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# **Role of riparian wetlands and hydrological connectivity in the dynamics of stream thermal regimes**

**Short title:** Role of riparian wetlands in the dynamics of stream thermal regimes

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## **Abstract**

Stream temperature is a fundamental physical characteristic of rivers, influencing biological productivity and water quality. Given the implications of climate warming for stream thermal regimes, it is an important consideration in river management plans. Energy exchanges at the water-air interface, channel geomorphology, riparian vegetation and advective heat transport from the different sources of discharge can all influence stream temperature. A simple mixing equation was used to investigate heat transport and to estimate daily mean and maximum stream temperatures on the basis of mixing groundwater (GW) and near-surface flows (NSF) from riparian wetlands as end-members in a peatland catchment. The resulting data was evaluated against energy balance components and saturation extent to investigate the importance of riparian wetlands in determining stream temperatures. Data fit was generally good in periods with extensive saturation; and poorest in dry periods with less hydrological connectivity, when reduced saturation and low

flows increased the relative influence of energy exchange at the stream-atmosphere interface. These findings have implications in terms of climate change and land management, where the planting of riparian buffer strips to moderate water temperatures may be less effective when saturation area is extensive and hydrological connectivity is high.

Key words: stream temperatures, riparian areas, mixing models, peatlands.

## **1. Introduction**

Stream temperature is a critical riverine water quality characteristic, strongly influencing biogeochemistry, ecological productivity and species distribution (Isaak and Hubert 2001; Malcolm et al. 2004; Caissie 2006). It is principally controlled by hydroclimatic factors (e.g. net radiation fluxes at the atmosphere-stream interface) and modulated by landscape characteristics (Caissie 2006). Landscape effects on stream temperatures have been a research focus, including the effects of shading (by riparian vegetation and topography), elevation and channel morphology (Mosley 1983; Imholt et al. 2013). Recent work has also considered coupled heat transfers in groundwater–surface water systems to assess how spatio-temporal dynamics in the magnitude, connectivity and thermal properties of various runoff sources affect stream temperatures (Kurylyk et al. 2014).

Interests in energy exchange and heat transfer have focused on riparian areas where energy exchange processes have greatest potential to affect stream temperature (Garner et al. 2015). These include vegetation shading (Brown et al. 2010), groundwater (GW) inflows (Constantz 1998), and hyporheic exchange (Birkel et al. 2016). An important part of many

headwaters are wetland-dominated riparian areas where GW discharge is strong and the water table is close to the ground surface (Ingram 1983; Geris et al. 2014). High GW tables create areas of dynamic saturation that can expand and contract, depending on antecedent hydrometeorological conditions (Dunne et al. 1975; Birkel et al. 2010). The spatial extent and connectivity of such riparian wetlands determine the water sources generating stream flow and the relative importance of near-surface flow (NSF) paths and GW inflows (Tetzlaff et al. 2014, Dick et al. 2014). Under wet conditions, riparian wetlands are strongly connected to the stream network and the saturation zone may expand to upslope areas (Blumstock et al. 2016). Such saturation zones form extensive areas for atmosphere – water energy exchange, away from the channel network, affecting the thermal characteristics of such runoff sources (Dick et al. 2014). In extensive riparian wetlands, up to 80% of annual streamflow can be generated from NSF paths (Tetzlaff et al. 2014), which may have a significant influence on stream temperatures (Dick et al. 2015). Given the spatial extent and downstream influence of low order streams, the implications may extend beyond headwaters (Bishop et al. 2008). To date, there has been limited work on the importance of such saturated areas in catchment thermoscapes.

This research gap has implications for river management decisions. Recent interest has centred on riparian areas, where management has focused on creation of buffer strips, to improve freshwater quality and the aquatic environment (Osborne and Kovacic 1993). The ability to focus riparian management on specific areas as “hot spots” represents its main attraction, given it is likely to yield the best cost-benefit ratios (Hrachowitz et al. 2010). Riparian areas have been the focus for re-forestation as resilience-building measures to

mitigate climate change which is projected to lead to an increase in temperatures of over 2°C in eastern Scotland by 2080 under low emission scenarios (Murphy et al. 2009) and has potential to increase stream temperatures (Zwieniecki and Newton 1999; Broadmeadow et al. 2011). Usually, financial constraints of such schemes dictate that bankside planting is limited to areas immediately fringing streams to maximise the shading effect (Johnson and Wilby 2015). However, this approach may have limitations in environments where riparian wetlands result in prolonged and spatially extensive saturation for water – atmosphere energy exchanges to cross (Kuglerová et al. 2014). In such cases, a broader view of catchment thermoscaping may be needed to understand the dynamics of surface saturation and its effect on heat transfer to streams.

In this work, we focus on a peatland-dominated catchment in the Scottish Highlands. Previous work has shown that the peatland is the main hydrological source area contributing to the dynamics of stream flow generation (Soulsby et al. 2015). Also, data has been collected on the catchment thermoscaping in the stream and various source waters to assess the wider catchment controls on stream temperatures (Dick et al. 2014). Here, we use a simple mixing model to assess the importance of well-connected riparian wetlands for stream temperatures. Such mixing models have been useful for investigating the role of changing water sources in catchments and have been widely applied in hydrograph separations (e.g. Buttle (1994); McNamara et al. (1997)) typically involving the mixing of assumed conservative solutes to quantify contributing source waters (e.g. Ockenden et al. 2014). Earlier work utilised contrasting thermal characteristics of different source waters as a tracer in mixing

equations (Shanley and Peters 1988); and similar approaches have been used to identify point source inputs of GW along streams (Selker et al. 2006).

The specific objectives were to:

- (i) Predict mean and maximum daily stream temperatures using a simple two component mixing model to assess the extent to which the fluxes and thermal properties of NSF and GW can explain stream water temperatures.
- (ii) Ascertain how temporal variations in riparian wetland extent and hydrological connectivity influence the stream thermal regime.
- (iii) Address the implications of these findings for riparian management strategies used to curb the effects of climate change on stream temperatures.

## 2. Study site

The Bruntland Burn (Figure 1) is a 3.2 km<sup>2</sup> catchment in the Scottish Highlands, described in detail by (e.g. Tetzlaff et al. 2007; Birkel et al. 2011). The catchment is of glacial origin with a wide flat valley bottom receiving drainage from steeper hillslopes. Elevation spans 248 to 539 m.a.s.l., with mean slopes of 13°. Land cover is mostly heather (*Calluna vulgaris* and *Erica tetralix*) moorland on steeper slopes, with limited forest cover. The only significant riparian tree shading is at the catchment outlet, where a plantation fringes the south of the stream, though the channel dimensions coupled with shrub growth can give local areas of shading (Dick et al. 2014). Riparian areas cover ~10% of the catchment and are characterised by *Sphagnum spp*, and purple moor-grass (*Molinia caerulea*) on 1-2m deep peats (histosols).

