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Investigation on Mooring Breakage Effects of a 5 MW Barge-Type Floating Offshore Wind Turbine Using F2A

Yang YANG ^{a,b,*}, Musa BASHIR ^b, Chun LI ^c, Jin WANG ^b

^a Faculty of Maritime and Transportation, Ningbo University, Ningbo, 315211, P.R. China

^b Liverpool Logistics, Offshore and Marine (LOOM) Research Institute, Liverpool John Moores University, Liverpool, Byrom Street, L3 3AF, UK

^c School of Energy and Power Engineering, University of Shanghai for Science and Technology, Shanghai, 200093, P.R. China

Abstract: Stability and integrity of the station-keeping system are vital to the safety and performance of a floating offshore wind turbine (FOWT). Failure of a mooring line significantly increases the risk of damage to the FOWT. Consequently, it is necessary to investigate the impacts of a mooring breakage on dynamic responses of the rotor, platform and the remaining mooring lines of a FOWT. In order to address the associated research needs of a FOWT subjected to mooring failures, this study has analyzed and predicted the transient behaviors of a 5 MW barge-type FOWT under rated and extreme conditions using a coupling framework based on FAST and AQWA (F2A) when a mooring is subjected to a sudden breakage. It is found that the mooring breakages have a minor impact on the aerodynamic performance and aero-elastic responses of the FOWT, although a notable yaw-deviation of the rotor is caused. The platform sway and yaw motions are significantly influenced by the breakage of an upwind mooring line. Thus, the tension in the remaining adjacent mooring line is increased by as much as 156% and 41.6% under the examined rated and extreme conditions, respectively. The emergency shutdown following the mooring line breakage decreases the platform drift and yaw motions, while the platform pitch motion is enhanced by the shutdown measure due to the absence of aerodynamic damping. In addition, significant reductions in the tensions of upwind mooring lines that suffer the most severe tension are achieved. The shutdown measure is beneficial in ensuring the operational safety of the FOWT subjected to a sudden breakage of a mooring line.

Keywords: Floating offshore wind turbines; Mooring breakage; Fully coupled analysis; Shutdown; Dynamic behavior; F2A.

31 **1 Introduction**

32 Due to the availability of stable wind resources and higher energy capacity, offshore wind is
33 increasingly attracting attention in the development of renewable energy. A recent report by the
34 International Energy Agency (IEA) indicates that the global offshore wind capacity has been
35 consistently expanding by nearly 30% per year since 2010. A moderate forecast shows that the global
36 offshore wind energy market is expecting an annual upswing of 20 GW of newly-installed capacity
37 until 2030 [1]. Since most of the coastal areas with high-quality wind resources are developed,
38 attention has shifted to deeper seas and this has been a subject of research by both academic
39 institutions and industrial organizations. The cost of fixed-bottom offshore wind turbines significantly
40 increases with water depth. Consequently, fixed-bottom is not considered an economically viable
41 option for supporting wind turbines operating in deep waters. Therefore, floating offshore wind
42 turbines (FOWTs) have become a promising technology for the exploitation of wind energy in deeper
43 seas [2].

44 The most common types of FOWTs include spar, semi-submersible, tension leg platform and
45 barge. The barge-type platform is stabilized by buoyancy and has advantages in reducing the total
46 weight, cost and construction difficulty of the FOWT when compared to the other platforms [3]. Due
47 to its structural simplicity, modelling suitability and commercial viability, a barge-type platform has
48 been widely used in numerous studies for the design of FOWTs [4-9]. Compared to fixed-bottom
49 offshore wind turbines, the coupling between aero-elastic responses and hydrodynamic loads of a
50 FOWT is much more complex. This can be explained in twofold: i) the much larger motion
51 amplitudes of the support system produce significant impacts on the kinematics of the blades,
52 resulting in more complicated aero-elastic responses [10]; ii) a station-keeping system is added to
53 avoid the free-drift motion of the FOWT. This means that the dynamics of the mooring system are
54 coupled with the aero-hydro-servo-elastic responses of the FOWT. In addition to the functionality of
55 station-keeping, the mooring system maintains the platform's orientation in order to avoid a large
56 yaw-deviation of the rotor [11]. Therefore, the integrity and performance of the mooring system is

57 vital to the stability and safety of a FOWT.

58 During its service life cycle, a FOWT operates in a harsh marine environment, experiencing both
59 moderate and extreme met-ocean conditions. The mooring system may suffer a failure due to
60 corrosion, extreme gust or accumulated fatigue damage [12]. For such a sudden breakage of mooring
61 line to occur, the platform motions are expected to rapidly increase. As a result, the dynamic responses
62 of the wind turbine will be enhanced. In addition, the position and orientation of the FOWT could be
63 significantly changed and may lead to severe consequences including damage to power
64 cables/umbilicals and drivetrain system. Furthermore, the tension in the remaining mooring lines may
65 exceed the material strength limit, leading to more severe accident events, like collision with other
66 FOWTs due to the large drift motion under the non-moored or weakly-moored states. A sudden
67 breakage of a mooring line endangers the operational safety of the FOWT and produces a high risk
68 of economic loss to the wind farm. Therefore, it is imperative to investigate the mooring breakage
69 effects on the dynamic responses of a FOWT under wind-wave-current loadings.

70 Numerous studies have been carried out to investigate the consequences and impacts of mooring
71 line breakages on floating platforms. Gao *et al.* [13] investigated the influence of a mooring breakage
72 on the annual extreme tension and fatigue damage of the remaining mooring lines of a TLP. It was
73 found that the extreme tension of the adjacent mooring line is increased by 20% to 30%. In addition,
74 the breakage of a mooring line produces an increase of 50% to 90% in the fatigue damage of the
75 remaining lines. Yang *et al.* [14-16] analyzed the transient responses of a hull-tendon-riser coupled
76 TLP model when a tendon is suddenly disconnected due to accident. The dynamic behavior of the
77 TLP and transient tensions of the remaining tendons are investigated. The quasi-static catenary model
78 was used to predict the tension of the mooring lines. Malayjerdi *et al.* [17] compared the dynamic
79 responses of a TLP under intact and damaged tendon conditions. The static stability of the TLP with
80 one or three broken tendons was investigated.

81 It is noted that the studies mentioned above focused on the impacts of a mooring system failure
82 for offshore oil and gas platforms. However, there are limited studies relating to research on mooring

83 breakage effects on the fully coupled responses of FOWTs. FOWTs suffer much more severe
84 aerodynamic loads compared to an offshore oil and gas platform. The transient couplings between
85 aerodynamic loads and hydrodynamic responses of a FOWT under a mooring breakage are more
86 complicated due to the larger platform motion experienced after the mooring failure. Bae *et al.* [18]
87 analyzed the performance changes due to a broken mooring line of a 5 MW semi-submersible FOWT.
88 A series of numerical simulations were conducted using an integrated tool, CHARM3D-FAST. The
89 results show that a mooring breakage causes notable nacelle-yaw errors and huge drift of the platform.
90 Consequently, the power-line might be disconnected and successive failure of adjacent FOWTs are
91 likely to occur due to potential collisions. Li *et al.* [19] investigated the transient responses of a spar-
92 type 5 MW FOWT with fractured mooring lines using an in-house simulation tool. A large drift was
93 caused by a mooring failure and the risk of collision between FOWTs was discussed for two different
94 wind farm configurations. However, it is noted that the aerodynamic loads were predicted using a
95 quasi-steady method and the aero-elastic effects of the blades were ignored. Moreover, the memory
96 effects on the free-surface were not examined. Ma *et al.* [20] investigated the dynamic responses of
97 a 5 MW semi-submersible FOWT with a mooring line breakage due to extreme coherent gust using
98 a commercial tool, SIMA. The time length of the extreme gust occurrence was investigated. However,
99 it is noted that a quasi-steady method was used in predicting the aerodynamic loads, implying that
100 the fully coupled aero-hydro-servo-elastic were not well examined. Furthermore, the above studies
101 focused on spar and semi-submersible models, while mooring breakage analysis of a barge concept,
102 another promising technology for floating wind energy extraction, has not been performed yet. In
103 addition, the resilience of the FOWT can be enhanced by installing more than a mooring for each
104 fairlead to avoid collision to its adjacent platforms under mooring breakage scenarios.

105 In order to address the limitations resulting from the above reviewed studies, this paper aims to
106 investigate the mooring breakage impacts on the fully coupled dynamic responses of a FOWT. The
107 widely-used barge-type NREL 5 MW FOWT [21] is adopted for the case study. A novel coupling
108 framework (F2A) based on FAST [22] and AQWA is developed and implemented to conduct aero-

109 hydro-servo-elastic simulations of the FOWT with intact and broken mooring lines. The dynamic
110 behaviors of the FOWT under a sudden breakage of different mooring lines are obtained using F2A.
111 In addition, the effects of an emergency shutdown following the mooring breakage are investigated.

112 In the subsequent section, the barge model and its mooring system are briefly described.
113 Afterwards, detailed descriptions of the methodologies used for the development of F2A are
114 presented. A comparison against OpenFAST is then carried out for the validation of F2A. In Section
115 3, the fully coupled dynamic responses of the FOWT under the intact and broken mooring line
116 scenarios are obtained and then compared. In addition, the impact of a shutdown measure following
117 a mooring breakage is discussed in Section 4. The main findings and conclusions of this study are
118 presented in Section 5.

119

120 **2 Fully coupled modelling of the FOWT**

121 *2.1 The ITI barge concept*

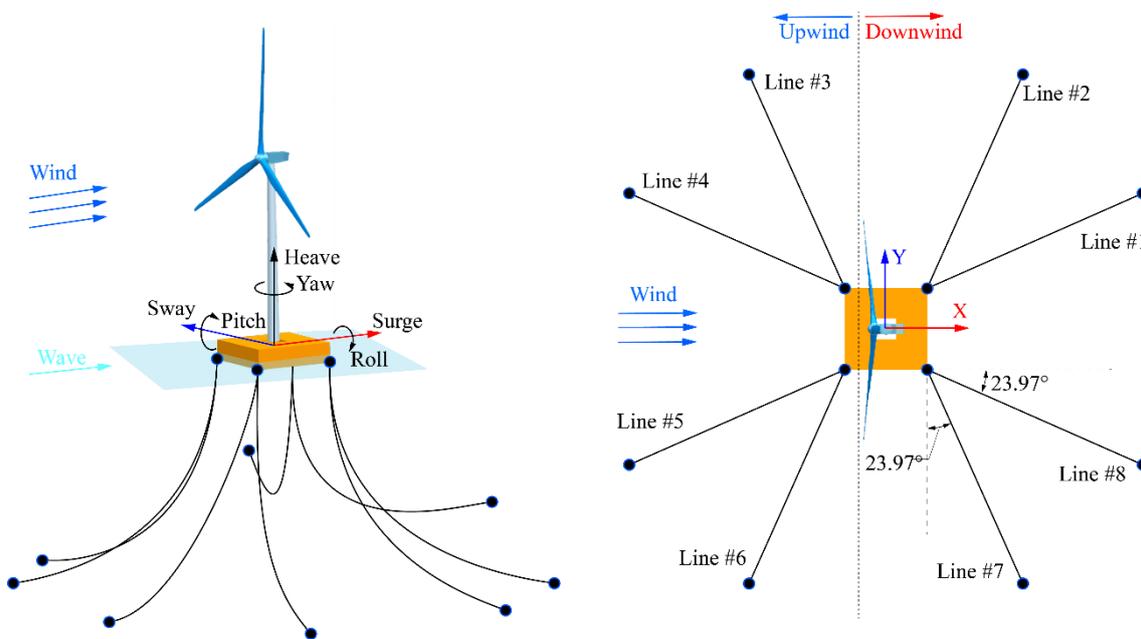
122 The barge model developed by ITI Energy, which has been used in numerous studies [4-9] for
123 the design of FOWTs due to its structural simplicity and modelling suitability, is adopted to conduct
124 the case study. The buoyancy-stabilized ITI barge model was initially designed to support a hybrid
125 floating energy system that is composed of a wind turbine and an oscillating water column (OWC)
126 wave-power device. The ITI barge has a square geometry that reduces the manufacturing difficulty
127 of the platform. The barge has a square moon pool in the middle for deployment of the OWC wave-
128 power device which connects to the bottom of the tower. A mooring system consisting of eight
129 catenary lines was designed for the station-keeping of the barge model. The mooring lines are
130 symmetrically attached to the four corners of the barge. Table 1 presents a summary of the properties
131 of the ITI barge and its mooring system [21]. A schematic diagram of the NREL 5 MW wind turbine
132 mounted on the ITI barge is presented in Fig. 1.

