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Running Head: SEARCH ADVANTAGE FOR HAPPY FACES

Sweet Emotion: The Role of Odor-induced Context in the Search Advantage for Happy Facial Expressions

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27 Abstract

28 The current study investigated the extent to which the concurrent presentation of pleasant and
29 unpleasant odors could modulate the perceptual saliency of happy facial expressions in an
30 emotional visual search task. Whilst a search advantage for happy faces was found in the no
31 odor and unpleasant odor conditions, it was abolished under the pleasant odor condition.
32 Furthermore, phasic properties of visual search performance revealed the malleable nature of
33 this happiness advantage. Specifically, attention towards happy faces was optimized at the
34 start of the visual search task for participants presented with pleasant odors, but diminished
35 towards the end. This pattern was reversed for participants in the unpleasant odor condition.
36 These patterns occur through the emotion-inducing capacities of odors and highlight the
37 circumstances in which top-down factors can override perceptually salient facial features in
38 emotional visual search.

39

40 Keywords: olfactory perception; emotions; happiness; facial features; visual search

41

42 Sweet Emotion: The Role of Odor-induced Context in the Search Advantage for
43 Happy Facial Expressions

44 **Introduction**

45 Odors have been shown to centrally interact with a range of biological and cognitive
46 processes (Bensafi et al. 2002; Li et al. 2007; Moss et al. 2008; Moss et al. 2010),
47 including their potent ability in unlocking our seemingly forgotten memories (Chu and
48 Downes 2000). Their subjective ratings of pleasantness and unpleasantness affect not only
49 how we feel (Black 2001), but also the quality of our emotional attachments with other
50 humans (Sookian et al. 2011). Their ability to evoke approach and avoidance affective
51 reactions helps to mobilize an organism for “fight or flight” action through the neural
52 interconnections between the olfactory receptors and the brain’s emotion processing hub,
53 the amygdala, which is located only one synapse away (Boesveldt et al. 2010). In humans,
54 further pathways from the amygdala feed into an intricate network implicated for the
55 visual analysis of faces in the occipitotemporal cortex (inferior occipital gyrus and
56 superior temporal sulcus; Adolphs 2002; Damjanovic et al. 2017), thus allowing for the
57 rapid, emotional appraisal of the most socially important visual cue in our environment –
58 the human face.

59 Whilst the insula, amygdala, primary sensory and orbitofrontal cortical regions in the
60 human brain are involved in the perception of aversive stimuli in all five sensory
61 modalities, a particularly elusive issue is whether olfaction and face perception influence
62 each other in emotion specific ways (Phillips and Heining 2002). Leppänen and Hietanen
63 (2003) provide one of the first attempts to systematically test the level of specificity in
64 olfactory-visual processing of affective information. In their study, healthy participants
65 were required to complete a forced-choice decision task categorizing facial expressions of

66 happiness and disgust taken from the Ekman and Friesen (1976) database. Each facial
67 expression was individually presented at central fixation on the computer screen and
68 participants were required to identify, by button press, as quickly as possible the
69 emotional expression portrayed. In a between-subjects design, some of the participants
70 performed the task whilst they were exposed to a pleasant odor whilst another group of
71 participants performed the task whilst they were exposed to an unpleasant odor. A third
72 group of participants also performed the task under neutral (i.e., no odor) conditions.
73 Whilst there was an overall advantage for categorizing happy facial expressions, this
74 varied as a function of the odor context, such that the categorization of happy faces was
75 facilitated in the context of pleasant odor relative to the no odor control condition, but
76 impaired when presented with an unpleasant odor. The different odor contexts did not
77 affect the processing of facial expressions of disgust. These findings suggest that whilst
78 some facial expressions may be easier to recognize on the basis of unique low-level
79 features, such as the brightness of a smile in happy facial expressions, their perception is
80 nonetheless affected by the context in which it is encountered. In the case of Leppänen
81 and Hietanen's (2003) findings, the authors propose that the improved recognition of
82 happy faces in the pleasant odor context is achieved by increasing the accessibility of
83 positive emotions, which in turn enhances the perceptual processing of emotion-congruent
84 aspects of the facial signal.

85 Building on this important work, Leleu et al. (2015) discovered that some emotional
86 expressions are affected more strongly by different odor contexts than others. For
87 instance, facial expressions of anger and disgust were perceived correctly at lower
88 stimulus intensities when presented in an aversive odor context (i.e., butyric acid) than in
89 both the pleasant (i.e., strawberry) and no odor contexts. The perception of happiness was
90 achieved at lower stimulus intensities when presented in the pleasant odor context than in

91 the control and aversive contexts. However, participants were not significantly influenced
92 by the different odor contexts in their perceptual judgments of fear and sadness. Whilst
93 the facilitative effects found for happy facial expressions paired with the pleasant odor are
94 consistent with the view that odor contexts can improve access to conceptual and/or
95 emotional structures of affective stimuli at ambiguous low-stimulus intensities, such
96 access may not always operate in a category-specific way. Thus, in some instances
97 aversive odor contexts can facilitate the perception of some negative emotional
98 expressions such as anger and disgust, but not others, such as sadness and fear.

99 However, investigations focusing on the neural basis of this response facilitation by
100 odorant primes have produced somewhat equivocal results. For example, Seubert et al.
101 (2010a; 2010b) utilized a repeated measures design for the administration of pleasant,
102 unpleasant and neutral odors whilst participants categorized happy, disgusted and neutral
103 facial expressions. In contrast to Leppänen and Hietanen's findings, the study by Seubert
104 and colleagues found facilitated response times for facial expressions of disgust
105 irrespective of the emotional valence of the odorant prime. For happy faces the effects of
106 the different odorant primes was less consistent: resulting in non-significant effects on
107 reaction times in some instances (2010a), yet in others reaction times to happy faces were
108 considerably impaired for both pleasant and unpleasant relative to the neutral odorant
109 (2010b). This behavioural facilitation for facial expressions of disgust corresponded to
110 neural modulations in the fusiform gyrus, middle frontal and middle cingulated gyrus,
111 with category specific modulations found for disgust faces-unpleasant odor pairings in the
112 anterior insula. Thus, whether the odorant is pleasant or unpleasant, its effect on vision
113 appears to be highly specialized; facilitating the perception of social cues that literally
114 convey "bad taste" (i.e., disgust).

115

116 Determining whether odor contexts can modulate emotion perception in a category-
117 specific manner is likely to be influenced by a range of factors, ranging from the
118 experimental design and the dependent variables of interest within a given study (e.g.,
119 accuracy, response times, self-report ratings, etc.) to the ontological properties of the
120 odorants themselves. For instance, Zhou and Chen (2009) created their odor contexts from
121 sweat samples collected from participants whilst they watched video segments selected to
122 induce fear and found that participants were more likely to judge an ambiguous facial
123 expression as displaying fear when they were exposed to the chemosignal of fearful sweat,
124 as compared to the control pad. Thus, the perception of low intensity fearful expressions
125 appears to be susceptible to odor facilitation when the context is created from fear-related
126 chemosensory stimuli with socio-communicative functions (i.e., body odors) rather than
127 common odors. This may partly be due to differential processing between common odors
128 and body odors, with research by Lundström et al. (2008) showing how body odors
129 activate brain networks consisting of the posterior cingulate cortex, occipital gyrus,
130 angular gyrus and the anterior cingulate cortex – a network typically implicated in
131 processing of emotional stimuli and the regulation of attentional resources (e.g., Botvinick
132 et al. 1999; Maddock, 1999), whilst deactivating other regions that have previously been
133 linked to olfactory perception of common odors (e.g., piriform cortex and orbitofrontal
134 cortex).

135 Whilst a number of methodological issues could account for the discrepancy in results
136 between the work of Leppänen and Hietanen (2003) and Seubert and colleagues (2010a;
137 2010b), a key issue that both studies agree on is the need to test the effects of odorant
138 primes under complex face processing tasks. Asking participants to categorize a single
139 facial expression presented at a fixed central location is not likely to exert a particularly
140 demanding constraint on attentional resources, especially when response categories are

141 explicitly primed (see also Leleu et al. 2015). Indeed, such experimental tasks result in
142 ceiling levels of performance which are likely to mask any contextual effects provided by
143 the odorant primes. To further clarify the role of odorant primes on face processing, it is
144 important to investigate how they affect the spatial distribution of attentional resources.
145 This is an important issue to address given that a considerable amount of our everyday
146 attentional processing for facial expressions occurs in the context of surrounding facial
147 expressions.