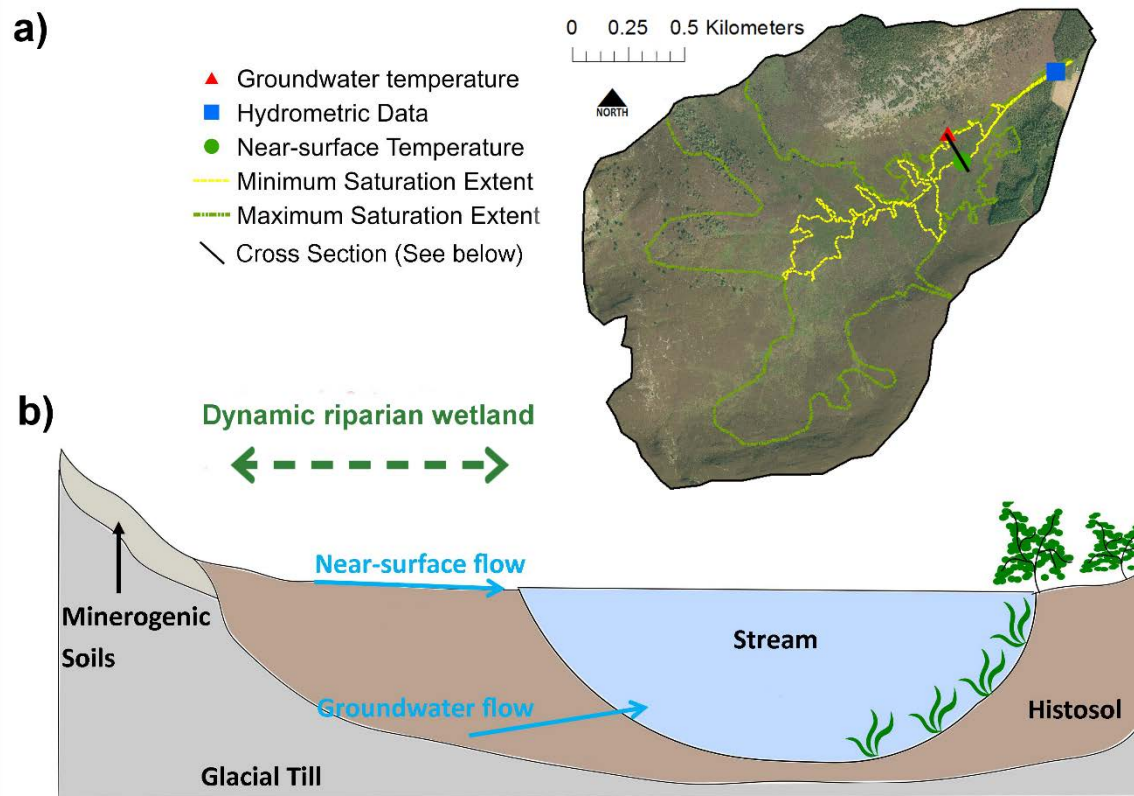


Figure 1: Study site (a) showing minimum and maximum spatial extents of the saturated riparian wetland along with measurement locations. The transect line on the map corresponds to schematic cross section (b) through the dynamic riparian wetlands showing components of water and temperature fluxes.

Mean annual precipitation is ~1000 mm, mostly from low intensity frontal events. Mean annual runoff is 700 mm and potential evapotranspiration is 400 mm per year. Mean annual air temperature is ~6 °C, with daily means ranging between 12 °C and 1 °C in July and January, respectively (Dick et al. 2015).

A dominant feature of the hydrology of the catchment are extensive riparian saturation zones that dynamically expand and contract in response to precipitation (Figure 1). The saturated area covers 2-60% of the catchment, depending on antecedent conditions (Birkel et al. 2010).

Most precipitation events instigate a streamflow response, as water is displaced from these riparian zones as saturation-excess overland flow (Birkel et al. 2010) which contributes around 80% of annual streamflow (Soulsby et al. 2015). Runoff coefficients are typically <10%, increasing in wetter periods to >40% as the saturated zone in the riparian wetland expands (Tunaley et al. 2016), and connects lateral flow in the upper horizons of the hillslope podzols to the channel network (Tetzlaff et al. 2014). Shallow (<0.5m) peats cover the lower hillslopes (~22% of the area). Steeper slopes are covered by podzols with a 0.1-0.2m deep O horizon overlying free-draining mineral sub-soil, which facilitates deeper GW recharge. Direct GW inputs to the stream account for 20% of annual runoff (Ala aho et al. 2017).

The stream channel is narrow (0.5 – 1 m) and deep (0.5 – 1.5 m), with a limited hyporheic zone, due to being lined by peat or the underlying glacial drift (Figure 1). Point source influxes of surface waters draining from the adjacent riparian wetlands are located throughout the stream network.

Dick et al. (2015) measured stream temperature at 11 locations throughout the catchment, and GW and surface water (each at 4 locations). Their measurements showed little spatial variability in stream water temperature, which exhibited an annual average of 6.3 °C (range of 18.2 °C). The dynamic riparian wetland NSF temperatures showed most variability, with an average temperature of 6.4 °C and range of 23.8 °C (Dick et al. 2015). The deeper GW had the least variable temperature with a range of 3.2 °C and an average of 7.0 °C. Shallower GW fell

between the NSF and deeper GW, and its temperature range decreased with depth (Dick et al. 2015).

### 3. Data and Methods

Hydrometric monitoring covered ~2 years between 1<sup>st</sup> July 2012 and 30<sup>th</sup> September 2014. Seasons were defined meteorologically (summer starting 1<sup>st</sup> June; autumn 1<sup>st</sup> September; winter 1<sup>st</sup> December; spring 1<sup>st</sup> March). An automatic weather station 2km away measured precipitation (Campbell ARG100 tipping bucket rain gauge; error of 0.05 mm), air temperatures (Campbell HMP35AC probe; error of 0.2 °C), radiation (NR Lite net radiometer; error of 5%), humidity (Campbell HMP35AC probe; error of 1-3%) and wind speed (Vector A100R anemometer; error of 0.25 m s<sup>-1</sup>) (Hannah et al. 2004). We used relative air pressure from the Met Office weather station at Braemar (ca. 30km away) to estimate the energy balance components of latent and sensible heat. Discharge was estimated at the catchment outlet (Hydrometric data – Figure 1) at 15 minute intervals using a rating equation in a stable section with stream stage height measured with a water level recorder (Odyssey data recording loggers; resolution of around 0.8 mm).

