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Experimental and numerical approaches**

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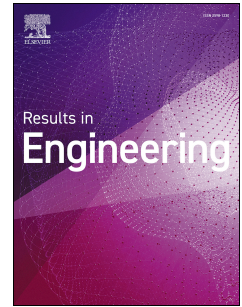
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Investigation of new confined concrete-filled aluminum tube piles: Experimental and numerical approaches

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Abstract

This research aims to introduce and test a Confined Concrete-Filled Aluminum Tube Pile (CCFAT) as an innovative composite pile that embodies a distinctive amalgamation of favourable material characteristics. Experimental tests were carried out to achieve this goal by analysing the vertical and lateral responses of various configurations and slenderness ratios (L_m/D) (ranging from 10 to 20) of CCFAT piles. As a reference group, two traditional piles were also manufactured and tested under identical conditions for comparison purposes. Additionally, the finite element approach was applied to validate the experimental results. The findings indicated that CCFAT piles have either higher or at least equivalent ultimate vertical capacity to that of reference piles. Additionally, the results proved the superior ultimate lateral capacity of the CCFAT piles compared to the reference ones. The results also showed a constant maximum bending moment depth in the CCFAT piles with a L_m/D ratio of 10, with a slight increase observed for CCFAT with a L_m/D ratio of 20 under lateral loading, which could be attributed to the rigidity of the CCAFT piles. Moreover, the outcomes of the finite element analysis indicated that both ultimate vertical and lateral capacities improve with the increase in the number of piles. The sensitivity analysis showed that the dilatancy angle plays the most important role in determining the vertical capacity of the piles, while the lateral capacity was significantly determined by the internal friction angle. Finally, fitted charts were produced and validated in this study to help researchers estimate the ultimate vertical and lateral capacities of CCFAT piles depending on the stiffness of the pile groups.

Keywords: Confined Concrete-Filled Aluminium Tube Pile, Composite pile, pile types, soil chamber, Pile group stiffness.

1. Introduction

Pile foundations are traditionally made from timber, steel, and concrete, offering versatility for different applications [1, 2]. Extensive research was conducted to understand the behaviour of piles in various subsurface conditions, installation methods, and also in marine environments that can give rise to various challenges[3-5]. The parameters studied included timber degradation, steel corrosion, and concrete deterioration caused by marine borer infestation [6]. Generally, the research outcomes showed that traditional materials employed for piling under such rigorous exposure conditions may result in limited operational lifespans and significant financial outlays for maintenance activities.

43 An emerging trend in deep foundations pertains to adopting composite piles, driven by their inherent
44 merits surpassing traditional piles. The term "composite pile" predominantly denotes a structural
45 arrangement comprising a composite tube that is infused with concrete material [7]. This tube
46 functions as an integral structural casing, serving as both a mould for shaping the concrete and
47 augmenting the overall rigidity of the system. Additionally, the composite tube provides a protective
48 barrier against corrosion for the inner concrete core, consequently leading to a significant extension
49 in the operational longevity of the pile units. Research about composite piles has predominantly
50 centred on the individual response of piles when subjected to vertical and lateral loads. Various
51 investigative approaches, encompassing laboratory experimentation, field observations, and
52 numerical simulations, have been employed. Nevertheless, the investigation into the collective
53 behaviour of piles within a group is notably limited, signifying a potentially innovative area for
54 exploration. A review of some types of composite piles is presented in the following sections.

55 The prevalent composite pile system is typically characterised by the incorporation of concrete-filled
56 Fibre-Reinforced Polymer (FRP) piles. Researchers have empirically illustrated that, when subjected to
57 vertical loads, the FRP pile system outperforms comparable prestressed and reinforced concrete
58 structural elements [8, 9]. Giraldo and Rayhani [10, 11] presented an experimental investigation on
59 the performance of concrete-filled FRP piles and hollow FRP piles in clayey and soft clay. Small-scale
60 FRP piles were manufactured and assessed to transfer loading. FRP material and fibre orientation have
61 a significant influence on the vertical capacity, which was reported. At the same time, the lower
62 stiffness of the FRP piles leads to increased pile head displacement under lateral loading compared to
63 steel piles. Lu et al. [12] performed an experimental study to assess the factors that influence the
64 behaviour of FRP piles under vertical and lateral loads in sandy soil. The FRP piles were tested in this
65 experiment in a special pressure chamber. The results showed that the surface roughness, confining
66 pressure, and relative density determined the shearing resistance of the soils and subsequently
67 affected the bearing capacity of the FRP piles under a vertical load. Different types of FRP, pile size, and
68 climate age all had an impact on the flexural stiffness of pile foundation.

69 Despite their commendable load-bearing characteristics, FRP composite piles exhibit certain potential
70 limitations in terms of structural performance, primarily attributed to the relatively low stiffness of the
71 constituent material in the pile tubes. Consequently, researchers endeavoured to enhance the fibre
72 reinforcement by incorporating glass fibres, leading to the designation of these composite piles as
73 Glass-Fibre-Reinforced Polymer piles (GFRP piles). To investigate the interface behaviour of GFRP piles
74 in cohesionless soil, Almallah et al. [13] conducted a study involving the application of a silica sand
75 coating on the surface of these piles. The research employed seven small-scale GFRP piles
76 characterised by varying levels of surface roughness, with a reference steel pile serving as a control
77 element. In this study, the surface of five out of the seven GFRP piles was coated with silica sand. The
78 findings of the study revealed an innovative mechanism wherein the application of a silica sand coating
79 on GFRP piles effectively increased the interface friction between the GFRP piles and the surrounding
80 sand when subjected to axial loads. Consequently, this enhancement contributed to a notable increase
81 in the ultimate load-bearing capacity of the piles, as compared to the control piles.

82 Nonetheless, the increased axial ultimate load-bearing capacity achieved through the reinforcement
83 of the fibre and the application of a sand coating to the surface falls short of providing a comprehensive
84 understanding of the response of heavier piles subjected to lateral loading. Furthermore, the limited
85 stiffness inherent in the constituent material of the tube may continue to govern the lateral response
86 of these piles. Therefore, a thorough investigation into the performance of composite piles under both
87 axial and lateral loading conditions becomes imperative, potentially leading to the incorporation of a
88 novel composite pile variant. Consequently, a dedicated study was conducted, wherein a composite

89 pile composed of stainless steel and filled with standard mortar was fabricated, serving as the
90 experimental specimen, while a hollow steel pile was employed as the reference. A series of
91 experiments were undertaken involving both hollow piles and composite piles embedded within
92 stratified soil, subjected to static axial, and static lateral loads. Various length-to-diameter ratios,
93 specifically 10, 15, 20, 25, and 30, were considered by adjusting the pile length to emulate the behavior
94 of stiff piles. The outcomes of the experimental investigations were subsequently validated through
95 comparison with results obtained from finite element software ABAQUS. The collective findings
96 derived from the experimental assessments and numerical analyses revealed that increasing the
97 length-to-diameter ratios leads to an increase in load-carrying capacity and a concurrent reduction in
98 settlement for both types of pile [14]. While Venkatesan et al. [14] may have successfully addressed
99 the issue of low stiffness within the constituent material of FRP and GFRP, it is noteworthy that existing
100 research has predominantly concentrated on elucidating the performance characteristics of individual
101 composite piles. In practical applications, composite pile groups are more prevalent. Researchers
102 reported that it is essential to recognise that the lateral behavior of pile groups becomes considerably
103 more intricate due to the introduction of inter-pile interactions, which can significantly reduce the
104 collective lateral bearing capacity [15-21].

105 A noticeable research gap persists regarding the behavioural analysis of composite pile groups
106 subjected to both vertical and lateral loads. In order to address this gap of knowledge in the existing
107 literature, the present study endeavours to comprehensively investigate the performance of
108 composite piles, both in singular form and when organised into pile groups, under the influence of
109 vertical and lateral loading. This investigation is conducted through the utilisation of scaled models and
110 finite element simulations. The chosen configuration for the composite pile is a Confined Concrete-
111 Filled Aluminium Tube Pile (CCFAT) pile, which embodies a distinctive amalgamation of the structural
112 advantages offered by aluminium and concrete. CCFAT piles are typically fabricated by encapsulating
113 an aluminium tube with concrete, thereby yielding a composite material characterised by its unique
114 properties. The aluminium component equips the pile with an exceptional strength-to-weight ratio
115 and corrosion resistance, while the concrete component contributes vital compressive strength and
116 structural stiffness. Notwithstanding these notable attributes, it is worth noting that CCFAT piles
117 constitute a relatively promising technology within the domain of geotechnical engineering, and the
118 development of comprehensive design guidelines for their implementation remains an ongoing
119 endeavour. Consequently, it becomes imperative to conduct further research endeavours to elucidate
120 the optimal design and construction methodologies for CCFAT piles and gain a deeper understanding
121 of their response to vertical and lateral loading conditions.

122 This research aims to gain insights into the performance of three different types of piles: Concrete-
123 Filled Aluminium Tube (CCFAT) piles, Hollow Aluminium Tube (HAT) piles, and Precast Concrete (PC)
124 piles. The study uses laboratory tests to compare the vertical and lateral performance of these pile
125 types. Thereafter, the researchers conducted finite element (FE) analysis to further investigate the
126 response of CCFAT pile foundations under vertical and lateral loading conditions. This involved
127 validating the FE model and then using it to study larger pile groups. Based on the FE results,
128 expressions have been proposed to determine the vertical and lateral stiffness of pile groups, taking
129 into account the number of piles. The study also explores the load transfer mechanisms of the different
130 pile configurations under vertical and lateral loads. Finally, sensitivity analyses have been performed
131 to determine the influencing parameters on the vertical and lateral response of CCFAT pile group
132 foundations.

133

134 2. Experimental setup and instrumentation

135 2.1. Pile models

136 Experimentally, 12 CCFAT piles and two traditional types of piles (reference groups), namely hollow
 137 aluminium tube (HAT) piles and precast concrete (PC) piles, were prepared for the experimental work.
 138 Table 1 lists the configurations of the piles, as shown in Figure 1. The CCFAT piles were fabricated using
 139 aluminium tubes (38.1 mm in diameter and wall thickness of 1.6 mm) filled with concrete (having
 140 a compressive strength of $f_c = 30$ MPa). The lengths of CCFAT piles were chosen to maintain
 141 slenderness ratios (embedment length-to-diameter) of 10, 15 and 20 [22]. The dimensions of the
 142 aluminium tube were selected based on commercially available measurements to meet the required
 143 slenderness ratios while minimizing boundary effects related to the rig dimensions. The concrete mix
 144 design was optimized to ensure adequate workability and compaction, aligning closely with the
 145 material properties recommended for both aluminium and concrete, as noted by [23]. Experimentally,
 146 single and two-group configurations i.e., 2x1 and 2x2 pile groups, were tested under vertical and lateral
 147 loading schemes.

148 Aluminium plates of 20 mm in thickness were used to fabricate pile caps according to the desired
 149 dimensions and then drilled to match the configuration of the pile. The distances, centre-to-centre,
 150 between piles in each group were three times the pile diameter ($S = 3D$). The dimensions of pile caps
 151 for models pile single, 1x2, and 2x2 were 100x100mm, 200x100mm, and 200x200mm respectively.
 152 Figure 2 shows the CCFAT pile details and the pile caps dimensions. Other studies have indicated that
 153 the optimal center-to-center spacing between piles within a group is equivalent to three times the
 154 diameter of the pile [24-26].

155 It is noteworthy to highlight that HAT and PC piles were manufactured using the same aluminium
 156 tubes and concrete used to manufacture the CCFAT piles, respectively. The lengths of HAT and PC piles
 157 were chosen to maintain a L_m/D ratio of 10, and they were set up as a 2x1 pile group configuration,
 158 and the piles' caps had the exact dimensions and specifications of those used with the CCFAT piles.

159

Table 1. Configuration of pile models

Pile configuration	L_m/D	Pile diameter, D (mm)	Pile spacing S/D	Pile type
Single	10	38.1	-	CCFAT pile
	15	38.1	-	CCFAT pile
	20	38.1	-	CCFAT pile
2x1	10	38.1	3	CCFAT pile
	15	38.1	3	CCFAT pile
	20	38.1	3	CCFAT pile
2x2	10	38.1	3	HAT pile
	10	38.1	3	PC pile
	10	38.1	3	CCFAT pile
2x2	15	38.1	3	CCFAT pile
	20	38.1	3	CCFAT pile

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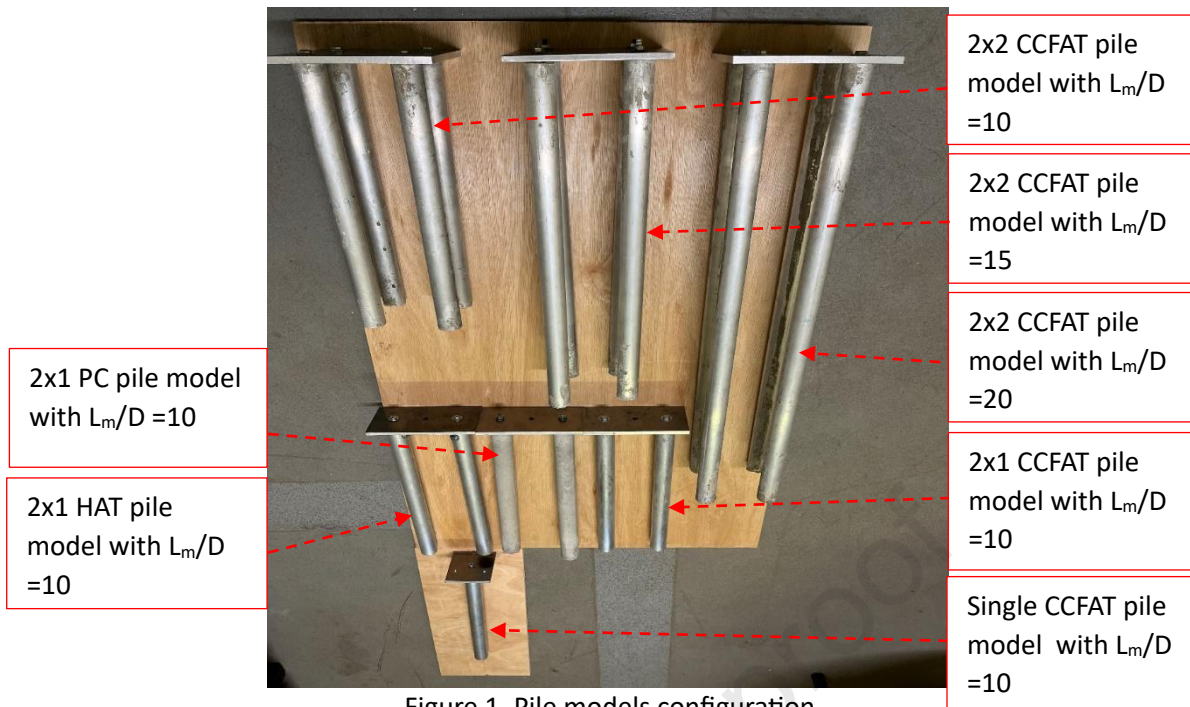