133

134 Table 1: Summary of the properties of the ITI barge and its mooring system

Property	Value/Unit
Barge size	40 m × 40 m × 10 m
Moon pool size	10 m × 10 m × 10 m
Draft	4.0 m
Mass	5.452×10^6 kg
Center of mass	(0.0, 0.0, -0.2818 m)
Roll Inertia	7.269×10^8 kg·m ²
Pitch Inertia	7.269×10^8 kg·m ²
Yaw Inertia	1.4539×10^9 kg·m ²
Water depth	150
Anchor radius	423.422 m
Unstretched line length	473.3 m
Line diameter	0.809 m
Line mass density	130.4 kg/m
Line extensional stiffness	5.890×10^8 N
Line type	R4-studless
Breaking load	4420.6 kN

135



136

137

Fig.1: The NREL 5 MW wind turbine supported by the ITI barge model

138

2.2 Dynamic modelling of mooring lines

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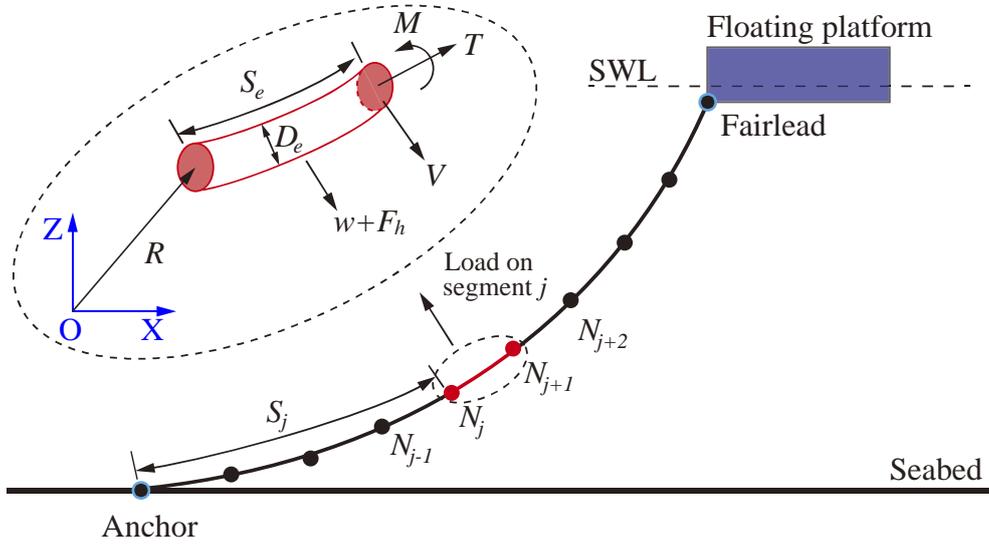
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143

The mooring lines are commonly modelled as a quasi-static catenary when developing a fully coupled model of a FOWT [24-26]. This means that the tension in the mooring lines is determined for a known fairlead position by assuming that the cables are in static equilibrium for any given instant. However, the inertial effects of the cables and dynamic effects from the platform motions cannot be examined. As revealed in the studies by Hall *et al.* [27-28], the quasi-static method underestimates

144 the tension of mooring lines, while the tension obtained using a dynamic modelling approach agrees
 145 quite well with the experimental results of a semi-submersible FOWT. For an accurate prediction of
 146 the dynamic responses of the FOWT subjected to a sudden mooring breakage, a dynamic modelling
 147 approach needs to be used in the calculation of mooring line tensions instead of the quasi-static
 148 catenary method. Fig. 2 presents a schematic diagram of the dynamic model of a mooring line. In the
 149 figure, S_j is the unstretched length between the anchor and the j^{th} -node of the mooring line, D_e is the
 150 diameter of the local segment of the mooring line.

151



152

153 Fig. 2: Schematic diagram of the dynamic model of a mooring line

154

155 Each of the mooring lines is modelled as a chain of Morison-type elements subjected to various
 156 external forces. The equation of motion of an arbitrary element of the mooring line is presented as
 157 follows [29]:

$$\begin{cases} \frac{\partial \mathbf{T}}{\partial S_e} + \frac{\partial \mathbf{V}}{\partial S_e} + \mathbf{w} + \mathbf{F}_h = m_e \frac{\partial^2 \mathbf{R}}{\partial t^2} \\ \frac{\partial \mathbf{M}}{\partial S_e} + \frac{\partial \mathbf{R}}{\partial S_e} \times \mathbf{V} = -\mathbf{q} \end{cases} \quad (1)$$

159 where \mathbf{T} and \mathbf{V} are, respectively, the tension force and shear force vectors at the first node of the
 160 element; \mathbf{R} is the position vector of the first node of the cable element; S_e is the unstretched length of

161 the element; \mathbf{w} and \mathbf{F}_h are, respectively, the weight and hydrodynamic load vectors per unit length
 162 of the element; m_e is the mass per unit length. \mathbf{M} is the bending moment vector at the first node of
 163 the element; \mathbf{q} is the distributed moment load per unit length of the element.

164 The bending moment and tension are denoted as follows:

$$165 \quad \begin{cases} \mathbf{M} = EI \cdot \frac{\partial \mathbf{R}}{\partial S_e} \times \frac{\partial^2 \mathbf{R}}{\partial S_e^2} \\ \mathbf{T} = EA \cdot \varepsilon \end{cases} \quad (2)$$

166 where EI and EA are the bending stiffness and axial stiffness of the mooring line, respectively.

167 It is noted that the solution of the mooring tension is fully coupled with platform motions. This
 168 means that the effects of the mooring mass, drag forces, inline elastic tension and bending moment
 169 are examined.

170

171 **2.3 Development of F2A coupling framework**

172 In order to obtain the fully coupled dynamic responses of the FOWT subjected to a mooring line
 173 breakage, the aero-servo-elastic simulation capabilities of FAST tool are implemented within the
 174 hydrodynamic analysis tool (AQWA) through a coupling framework. The coupling framework uses
 175 the capabilities of FAST in predicting aero-servo-elastic responses and the advantages of AQWA in
 176 modelling nonlinear hydrodynamics and mooring dynamics of a FOWT. Therefore, the coupling
 177 framework is called FAST2AQWA, simplified as F2A.

178 The coupling between hydrodynamic loads and the aero-servo-elastic responses within F2A is
 179 achieved through a DLL (*user_force.dll*) that is built in AQWA for calculating the external forces of
 180 a floating system. The source code is fully modified to implement the aero-servo-elastic simulation
 181 capabilities of a FOWT. In a time-domain analysis performed in AQWA, the DLL is invoked by the
 182 AQWA solver to obtain the external force and added-mass. In each invocation, the AQWA program
 183 provides the kinematics of the platform to the DLL for updating the kinematics of the upper structures
 184 including the rotor, nacelle and tower. The equations of motion of the upper structures are solved

185 within the DLL and the tower-base loads are obtained. With an appropriate transformation, the tower-
186 base loads are passed into the AQWA program as the external force item. Following this, the equation
187 of motion of the platform given in Eq. (3) [30] is solved and the platform acceleration vector is then
188 obtained.

$$189 \quad (\mathbf{m} + \mathbf{A}_\infty) \ddot{\mathbf{X}}(t) + \mathbf{C} \dot{\mathbf{X}}(t) + \mathbf{K} \mathbf{X}(t) + \int_0^t \mathbf{h}(t-\tau) \ddot{\mathbf{X}}(\tau) d\tau = \mathbf{F}_h(t) + \mathbf{F}_t(t) + \mathbf{F}_e(t) \quad (3)$$

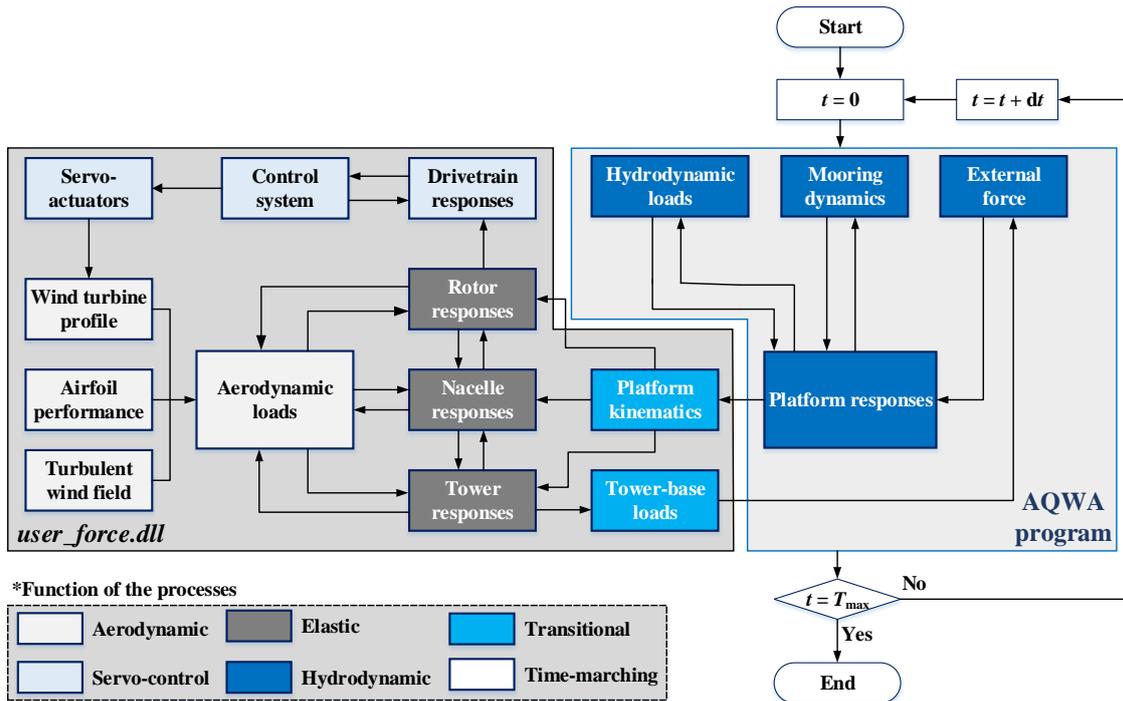
190 where \mathbf{m} is the inertial mass matrix of the platform, \mathbf{A}_∞ is the added-mass matrix at the infinite wave
191 frequency, \mathbf{K} and \mathbf{C} are, respectively, the total stiffness and damping matrices; $\mathbf{X}(t)$, $\dot{\mathbf{X}}(t)$ and
192 $\ddot{\mathbf{X}}(t)$ are, respectively, the displacement, velocity and acceleration vectors of the platform; $\mathbf{h}(t)$ is
193 the acceleration impulse function matrix used to examine the radiation memory effects; $\mathbf{F}_h(t)$ and
194 $\mathbf{F}_t(t)$ are, respectively, the total hydrodynamic and mooring load vectors acting on the platform;
195 $\mathbf{F}_e(t)$ is the external force vector obtained through the DLL.

196 Fig. 3 presents a flowchart of F2A to illustrate the coupling between the responses of the upper
197 structures and the platform. The aero-servo-elastic simulation capabilities of FAST are fully
198 implemented within the DLL that will be invoked by the AQWA program. The simulations are carried
199 out using AQWA and independent of the FAST program. As presented in Fig. 3, a simulation is
200 examined by the following steps:

- 201 (1) Initialize the platform responses in the AQWA program.
- 202 (2) Invoke the user-force.dll to update the kinematics of tower, nacelle and blades.
- 203 (3) Calculate aerodynamic loads on the blades based on the wind data under the consideration
204 of the servo-control scheme and the elasticity of the wind turbine.
- 205 (4) Transfer the tower-base loads obtained in the user-force.dll into the AQWA program.
- 206 (5) Compute the platform acceleration by solving Eq. (3) based on the tower-base loads from
207 the DLL, hydrodynamic loads and mooring restoring forces on the platform.
- 208 (6) Repeat steps (2)~(5) until the termination of the simulation.

209 It is apparent that the platform responses are influenced by the aerodynamic loads on the blades
210 and tower as well as their elastic responses, and vice versa. The fully coupled aero-hydro-servo-elastic

211 model of a FOWT is established within AQWA program through the DLL.



212
213 Fig. 3: Flowchart of the F2A framework

214

215 It is noted that the transitional results calculated directly by the AQWA program and DLL are
 216 referred to different coordinate systems. To be more specific, the platform displacement, velocity and
 217 acceleration produced directly by the AQWA program are the responses at the center of mass referred
 218 with the inertial coordinate system. However, the kinematics of the upper structures are corrected by
 219 using the responses at the reference point that are referred with the platform's local coordinate system.
 220 Similarly, the tower-base loads obtained by the DLL are referred to the platform's local coordinate
 221 system and they act at the tower-base, but the external forces used in AQWA program are referred to
 222 the inertial coordinate system and they act at the center of mass of the platform. Therefore,
 223 transformations are needed to apply on the transitional results to examine the coupling correctly.

224 The transformation matrix, \mathbf{T}_{mat} , given in Eq. (4) is used to correct the platform displacement
 225 vector.

$$\mathbf{T}_{\text{mat}} = \begin{bmatrix} \frac{\theta_1^2 \sqrt{1+s} + \theta_2^2 + \theta_3^2}{s\sqrt{1+s}} & \frac{\theta_3 s + \theta_1 \theta_2 (\sqrt{1+s} - 1)}{s\sqrt{1+s}} & \frac{-\theta_2 s + \theta_1 \theta_3 (\sqrt{1+s} - 1)}{s\sqrt{1+s}} \\ \frac{-\theta_3 s + \theta_1 \theta_2 (\sqrt{1+s} - 1)}{s\sqrt{1+s}} & \frac{\theta_2^2 \sqrt{1+s} + \theta_1^2 + \theta_3^2}{s\sqrt{1+s}} & \frac{\theta_1 s + \theta_2 \theta_3 (\sqrt{1+s} - 1)}{s\sqrt{1+s}} \\ \frac{\theta_2 s + \theta_1 \theta_3 (\sqrt{1+s} - 1)}{s\sqrt{1+s}} & \frac{-\theta_1 s + \theta_2 \theta_3 (\sqrt{1+s} - 1)}{s\sqrt{1+s}} & \frac{\theta_3^2 \sqrt{1+s} + \theta_1^2 + \theta_2^2}{s\sqrt{1+s}} \end{bmatrix} \quad (4)$$

where θ_1 , θ_2 and θ_3 are, respectively, the roll, pitch and yaw angles of the platform. s is equal to $\theta_1^2 + \theta_2^2 + \theta_3^2$.

