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Marsh, CE, Carter, HH, Guelfi, KJ, Smith, KJ, Pike, KE, Naylor, LH and Green, DJ (2017) Brachial and Cerebrovascular Functions Are Enhanced in Postmenopausal Women after Ingestion of Chocolate with a High Concentration of Cocoa. The Journal of Nutrition. 147 (9). pp. 1686-1692.

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ENHANCED BRACHIAL AND CEREBROVASCULAR FUNCTION IN POSTMENOPAUSAL WOMEN FOLLOWING INGESTION OF HIGH CACOA CONCENTRATED CHOCOLATE

Channa E Marsh^{1*}, Howard H Carter^{1*}, Kym J Guelfi¹, Kurt J Smith¹, Kerryn E Pike², Louise
H Naylor¹, Daniel J Green^{1,3,4}

¹School of Sport Science, Exercise and Health, University of Western Australia,
Crawley, Western Australia

²School of Psychology & Public Health, La Trobe University, Melbourne, Victoria

³Research Institute for Sport and Exercise Science, Liverpool John Moores University,
Liverpool, United Kingdom

⁴Principal Research Fellow, National Health and Medical Research Council, Australia

*These authors contributed equally and should be considered joint first authors

Marsh, Carter, Guelfi, Smith, Pike, Naylor, Green

Word Count: 3957; Figures: 5; Tables: 1 in manuscript; 1 as OSM

Short Running Head: Cocoa, endothelial and cerebral artery function

Source of Financial Support: None to declare

Conflict of Interest: None to declare

Corresponding Author

Winthrop Professor Daniel J Green, 35 Stirling Hwy, School of Sports Science, Exercise and
Health, The University of Western Australia, Crawley, Western Australia, 6009
Phone: +61 (8) 6488 2378, Fax: +61 (8) 6488 1039, Email: danny.green@uwa.edu.au

Journal Subject Codes: Cardiovascular system and vascular biology, Aging, Metabolism

Abbreviations: WC, white chocolate; MC, milk chocolate; DC, dark chocolate; FMD,
flow-mediated dilation; TCD, transcranial Doppler; CBF_v, cerebral blood flow velocity;
UWA, The University of Western Australia; MAP, mean arterial pressure; CVC,
cerebrovascular conductance; NO, nitric oxide; eNOS, endothelial nitric oxide synthase

Clinical Trial Registration Number: ACTRN12616000990426 obtained from The Australian
New Zealand Clinical Trial Registry: www.ANZCTR.org.au

1 **Abstract**

2 **Background:** Cocoa contains polyphenols that are thought to be beneficial to vascular health.

3 **Objective:** We assessed the impact of chocolate containing distinct levels of cocoa on

4 cerebrovascular function and cognition. **Methods:** Using a counterbalanced within-subject

5 design, we compared the acute impact of consumption of energy-matched chocolate containing

6 80, 35 and 0% single-origin cacao on vascular endothelial function, cognition and

7 cerebrovascular function in 12 healthy postmenopausal women (57.3 ± 5.3 yr) who attended a

8 familiarisation session, followed by 3 experimental trials, separated by 1 week each. Outcome

9 measures included cerebral blood flow velocity responses, recorded before and during

10 completion of a computerised cognitive assessment battery (CogState), brachial artery flow-

11 mediated dilation (FMD) and hemodynamic responses (heart rate, blood pressure). **Results:**

12 When pre versus post chocolate cerebral blood flow velocity (CBFv) data were compared

13 between conditions using two-way ANOVA, an interaction effect ($P = 0.003$), and main effects

14 for chocolate ($P=0.043$) and time ($P=0.001$) were evident. Post hoc analysis revealed that both

15 milk chocolate (**MC**; $P=0.02$) and dark chocolate (**DC**; $P=0.003$) induced significantly lower

16 cerebral blood flow responses during the cognitive tasks, after normalisation for changes in

17 arterial pressure. DC (80% cocoa) consumption also increased brachial FMD compared with

18 pre-chocolate baseline ($P=0.002$), while MC (35%) and white chocolate (**WC**; 0%) incurred no

19 change (interaction between conditions $P=0.034$). **Conclusions:** Consumption of chocolate

20 containing high concentrations of cocoa enhanced vascular endothelial function, reflected by

21 improvements in FMD. Cognitive function outcomes did not differ between conditions,

22 however cerebral blood flow responses during these cognitive tasks were lower in the MC and

23 DC conditions. These findings suggest that chocolate containing high concentrations of cocoa

24 may modify the relationship between cerebral metabolism and blood flow responses in

25 postmenopausal women.