Figure 1. Pile models configuration

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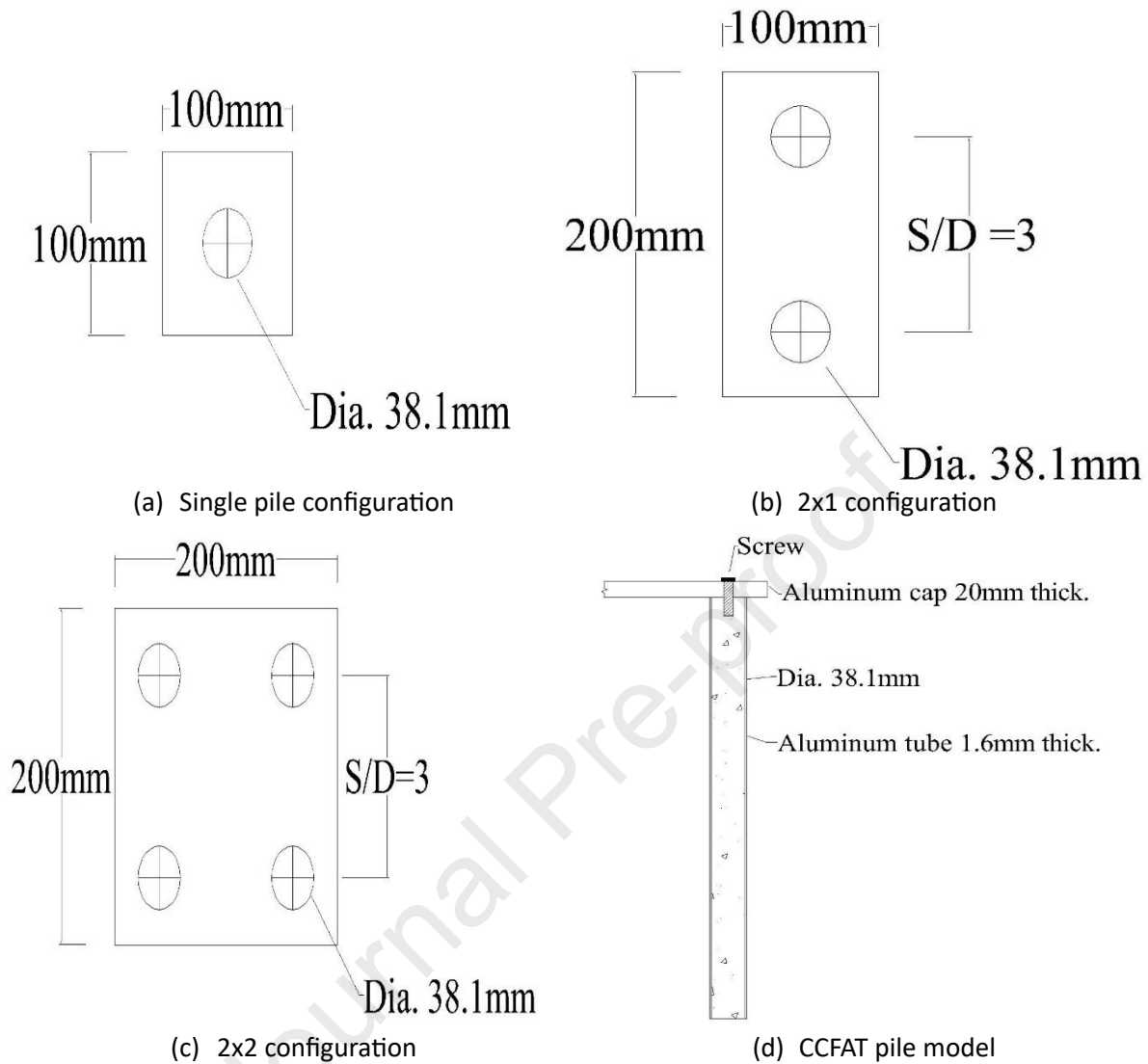


Figure 2. Pile caps dimensions and CCFAT pile detail

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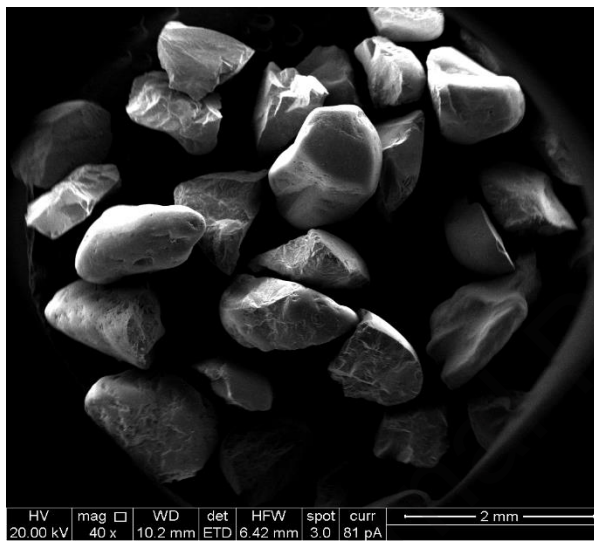
174 2.2. Soil properties

175 In this study, fine-grained loose sand, obtained from a local supplier, was utilized as the primary
 176 material. Figure 3a illustrates the utilisation of scanning electron microscopy (SEM) at a 40x
 177 magnification with a working distance (WD) of 10.2 mm for the examination of the morphology of
 178 sand within the experimental framework. The observations revealed that the sand particles exhibited
 179 a sub-rounded morphology, which contributes to an elevated unit weight compared to fully rounded
 180 particles. Essential sand sample characteristics, such as classification, and specific gravity were
 181 determined in accordance with the guidelines outlined in BS EN 1377-2:2022 [27] Figure 3b graphically
 182 depicts the particle size distribution of the sand. According to the Unified Soil Classification System
 183 (USCS), the utilised sand material may be categorised as poorly graded (SP). The sample's Coefficient
 184 of Curvature (C_c) and Coefficient of Uniformity (C_u) were determined to be 1.11 and 1.9, respectively.
 185 The sand density was verified using the known weight and volume of a small mold. After vibrating the
 186 sand, its specific density was determined. The following equation was used to establish the sand test
 187 beds.

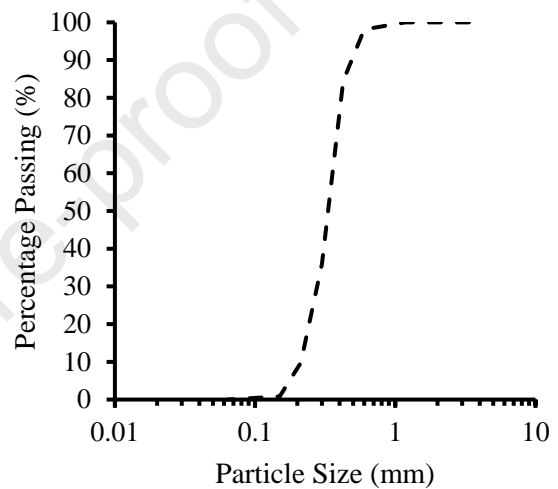
$$D_r = \frac{\gamma_{max}(\gamma_d - \gamma_{min})}{\gamma_d(\gamma_{max} - \gamma_{min})} \quad (1)$$

188

189 Here, D_r is the relative density of sand and γ_{max} , γ_{min} , and γ_d are the maximum, minimum, and dry
 190 density for sand (kN/m^3), respectively. the density of sand was used to analyze the influence of sand
 191 on the CCFAT piles model response. that density was 16.065 kN/m^3 , which represents a relative density
 192 (D_r) of 30%. To address scale factor challenges and accurately replicate in-situ pile-load testing, it is
 193 essential to preserve the influence of grain size distribution on the combined pile-soil interaction. this
 194 research maintained a ratio of 112 between the diameter of the pile and the diameter of the sand
 195 medium (D/D_{50}). Recommendations by various researchers stipulate a minimum ratio of 60 for the pile
 196 diameter (D) to the medium diameter of the sand (D_{50}) [28]. However, Garnier et al [29] proposed a
 197 lower threshold value for the ratio at 100.



(a) SEM for sand sample, HV=20.00kV,
mag=40x, WD= 10.2 mm



(b) Distribution of sand particles according to
size

198

Figure 3. Sand properties

199 2.3. Soil preparation

200 The pouring and tamping technique was adapted in this stage of the study to lay sand in the test
 201 machine; the sand was layered, and each layer was tamped to achieve the desired relative density (D_r)
 202 of 30% [30-35]. Practically, the layering of the sand soil was carried out firstly by dividing the height of
 203 the chamber into 50 mm layers. Secondly, the sand with a previously estimated and weighed quantity
 204 was transferred to the testing chamber using a scoop. Thirdly, a hand compactor was used to compact
 205 each single layer to the desired depth. To achieve the desired result of relative density, the scoop was
 206 placed as close as possible to the surface of the previous sand layer. The surface of the granular soil
 207 layer was levelled horizontally using a water balance. The density of each sand layer was evaluated by
 208 positioning five containers. The results demonstrated that the variation in density was nearly
 209 insignificant.

210

211

212

213 2.4. Testing rig setup

214 The experimental apparatus comprises a square-sectioned enclosure soil chamber, which was
215 designed and constructed at Liverpool John Moores University (LJMU). The dimensions of the chamber
216 are 900 mm (W) x 900 mm (L) x 1250 mm (H). The experimental rig was configured to accommodate
217 the application of both vertical and lateral loads to either individual or pile group models. To administer
218 vertical loads to the singular pile or pile group models, a hydraulic ram was securely affixed to two
219 structural beams within the soil chamber, with the hydraulic ram positioned atop a reaction beam
220 measuring 150 mm x 75 mm x 18 mm (U-shaped profile). In addition to vertical loading capabilities,
221 the testing rig was also equipped to apply lateral loads. For the purpose of lateral load tests, a
222 dedicated horizontal reaction beam was custom-fabricated to furnish the requisite reaction force
223 against the applied lateral loads acting upon the single pile or pile groups model. The lateral loads are
224 also administered using a hydraulic ram identical to the one used for vertical loading.

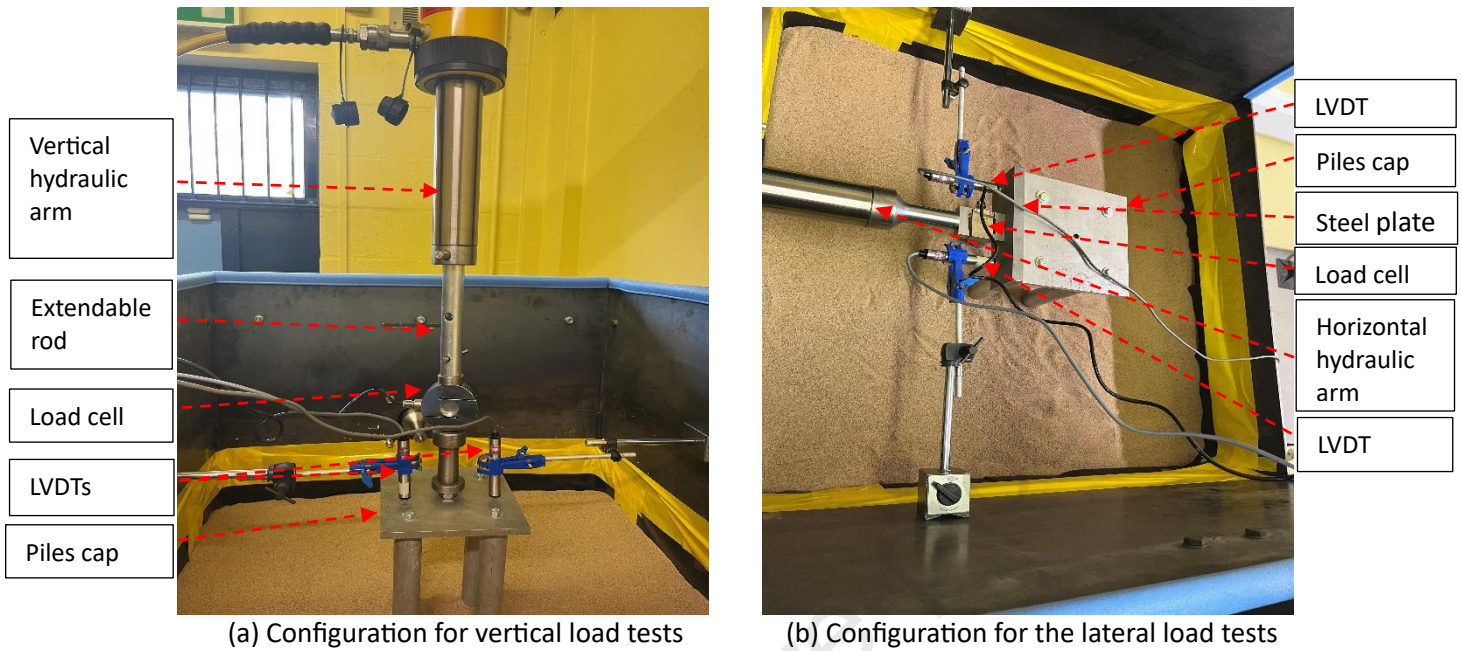
225 2.5. Experimental setup

226 The schematic representation of the experimental arrangement employed for conducting vertical load
227 tests on the pile models is depicted in Figure 4a. The vertical loading system encompasses a precisely
228 calibrated load cell attached to the apex of the pile model cap, linked to an adjustable pin with a series
229 of perforations along an extendable rod spanning up to 1.5 m. This rod was securely fastened to a
230 vertical hydraulic arm, and the hydraulic pump is responsible for administering the vertical load. Two
231 linearly variable differential transducers (LVDTs) were strategically positioned equidistant from the
232 centre of the model to monitor the vertical displacement of the pile cap during loading. A 16-bit
233 resolution data acquisition system was employed for recording both the vertical load and associated
234 movement. It is noteworthy that the pile models underwent driving to specific depths, attaining staffed
235 required (L_m/D) ratios of 10, 15, and 20, utilising the identical vertical hydraulic loading mechanism.
236 The total pile length was defined as the sum of the embedment length and an additional freestanding
237 length of 150 mm to prevent soil contact with the pile cap. This approach ensures that the bearing
238 capacity of the pile, as determined through testing, is solely attributed to soil-pile interaction,
239 eliminating any influence from direct load transfer to the soil surface.

240 In the lateral load system, the load cell, accompanied by the adjustable pile, was connected to a
241 hydraulic arm oriented horizontally towards the pile model head. To mitigate rotational effects on the
242 pile model cap induced by lateral load, a steel plate measuring (200 mm x 100 mm) was interposed
243 between the load cell and the pile model cap. Concurrently, two horizontal LVDTs were employed to
244 monitor the lateral displacement. The lateral load, administered by a hydraulic pump connected to the
245 horizontal hydraulic arm, and the resulting displacement were both recorded using the identical data
246 acquisition system as employed in the vertical load and displacement experiments. The overall layout
247 of the experimental configuration for the lateral load tests conducted on the pile models is illustrated
248 in Figure 4b.

