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Yang, S, Zhang, T, Li, Y, Xu, S, Zhang, M, Hu, X, Liu, S, Hu, D and Wronski, T (2021) Identifying personality traits and their potential application to the management of captive forest musk deer (*Moschus berezovskii*). *Applied Animal Behaviour Science*. 234. ISSN 0168-1591

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1 **Identifying personality traits and their potential application to the**
2 **management in captive forest musk deer (*Moschus berezovskii*)**

3

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13

14 **Abstract**

15 Since the 1950s musk deer (Moschidae) are kept in captivity for the production of musk, a
16 glandular secretion used in Chinese traditional medicine and as an ingredient in cosmetics. Most
17 recently, forest musk deer (*Moschus berezovskii*) raised in captivity were reintroduced into the
18 wild to augment depleted wild populations. The most prominent behavioral and social
19 characteristics of musk deer are anxiety, a timid, solitary lifestyle and territoriality, making
20 musk deer difficult to breed. Individual differences in the personality of captive male musk deer
21 could allow breeders to sort bold individuals that are suitable for commercial farming from
22 those with a shy and more timid personality suitable for reintroduction. We attempted to identify
23 what behavioral variables and what novel stimulus tests are the most effective to characterize
24 whether an individual is bold or shy. To measure boldness in 31 adult males, we used a two-
25 step approach: i) using a Principal Component Analysis to identify reference behaviors that are
26 indicative of either a shy or a bold personality, and ii) to establish individual boldness scores
27 based on those reference behaviors and compare them between four novel stimuli. Two ‘bold
28 PCs’ with high axis loadings from behaviors that are typical for curiosity, territorial marking or
29 that represent the ordinary daily activity of a ruminant were obtained, as well as three ‘shy PCs’
30 that obtained high axis loadings from behaviors that are typical for anti-predator responses,
31 intimidation or displacement behavior.

32 Although all tested stimuli were verified suitable, the unfamiliar human being was the strongest
33 stimulus to test boldness, followed by leopard feces, the beach ball and the leopard dummy.
34 Using cluster-analysis, nearly three quarter of tested individuals were identified as shy, while
35 only one quarter was classified as bold. Previous studies on poultry and domestic ungulates,

36 demonstrated that through continued selective breeding for boldness, the overall personality in
37 the population is driven towards a calm temperament in the majority of the individuals. This
38 might be beneficial for musk production but will have adverse effects on successful
39 reintroductions due to reduced individual fitness and domestication effects.

40

41 **Key words:** boldness–shyness continuum; novel stimuli test; commercial farming;
42 reintroduction, boldness score

43

44 **Introduction**

45 The consistency of individual behavior differences across time or context is a phenomenon
46 commonly described as an individuals' personality or temperament (Dall et al., 2004; Reale et
47 al., 2007; Hedlund and Lovlie, 2015). Animal personalities play an important role in population
48 ecology as well as in evolutionary and ecological processes (Wolf et al., 2007; Sih et al., 2012;
49 Wolf and Weissing, 2012). Empirical data revealed that individual personalities are closely
50 related to an individual's life-history (Dammhahn, 2012; Guenther, 2018), its reproductive
51 success (Armitage and Van Vuren, 2003; Reale et al., 2009) and overall fitness (Bremner-
52 Harrison et al., 2004; Greenberg and Holekamp, 2017). Recently, an increasing number of
53 studies have investigated animal personalities (Perals et al., 2017) and swift progress has been
54 made, not only in theory but also in the application of personality traits in animal welfare and
55 conservation (Bremner-Harrison et al., 2017). Five major metrics are frequently used to
56 describe the consistency of personality in animals, i.e. boldness vs shyness (caution),
57 exploration vs avoidance, activity, aggressiveness, and sociability (Reale et al., 2007). The
58 boldness–shyness continuum (Wilson et al., 1994; Reale et al., 2007) represents the
59 fundamental axis of behavioral variation(Wilson et al., 1994) and its study became increasingly
60 popular in recent years, including a wide array of animal taxa such as insects (Schuett et al.,
61 2018; Tan et al., 2018), fish (Jolles et al., 2015; Jolles et al., 2016; Nielsen et al., 2018),
62 amphibians (Kelleher et al., 2018), reptiles (Ward-Fear et al., 2018), birds (Williams et al., 2012;
63 Cole and Quinn, 2014) and mammals (Noer et al., 2015; Greenberg and Holekamp, 2017;
64 Bubac et al., 2018; Myers and Young, 2018; Santicchia et al., 2018; Breck et al., 2019). Novel
65 stimuli tests are hereby a commonly used method to determine the degree of an individuals'

66 boldness or shyness (Bremner-Harrison et al., 2004; Sinn et al., 2014; Blaszczyk, 2017; Myers
67 and Young, 2018).

68

69 Musk deer (Moschidae) are small forest-dwelling ruminants, endemic to central and East Asia.

70 Illegal poaching for musk and habitat loss have reduced wild musk deer populations to only 3

71 to 5% of their historical population size in the 1960s (Sheng, 1996; Yang et al., 2003).