26 **Keywords:** Chocolate, cocoa, polyphenol, nitric oxide, cerebrovascular

27

28 **Introduction**

29 Chocolate is one of the world's most consumed foods. In the USA, approximately 5-6 kg of
30 chocolate is consumed annually, per person (1). Despite this high consumption, chocolate is
31 sometimes considered to be 'unhealthy' and has a reputation for contributing to weight gain
32 (2) due to the high fat, sugar and caloric content of commercially manufactured products.
33 However, there is growing evidence that some types of chocolate may provide health benefits
34 attributable to the high polyphenol content, particularly flavanols, contained within the non-fat
35 solids of cocoa liquor. These are found in greater concentrations (~5-fold) in dark chocolate
36 (DC), compared with milk chocolate (MC) (3). By comparison, white chocolate (WC) contains
37 limited polyphenols as it comprises butter extracted from cocoa liquor and is devoid of non-fat
38 cocoa solids (4).

39

40 Importantly, flavanols have been associated with antioxidant and anti-inflammatory effects,
41 along with reductions in platelet reactivity, aggregation and adhesion (5). These actions
42 promote healthy vascular function (5) and potentially reduce the risk of cardiovascular
43 mortality (6). Indeed, a number of systematic reviews have concluded that evidence from both
44 laboratory studies and randomised trials indicate that chocolate and flavanols may confer
45 cardiovascular benefit (7-10). Accordingly, some research on the potential health benefits of
46 chocolate consumption has focussed on endothelial function, assessed via flow-mediated
47 dilation (FMD). Systematic reviews have suggested that flavanol-rich cocoa and DC produce
48 significant and favourable effects on brachial artery FMD (8), but this is not a universal finding
49 (11). However, no studies have adopted a study design involving the acute impact of chocolate
50 containing distinct concentrations of cocoa, using FMD to assay endothelial function.

51

52 Whilst enhanced FMD of the brachial artery is indicative of cardiovascular health (12-14),
53 improvement in cerebrovascular endothelial function may reduce the risk of stroke and enhance
54 cognitive function (15, 16). Only one previous study, to our knowledge, has assessed
55 cerebrovascular perfusion in response to a flavanol-rich cocoa-based beverage using
56 transcranial Doppler (TCD; 17). However, the results of this study are difficult to interpret, due
57 to the variability in baseline measures, lack of dietary control and the absence of a control
58 group. There was also no attempt to link cognition and cerebral perfusion.

59

60 The aim of the present study was to assess the acute effect of consuming differing types of
61 chocolate (80% cocoa “DC”, 35% cacao milk chocolate “MC” and a white chocolate “WC”
62 containing only cocoa fats) on endothelial and cerebrovascular function in post-menopausal
63 women. These formulations were manufactured from a single-source and single-batch of cacao
64 bean and each condition was matched for energy content. We hypothesised that acute
65 consumption of DC, high in cocoa solids and flavanols, would result in improved vascular
66 function, including increased brachial artery FMD and cerebrovascular responses to a
67 standardised cognitive challenge, compared to the consumption of MC. We did not hypothesise
68 that changes would be apparent in any measures following consumption of WC, in which cocoa
69 solids are absent.

70

71

72 **Methods**

73 *Participants*

74 Twelve apparently healthy, postmenopausal women (age: 57.3 ± 5.3 yr, weight: 67.3 ± 11.9 kg,
75 and body mass index: 24.6 ± 4.6 kg.m⁻²) were recruited from The University of Western

76 Australia (UWA) and the local community. Those who smoked, were taking prescribed
77 medication or had a previous diagnosis of any cardiovascular disease or cognitive disorder
78 were excluded via a screening questionnaire. Prior to their inclusion in the study, each
79 participant provided written informed consent and the study was approved by the UWA Human
80 Research Ethics Committee.