249 Moreover, an array of strain gauges was implemented across various models of CCFAT piles to gauge
250 the bending moment during lateral load testing. It may be stated that CCFAT piles present a viable
251 alternative owing to their inherent stiffness. The selected pile configurations comprised single CCFAT
252 piles with an L_m/D of 10 and 20, facilitating an examination of bending moments across different
253 slenderness ratios within CCFAT piles. Additionally, a 2x2 pile group with a L_m/D of 15 was employed
254 to investigate the bending moment variation within the pile group. The term "pile row" designates
255 piles aligned perpendicular to the direction of lateral load application. Notably, the assumption of
256 identical responses among piles in each row, as posited by Rollins, Peterson and Weaver [36] led to the
257 instrumentation of strain gauges solely on one pile per row. Each individual pile model was equipped

258 with six strain gauges on its outer surface, evenly spaced at vertical intervals from the base as shown
 259 in Figure 5. Furthermore, the data acquisition system utilised for strain recording was the 800SM with
 260 8 channels, capturing strains along the embedded length of the pile.



262

263

264 3. Results and Discussion

265 3.1. Experimental Investigation

266 3.1.1. Comparison between CCFAT and traditional pile models

267 Figure 6a illustrates the variation of vertical load versus settlement of CCFAT, HAT, and PC piles
 268 with 2x1 configuration in loose sand conditions ($D_r = 30\%$), for an L_m/D ratio of 10. It is
 269 noteworthy that traditional piles achieve ultimate vertical bearing capacity when the vertical

270 load induces a vertical settlement equal to 10% of the diameter of the pile (British Standards
271 Institute, 2020). In this study, the ultimate vertical capacity for all the foundation types has
272 been defined as the settlement that corresponds to 10% of the diameter of the foundation.
273 From Figure 6a, at a smaller magnitude of vertical load, for CCFAT, PC and HAT piles, the
274 settlement is noted to increase almost linearly, beyond which the settlement is noted to
275 increase in a non-linear manner, characterised by a more pronounced slope. The vertical load
276 tests revealed comparable behavior between the CCFAT pile and PC pile models, with both
277 exhibiting similar trends. The ultimate vertical capacities (P_{uv}) for CCFAT, PC and HAT pile
278 models were found to be 781.62, 778.80 and 432.40 N, respectively. Notably, the CCFAT pile
279 model exhibited higher vertical load-carrying capacity when compared with the HAT pile
280 model. The ultimate vertical bearing capacity, obtained for the CCFAT pile is nearly twice the
281 ultimate vertical bearing capacity observed for the HAT pile model. The vertical load-
282 settlement curve shows that the CCFAT pile model exhibited a rapid resistance increase, which
283 can be attributed to the early mobilisation of bearing capacity. This suggests a substantial
284 influence of bearing capacity on the performance of pile foundations under vertical loads. In
285 terms of total vertical load capacity (P_{TV}), representing the peak load recorded at the
286 termination of vertical load versus vertical settlement curves, the CCFAT, PC and HAT pile
287 models exhibited capacities of 2708.64 N, 2956.80 N and 1674.80 N, respectively. This
288 prolonged duration was chosen to observe the complete behavior of the pile under the
289 substantial vertical settlement and ascertain the total vertical load capacity.

290 Figure 6(b) depicts the lateral load versus lateral displacement derived obtained from the
291 lateral load test. For various foundations under lateral loads, the ultimate lateral load capacity
292 is defined as the lateral loads corresponding to a pile head lateral displacement of 10% of the
293 diameter of the pile, in accordance with the proposition by Randolph (2003). From figure 6(b),
294 with increasing lateral load values, the response of the pile group undergoes rapid increments
295 during the initial elastic stage, transitioning into the plastic stage after reaching critical points
296 where the slopes of the curves undergo significant changes. Notably, the CCFAT pile model
297 distinguishes itself from both the PC and HAT pile models, showcasing superior lateral load-
298 carrying capacity, potentially attributable to its high stiffness. The aluminium component
299 provides the pile with an exceptional strength-to-weight ratio and serves as a mold for the
300 concrete, while the concrete contributes crucial compressive strength and enhances the
301 overall structural stiffness. The ultimate lateral capacity (P_{ul}) for the CCFAT, HAT, and PC models
302 are obtained as 318.35 N, 126.45 N, and 211.12 N respectively. The CCFAT pile model
303 demonstrated a respective increase of approximately 1.5 times and 2.5 times in the ultimate
304 lateral bearing capacity (P_{ul}) compared to the PC and HAT models, respectively. It is
305 noteworthy that, in this study, the lateral load test extended until the pile head displacement
306 reached approximately 25 mm. This prolonged duration was chosen to observe the complete
307 behavior of the pile under substantial lateral deflection and ascertain the total lateral load
308 capacity (P_{TL}), which amounted to 474.07 N, 303.44 N, and 400.07 N for the CCFAT, HAT, and
309 PC pile models, respectively. The behavior of piles under lateral loading is conventionally
310 governed by the response of soil and the stiffness of the piles [37-39].

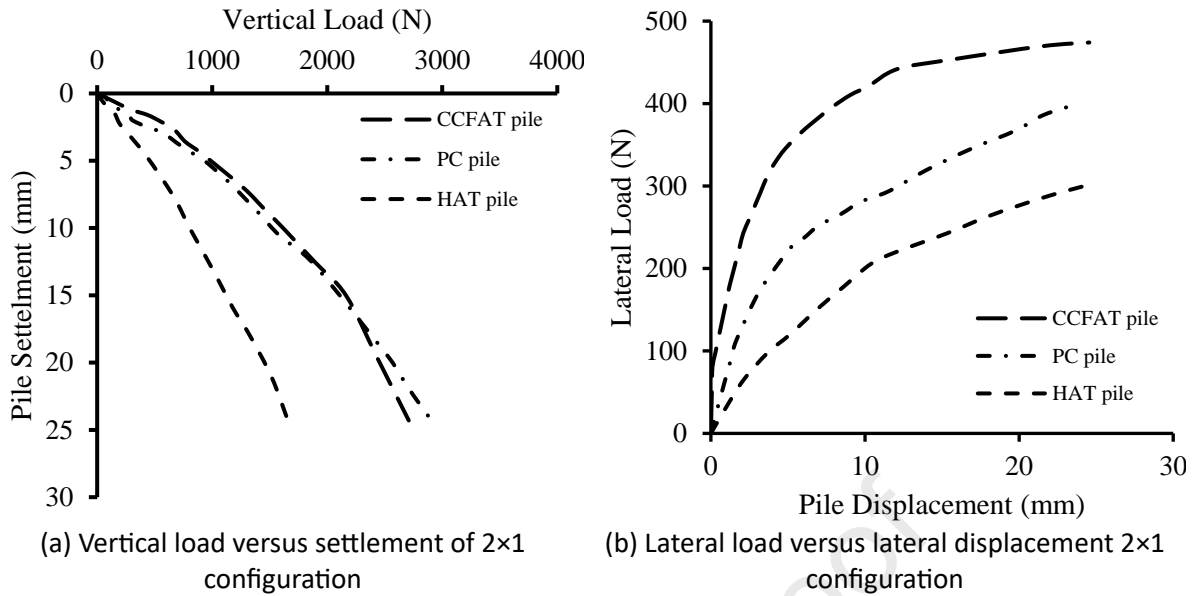


Figure 6. Comparing CCFAT pile and traditional pile models under vertical load

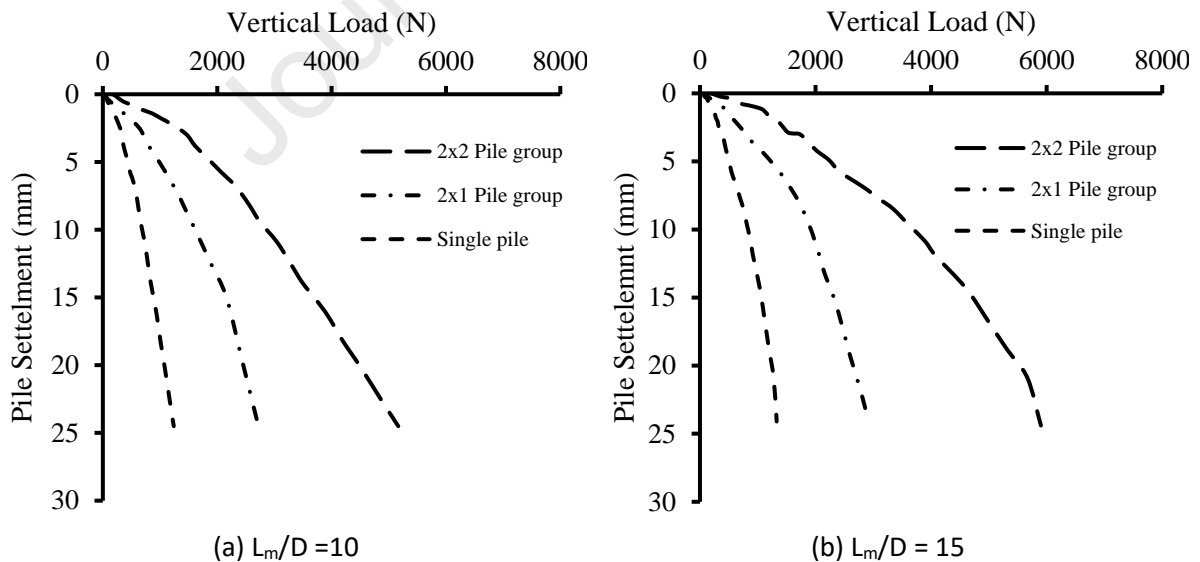
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313 3.1.2. Vertical capacity of CCFAT pile

314 The application of vertical load testing encompassed CCFAT single, 2x1, and 2x2 pile models,
 315 featuring a centre-to-centre spacing equivalent to three pile diameters. The testing protocol
 316 incorporated model piles with L_m/D ratios of 10, 15, and 20, with a pile diameter of 38.1 mm
 317 shown in Figures 7 (a-c).

318



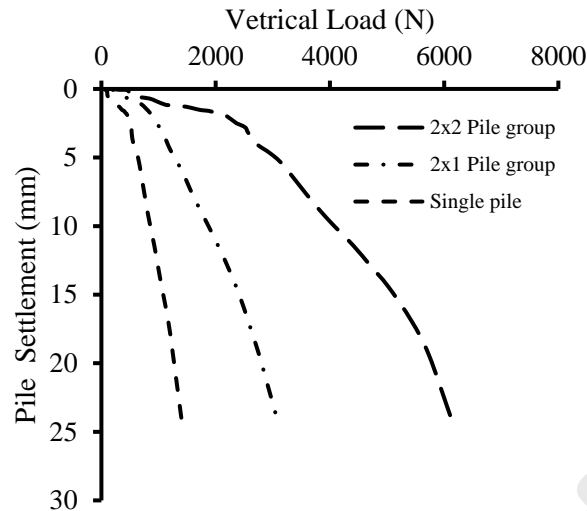
(c) $L_m/D = 20$

Figure 7. Vertical load versus pile head settlement for CCFAT pile model

319

320 The graphical representation in Figure 7 elucidates the relationship between vertical load capacity
 321 variation and pile head settlement curves for CCFAT single, 2x1, and 2x2 pile models with L_m/D ratios
 322 of 10, 15, and 20.

323 From Figure 7a, for L_m/D value of 10, the ultimate vertical capacity of a single pile is obtained as 369.88
 324 N. For the same aspect ratio, the ultimate vertical capacities of the 2x1 pile group and 2x2 pile group
 325 are obtained as 781.62 and 1611.60 N, respectively. For the same aspect ratio, for single, 2x1 pile group
 326 and 2x2 pile group, the total vertical capacities are obtained as 1240.01, 2708.64 and 5166.68 N,
 327 respectively. From Figure 7b, for the L_m/D value of 15, the ultimate vertical capacities of single, 2x1 and
 328 2x2 pile groups are obtained as 438.63, 892.17 and 1919.25 N, respectively. For the same aspect ratio,
 329 the total vertical bearing capacities are obtained as 1327.72, 2916.47 and 5900.98 N, respectively for
 330 single, 2x1 and 2x2 pile groups. The ultimate vertical capacities for L_m/D value of 20 (from Figure 7c)
 331 and for single, 2x1 and 2x2 pile groups are obtained as 539.90, 1109.44 and 2562.75 N, respectively.
 332 For the same aspect ratios, for single, 2x1 and 2x2 pile groups, the total vertical capacities are obtained
 333 as 1419.53, 3102.62 and 6140.61 N, respectively. From the above graph, the maximum ultimate and
 334 total vertical capacity is observed for the 2x2 pile group followed by the 2x1 pile group and single pile
 335 group.

336 Notably, a consistent trend is observed across all models, wherein an increase in L_m/D corresponds to
 337 an increased vertical capacity. This observed phenomenon is attributed to increased overburden
 338 pressure, resulting in an improved mobilised friction resistance developed within the connecting zone
 339 of influence in soil-pile interactions. Moreover, the ultimate vertical capacity (P_{uv}) exhibits a noticeable
 340 improvement with an increasing number of piles. Importantly, it is noteworthy that the P_{uv} experiences
 341 a larger rate of increase with the pile number. The phenomenon of improvement P_{uv} is ascribed to the
 342 intensified sand densification occurring during the driving of piles within a larger group, while
 343 interaction may cause an opposite effect for the case it seems the densification plays the greater role
 344 in increasing the pile to 1X2 and 2x2. To have a better understanding of this phenomenon, the pile
 345 group stiffness factor under vertical load (η_v) is introduced. Qu et al. [40] suggested a formal for
 346 estimating η_v .

$$\eta_v = \frac{P_{uvg}}{P_{uvs} \times N} \quad (2)$$

347 In the context of the presented equations, P_{uvg} and P_{uvs} represent the ultimate vertical capacity of the
 348 pile group and a single pile, respectively, and N denotes the number of piles within the group. The
 349 response of an individual pile within a group differs from that of an isolated pile, especially under
 350 vertical loads applied to the shafts. The settlement of one pile in a group induces a settling effect on
 351 the adjacent piles, leading to a collective settlement of the group. However, Other studies [41, 42]
 352 suggest that η_v is typically estimated based on factors such as pile spacing, soil conditions, the number
 353 of piles, and the pile diameter.

354 The outcomes of the vertical tests for all CCFAT pile models are summarised in Table 2. Notably, the
 355 values of η_v surpass 1.0, and there is an observable increase in η_v with a concurrent rise in the number
 356 of piles [43]. For instance, in the case of CCFAT 2x1 and 2x2 models with a L_m/D ratio of 10, the η_v
 357 values were 1.06 and 1.09, respectively. A comparable trend is observed with other aspect ratios,
 358 predominantly contributing to the higher ultimate and overall vertical load capacities.