72 Counteracting this dramatic decline of wild musk deer and reducing the human pressure on

73 wild populations, China has instigated the captive breeding of musk deer (Meng et al., 2006).

74 The most commonly bred species is the forest musk deer (*Moschus berezovskii*; hereafter

75 referred to musk deer), with currently about 20,000 individuals in captivity (Hu pers. com.).

76 According to the conservation objectives formulated by the Chinese government (He et al.,

77 2014b; Wang et al., 2019), farmed musk deer should not only be kept to expand the captive

78 stock for musk production and to satisfy ever increasing consumer demands (Li et al., 2012),

79 but also to provide founder animals for reintroduction and to augment depleted wild populations.

80 Musk deer are solitary, territorial, small-sized, browsing ungulates that are highly susceptible

81 to stress and stress-related illnesses, a suite of characteristics that make captive breeding

82 challenging (Meng et al., 2006; Wu and Wang, 2006; Sheng and Liu, 2007; Jiang et al., 2012).

83 Musk deer are particularly prone to disturbance, which causes stress, physical tension and

84 suppressed immunity (He et al., 2014a), restraining thus the development of captive populations

85 (Li et al., 2012; He et al., 2014b). Attempts to improve captive breeding included different

86 aspects of the species' biology, such as behavior (Wang et al., 2016a), nutrition (Wang et al.,

87 2013; Wang et al., 2015), reproduction (Lang et al., 2012; Wang et al., 2016b), or disease control

88 (Hu et al., 2016; Wei et al., 2016; Hu et al., 2018). Despite intensive research and management,
89 the desired success to reduce stress responses and thus improve breeding conditions failed.
90 Individual differences in the personality of captive musk deer will determine the animals' ability
91 to adapt to the condition in captivity, with artificial, selective pressures favoring bold
92 individuals with traits more suited to captive conditions than shy individuals (McDougall et al.,
93 2006). Vice versa, animals with a shy and timid personality are less well adapted to
94 anthropogenic disturbance in captivity but are more suitable for the release into the wild with
95 higher survival rates than bold individuals (Bremner-Harrison et al., 2004). Retaining the
96 natural behavior and social organization of endangered species kept in captivity is an essential
97 prerequisite for successful reintroduction programs (IUCN/SSC, 2013). However, the gradual
98 process of habituation and domestication in captive stock bred for reintroduction is detrimental
99 for a successful reintroduction (He et al., 2014a). Research on personality traits of musk deer
100 in captivity is therefore fundamental for the establishment of scientific conservation programs
101 and successful reintroductions, but also for refining breeding success and musk production. In
102 our study we therefore attempted to design an easily applicable method to find what behavioral
103 variables and which novel stimuli are the most effective to characterize whether an individual
104 is bold or shy. This will enable musk deer breeders to separate individuals with a bold
105 personality, suitable for captive breeding, from those that are rather shy and timid, and thus
106 suitable for reintroduction. In our two-way approach, we first defined behaviors that are
107 characteristic for bold and shy personality in male forest musk deer, using Principal Component
108 Analysis (PCA) on four novel stimuli. In a second step we used individual boldness scores to
109 develop an easy applicable method for breeders to distinguish bold from shy individuals.

110

111 **Material and methods**

112 **Study site and test animals**

113 Our study was carried out in Shaanxi Pien Tze Huang Forest Musk Deer Breeding Center,
114 located in Fengxian County in Shaanxi Province, China (34°16'40.16"N, 106°47'03.08"E). The
115 center comprises 80 breeding units and retains a total of about 400 musk deer. Each unit consists
116 of a communal outdoor activity space (4×10 m) and five retreat compartments to isolate
117 individuals (each 2×2 m). Each unit was fenced by a 2.5 m high fence to prevent escape. Novel
118 stimuli tests (see below) were conducted in the outdoor activity space, an area where mating
119 takes place and in which musk deer are permitted to move freely once a week (Fig. 1A). Test
120 individuals were therefore familiar with, and well habituated to this activity space. Since only
121 sexually mature males produce musk (Wu and Wang, 2006; Sheng and Liu, 2007), we selected
122 only individually identifiable, adult males, aged three to six years for our experiments.
123 Moreover, to increase the survival rate of musk deer in captivity, young musk deer remain with
124 their mothers beyond weaning age, i.e. until they have reached their reproductive age. Female
125 musk deer usually deliver twins and separating the subadults from their kin would cause
126 additional distress which would bias the testing of suitable for personality traits. During the test
127 period, diet was provided according to standard protocol, i.e. food and water were put on the
128 ground allowing the test individuals to feed ad libitum (He et al., 2014b). Diet comprised mainly
129 of fresh leaves from local trees and shrubs, such as trident maple (*Acer buergerianum*),
130 chinaberry (*Melia azedarach*), mulberry leaf (*Morus alba*) and elm (*Ulmus pumila*). Prior to
131 each test, test animals were not fed for 12 hours.