81

82 *Study Design and Chocolate Treatment*

83 Using a repeated measures cross-over design, each participant was required to attend four
84 separate laboratory sessions at the School of Sport Science, Exercise and Health, UWA at the
85 same time of day. The first visit, a familiarisation session (including baseline assessment of
86 resting cerebrovascular perfusion and neurovascular coupling with cognitive challenge), was
87 followed by three experimental trials in which the order of trial administration was
88 counterbalanced to control for any potential order effect, involving the consumption of (a) high
89 concentration (80%) cocoa DC, (b) lower concentration (35%) cocoa MC and (c) a WC
90 containing cocoa fats and no solids. All chocolate treatments were manufactured to our
91 requirements by an artisanal chocolatier (*Gabriel Chocolate Company*, Margaret River,
92 Western Australia) using the same batch of single-origin cacao bean from the Sambirano
93 Valley, Madagascar, in the desired concentrations of 35% and 80% cocoa, with the WC
94 condition consisting of the cocoa butter extracted from the same bean. The complete nutritional
95 composition of each chocolate was analysed by the Australian National Nutritional
96 Measurement Institute (Melbourne, Vic, 3207, Australia) and is summarised in Table 1. Based
97 on the nutritional laboratory analysis, we matched the energy content of consumed chocolate
98 between trials, by feeding participants 85 g of WC, 87 g of MC and 84 g of DC in a
99 counterbalanced order to provide a total of 2099 kJ under each condition.

100

101 *Familiarisation Session*

102 Participants arrived at the laboratory in the morning after an overnight fast and were given an
103 overview of the study protocol and requirements before providing informed signed consent.
104 Participants were instructed to complete a food diary and abstain from caffeine, alcohol,
105 chocolate and vigorous physical activity during the 24 h prior to each subsequent session. The
106 food diary required them to record the type, portion size and timing of ingested food and
107 beverage in detail, for the purpose of being replicated in the 24 h prior to each subsequent
108 experimental session. This allowed prior energy intake to be matched within-subjects between
109 trials, with mean total daily energy intake, together with the quantity of carbohydrate, fat, and
110 protein consumed determined from these records using a commercially available software
111 program (FoodWorks 7; Xyris Software, Queensland, Australia).

112

113 Body mass and height were recorded and participants were then fitted with a TCD to measure
114 resting **cerebral blood flow velocity (CBF_v)** for 5 min in the absence of any stimulus, with
115 their eyes open. Neurovascular coupling of cerebral metabolism and blood flow was assessed
116 by administering a standardised CogState test (details below) while CBF_v was continuously
117 recorded. Participants were also familiarised with the FMD equipment and procedures.

118

119 *Experimental Trials*

120 Participants were then required to visit the laboratory for three experimental testing sessions
121 conducted over 3 h, on three separate occasions, approximately one week apart. These sessions
122 were scheduled for the same time of the morning as the familiarisation session, following an
123 overnight fast and replication of the participants 24 h food diary.

124

125 After arrival at the laboratory, on each occasion, participants underwent baseline measures of
126 resting blood pressure, resting CBF_v and endothelial function (FMD). The assigned chocolate
127 treatment was then administered (treatment order was counterbalanced to control for any
128 potential order effect), with a fixed time of 15 min allowed for consumption. The participants
129 were blindfolded throughout the consumption phase to prevent visual recognition of the
130 condition. Chocolate consumption was immediately followed by 30 min of passive rest in a
131 temperature controlled laboratory environment. Following this, measures of blood pressure,
132 CBF_v and endothelial function were repeated along with the neurovascular coupling
133 assessment (detailed below).

134

135 ***Outcome Measures***

136 *Assessment of vascular endothelial function*

137 Brachial artery endothelial function was assessed using FMD at baseline and 80 min following
138 chocolate consumption. Briefly, non-invasive high-resolution ultrasound (Terason, t3200,
139 Burlington, MA 01803, USA) imaging of the brachial artery was performed on the non-
140 dominant arm, as previously described in our papers. Details of our assessment and analysis
141 techniques have been published in detail elsewhere (18, 19).