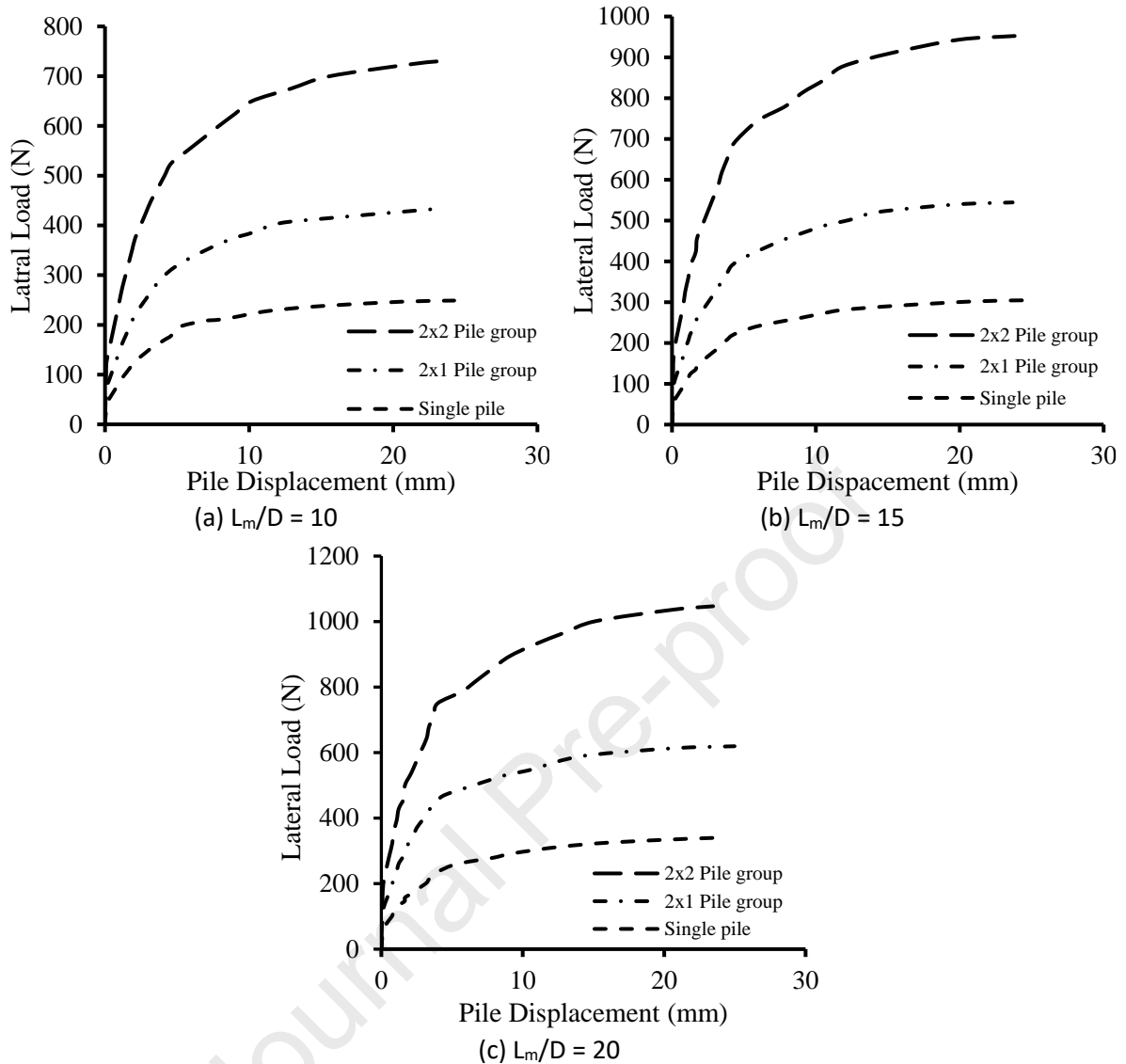
359 Table 2. Pile group stiffness CCFAT pile models under vertical loading

Model details	L_m/D	η_v
Single	10	-
2x1 pile group	10	1.06
2x2 pile group	10	1.09
Single	15	-
2x1 pile group	15	1.02
2x2 pile group	15	1.09
Single	20	-
2x1 pile group	20	1.03
2x2 pile group	20	1.19

360

361 3.1.3. Lateral capacity of CCFAT pile

362 The lateral load testing was conducted on various configurations of CCFAT pile models, including single
 363 piles, 2x1 arrangements, and 2x2 configurations. These tests utilized a center-to-center spacing equal
 364 to three times the diameter of the piles. Additionally, the experimental setup involved model piles with
 365 length-to-diameter ratios (L_m/D) of 10, 15, and 20 with a pile diameter of 38.1 mm.



366 Figure 8. Total lateral load and pile head vertical settlement curves of CCFAT pile model

367 Figure 8 illustrates the variation of lateral load versus pile head lateral displacement for single, 2×1 and
 368 2×2 pile groups for L_m/D ratios of 10, 15 and 20. For all the geometries considered in the study, the
 369 lateral capacity is noted to increase in near-linear manner upto small pile displacement. Beyond a
 370 certain limit, the lateral capacity is noted to increase non-linearly up to the ultimate condition. This
 371 nonlinear behavior may be ascribed to the likelihood of inelastic dilatancy, causing destabilisation in
 372 the strain field and resulting in the localisation of plasticity. The movement of sand particles towards
 373 a more stable arrangement during various deformation stages exacerbates the development of plastic
 374 strain, as indicated by Li et al. [44].

375 The variation is noted to be similar for all the configurations and aspect ratios considered in the study.
 376 From Figure 8a, the ultimate lateral capacity of a single pile for L_m/D of 10 is obtained as 164.98 N. For
 377 the aspect ratio, the ultimate lateral capacity is obtained as 291.77 and 483.86 N, respectively, for 2×1
 378 and 2×2 pile groups, respectively. For the same aspect ratio, the total lateral capacities are obtained
 379 as 248.88, 433.73 and 732.00 N, respectively for single, 2×1 and 2×2 pile groups. From Figure 8b, in
 380 the case of L_m/D ratio of 15, the ultimate lateral capacities are obtained as 207.16, 369.90 and 646.50
 381 N, for single, 2×1 and 2×2 pile groups, respectively. For the same aspect ratio, the total lateral capacities
 382 are obtained as 304.75, 544.46 and 952.33 for single, 2×1 and 2×2 pile groups, respectively. For L_m/D

383 of 20 (from Figure 8c), for single, 2×1 and 2×2 pile groups, the ultimate lateral capacities are obtained
 384 as 230.82, 439.77 and 743.76 N, respectively. From the same figure (8c), the total lateral capacities of
 385 L_m/D are obtained as 340.20, 619.38 and 1046.76 N, respectively, for single, 2×1 and 2×2 pile groups.
 386 From the study, the maximum ultimate and total capacities are obtained for 2×2 pile group followed
 387 by 2×1 pile group and single pile.

388 In the examination of the influence of L_m/D , it was observed that, for the same number of piles, models
 389 with longer pile conditions tend to exhibit a larger ultimate capacity compared to those with shorter
 390 pile conditions, and the initial stiffness was generally improved. This phenomenon can be attributed
 391 to the increase in passive resistance with the elongation of pile length. While the ultimate lateral
 392 capacity (P_{ul}) was significantly enhanced with an increase in the number of piles, this enhancement
 393 occurs at an increasing rate. This observation is likely due to the influence of pile shadowing within the
 394 pile group [20]. The presence of neighbouring piles reduces the soil resistance applied to individual
 395 piles, leading to an overlap of failure zones as piles move laterally under external loads. Consequently,
 396 the surrounding soil loses portions of its resistance, resulting in a diminished lateral capacity compared
 397 to the situation with a single pile, as elucidated by Gao and Zhao [45].

398 To delve further into these effects, the pile group stiffness factor under lateral load (η_l) is introduced,
 399 with its estimation following the methodology proposed by Wang, Li and Li [20].

$$\eta_l = \frac{P_{ulg}}{P_{uls} \times N} \quad (3)$$

400

401 In the context of the equations presented, P_{ulg} and P_{uls} represent the ultimate lateral capacity of the
 402 pile group and a single pile, respectively, while N denotes the number of piles within the group. The
 403 outcomes of the lateral tests for all CCFAT pile models are summarised in Table 3. It is noteworthy that
 404 the values of η_l were below 1.0, and there was an observed decreasing rate with the increase in the
 405 number of piles. For instance, in the case of CCFAT 2x1 and 2x2 models with a L_m/D ratio of 10, the η_l
 406 values were 0.88 and 0.73, respectively.

407

Table 3. Experimental tests for all CCFAT pile models under lateral loading

CCFAT pile model	(L_m/D)	η_l
Single	10	-
2x1 pile group	10	0.88
2x2 pile group	10	0.73
Single	15	-
2x1 pile group	15	0.89
2x2 pile group	15	0.78
Single	20	-
2x1 pile group	20	0.95
2x2 pile group	20	0.81

408

409 3.1.4. Bending moment along the embedment length

410 The calculation of the bending moment along each distinct instrumented pile model is achievable
 411 through analysis of the readings obtained from the strain gauges strategically positioned along the
 412 embedded length of the pile model. In accordance with the principles elucidated in the theory of
 413 elasticity and Hooke's law [46], the induced moment within the pile section is functionally linked to
 414 the measured strain values recorded by the strain gauges, as denoted by the following equation:

$$M = (EI)p \frac{\epsilon}{r} \quad (4)$$

$$(EI)p \text{ for CCFAT piles} = E_a I_a + K_e \times (E_c I_c) \quad (5)$$

415

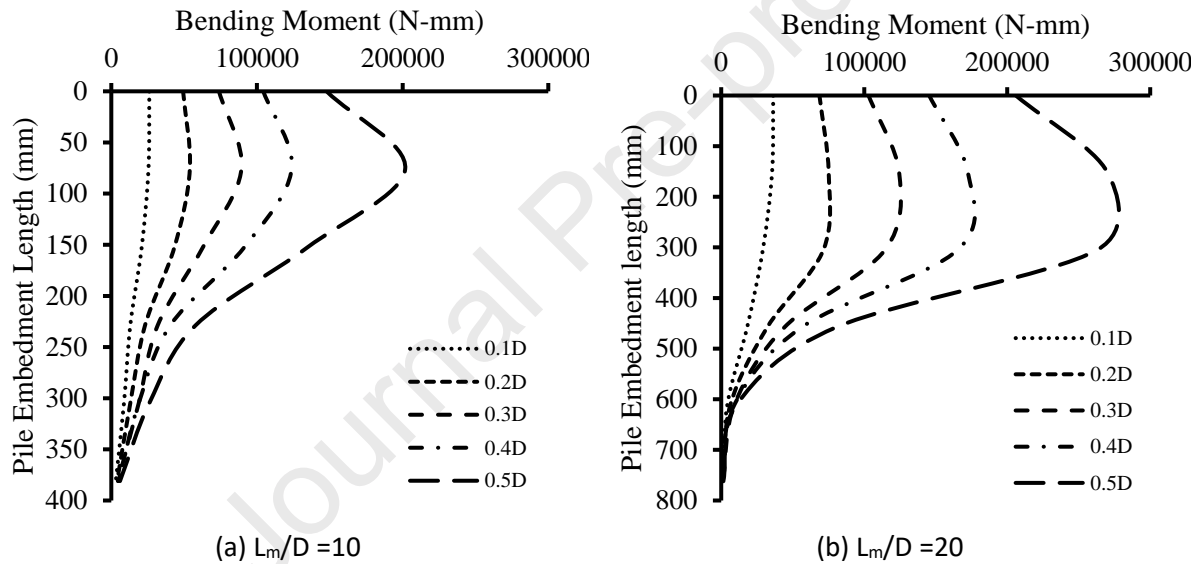
416 Herein, E_a and E_c denote the modulus of elasticity for the aluminium tube and concrete infill,
 417 respectively, while I_a and I_c represent the moment of inertia pertaining to the aluminium tube and
 418 concrete infill, respectively. K_e is denoted as the correction factor for concrete and is equal to 0.6 [23,
 419 47].

420 E_c can be calculated as:[23, 48]

$$E_c = 22000 \left(\frac{f_c + 8}{10} \right)^{0.3} \quad (6)$$

421 Here, f_c is the concrete cube compressive strength=30MPa.

422 The variable ϵ is defined as the peak recorded strain observed in the strain gauges, and ' r ' signifies
 423 the outer radius of the CCFAT pile.



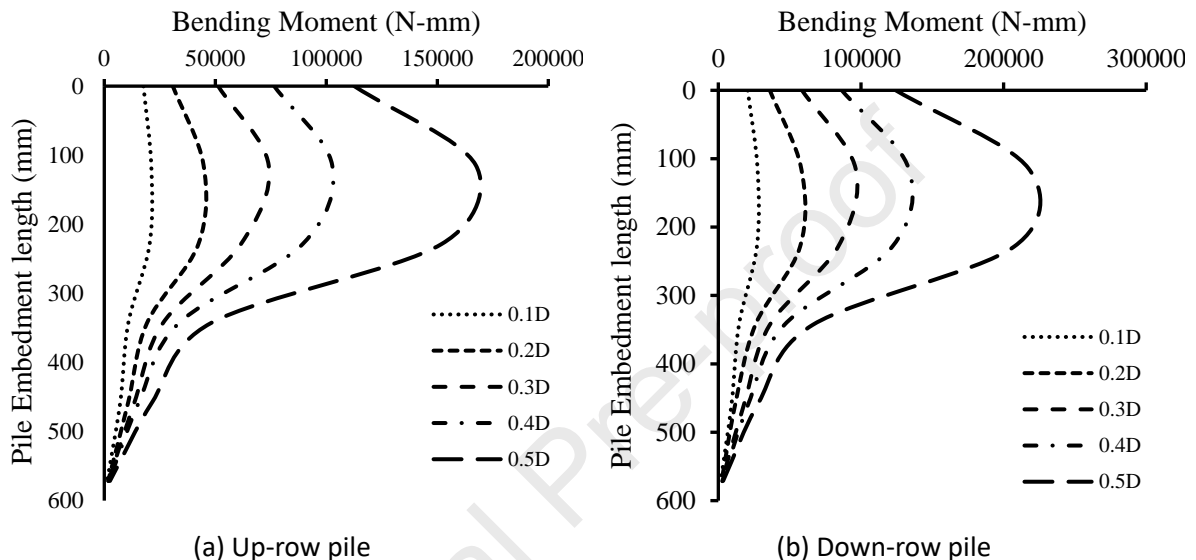
424

Figure 9. Bending moment profile for single CCFAT pile

425 Figure 9 (a and b) illustrates the evolution of the bending moment profile in response to pile head
 426 displacement for a singular CCFAT pile, with respective aspect ratios of 10 and 20. The bending moment
 427 exhibits a consistent upward trend with increasing applied load across all scenarios. Notably, the
 428 bending moment values were maximum at the midline level, followed by a gradual decrease with
 429 depth in a parabolic manner along with embedment length.

430 From Figure 9(a), for L_m/D ratio of 10, the lateral loads were applied corresponding to 0.1, 0.2, 0.3, 0.4
 431 and 0.5 times the diameter of the pile (D) and the corresponding bending moment variation along the
 432 embedment depth has been recorded. The maximum bending moments for a single CCFAT pile,
 433 obtained at the mud line for 0.1D, 0.2D, 0.3D, 0.4D and 0.5D are 26086.07, 93512.02, 73912.54,
 434 104347.11 and 147825.08 N-mm, respectively. From Figure 9(b), for the same pile configuration, as
 435 the L_m/D value is increased to 20, the maximum bending moments obtained at the mud line level are
 436 36363.38, 75151.01, 124443.59, 145453.55 and 206059.19 N-mm, respectively, for lateral loads
 437 applied corresponding to 0.1, 0.2, 0.3, 0.4 and 0.5 times the pile diameter.

438 It was noteworthy that, at equivalent pile head displacements, the pile characterised by L_m/D of 20
 439 demonstrates superior resistance to bending moment compared to its L_m/D of 10 counterparts. This
 440 difference can be ascribed to the fact that the pile with L_m/D of 10 exhibits substantially lower load
 441 resistance than the pile group with L_m/D of 20, at identical pile head displacements. Furthermore, in
 442 the case of the L_m/D of 20, there is a marginal increase in the depth at which the maximum bending
 443 moment occurs throughout the loading process, while this depth remains constant for the L_m/D value
 444 of 10 model. This phenomenon may be attributed to the persistence of pile stiffness dependency as
 445 specific parameters, even in the face of soil degradation surrounding the pile, influencing the
 446 determination of the maximum bending moment [49, 50].