When the platform reference point is defined as the origin of the inertial coordinate system, *i.e.* (0, 0, 0), the platform displacement vector is corrected as follows:

$$\mathbf{D}_{\text{DLL}} = \mathbf{D}_{\text{AQWA}} - \mathbf{T}_{\text{mat}} \cdot \mathbf{CoG} \quad (5)$$

where \mathbf{CoG} is the position vector from the reference point to the mass center of the platform. \mathbf{D}_{AQWA} and \mathbf{D}_{DLL} are the platform displacement vectors obtained in AQWA and the one passed into the DLL, respectively.

The translational velocity vector of the platform is corrected as follows:

$$\mathbf{U}_{\text{DLL}} = \mathbf{U}_{\text{AQWA}} - \mathbf{T}_{\text{mat}} \cdot \mathbf{CoG} \times \boldsymbol{\omega} \quad (6)$$

where \mathbf{U}_{AQWA} and \mathbf{U}_{DLL} are the platform velocity vectors obtained in AQWA and the one used in the DLL, respectively; $\boldsymbol{\omega}$ is the rotational velocity vector of the platform obtained in AQWA.

A predictor-corrector time-marching algorithm is adopted for solving the equations of motion of the upper structures, the acceleration vector obtained in the predictor stage will be used in the corrector stage for the final solution. It means that the platform acceleration vector is also essential for the correction of the kinematics of the upper structures. However, the platform acceleration is an unknown item until the complete solving of the equation of motion in the AQWA program at the current time step. Therefore, the platform acceleration vector is estimated numerically in the DLL based on the velocity vectors at the last and current time steps as follows:

246
$$\mathbf{a}_{\text{DLL}} = (\mathbf{U}_{\text{DLL}} - \mathbf{U}'_{\text{DLL}})/dt \quad (7)$$

247 where \mathbf{a}_{DLL} is the platform acceleration and \mathbf{U}'_{DLL} is the platform velocity at the last time step, dt is
 248 the time step of the simulation.

249 Similarly, the tower-base loads obtained directly in the DLL are transformed as follows:

250
$$\mathbf{F}_{\text{AQWA}} = \mathbf{T}_{\text{mat}}^{-1} \cdot \mathbf{F}_{\text{DLL}} \quad (8)$$

251
$$\mathbf{M}_{\text{AQWA}} = \mathbf{T}_{\text{mat}}^{-1} \cdot (\mathbf{M}_{\text{DLL}} - \mathbf{CoG} \times \mathbf{F}_{\text{DLL}}) \quad (9)$$

252 where \mathbf{F}_{AQWA} and \mathbf{F}_{DLL} are the translational force vectors in the AQWA program and DLL,
 253 respectively. $\mathbf{T}_{\text{mat}}^{-1}$ is the inverse matrix of \mathbf{T}_{mat} . \mathbf{M}_{AQWA} used in AQWA is the moment vector acting
 254 at the platform's mass center with respect to the inertial coordinate system. \mathbf{M}_{DLL} obtained in the
 255 DLL is the moment vector acting at the tower-base with respect to the platform's local coordinate
 256 system.

257
 258 **2.3 Validation of F2A**

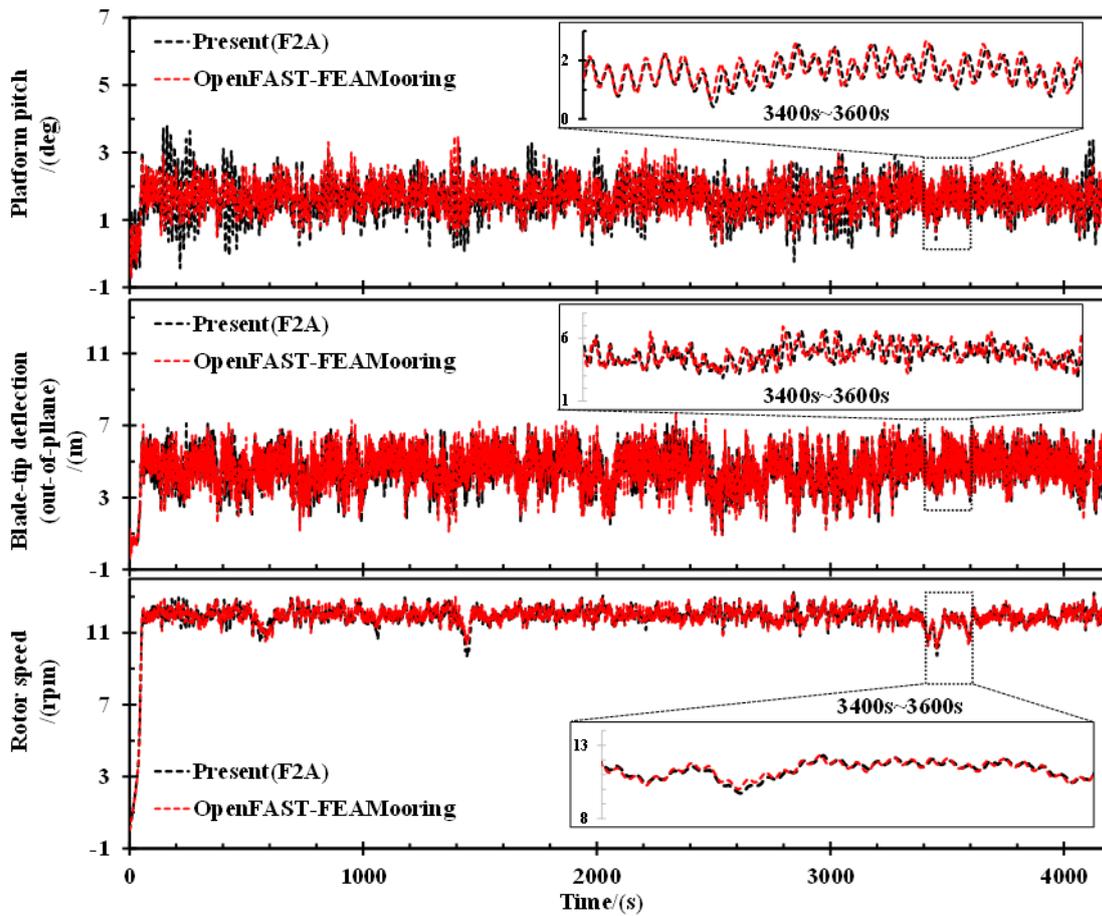
259 FAST was developed by NREL for aero-hydro-servo-elastic coupled analysis of horizontal axis
 260 wind turbines. FAST has been verified and approved as a reliable numerical tool for the analysis of
 261 wind-wave coupled loads on wind turbines by Germanischer Lloyd. In addition, FAST was used as
 262 the main numerical tool in numerous international projects including the OC3 project, a collaborative
 263 study with focus on validation and improvement of numerical tools for wind turbine analysis. The
 264 numerical predictions from FAST agreed well with the experimental data for the OC3-Hywind [31]
 265 and DeepCwind semi-submersible concepts[32]. Since FAST has been well validated by
 266 experimental data in numerous studies, it is agreed that the tool is capable of producing accurate and
 267 reliable numerical simulation results of FOWTs under wind-wave coupled conditions. OpenFAST,
 268 the latest version of FAST, is therefore used to validate the coupled model developed in this study.

269 The newly-developed tool F2A is validated through comparisons against OpenFAST. The

270 dynamic responses of the NREL 5 MW wind turbine supported by the ITI barge platform under a
271 turbulent wind condition are predicted by these two tools and then compared. Following the IEC
272 61400-3 standard regarding the definition of design load case 1.1 for normal power production, the
273 normal turbulence model (NTM) is used to generate the inflow wind. The average wind speed at the
274 hub-height is 11.4 m/s. The corresponding significant wave height and spectral peak period are
275 respectively set to 1.77 m and 7.51 based on the measured met-ocean data of a specific site in the
276 northern coast of Scotland [33]. The control scheme corresponding to normal operation is adopted to
277 adjust rotor speed and blade pitch.

278 Fig. 4 presents the coupled responses of the FOWT predicted using F2A and OpenFAST. The
279 overall agreements between the results obtained using these two tools are well acceptable. It is
280 observed that the rotor speed calculated by F2A follows the same variation trend and with almost the
281 same values over the entire simulation as OpenFAST. The comparisons offer negligible differences
282 between the results from these tools. The aero-elastic responses of the blade predicted by F2A agree
283 reasonably well with those obtained by OpenFAST, although some minor discrepancies are observed.
284 Similarly, the platform pitch calculated by these two tools follows the same variation trend but with
285 slight differences in magnitude. The discrepancies in the results are mainly caused by the inherent
286 differences between the two tools in how they model the mooring system. Although both AQWA and
287 OpenFAST predict hydrodynamic loads of the platform based on the potential flow theory solvers,
288 natural deviations could be produced due to numerically induced computational errors. Another
289 contribution to the discrepancy is from the time-marching algorithm. AQWA uses a second-order
290 predictor-corrector algorithm for time-marching solutions; however, OpenFAST adopts the 4th-order
291 Runge-Kutta integration method for the first four time steps and the 4th-order Adams-Beshforth-
292 Moulton predictor-corrector method for the remaining time steps. Since AQWA and OpenFAST use
293 different time integration methods, it is reasonable to expect minor differences between their final
294 results. Nonetheless, the agreements between the results observed from the comparisons are generally
295 very good and they indicate that the aero-servo-elastic simulation capabilities have been well

296 implemented within AQWA through the DLL. It means that F2A is capable of performing a high-
297 fidelity fully coupled analysis of FOWTs.



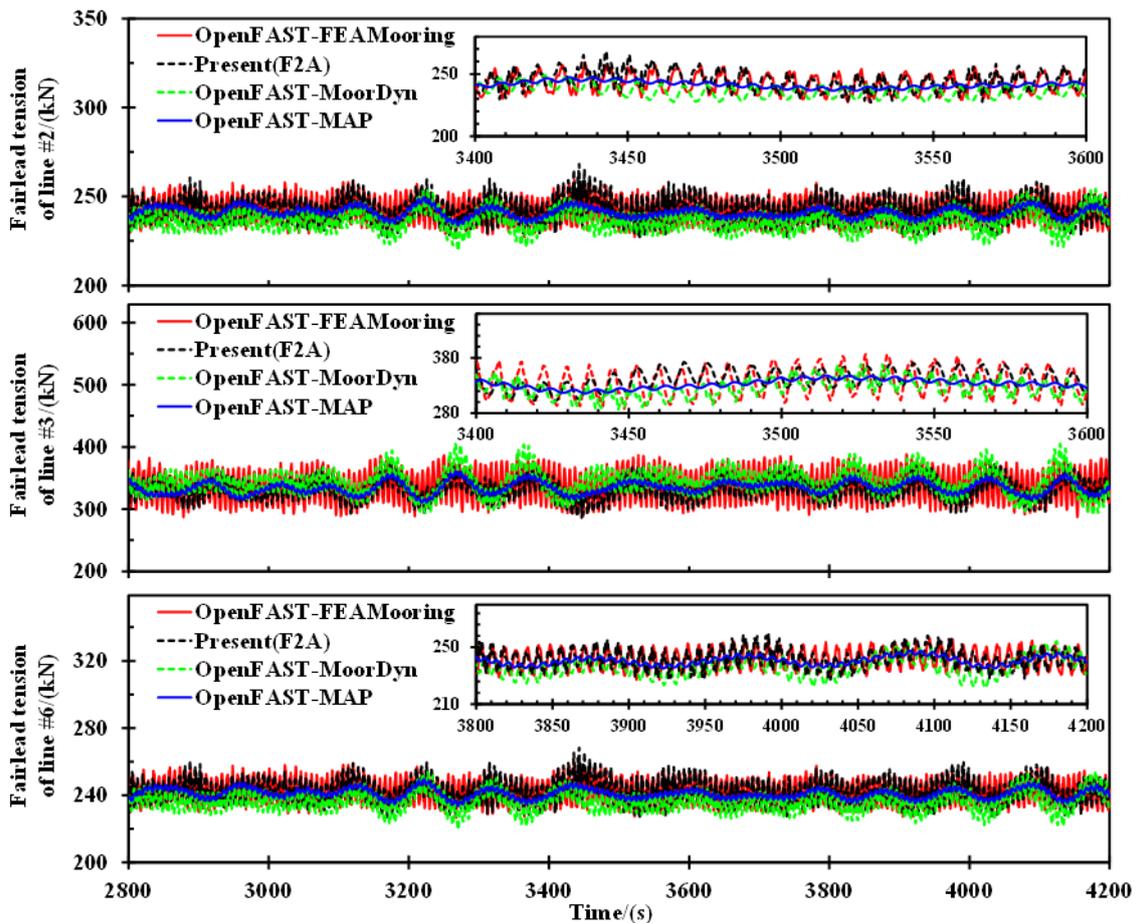
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299 Fig. 4: Comparison between coupled responses of OpenFAST and F2A

300 In order to confirm the accuracy of F2A in modelling the mooring dynamics, tensions in the
301 mooring lines are obtained through three different modules (FEAMooring, MoporDyn and MAP)in
302 OpenFAST for comparison against F2A. In the FEAMooring module, the mooring lines are modelled
303 using the finite element method to examine mooring's dynamics. The MoorDyn module uses the
304 lumped-mass method for accounting the dynamics of mooring lines. The MAP module employs the
305 quasi-static method in computing the mooring tension. Tensions in the mooring lines predicted using
306 OpenFAST and F2A are presented in Fig. 5. It is found that the mooring tension predicted by each
307 numerical tool follows the same trend. The variation of the results obtained using MAP is smoother
308 than the results from other tools, since the quasi-static method is incapable of accurately capturing
309 the mooring dynamics. The results obtained using F2A agrees well with the results from FEAMooring,

310 since these two tools both employ the finite element method in modelling the mooring lines. The
 311 tension in mooring line #6 predicted using MoorDyn is slightly smaller than the results from
 312 FEAMooring and F2A. This is because the lumped-mass method used in MoorDyn is incapable of
 313 accounting the bending stiffness of the mooring line.