142

143 *Assessment of resting cerebrovascular perfusion*

144 CBF_v was assessed using TCD (Spencer Technologies, Seattle, WA), described in detail
145 elsewhere (20). Participants were instrumented with a headframe (Marc 600, Spencer
146 Technologies) capable of bilaterally transfixing two 2-MHz ultrasound probes over the
147 temporal window for the duration of both the familiarisation and experimental trials. Bilateral
148 measures of each middle cerebral artery flow velocities were obtained for 5 min in a rested
149 state in a standardised room devoid of stimulation. Participants were seated in front of a blank

150 whiteboard and told to focus on the screen. Measurements were obtained in this way prior to,
151 and 60 min after chocolate consumption and exported in real time to a data acquisition system
152 (PowerLab, LabChart 7; ADInstruments, Sydney, Australia) for post hoc analysis.

153

154 *Assessment of neurovascular coupling and cognition*

155 Neurovascular coupling was assessed as the responses of CBF_v to increased neural activity
156 induced by cognitive computer-based tasks (CogState test battery – see below). To minimise
157 the impact of a learning effect within each trial, responses during these cognitive tasks were
158 assessed during the familiarisation laboratory visit, which served as baseline data for the
159 subsequent chocolate consumption experimental trial responses, collected 60 mins after
160 chocolate consumption. In this way, approximately one week separated each repeat cognitive
161 task performed in the counterbalanced conditions.

162

163 Cognitive function was assessed using a computer-based cognitive battery (CogState Research
164 TM), a widely used and accepted academic research tool. In order to familiarise participants
165 and standardise the administration of the CogState test, written instructions and three to five
166 practice trials were completed prior to the commencement of each experimental trial, for each
167 task. The set of assessments chosen for this study were based on other studies investigating the
168 effect of cocoa ingestion on cognition (21) and included: a detection task assessing
169 psychomotor function and speed of processing, an identification task assessing visual attention,
170 the ‘one back’ and ‘two back’ tasks assessing attention and working memory, the ‘international
171 shopping list learning’ and ‘recall’ tasks assessing verbal learning and memory, and the
172 continuous paired association learning task assessing visual learning and memory. The stability
173 and efficiency of the CogState battery for repeated assessment of cognitive function have been
174 demonstrated (22).

175

176 *Assessment of blood pressure*

177 Beat-to-beat continuous arterial pressure and heart rate traces were recorded for the duration
178 of all sessions using a Finometer PRO (Finapres Medical Systems, Amsterdam). Blood
179 pressure and heart rate were continuously assessed with data exported in real time to a data
180 acquisition system as above.

181

182 *Statistical Analysis*

183 Statistical analysis of the data was conducted using SPSS version 20.0 with statistical
184 significance being accepted at a $P < 0.05$. The effect of the chocolate conditions on outcome
185 measures (FMD, resting CBF_v and responses to CogState testing), assessed before versus after
186 consumption, were compared between the experimental conditions using two-way repeated
187 measures ANOVA [3 x 2 way ANOVA: chocolate type (n=3) vs pre-post time (n=2)]. Changes
188 in cerebrovascular velocity and conductance were also calculated by subtracting post
189 administration values from their preceding baselines (see Figure 3). One way ANOVA was
190 performed on these data. Post hoc paired *t*-tests were performed using Least Significant
191 Difference analysis. All data are presented as mean±SD unless stated otherwise. Based on our
192 published work (19), a sample size of 10 individuals would provide >90% power, assuming
193 two-tailed alpha=0.05 (G*Power v3.1.2), to detect a change in FMD of 1.4%. A 1% difference
194 in FMD is associated with clinically meaningful ~7% difference in cardiovascular events (14).
195 Regarding cerebral measures: given very conservative assumptions, such as a group difference
196 in volumetric CBF_v of 3cms⁻¹ (see Figure 3, WC vs familiarisation), SD=1.5 cms⁻¹ and
197 alpha=0.01, our study possessed 90% power.

198

199

200 **Results**

201 *Cerebral blood flow responses before and after chocolate administration*

202 Cerebral blood flow in the middle cerebral artery was successfully achieved in 10 participants;
203 TCD equipment was unavailable in 1 subject and temporal bone thickness rendered Doppler
204 signals unattainable in another. Baseline CBF_v (pre-chocolate administration) did not differ
205 between the conditions ($P = 0.166$; Figure 1A). When CBF_v was normalised for mean arterial
206 pressure (MAP, Figure 1B), cerebrovascular conductance ($CVC = CBF_v \div MAP$) baseline
207 values were similar ($P = 0.457$; Figure 1C).