447 Figure 10. Bending moment profile for 2x2 CCFAT pile group with $L_m/D = 15$

448 Figure 10 (a-b) depicts the progress of the bending moment profile concerning pile head displacement
 449 for both up-row and down-row piles within a 2x2 pile group, which is characterised by an aspect ratio
 450 of 15. The observed trend mirrors that of a single pile, with the bending moment escalating with the
 451 applied load. However, noteworthy distinctions emerge in the bending moment profiles between up-
 452 row and down-row piles, where the down-row pile consistently exhibits greater resistance to bending
 453 moment than its up-row counterpart. For example, from Figure 10a, for up-row pile, the maximum
 454 bending moment obtained at the midline for lateral load corresponding to 0.1D, 0.2D, 0.3D, 0.4D and
 455 0.5D are 21155.41, 44717.84, 51302.61, 76544.86 and 112605.22 N-mm. For the same geometry and
 456 aspect ratio, from Figure 10b, for 20624.37, 36116.04, 58690.19, 86572.24 and 124428.77 N-mm,
 457 respectively, for applied lateral load corresponding to 0.1D, 0.2D, 0.3D, 0.4D and 0.5D.

458 This variation in bending moment response can be attributed to, firstly, the up-row pile experiencing
 459 tension, while the down-row pile undergoes compression. This distinction results in a multiplication
 460 effect of the vertical load by the horizontal displacement, influencing the magnitude of the bending
 461 moment [16, 51]. Secondly, the up-row pile falls within the active zone of the down-row pile, thereby
 462 experiencing a shadowing influence [38, 52]. While the maximum bending moment was achieved at
 463 nearly identical positions for both up-row and down-row piles. Despite these variations, there was no
 464 discernible movement in the depth at which the maximum bending moment occurs for both up-row
 465 and down-row piles. This observation underscores the significance of pile stiffness in shaping the
 466 bending moment profile, as stiffness remains a consistent factor influencing the characteristics of the
 467 bending moment.

468

469 3.2. Finite Element Analysis

470 Alongside the experimental investigation, computational analyses were conducted using finite
471 element software ABAQUS [53], to gain deeper insights into the vertical and lateral responses and load
472 transfer mechanism of CCFAT pile groups. The results of experimental tests were compared with those
473 of results obtained from numerical simulations. Subsequent to the confirmation of model validity, a
474 parametric investigation was executed, with the objective of investigating supplementary performance
475 data across diverse configurations of CCFAT pile groups. Additionally, sensitivity analysis was
476 performed, encompassing variations in both soil properties and the coefficient of friction between
477 CCFAT piles and the surrounding soil. The forthcoming sections expound upon the simulations,
478 methodologies employed, and precision of the finite element models, as well as the details of the
479 parametric and sensitivity analyses.

480 3.2.1. Simulation Details

481 The simulation activities encompassed the modelling of CCFAT pile groups, specifically 2x2
482 configurations with a L_m/D of 10, 2x1 configurations with a L_m/D of 20, and individual piles with a L_m/D
483 of 15. These configurations were selected for the purpose of validating the experimental investigation.
484 Furthermore, a parametric study was conducted to explore novel CCFAT pile group configurations with
485 an L_m/D of 15, namely 2x3 and 3x3, in addition to single configurations, 2x1, and 2x2 with an L_m/D of
486 15.

487 Considering the geometric and loading symmetry, only half of the entire soil domain and the CCFAT
488 pile geometries were modelled. The dimensions of the simulated soil domain corresponded to half of
489 the area of the soil chamber employed in the experimental test for the validation study. Conversely,
490 for the novel configurations, the extent of the soil domain was determined to mitigate boundary
491 effects. The finite element mesh, illustrated in Figure 11, shows the discretised representation of the
492 simulated section, including the soil domain, the CCFAT pile group (2x1) having L_m/D value of 15, and
493 the assembly of these piles embedded in the soil domain. The soil domain, aluminium tube, and
494 concrete component were simulated using first-order, eight-node linear brick elements with reduced
495 integration (C3D8R). Due to its single integration point, the C3D8R element avoids numerical
496 instabilities and has been widely and successfully used for modelling composite structural members
497 and addressing geotechnical problems [3, 35]. To optimise computational accuracy and efficiency, finer
498 meshing was applied near the pile models and the ground surface, while coarser meshes were
499 employed in regions farther away from the piles. Boundary conditions were implemented by
500 restraining the bottom boundary of the soil domain in all directions, while the vertical boundaries were
501 constrained in the horizontal direction. Additionally, normal displacements were constrained within
502 the symmetric plane.

503 The behavior of the loose sand bed was simulated using the Mohr-Coulomb (M-C) elastoplastic
504 constitutive model with a non-associated flow rule. The M-C model has been chosen because it strikes
505 a good balance between simplicity, computational efficiency, and accuracy for a range of geotechnical
506 problems. The soil properties were measured from the laboratory tests and calibrated with several
507 numerical models [54-56] are presented in Table 4. After the engineering stress and strain for the
508 aluminium obtained from the coupon tests were converted to true stress and logarithmic plastic strain.
509 The aluminium tube and pile cap were simulated as elastic-plastic with Young's modulus of 70 GPa,
510 Poisson's ratio of 0.3, and density of 27 kN/m³. For concrete compounds, a linear elasticity model was
511 applied with Young's modulus of 25 GPa, Poisson's ratio of 0.16, and a density of 24 kN/m³.

512 In ABAQUS, one available option for modelling contact between the soil and foundation, or between
513 composite elements, is the surface-to-surface approach, which has been employed in numerous
514 studies [23, 52]. This method utilizes the master-slave concept, wherein the master surface is stiffer
515 than the slave surface. Typically, the master surface is more finely discretized than the slave surface
516 and may penetrate the latter, depending on the type of discretization applied in the analysis. In this
517 study, to facilitate a realistic representation of interactions, a surface-to-surface contact approach was
518 implemented to simulate the contact between the soil and the external surface of the CCFAT pile
519 model, as well as the contact between the inner surface of the aluminium tube and the outer surface
520 of the concrete compound. Specifically, the contact was defined with the outer surface of the
521 aluminium tube serving as the master and the soil surface as the slave. Conversely, for the contact
522 between the aluminium tube and the concrete compound, the outer surface of the concrete
523 compound was designated as the master, while the inner surface of the aluminium tube acted as the
524 slave. The interface governing these interactions was modelled using the "hard" contact model with
525 Coulomb's tangent friction, with a specified friction coefficient between the CCFAT pile and soil
526 assumed to be 0.3 [18, 23, 57, 58]. The hard contact relationship was used in the normal direction to
527 account for the development of normal stresses between surfaces without penetration between
528 aluminum tube-concrete interface. However, when considering the contact between the aluminum
529 tube and soil, significant undetected penetration of the master surface into the slave surface has been
530 observed.

531 To emulate the experimental test conditions, the application of loads occurred in two sequential steps.
532 In the initial step, a geostatic load was applied to establish the initial stress state across the entire soil
533 domain. Subsequently, in the second step, loads were applied individually to the reference point at the
534 pile cap for both vertical and lateral load tests. The load conditions were simulated using a
535 displacement control method, ensuring a controlled and representative loading scenario.

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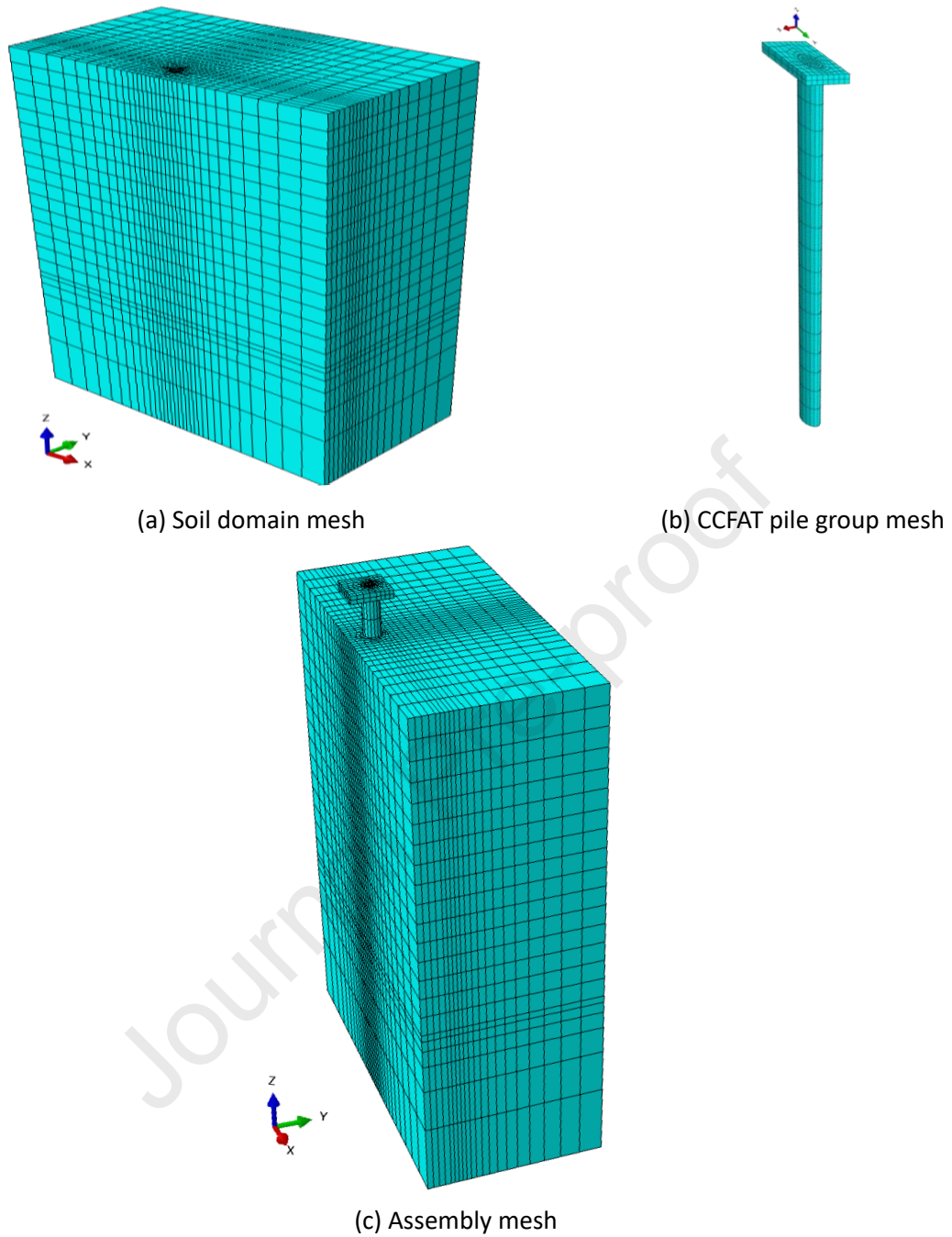


Figure 11. Finite element meshes for CCFAT pile group (2x1), with (L_m/D) 15

Table 4. The loose sand properties

Soil parameter	Value
Young's modulus, E (MPa)	20
Poisson's ratio, μ	0.2
Density, γ (kN/m^3)	16.06
Internal friction angle, Φ ($^\circ$)	30
Dilatancy angle, ψ ($^\circ$)	5

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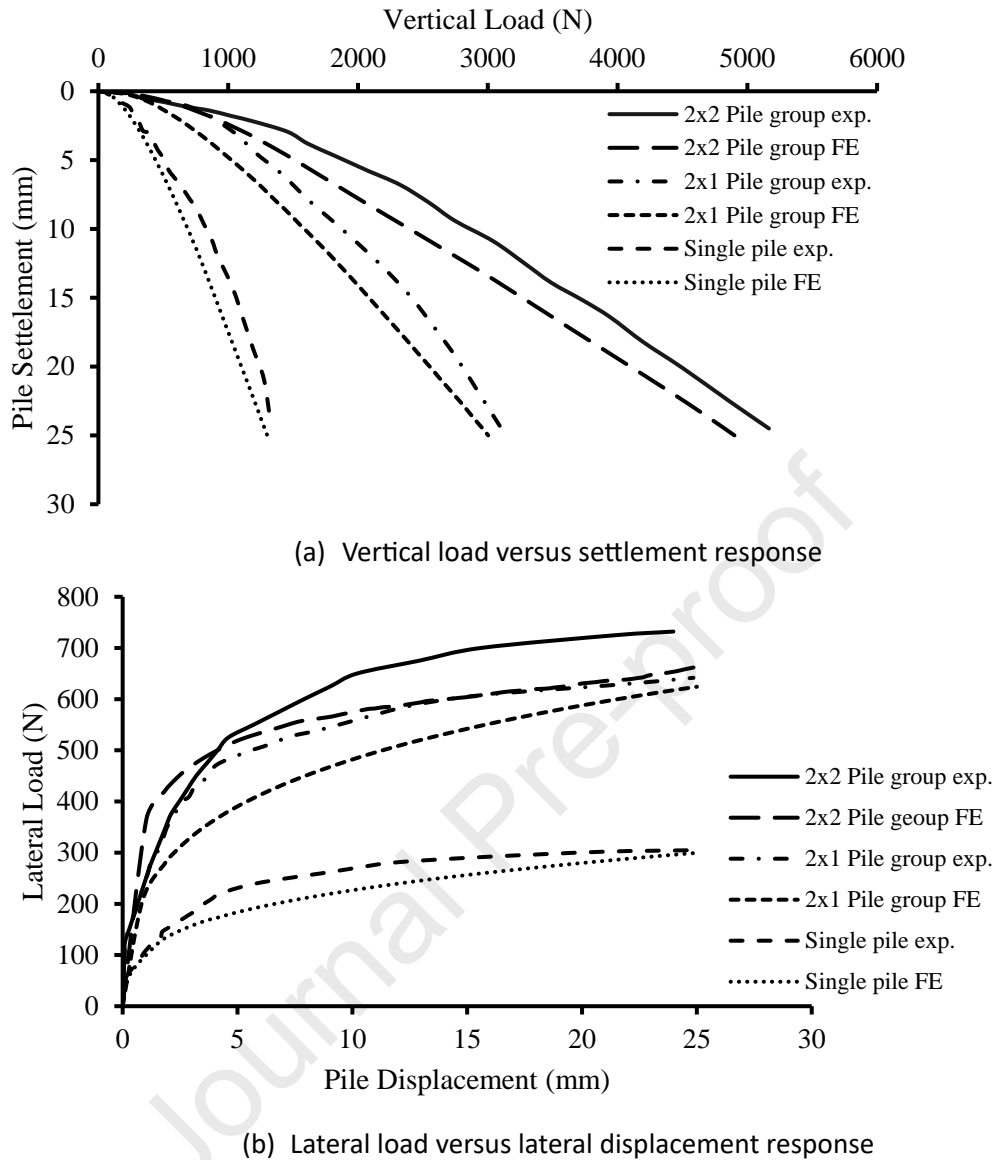
547 3.2.2. Validation of the FEM models

548 To ensure the appropriateness of finite element (FE) simulation steps, for validation, the results
549 obtained from the finite element simulation were compared with experimental results, carried out
550 on three CCFAT pile models, subjected to vertical and lateral loading. The selected pile models
551 included a single pile with an L_m/D of 15, a 2x1 configuration with an L_m/D of 20, and a 2x2
552 configuration with an L_m/D of 12. The chosen models exhibited variations in pile configuration and
553 L_m/D . Figure 12a and Figure 12b presents a comparison between the vertical load versus vertical
554 settlement curves and lateral load versus lateral displacement curves, respectively, obtained from
555 laboratory experiments and FE simulations.

