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## The role of the metabotropic glutamate receptor 5 in nicotine addiction

Running head: mGluR5 and nicotine addiction

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### Abstract

This review summarizes the evidence for the potential involvement of metabotropic glutamate receptor 5 (mGluR5) in the development of nicotine addiction. Nicotine is consumed worldwide and is highly addictive. Previous research has extensively investigated the role of dopamine in association with reward learning and addiction, which has provided strong evidence for the involvement of dopaminergic neuronal circuitry in nicotine addiction. More recently, researchers focused on glutamatergic transmission after nicotine abuse, and its involvement in the reinforcing and rewarding effects of nicotine addiction. A number of DOI: 10.1017/S1092852920001704

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31 robust preclinical and clinical studies have shown mGluR5 signaling as a facilitating  
32 mechanism of nicotine addiction and nicotine withdrawal. Specifically, clinical studies have  
33 illustrated lower cortical mGluR5 density in smokers compared to non-smokers in the human  
34 brain. In addition, mGluR5 might selectively regulate craving and withdrawal. This suggests  
35 that mGluR5 could be a key receptor in the development of nicotine addiction and therefore  
36 clinical trials to examine the therapeutic potential of mGluR5 agents could help to contribute  
37 to reduce nicotine addiction in society.

38

39 Abbreviations: mGluR5 - metabotropic glutamate receptor 5, mGluR - metabotropic  
40 glutamate receptor, iGluR – ionotropic glutamate receptor, DA – Dopamine, NAc – Nucleus  
41 Accumbens.

## 42 **Epidemiology of Nicotine Addiction**

43 Nicotine addiction is one of the most common and preventable chronic psychiatric  
44 conditions characterised by the compulsion to seek and use nicotine <sup>1</sup>. Worldwide, there are  
45 approximately 1.1 billion adult smokers and 80 % of them live in low- and middle- income  
46 countries <sup>2</sup>. More than 7 million smokers die each year because of smoking related diseases,  
47 around 890,000 of which are being exposed to second-hand smoke (i.e. indirect exposure to  
48 smoke exhaled by smokers) <sup>3</sup>. Stopping nicotine consumption can lead to significant  
49 withdrawal symptoms for instance, depressed mood, attention/concentration problems,  
50 anhedonia, cravings, dysphoria, anxiety, irritability, and somatic problems (such as insomnia  
51 and weight gain) <sup>1,4</sup>. In the USA, about 40 – 50 % of smokers try to stop smoking every year,  
52 however, only about 6 % are able abstain for at least 6 to 12 months <sup>5</sup>. The majority of  
53 relapses happen within the first week of abstinence, with 15 – 28 % of smokers staying  
54 abstinent for 1 month, 10 - 20% remaining abstinent 3 months, and 3 – 5 % for 6 months <sup>6</sup>.  
55 The longer a smoker stays abstinent, the better the chances that the abstinence will sustain. A

56 study measuring success rates found that only 12% of smokers who stopped smoking for one  
57 month remained abstinent at the follow up stage (i.e., 1.5 years). Of those who stayed  
58 abstinent for 1 – 3 months, 25% remained abstinent long term. A long term success rate of  
59 52% could be found in smokers who stayed abstinent for 3 – 6 months, again suggesting that  
60 the longer the initial abstinence period, the greater the probability of long-term abstinence <sup>7</sup>.  
61 Therefore, due to these low abstinence rates it is necessary to find new pharmacotherapeutic  
62 options for nicotine addiction which could enhance abstinence rates.

63

## 64 **The glutamate system and nicotine addiction**

65         Glutamate is the major excitatory neurotransmitter in the central nervous system and is  
66 produced from glutamine by the enzyme glutaminase, which is localized in neurons and glia <sup>8</sup>.  
67 Over 90% of the synapses in the human brain are glutamatergic. Glutamate has the opposite  
68 effect to the neurotransmitter of Gamma Aminobutyric Acid (GABA), which is one of the  
69 main inhibitory neurotransmitters of the central nervous system. Numerous authors have  
70 suggested that glutamate signalling in the brain plays a major role in the nicotine addiction  
71 <sup>9,10,11</sup>. Furthermore, glutamate neurotransmission in the CNS is involved in various disorders  
72 such as schizophrenia, depression, addiction, and neurodegenerative diseases, such as  
73 Alzheimer's, Parkinson's and multiple sclerosis <sup>11,12,13</sup>. Glutamate signalling activates its  
74 receptors, which are categorized in two large groups: the metabotropic glutamate receptors  
75 (mGluRs) and ionotropic glutamate receptors (iGluRs). Fast acting ionotropic (iGlu) receptors  
76 include N-methyl-D-aspartate receptor (NMDA),  $\alpha$ -amino-3-hydroxy-5-methyl-4-  
77 isoxazolepropionic acid receptor (AMPA) and kainate. Slow acting metabotropic receptors  
78 involve the mGluR1-8. They are predominantly localized on postsynaptic as well as on glia  
79 cells in the brain, coupled with a G- protein. The mGlu receptors are classified into three