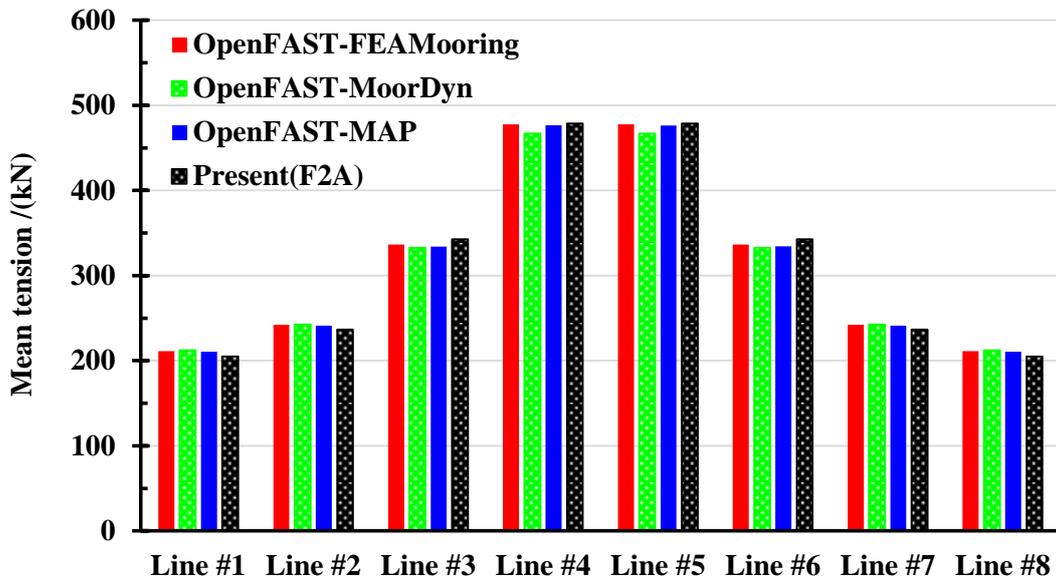
314 Fig. 5 (b) and Fig. 5(c) present the statistics of mooring tension predicted by OpenFAST and
 315 F2A tools. It is noted that the difference between the mean tensions of these tools is insignificant for
 316 each mooring line. The maximum tension of each mooring line obtained using F2A is close to those
 317 predicted by OpenFAST, although MoorDyn has a slightly larger maximum tension in lines #4 and
 318 #5. The results indicate that the mooring model used in F2A can be used to accurately predict tension
 319 of mooring lines and capture their dynamics.



320

321

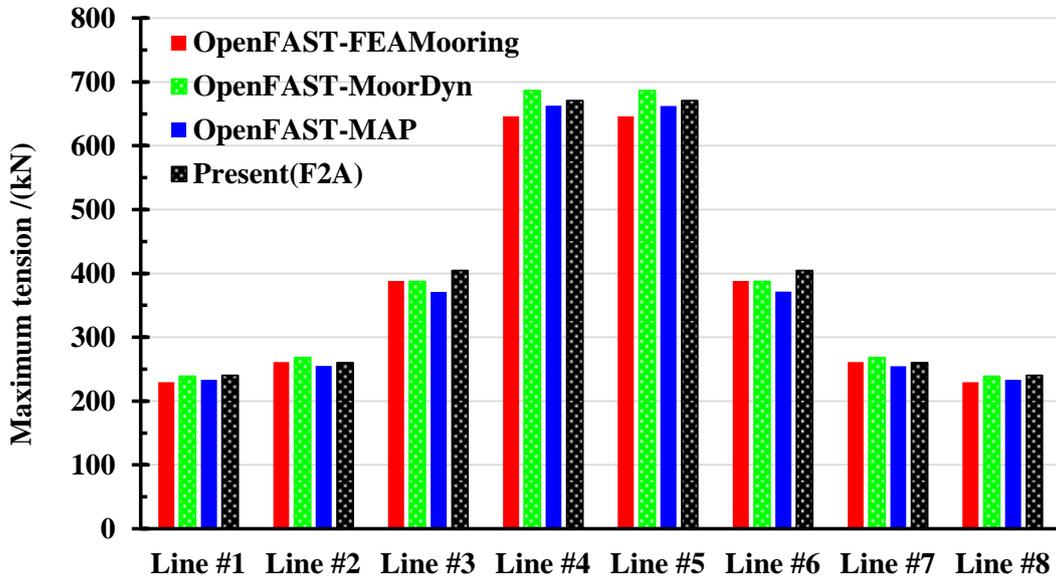
(a) Tension time series



322

323

(b) Mean tension



324

325

(c) Maximum tension

326

Fig. 5: Tension in the mooring lines predicted using OpenFAST and F2A

327

328

3 Effects of mooring line breakages under the in-operation state

329

3.1 Load cases and environmental conditions

330

Based on the met-ocean data of a specific site off the northern-coast of Scotland [33], the

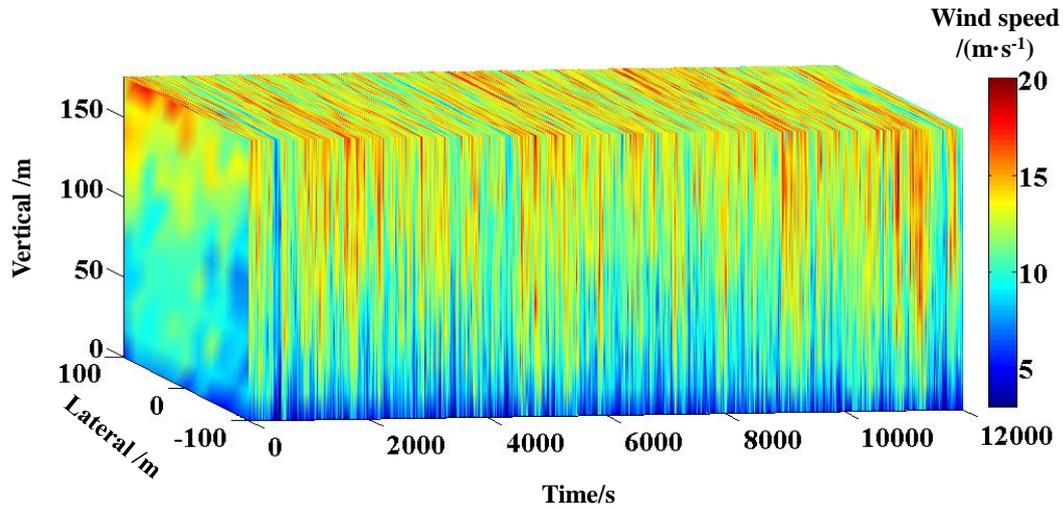
331

significant height and spectral peak period of the irregular wave corresponding to the rated wind

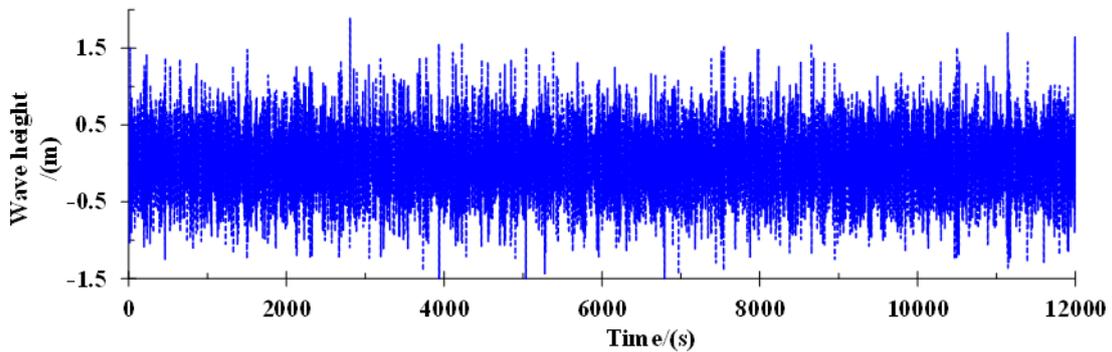
332

speed (11.4 m/s) are selected as 1.786 m and 7.505 s, respectively. The wind turbine is under

333 operational state for normal power production. Fig. 6-(a) presents the wind field generated using
334 TurbSim [34] based on the Kaimal wind spectrum and NTM wind model. The time-varying wave
335 height of the irregular wave, generated based on the P-M wave spectrum, is presented in Fig. 6-(b).



336
337 (a) Wind speed of the full-field turbulent wind



338
339 (b) Wave height time series

340 Fig. 6: Wind speed of the turbulent wind field and wave height of the irregular wave

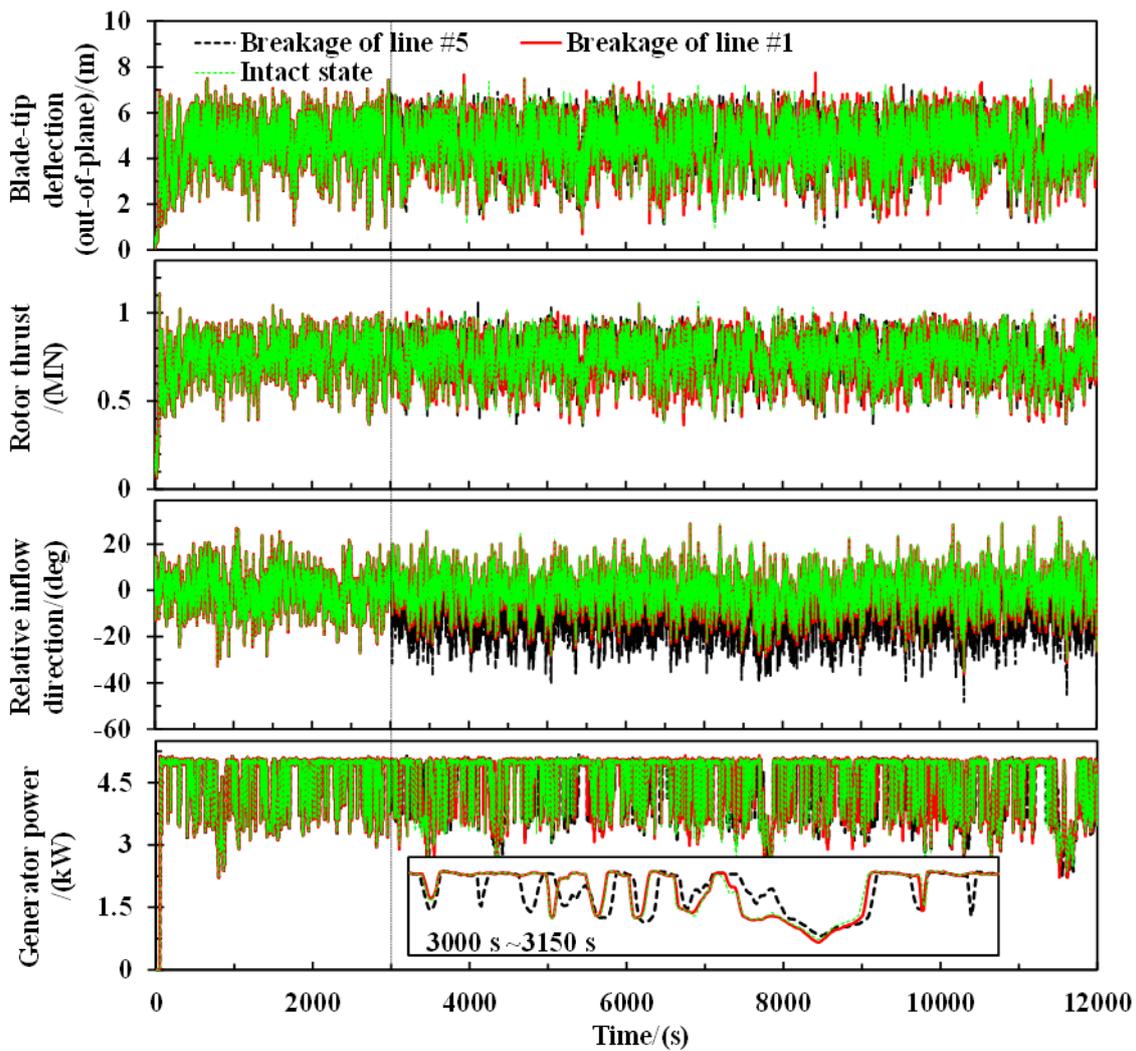
341
342 Since the eight mooring lines are placed symmetrically around the wind turbine, four scenarios
343 of a potential mooring breakage are examined. Specifically, the dynamic responses of the FOWT
344 with a breakage on mooring lines #1, #3, #5 and #7 are examined, respectively. For each simulation,
345 the overall duration is 12000 s and the occurrence time of the breakage is set at 3000th s to allow the
346 completion of the transient behaviors. The breakage means that the mooring line snaps and
347 disconnects from the platform at the specific instant in time. In addition, an intact state of the mooring

348 system is examined for comparisons.