556 The figures depict the correspondence of behavioral responses between the experimental and FE
557 results concerning the vertical and lateral aspects of the CCFAT pile models. In both loading
558 scenarios, the FE model successfully captures the general trends observed in the experimental
559 tests. However, the calculated FE curves exhibit smoother profiles compared to the experimental
560 test curves. It was noteworthy that the stiffness of the FE simulation was marginally lower than
561 that observed in the experimental tests. This discrepancy may be attributed to the simplifications
562 employed in the simulation approach, particularly in representing the contact between the soil
563 and both the outer surface of the CCFAT pile model and the inner aluminium surface of the
564 concrete compound. Such simplifications aimed to address the inherent complexities of real-world
565 scenarios involving composite piles in soil. Other factors collectively explain why the finite element
566 model's results might differ from the experimental test results, especially for complex composite
567 pile-soil interactions. Such as i) the boundary conditions applied to represent the far-field soil may
568 differ from experimental test conditions. In tests, the boundary effects can play a significant role.
569 ii) The accuracy of finite element results depends on the mesh quality. A coarse or poorly refined
570 mesh may not capture the stress concentrations or local failure mechanisms around the pile, which
571 can lead to deviations when compared to experimental test results.

572 Furthermore, a satisfactory agreement was observed between the experimental tests and FE
573 simulations in terms of total load capacity for both vertical and lateral loading, denoted as (P_{TV})
574 and (P_{TL}). Table 5 provides the ratios of capacities obtained from experimental to FE simulation
575 values for total vertical load capacities ($P_{TV,Exp.}/P_{TV,FE}$) and total lateral load capacities ($P_{TL,Exp.}/P_{TL,FE}$).
576 The ratios were found to be close to unity, with the single CCFAT pile model yielding the most
577 accurate predictions of load capacity. Specifically, the values for ($P_{TV,Exp.}/P_{TV,FE}$) and ($P_{TL,Exp.}/P_{TL,FE}$)
578 were determined to be 1.02 and 1.01, respectively.

579 In summary, the developed FE models demonstrated the capability to predict the behavioral
580 responses of CCFAT pile models under both vertical and lateral loading conditions in loose sand
581 with reasonable accuracy.



582

Figure 12. Comparison of experimental and FE results

583

584

Table 5. Comparison of experimental and FE values for total vertical and lateral load capacities

Model details	L_m/D	$P_{TV,Exp.}/P_{TV,FE}$	$P_{TL,Exp.}/P_{TL,FE}$
Single	15	1.02	1.01
2x2 pile group	10	1.04	1.03
2x1 pile group	20	1.06	1.10

585

586

3.2.3. Vertical load and lateral capacities of CCFAT piles

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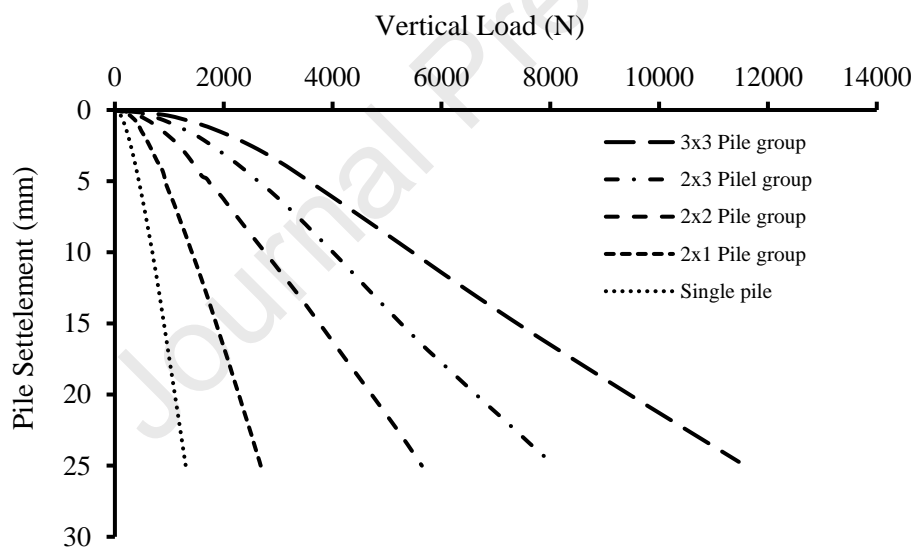
591

The experimental examinations conducted in this investigation primarily focus on comparing the CCFAT pile model with traditional pile models. The study evaluates the behavioral response of CCFAT piles under both vertical and lateral loading cases, with emphasis on the bending moment along the embed length. A novel configuration of CCFAT pile groups was thoroughly examined, leveraging finite element (FE) simulations to explore the wide range of possible spaces. The

592 parametric study involves a series of validated FE models, considering various CCFAT pile
 593 configurations, including single, 2x1, 2x2, 2x3, and 3x3 arrangements. The number of piles was
 594 identified as a critical parameter influencing the vertical and lateral bearing capacity of the pile
 595 group [54, 59]. To mitigate the boundary effect in simulations for 2x3 and 3x3 configurations,
 596 multiple attempts were made to increase the width of the soil domain in the direction of lateral
 597 load application. The width was set to 1200 mm, differing from the 900 mm used in other model
 598 configurations. The length of the soil domain remains consistent at 1200 mm, as the vertical
 599 behavior is unaffected by changes in length.

600 The application of vertical and lateral loads obtained from the FE parametric study covers CCFAT
 601 single, 2x1, 2x2, 2x3, and 3x3 pile models, featuring a centre-to-centre spacing equivalent to three
 602 pile diameters and a L_m/D of 15. This ratio is chosen based on the validation of the CCFAT single
 603 model through experimental tests under both vertical and lateral loading conditions.

604 Figure 13 provides a graphical representation, elucidating the relationship between total vertical
 605 load and pile head vertical settlement curves for selected CCFAT pile models. The vertical capacity
 606 of the pile groups exhibits a continuous increase with the pile number, however, notable
 607 differences in the stiffness were observed. Models 2x1 and 2x2 exhibited stiffer responses
 608 compared to models 2x3 and 3x3, indicating distinct patterns in vertical capacity increase with
 609 varying pile numbers.



610

611 Figure 13. Vertical load vs pile head vertical settlement curves for different CCFAT pile models

612

613 From figure 13, pile settlement for various CCFAT geometries considered in this study is noted to
 614 increase with applied vertical load. The ultimate vertical and total vertical capacities are noted to
 615 be maximum for the 3x3 CCFAT pile group followed by 2x3, 2x2, 2x1 and single pile configurations,
 616 respectively. For instance, for the 3x3 pile group, the ultimate vertical and total capacities are
 617 obtained as 3101.38 and 11579.9 N, respectively. The ultimate and total vertical capacities have
 618 been noted to decrease to 2494.30 and 8083.10 N, respectively for the 2x3 pile group. The
 619 ultimate and total vertical capacities have been further noted to reduce to 1792.84 and 5640.59
 620 N, respectively, for the 2x2 pile group. For the 2x1 pile group, the ultimate vertical and total

621 capacities are obtained as 865.33 and 2679.15 N, respectively. For single pile group, the ultimate
622 and total vertical capacities are obtained as 426.19 and 1300.59 N, respectively.

623 The increase in vertical capacity for higher numbers of piles in the groups can be attributed to
624 several factors. As more piles are added, the load from the applied is distributed across a greater
625 number of piles, reducing the load per pile and allowing each to perform more efficiently.
626 Additionally, the combined surface area in contact with the soil increases, enhancing skin friction
627 and overall load-bearing capacity. The interaction between piles in a group also contributes to
628 improved load sharing and stabilization of the surrounding soil. This collective action reduces
629 settlement, thereby increasing the perceived vertical capacity.

630 To facilitate the understanding of the comparison, Table 6 presents the calculated values η_v for the
631 FE simulation under vertical load. Notably, η_v values exceed 1.0 for models 2x1 and 2x2, suggesting
632 a larger rate of increase in P_{uv} with the pile number compared to experimental observations.
633 Conversely, models 2x3 and 3x3 exhibit η_v values under 1.0, indicating a larger rate of decrease in
634 P_{uv} with increasing pile number. These observations may be attributed to densification during pile
635 driving within larger groups, with significant effects observed up to four piles in the group. Beyond
636 this point, negative pile interaction becomes a significant factor, surpassing the benefits of the
637 densification process.

638

639 Table 6. FE Results for CCFAT pile models under vertical loading

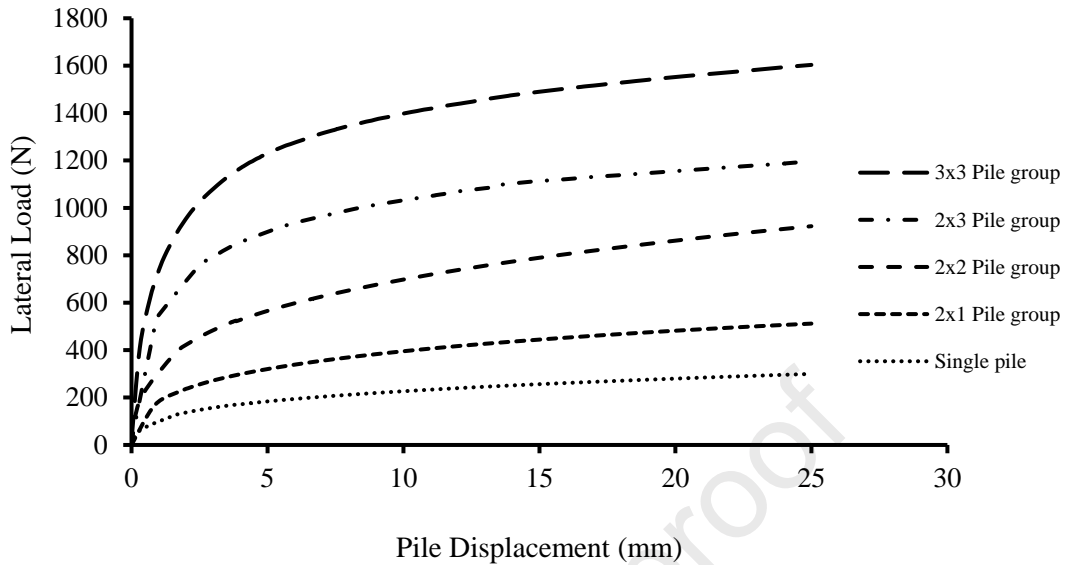
CCFAT pile model	η_v
Single	-
2x1 pile group	1.015
2x2 pile group	1.052
2x3 pile group	0.980
3x3 pile group	0.810

640

641 Figure 14 depicts the variation of lateral load capacity with pile head lateral displacement for
642 single, 2x1, 2x2, 2x3, and 3x3 pile models having L_m/D of 15. The lateral capacity exhibited
643 enhancement with an increasing pile number; however, the rate of improvement in the lateral
644 capacity was less than the lateral capacity of the single pile model, multiplied by the number of
645 piles. This phenomenon underscores the influence of the shadowing effect, wherein the internal
646 soil fails to provide full resistance due to the presence of neighbouring piles. The ultimate and total
647 lateral capacities are highest for the 3x3 pile group, followed by the 2x3, 2x2, 2x1, and single pile
648 configurations. For example, the ultimate and total lateral capacities obtained for the 3x3 pile
649 group are 1146.96 and 1603.30 N, respectively. For the 2x3 pile group, the ultimate and total
650 lateral capacities are noted to reduce to 805.81 and 1195.88 N, respectively. In the case of the 2x2
651 pile group, the ultimate and total lateral capacities are further noted to reduce to 540.01 and
652 922.77 N, respectively. The ultimate and total lateral capacities for the 2x1 pile group are obtained
653 as 301.64 and 510.47 N, respectively. For single pile, the ultimate and total lateral capacities are
654 found as 184.26 and 300.00 N, respectively.

655 As compared to single pile, the applied lateral loads in pile groups are distributed among all the
656 piles, which reduces the load on each individual pile and improves the ability of the pile group to
657 withstand greater lateral forces. With the increasing number of piles in the group, the interaction

658 between the piles and the surrounding soil is increased due to increasing surface area, thereby
 659 enhancing the lateral resistance of group piles as compared to isolated piles.



660 Figure 14. Lateral load vs pile displacement curves for different CCFAT pile models

661

662 The stiffness of the pile group subjected to lateral load is determined using Eq. (3) and the values
 663 are listed in Table 7. From the table, the lateral load transfer ratio (η_l) is noted to decrease with
 664 increasing number of piles. The decrease in pile group stiffness with the addition of piles under
 665 lateral load can be attributed to several factors. overlapped stress zones during the interaction
 666 between the piles and the surrounding soil and is discussed further.

667

Table 7. FE Results for CCFAT pile models under Lateral loading

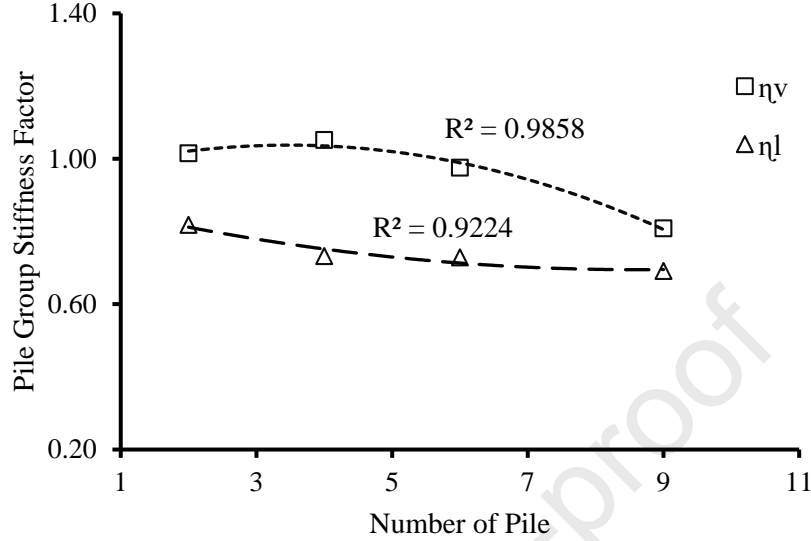
CCFAT pile model	η_l
Single	-
2x1 pile group	0.820
2x2 pile group	0.730
2x3 pile group	0.720
3x3 pile group	0.690

668

669 The anticipation ultimate load of the pile under vertical and lateral loading, according to the
 670 concepts of geotechnical engineering, becomes feasible by considering the charts pertaining to
 671 the pile group stiffness factors (η_v) and (η_l) with the number of piles subjected to both vertical and
 672 lateral loading. Pile group stiffness charts play a pivotal role in engineering practice, widely
 673 employed in the computation of ultimate and total load for piles and foundations in geotechnical
 674 problem-solving [60-62].