148 The obvious ecological appeal to studying how we detect positive and negative facial
149 expressions in a crowd of faces has been measured with the face in the crowd effect
150 paradigm (FICE). Modelled on classic principles of visual search (e.g., Treisman and
151 Gelade 1980), the FICE paradigm involves the presentation of a target face against an
152 array of competing distracter faces on the computer screen. On some trials all the faces in
153 the display show the same emotional expression, whereas in others, one face differs in
154 emotion from the remaining faces in the crowd. Participants are instructed to discriminate
155 between the “same” or “different” trials via a response key. The main independent
156 variable of interest is the manipulation of the target face on these “different” display trials.
157 Using response time and accuracy to detect the discrepant face in the display, some of the
158 earliest FICE findings showed that participants were faster and more accurate in shifting
159 their attentional resources towards the target face when it portrayed an angry facial
160 expression than a happy one (e.g., Hansen and Hansen 1988; Öhman et al. 2001).
161 Referred to in the FICE literature as the anger or the threat superiority effect (e.g., Fox
162 and Damjanovic 2006; Pinkham et al. 2010), this detection advantage is often attributed to
163 an evolutionarily-driven neural mechanism that enables rapid deployment of attentional
164 resources to stimuli that signal immediate danger and attack in the observer’s visual
165 environment (Öhman et al. 2001; Öhman and Mineka 2001) which can be heightened

166 even further through threat-relevant training (e.g., Damjanovic et al. 2014). Thus, when
167 attentional resources are relatively fixed, as in the categorization tasks used by Leppänen
168 and Hietanen, happy faces show a processing advantage over negative expressions such as
169 disgust. However, under greater attentional competition, as measured by the FICE, angry
170 faces not happy ones yield the processing advantage.

171 The predominance of threat superiority findings using FICE has however waned in
172 recent years. This has been mainly due to an increasing number of studies documenting
173 how happy faces, not angry ones, are detected faster and with greater accuracy, yielding
174 what is referred to in the literature as the happiness advantage or the happiness superiority
175 effect (e.g., Calvo and Nummenmaa 2008; Damjanovic et al. 2010; Damjanovic and
176 Santiago 2016; Juth et al. 2005; Lipp et al. 2009). Although more and more studies are
177 trying to increase the ecological validity of FICE tasks by using stimuli of photographic
178 facial expressions from established databases rather than schematic drawings, it appears
179 that most of the inconsistencies found in such studies can be accounted for by the
180 presence or absence of low level facial features found across different database types (e.g.,
181 Savage et al. 2013). Recently referred to as the “teeth visibility hypothesis” (Horstmann et
182 al. 2012), this perceptual account states that the presence of exposed teeth is a salient
183 facial feature that drives the advantage of happy faces over angry faces, so much so that
184 systematic manipulations of this facial component can reliably predict which target face
185 is detected most efficiently: when happy facial expressions are conveyed with a “toothy
186 grin” whilst angry faces are conveyed with a closed mouth, happy faces are detected more
187 efficiently. Conversely, when angry facial expressions are conveyed with a “toothy snarl”
188 whilst happy faces are conveyed with a closed smile, angry faces are detected more
189 efficiently. However, further studies on this specific issue have questioned the extent to

190 which a perceptual account can exclusively accommodate the detection advantage for
191 happy facial expressions.

192 Using computer generated facial expressions of anger and happiness and embedding
193 them within the FICE, Becker and colleagues (2011) reported more efficient detection
194 times for happy face targets even when the amount of perceptual information was
195 identical between angry and happy faces. Providing the following evolutionary and
196 affective accounts, Becker et al argue that happy facial expressions have evolved to be
197 highly visually salient in our environment, as a means of alerting us to important social
198 affiliation cues required to facilitate group membership and integration. Happy facial
199 expressions therefore have become serviceable for the specific purpose of signalling
200 friendship under a range of circumstances including their detection across long distances
201 (e.g., Hager and Ekman 1979) and in instances when the emotion is less intensely
202 expressed (Becker et al. 2011; Becker et al. 2012). As such, happy faces are encountered
203 in our lifetime with greater frequency in our social environment relative to negative
204 emotions (e.g., Bond and Siddle 1996; Whalen, 1998), and in turn biases our expectancy
205 for positive outcomes over negative ones (e.g., Chipchase and Chapman 2007; Diener and
206 Diener 1996). A direct consequence of such frequency effects is that positively laden
207 affective information becomes preferentially processed over negative information.
208 However, when the competing negative affect is overly arousing, any attentional bias
209 towards happy faces may diminish, opening up the prioritization of threat-specific cues,
210 such as angry faces, instead.

211 To summarize, categorization tasks focus on emotion perception under fixed
212 attentional demands whereas FICE tasks are mainly concerned with how attention is
213 distributed across several facial expressions. However, both methodologies have attracted
214 considerable theoretical debate in terms of whether the processing of facial expressions of

215 emotion can be more appropriately accounted for by perceptual-based explanations or
216 affective ones. The current study makes a new contribution to this area by using the
217 contextual cues created by odors which have been extensively applied in categorization
218 tasks, but never included in tasks measuring spatial attention performance with emotional
219 faces. The main aim of the study is to investigate for the first time the effects of different
220 odorant primes on the happiness superiority effect using the FICE task.

221 **The present study**

222 As noted in the above review, the happiness superiority effect can to a large extent be
223 determined by the type of stimuli used in FICE display trials (e.g., Becker et al. 2011;
224 Becker et al. 2012; Juth et al. 2005). The FICE task used in the current study was selected
225 to satisfy two important aims: to elicit a consistent happiness superiority effect within the
226 participant sample recruited for the study and to be sufficiently complex in task demands
227 to allow for the different odorant primes to take effect (e.g., Leppänen and Hietanen 2003;
228 Seubert et al. 2010a; 2010b). The FICE task developed in some of our earlier work
229 satisfies these criteria, demonstrating a robust happiness superiority effect by native
230 English-speaking Caucasian participants across three experiments, although for some
231 variants of the FICE task, the detection of happy face targets was easier than others.

232 The current study used the ‘crowd’ variant of Damjanovic et al’s FICE task, using
233 angry, happy and neutral face stimuli taken from the Caucasian set of the Matsumoto and
234 Ekman’s Japanese and Caucasian Facial Expressions of Emotion (JACFEE) database.
235 Developed in 1988, the database was validated by using the Facial Action Coding System
236 (FACS), a technique that enables the objective measurement of facial muscle innervations
237 specific to the emotion portrayed (Ekman et al. 2002). This allowed the facial expressions

238 to be carefully matched for signal clarity and intensity across the different emotional
239 categories (Matsumoto 2002).

240 The happy facial expressions in Matsumoto and Ekman's database were posed with
241 'toothy grins', whilst all of the angry face exemplars were posed with a downward shut
242 mouth, thus the happiness superiority effect found in Damjanovic et al's study with their
243 Caucasian participants could be accounted for in terms of the teeth visibility hypothesis
244 (Horstmann et al. 2012). The key research question addressed in the current study is
245 whether such a perceptual based explanation of the happiness superiority effect measured
246 by FICE can operate independently of an affectively-valenced environment. Specifically,
247 we hypothesized that if the underlying mechanism of the happiness superiority effect is a
248 perceptual one, the effect should remain stable across the different odor contexts (Becker
249 et al. 2011; Calvo and Marrero 2009; Calvo and Nummenmaa 2008; Damjanovic et al.
250 2010; Juth et al. 2005). This hypothesis was addressed by comparing participants' FICE
251 performance in a no odor (i.e., control) group with participants who performed the task
252 under different affectively-valenced environments created by the concurrent presentation
253 of pleasant or unpleasant odorant primes. The experiment used a between-subjects design
254 and long-term odorant exposure in order to assess whether exposure to the odorants
255 influenced the emotional state of the participant during the FICE task.