349

350 ***3.2 Effects of mooring line breakages on the dynamic responses***

351 The time-varying results corresponding to the breakages on mooring lines #1 and #5 are
352 presented and discussed in this section. The dynamic responses of the rotor under the intact state and
353 two mooring breakage scenarios are presented in Fig. 7. It is found that the difference between the
354 blade-tip deflections of the intact and broken mooring scenarios is minor. Similar results are obtained
355 for the rotor thrust and generator power. Although the generator power decreases immediately after
356 the breakage on mooring line #5, it recovers to the level of intact state within 100 s. This means that
357 the impact of a mooring breakage on the aerodynamic performance of the rotor is insignificant. It is
358 noted that the mooring breakage has a notable influence on the relative inflow direction, which
359 denotes the intersecting angle between the inflow wind and the longitudinal plane and is also called
360 the “yaw-deviation”. The changes in value of relative inflow direction are caused by the platform yaw
361 motion due to the restoring stiffness reduction of the station-keeping system. Moreover, it is found
362 that the breakage on mooring line #5 leads to a larger yaw-deviation compared to the results
363 corresponding to the breakage on mooring line #1. This is because mooring line #5 is placed in the
364 upwind direction and mooring line #1 is attached in the downwind direction. For the equilibrium
365 condition with a large platform drift, the upwind mooring lines are stretched while the downwind
366 mooring lines are in a loose state. As a result, upwind mooring lines undertake larger loads in keeping
367 the platform in equilibrium position. Therefore, a breakage of an upwind mooring line leads to a more
368 severe yaw motion of the platform, resulting in a larger yaw-deviation of the rotor.



369

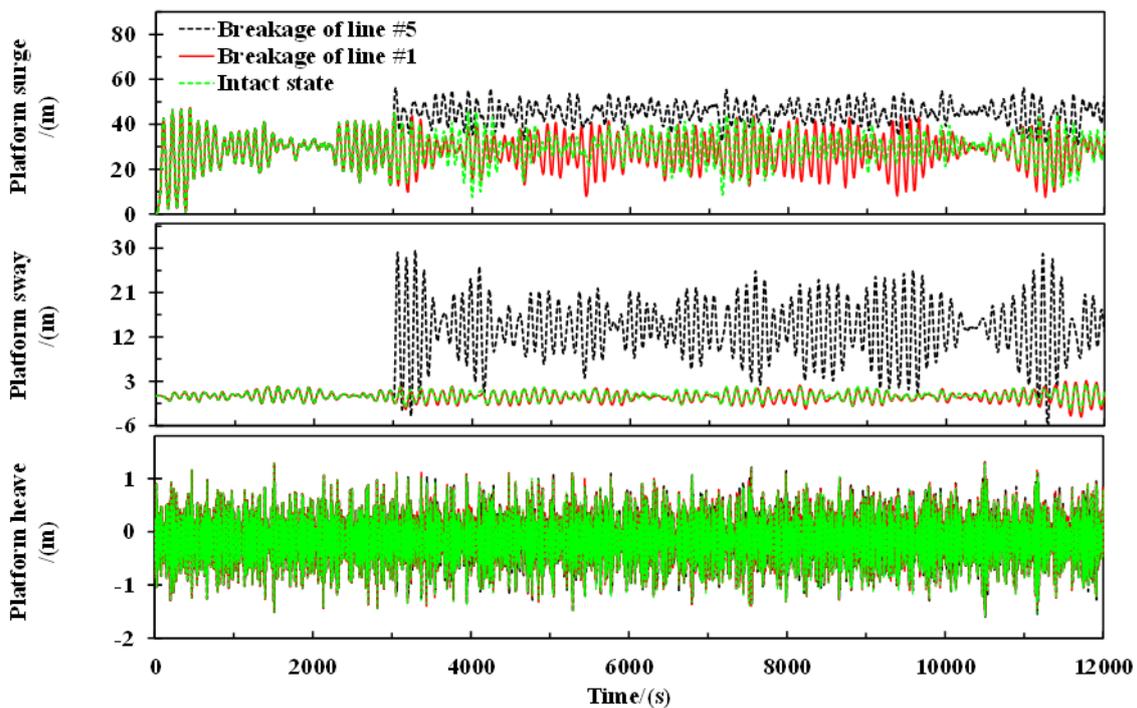
370 Fig. 7: Rotor dynamic responses under the intact state and mooring breakage conditions

371

372 It is observed that the generator power under the breakage scenario of line #5 differs from those
 373 of other two cases. This is mainly caused by the large yaw-deviation of rotor due to the platform yaw
 374 motion. When mooring line #5 suffers from a breakage, the rotor-nacelle-assemble rotates about the
 375 centerline of tower following the platform yaw motion. In this situation, the inflow wind blows
 376 towards the rotor with a skew angle, resulting in a slight influence on the aerodynamic performance
 377 of the FOWT.

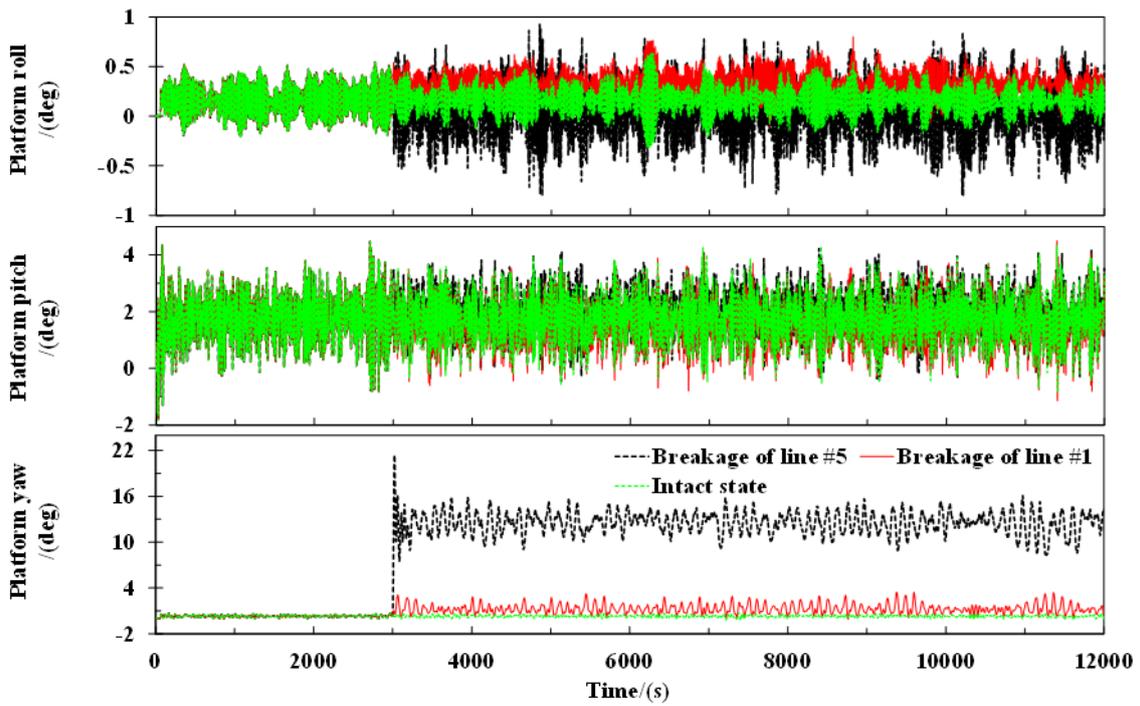
378 Fig. 8 presents the translational and rotational motions of the platform under the intact state and
 379 mooring breakage conditions. The results show that the breakage of mooring line #1 causes
 380 insignificant responses on the platform motions with an exception in the yaw. Due to the breakage of
 381 mooring line #1, the average value of platform yaw increases from 0.29 degrees to 1.26 degrees and

382 the maximum yaw angle of the platform increases from 0.71 degrees to 3.44 degrees. This means that
383 the platform yaw motion is enhanced by around five times after the breakage occurred on mooring
384 line #1. It is noted that there are much larger responses when a breakage occurs on mooring line #5.
385 With a fraction of tension loss on the station-keeping system, the platform drifts to a further position
386 as indicated by the larger surge and sway motions. This is because the large yaw motion induced by
387 the mooring breakage produces a larger lateral component of the aerodynamic loads. Consequently,
388 the platform has a large drift in the sway direction. The average and maximum values of the platform
389 sway are, respectively, 13.6 m and 29.6 m when the breakage occurs on mooring line #5, while the
390 corresponding values for the intact state are 0.01 m and 2.38 m, respectively. The large drifts caused
391 by the breakage of mooring line #5 are anticipated to increase the tension of the remaining mooring
392 lines placed in the upwind directions.

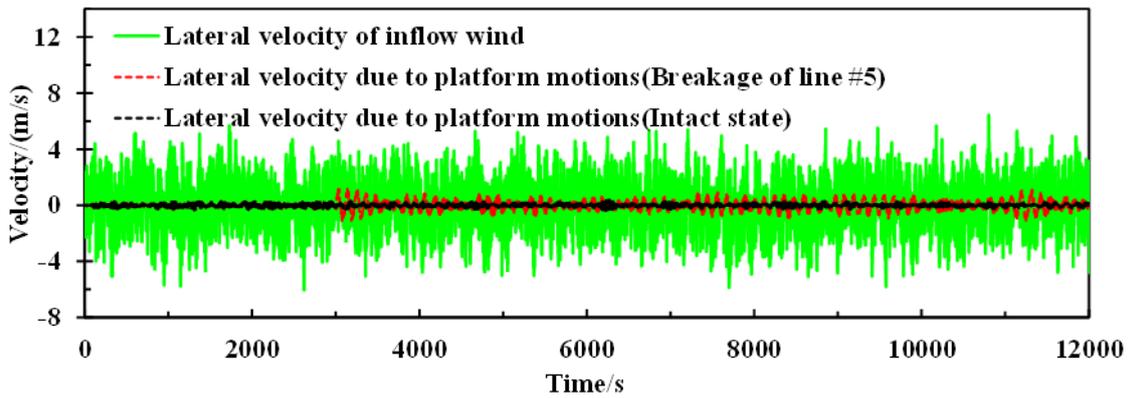


(a) Platform translational motions

393
394



(b) Platform rotational motions



(c) Lateral velocity variations

Fig. 8: Platform motions under the intact state and mooring breakage conditions

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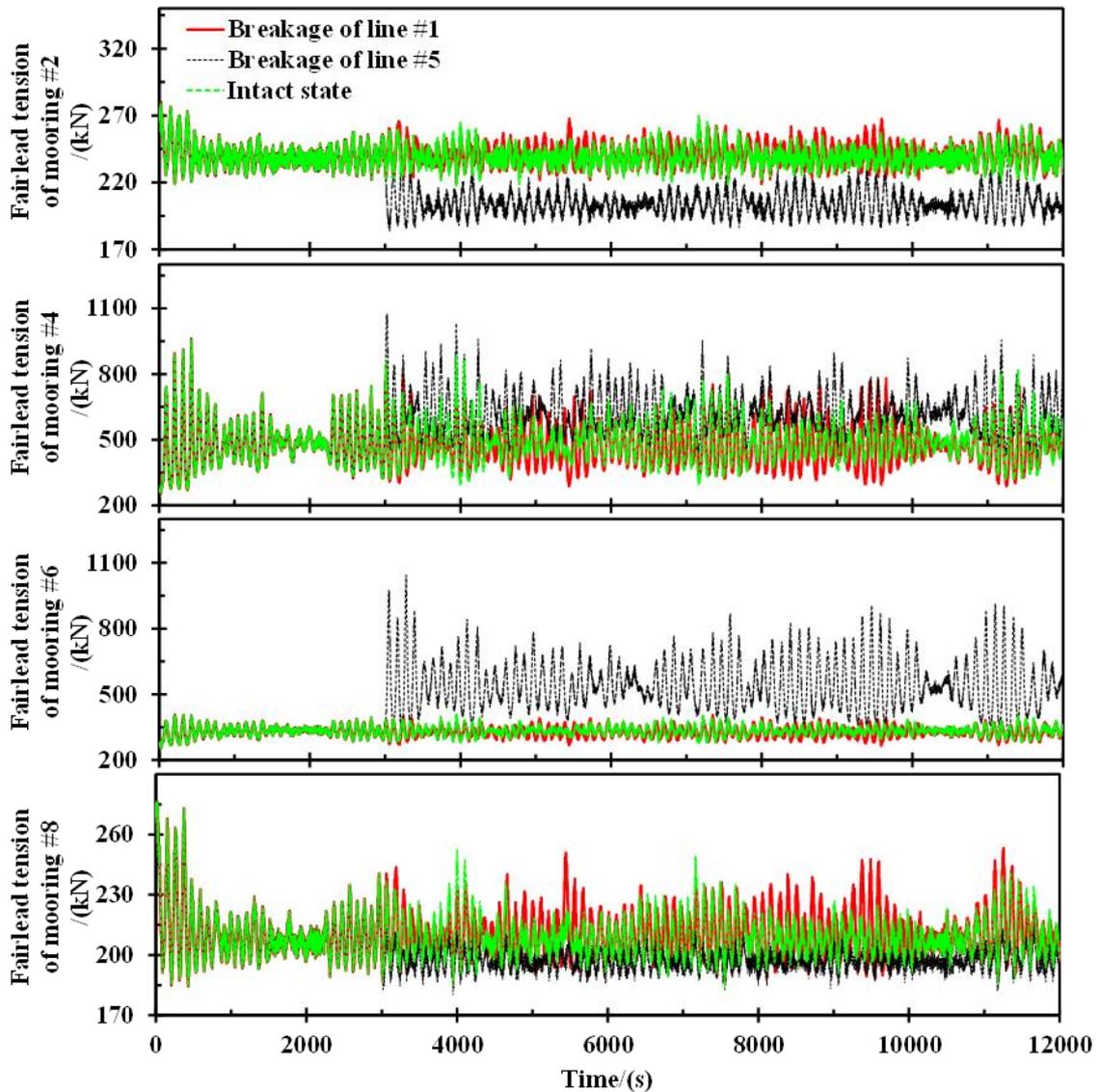
407

408 smaller than the lateral velocity of the inflow wind, even under the mooring breakage scenarios, as
409 observed from Fig. 8(c). More specifically, the lateral velocity due to the platform's motions under
410 the intact state varies from -0.38 m/s to 0.39 m/s. Under the breakage scenario of line #5, lateral
411 velocity due to platform motions fluctuates within -1.10 m/s ~ 1.20 m/s, while the lateral inflow wind
412 speed fluctuates from -6.05 m/s to 6.43m/s. Therefore, the sway motion of the platform has an
413 insignificant effect on the aerodynamic performance of the wind turbine.