675 The vertical pile group stiffness (η_v) and lateral pile group stiffness (η_l), obtained from the numerical
 676 simulation for 2x1, 2x2, 2x3 and 3x3 pile groups are plotted against the number of piles, shown in
 677 Figure 15. The data points obtained were used to fit curves and expressions and the general form
 678 is given by Eq. 7. that can determine the vertical and lateral stiffness of the pile group, taking into
 679 account the effect of the number of piles in the group. Initial estimates for the model parameters
 680 were derived from prior experience, and the Least Squares Method was utilized to minimize the

681 discrepancies between the observed and predicted values. The fit was subsequently assessed
 682 using residual analysis and metrics such as R-squared and RMSE. Once satisfactory R-squared and
 683 RMSE values were achieved, the coefficients of the mathematical models were reported in Table
 684 8.



685
 686 Figure 15. Pile group stiffness chart
 687

$$688 \quad \eta_v, \eta_l = an^2 + bn + c \quad (7)$$

688

689 Where n represents the number of piles and the values of co-efficients to determine η_v and η_l are
 690 presented in Table 8.

691

Table 8. Coefficients to determine η_v and η_l

Coefficients	a	b	c
η_v	-0.0076	0.0528	0.9459
η_l	0.0027	-0.0461	0.8932

692

693 This above expression can serve as an initial guideline for the practitioners and designers for
 694 designing the CCFAT pile group foundations with the range of geometries and soil parameters
 695 considered in this study.

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3.2.4. Load transfer mechanism

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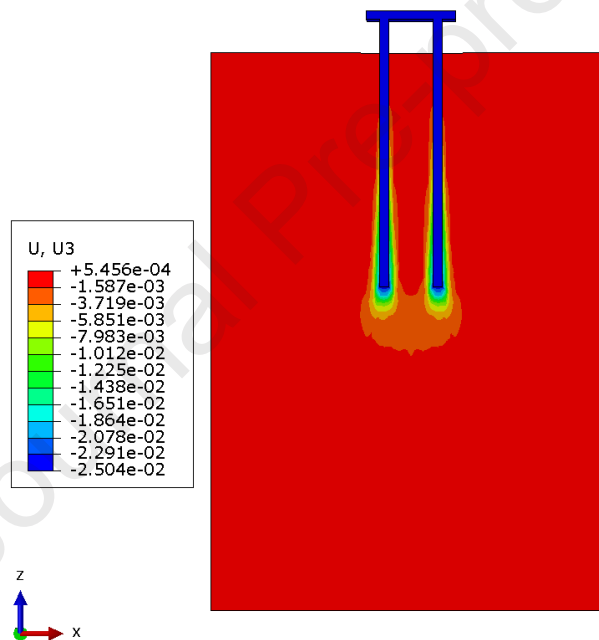
To further comprehend the load transfer mechanism of vertical load in the soil domain, Figure 16 (a-c) illustrates vertical settlement contours for CCFAT pile groups 2x2, 2x3, and 3x3, respectively. From Figure 16a, under the application of vertical load until failure, a significant downward movement of the soil mass is observed, starting from the mid-depth along the interior and exterior sides of the piles in the group. As the vertical load is increased, the extent of soil movement along the individual piles, from their mid-depth down to the pile tips, is noted to increase progressively. This downward soil movement is most pronounced at the tips of the 2x2 CCFAT pile group, where the maximum settlement of the soil under the vertical load is observed. However, the soil settlement was noted to extend downward only to a certain depth, while the soil mass entrapped

706 within the two piles in the group underwent minimal settlement along the embedded length of
 707 the pile group.

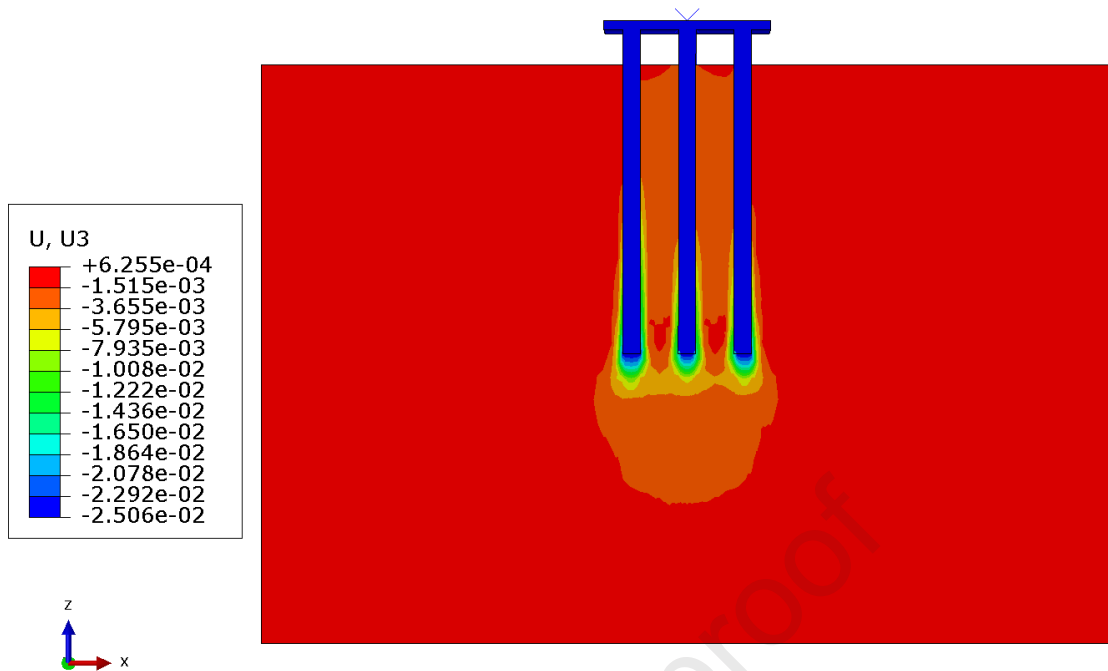
708 From Figure 16b, for the 2x3 CCFAT pile group, a similar soil settlement pattern was observed as in
 709 the 2x2 CCFAT pile group, where the soil settlement was noted to propagate from approximately
 710 the mid-depth of the piles towards their tips, along both the interior and exterior sides, adjacent
 711 to the piles. The maximum settlement was observed at the three pile tips within the group, and
 712 considerable soil settlement was also observed down to a certain depth below the tips of the
 713 foundation. In contrast to the 2x2 CCFAT pile group, considering the tip level as a reference, a
 714 considerably higher extent of downward movement of soil was observed. Furthermore, the soil
 715 mass entrapped within the pile group was noted to settle considerably, along with the overall pile
 716 group, under the applied vertical load, indicating a block failure mechanism for the pile group
 717 foundation.

718

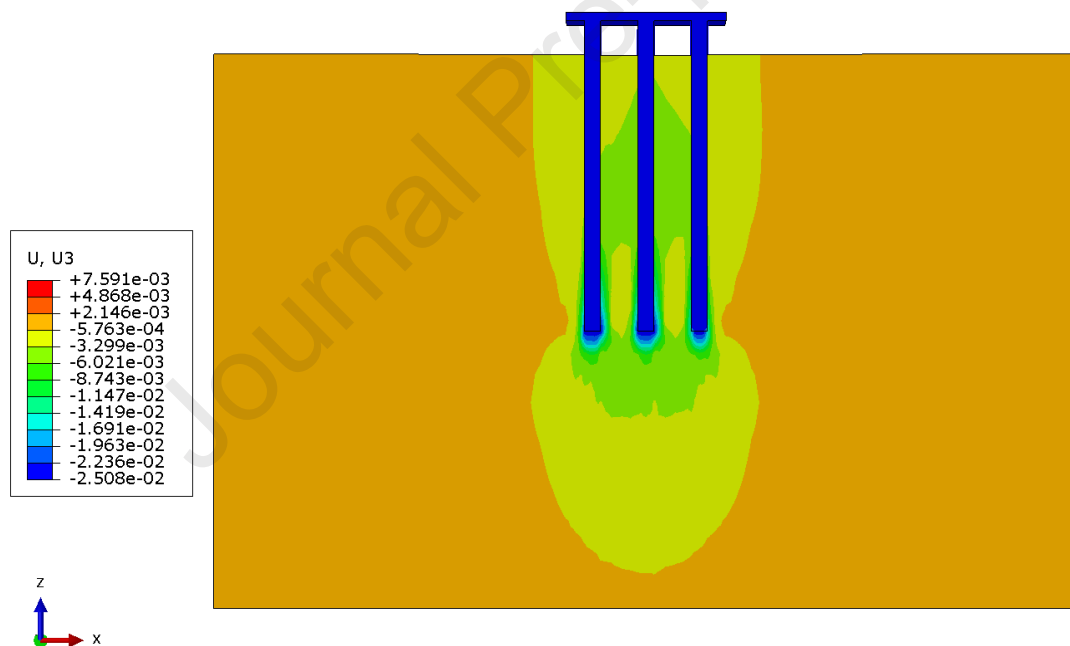
719



(a) 2x2 CCFAT pile group



(b) 2x3 CCFAT pile group



(c) 3x3 CCFAT pile group

720 Figure 16. Vertical settlement contours for CCFAT pile group under vertical loading

721 From Figure 16c, in contrast to the 2x2 and 2x3 CCFAT pile groups, the 3x3 CCFAT pile group
 722 exhibited a distinct block failure mechanism accompanied by a larger extent of soil deformation
 723 towards the right and left sides of the foundation at the bed level, indicating a more pronounced
 724 soil movement compared to the smaller pile group configurations. Additionally, a larger extent of
 725 soil settlement was also noted below the pile tips within the 3x3 pile group, further highlighting
 726 the differences in the soil-pile interaction and overall foundation behavior.

727 Figures 17 (a-c) present lateral displacement contours for CCFAT pile models arranged in single,
 728 2x3, and 3x3 rows, respectively, in the direction of applied lateral load. Under the application of
 729 lateral load until failure, the pile group configurations are observed to undergo a rigid rotation

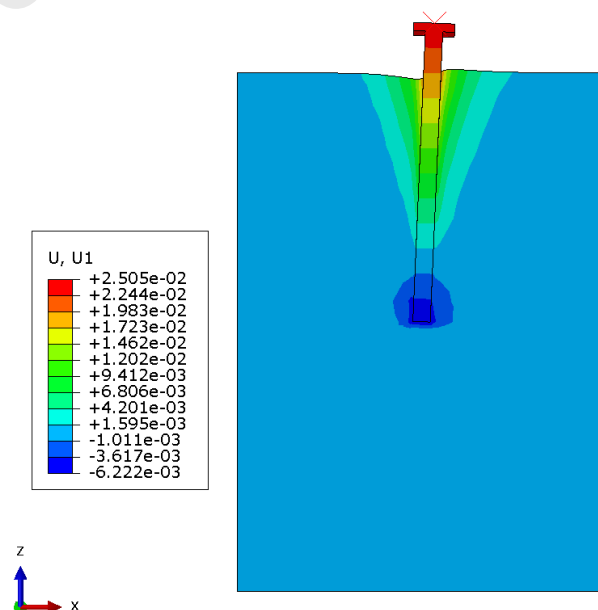
730 around a specific point along their depth. Above this rotation point, the pile group moves to the
 731 right, while below the rotation point, it moves to the left from its initial position, aligning with the
 732 direction of the applied lateral load.

733 Above the rotation point, the rightward lateral displacement of the pile group causes compression
 734 in the soil on the right side of the foundation and tension in the soil on the left side, at the bed
 735 level. The maximum lateral soil movement occurs at the bed level, on both the compressive (right)
 736 and tensile (left) sides of the foundation. The soil displacement along the depth of the extreme
 737 left and right piles gradually decreases towards the tips, forming wedge-shaped zones of
 738 compression and tension. At the bed level, a significant heave formation is observed on the
 739 compressive (right) side of the foundation, while a depressed zone forms on the tensile (left) side.

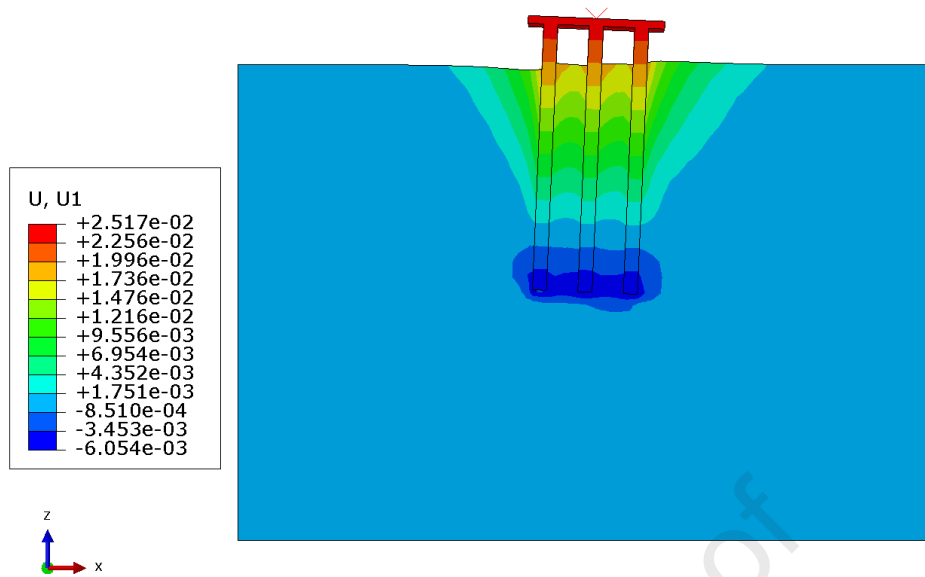
740 From the comparison of Figures 17 (a-c), At the bed level, at the point of failure, the single pile
 741 group configuration exhibited a relatively lesser extent of soil displacement along the lateral
 742 direction, compared to the 2x3 and 3x3 CCFAT pile groups. This suggests a more localized soil
 743 deformation pattern for the single pile group, in contrast to the larger 2x3 and 3x3 CCFAT pile
 744 groups.

745 As shown in Figures 17 (b-c), for both the 2x3 and 3x3 CCFAT pile groups, the piles located at the
 746 extreme left of the group were noted to move upward, experiencing tensile forces, while the piles
 747 at the extreme right side of the group experienced compression, thereby penetrating deeper from
 748 their installed position. Additionally, for both the 2x3 and 3x3 CCFAT pile groups, a heave formation
 749 was observed at the bed level at failure for the soil mass entrapped within the pile groups.