GW, NSF and Q water temperatures were measured using TinyTag TGP-4017 loggers (Gemini data loggers; precision of 0.5 °C) (Figure 1). Due to logistical and storage constraints, a one hour recording interval was used, accounting for thermistor response time (25 minutes), and download frequency. Loggers were laboratory calibrated across a greater than natural temperature range before and after installation, maintaining 0.5°C accuracy. Stream

temperature was measured on the bed, with loggers enclosed in white shields, reducing effects of incident radiation. NSF temperature was measured on the surface of the dynamic riparian wetland in the riparian zone, again within radiation shields. GW temperature was measured in a borehole, situated in a spring on the north side of the catchment (Figure 1).

### 3.1 Modelling and analysis

A two component mixing equation (Kendall and McDonnell 1998) was used to explore the extent to which stream temperature variations could be explained by mixing NSF and GW inputs to the stream. We hypothesised that the ability to estimate stream temperature would relate to wetness (i.e. saturation area extent) and atmospheric energy inputs. Daily mean and maximum stream water temperatures  $T_S$  were predicted using the water temperature, discharge ( $Q_S$ ), and flux end-members representing NSF ( $Q_{NSF}T_{NSF}$ ) and GW ( $Q_{GW}T_{GW}$ ).

$$T_S = \frac{Q_{NSF}T_{NSF} + Q_{GW}T_{GW}}{Q_S} \quad (\text{Equation 1})$$

For the temperatures of each flux ( $T_{NSF}$  and  $T_{GW}$ ), daily means (or daily maximum) of the NSF and GW temperature measurements were used. The sensors chosen to represent the end member were selected based on previous analysis (Dick et al. 2015), which showed the loggers to be representative of the end members as defined by this study. They were specifically chosen as the NSF was highly variable and the GW low variability. The non-parametric Wilcoxon signed rank test was used to assess the temperature difference between stream loggers, and showed no significant difference (p-value = 0.97). Therefore, measurements from the logger at the outlet was used for  $T_S$ . The NSF observation site was specifically chosen as it was the closest to the stream location where most NSF fluxes from

the saturated area occur (Soulsby et al., 2015). The four NSF temperature loggers were not significantly different ( $p$ -value = 0.05) (Dick et al. 2015). For GW, recent work (Scheliga et al. 2017) has shown similarly damped thermal regimes in four deep GW wells , therefore, the GW spring shown in Figure 1 was deemed representative as an endmember.

GW and NSFs water fluxes ( $Q_{NSF}$  and  $Q_{GW}$ ) were impractical to measure directly and are temporally variable; therefore we used modelled estimates from a tracer-aided conceptual model of Soulsby et al. (2015). The model simulated streamflow  $Q_S$ ,  $Q_{NSF}$ , and  $Q_{GW}$  from conceptual storages representing the riparian saturation zone and deeper GW. It was calibrated to stream and soil water isotope data, soil moisture and GW levels (Birkel et al. 2015). To assess uncertainty in the modelled fluxes from each landscape unit, the 5<sup>th</sup> and 95<sup>th</sup> percentiles of the NSF and GW flux estimates from the calibrated model (from 500 retained parameter sets) were used.

Importantly, we also estimated a daily time-series of the spatial extent of the saturation area in the riparian wetland, using an algorithm based on precipitation, antecedent wetness and a soil moisture parameter over the previous seven days described by Birkel et al. (2010). The procedure was calibrated against field mapping. For validation, the extent of wetness was surveyed in the field under five different sets of hydrological conditions and regressed against past hydrometeorological conditions to estimate the relationship between precipitation, discharge and evapotranspiration in the previous seven days. Once the relationship was established, high frequency measurements of precipitation, discharge and the calculation of

evapotranspiration enabled the construction of a time series. Additionally, a detailed soil survey broadly linked the minimum saturation extent to the permanently saturated deep peats, and the maximum saturation extent to the temporarily saturated gleysols. The saturation extent was a good proxy for the connected area of the catchment contributing directly to stream flow through NSFs, and was central to the analysis. This is because the extent of saturation determines the area of the catchment contributing to streamflow via NSFs, which may be up to 70% in the wettest periods (Dick et al. 2014; Blumstock et al. 2015). We also used GW levels in the riparian wetlands as a qualitative way of linking saturation extent with near-surface hydrological conductivity. The findings of Blumstock et al. (2015), (in a study in the same catchment) found a strong link between soil water, GW, and stream water chemistry and GW level for the same catchment.

Data was analysed for the whole period and by meteorological seasons (summer: June-August, autumn: September-November, winter: December-February, spring: March-May). However, as the period was 2 years and 2 months, summer 2012 only comprised July and August and autumn 2014 only September. Our analysis was therefore extended to include the greatest variability in hydroclimate.

To assess goodness-of-fit between measured and estimated stream temperatures the Nash-Sutcliffe (NSE) and Kling-Gupta (KGE) efficiency statistics were used (Nash and Sutcliffe 1970; Gupta et al. 2009) (as they compare the mean square error to the variance) along with the Root Mean Square Error (RMSE) and Coefficient of Variation (CV). We also used the