132

133 **Novel stimulus tests**

134 Four separate stimulus tests were conducted with four novel stimuli located in the center of the
135 activity space. Stimulus 1: a 1.5 m long leopard dummy (Fig. 1B), stimulus 2: a beach ball (1
136 m diameter, colorful; Fig. 1C), stimulus 3: fresh leopard feces (collected from Beijing Zoo and
137 cryopreserved), and stimulus 4: an unfamiliar human being sitting immobile on a chair in the
138 center of the activity space. The person was not a member of staff of the breeding center and
139 thus unknown to the test animals. Moreover, the person was dressed in different color clothing
140 compared to that commonly used by members of staff. None of these stimuli was ever presented
141 to musk deer before the tests were carried out. The order of the four tests was random and
142 changed throughout the study period.

143

144 **Experimental procedures**

145 Tests were carried out from 20th July to 10th August 2015 (first round: R1) and from 30th August
146 to 15th September 2015 (second round: R2). During this time of the year, female musk deer are
147 either pregnant or lactating, i.e. they are together with their last off-spring, and males have
148 accumulated enough musk in their musk pouch to be harvested (Mengyuan et al., 2018). We
149 chose 31 adult males as our test subjects, originating from nine different breeding units. In total
150 we carried out 248 tests (31 animals \times 4 stimuli \times 2 rounds) in the activity space of the
151 respective breeding unit. To ensure that behavioral observations were representative, tests were
152 conducted from 6:00 to 9:00 and from 16:00 to 20:00, i.e. at dusk and dawn when musk deer
153 are most active (Wu and Wang, 2006; Sheng and Liu, 2007). Each activity space was monitored

154 by video cameras surveying the whole area. After completing the test set-up (see above), the
155 test individual was released from its retreat compartments into the activity space and the door
156 was closed immediately to prevent the animal from returning to the compartment. After the
157 individual arrived at the activity space, a three minutes acclimatization period elapsed before
158 recording was started. This relatively long acclimatization period was necessary, due to the
159 timid and skittish character of musk deer, making them easily stressed and prompting them to
160 perform fiercely during first three minutes after release from their retreat compartments. This
161 was also the reason why each stimulus test lasted for 60 minutes. A shorter test period would
162 be insufficient to identify the personality because the performances of different individuals
163 would be biased towards behaviors indicating stress and anxiety, such as wall-jumping,
164 urination and walking forth and back. All four stimulus tests were carried within one day, but
165 each test individual was subjected to only one test series per day. Once the test subject was
166 released into the activity space, it had no visual contact with any other FMD. Experiments were
167 temporarily stopped during inclement weather conditions such as rain or temperatures below
168 20°C.

169

170 **Behavioral records**

171 Video recordings were analyzed off-site using a personal computer and either the frequency or
172 duration of each behavior was determined for each individual during each test. In total 15
173 behavior variables were distinguished (Table 1). Apart from three behaviors that were measured
174 as frequencies (pawing, wall jumping, snorting), all others were established as durations. At the

175 end of the experiments, we determined the shortest distance observed between the test
176 individual and the stimulus during each test using marks on the ground for orientation.

177

178 **Statistical Analysis**

179 Prior to our statistical analyses, durations (or frequencies) of all 16 behaviors and the distance
180 to the stimulus were z-transformed to standardize data dimensionality (mean = 0, SD = 1).

181 Subsequently, the durations (or frequencies) were condensed through a factor reduction
182 procedure (i.e. principal components analysis based on a correlation matrix) using the varimax
183 rotation option. The resulting principal components (PCs) with an eigenvalue > 1.0, were used
184 as explanatory variables to test for statistical differences among the four stimuli (leopard
185 dummy, beach ball, fresh leopard feces, unfamiliar human being) using a Kruskal-Wallis one-
186 way ANOVA. Dunn's multiple pairwise comparisons adjusted by Bonferroni correction, were
187 applied to test for pairwise difference of each behavior between stimuli.

188

189 Previous studies using stimulus tests in mammalian species (ungulates: Romeyer and Bouissou,
190 1992; Bergvall et al., 2011; MacKay et al., 2014), primates: Carter et al., 2012; Blaszczyk, 2017
191 or carnivores: Bremner-Harrison et al., 2017; Myers and Young, 2018) recommended three
192 major reference behaviors (i.e. feeding, sniffing towards the stimulus, and approaching the
193 stimulus) and the distance to the stimulus to be indicative for a bold personality. These
194 recommendations were further supported by the results of our principal component analysis
195 (see below), and therefore used as reference behaviors to assign boldness scores. Individual
196 boldness scores were calculated for each reference behavior and for each novel stimulus, using