414 The results presented in Fig. 8-(b) show that the platform pitch is insensitive to the breakage of
415 a mooring line, though minor differences between the results of the intact and broken mooring
416 scenarios are observed. Nonetheless, platform roll and yaw motions are increased by a mooring
417 breakage. The maximum platform yaw increases from 0.29 degree to 21.44 degrees when the mooring
418 line #5 is broken. Meanwhile, the fluctuation range of roll is widened from -0.33 ~ 0.63 degree to -
419 0.81 to 0.93 degree. The enhancement on the platform roll is attributed to the increase in lateral
420 component of the aerodynamic loads under a large yaw condition.

421 Fig. 9 presents the fairlead tension of the remaining mooring lines when a breakage occurred.
422 Compared to the results of the intact state, the fairlead tension of each of the remaining mooring lines
423 increases slightly when mooring line #1 is broken. The enhancement of the tension due to the
424 breakage of mooring line #1 is negligible. However, the breakage on mooring line #5 leads to
425 significant changes in the tensions of the remaining mooring lines. Specifically, minor reductions are
426 produced in the tension of a downwind mooring line. The average value decreases from 239 kN to
427 204 kN and the maximum value reduces from 270 kN to 245 kN with regards to the fairlead tension
428 of mooring line #2. Similar reductions in the tension of mooring line #8 are observed. However, on
429 the contrary, the breakage of line #5 causes significant increase in the tension of mooring lines #4
430 and #6 that are placed in the upwind direction. The average tensions of mooring lines #4 and #6
431 increase by 30.7% and 62.8 %, respectively. The maximum tensions are increased by 20.7% and
432 156.1% for mooring lines #4 and #6, respectively. These observations indicate that the breakage of
433 an upwind mooring line produces more stresses on the station-keeping system compared to a scenario

434 in which a breakage occurs on the downwind mooring line. The reason is that the platform drift due
435 to wind loading keeps the upwind moorings taut and the downwind moorings loose. Once a breakage
436 occurs on an upwind mooring, the platform will drift further to a new position that leads to a tauter
437 state for the remaining upwind moorings and a more loose state for the downwind moorings.



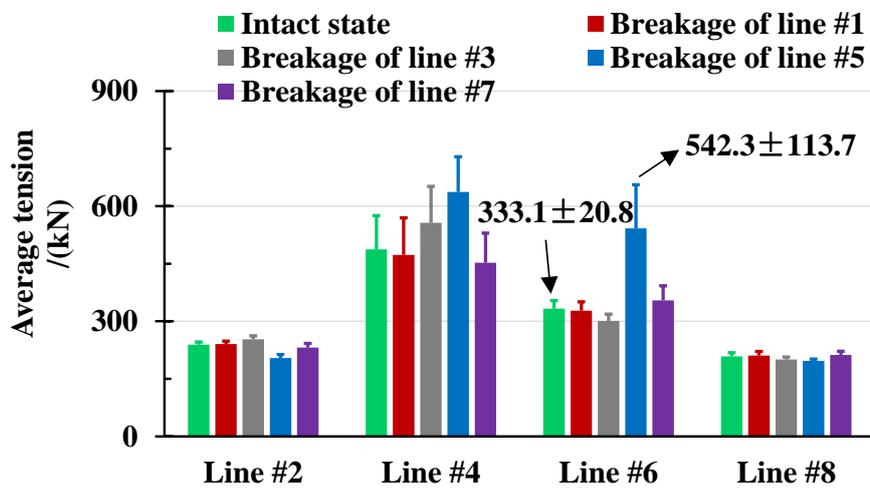
438

439 Fig. 9: Fairlead tension of the remaining moorings

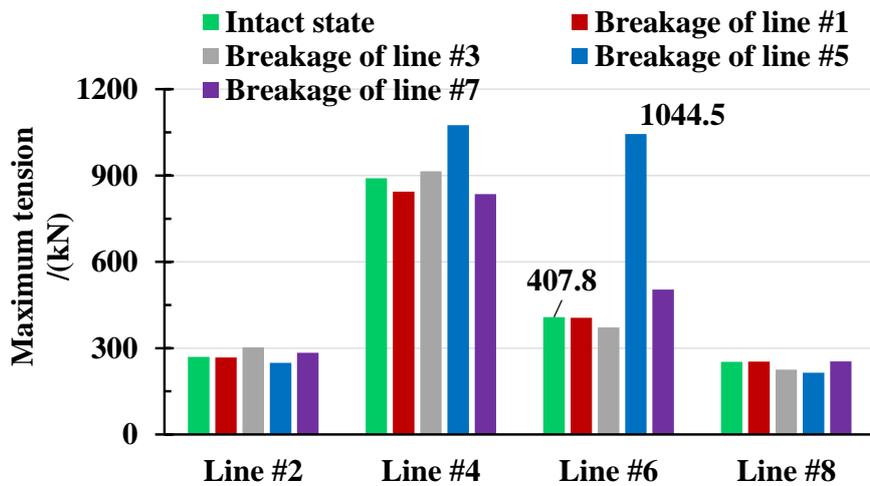
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441 The average and maximum tensions in the remaining moorings under different breakage
442 conditions are presented in Fig. 10. As can be seen, the breakage of a mooring line produces
443 insignificant changes in the tensions of mooring lines #2 and #8. This implies that the downwind
444 mooring lines are insensitive to a failure on the mooring system. However, the tension of an upwind

445 mooring line is significantly influenced by the mooring breakage, especially in the case of mooring
 446 line #6. For instance, when the breakage occurs on mooring line #5, the mean tension in line #6
 447 increases from 333.1 kN to 542.3 kN and the maximum tension increases from 407.8 kN to 1044.5
 448 kN, reaching 23.6% of the breaking load. This means that the average and maximum tensions are
 449 increased by 62.8% and 156.1%, respectively. Its standard deviation is also enhanced by over four
 450 times. These results imply that the breakage of mooring line #5 causes mooring line #6 to undertake
 451 a much more severe load. Nonetheless, the maximum tension in the mooring lines is much smaller
 452 than its breaking load, implying that the mooring system is in a safe situation when a mooring line
 453 suddenly fails under the examined operational condition.



(a) Average tension



(b) Maximum tension

Fig. 10: Average and maximum tensions of the remaining moorings under different breakage

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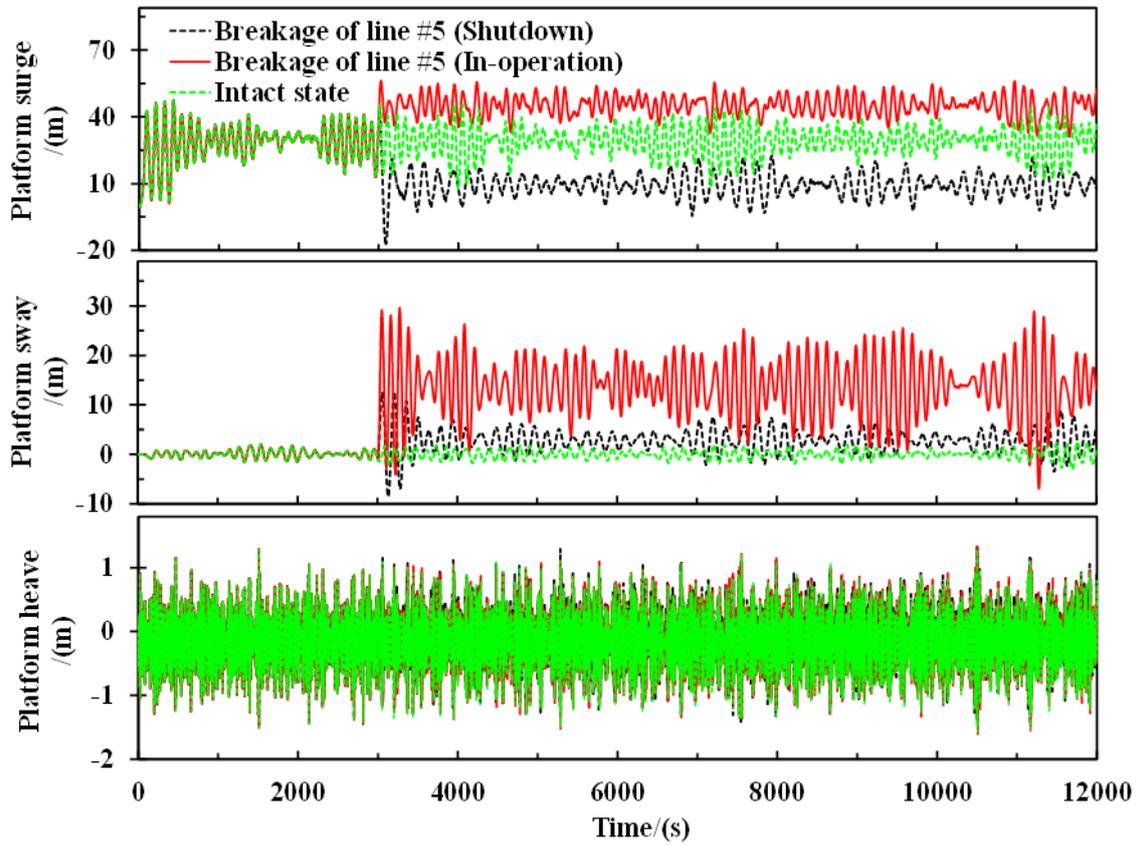
conditions

460

461 **4 Effects of the shutdown measure under a mooring breakage scenario**

462 According to the results presented above, the breakage of mooring line #5 leads to the most
463 severe consequences on the FOWT. When a breakage occurs on line #5, the platform has much larger
464 drift motion due to the loss of a fraction of tension of the station-keeping system. As a result of the
465 large drift, the tensions of the remaining upwind mooring lines are significantly increased. Therefore,
466 the shutdown operation of the wind turbine could be used as an efficient measure in moderating the
467 responses of the platform and the mooring system by reducing the driving power of platform drift.

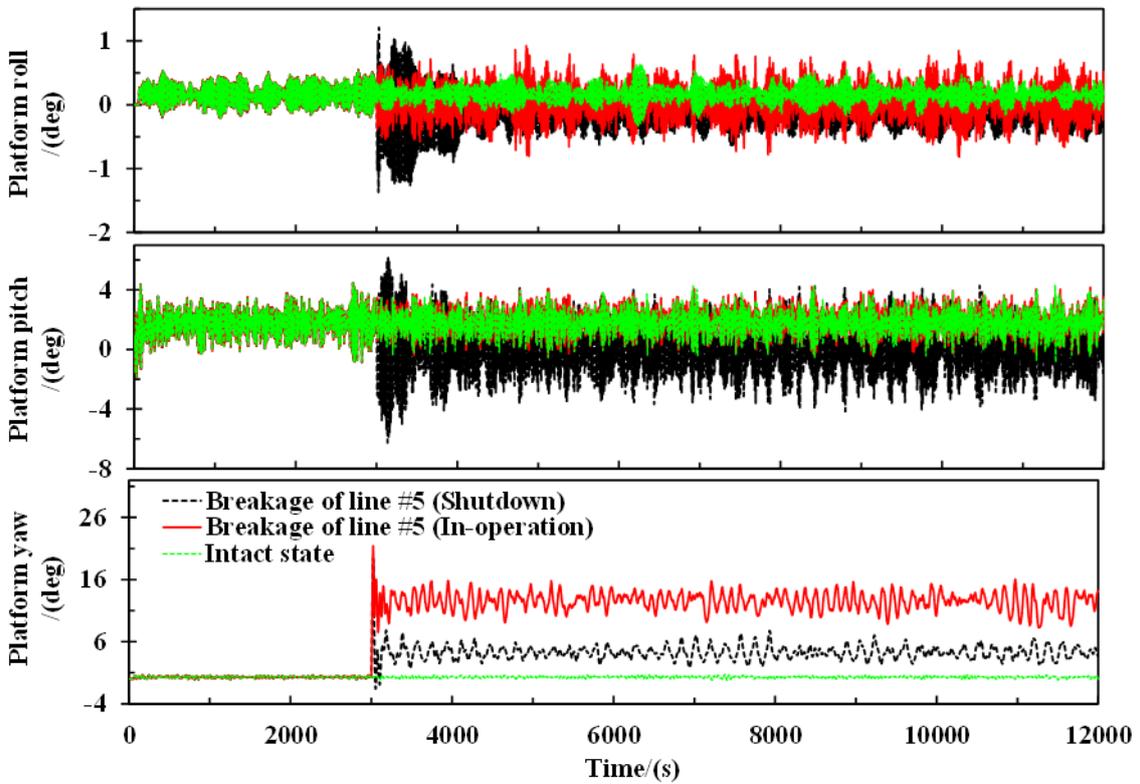
468 Fig. 11 presents the platform motions under the in-operation and shutdown states when the
469 mooring system is subjected to a breakage on mooring line #5. In the shutdown case, the generator is
470 turned off with a delay of two seconds after the breakage of the mooring line. In the meantime, the
471 blades start the process of pitching to feather. The pitch angle of the blades increases to the feather
472 position (90 degrees) with a pitch rate of $8^\circ/\text{s}$. The trajectories of the platform under the shutdown
473 and in-operation states are presented in Fig. 12