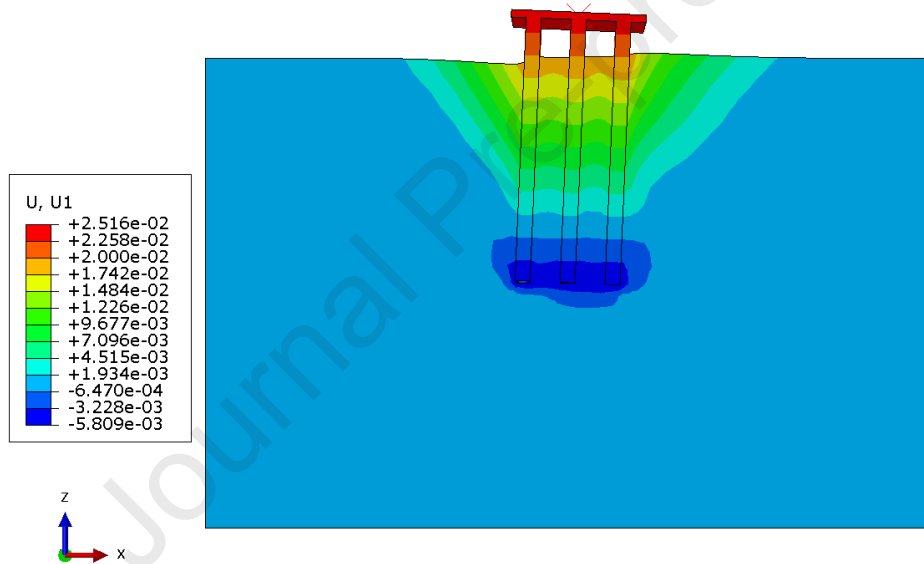
750 From the Figure 17(a-c), as compared to a single pile, the 3x3 and 2x2 CCFAT pile groups underwent
 751 rigid rotation and experienced differential movement of the pile group, which allows for the
 752 engagement of more soil zone and mobilization of higher lateral resistance. The formation of soil
 753 compression and tension zones, along with wedge-shaped deformation patterns and
 754 heave/depression formation at the bed level, contributes to the increased lateral capacity of the
 755 pile groups. In contrast, the single pile group exhibits a more localized soil deformation pattern,
 756 resulting in lower lateral capacity compared to the larger pile group configurations.



(a) CCFAT pile single



(b) 2x3 CCFAT pile group



(c) 3x3 CCFAT pile group

757 Figure 17. Lateral displacement contours for CCFAT pile group under lateral loading

758

759 **3.2.5. CCFAT pile sensitive analysis**

760 Soil parameters, including the internal friction angle, dilatancy angle, Young's modulus, and friction
 761 coefficient between CCFAT piles and soil, play a pivotal role in the constitutive model, influencing
 762 bearing behavior [55, 63, 64]. While some parameters, such as the internal friction angle, can be
 763 measured through geotechnical tests, others, like the dilatancy angle, present measurement
 764 challenges, leading to imprecise determinations. Consequently, a meticulous investigation was
 765 conducted to assess the significance of these parameters in the Mohr-Coulomb soil model. Two control
 766 models, the 2x1 CCFAT pile group with L_m/D of 20 and the 2x2 CCFAT pile group with L_m/D of 10, were
 767 selected for vertical and lateral loading, respectively. For the parametric study, simulations were
 768 conducted using standard reference values for internal friction angle, dilatancy angle, Young's
 769 modulus, and friction coefficient, as listed in Table 9. It is worth mentioning the standard ultimate

770 vertical and lateral load was presented for a loose sand model with a relative density (D_r) of 30%. These
 771 values were used as a baseline for the analysis. The parametric study was then performed by varying
 772 each parameter individually while keeping the others constant. Specifically, the internal friction angle
 773 was varied from 25° to 40° , the dilatancy angle from 2° to 10° , Young's modulus from 10 MPa to 40
 774 MPa, and the friction coefficient from 0.2 to 0.5. This approach allowed for a comprehensive
 775 examination of the effects of each parameter on the behavior of pile group under vertical and lateral
 776 loading conditions [52, 65]. Additionally, care was taken to include sand density cases not covered in
 777 the experimental study, namely medium-dense and dense sand. To elucidate the impact of the
 778 aforementioned parameters, ultimate vertical capacity (P_{uv}) and ultimate lateral capacity (P_{ul}) were
 779 normalised against the standard ultimate vertical capacity of CCFAT pile group 2x1 with (L_m/D) 20 (P_{uvs})
 780 and the standard ultimate lateral capacity of 2x2 with (L_m/D) 10 (P_{uls}), and the results were
 781 quantitatively represented in Figure 18 (a and b).

782

783

784

Table 9. Categorized soil parameters

Parameters	Values	Standard reference
Internal Friction Angle, Φ ($^\circ$)	25, 35, 40	30
Dilatancy Angle, ψ ($^\circ$)	2, 10, 15	5
Young's Modulus, E (MPa)	10, 30, 40	20
Friction Coefficient, K	0.2, 0.4, 0.5	0.3

785

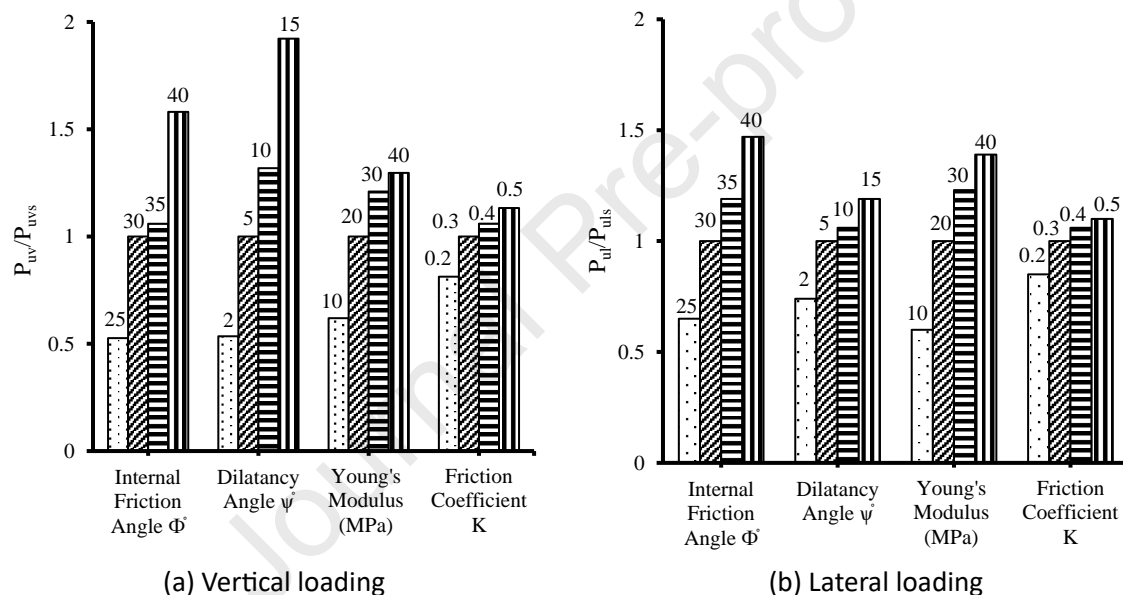
786 The ultimate vertical capacities for the 2x1 CCFAT pile group with L_m/D of 20 are obtained as 550.7506,
 787 1046.30, 1108.486 and 1653.965 for internal friction angles of 25° , 30° , 35° and 40° . For the same
 788 geometry, the ultimate vertical capacities are obtained as 1046.30, 559.73, 1379.47 and 2011.06 N,
 789 respectively, for the dilation angle values of 2° , 5° , 10° , 15° . As the Young's modulus values have been
 790 increased from 10, 20, 30 and 40 MPa, the ultimate vertical capacities are obtained as 647.98, 1046.30,
 791 1265.57 and 1356.50 N, respectively. For the friction coefficient values of 0.2, 0.3, 0.4 and 0.5,
 792 respectively, the ultimate vertical capacities are obtained as 850.36, 1046.30, 1108.93 and 1185.17 N,
 793 respectively.

794 The influence of soil parameters on vertical loading is evident in Figure 18a. Both the internal friction
 795 angle and dilatancy angle emerged as key determinants of the vertical behavior of the CCFAT pile
 796 group. A linear relationship revealed a substantial increase in ultimate vertical capacity with an
 797 increasing dilatancy angle, reaching a variation of 140% within the dilatancy angle range of 2° – 15° .
 798 Similarly, the effective internal friction angle exhibited a consistent upward trend, resulting in a total
 799 increase of 110%. Furthermore, an increase in Young's modulus contributed to the ultimate vertical
 800 load, but the growth decelerated gradually. With Young's modulus ranging from 10 MPa to 20 MPa,
 801 the ultimate vertical capacity increased by up to 38%. Conversely, when Young's modulus ranged from
 802 30 MPa to 40 MPa, the increase in ultimate vertical capacity was less than 10%. The influence of
 803 Young's modulus was more pronounced in loose sand conditions (10–20 MPa) than in dense sand
 804 conditions (>30 MPa). In contrast, the friction coefficient between the pile and soil had a marginal
 805 effect, resulting in a 30% improvement within a reasonable range (0.2–0.5).

806 The ultimate lateral capacities for the 2x2 CCFAT pile group with L_m/D of 10 are obtained as 229.27,
 807 467.9, 556.80 and 687.81 N for the angle of internal friction values of 25° , 30° , 35° and 40° ,
 808 respectively. For the same geometry, as the dilatancy angle values are increased from 2° , 5° , 10° and

809 15°, the ultimate lateral capacities are obtained as 299.46, 467.90, 519.37 and 575.52 N, respectively.
 810 The ultimate lateral capacities are obtained as 243.31, 467.90, 575.52 and 650.38 N, respectively, for
 811 the Young's modulus values of 10, 20, 30 and 40 MPa. For the friction coefficient values of 0.2, 0.3,
 812 0.4 and 0.5, the ultimate lateral capacities are obtained as 397.71, 467.90, 500.65 and 547.44 N,
 813 respectively.

814 Considering lateral loading, as depicted in Figure 18b, internal friction and Young's modulus emerged
 815 as significant factors influencing the lateral behavior of the CCFAT pile group. The ultimate lateral
 816 capacity exhibited a substantial increase with an increasing internal friction angle, reaching an 82%
 817 variation within the internal friction angle range of 25°–40°. The effective Young's modulus showed a
 818 similar pattern, with a 79% increase. However, this demonstrated that the influence of Young's
 819 modulus was less effective in dense sand conditions. Additionally, the ultimate lateral capacity
 820 increased with an increasing dilatancy angle, showing a unique trend, and resulting in a total increase
 821 of 45%. The friction coefficient between the pile and soil had a slight effect, leading to a 25%
 822 improvement within a reasonable range of friction coefficients (0.2–0.5).



(a) Vertical loading

(b) Lateral loading

Figure 18. Sensitive analysis of soil parameters to CCFAT pile

823

824 4. Conclusion

825 The main aim of this research was to comprehensively investigate the performance of composite piles,
 826 both in singular form and when organised into pile groups, under the influence of vertical and lateral
 827 loading. Both experimental works via scaled models and finite element (FE) simulations using ABAQUS
 828 software were conducted in this research. As part of the experimental work, comparative analyses
 829 were conducted to compare the performance of the Confined Concrete-Filled Aluminum Tube (CCFAT)
 830 pile models against Hollow Aluminum Tube (HAT), and Precast Concrete (PC) piles under vertical and
 831 lateral loading capacity. According to the obtained results, the following conclusions were drawn:

- 832 1- The P_{uv} of the CCFAT pile model were close to that of the PC pile model and twice that of the
 833 HAT pile model under constant L_m/D ratio, load conditions and soil properties. Additionally, it
 834 was found that the P_{ul} of the CCFAT pile model was 1.5 and 2.5 times that of the PC and HAT
 835 models, respectively.
- 836 2- Both ultimate vertical and later capacity increase with the increase of L_m/D .

- 837 3- The relationship between the vertical load and vertical settlement curves follows a linear and
 838 pronounced slope trend, while the relationship between the lateral load and lateral
 839 displacement curves follows a nonlinear, rapidly changed slope.
- 840 4- Due to the pile stiffness of the CCFAT pile, the maximum bending moment depth remains
 841 constant for the L_m/D 10 model and marginally increases in the L_m/D 20 under the lateral loads.
 842 However, the down-row pile consistently exhibits, at the same lateral displacement, a greater
 843 resistance to bending moment than its up-row counterpart in the CCFAT pile group 2x2.
- 844 5- The FE simulations highly agreed with the experimental results for various CCFAT pile models
 845 at different L_m/D ratios and configurations under both vertical and lateral loads.
- 846 6- Both ultimate vertical and lateral capacities were increased with the increase of pile number.
 847 However, detectable differences in the increase rates compared to the single piles.
- 848 7- The developed fitted charts could be used as a tool for estimating the ultimate vertical and
 849 lateral capacities of CCFAT pile groups based on pile group stiffness.
- 850 8- Using two and three rows of the CCFAT pile group under lateral loading results in the upward
 851 movement of the soil along the up-row piles and the generation of tension force. Conversely,
 852 a downward movement of the soil took place along the down-row piles, and it generated a
 853 compression force. Notably, the soil movement in front of the middle pile was relatively
 854 negligible.
- 855 9- The sensitivity analysis indicated that both the dilatancy angle and internal friction angle exert
 856 a considerable influence on the ultimate vertical capacity of the CCFAT pile group. Additionally,
 857 it was noticed that the internal friction angle and Young's modulus are pivotal factors affecting
 858 the ultimate lateral capacity of the CCFAT pile group. The impact of Young's modulus was more
 859 pronounced in loose sand.

860

861 In conclusion, this study introduces an effective approach for estimating the ultimate vertical and
 862 lateral capacity of a novel composite (CCFAT) pile. It is important to note that the configuration utilized
 863 is representative of common pile group layouts. However, there is a need for further investigations to
 864 validate and refine this method for unique and specialized configurations. Future research should also
 865 explore the influence of combined loading conditions (vertical and lateral), particularly in marine
 866 environments, on the performance of CCFAT piles. Ultimately, these findings may prove instrumental
 867 in the development of design charts and equations, offering optimal guidance for the utilization of
 868 composite piles by researchers and engineers.

869

870

871 The symbols utilized in this study are as follows:

- 872 C_c = Coefficient of curvature
 873 C_u = Coefficient of uniformity
 874 D = pile diameter
 875 D_{50} = medium diameter of the sand
 876 D_{10} = Sand Effective size
 877 D_{30} = Effective size
 878 D_r = Relative density
 879 E = Young's modulus of soil
 880 E_a = Young's modulus of aluminium
 881 E_c = Young's modulus of concrete infill
 882 f_c = Concrete cubes compressive strength

- 883 G_s = Specific gravity
 884 I_a = Moment of inertia of aluminium
 885 I_c = Moment of inertia of concrete infill
 886 K = Friction coefficient
 887 K_e = correction factor for concrete
 888 L_m/D = Slenderness ratios
 889 P_l = Lateral load
 890 P_{ul} = Ultimate lateral load
 891 P_{ulg} = Ultimate lateral capacity of the pile group
 892 P_{uls} = Ultimate lateral capacity of single pile
 893 P_{uv} = Ultimate vertical load
 894 P_{avg} = Ultimate vertical capacity of the pile group
 895 P_{avs} = Ultimate vertical capacity of single pile
 896 P_v = Vertical load
 897 S = Centre to-centre distance between piles
 898 Φ° = Internal Friction Angle
 899 ψ° = Dilatancy Angle
 900 η_l = Pile group stiffness factor under lateral load
 901 η_v = Pile group stiffness factor under vertical load
 902 γ = Sand density
 903 γ_{max} = Maximum sand density
 904 γ_{min} = Minimum sand density
 905 γ_d = Dry sand density
 906

907 Conflict of interest

908 The authors declare that no conflict of interest.

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The composite piles are the alternative to traditional piles.

The Innovative CCFAT pile added the structural advantages of aluminium and concrete.

The rigidity of CCFAT piles played a role in the bending moment.

New charts to estimate the ultimate vertical and lateral capacities are proposed.

Soil properties have disparately influenced the behavior of the CCFAT pile.

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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