197 the following formula: $(B_s = (D - \min) / (\max - \min) / N)$, whereby B_s represents the boldness
198 score, D the mean duration (or frequency), \min the lowest duration (or frequency), \max the
199 highest duration (or frequency), and N the total number of individuals. The resulting values
200 were rounded up to integers and averaged across all reference variables to obtain one boldness
201 score for each male and each novel stimulus. Thereby, we considered the variance in the
202 population, allowing an animal to be ranked differently for a set of reference behaviors and
203 ensuring the top score and the bottom score to be statistically different (Vandenhede and
204 Bouissou, 1993a; b). Boldness scores were tested for differences between novel stimuli using
205 a Kruskal-Wallis ANOVA. Dunn's multiple pairwise comparisons adjusted by Bonferroni
206 correction, were applied to test for pairwise difference of each behavior between stimuli. To
207 test for repeatability, boldness scores were further evaluated using Kendall's coefficient of
208 concordance (Siegel, 1956) determining whether coherence of individual behaviors prevailed
209 between novel stimuli tests.

210

211 To test whether behavioral PC values obtained from Principal Component Analysis and
212 boldness scores corresponded, we used a Spearman rank correlations independently for each
213 stimulus. Based on individual boldness scores, we conducted a hierarchical cluster analysis to
214 visualize the grouping patterns obtained from hierarchical clustering (dendrogram) and to
215 partition the boldness scores of 31 male musk deer into two clusters (i.e. bold and shy). To test
216 for differences between groups obtained from cluster analysis we used a Mann-Whitney U tests.
217 Apart from hierarchical cluster analysis (performed using the 'factoextra package' in R), all
218 other statistical analyses were conducted using SPSS version 22.

219

220 **Ethics approval**

221 This study was approved by the Ethics Committee of Beijing Forestry University, Beijing,
222 China; Pien Tze Huang Pharmaceutical Corporation, Zhangzhou, China; and Pien Tze Huang
223 Forest Musk Deer Breeding Center, Shaanxi, China. This study was also carried out in
224 accordance with the recommendations of the Institution of Animal Care and the Ethics
225 Committee of Beijing Forestry University. All test procedures were performed with the help of
226 an expert veterinarian, and all efforts were made to minimize suffering. All procedures were
227 reviewed and approved by the State Forestry Administration of China and were performed in
228 accordance with the US Animal Welfare Act.

229

230 **Results**

231 **Behavioral responses**

232 Fifteen behavioral responses, observed in 31 male musk deer, were established as mean
233 durations, mean number of events, as the mean shortest distance to the stimulus object and as
234 percentage proportion for each of the four stimuli (Table 2). Behaviors that were performed in
235 less than 50% of all males, included tail rubbing, ruminating, wall-jumping, urination, walking
236 forth & back, pawing and snorting, the latter two only in the stimulus test using an unfamiliar
237 human being.

238

239 **Identification of behaviors indicative for bold or shy personality**

240 The five resulting principle components (PCs) with an eigenvalue > 1.0, explained 62.41% of
241 the total variance (Table 3). PC1 received high positive factor loadings from approaching,
242 sniffing, tail rubbing, defecation and a negative factor loading from distance to the stimuli
243 (Table 3). These behaviors are characteristic for a bold personality as they stand for the curiosity
244 of an individual and its requirement to mark the territory. PC2 received high positive factor
245 loadings from misgiving, walking for & back, urination, wall-jumping, snorting and a negative
246 loading from resting (Table 3). These behaviors are characteristic for a shy personality as they
247 stand for anxiety and a pronounced flight response. PC3 received high positive factor loadings
248 from staring & gazing, urination, pawing, misgiving and urination, also indicating a shy
249 personality (Table 3). PC4 received high positive factor loadings from ruminating, feeding,
250 resting and comfort behavior (Table 3). These behaviors are characteristic for a bold personality
251 as they indicate relaxation and comfort. Lastly, PC5 received high positive factor loadings from
252 staring & gazing, again a behavior that is indicative of fear and carefulness (Table 3).

253

254 **Testing reference variables between stimuli**

255 A Kruskal-Wallis one-way ANOVA revealed a strong significant difference between
256 novel stimuli for all PCs (PC1: $H = 19.01$, $N = 124$, $P < 0.001$, PC2: $H = 13.13$, $N =$
257 124 , $P < 0.001$, PC3: $H = 4.48$, $N = 124$, $P = 0.21 > 0.05$, PC4: $H = 20.45$, $N = 124$, P
258 < 0.001 , PC5: $H = 26.35$, $N = 124$, $P < 0.001$). For PC1, a post-hoc multiple comparison
259 procedure showed that an unfamiliar human being (mean \pm SE: -0.51 ± 0.12) triggered
260 significantly fewer bold responses (approaching, sniffing, tail rubbing, defecation) and
261 larger distances to the stimulus than a leopard dummy (mean \pm SE: 0.24 ± 0.26) or fresh