256 Whilst obtaining faster detection times for happy face targets in the pleasant odor
257 condition would be consistent with the findings obtained in Leppänen and Hietanen's
258 work (2003), the exact mechanism for such odor effects remains elusive. On the one hand,
259 Leppänen and Hietanen suggest that pleasant odorants may operate in a mood-congruent
260 manner, by activating positive emotions within the participants, which in turn facilitates
261 access to conceptual knowledge about the target emotion (e.g., smiling faces), yet whether
262 this cognitive facilitation is achieved independently of any emotional change within the

263 participant remains unknown. Indeed, the majority of studies that have examined the
264 emotion inducing properties of different odorants have found significant changes within
265 the participant across a variety of measures (Krippel 2003). For example, at a
266 physiological level, the affective properties of odors have been shown to exert a direct
267 influence on a participant's level of autonomic nervous system activity, such that an
268 increase in an odor's subjective pleasantness leads to a decrease in the participant's heart
269 rate (Bensafi et al. 2002). Furthermore, exposure to different types of odorants such as
270 ylang-ylang, have successfully been found to increase self-reported levels of calmness and
271 reduce anxiety (Moss et al. 2008; Moss et al. 2010; Moss and Oliver 2012). Based on
272 these observations, it is highly plausible for the facilitative effects observed in Leppänen
273 and Hietanen's study to have occurred as a consequence of a change in the emotional state
274 of the participant. Therefore, it would be important to establish whether the effects of
275 odors on cognitive performance in the FICE task can occur independently of the
276 emotional state of the observer. This will be achieved in the current study by
277 administering a measure of self-reported anxiety, the State-Trait Anxiety Inventory
278 (STAI; Spielberger et al. 1983) in a pre vs post-test measure design. This type of measure
279 has been applied effectively in previous research with FICE tasks (e.g., Damjanovic et al.
280 2014) and utilized in the perception of chemosensory stimuli (Zhou and Chen 2009) to
281 assess self-reported anxiety in participants. As such, utilizing the STAI in a pre vs post
282 test design will allow us to assess if the odorants in the current study influence anxiety and
283 if so, what are the implications of such modulations on attentional performance.
284 Specifically, we hypothesized that pleasant odorants would reduce self-reported measures
285 of anxiety, whilst unpleasant odorants would increase self-reported measures of anxiety.
286 Furthermore, if these hypotheses are confirmed in our analyses, we will examine the

287 extent to which such changes in self-reported anxiety mediates the attentional
288 performance in detecting happy facial expressions.

289 Finally, given that differences in experimental design and odor exposure intervals are
290 both likely to influence mood-inducing capacities of odors and other associated affective
291 states (see Seubert et al. 2010b), a further consideration for the present study is to
292 determine whether odors exert a specific time course on emotion perception. This is
293 because the olfactory modality is particularly vulnerable to habituation; with repeated or
294 prolonged exposure to an odorant stimulus, neural sensitivity is reduced, consequently
295 reducing its saliency and priming potential (Dalton 2000). For instance, Moessnang,
296 Finkelmeyer, Vossen, Schneider and Habel, (2011) showed that participants' spatial
297 attention to locate a target shape presented on the same side as an odorant cue was initially
298 slower at the start of the experiment, but then disappeared over the course of the
299 experiment. Performing a similar time course split on reaction time will help to establish
300 to what extent the search for happy faces remains stable over the course of the experiment.

301 **Method**

302

303 **Participants**

304 A total of 54 Undergraduate and Postgraduate students from the University of Chester
305 were randomly allocated in equal groups to the control (female = 13, male = 5; mean age:
306 23.72 years, range: 19-39 years), pleasant odor (female = 15, male = 3; mean age: 21.94
307 years, range: 18-40 years) and the unpleasant odor condition (female = 15, male = 3; 23.11
308 years, range: 18-48 years).

309 **Ethics Statement**

310 The work with human participants complies with the Declaration of Helsinki for
311 Medical Research involving Human Subjects. The study was also approved by the

312 Department of Psychology Ethics Committee at the University of Chester, United Kingdom.
313 All participants gave written informed consent and were paid £5.00 for participation.
314 Participants self-reported that they had normal to normal-to-corrected vision, normal sense of
315 smell, and no nasal or food allergies and were not experiencing any respiratory problems.
316 Female participants who were pregnant or thought that they might be pregnant were excluded
317 from participating in the study to minimize the risk of nausea. Once each participant's testing
318 date and time was confirmed, they were reminded to restrict some habits that could affect
319 their ability to smell, such as smoking, drinking coffee and using scented products, on the day
320 of testing. They were also reminded of these restrictions 24 hours before their day of testing.

321

322 **Stimuli and apparatus**

323 Based on previous research by Leppänen and Hietanen (2003) that utilized an
324 unbalanced design towards positive odors, we selected strawberry (contains: ALDEHYDE
325 C16 (STRAWBERRY PURE), METHYL CINNAMATE, alpha iso methyl ionone, amyl
326 cinnamic aldehyde), vanilla (contains: VANILLIN, limonene, coumarin, ETHYL MALTOL,
327 Tonalid) and orange zest odors (contains: Linalyl Acetate, citral, limonene, linalool) for the
328 pleasant odor condition, and for the unpleasant condition we selected a fish odor (contains:
329 PINE TAR OIL, Alpha-Cedrene) (Boesveldt et al. 2010). All odorants were manufactured
330 and supplied by *Dale Air*TM in the U.K. For the main experiment, the odors were supplied in
331 aerosol form and distributed by a purpose-built dispenser supplied by *Dale Air*TM positioned 2
332 metres above floor level. The odor release mechanism was set to 20 minute intervals. Cotton
333 wads absorbed with the liquid form of the odors and presented in containers were used to
334 collect ratings of arousal and pleasantness in a separate rating study and as part of the odor
335 selection stage of the main experiment.

336

337 **Odor rating study**

338 A separate group of 36 student participants from the same population and matched for
 339 male-female split as those recruited from the main experiment were randomly allocated in
 340 equal groups to the pleasant (female = 15, male = 3; mean age: 30 years, range: 18-53 years)
 341 and unpleasant odor conditions (female = 15, male = 3; 22 years, range: 18-42 years). Each
 342 participant in the pleasant odor group was presented with three individual containers
 343 containing the odors and asked to rate each container on pleasantness and arousal using a 5-
 344 point scale. Thus, all participants in the pleasant odor group smelled all of the pleasant odors.
 345 As per Leppänen and Hietanen's (2003) pleasantness ratings, participants were instructed to
 346 sniff each container and evaluate it on a 5-point Likert scale ranging from 1 (*extremely*
 347 *unpleasant*) to 3 (*neutral*) to 5 (*extremely pleasant*). Measures of arousal were obtained by
 348 adapting the instructions and response categories used by Bensafi et al. (2002, p. 705),
 349 whereby participants were instructed to 'Please judge your feeling when you smelled the
 350 odorant by circling the relevant number between 1 (*not at all arousing*) to 3 (*neutral*) to 5
 351 (*extremely arousing*)'. The mean value provided for each odorant for both pleasantness and
 352 arousal measures were used to obtain a further measure of perceived intensity by conducting a
 353 series of one-sample *t*-tests with the mid-point value as the hypothetical neutral value.

354

355 **Measures of pleasantness:** A one-way independent groups ANOVA revealed a significant
 356 difference for pleasantness ratings for strawberry ($M = 4.28$, $SD = .96$), vanilla ($M = 4.28$, SD
 357 $= .75$), orange zest ($M = 3.94$, $SD = .73$), and the fish odor ($M = 2.17$, $SD = 1.10$), $F(3, 68) =$
 358 22.94 , $MSE = .80$, $p < .001$, $\eta p^2 = .50$. Planned comparison *t*-tests showed that whilst there
 359 were no significant differences in pleasantness ratings between strawberry, vanilla and orange
 360 ($p > .05$), each pleasant odor however was associated with significantly higher ratings than
 361 the fish odor; strawberry, $t(34) = 6.15$, $p < .001$, $d = 2.05$, vanilla, $t(34) = 6.73$, $p < .001$, $d =$

362 2.27, orange zest $t(34) = 5.73, p < .001, d = 1.92$. Furthermore, one sample t-tests confirmed
363 that both pleasant and unpleasant fish odor ratings differed significantly from the neutral mid-
364 point ($p < .001$), with pleasant odors being rated significantly more towards the pleasant end
365 of the scale and the fish odor being rated significantly more towards the unpleasant end of the
366 scale.

367

368 **Measures of arousal:** Strawberry ($M = 3.44, SD = 1.20$), vanilla ($M = 3.11, SD = 1.28$),
369 orange zest ($M = 2.94, SD = 1.47$), and the fish odor ($M = 2.89, SD = 1.32$), did not differ
370 significantly from each other in terms of perceived arousal, $F(3, 68) = .64, MSE = 1.75, p =$
371 $.590, \eta p^2 = .03$ or from the neutral mid-point. Thus, the odors selected for the main
372 experiment differed significantly in terms of their affective valence (pleasant vs unpleasant),
373 but were not confounded by differences in stimulus arousal.