208

209 When pre and post chocolate CBF_v data were compared between conditions using two-way
210 ANOVA, an interaction effect ($P = 0.003$), and main effects for chocolate ($P = 0.043$) and time
211 ($P = 0.001$; Figure 1A) were evident. Subsequent post hoc *t*-tests revealed no change between
212 pre and post CBF_v following WC administration, however significant decreases following MC
213 ($P = 0.008$) and DC ($P = 0.001$). Similarly, there was a significant interaction ($P = 0.014$, Figure
214 1C) and time effect ($P = 0.008$) between pre and post chocolate CVC data. Subsequent post
215 hoc *t*-tests revealed no difference between pre and post CBF_v following WC administration (P
216 $= 0.618$), however CVC was significantly decreased as a result of MC ($P = 0.018$) and DC
217 consumption ($P = 0.001$).

218

219 *Mean arterial pressure and heart rate responses before and after chocolate administration*

220 MAP data for the ten participants that completed the assessment of CBF_v before and after
221 chocolate ingestion are presented in Figure 1B. These data indicate no significant difference in
222 MAP between conditions at baseline ($P = 0.264$), and no change in MAP as a result of chocolate
223 ingestion (conditions $P = 0.547$; time $P = 0.879$; interaction $P = 0.302$). Similarly, there was

224 no significant difference in heart rate between conditions at baseline ($P = 0.973$), and no impact
225 of chocolate ingestion under any condition ($P > 0.05$)

226

227 ***Neurovascular coupling and chocolate administration: Cerebrovascular responses during***
228 ***cognitive tasking***

229 When chocolate conditions were directly compared, there were no significant differences in
230 any of the seven CogState measures. Cognitive test performances are shown in Table 2
231 (available online).

232

233 Cerebrovascular responses (CBF_v, MAP and CVC) to the seven measures of cognitive
234 performance conducted during the no-chocolate familiarisation condition, and 60 min
235 following chocolate administration of each condition, are presented in figures 2A, 2B and 2C.

236 These data are summarised in figures 3A and 3B which present change (from the
237 familiarisation condition) in CBF_v and CVC responses during completion of cognitive tasks,
238 averaged across all 7 measures; analysis performed on these figures therefore assessed the
239 overall effect of cognitive stimulation on cerebrovascular responses.

240

241 A one-way ANOVA revealed significant differences in CBF_v between the conditions in
242 response to the cognitive tasks ($P = 0.001$; Figure 3A). Post hoc t -tests revealed a significant
243 decrease in CBF_v during the cognitive battery following WC ($P = 0.029$), MC ($P = 0.001$) and
244 DC ($P < 0.001$) ingestion, compared with the no-chocolate familiarisation session. CBF_v also
245 significantly decreased following MC ($P = 0.048$) and DC ($P < 0.001$) compared with WC
246 consumption.

247

248 After accounting for blood pressure, the change in CVC was also significantly different
249 between conditions (one-way ANOVA, $P = 0.001$; Figure 3B). Post hoc t -tests revealed a
250 significant decrease in CVC during the cognitive battery following MC ($P = 0.022$) and DC (P
251 $= 0.003$), but not WC ($P = 0.728$), compared with the no-chocolate familiarisation session.
252 CVC also significantly decreased following MC ($P = 0.006$) and DC ($P = 0.008$) compared
253 with WC consumption.

254

255 *Vascular endothelial function: Brachial FMD responses to chocolate administration*

256 FMD was recorded before and 80 min after administration of chocolate in all 12 participants.
257 There was no difference in baseline (pre-chocolate administration) FMD measures between
258 conditions (one-way ANOVA; $P = 0.158$; Figure 4). However, there was a significant
259 interaction effect (two-way ANOVA; $P = 0.034$) between pre and post chocolate data between
260 conditions. Post hoc tests (pre vs post) revealed no differences in FMD following WC or MC,
261 however a significant increase following DC ($P = 0.002$). This finding was consistent across
262 participants, with DC ingestion resulting in a higher FMD% than WC or MC chocolate in nine
263 of the twelve participants.