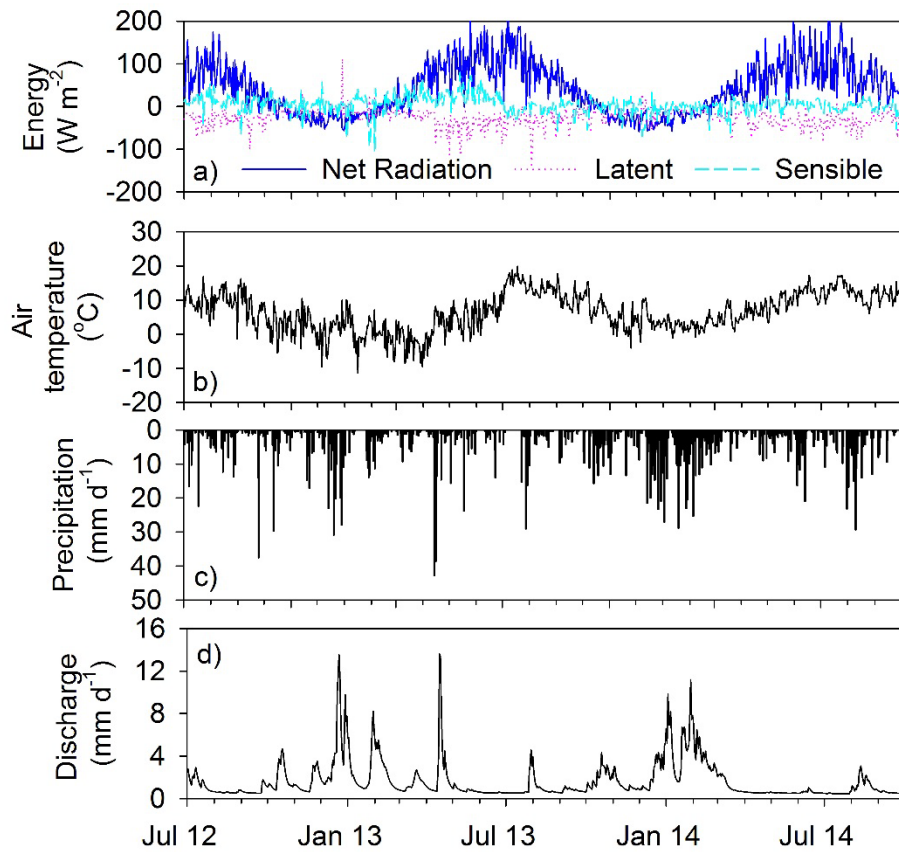
Spearman's rank order correlation test with the estimated daily mean and maximum stream temperature data to assess the correlation of the difference between daily measured and estimated temperatures with the saturation area extent, GW levels and energy balance components, in order to evaluate their potential influence. This analysis was conducted for the whole study period, each season, and for saturation extents less than 12% of the area.

## **4. Results**

### **4.1 Stream thermal regime and catchment hydrological dynamics**

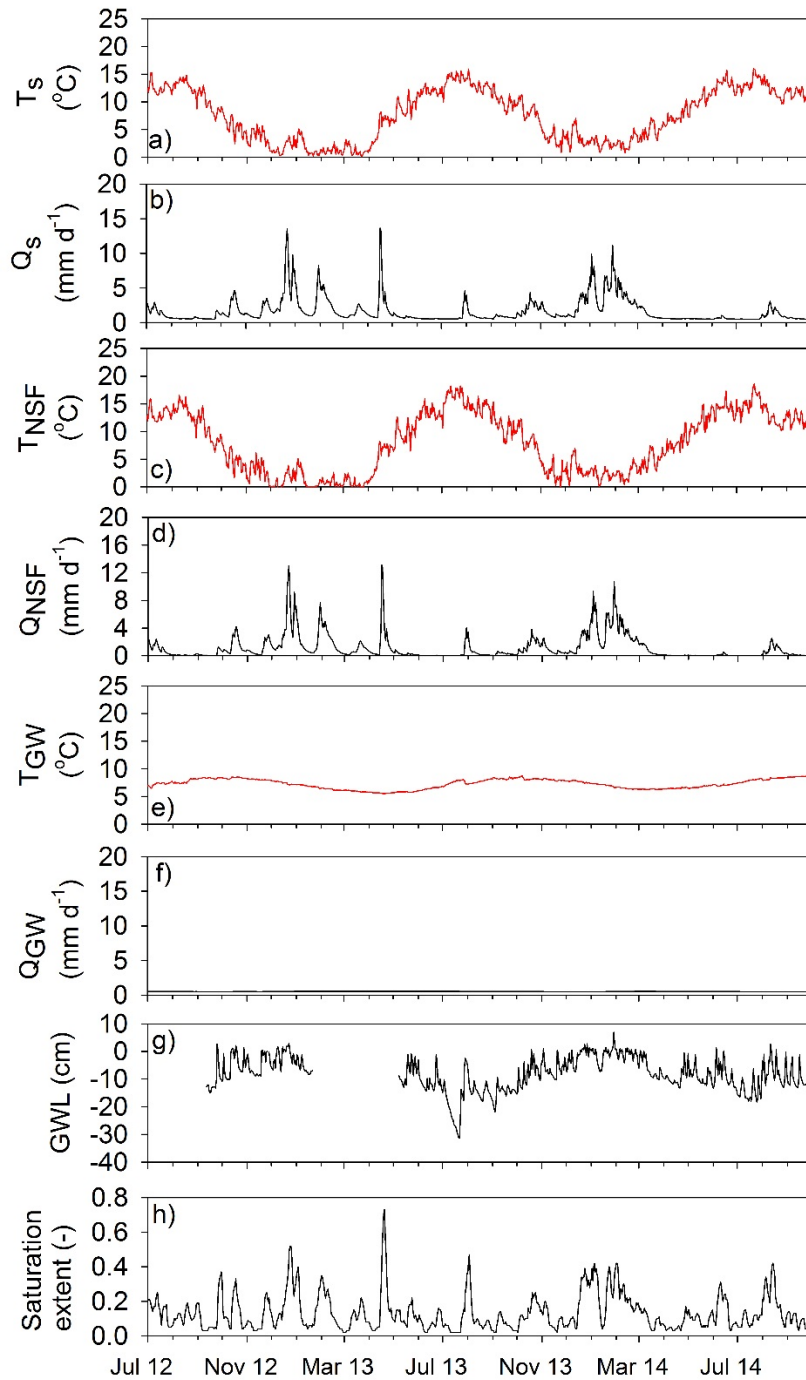
Air (Figure 2b) and stream temperatures (Figure 3a) followed seasonal patterns, reflecting variations in incoming radiation (Figure 2a). Summer 2012 had the highest June/July rainfall in NE Scotland for 100 years with 404 mm of rain which was 167% of the average (1981-2010), and below average temperatures of 12 °C, against an average of 12.2 °C (Met Office 2012). The large rainfall events caused high early summer stream fluxes, followed by low fluxes during late summer and early autumn (Figure 2d). Fluxes increased in mid-autumn and early winter in response to larger precipitation events. Below average temperatures then followed in late winter and spring 2013 (0.2 °C, and 1.5 °C below average respectively). These below-average temperatures persisted until mid-spring (Met Office 2013a; 2013b). Summer 2013 was the warmest in 10 years with average temperatures of 13.8 °C (1.1 °C higher than the average), and 183 mm of rainfall (73% of the average) (Met Office 2013c), however, it was punctuated by significant rainfall at the end of July. Drought conditions afterwards persisted until rewetting in autumn. Winter in 2013-2014 had above average rainfall of 587 mm (177% of the average), with large December-January rainfall events (10

254 year return period) generating increased flows (Met Office 2014). Spring 2014 followed with  
 255 227 mm of rain (93% of the average), ahead of a summer which had above-average rainfall  
 256 of 301 mm (or 120% of the average).