374

375 **Main experiment:** Participants in the main experiment were required to rate each odor for
376 perceived pleasantness (1 = extremely unpleasant to 5 = extremely pleasant Likert scale).
377 Each participant in the pleasant odor group was presented with three individual containers
378 containing the pleasant odors, whilst participants in the unpleasant odor condition were given
379 the fish odor to rate. Thus, all participants in the pleasant odor group smelled all of the
380 pleasant odors. A one-way independent groups ANOVA revealed significant differences in
381 pre-experimental ratings for strawberry ($M = 4.44, SD = .78$), vanilla ($M = 4.17, SD = .62$),
382 orange zest ($M = 4.11, SD = .76$), the overall mean for the selected pleasant odor ($M = 4.33,$
383 $SD = .59$) and the fish odor ($M = 2.0, SD = .59$), $F(4, 85) = 41.20, MSE = 0.46, p < .001, \eta p^2$
384 $= .66$. Mirroring the pattern of results found in the odor rating study, the pleasant odors did
385 not differ significantly from each other ($p > .05$), but each pleasant odor was associated with
386 significantly higher ratings than the fish odor; strawberry, $t(34) = 10.55, p < .001, d = 3.54,$

387 vanilla, $t(34) = 10.72, p < .001, d = 3.56$, orange zest, $t(34) = 9.30, p < .001, d = 3.10$, and
388 the overall mean for the selected (see procedure) odor, $t(34) = 11.78, p < .001, d = 3.95$.
389 Furthermore, the pleasant odors and the unpleasant fish odor ratings differed significantly
390 from the neutral mid-point ($p < .001$).

391 To establish that these differences in pleasantness ratings between the two odor
392 conditions were significant at the end of the experiment as well as at the beginning, further
393 between groups comparisons were conducted, as per Leppänen and Hietanen (2003), by using
394 a 20cm visual analogue scale with the word *unpleasant* at the left end, *neutral* in the middle,
395 and *pleasant* at the right for participants to evaluate the pleasantness of the odor in the room.
396 Responses to the odor evaluations were recorded from 0 to 10 for pleasant responses and from
397 0 to -10 for unpleasant responses. Participants in the pleasant odor condition rated the odor as
398 significantly more pleasant ($M = 6.81, SD = 2.12$) than participants with the fish odor ($M = -$
399 $5.59, SD = 4.53$), $t(34) = 10.52, p < .001, d = 3.72$. Both ratings differed significantly from
400 the mid-point (i.e., neutral) as revealed by one sample t -tests for fish, $t(17) = -5.23, p < .001, d$
401 $= 1.23$ and the selection of odors, $t(17) = 13.64, p < .001, d = 3.21$ respectively. Thus, the
402 unpleasant and pleasant evaluations associated with fish and the pleasant odors at the start of
403 the experiment were maintained towards the end of the experiment.

404

405 **Facial expression stimuli:** Four angry (E1-E4), four happy (E33-E36) and 8 neutral (N6, N8,
406 N11, N13, N17, N22, N26, N27) faces were selected from the Caucasian set of Matsumoto
407 and Ekman's (1988) database. Adobe Photoshop converted each color image to grayscale and
408 applied an oval template (125 pixels wide by 168 pixels high) to remove external features
409 (e.g., hair, ears, neckline). Mean luminance and contrast were matched for all faces such that
410 each face generated an intensity value of 190. Stimulus presentation and data recording was
411 obtained through SuperLab 4.0, using a Mac G4 OSX computer.

412

413 **Design**

414 The happiness superiority effect was measured using reaction time (RTs) recorded
415 from the onset of each visual search display to participant response and error rates on
416 ‘different’ display trials (Damjanovic et al., 2010). Participants were randomly allocated to
417 one of the three groups: control, pleasant or unpleasant. Type of target (angry and happy) and
418 type of distracter (neutral and emotional) were administered as repeated measures variables.
419 The anxiety inducing properties of the odors was established by comparing state anxiety
420 scores pre and post odor exposure. Participants in the control condition were also required to
421 provide self-report measures of their state anxiety, once before completing the visual search
422 task and immediately after its completion.

423

424 **Procedure**

425 The procedure involved several measures administered in the following order: rating
426 of the odor(s), state anxiety, visual search task, rating of the odor and state anxiety. The odor
427 rating measures were not applicable to participants in the control (i.e., no odor condition). For
428 participants in the pleasant odor condition, the odor that they rated the highest for
429 pleasantness was selected for the visual search task, whereas for participants in the unpleasant
430 odor condition it was the fish odor. Thus, participants in the odor conditions were exposed to
431 one odorant for the visual search task. Participants then completed the state (S) component of
432 the STAI (Spielberger et al. 1983) as an index of their baseline anxiety. The visual search task
433 was taken from Experiment 1 in Damjanovic et al.’s study (2010). Briefly, this consisted of
434 *same* display trials of four different individuals displaying the same emotional expression
435 (i.e., all angry, all happy or all neutral). There were four *different* display trials: one angry,
436 three neutral; one angry, three happy, one happy, three neutral; and one happy, three angry.

437 The visual search experiment consisted of 96 same-display trials (32 angry, 32 happy, 32
438 neutral expressions) presented randomly with 128 different-display trials (32 in each of the
439 four conditions). A fully counterbalanced design in which each poser provides each
440 expression was not possible to implement in the current study due to the fact that each poser
441 only contributed one facial expression of emotion and one neutral expression to the database
442 (Matsumoto 2002; Matsumoto and Ekman 1988).

443 Each trial began with a fixation cross in the centre of the screen for 500 ms followed
444 by a display of four faces surrounding the central fixation point for 800 ms. The four faces
445 were arranged in an imaginary circle, occupying top, right, bottom and left locations on the
446 computer screen, with a fixation cross at the centre viewed at a distance of 60cm. Each face
447 subtended a visual angle of 3.1° horizontally by 4.1° vertically. The centre of each face was
448 6.2° of visual angle from fixation. The inter trial interval was set to 2000 ms. Participants were
449 instructed to respond as quickly and as accurately as possible whether the four faces in the
450 display showed the 'same' emotion or whether one was 'different' in emotion from the
451 remaining three faces by pressing the 'x' and '.' key on the keyboard. Response mapping was
452 reversed for half the participants, with feedback in the form of a 1,000 ms beep being
453 provided on incorrect trials. Although participants performed the visual search task without a
454 break, our previous work with these tasks has indicated that this does not necessarily induce
455 severe fatigue effects.

456 After the visual search task, participants in the experimental groups used a 20cm
457 visual analogue scale with the word *unpleasant* at the left end, *neutral* in the middle, and
458 *pleasant* at the right to evaluate the pleasantness of the odor in the room as a post-experiment
459 rating measure. This change in rating method from a 5-point Likert scale to a visual analogue
460 scale follows similar procedural approaches (e.g., Leppänen and Hietanen 2003) and was
461 implemented in the current study to minimize the impact of participants' responses styles on

462 their odor ratings. Finally, participants were provided with the STAI (S) component to
 463 complete. Participants were required to complete the STAI (S) after completing the odor
 464 rating in order to replicate the administration of the rating scales used in Leppänen and
 465 Hietanen's (2003) procedure. To assess whether asking participants to give a positive or
 466 negative rating for an environmental factor may subsequently raise awareness of this factor
 467 and influence their STAI (S) scores, we correlated participants' pleasantness ratings with their
 468 post-experiment STAI(S) score. The relationship between the two measures was weak and
 469 non-significant, $r = .07$, $p = .689$, providing little evidence to suggest that rating an odorant's
 470 pleasantness is significantly associated with self-reported ratings of state anxiety. Once the
 471 STAI was completed, participants were debriefed and thanked for their time.

472 Results

473 **Anxiety-inducing properties of odors:** To test our specific hypotheses that the
 474 pleasant odorant would result in a decrease in self-reported anxiety, the unpleasant odorant an
 475 increase in self-reported anxiety, and the control condition resulting in a non-significant
 476 change, a 3 (group: control, pleasant or unpleasant) x 2 (time: before and after) mixed
 477 ANOVA with repeated measures on the last factor was applied to the participants' STAI-S
 478 scores (see Table 1). There was no significant effect of group, $F(2, 51) = 0.04$, $MSE =$
 479 132.02 , $p = .962$, $\eta p^2 = .00$ or time, $F(1, 51) = 0.02$, $MSE = 26.77$, $p = .882$, $\eta p^2 = .00$
 480 However, the group x time interaction was significant, $F(2, 51) = 7.05$, $MSE = 26.77$, $p =$
 481 $.002$, $\eta p^2 = 0.22$. There were no significant group differences in state anxiety at baseline, F
 482 $(2, 102) = 1.35$, $MSE = 79.39$, $p = .265$, $\eta p^2 = .03$ or post-test, $F(2, 102) = 1.10$, $MSE =$
 483 79.39 , $p = .339$, $\eta p^2 = .02$. However, self-reported anxiety levels changed within each group,
 484 such that towards the end of the experiment, anxiety levels significantly decreased in
 485 participants exposed to the pleasant odors $F(1, 51) = 8.59$, $MSE = 26.77$, $p = .005$, $\eta p^2 = .14$,
 486 but increased for participants exposed to the unpleasant odor, $F(1, 51) = 4.25$, $MSE = 26.77$,

487 $p < .044$, $\eta p^2 = .08$. Pre vs post test changes in anxiety did not differ significantly for
 488 participants in the control group, $F(1, 51) = 1.27$, $p = .264$, $\eta p^2 = .02$.