474

475

(a) Transitional motions



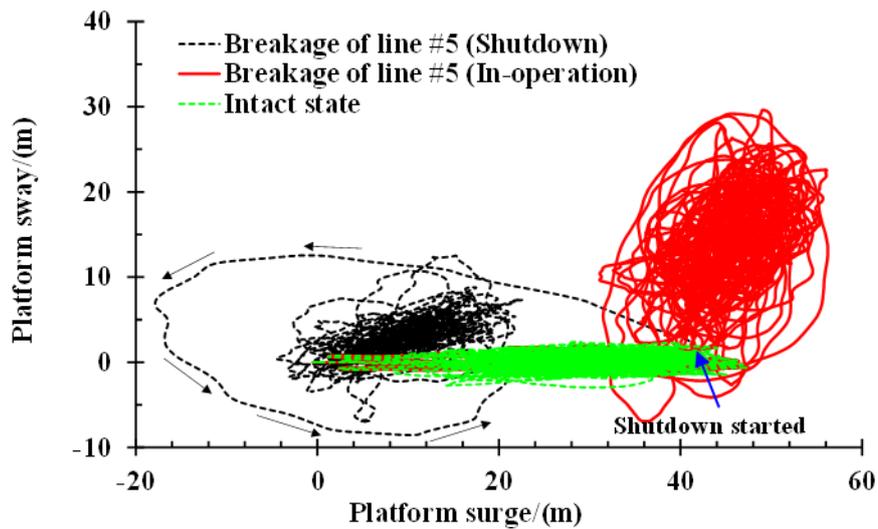
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477

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(b) Rotational motions

Fig. 11: Platform motions under the in-operation and shutdown states



479

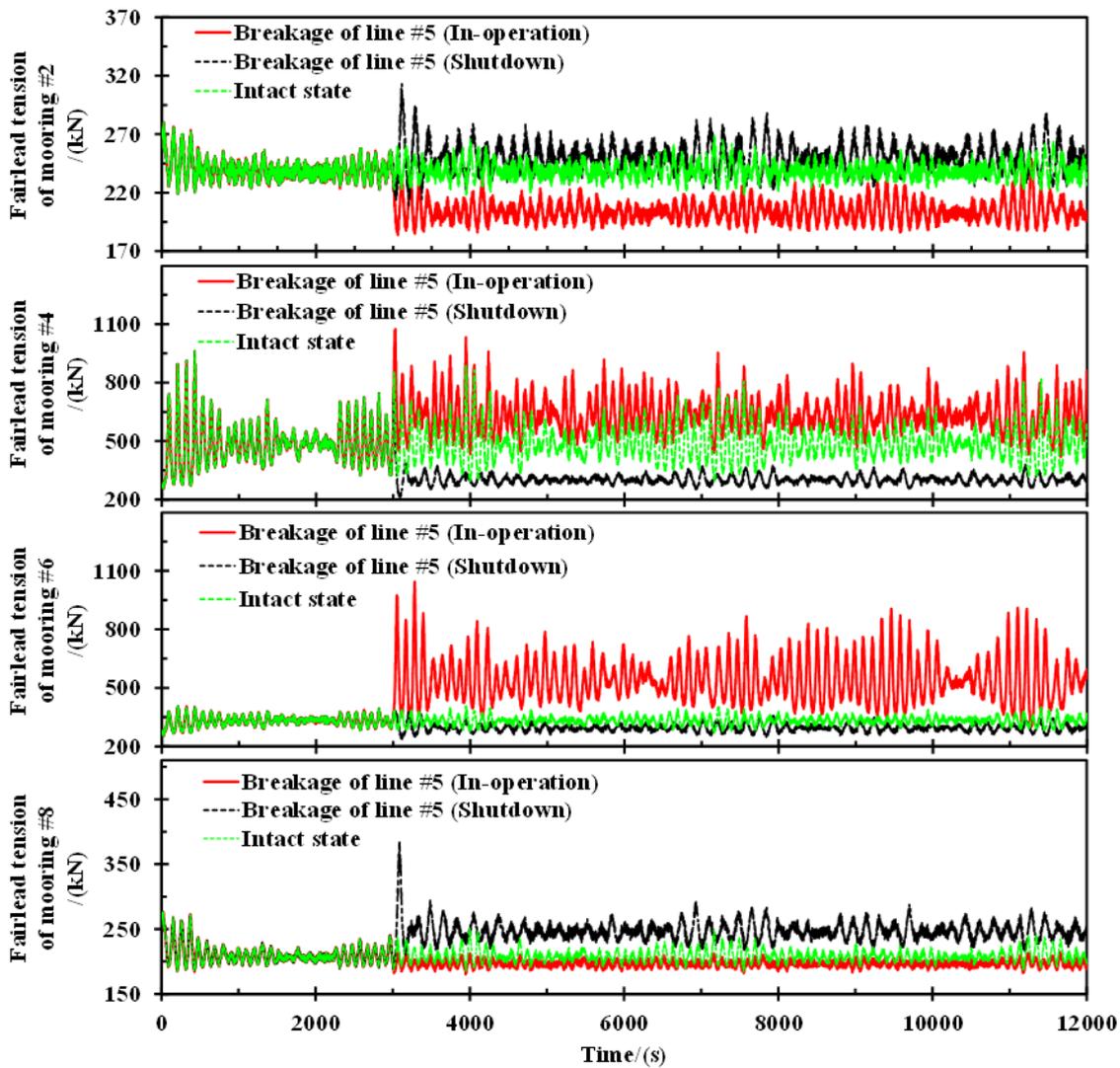
480 Fig.12: Platform trajectory under the in-operation and shutdown states after a breakage of mooring
 481 line

482

483 As can be seen from Fig. 11, the platform surge decreases significantly as expected due to the
 484 large reduction in aerodynamic loads after the shutdown of the wind turbine. The aerodynamic
 485 damping that resists the platform motion to wind is decreased. With the gradual decrease of
 486 aerodynamic damping, platform moves towards the upwind direction (minus surge) and then drifts
 487 towards the downwind direction until it attains a new equilibrium position as shown in Fig. 12. The
 488 variation range of surge under the normal operation state with intact mooring line is [7.5 m, 45.6 m],
 489 while the variation range of surge is [31.1 m, 56.2 m] due to the breakage of line #5 and the surge
 490 motion varies from -17.9 m to 43.4 m under the shutdown state. After the completion of the transient
 491 responses caused by the shutdown measure, the surge fluctuates in a normal variation range similar
 492 to that of the intact state. Due to the shutdown of the wind turbine, the platform yaw also decreases
 493 to a much smaller level. The average yaw motion is reduced from 12.5 degrees to 4.3 degrees. A
 494 similar trend is observed for the platform sway. As discussed previously, a large yaw motion is caused
 495 since the restoring stiffness provided by the mooring system is decreased due to breakage of line #5.
 496 Under the normal operation state, aerodynamic loads on the blades that deflect asymmetrically
 497 because of variations in the rotor-azimuth angle activate the yaw mode of the FOWT. The force
 498 component that pushes the FOWT to move along the sway direction is increased due to the large yaw.

499 As a result, a large swaying motion is produced. However, the shutdown measure significantly
500 decreases the aerodynamic load that is the major source of sway and yaw motions. Therefore, the
501 sway and yaw motions of the platform are reduced by the shutdown measure. However, it is observed
502 that the platform pitch motion varies in a larger range after the shutdown. The variation range is
503 widened from $-0.5 \sim 4.2$ degrees to $-6.2 \sim 6.2$ degrees with a 114.1% increase in the standard deviation
504 of platform pitch. The reason is that the aerodynamic damping is significantly reduced when the
505 blades are operating in the feather positions. Consequently, the platform pitch motion fluctuates more
506 severely.

507 Fig. 13 presents the fairlead tensions of the remaining mooring lines under the in-operation and
508 shutdown states after mooring line #5 is broken. It is found that the fairlead tensions in mooring lines
509 #4 and #6 are significantly reduced due to the emergency shutdown after a breakage of mooring line
510 #5. When the breakage occurs on line #5 and the wind turbine is still in operation, the average and
511 maximum values of the tension in mooring line #4 are 637.3 kN and 1075.1 kN, respectively. The
512 average and maximum values of the tension are 303.4 kN and 748.6 kN, respectively, for the
513 shutdown state. This is because the platform surge is largely decreased due to the reduction in
514 aerodynamic damping after the shutdown. The upwind mooring lines are in a loose state. Similar
515 reduction in the tension of line #6 is achieved, while the average tension falls below the level
516 corresponding to the intact state. It is noted that the shutdown induces minor increases in the tension
517 of mooring line #2 and line #8. More specifically, the maximum tensions in line #2 and line #8 under
518 the normal operation state are 248.9 kN and 214.6 kN, respectively, while the corresponding values
519 are increased to 313.0 kN and 383.8 kN. This is because the platform moves towards the upwind
520 direction due to the reduction of aerodynamic damping. Accordingly, the downwind moorings are
521 certainly stretched and bearing larger tensions. However, the increase in tension of the downwind
522 mooring lines is much smaller than the reductions achieved in the upwind mooring lines. The
523 shutdown measure is beneficial in guaranteeing the operational safety of the FOWT with a sudden
524 breakage of the mooring line.



525

526 Fig. 13: Fairlead tension of the remaining mooring lines under the in-operation and shutdown states
 527 after mooring line #5 is broken

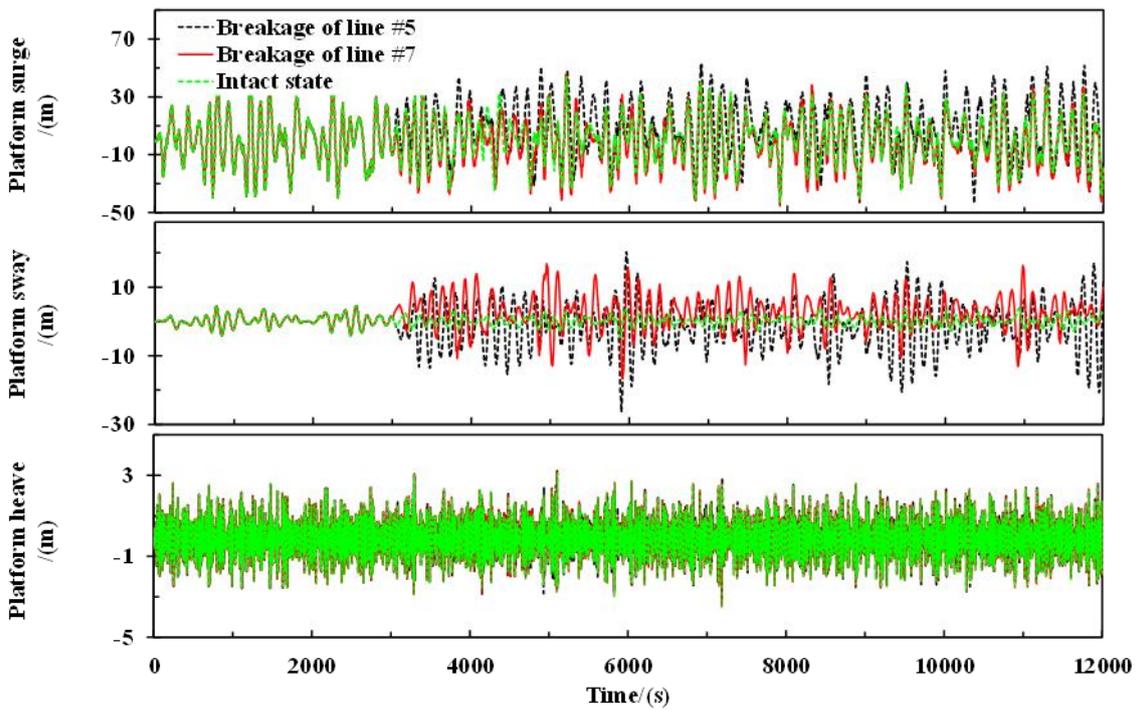
528

529 **5 Mooring breakage effects under survival condition**

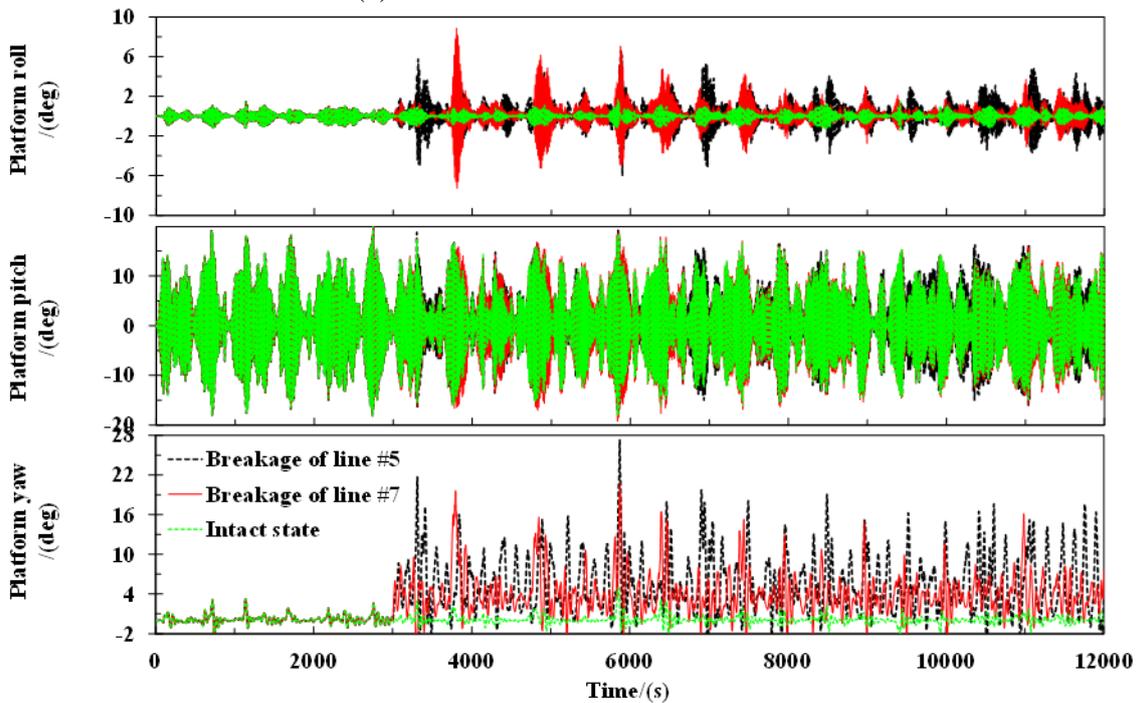
530 The results presented in the previous section indicate that the remaining mooring lines do not
 531 have the risk of failure when a mooring line suddenly breaks under the rated environmental condition.
 532 In order to further confirm the survivability of the mooring system, a mooring breakage analysis
 533 under an extreme condition needs to be performed. It is noted that the ITI barge concept was initially
 534 designed for a wind-wave hybrid energy system. For the FOWT where wave energy convertors
 535 (WECs) are not installed to absorb the incident wave energy, the barge platform will experience