257

258 Figure 2: Hydrometric data. a) Radiative exchanges, b) Air temperature; c) precipitation; d) discharge



259

260 Figure 3: Time-series graphs for the measured stream water temperature (a) and discharge (b). The  
 261 lower plots show the input data for near surface component (NSF) water temperature (c) and water  
 262 flux (d); and the groundwater (GW) components of temperature (e) and water flux (f). Panel (g) is  
 263 the GW level in the valley bottom peat, and (h), the catchment saturation extent as fraction of the  
 264 catchment.

Riparian GW levels remained high throughout the autumn, winter and spring periods (Figure 3g), fluctuating between ~1-2 cm above the surface in wettest conditions and ~20cm below the surface when dry, though in summer 2013 they fell to ~30 cm deep. GW levels generally reflected the seasonal dynamics of the extent of the saturated area (with higher GW levels reflecting the wetter winters), though short-term rainfall events caused ~10cm increases in water levels in response to NSF in the peat. During periods with high rainfall, saturation was estimated to have reached a spatial extent of >35% of the catchment (Figure 3h) (e.g. Dec 2012, early 2013, April/May 2013 and Dec 2013/Jan 2014). More generally the saturation extent was <20% and >5% for the driest periods.

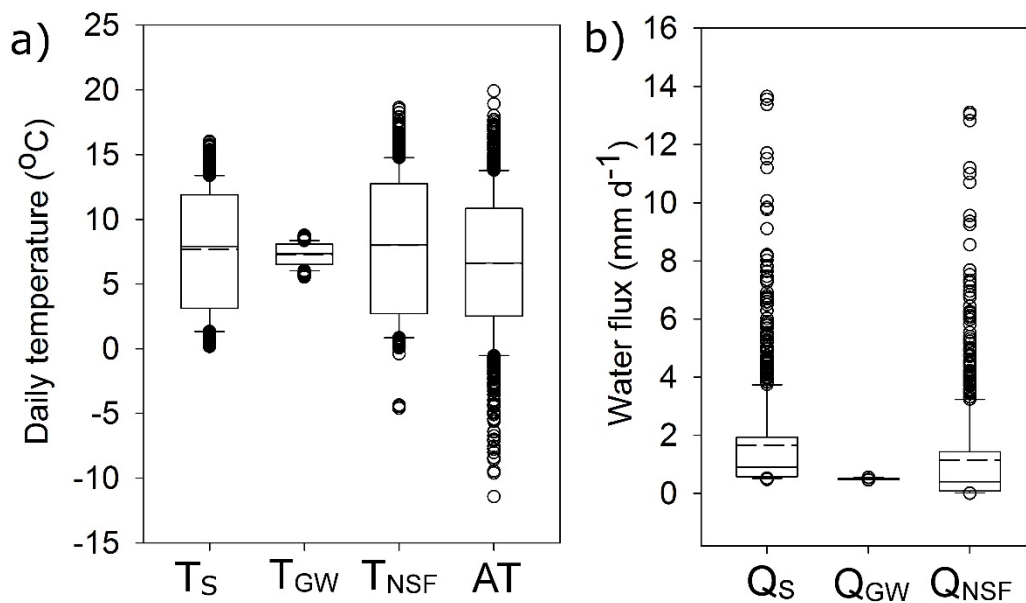
#### **4.2 Dynamics in input data and energy balance components**

Figure 3 shows the time series of stream temperatures and flow (Figure 3a and b) in relation to measured temperatures and modelled fluxes of NSFs (Figure 3c and d) and GW (Figure 3e and f). Descriptive summary statistics are presented in figure 4. The temperatures time series were tested using the Wilcoxon signed rank test, and were significantly different ( $p = 0.05$ ). Stream flux response (Figure 3b) was similar to modelled NSF response, reflecting the dominance of overland flow from the riparian wetland in generating storm runoff (Figure 3d), when the extent of the saturation zone was highest (Figure 3h). The driest spell in the study period was summer 2013 (Figure 3b and d) (Met Office 2013c), when NSFs decreased and eventually ceased, leading to decreased discharge, as the riparian wetlands disconnected from the stream network (c.f. Figure 3d and 3h) (see Dick et al. 2014), leading to deeper GW fluxes dominating runoff generation remaining stable with low variability through the year (Figure 3f).

288

289 Both stream and NSF temperatures followed seasonal patterns, but also exhibited day-to-day  
290 variability reflecting prevailing hydroclimatic conditions (Figure 3a and c). Stream  
291 temperature was damped (showing a reduced range) when compared to NSF, reflecting  
292 moderation by more stable GW temperatures (Figure 4). In contrast, GW temperatures varied  
293 little, with a mean of 7.0 °C, being ~1°C higher in summer and ~1 °C lower in winter (Figure  
294 3e). Previous work has shown that GW is mainly derived from glacial drift deposits which are  
295 up to 30 m deep and well-mixed isotopically (Soulsby et al. 2016). Thus, recharge  
296 temperatures usually are preserved and moderated as different recharge sources mix  
297 (Scheliga et al., 2017).

298



299

Figure 4: Box plots of the daily temperatures (a) and water fluxes (b). The whiskers represent the 90<sup>th</sup> and 10<sup>th</sup> percentiles, the box limits are the 75<sup>th</sup> and 25<sup>th</sup> percentiles, the solid centre line is the median and the dashed line the mean. The hollow points are the outliers.

Figure 2a shows the dynamics of different energy balance components from the Girnock weather station. Net radiation dominates with an average of: 42 W m<sup>-2</sup> over the study period, being an energy source in summer (with an average of: 45 W m<sup>-2</sup>), also a sink in winter when short wave is low (with an average of -21 W m<sup>-2</sup>), given the northerly (57°) latitude. Latent heat transfers were a sink in summer (-36 W m<sup>-2</sup>), but occasionally a source during winter (with an average of -13 W m<sup>-2</sup>, but highs of ~20 W m<sup>-2</sup>). Sensible heat fluctuated around 0 W m<sup>-2</sup>.