489

490 **(Insert Table I about here)**

491

492 **Visual search performance:** As per Damjanovic et al (2010), only performance on
 493 discrepant trials were examined. Reaction time (RT) for correct responses on *different display*
 494 trials were filtered ($< 100\text{ms}$ or $> 2,000\text{ms}$) for analysis. To test the hypothesis that the
 495 underlying mechanism of the happiness superiority effect is a perceptual one a 3 (group:
 496 control, pleasant or unpleasant) x 2 (target: angry and happy) x 2 (distracter: emotional and
 497 neutral) mixed ANOVA with repeated measures on the last two factors was conducted.

498

499 **(Insert Figure 1 about here)**

500

501 The main effect of group was not significant, $F(2, 51) = .40$, $\text{MSE} = 196657.53$, $p =$
 502 $.676$, $\eta p^2 = .02$. The initial results replicated a happiness superiority effect, $F(1, 51) =$
 503 30.46 , $\text{MSE} = 3871.58$, $p < .001$, $\eta p^2 = .37$, and participants were faster to detect a target
 504 when it was surrounded by emotional than neutral distracter faces, $F(1, 51) = 73.58$, $\text{MSE} =$
 505 3974.857 , $p < .001$, $\eta p^2 = .59$. Type of target and type of distracter interacted significantly
 506 with each other $F(1, 51) = 61.48$, $\text{MSE} = 2881.73$, $p < .001$, $\eta p^2 = .55$, with the happiness
 507 superiority effect occurring with neutral distracters $F(1, 102) = 86.51$, $\text{MSE} = 3376.66$, $p <$
 508 $.001$, $\eta p^2 = .46$, but not with emotional distracters, $F(1, 102) = 0.89$, $\text{MSE} = 3376.66$, $p =$
 509 $.348$, $\eta p^2 = .01$. Angry face targets were found faster overall when they were surrounded by
 510 emotional distracters (i.e., happy faces) than when surrounded by neutral distracters, $F(1,$
 511 $102) = 134.90$, $\text{MSE} = 3428.29$, $p < .001$, $\eta p^2 = .60$, whereas overall response times for happy

512 face targets was equivalent for emotional and neutral distractors, $F(1, 102) = 2.10$, $MSE =$
 513 3428.29 , $p = .151$, $\eta p^2 = .02$. The effect of target interacted significantly with group $F(2, 51)$
 514 $= 4.58$, $MSE = 3871.58$, $p = .015$, $\eta p^2 = .15$, producing the happiness superiority effect in the
 515 control $F(1, 51) = 24.83$, $MSE = 3871.58$, $p < .001$, $\eta p^2 = .33$ (See Figure 1A) and
 516 unpleasant groups $F(1, 51) = 14.11$, $MSE = 3871.58$, $p < .001$, $\eta p^2 = .22$ (See Figure 1C),
 517 but is eliminated in the pleasant group, $F(1, 51) = 0.67$, $MSE = 3871.58$, $p = .416$, $\eta p^2 = .01$
 518 (See Figure 1B). The three-way interaction between group x target x distracter did not reach
 519 significance, $F(2, 51) = 0.45$, $MSE = 2881.73$, $p = .644$, $\eta p^2 = .02$.

520 Analysis of error rates revealed significantly lower error rates associated with happy
 521 targets compared to angry targets, $F(1, 51) = 167.60$, $MSE = 118.18$, $p < .001$, $\eta p^2 = .77$
 522 (See Figure 1) and with emotional distracters compared with neutral ones, $F(1, 51) = 135.33$,
 523 $MSE = 103.66$, $p < .001$, $\eta p^2 = .73$. A significant target x distracter interaction $F(1, 51) =$
 524 86.73 , $MSE = 132.72$, $p < .001$, $\eta p^2 = .63$ revealed low error rates for happy targets with
 525 both emotional ($F(1, 102) = 245.18$, $MSE = 125.45$, $p < .001$, $\eta p^2 = .71$) and neutral
 526 distracters $F(1, 102) = 4.46$, $MSE = 125.45$, $p = .037$, $\eta p^2 = 0.04$. For angry targets, detection
 527 accuracy was considerably better with emotional distracters $F(1, 102) = 215.56$, $MSE =$
 528 118.19 , $p < .001$, $\eta p^2 = .68$, but there was no significant effect of surrounding distracter
 529 context on error rates for happy targets, $F(1, 102) = 0.53$, $MSE = 118.19$, $p = .470$, $\eta p^2 = .01$.
 530 The three-way interaction between group x target x distracter did not reach significance, $F(2,$
 531 $51) = 0.61$, $MSE = 132.72$, $p = .549$, $\eta p^2 = .02$.

532 These results show that whilst there is an overall search advantage favouring happy
 533 facial expressions, this advantage is modulated by the affectively valenced environmental
 534 cues. Furthermore, the presence of the group x target interaction on response times, indicates
 535 that such cues exert a stronger effect on processing speed than on accuracy. The emotionality

536 of the competing distracter faces produces similar effects on both search and accuracy
537 measures.

538 **Stability of the search advantage for happy faces:** Habituation effects were
539 investigated by calculating a single computation for each participant for each distracter
540 context, the happiness superiority index (HSI). This calculation involved the mean RTs for all
541 angry targets minus mean RTs for all happy targets, for the first and last 25% of trials for each
542 participant, with a positive value indicating faster detection times for happy faces. A 3 (group:
543 control, pleasant or unpleasant) x 2 (distracter: emotional and neutral) x 2 (phase: first quarter
544 and last quarter) mixed ANOVA with repeated measures on the last two factors (see Figure 2)
545 revealed no significant effects of group $F(2, 51) = 0.15$, $MSE = 32876.65$, $p = .859$, $\eta p^2 = .01$
546 or phase $F(1, 51) = 0.10$, $MSE = 24868.75$, $p = .752$, $\eta p^2 = .00$. Greater levels of happiness
547 superiority were observed with neutral relative to emotional distracters $F(1, 51) = 53.26$,
548 $MSE = 20381.67$, $p < .001$, $\eta p^2 = .51$ (See Figure 2).

549

550 **(Insert Figure 2 about here)**

551

552 The only significant higher order interaction to emerge from the analyses was for
553 group x phase, $F(2, 51) = 6.05$, $MSE = 24868.75$, $p = .004$, $\eta p^2 = 0.19$, with simple main
554 effects revealing higher levels of happiness superiority in the last quarter of the experiment, F
555 $(2, 102) = 3.62$, $MSE = 28872.02$, $p = .030$, $\eta p^2 = .07$, an effect which was limited to the
556 unpleasant odor group (Tukey $p < .05$). For participants in the pleasant odor group the
557 magnitude of the happiness superiority effect was stronger at the start of the visual search task
558 than towards the end, $F(1, 51) = 4.44$, $MSE = 24868.75$, $p = .040$, $\eta p^2 = 0.08$. This pattern
559 was reversed for participants in the unpleasant group, $F(1, 51) = 7.74$, $MSE = 24868.75$, $p =$
560 $.01$, $\eta p^2 = .13$. The happiness superiority effect did not differ between the start and end of the

561 visual search task for participants in the control group, $F(1, 51) = 0.02$, $MSE = 24868.75$, p
562 $= .901$, $\eta p^2 = .00$.

563

564 **The role of self-reported anxiety in mediating the impact of scent pleasantness on the**
565 **happiness superiority effect.**

566 Given that our hypotheses relating to the anxiety modulating properties of the odorants
567 were supported, the following analyses investigated whether the significant changes in self-
568 reported anxiety reported in the two odor groups (see Table 1) could impact on the happiness
569 superiority index at the start and towards the end of the visual search task (see Figure 2). To
570 achieve this, a change in state anxiety variable was computed (STAI-CHANGE: $STAI-S_{after} -$
571 $STAI-S_{before}$) with a negative value indicating a decrease in anxiety, and a positive value
572 indicating an increase in anxiety as a function of odor exposure. Focusing on the significant
573 effects found with neutral distracters, odor type (coded: 0 = pleasant, 1 = unpleasant)
574 correlated positively with changes in self-reported anxiety, $r_{pb} = .54$, $p < .001$, such that the
575 unpleasant odor was strongly associated with increased levels of self-reported anxiety.
576 Furthermore, the pleasantness of odor type almost yielded a significant relationship with
577 search times for the HSI at the start of the experiment, resulting in a greater HSI with pleasant
578 than unpleasant odors, $r_{pb} = -.31$, $p = .065$. The relationship between changes in anxiety and
579 HSI, was negligible, $r = -.02$, $p = .913$. In contrast to the patterns observed at the start, as
580 participants approached the end of the visual search task, odor pleasantness was found to
581 significantly correlate with HSI, such that the unpleasant odor was moderately associated with
582 higher levels of happiness detection, $r_{pb} = .37$, $p = .026$. The relationship between changes in
583 anxiety and HSI performance was weak and not significant, $r_{pb} = .28$, $p = .104$.