262 leopard feces (mean \pm SE: 0.37 ± 0.14 ; Fig. 2A). All other pairings did not show
263 significant differences. For PC2, the post-hoc test revealed an unfamiliar human being
264 (mean \pm SE: 0.76 ± 0.30) to trigger significantly more shy behaviors (walking for &
265 back, wall-jumping, snorting) than the leopard dummy (mean \pm SE: -0.27 ± 0.09) or a
266 beach ball (mean \pm SE: -0.27 ± 0.07 ; Fig. 2B), while all other pairings did not show
267 significant difference. For PC3, the post-hoc analysis demonstrated that and the
268 unfamiliar human being (mean \pm SE: 0.59 ± 0.33) triggered stronger responses of shy
269 behavior (pawing, misgiving and urination) than all other stimuli (mean \pm SE leopard
270 dummy: -0.16 ± 0.04 , beach ball: -0.26 ± 0.05 , fresh leopard feces: -0.17 ± 0.04 ; Fig.
271 2C). For PC4, the post-hoc analysis revealed fresh leopard feces (mean \pm SE: $-0.34 \pm$
272 0.11) and an unfamiliar human being (mean \pm SE: -0.38 ± 0.12) to trigger significantly
273 less bold behavior (ruminating, feeding, comfort behavior and resting) than the leopard
274 dummy (mean \pm SE: 0.45 ± 0.25) or the beach ball (mean \pm SE: 0.27 ± 0.16 ; Fig. 2D).
275 No significant differences were detected between the other pairings. For PC5, the post-
276 hoc analysis indicated an unfamiliar human being (mean \pm SE: 0.67 ± 0.26) and fresh
277 leopard feces (mean \pm SE: -0.59 ± 0.08) to trigger significantly stronger shy responses
278 (staring and gazing) than the leopard dummy (mean \pm SE: -0.07 ± 0.14) or the beach
279 ball (mean \pm SE: -0.02 ± 0.12 ; Fig. 2E), while all other pairings did not show significant
280 differences.

281

282 **Boldness scores**

283 Mean (\pm SE) individual boldness scores were 9.91 ± 0.69 for the leopard dummy, 10.30 ± 0.57
284 for the beach ball, 12.01 ± 0.71 for fresh leopard feces, and 8.41 ± 0.74 for the unfamiliar human
285 being. Overall, mean (\pm SE) boldness scores showed a statistically significant difference
286 between the four novel stimuli tests (One-way ANOVA: $F = 4.73$, $N = 31$, $P < 0.01$). A post hoc
287 pairwise comparisons procedure (LSD; $P < 0.05$) revealed the leopard dummy to be
288 significantly different from a strange human being and from fresh leopard feces (Fig. 3).
289 Kendall's coefficient of concordance ($W = 0.468$, $\chi^2_{30} = 80.37$, $P < 0.01$), indicated a
290 coherence between individual boldness scores i.e. the individual boldness ranking of each of
291 the 31 males remained the same, regardless of which stimulus test was used.

292

293 Spearman rank correlations between PC values obtained from Principal Component Analysis
294 and the corresponding boldness score revealed significant positive relations for all variables
295 included in PC1 (sniffing, approaching, tail rubbing, defecation and the nearest distance to the
296 stimulus) across all novel stimuli (Table 4). By contrast, the second PC indicating a bold
297 personality, i.e. PC4 (ruminating, feeding, comfort behavior, resting) did not reveal any
298 correlation with the corresponding boldness score (Table 4).

299 Based on individual boldness scores (established for each stimulus), hierarchical cluster
300 analysis grouped the tested musk deer into two categories. The smaller group consisted of seven
301 males that behaved more boldly, i.e. had higher boldness scores, and a large group with 24
302 individuals, i.e. that had a rather shy personality and lower boldness scores. A dendrogram,
303 based on hierarchical cluster analysis, was created to visualize grouping patterns (Fig. 3).
304 Across all four stimuli, individuals contained in the bold group had higher boldness scores than

305 those grouped into the shy group (Fig. 4). A Mann-Whitney U test revealed, the two groups
306 (bold, shy) obtained from cluster analysis to be significantly different for all four stimuli
307 (leopard dummy: $Z = -2.339$, $P = 0.019$; beach ball: $Z = -2.315$, $P = 0.021$; fresh leopard feces:
308 $Z = -3.757$, $P < 0.001$; unfamiliar human being: $Z = -3.024$, $P < 0.002$).