#### 4.3 Measured versus estimated stream temperatures

It was evident that stream temperatures were not fully described as a simple mix of GW and NSF in the two component mixing equation, though many features were captured. Differences between measured and estimated temperatures are positive and negative due to underestimation and overestimation of stream water temperatures, respectively (Figure 5). Over the study period, estimated daily mean stream temperatures versus measured had an NSE of 0.64 (1 = good fit) and RMSE of 2.76 °C (0 = good fit). The fit for maximum daily stream temperatures was poorer (NSE of 0.53; RMSE of 3.55 °C) (Table 1, Figure 5). As the study period was characterised by highly variable conditions, we also analysed annual and seasonal periods. The NSEs were lowest during summer, when stream temperatures were usually underestimated, with negative NSEs and high coefficient of variations (CV) (Table 1).

However, there were differences in goodness-of-fit between the drier summer of 2013 (lower saturation extents, and as such less hydrological connectivity) and the wetter summer of 2014. In some winter periods (typically with reduced saturation extents), stream water temperatures were overestimated. Large events after or during dry conditions caused increased saturation extent, with sudden improvements in the estimated data fit for short periods such as the large, transient increase in saturation extent in summer 2013. Overall, during periods of high saturation (i.e. high connectivity), the model fit was good for both maximum and mean daily temperatures (i.e. NSEs of 0.82 for mean and 0.78 for maximum temperatures in autumn 2013).

Period	Mean temperature				Max temperature			
	NSE	KGE	RMSE (°C)	CV	NSE	KGE	RMSE (°C)	CV
<b>Full time series</b>	0.64	0.56	2.76	0.61	0.53	0.53	3.55	0.61
<b>Jul12-Jul13</b>	0.71	0.59	2.52	0.61	0.67	0.61	2.95	0.65
<b>Jul13-Jul14</b>	0.6	0.55	2.72	0.59	0.44	0.49	3.70	0.58
<b>Summer 12</b>	-8.18	-0.05	3.48	1.12	-5.55	0.14	3.51	1.32
<b>Autumn 12</b>	0.76	0.65	1.46	0.66	0.71	0.63	1.70	0.66
<b>Winter 12-13</b>	-0.66	0.24	1.48	1.06	-0.14	0.43	1.41	0.92
<b>Spring 13</b>	0.72	0.55	1.88	0.55	0.75	0.66	2.20	0.68
<b>Summer 13</b>	-13.39	0.11	4.87	1.59	-11.31	-0.07	6.68	1.50
<b>Autumn 13</b>	0.82	0.64	1.32	0.65	0.78	0.63	1.62	0.64
<b>Winter 13-14</b>	0.58	0.76	0.82	1.09	0.68	0.81	0.78	1.08
<b>Spring 14</b>	0.3	0.32	2.16	0.36	-0.27	0.10	3.33	0.21
<b>Summer 14</b>	-9.08	-0.17	4.41	1.03	-8.52	-0.28	5.75	1.15
<b>Autumn 14</b>	-6.37	0.35	2.27	0.44	-7.64	0.59	2.83	0.79

Table 1: Performance measures for each of the data period. NSE: Nash-Sutcliffe efficiency; KGE: Kling-Gupta efficiency; RMSE: Root mean square error; CV: Coefficient of variation.