584 Given the small sample sizes (Preacher & Hayes, 2008; Shrout & Bolger, 2002), two
585 separate bootstrapped hierarchical regressions for search times at the start and at the end of

586 the visual search task were performed to test the degree to which odor type and changes in
587 state anxiety could predict the magnitude of the HSI. These results are summarized in Table
588 2. At the start of the experiment, type of odor (block 1) accounted for 9.7% of the variation in
589 detecting happy faces, $F(1, 34) = 3.64$, $MSE = 21702.49$, $p = .065$. The inclusion of changes
590 in state anxiety in block 2, accounted for a further 3.1%, but this did not significantly improve
591 the ability of the model to predict happiness detection performance, $F(2, 33) = 2.41$, $MSE =$
592 21594.90 , $p = .105$. Inspecting the bootstrapped unstandardized b -values, odor type in block 1
593 approached significance ($p = .058$), but gained full predictive value when included with
594 changes in state anxiety in block 2 ($p = .027$), whilst changes in the state anxiety failed to gain
595 any significant value in predicting happiness detection ($p = .257$). The configuration of these
596 effects is consistent with classical suppression found in regression analyses (e.g., Horst 1941;
597 MacKinnon et al. 2007; McFatter 1979; Paulhus et al. 2004) and demonstrates that knowing
598 how a participant responds emotionally to the odorant during initial stages of exposure
599 significantly improves the detection of emotional facial cues. In the case of the current study,
600 reductions in anxiety created by exposure to pleasant odors facilitate the detection of happy
601 face targets.

602

603

(Insert Table II about here)

604

605 In a second hierarchical regression conducted on performance towards the end of the
606 experiment, type of odor (block 1) significantly predicted happiness detection, accounting for
607 13.8% of the variance in performance, $F(1, 34) = 5.44$, $MSE = 24754.00$, $p = .026$, but
608 adding change in anxiety scores (block 2) only increased the variance accounted for by a non-
609 significant 0.8%, $F(2,33) = 2.82$, $MSE = 25267.18$, $p = .074$. Inspecting the bootstrapped
610 unstandardized b -values, odor type in block 1 significantly predicted the detection of

611 happiness, such that the presentation of unpleasant odors improved the magnitude of the HSI
612 ($p = .028$). However, when changes in state anxiety were controlled for (block 2), neither odor
613 type ($p = .14$) nor anxiety change ($p = .531$) were able to significantly predict HSI
614 performance. In this instance, adding changes in anxiety to the model resulted in a
615 redundancy situation (Paulhus et al. 2004), accounting for less than 1% of performance. Thus,
616 towards the end of the experiment, the detection of happy faces is based entirely on the
617 odorant and is independent of any emotional changes that occur within the participant as a
618 result of the odorant prime. In this instance, the role of the odorant prime is reversed, such
619 that participants who were exposed to the unpleasant odor showed improved detection of
620 happy faces relative to participants who were exposed to the pleasant odors.

621

622

Discussion

623 In the present study, we investigated the influence of olfactory environmental context
624 on the perception of facial expressions of emotion conveying happiness and anger. Whilst
625 some of the earlier work in this area appears to suggest category-specific facilitative effects of
626 pleasant odorant primes on the processing of happy facial expressions (e.g., Leppänen and
627 Hietanen 2003), very little is known about the generalizability of these findings under more
628 complex visual processing tasks or the possible underlying mechanism that may support the
629 cross-modal integration of affective cues.

630 To address these issues, we used the FICE task to examine whether the concurrent
631 presentation of pleasant and unpleasant odorant cues affects the spatial distribution of
632 attentional resources towards happy face targets, and also compared self-reported measures of
633 anxiety to evaluate the extent to which these odors might alter the emotional state of the
634 participant. In the control condition, participants were significantly faster and less error prone
635 to detect a discrepant happy face target in a crowd of competing distracter faces, a finding

636 which is consistent with earlier work with this particular version of the FICE task
637 (Damjanovic et al. 2010). However, whilst this overall search advantage for happy faces was
638 observed in participants exposed to the unpleasant odor, it was abolished for participants
639 exposed to the pleasant odors. An initial consideration of these patterns may at first appear
640 difficult to reconcile with the category-specific facilitative effects reported in Leppänen and
641 Hietanen's emotion categorization study. However, further analyses taking on board the
642 recommendations made in Moessnang et al's (2011) work, reveals two important
643 characteristics on the time course effects of odors on the perception of happy facial
644 expressions: (i) pleasant odors facilitate the detection of happiness, but the benefits are short-
645 lived, and (ii) unpleasant odors help in the detection of happy faces, but only towards the end
646 of the visual search task.

647 The reversal of such phasic optimization effects from the two different odorants could
648 be accounted for in terms of the emotional state of the participant (Leppänen and Hietanen
649 2003). The significant differences between the pleasantness ratings for the odors were
650 maintained at the start of the experiment as well as towards the end, yet were matched for
651 their overall arousal, thus ruling out potential differences between the two experimental
652 contexts based in terms of differences in arousal. Furthermore, the odors produced differential
653 effects on the participants' levels of self-reported anxiety as measured by the STAI, such that
654 individuals who were exposed to the pleasant odor showed a reduction in their overall
655 anxiety, whilst participants exposed to the unpleasant odor demonstrated an increase in their
656 anxiety. Previous considerations of the participant's emotional state on facial expression
657 processing have either been made indirectly, in the form of potential mood congruency effects
658 as per Leppänen and Hietanen's (2003) work, or directly by collecting ratings from
659 participants about the extent of their current experiences of happiness and sadness (e.g.,
660 Niedenthal et al. 2000). For example, mood induction techniques leading to higher levels of

661 self-reported happiness or sadness resulted in participants perceiving the mood congruent
662 facial expressions for a longer time than control participants. Furthermore, identifying the
663 beneficial effects of certain odorants has predominantly focused on their anxiety reducing
664 capacities, rather than specifically identifying whether they improve an individual's own
665 happiness (e.g., Moss et al. 2008; Moss et al. 2010; Moss and Oliver, 2012; Zhou and Chen,
666 2009). In line with this work, emotional change in this study was operationalized in terms of
667 changes in self-reported state anxiety using the STAI, and whilst a reduction in anxiety would
668 be viewed as a positive feature of the odorant, it is not possible to establish from the present
669 data the extent to which pleasant odorants directly improved the participant's own levels of
670 happiness and any subsequent role this may have had in detecting happiness in the FICE task.

671 Taking into account the anxiety-modulating capacities of the odorants at the start of
672 the visual search task allows the priming capacities of the odorant to take effect, such that
673 participants performing the task with the concurrent presentation of a pleasant odorant, where
674 overall levels of anxiety are reduced, showed enhanced detection of happy face targets
675 relative to participants exposed to the unpleasant odorant. This particular pattern extends
676 Leppänen and Hietanen's work by demonstrating how positive changes within the participant,
677 as indexed in the current study in the form of reductions in self-reported anxiety, can facilitate
678 the perception of happy facial expressions during more complex attentional tasks. However,
679 the benefits of this reduction in anxiety on the perception of happiness is short-lived; towards
680 the end of the visual search task, the anxiety-modulating capacities of odors become
681 redundant in predicting the detection of happy targets. Surprisingly, unpleasant odors rather
682 than pleasant ones facilitate the detection of happy faces. We argue that the unpleasant odor
683 in the latter stage of the search process may serve to create a 'pop-out' environmental context
684 for the participants, directing their attention to environmentally incongruent emotional
685 information (i.e., happy faces) as they engage in avoidance based strategies in response to

686 inhaling the unpleasant odor (e.g., Boesveldt et al. 2010; Fannes et al. 2008). Thus, the
687 increase in the happiness superiority effect towards the end of the experiment and its overall
688 preservation in the unpleasant condition may result from successful negative affect repair
689 processes to offset this increase in anxiety and any such threat-related cognitive biases
690 associated with it (Byrne and Eysenck 1995). The fact that the unpleasant odor plays a more
691 salient role towards the end of the experiment may reflect differences in habituation patterns
692 between positive and negative odorant cues, with unpleasant odors taking considerably more
693 time to habituate, especially in experimental designs in which participants are explicitly
694 primed by rating an odorant for its level of unpleasantness (Dalton 1996; see also Seubert et
695 al. 2014).