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80 groups; Group I receptors (mGluR1 and mGluR5), Group II receptors (mGluR2 and mGluR3)  
81 and Group III receptors (mGluR4, mGluR6, mGluR7 and mGluR8) <sup>8</sup>.

82 Several preclinical studies have found that nicotine increases glutamatergic  
83 transmission through activation of nicotinic acetylcholine receptor (nAChRs) located on  
84 glutamatergic afferents in the ventral tegmental area (VTA) and the nucleus accumbens  
85 (NAc) <sup>14</sup> (see Figure 1 for depiction of this action). Furthermore, long-term nicotine exposure  
86 could cause changes in dopamine and glutamate systems <sup>8</sup> For example, it was found that  
87 nicotine injections enhanced the brains reward function in rats as measured through  
88 intracranial self-administration <sup>15</sup>. Nicotine dependence is the result of a positive effect of  
89 nicotine, specifically, it induces a dopamine (DA) increase in NAc. DA extracellular overflow  
90 is subsequently implicated in behavioural motivation and dependence, as it activates the  
91 reward system. Indeed, there is evidence that chronic nicotine administration can lead to a  
92 reduction of glutamate transmission in the meso-cortico-limbic system, mainly in NAc and  
93 VTA <sup>8,16</sup>. Early withdrawal symptoms in rats following chronic nicotine administration, was  
94 associated with decreased glutamate transmission and compensatory changes in glutamate  
95 receptors <sup>16</sup>.

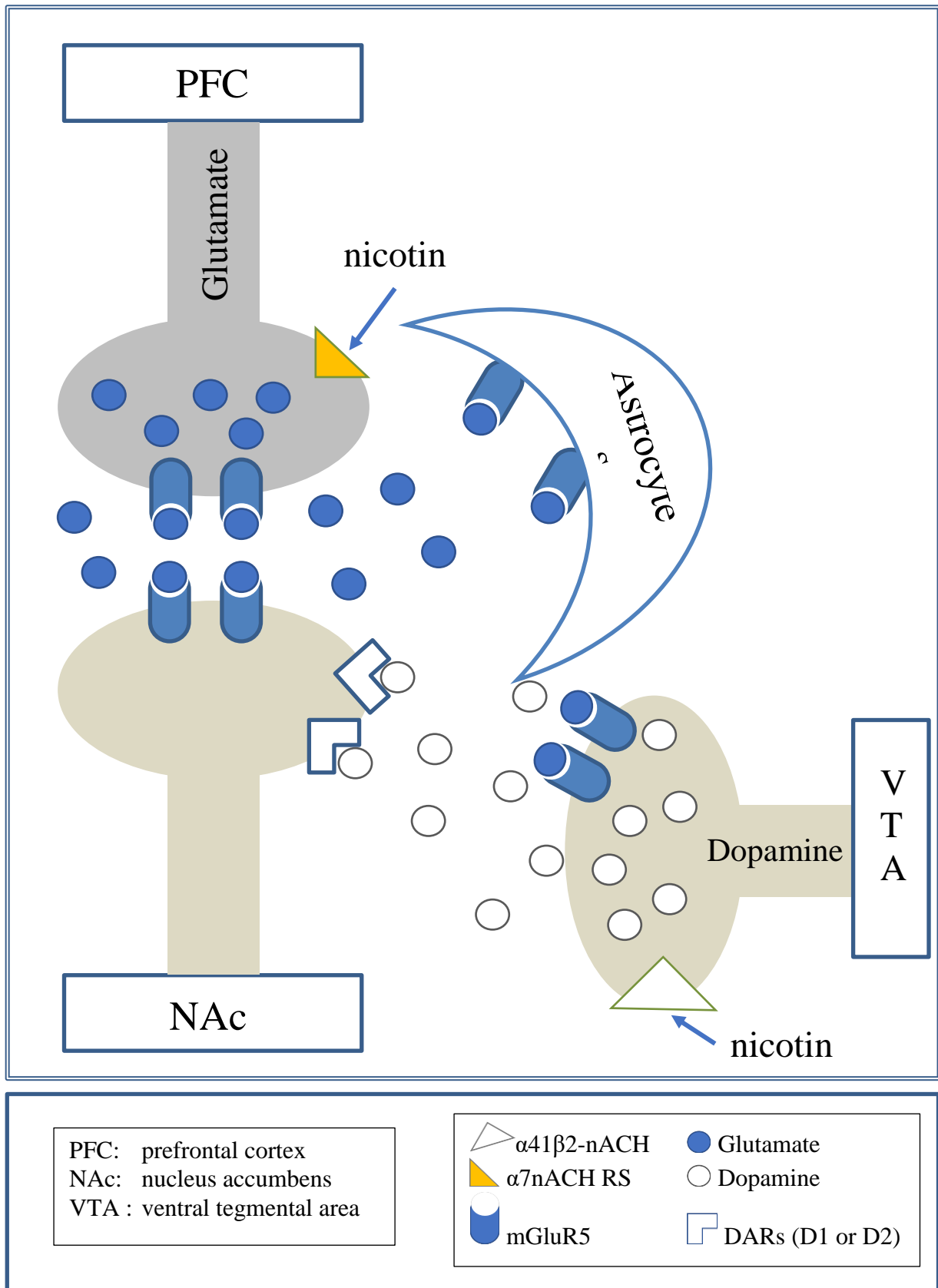
96 More recently, using Magnet Resonance Spectroscopy (MRS) in humans, the  
97 glutamatergic systems in nicotine addicted participants was investigated. The researchers  
98 found that smoking led to lower glutamate levels in the anterior cingulate cortex (ACC) and  
99 prefrontal cortex (PFC) <sup>17</sup> regions associated with reward processes. In another MRS study,  
100 glutamate levels in the thalamus were compared between smokers and non-smokers, showing  
101 lower thalamic glutamate in smokers <sup>18</sup>.