264

265

266 **Discussion**

267 In this study we adopted a cacao concentration-response paradigm, using energy-matched and
268 custom manufactured chocolate made from single-origin same-batch cacao bean, to examine
269 impacts on vascular function and cognition in humans using state-of-the-art physiological and
270 imaging techniques. Flow-mediated dilation (FMD), an endothelium-dependent response
271 largely mediated by nitric oxide (NO; 23), increased following consumption of chocolate high
272 in cocoa, but not MC or WC. Interestingly, despite no change in cognitive function across the

273 chocolate conditions, cerebral blood flow responses during the cognitive tasks were
274 significantly lower following consumption of higher cocoa containing chocolate, but not WC.
275 These findings indicate that consumption of chocolate containing high concentrations of cocoa
276 can enhance vascular function and increase cerebrovascular efficiency in postmenopausal
277 women.

278

279 Acute ingestion of DC increased FMD in our study by 2.4%, with no changes observed
280 following WC or MC. We adopted optimal contemporary approaches to the assessment of
281 FMD (18), using operator-independent edge detection and wall tracking software (19). An
282 increase in FMD of this magnitude is potentially associated with clinically significant
283 reductions in cardiovascular events (12, 13), although the impact of repeated acute treatment
284 has yet to be established. Our results also correspond with previous acute studies that observed
285 a 3-4% increase in FMD following DC and a 0-2% decrease following consumption of WC
286 (24, 25). However, the chocolate consumed under comparator conditions in Faridi *et al.* was
287 not matched for energy content (260 kJ difference), while Hermann *et al* did not disclose the
288 composition of study comparator chocolate conditions. Neither study assessed a concentration-
289 response of polyphenol consumption. A more recent study observed concentration-dependent
290 improvements in FMD, pulse wave velocity and blood pressure after treatment with different
291 concentrations of cocoa powder and flavanols (26). Of interest, it has been reported that a large
292 proportion (62%) of previous published studies of the impact of chocolate on cardiovascular
293 endpoints have been industry funded (7). Other previous concentration studies that have
294 adopted a concentration-response approach have utilised beverages containing cocoa, long
295 term ingestion, or other approaches that did not involve chocolate administration (27-31).

296

297 Our study used 3 isocaloric custom-manufactured, single-origin and single-batch cacao bean
298 conditions (80% cocoa DC, 395 mg flavanols; 35% cocoa MC, 200 mg flavanols; 0% cocoa
299 WC, 35 mg flavanols). Furthermore, our study is the first to specifically assess acute responses
300 in postmenopausal women, thereby avoiding the confounding impact, in younger women, of
301 the menstrual cycle on vascular endothelial responses (32). The improvement in endothelium-
302 mediated vasodilation (FMD) we observed could potentially be due to elevated concentrations
303 of plasma flavanols, prevalent in higher cocoa containing DC, that have been shown to activate
304 endothelial NO synthase (eNOS) and increase NO production and bioavailability (33).

305

306 Another major finding relates to cerebral blood flow responses to cognitive demand. Whilst
307 one previous study has investigated the acute effect of cocoa-based beverage consumption on
308 cerebrovascular responses using TCD (17), to our knowledge this is the first study to
309 specifically address the impact of differing cocoa concentrated chocolate on coupling between
310 cognitive tasks and blood flow. In response to a comprehensive and standardised battery of
311 tests designed to interrogate distinct cognitive domains (CogState), we observed consistent
312 decreases in CBF_v and conductance responses following ingestion of chocolate containing
313 higher levels of cocoa. No such changes were observed following ingestion of WC. These
314 results somewhat contradict those of Sorond *et al.*, who observed no change in cerebrovascular
315 reactivity following acute consumption of a commercial cocoa beverage in elderly individuals
316 (17). This disparity may relate to the different populations studied in each trial, or to
317 methodological differences, as Sorond *et al.* did not include different concentrations of cocoa,
318 or a control condition. In contrast, our 3 experimental chocolate conditions were matched for
319 energy intake and principally differed by virtue of cocoa content, and hence flavanol
320 concentration.