309

310 **Discussion**

311 In our study, we defined behaviors that were characteristic for a bold or shy personality in male
312 forest musk deer and tested the results for differences between four novel stimuli. Our PCA of
313 behaviors observed during this study, revealed five PCs, of which two (PC1 and PC4) were
314 indicative of a bold personality, while the other three (PC2, PC 3 and PC5) were indicative for
315 a shy personality. The ‘bold PCs’ included behaviors that express curiosity (approaching,
316 sniffing, short distance to the stimulus), that are typically performed during territory marking
317 (e.g. tail rubbing, defecation) or that represent the ordinary daily activity of a ruminant such as
318 feeding, ruminating, resting and comfort behavior (Sheng and Liu 2007). The ‘shy PCs’
319 included behaviors that are usually performed as an anti-predator response (e.g. wall-jumping,
320 snorting, staring and gazing), as a threat (e.g. pawing), or as a combination of both, i.e. a
321 displacement activity as a result of a behavioral conflict between escape and threat (e.g.
322 misgiving, urination, walking for and back). This result was not unexpected since numerous
323 studies reported on behaviors, such as approaching, sniffing, feeding, ruminating and resting,
324 to be indicative of boldness (Fraser et al., 2001; Bremner-Harrison et al., 2004; Brown et al.,
325 2007; Biro et al., 2010; Eriksson et al., 2010; Chapman et al., 2011). Likewise, physical contact
326 with, or close proximity to a stimulus object—in our study represented by the nearest distance

327 to the stimulus—was also described by several studies to indicate boldness (Bergvall et al.,
328 2011; Verdolin and Harper, 2013; Sinn et al., 2014; Blaszczyk, 2017).

329 Testing the ‘bold PCs’ between different novel stimuli revealed that unfamiliar human being
330 and leopard feces (at least in PC4) triggered significantly fewer bold responses and larger
331 distances to the stimulus than a leopard dummy or a beach ball. Leopard dummy and beach ball
332 carried most likely the smell of humans and were not considered a threat since visual and
333 olfactory cognition did not match. By contrast, fresh leopard feces carry the odor of the main
334 natural predator (Wu and Wang, 2006; Sheng and Liu, 2007), while a living human being
335 emanates the odor of a predator and appears like a predator, posing a severe threat to a musk
336 deer and therefore causing the lowest number of bold responses. Vice versa, testing the ‘shy
337 PCs’ between different novel stimuli revealed that unfamiliar human being and leopard feces
338 (at least in PC5) triggered significantly higher rates of shy behaviors than the leopard dummy
339 or the beach ball. This general pattern indicates that the unfamiliar human being (and to a certain
340 degree also fresh leopard feces) had the strongest impact on the behavior of musk deer, and thus
341 making it the most suitable stimulus to distinguish between a shy and a bold personality. Many
342 personality studies on larger mammal species used a human being as the main stimulus
343 (Romeyer and Bouissou, 1992; Vandenheede and Bouissou, 1996; Vandenheede et al., 1998;
344 Janczak et al., 2003; Sibbald et al., 2009; Valsecchi et al., 2009; Meagher et al., 2016; Pierard
345 et al., 2017; Neave et al., 2018; Shahin, 2018). However, most of these studies were carried out
346 on domestic livestock or pets, making a strong stimulus imperative. By contrast, musk deer are
347 very timid and skittish, and a human being represents the most invasive stimulus object,
348 unreasonably stressing the test individual (Wang et al., 2016a). Moreover, testing hundreds of

349 musk deer using unfamiliar human being would be neither time- nor cost-efficient. Since fresh
350 leopard feces also differed significantly from other stimuli, they were also considered suitable
351 to test for boldness (low factor loadings in PC4 and PC5). They could be used as an alternative
352 stimulus, but the obligation to constantly pursue fresh feces from captive leopards appears to
353 be rather challenging. We therefore recommend the use of beach ball, leopard dummy or any
354 other novel object to identify the shyest individuals by focusing on ‘shy PCs’ (high factor
355 loadings in PC2, PC3 and PC5), or on ‘bold PCs’ (high factor loading in PC1 and PC4) to
356 identify the boldest individuals, i.e. those musk deer that keep on feeding, ruminating, sniffing
357 or approaching despite the presence of the novel object.

358

359 In our second approach, we attempted to establish individual boldness scores as a simple
360 method for breeders to distinguish bold from shy personalities. First, we proofed a high
361 coherence of individual boldness since the individual ranking of each male remained the same,
362 regardless of which stimulus test was used. Only if stimulus tests were coherent among each
363 other, repeatability could be confirmed and respective boldness score could be used to indicate
364 boldness (Bremner-Harrison et al., 2004). This was demonstrated by Kendall’s coefficient of
365 concordance, indicating that individuals referred to as being bold when exposed to one stimulus
366 were also classified as bold when exposed to another stimulus and thus reflecting the
367 repeatability of each variable (Bremner-Harrison et al., 2004; Bremner-Harrison et al., 2017).
368 Subsequently, a Spearman rank correlation confirmed a strong correlation between the
369 behavioral PC values of PC1 and the corresponding boldness score for each stimulus,
370 suggesting that behaviors contributing to PC1 are particularly suited to establish the boldness

371 scores.