102 Pharmacological interventions targeting the glutamate system have been used to  
103 discover novel therapeutic treatments for smokers. N- acetylcysteine is traditionally used as a  
104 mucolytic in chronic obstructive pulmonary disorder. It is a precursor of L-cysteine that has

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105 the ability to enhance glutamate transmission and restore the reduced glutamate level caused  
106 by nicotine addiction <sup>19,20,21</sup>. Studies have shown that treatment with N-acetylcysteine led to  
107 participants reporting less withdrawal symptoms, decreasing their daily cigarette  
108 consumption, and significantly decreasing the reward effect of nicotine consumption  
109 compared to the control group <sup>22</sup>. However, over time, about 50% of the participants relapsed  
110 <sup>20,23</sup>.

111



114 *Figure 1.* The figure shows the processes leading to nicotine dependence. It shows that  
115 nicotine release, triggers an interaction with nAChRS on dopaminergic and glutamatergic  
116 neurons, particularly on mGlu5 receptor. Nicotine triggers the change of mGluR5 availability.  
117 It further illustrates the accumulating evidence suggesting that mGluR5 is significant in  
118 nicotine addiction.

119

## 120 **The role of mGluR5 in nicotine addiction in preclinical studies**

121 Metabotropic glutamate receptor 5 (mGluR5) belongs to the Group I metabotropic  
122 receptors and its actions are predominantly excitatory. Most mGluR5s are on postsynaptic  
123 neurons, but they are also found on presynaptic neurons, on glial cells, and on intracellular  
124 membranes with the ability to activate multiple cell signalling pathways. MGlU5 is a G  
125 protein-coupled receptor that activates phospholipase C, which produces diacyl glycerol and  
126 inositol triphosphate, which in turn increases calcium. Therefore, mGluR5 is responsible for  
127  $\text{Ca}^{2+}$  fluctuations and regulates the activity of locomotor networks and neurotransmitter  
128 release. Recently, the extracellular signal-regulated kinase (ERK) as a downstream mediator  
129 of mGluR5 activity has been investigated in relation to addiction because of its role in  
130 synaptic plasticity, including maladaptive forms of plasticity associated with drug abuse <sup>24</sup>.  
131 Furthermore, Calcium ions are one type of second messengers and the  $\text{Ca}^{2+}$  signalling  
132 pathway is a key component of the mechanisms that regulate neuronal excitability,  
133 information processing, and cognition, and it has been implicated in various neural diseases  
134 <sup>15,25,26</sup>. A high density of mGluR5 can be found in several brain areas such as the forebrain,  
135 striatum, limbic system, amygdala, hippocampus, NAc, olfactory tubercle, and cerebral cortex  
136 <sup>26</sup>. Furthermore, mGluR5 is critically implicated in normal and aberrant neuroplasticity and is  
137 involved in learning, motivation, motor coordination, reward behaviour, substance abuse,  
138 memory and emotion. Several recent reviews have suggested a potential association between



