503 Palme, R., Touma, C., Arias, N., Dominchin, M., Lepschy, M., 2013. Steroid extraction: Get the best out of
504 faecal samples. Wiener tierärztliche Monatszeitschrift 100, 238–246.

505 Pärt, T., 1995. The importance of local familiarity and search costs for age- and sex-biased philopatry in
506 the collared flycatcher. Anim. Behav. 49, 1029–1038.

507 Pusey, A.E., 1987. Sex-biased dispersal and inbreeding avoidance in birds and mammals. Trends Ecol.

897
898
899
900
901
902
903
904
905
906
907
908
909
910
911
912
913
914
915
916
917
918
919
920
921
922
923
924
925
926
927
928
929
930
931
932
933
934
935
936
937
938
939
940
941
942
943
944
945
946
947
948
949
950
951
952

508 Evol. 2, 295–9.

509 Quinn, G.P., Keough, M.J., 2002. Experimental Designs and Data Analysis for Biologists. Cambridge
510 university press, Cambridge.

511 R Development Core Team, 2009. R: A language and environment for statistical computing.

512 Reed, C., O'Brien, T.G., Kinnaird, M.F., 1997. Male social behavior and dominance hierarchy in the
513 Sulawesi crested black macaque (*Macaca nigra*). Int. J. Primatol. 18, 247–260.

514 Ridley, A.R., Raihani, N.J., Nelson-Flower, M.J., 2008. The cost of being alone: the fate of floaters in a
515 population of cooperatively breeding pied babblers *Turdoides bicolor*. J. Avian Biol. 39, 389–392.

516 Sapolsky, R.M., 2002. Endocrinology of the stress-response, in: Becker, J., Breedlove, S., Crews, D.,
517 McCarthy, M. (Eds.), Behavior Endocrinology. The MIT Press, Cambridge, pp. 409–450.

518 Sapolsky, R.M., 1992. Stress, the Aging Brain, and the Mechanisms of Neuron Death. MIT Press,
519 Cambridge.

520 Sheriff, M.J., Krebs, C.J., Boonstra, R., 2009. The sensitive hare: sublethal effects of predator stress on
521 reproduction in snowshoe hares. J. Anim. Ecol. 78, 1249–58.

522 Shutt, K., Setchell, J.M., Heistermann, M., 2012. Non-invasive monitoring of physiological stress in the
523 Western lowland gorilla (*Gorilla gorilla gorilla*): validation of a fecal glucocorticoid assay and
524 methods for practical application in the field. Gen. Comp. Endocrinol. 179, 167–77.

525 Slos, S., Stoks, R., 2008. Predation risk induces stress proteins and reduces antioxidant defense. Funct.
526 Ecol. 22, 637–642.

527 Smale, L., Nunes, S., Holekamp, K., 1997. Sexually dimorphic dispersal in mammals: patterns, causes, and
528 consequences. Adv. Study Behav. 26, 181–250.

529 Teichroeb, J. a., Wikberg, E.C., Sicotte, P., 2011. Dispersal in male ursine colobus monkeys (*Colobus*
530 *vellerosus*): influence of age, rank and contact with other groups on dispersal decisions. Behaviour
531 148, 765–793.

532 van Belle, S., Estrada, A., Ziegler, T.E., Strier, K.B., 2009. Social and hormonal mechanisms underlying
533 male reproductive strategies in black howler monkeys (*Alouatta pigra*). Horm. Behav. 56, 355–63.

534 van Schaik, C.P., Van Noordwijk, M.A., Vanbragt, T., Blankenstein, M.A., 1991. A Pilot-Study of the Social
535 Correlates of Levels of Urinary Cortisol, Prolactin, and Testosterone in Wild Long-Tailed Macaques
536 (*Macaca fascicularis*). Primates 32, 345–356.

537 Weingrill, T., Willems, E.P., Zimmermann, N., Steinmetz, H., Heistermann, M., 2011. Species-specific
538 patterns in fecal glucocorticoid and androgen levels in zoo-living orangutans (*Pongo* spp.). Gen.
539 Comp. Endocrinol. 172, 446–57.

540 Wingfield, J.C., Ramenofsky, M., 2011. Hormone-Behavior Interrelationships of Birds in Response to
541 Weather, in: Brockmann, H.J., Roper, T., Naguib, M., Mitani, J.C., Simmons, L.W. (Eds.), ADVANCES
542 IN THE STUDY OF BEHAVIOR, VOL. 43. Academic Press, Burlington, pp. 93–188.

543 Ydenberg, R., Giraldeau, L., Falls, J., 1988. Neighbours, strangers, and the asymmetric war of attrition.
544 Anim. Behav. 36, 343–347.

545 Young, A.J., Monfort, S.L., 2009. Stress and the costs of extra-territorial movement in a social carnivore.
546 Biol. Lett. 5, 439–441.