372

373 Hierarchical cluster analysis grouped boldness scores obtained from reference behaviors (i.e.
374 behaviors contributing to PC1 and PC4) into two groups, a shy group, and a bold group. Across
375 all four stimuli boldness scores were significantly higher in the bold group than in the shy group,
376 thus confirming the grouping pattern suggested by the cluster analysis. The visualization of our
377 data using hierarchical cluster analysis has been proven as a useful tool for musk deer and
378 livestock breeders (Wesley et al., 2012), to easily identify individuals suitable for reintroduction
379 or production. Based on both cluster-analyses, nearly three quarter of tested individuals were
380 regarded as shy, reflecting the nervous and timid character of musk deer. Vice versa, only one
381 quarter of tested male musk deer was classified as bold. Through continued selective breeding
382 towards increased musk yields (i.e., towards bold personalities), this ratio is supposed to be
383 shifted towards bolder individuals with increasing time in captivity. This might be beneficial
384 for musk production but will have adverse effects on successful reintroductions due to reduced
385 individual fitness, inbreeding and domestication effects (McDougall et al., 2006). To avoid such
386 domestication effects (Trut, 1999; Trut and Dugatkin, 2017) and their negative impact on the
387 captive population, it is imperative that a certain number of shy individuals will be included in
388 the commercial breeding of captive stock and only the shyest will be considered for release into
389 the wild.

390

391 **Declarations of interest**

392 None.

393

394 **Acknowledgements**

395 Special thanks are rendered to animal keepers and the management at the Shanxi Pien Tze
396 Huang Forest Musk Deer Breeding Center.

397

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574 **Tables**

575 **Table 1.** Definition, coding, and type of measure of behaviors observed in male forest
576 musk deer during this study.

Behavior	Measure	Definition
Feeding	Duration	Feeding on artificial (pellets) or natural diet (leaves and herbs) offered on the ground, drinking water
Sniffing	Duration	Olfactory sensing of external environment (directed towards the stimulus object or any other direction or object)
Approaching	Duration	Coming closer or near the stimulus object
Resting	Duration	Lying down, bedding, always without rumination
Defecation	Duration	Excretion of feces, digging and scratching to cover feces
Tail-rubbing	Duration	Rubbing the tail on the ground or on the surface of walls or door frames, often accompanied by sniffing, sometimes accompanied by digging soil
Ruminating	Duration	Regurgitation and repeated chewing of partly digested food
Comfort behavior	Duration	Combing or grooming with mouth or hoofs, stretching, yawning, jittering, or shaking
Staring & gazing	Duration	Standing still and staring at the stimulus object for a long time, sometimes with ears rotating, but without ruminating or any other obvious behavior
Pawing	Frequency	Single or repeated stamping toward the stimulus object or pawing with the foreleg
Wall-jumping	Frequency	Repeated violent jumping from the ground onto the wall whereby starting and landing point are the same without any horizontal movement; creates significant fatigue and shortness of breath
Urination	Duration	Urination in short time intervals after stimulation at a casual micturition location; without sniffing or burying behavior
Misgiving	Duration	Hesitant movements, stop-and-go, dragging the front feet
Snorting	Frequency	Sudden and abrupt pressing of air through nostrils, occurs usually as an alert when unsuspected abnormal stimulus occurs, produces a brief but loud wheeze or sneeze, together with standing-still and staring
Walking forth & back	Duration	Individuals walk uniformly back and forth without performing any other behavior, start and turning point are relatively fixed
Distance	Distance	Shortest distance observed between the animal and the stimulus object

577

578 **Table 2.** Mean (\pm SE), range and proportion of 15 behaviors and the nearest distance to the stimulus, observed in 31 male forest musk deer
 579 during four novel stimulus tests.

Behavior code (unit)	Leopard dummy				Beach ball				Fresh leopard feces				Unfamiliar human being			
	Mean	SE	Range	%	Mean	SE	Range	%	Mean	SE	Range	%	Mean	SE	Range	%
Feeding (sec)	208.10	52.90	0-3000	56.46	14.94	35.73	0-1085	58.06	147.31	30.12	0-936	58.07	45.58	18.62	0-946	19.36
Sniffing (sec)	29.44	5.86	0-285	79.03	19.58	5.00	0-193	67.74	38.53	4.94	0-143	91.94	6.04	2.52	0-145	40.33
Approaching (sec)	30.63	4.47	0-167	83.88	17.79	3.34	0-133	74.20	23.48	3.17	0-106	83.87	11.32	3.71	0-125	35.49
Resting (sec)	945.58	145.93	0-3600	56.45	933.16	126.81	0-3211	64.52	384.53	80.23	0-2606	45.16	201.90	68.00	0-2203	16.13
Defecation (sec)	51.11	8.91	0-305	53.23	57.47	7.63	0-231	67.75	69.34	9.73	0-393	70.97	32.09	7.98	0-437	46.78
Tail rubbing (sec)	10.74	3.68	0-119	16.13	5.37	1.95	0-70	14.52	5.15	2.31	0-112	11.29	1.32	1.32	0-82	1.62
Ruminating (sec)	62.60	29.99	0-1695	14.52	50.95	20.94	0-841	14.52	6.77	4.84	0-250	3.23	-	-	-	-
Comfort behav. (sec)	30.82	6.04	0-332	93.55	19.55	3.02	0-108	90.33	21.40	5.58	0-343	95.16	17.95	4.12	0-195	80.65
Staring (sec)	660.63	75.22	22-2557	100.00	722.42	67.19	60-2459	100.00	247.66	25.60	20-994	100.00	1279.82	117.22	0-3600	98.39
Pawing (sec)	-	-	-	-	-	-	-	-	-	-	-	-	3.35	1.41	0-61	14.52
Wall jumping (No.)	0.45	0.27	0-15	8.07	0.73	0.31	0-14	14.52	0.92	0.74	0-45	6.46	20.71	6.03	0-317	46.78
Urination (sec)	1.95	0.88	0-38	9.68	2.94	1.33	0-64	9.68	1.26	0.77	0-40	4.84	22.23	6.05	0-288	43.55
Misgiving (sec)	6.32	1.30	0-46	58.07	5.03	1.20	0-55	59.68	3.71	0.80	0-37	51.61	42.18	7.42	0-278	82.26
Snorting (No.)	-	-	-	-	-	-	-	-	-	-	-	-	39.55	9.67	0-380	66.13
Walking f & b (sec)	5.47	3.85	0-182	3.23	4.95	3.85	0-236	8.06	4.66	2.77	0-140	8.06	60.08	15.07	0-557	38.71
Distance (m)	2.71	0.32	0.1—8	100	3.21	0.28	0.1-8	100	2.11	0.27	0.1-8	100	6.37	0.23	0.4-8	100