696 Current explanations of the happiness superiority effect focus on the role of low level
697 perceptual advantages afforded by the ‘toothy grin’ in happy facial expressions (e.g., Calvo
698 and Marrero 2009; Calvo and Nummenmaa 2008; Horstmann et al. 2012). In the current
699 study, the facial expressions used to measure the happiness superiority effect were taken from
700 a database which included happy face exemplars with visually salient smiles, whilst the angry
701 face exemplars lacked a visually salient ‘toothy snarl’ equivalent feature (Horstmann et al.
702 2012; Lipp et al. 2009). As such, angry faces would have shared a greater degree of
703 perceptual overlap with neutral faces which also included this closed mouth feature,
704 materializing in increased error rates and response times for angry target-neutral distracter
705 crowds across the different conditions. The perceptual disadvantage of angry face targets over
706 happy targets was reduced when the surrounding crowd consisted of happy distracters, in
707 these conditions search performance was comparable to happy target-angry crowd search
708 conditions, thus resulting in a happiness superiority effect that was only found in the neutral
709 distracter context. In some instances, such interactions between target and distractors point
710 towards the involvement of an attentional disengagement mechanism, whereby response

711 times to detect happy targets is delayed when surrounded by angry distractor faces than
712 neutral faces (e.g., Damjanovic et al. 2014; Fox et al. 2000; Fox et al. 2001; Fox et al. 2002).
713 However, inferential analyses do not indicate that such a mechanism was involved in the
714 current visual search task, as participants' response times for happy target detection did not
715 differ significantly between the angry and neutral distractors.

716 Whilst the stability of the happiness superiority effect in the control condition would
717 have been compatible with such perceptual based accounts of emotion detection, the time
718 course analysis in the odor groups indicates that affective factors play a much more important
719 role (e.g., Becker et al. 2011). Our early socialization experiences (Bond and Siddle, 1996;
720 Kotsoni et al. 2001) may help to reinforce an expectancy bias towards positive stimuli
721 (Cacioppo and Gardner 1999; Cacioppo et al. 1999; Chipchase and Chapman 2007), yet the
722 phasic characteristics of participants' visual search times for happy facial expression targets,
723 reveal how easily this bias can be reset.

724 We propose that the emotional state of the participant plays an important role in the
725 perception of facial expression of happiness (e.g., Becker et al. 2011; Leppänen and Hietanen
726 2003; Niedenthal et al. 2000), supporting the cross-modal interaction of affective cues in a
727 time dependent manner (Walla 2008). Phasic analyses such as the ones performed in this
728 study not only serve to highlight the complexity of such cross-modal interactions, but may
729 also help pave the way for a better understanding on how affective vs perceptual accounts on
730 emotion detection can be disentangled. Furthermore, such phasic analyses prove to be
731 particularly helpful in reconciling differences between studies that would otherwise have been
732 masked if the analyses and interpretation of olfactory-visual processing focused exclusively
733 on overall reaction time performance (Moessnang et al. 2011).

734 Future efforts in validating affective accounts of the happiness superiority effect may
735 attempt to increase the arousal value of unpleasant odorants, for example by using human

736 chemosignals (e.g., Lundström et al. 2008; Zhou and Chen, 2009) to establish whether they
737 can open up the prioritization of threat specific cues. Thus, when environmental contexts
738 differ not only in their pleasantness value, but also in terms of their heightened arousal levels,
739 participants may then revert to searching for angry facial expressions instead (Becker et al.
740 2011; Chipchase and Chapman 2013; Lundqvist et al. 2013). Such systematic manipulations
741 of an odor's pleasantness and arousal values would also raise important implications for our
742 understanding of anxiety-based models of attention (e.g., Eysenck 1992; Eysenck et al. 2007),
743 and how this may differ functionally from a general expectancy bias favouring positive
744 information (e.g., Diener and Diener 1996; Fox 2013), for example. Indeed, it is worth noting
745 that the mean STAI (S) values for all three groups fell within the low-anxiety range reported
746 in visual search studies of this kind. For instance, some studies using a split-groups design
747 often pre-select individuals scoring high in trait anxiety ($48 >$), and have identified this
748 anxiety component as playing a more important role than high levels of state anxiety in
749 facilitating the detection of angry facial expressions (e.g., Byrne and Eysenck 1995). Other
750 studies have pre-screened participants on the basis of their state anxiety scores, allocating
751 scores above 40 on the STAI to the high anxious group and scores below 35 to the low
752 anxious group and have found this component of anxiety to play a stronger role in disrupting
753 threat disengagement attentional processes (e.g., Fox et al. 2001). Thus, future studies should
754 systematically consider both intrinsic and extrinsic facets of anxiety and their emotional
755 weighting in terms of the attentional capture of angry and happy facial expressions. Our work
756 indicates that the anxiety-modulating capacities of pleasant and unpleasant odors may serve as
757 a useful tool towards achieving this aim in sub-clinical populations (Krippel 2003).

758 Beyond the differences in attentional processing demands between the current study
759 and the work by Leppänen and Hietanen, other aspects of our methodology may explain why
760 the facilitative effects of the pleasant odor condition on the detection of happiness did not

761 materialize in the initial analysis of overall reaction time performance. For example, the
762 correspondence between the pleasantness value of the olfactory cues used by Leppänen and
763 Hietanen and the facial stimulus may have been more strongly primed than in the current
764 study given that participants were only required to make ‘same’ vs ‘different’ emotion
765 judgments on each experimental trial, in contrast to Leppänen and Hietanen’s instructions
766 which required participants to categorize each trial using emotion labelled response keys.
767 Indeed, providing participants with emotion labels during the categorization task can also
768 influence the percentage of intrusion errors, that is the false categorization of emotional
769 expressions that were not presented in the target face such as perceiving sadness in an
770 ambiguous neutral-disgust face (Leleu et al. 2015). Thus, the presence of verbal cues may
771 have primed the cognitive system to search for a restricted set of emotion categories,
772 amplifying the perception of salient congruent expressions such as happiness, whilst blurring
773 the boundaries between less salient expressions such as disgust (Leleu et al. 2015).
774 Furthermore, unlike Leppänen and Hietanen’s categorization task, the participants in the
775 current study performed over 200 visual search trials without a break. Whilst this may have
776 resulted in severe fatigue effects, no phasic effects were observed in the control condition,
777 indicating that this was not a particular cause for concern with the current study. Nevertheless,
778 the inclusion of a break in Leppänen and Hietanen’s study may have helped to increase the
779 saliency of the pleasant odorant prime and its association with the happy facial expression in
780 the emotion categorization task, thus resulting in the overall category-specific facilitative
781 effects found.

782 In interpreting these results, some limitations must be considered. First, rather than
783 relying exclusively on self-report measures to determine functional olfactory sense, such
784 measures should be combined with a screening test such as the Sniffin’ Sticks battery
785 (Hummel et al. 2001) to ensure all participants could perceive the applied odors to normative

786 levels. Furthermore, tighter control of adaptation mechanisms would help to provide a more
787 informative picture of odorant-specific reduction in sensitivity and perceived intensity during
788 odor exposure over the course of the experiment and its impact on cognitive performance
789 (Dalton et al. 1996; Ekman et al. 1967). The addition of post-experiment interviews to assess
790 each participant's awareness of the odors in their testing environment would also provide a
791 fruitful insight into the perceived intensity of each odorant in future research designs. For
792 example, adding questions with respect to the explicit ability to perceive the odor in the room
793 will help determine whether the contextual effects of odors on facial detection require explicit
794 awareness or can occur implicitly. Second, alternative self-report measures such as the
795 Positive and Negative Affect Schedule (PANAS; Watson et al. 1988) would provide a more
796 detailed account of the types of emotions experienced by participants in response to pleasant
797 and unpleasant odor contexts above and beyond their anxiety-modulating capacities and
798 provide a more comprehensive way to assess the category-specific basis of odour-emotion
799 perception interactions more fully.