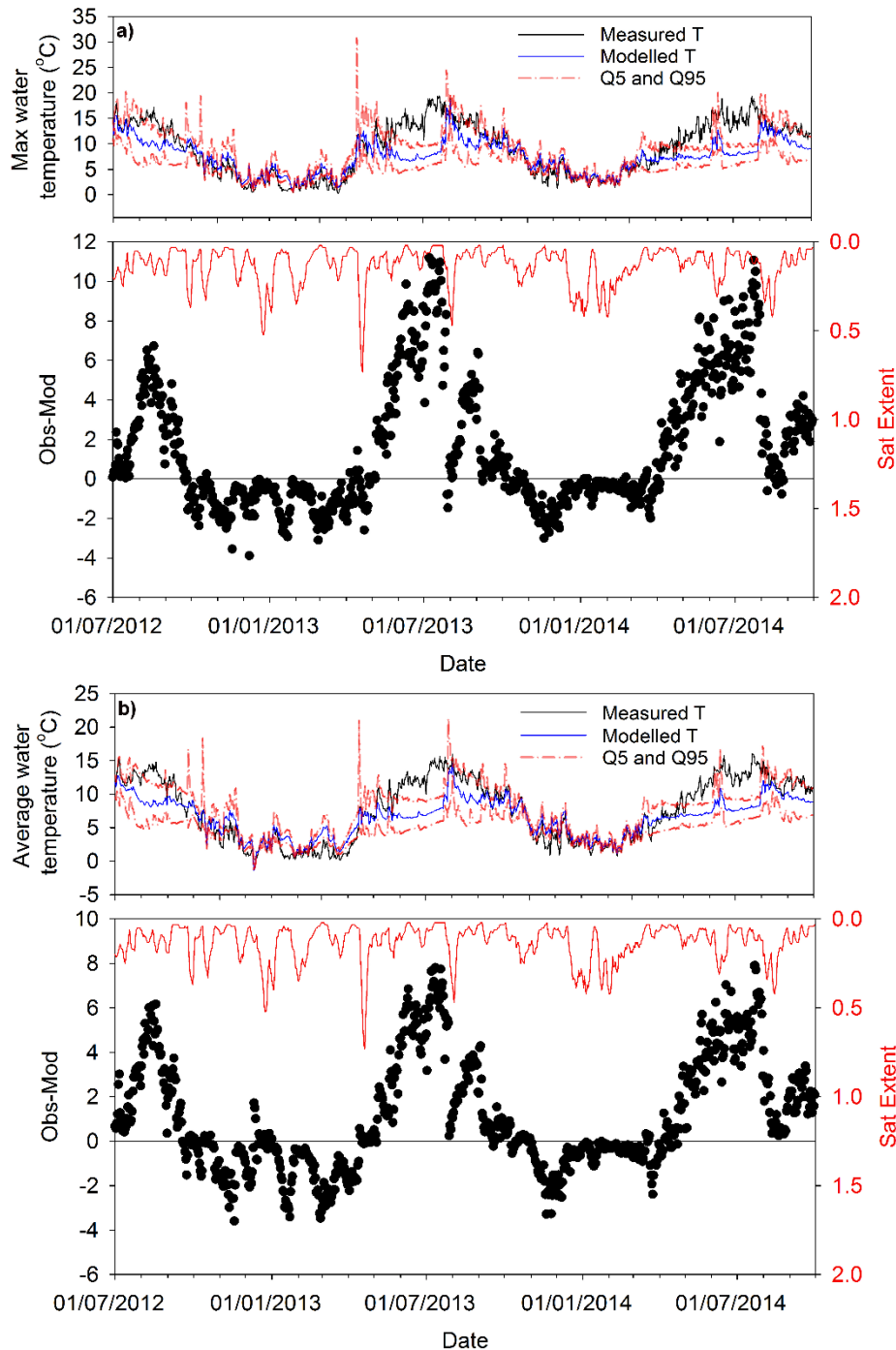


Figure 5: a (max temperature) and b (mean temperature): Time series of the measured versus simulated stream temperature, with stream temperatures estimated using the 95<sup>th</sup> and 5<sup>th</sup>

percentiles (Q5 and Q95) of the modelled NSF and GW flow estimates. The lower plot shows the difference between measured and estimated temperature (measured minus the estimated), and catchment saturation extent as fraction of the catchment. 0 equals perfect data fit. Negative and positive values reflect an overestimation and underestimation of stream water temperatures, respectively.

In the autumn and spring the model fits depended on wetness. In autumn, there were generally very good predictions with high NSEs (Table 1). In contrast, spring was more variable; in the wet spring of 2013 NSEs were high with 0.72 (mean) and 0.75 (max), but lower (0.3 and -0.27 for mean and max temperature, respectively) in the drier spring of 2014 (Table 1). In short, the two end members adequately estimated stream water temperatures during wet conditions, but other factors influence stream water temperatures during dry conditions.

#### **4.4 Effect of saturation extent and atmospheric energy components on model performance**

The correlations (Spearman's rank order) of the difference between measured and estimated temperatures for the daily mean and maximum temperatures with various energy balance components are given in Table 2. Results showed that there was no significant correlation with the saturated area extent for the whole period, though there were significant ( $p < 0.05$ ) positive and negative correlations for wet and dry periods, respectively (Table 2). For the entire study period, net radiation was most strongly correlated with the difference between measured minus estimated data, with poorest model fits in periods where net radiation was highest (e.g. Summer 2013 and summer 2014). However, there was a significant ( $p < 0.05$ )

negative correlation with GW levels, i.e. during wetter conditions and high water tables, data differences were lowest. Latent and sensible heat fluxes had lower correlations and were only significant ( $p < 0.05$ ) for the former.

Variable	Correlation
<b>Full period</b>	
Saturation extent	-0.04
GW level	-0.56*
Net radiation	0.72*
Latent heat	-0.42*
Sensible heat	-0.05
<b>Summer dry period (June-September 2013)</b>	
Saturation extent	-0.64*
GW level	-0.39*
Net radiation	0.58*
Latent heat	0.11
Sensible heat	-0.17
<b>Winter wet period (December 2013 – March 2014)</b>	
Saturation extent	0.62*
GW level	0.44*
Net radiation	0.04
Latent heat	0.03
Sensible heat	0.09
<b>* = Statistically significant</b>	

Table 2: Correlation and significance of measured and estimated data differences versus saturation extent, groundwater level, net radiation, latent heat, and sensible heat for the full data period, a typical dry period (Summer 2013) and a typical wet period (Winter 2013-2014).

During the dry summer of 2013, data differences were negatively correlated with the saturation area extent ( $r = -0.64$ ). Likewise, lower correlations between difference between measured and estimated temperatures and GW level were also negative and significant ( $p < 0.05$ ), with a correlation coefficient of  $-0.39$ . Data differences were positively correlated with net radiation ( $r = 0.58$ ;  $p < 0.05$ ). Together with the negative correlation with saturation area extent, this would be consistent with greater importance of energy exchange at the atmosphere–stream interface, as the riparian area becomes disconnected during drier conditions.

In the wetter winter period 2013-14, predicted temperatures were best when the catchment was wettest, punctuated by periods of low saturation extent, lower connectivity and over prediction of stream water temperatures (Table 1 & Figure 5). Consequently, there were strong positive correlations ( $\sim 0.62$ ) between saturation extent and differences between measured and estimated data; with differences increasing as saturation extent decreased. Correlations were also positive between measured and estimated temperature differences and GW level during the winter (e.g.  $0.44$  in the winter of 2012-2014), suggesting that using the saturation extent was a good proxy for catchment wetness. There were no significant correlations with the energy balance components for the full winter periods.

390

## 391 **5. Discussion**

### 392 **5.1 Learning from model successes and failures**

393 We used a simple mixing equation to increase our understanding of the role of NSF and  
394 hydrological connectivity on stream temperatures. This is a relatively new approach in that  
395 few studies have investigated such linkages using temperature as a tracer (e.g. Shanley and  
396 Peters 1988), and even fewer have used temperature to infer processes through evaluating  
397 the implications of where such models are adequate and when they fail. A simple mixing  
398 equation is able to capture a surprisingly large amount of the variability in daily mean and  
399 maximum temperatures on the basis of mixing waters with the thermal properties of NSF and  
400 GW, particularly in wetter periods. However, energy exchange at the stream-atmosphere  
401 interface becomes more important when catchments are drier (McDonald and Urban 2010)  
402 and hydrological connectivity is reduced. This probably reflects additional energy inputs in  
403 summer when the saturated area is diminished in size, or conversely, long-wave energy losses  
404 in winter.

405

406 The data fit was best during the spring and winter which correspond with prolonged periods  
407 of above-average catchment wetness and higher hydrological connectivity between the  
408 riparian wetland and the stream network (Soulsby et al. 2016). During these periods, the  
409 dominant control on stream water temperatures was simply the mixing of GW and NSF.  
410 However, similar good results were also evident in wet summer periods (Figure 5).

411

Periods with the poorest data fits coincided with drier periods where hydrological connectivity was reduced, and temperatures were under predicted, most notably in summer. This probably reflects increased influence of incoming radiation at the stream-atmosphere interface, the lower saturated area and greatly reduced NSF (Smith and Lavis 1975; Sinokrot and Stefan 1994; Garner et al. 2015). Similar effects in winter likely reflect increased radiative losses from the stream-atmosphere interface, the lower thermal capacity of reduced flow volumes (van Vliet et al. 2011) and freezing of sources of NSF during cold, dry winter periods.