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581

582 **Table 3.** Results of Principal Component Analysis of 16 behavioral variables obtained
 583 from 31 adult male forest musk deer. PC loadings > 0.45 are shown in bold font type.

Variable	PC1	PC2	PC3	PC4	PC5
Eigenvalue	4.11	2.26	1.36	1.20	1.06
% of variance	25.66	14.15	8.49	7.49	6.62
Approaching	0.81	-0.07	-0.04	-0.11	-0.11
Sniffing	0.71	-0.19	-0.07	-0.10	-0.15
Tail rubbing	0.71	0.07	-0.06	0.31	0.19
Distance to the stimuli	-0.65	0.36	0.12	-0.04	0.42
Defecation	0.64	-0.01	-0.09	0.08	-0.10
Walking for & back	-0.12	0.88	0.00	-0.09	-0.11
Wall-jumping	-0.09	0.73	0.26	-0.03	0.20
Snorting	-0.23	0.51	0.46	-0.13	-0.20
Pawing	-0.09	-0.03	0.88	-0.01	0.03
Misgiving	-0.14	0.41	0.76	-0.10	-0.04
Urination	0.00	0.21	0.60	-0.06	0.51
Ruminating	0.00	0.00	-0.10	0.73	0.20
Feeding	0.29	-0.04	-0.08	0.53	-0.23
Comfort behavior	-0.02	-0.10	0.08	0.50	-0.25
Resting	-0.32	-0.39	-0.20	0.47	-0.12
Staring & gazing	-0.32	-0.11	-0.01	-0.17	0.80

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586

587 **Table 4.** Spearman rank correlations between behavioral PC values obtained from Principal
 588 Component Analysis (PCA) and the corresponding boldness score for each novel stimulus test.

Behavior PC	Leopard dummy	Beach ball	Fresh leopard feces	Unfamiliar human being
PC1	0.215*	0.258**	0.243**	0.182*
PC2	0.02	-0.08	-0.16	-0.04
PC3	0.09	0.237**	0.14	0.12
PC4	0.09	0.09	0.00	0.09
PC5	0.05	-0.191*	-0.09	-0.13

589 *: $P \leq 0.05$; **: $P \leq 0.01$.

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594 **Figure captions**

595 **Fig. 1. Experimental set-up in the activity space**

596 Experimental set-up in the activity space of a musk deer breeding unit at Pien Tze Huang
597 Forest Musk Deer Breeding Center, Shaanxi Province, China (A), a male musk deer test
598 individual with two novel stimulus objects, i.e. a leopard dummy (B), and a beach ball (C).

599

600 **Fig. 2. Results of five Principal Components**

601 Results of five Principal Components (median \pm interquartile range) obtained from 16
602 behavioral variables presented for four novel stimulus tests (stimulus 1: leopard dummy,
603 stimulus 2: beach ball, stimulus 3: fresh leopard feces, stimulus 4: unfamiliar human being).

604

605 **Fig. 3. Dendrogram obtained from cluster analysis**

606 Dendrogram obtained from hierarchical cluster analysis of individual boldness scores
607 obtained from 31 captive male musk deer, using average linkage (between groups) and
608 combined rescaled distance clusters.

609

610 **Fig. 4. Individual mean boldness scores obtained from cluster analysis**

611 Individual mean boldness scores of two groups of captive male musk deer (bold and shy)
612 obtained from cluster analysis (see Fig 3) and established for each novel stimulus test
613 (leopard dummy, beach ball, fresh leopard feces, and unfamiliar human being).

614