800 Notwithstanding these limitations, our study shows that affective factors in the form of
801 changes in the emotional state of the participant play a more significant role in facilitating the
802 detection of target facial expressions of emotion than the perceptual salience of the face's
803 features (Becker et al. 2011; Calvo and Marrero 2009; Calvo and Nummenmaa 2008; Juth et
804 al. 2005). Indeed, contextual factors are a part of the multisensory nature of our emotional
805 interaction with others, and the dynamic nature of the emotional state of the participant needs
806 to play more of an active role in future research studies on attentional modulation, rather than
807 limiting such investigations to an individual differences framework (Frischen et al. 2008).
808 Along with music induction experiments (e.g., Garon et al. submitted; Rowe et al. 2007), our
809 study reveals how odors may provide another useful tool for researchers to examine the role
810 of affective factors in visual search tasks with emotionally salient stimuli.

811

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999

1000 Tables

1001 Table I

1002 *Mean STAI (S) pre and post test measures as a function of odor context. Standard Errors are*
 1003 *presented in parentheses.*

1004

Measure	Context					
	Control		Pleasant		Unpleasant	
	Mean	(SE)	Mean	(SE)	Mean	(SE)
Pre-STAI (S)	33.56	(2.01)	36.78	(2.58)	32.00	(2.02)
Post-STAI (S)	35.50	(2.12)	31.72	(1.57)	35.56	(2.17)

1005

1006

1007 Table II.
 1008 Bootstrapped hierarchical mediation methods on the effect of odor type on the happiness
 1009 superiority effect (block 1) as mediated through changes in self-reported state anxiety (block
 1010 2) for the first quarter and last quarter of the visual search trials.
 1011

	First quarter		Last quarter	
	<i>B</i>	<i>SE B</i>	<i>B</i>	<i>SE B</i>
Block 1				
Constant	164.31	39.22	65.15	37.88
Odor type	-93.64	47.87	122.34*	53.08
Block 2				
Constant	183.39	41.15	75.77	44.20
Odor type	-127.40*	51.10	103.55	67.96
STAI-CHANGE	3.77	3.59	2.10	3.61

1012 *Note.* Estimates are unstandardized; odor type is coded 0 = pleasant, 1 = unpleasant; 1,000
 1013 bootstrap samples; * < .05.

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1024 **Figure Legends**

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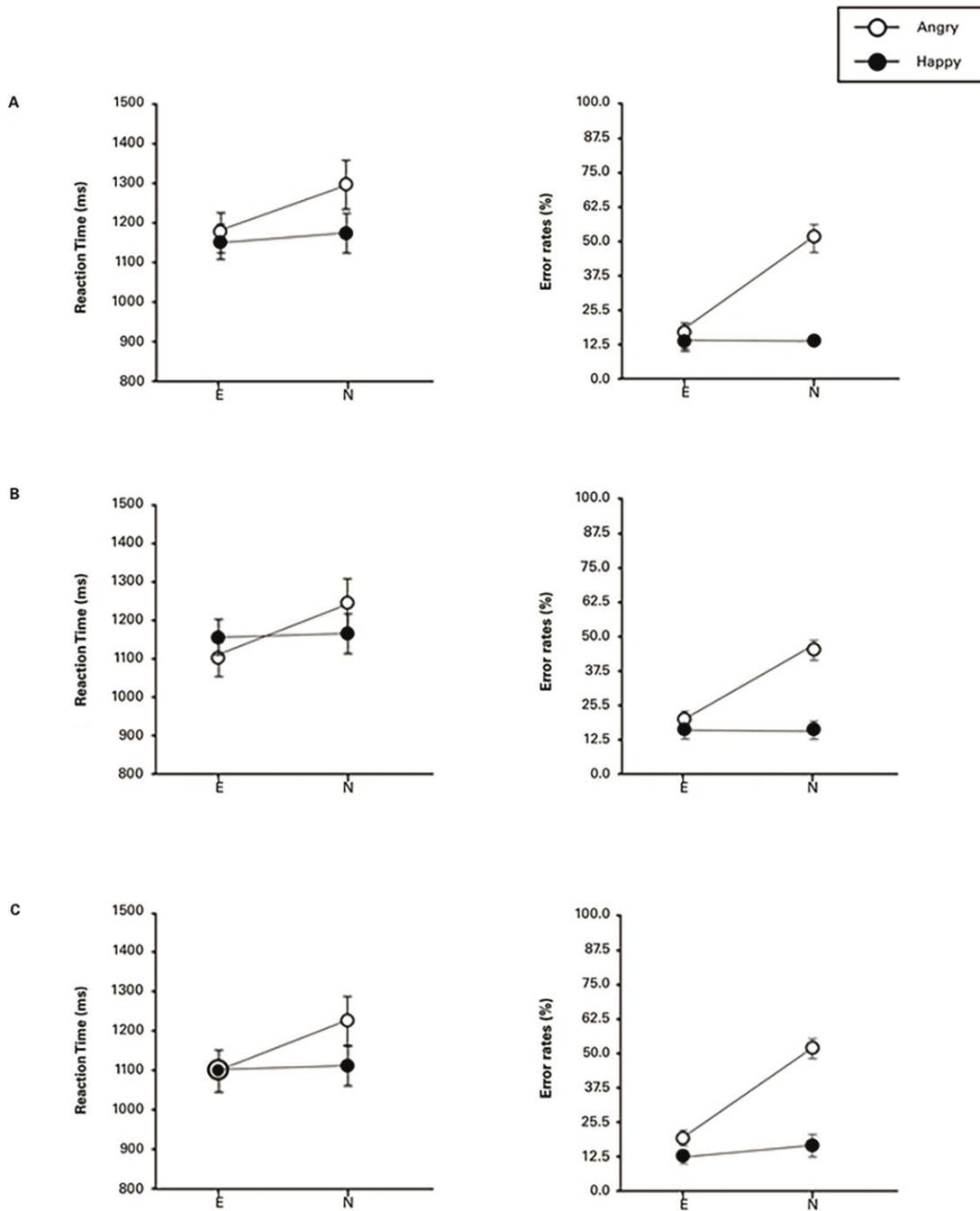
1026 *Figure 1.* Visual search data for different displays. The left panels show mean reaction time
1027 and the right panels show mean error rates to detect the angry and happy facial expression
1028 targets against emotional (E) and neutral (N) distracters for the control (A), pleasant odor (B)
1029 and unpleasant odor (C) conditions. Error bars correspond to the standard errors of the mean
1030 of each condition individually.

1031

1032 *Figure 2.* Superiority index for happiness for the first and last 25% of search trials in
1033 milliseconds (ms) with emotional and neutral distractors across the three experimental
1034 contexts. A positive score indicates faster detection of happy over angry face targets on
1035 different display trials. Error bars correspond to the standard errors of the mean of each
1036 condition individually.

1037

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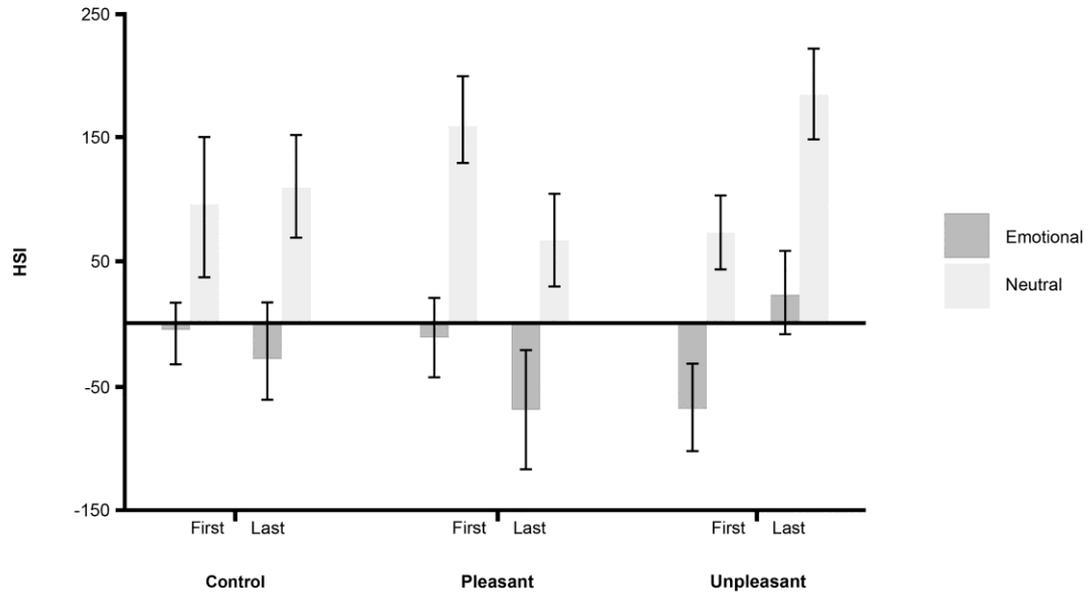
1040

1041 Fig 1.

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Fig 2.

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