Maximum daily temperatures are a crucial metric for riverine systems, as they can regulate cold water species distributions (such as Atlantic salmon) through both lethal and sub-lethal effects (Garside 1973; Kurylyk et al. 2015). As with mean temperatures, the best performance was during periods of high wetness and hydrological connectivity. Over drier periods, the fit was substantially worse than that of mean temperatures, with the greater differences between measured and estimated temperatures. As with the under-prediction of mean daily temperatures, the likely cause was the reduced NSFs as the riparian zone disconnected due to lowering of GW level and reduction in surface saturation. This lead to a smaller surface area to volume ratio for atmospheric energy inputs to affect (Mohseni et al. 1999; Webb et al. 2003; van Vliet et al. 2011).

## **5.2 Influence of riparian wetlands and hydrological connectivity on stream temperatures**

We have highlighted the importance of the saturated area extent in riparian wetlands as a proxy for NSF contributions to streamflow and the resulting influence on stream

temperatures. This was reflected in the close relationship between riparian GW levels and the extent of saturation, when the water table reaches the soil surface (i.e. when GW levels are high, saturation extent is also high) (Figure 3g and 3h), even though the GW response was more sensitive and dynamic than the saturation extent algorithm. Previous work at the site showed that high riparian GW levels indicate high connectivity with the stream network (Blumstock et al. 2016). Hence, there were similar patterns of correlations in the difference between measured and estimated temperatures and GW levels and saturation extent, with differences lowest when the water level was highest and the extent of riparian saturation was greatest (Table 2). During warmer periods in summer, when the saturation extent was reduced and connectivity limited, measured versus estimated fit was poor, due to increased influence of incoming radiation, which is a major component of energy budgets at the stream-atmosphere interface (Brown et al. 2010; Garner et al. 2015). In addition, reduced flows reduce the thermal capacity of the stream, increasing the influence of atmospheric energy exchanges on the smaller water volumes (Sinokrot and Gulliver 2000; Orr, et al. 2015). In contrast, during wet conditions, the extent of the saturation area increases, which leads to greater connectivity between catchment and stream channel (Dick et al. 2014; Birkel et al. 2015; Mosquera et al. 2015). As the extent of saturation increases, so does the area contributing higher volumes of water to stream flow via NSF paths (Dick et al. 2014; Goulsbra et al. 2014). Abrupt improvements in data fit during transient wet periods, within the drier summer months, suggest that re-connection of the saturated riparian zones to the stream effectively re-sets the stream water temperature to that of the mixed GW and NSF. The fact that riparian peats are able to sustain high moisture contents, even in dry periods when fluxes to sustain stream flow decrease, (Ingram, 1983) would explain this rapid effect.

457

458 The saturated riparian wetland forms an area (a) where NSF's can be influenced by  
459 atmospheric energy exchanges over an extensive zone which can increase near-surface water  
460 temperatures, similar to findings of Callahan et al. (2015); (b) where mixing of these highly  
461 variable NSF's and more constant deeper GW can occur (Tetzlaff et al. 2014) and (c) where  
462 contributions of large and dominant volumes of NSF's to the stream channel network occur  
463 when connected. This connection is quasi-continuous, depending on antecedent conditions  
464 (Birkel et al. 2011). In drier periods, colder, deeper GW sources dominate (Tunaley et al.  
465 2016). This suggests that in periods of high connectivity and high fluxes of NSF, stream  
466 temperatures are not influenced primarily by radiative inputs on the stream channel, but by  
467 inputs on the riparian wetlands.

468

469 Currently, there is increased interest in managing riparian zones to generate multiple benefits  
470 and maintain ecosystem services of aquatic ecosystems (Osborne and Kovacic 1993). Of  
471 particular attraction are "buffer zones" fringing stream channels to concentrate management  
472 treatments in small, cost-effective areas (Castelle et al. 1994). In relation to stream  
473 temperature, buffer zones are areas where tree planting may be focused to reduce radiation  
474 inputs and moderate stream water temperatures (Correll 1996). Such techniques are usually  
475 prohibitively expensive to be extrapolated to entire catchments (Kuglerová et al. 2014), and  
476 there is much uncertainty around their effectiveness as a 'one size fits all' approach (Bowler  
477 et al. 2012). However, with climatic change, there is a need for land management that builds  
478 resilience and moderates stream temperatures (Orr et al. 2015). This raises questions over  
479 the optimal widths of buffer zones (Sweeney and Newbold 2014), with what are often site-

specific requirements. Few studies have examined the influence of saturated riparian wetlands on stream temperatures and the implications these might have on temperature-orientated riparian management. Our study suggests stream thermal regimes may be at times influenced by areas considerably larger than narrow buffer strips, which may, in turn, limit their effectiveness. In such landscapes, more extensive riparian planting, with species tolerant of saturation, may need to be considered. This remains a fertile area for research.

Finally, such headwaters are important areas of the riverscape, and impact ecosystem services downstream (Bishop et al. 2008). While this study specifically deals with water temperatures in a headwater catchment, they effect downstream waters indirectly, namely their influence on biogeochemical processes (Alexander et al. 2007), their important biodiversity (Bishop et al. 2008) and the ability to dictate the distribution and survival of fauna (Hannah et al. 2004).

## **6. Conclusion**

We used a simple mixing equation to increase our understanding of how dynamic riparian wetlands, that often extend well-beyond the channel network, can strongly influence stream water temperatures. These wetlands form important “hot spots” of hydrological connectivity, where thermally variable near-surface waters mix with more stable deeper GW. The study has shown that a simple mixing model can explain stream water temperatures in terms of varying sources of these GW and near-surface sources during wetter conditions, in both winter and summer. This was consistent with energy exchanges between the saturated area

and atmosphere dominating the processes affecting stream temperatures. In drier conditions, where the area of saturation was reduced, energy exchanges at the stream-atmosphere interface became more important, and high net radiation raises water temperatures in the channel network. During winter low flows and low connectivity periods, long-wave losses occur. GW also has a contrasting role in summer and winter giving a cooling effect in the former but a significant heat source in the latter. The identification of extended riparian areas, as important components in the system that govern stream temperatures, has implications for riparian management strategies that target the stream bank for planting schemes. These were aimed at reducing stream temperatures and building ecosystem resilience to climate warming. Larger areas may be needed for planting where riparian zones are characterised by extensive wetlands.

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