321

322 The decreases in CBF we observed in response to chocolate consumption persisted after
323 normalisation for concurrent blood pressure change, so cannot be attributed to an impact on
324 systemic hemodynamics. We also did not observe significant differences in cognitive
325 performance, despite the blood flow requirement to sustain such performance being
326 significantly diminished. These findings infer sustained performance in the face of diminished
327 blood flow and, hence, oxygen delivery. Interestingly, Francis *et al* performed a study in which
328 daily flavanol-rich cocoa consumption over 5 days increased blood oxygenation in active brain
329 regions, assessed by fMRI, in the absence of any change in cognitive performance (34). These
330 findings, and our FMD data in the current study, lead us to speculate that flavanol-mediated
331 NO production in the presence of higher cocoa concentrated chocolate (33), may modify
332 cerebral metabolism and consequently decrease oxygen demand in active brain regions. Further
333 studies will be required to address this proposition pertaining to “neurovascular efficiency”.

334

335 In terms of cognition, the current results conflict with those of Field *et al.* who found that DC
336 acutely increased cognitive performance in domains similar to our visual attention and visual
337 memory tasks, compared with WC in young adults (35). Like other studies, these researchers
338 did not assess concentration-responses in regards to polyphenol consumption and used
339 commercially available chocolate, likely made from differing cacao beans each containing
340 distinct polyphenol breakdown. Another study of older individuals (mean 52 yr), which utilised
341 differing concentrations of flavanols (0, 250 or 500 mg) in the form of commercial cocoa
342 beverages consumed over 30 days (36), observed no effect on performance of a cognitive task
343 similar to our visual memory task. This is consistent with our findings, across multiple
344 CogState task domains (speed of processing, verbal memory and verbal memory recall). Our
345 findings that differing cocoa concentrated chocolate did not modify cognitive performance is
346 therefore broadly consistent with the extant literature.

347

348 This study possesses several strengths and some limitations. It is the first, to our knowledge, to
349 strictly control the type, composition and energy content of the chocolate used, which we had
350 specifically manufactured to our purpose of utilising a concentration-response approach, with
351 conditions counterbalanced and blinded to the participant. The use of a single-origin cacao
352 bean ensured the constituents, in particular flavonoid breakdown (catechin, epicatechin and
353 proanthocyanidin concentrations) were consistent between conditions. All chocolate
354 conditions were energy matched (2099 kJ) as participants consumed either 85 g of WC, 87 g
355 of MC or 84 g of DC, in a counterbalanced order to control for any potential order effect.
356 Additionally, participants were fasted the morning of testing and instructed to avoid caffeine,
357 chocolate, alcohol and intense physical activity in the 24 h prior to experimental assessments.
358 The completion and replication of a food diary allowed the 24 h prior to experimental testing
359 to be assessed and this was similar between conditions. The techniques we adopted to assess
360 peripheral and cerebral vascular responses are well validated and accepted in the literature (18,
361 20) and our approaches are state-of-the-art and largely operator independent (18, 19). We also
362 adopted a standardised and well accepted psychometric tool (CogState) that provided
363 information on a range of cognitive domains, after a thorough familiarisation. Although the
364 chocolate we utilised was specifically manufactured and supplied for this study by an artisanal
365 chocolatier, the product was purchased at the full commercial cost and no conflicts of interest
366 existed in our study. The limitations of this study include the relatively small sample size,
367 although our concentration-response findings are internally consistent (dark>milk>white) and
368 the findings were statistically significant. Finally, it is an accepted limitation of the use of
369 transcranial Doppler that diameter measures are not derived, and that velocity is used as a
370 surrogate for flow (and in the calculation of conductance). Future research should focus on
371 additional measurements including MRI-based CBF and arterial diameter measures.

372

373 In conclusion, this study suggests that higher concentrations of cocoa in chocolate induce
374 favourable effects on endothelial function and neurovascular efficiency. Such effects may
375 conceivably relate to the impact of flavanols on endothelial function and NO bioavailability,
376 in both the peripheral and cerebral vasculature. If confirmed and extended in the context of
377 chronic administration, our findings may have implications for arterial health in
378 postmenopausal women at risk of cardiovascular disease, stroke and cognitive decline.

379

380 **Acknowledgements**

381 The authors would like to thank the participants for their involvement in this study. We
382 sincerely thank Professor Kevin Croft and Dr Sujata Shinde, School of Medicine and
383 Pharmacology, Royal Perth Hospital and The University of Western Australia, for their
384 gracious assistance with analysis of polyphenol concentrations.

385 The authors have no conflict of interest to declare, but we thank Ruth and Gabriel Myburgh for
386 their willingness to manufacture to our requirements.