536 excessive pitch motion under a harsh wave condition as revealed by Jonkman [23]. In addition, the
537 coupling between aerodynamic loads on the blades and platform responses induced by wave loading
538 triggers roll and yaw modes of the platform. Therefore, the platform was suggested to be installed in
539 a site with moderate met-ocean environments if WECs are not considered. The measured met-ocean
540 data at a site located off the northern Scotland [35] is used to define the extreme environmental
541 condition. The significant wave height of the 50-year return extreme condition is 4.3 m and the
542 corresponding spectral peak period is 10.3 s. The average wind speed at the hub-height is 50 m/s. The
543 wind turbine is under the parked state to dissipate the extreme aerodynamic loads by setting the blade-
544 pitch to 90 degrees.

545 The platform motions of the FOWT with intact and broken mooring lines under the extreme
546 condition are presented in Fig. 14. Since the wind turbine is under the parked state, the aerodynamic
547 damping resisting the platform motion is small. The platform surge has a very large variation range
548 due to the incident wave loading. Due to the absence of aerodynamic damping, the platform also
549 pitches in a large amplitude that is close to 20 degrees. When a mooring breakage occurs, the yaw
550 stiffness provided by the mooring system is decreased, resulting in a large yaw motion as observed
551 from Fig. 14(b). At this stage, the misalignment of the wave loading from the symmetry plane of the
552 platform activates the roll mode. This problem is more significant when line #7 is broken. Large
553 platform motions are anticipated to produce more severe loads in the mooring lines.



(a) Platform translational motions



(b) Platform rotational motions

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555

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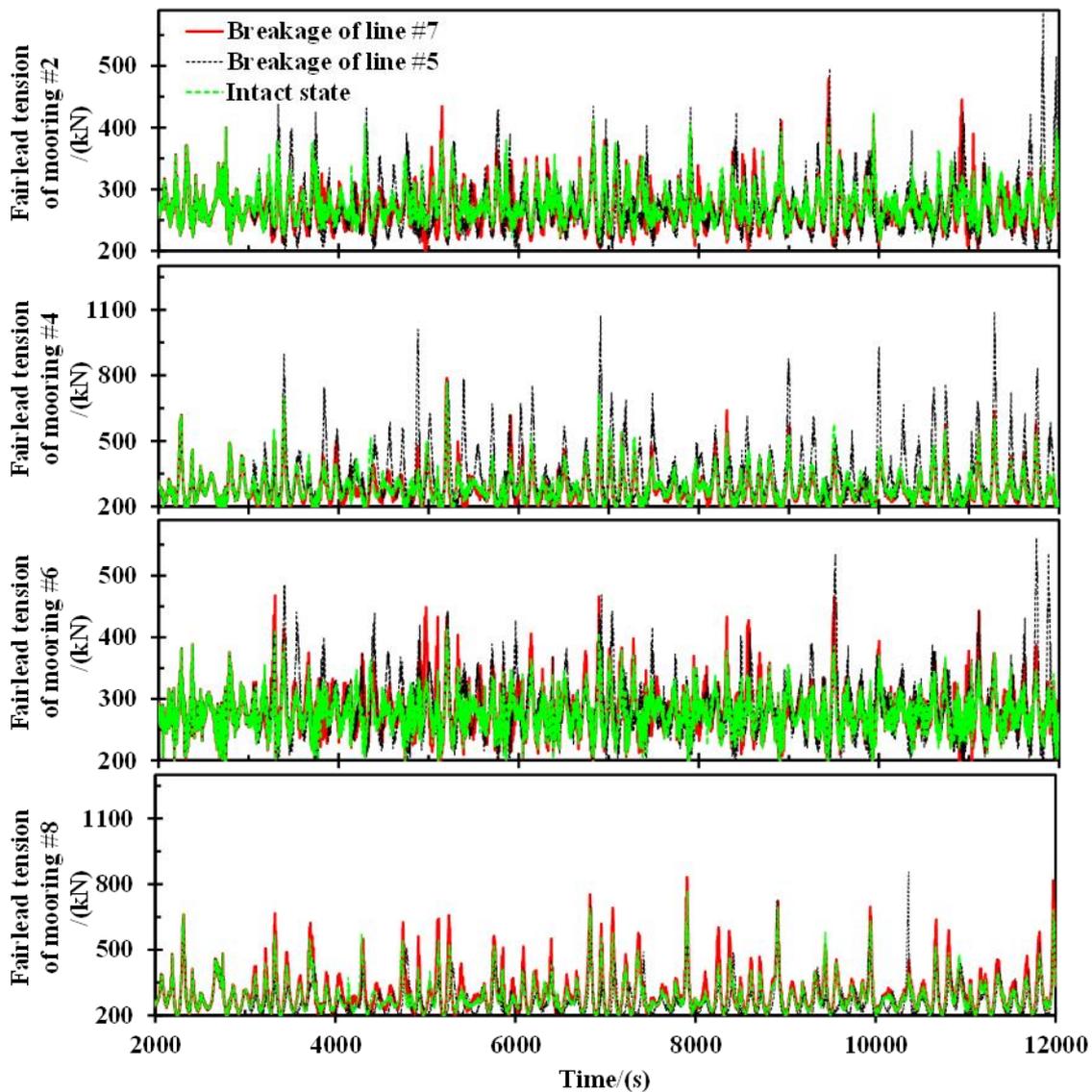
558 Fig. 14: Platform motions of the FOWT with the intact and broken mooring lines under the extreme
559 environmental conditions

560

561 Fig. 15 presents the fairlead tension in the remaining mooring lines when a mooring line suffers
562 from a sudden failure. It is observed that the tension in each mooring line varies severely even under
563 the intact state due to large platform motions. The mooring breakage enhances the dynamic effects

564 of the mooring system as confirmed by the larger tension peaks as observed in Fig. 15. The
565 phenomenon is more obvious for mooring line #4 when a breakage occurs on line #5. The maximum
566 tension in line #4 is 1087.5 kN after the breakage of line #5, while the maximum tension in line #4
567 under the intact state is 767.8 kN.

568 Fig. 16 presents the statistical tension of the examined cases. It is found that the tension in line
569 #4 exceeds 1000.0 kN when line #3 fails suddenly. The maximum tensions in other cases are smaller
570 than 900 kN. This means that the tension in these mooring lines under a mooring breakage scenario
571 has not reached a quarter of the breaking load. The mooring system is not at the risk of progressive
572 failure under the examined extreme condition when a mooring is subjected to a sudden breakage.



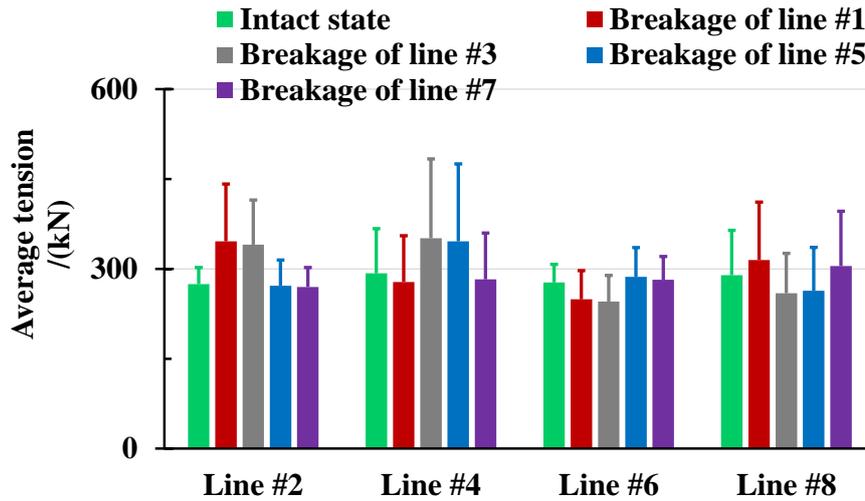
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574

Fig. 15: Fairlead tension in the remaining mooring lines under intact and broken mooring

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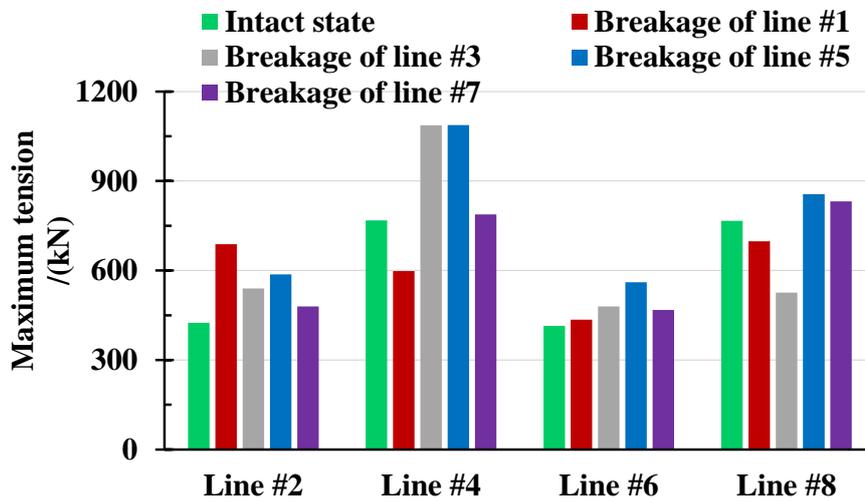
states



576

577

(a) Average tension



578

579

(b) Maximum tension

580 Fig. 16: Statistical tension in the remaining moorings under the examined extreme condition

581

582 6 Conclusions

583 This paper has investigated the dynamic behaviors of a barge-type 5 MW FOWT subjected to a
 584 sudden mooring breakage. A fully coupled aero-hydro-servo-elastic tool (F2A) is developed to
 585 conduct the simulations. The nonlinear dynamics of the mooring system with a broken line under
 586 normal operation and emergency shutdown conditions are examined for the rated environmental
 587 condition. In addition, the survivability of the FOWT subjected to a mooring breakage under an

588 extreme condition is investigated. The effects of the emergency shutdown following the mooring
589 breakage are investigated and discussed. The main findings of this study are summarized as follows:

590 (1) An original coupling framework (F2A) based on AQWA and FAST is developed and
591 implemented. The dynamic responses of the NREL 5 MW barge FOWT under a turbulent
592 wind combined with a regular wave are obtained by F2A and then compared to the results
593 predicted by OpenFAST. The good agreements between the results have validated the
594 accuracy and credibility of F2A in performing fully coupled analysis of FOWTs.

595 (2) The aerodynamic performance of the FOWT is insensitive to the mooring breakage, although
596 a relatively larger yaw-deviation of the rotor is caused. The breakage of a mooring line leads
597 to notable changes in the platform sway and yaw motions. The maximum value of platform
598 yaw is increased by around five times due to the breakage of an upwind mooring line.

599 (3) The tensions of the remaining mooring lines are significantly enhanced by the mooring
600 breakage. In the scenario with an upwind mooring breakage, the tension in the remaining
601 mooring has an increase of 156% in its maximum value and a growth of 20.7% in the average
602 value under the rated condition. It is noted that the maximum tension in the mooring lines
603 under the examined rated and extreme conditions is smaller than a quarter of the breaking
604 load, implying that the mooring system is not at risk of a progressive failure following a
605 mooring breakage.

606 (4) The emergency shutdown following a mooring line breakage decreases the platform drift
607 distance. The platform surge fluctuates in a normal variation range similar to that of the intact
608 state after the completion of the transient behaviors caused by the shutdown measure.
609 Significant reductions are achieved in the platform sway and yaw motions. The variation
610 range of the platform pitch is enlarged by 162% due to the absence of aerodynamic damping
611 when the FOWT is fully shutdown.

612 (5) With the rapid decrease in aerodynamic damping during the shutdown period, the platform
613 moves towards the upwind direction, resulting in minor enhancements and significant

614 reductions in the tensions of downwind and upwind mooring lines, respectively. The
615 shutdown measure is beneficial in ensuring the operational safety of the FOWT subjected to
616 a sudden breakage of a mooring line.

617

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625 number: IEC\NSFC\170054).

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