387 The experimental design was developed by DG, LN, KG and HC, with CM responsible for
388 participant recruitment. HC, CM and KS were responsible for the acquisition and analysis of
389 vascular and cerebrovascular data while CM and KP were responsible for the acquisition and
390 analysis of CogState. All authors were involved in the interpretation of data and drafting and
391 revising the manuscript for publication.

392

393 **Grants**

394 Professor Green is a National Health and Medical Research Council Principal Research Fellow
395 (APP1080914).

396

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Figure 1. Impacts of chocolate containing different concentrations of cocoa on cerebral blood flow velocity and blood pressure at rest. A) Resting middle cerebral artery velocity ($\text{cm}\cdot\text{s}^{-1}$), before and after consumption of white, milk and dark chocolate (WC, MC and DC respectively). B) Resting mean arterial pressure (mm Hg) before and after consumption of WC, MC and DC. C) Resting cerebrovascular conductance ($\text{cm}\cdot\text{s}^{-1} \text{mm Hg}^{-1}$), before and after consumption of WC, MC and DC. ($n = 10$; mean \pm SE). * † ‡ Indicates significant difference from pre-chocolate consumption within condition (* $P < 0.05$; † $P < 0.01$; ‡ $P < 0.005$).

Figure 2. Impacts of chocolate containing different concentrations of cocoa on cerebral blood flow velocity and blood pressure in response to individual cognitive tasks. A) Change (ie post-rest) in middle cerebral artery velocity ($\text{cm}\cdot\text{s}^{-1}$) after consumption of white, milk and dark chocolate (WC, MC and DC respectively) or non-chocolate familiarisation during seven cognitive tasks B) Mean arterial pressure (mm Hg) at familiarisation (no chocolate treatment) and following consumption of WC, MC and DC during seven cognitive tasks C) Change in cerebrovascular conductance ($\text{cm}^{-1} \text{mm Hg}^{-1}$) following consumption of WC, MC and DC or non-chocolate familiarisation during seven cognitive tasks. ($n = 10$; mean \pm SE). * † ‡ Indicates significant difference from familiarisation (* $P < 0.05$; † $P < 0.01$; ‡ $P < 0.005$).

Figure 3. Impacts of chocolate containing different concentrations of cocoa on average cerebral blood flow velocity in response to all cognitive tasks. A) Change (ie post-rest) in middle cerebral artery velocity ($\text{cm}\cdot\text{s}^{-1}$), following consumption of white, milk and dark chocolate (WC, MC and DC respectively) or a non-chocolate familiarisation, across the seven cognitive tests in Figure 2. B) Similarly calculated change in cerebrovascular conductance ($\text{cm}^{-1} \text{ mm Hg}^{-1}$), as middle cerebral artery velocity normalised for blood pressure ($n = 10$; mean \pm SE). * † ‡ Indicates significant difference from familiarisation (* $P < 0.05$; † $P < 0.01$; ‡ $P < 0.005$).

Figure 4. Impacts of chocolate containing different concentrations of cocoa on brachial artery flow-mediated dilation. Flow-mediated dilation (%) of the brachial artery before and 80 min after chocolate consumption of white, milk and dark chocolate (WC, MC and DC respectively); $n = 12$; mean \pm SE). ‡ Indicates significant difference from pre-chocolate consumption within trial (‡ $P < 0.005$).

Table 1. Composition of different chocolate conditions

Nutritional components	White chocolate (WC)	Milk chocolate (MC; 35% cocoa)	Dark chocolate (DC; 80% cocoa)
Energy (kJ/100 g)	2470	2420	2490
Amount consumed (g)	85	87	84
Energy consumed (kJ)	2099	2099	2099
Carbohydrates (g)	44.2	42.6	36.1
Total sugars (g)	42.5	35.7	19.3
Fat (g)	34.1	34.0	36.3
Saturated fat (g)	21.3	21.1	22.1
Mono-unsaturated fats (g)	9.9	10.2	11.4
Poly-unsaturated fat (g)	1.1	1.0	1.0
Protein (g)	4.9	7.1	7.8
Total polyphenols (mg)	34.9	200.1	394.8
Total Flavonoids (mg/kg)	370	980	3600
Epicatechin (µg/g)	Not detected	288.4	587.1
Catechin (µg/g)	38.4	770.1	1394.2