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139 mGluR5 and nicotine addiction <sup>11,13,15</sup>. In an mGluR5 knock out model study, it was  
140 suggested that this receptor is implicated in anhedonia and somatic signs of nicotine  
141 withdrawal <sup>27</sup>. These findings are consistent with pharmacological studies showing mGluR5  
142 related signalling in nicotine addiction. In animal studies, rats who were treated acutely with  
143 nicotine (subcutaneously) showed increased levels of extracellular glutamate in the NAc <sup>28</sup>  
144 and downregulation of mGluR5 expression <sup>8,14</sup>. Such an inverse relationship between mGluR5  
145 and glutamate levels as determined by MRS have also been found in humans <sup>29</sup>. In addition,  
146 intracellular interactions between protein kinases and metabotropic receptors in the striatum,  
147 might regulate behavioural changes in response to drug abuse <sup>30</sup>. Specifically, repeated  
148 exposure to nicotine increased ERK phosphorylation in adult rats <sup>31</sup>.

149         Interestingly, pharmacological studies have found functional interactions of mGluR5  
150 with dopamine D1/D2, NMDA, adenosine A2, and GABA receptors <sup>11,13,15</sup>. The mGlu5  
151 receptor was co-localised with dopamine and adenosine receptors in the striatum, including  
152 the NAc, where they are involved in the regulation of dopaminergic neurotransmission <sup>15,25</sup>.

153         More research is needed to understand the potential interactions between mGluR5  
154 signalling and dopaminergic neurotransmission in the reward system. It is established that  
155 dopamine and glutamate system are anatomically closely located in the meso-cortico-limbic  
156 area. These brain regions are important in the regulation of motivation behaviours and  
157 emotions. Researchers have shown the interaction between mGlu5 and DA receptors, with  
158 mGluR5 being involved in the regulation of DA release in the NAc <sup>15</sup>. It can be suggested that  
159 mGluR5 plays a major role in the regulation of the reinforcing effects of nicotine through  
160 modulation of dopaminergic neurotransmission <sup>32</sup>. The interaction of both systems suggests  
161 the importance for both; controlling addiction, and reward related behaviour in nicotine  
162 addiction, by demonstrating that the strong rewarding effect of dopamine overflow can be  
163 modulated by mGluR5 inhibition <sup>15</sup>. Furthermore, the direct inhibition of NMDAR channels

164 are regulated by the mGlu5 receptors through the protein complex formed by Homer <sup>33</sup>.  
165 Activation of NMDAR is responsible for long-term learning and memory and plays main role  
166 in development in drug addiction <sup>34</sup>.

167

## 168 **Therapeutical potential of mGluR5-NAMs in preclinical studies**

169 Several studies used negative allosteric mGlu5 receptor antagonists MTEP (3-((2-  
170 Methyl-4-thiazolyl)ethynyl)pyridine) or MPEP (3-((2-Methyl-4-thiazolyl)ethynyl)pyridine)  
171 <sup>11,15</sup> to study the relevance of mGluR5 signalling in nicotine addiction. Prior treatment with  
172 MPEP (which inhibits the responding for nicotine) for 30 minutes resulted in a dose-  
173 dependent reduction of nicotine self-administration while at the same time decreased  
174 extracellular DA level in NAc (Tronci & Balfour, 2011). Furthermore, pre-treatment with  
175 MPEP in rats inhibited responses to nicotine, suggesting MPEP inhibits nicotine seeking  
176 behaviour <sup>35</sup> Furthermore, the effect of MPEP administration in nicotine treated rats was  
177 highly significant compared to control, saline-treated rats. The response to nicotine in rats was  
178 greater if they were pre-treated with nicotine for eight days prior to the testing session <sup>36</sup>.  
179 MPEP's effect on nicotine consumption may be mediated by intracellular protein kinases such  
180 as ERK in the brain reward system <sup>31</sup>. Mavoglurant and other medications (e.g. AZD2066,  
181 Basimglurant), which target mGluR5, have been examined in human research as an aid for  
182 nicotine cessation. However, these medications have the potential to cause some serious side  
183 effects in humans such as hallucinations, skin reactions and cognitive problems <sup>36</sup>. MTEP and  
184 MPEP were shown to decrease nicotine intake, however, neither appeared to reduce the  
185 reward enhancing effects of nicotine. In an intravenous nicotine self-administration study,  
186 MPEP injection reduced self- administration in a dose dependent manner, while it did not  
187 alter general locomotion and lever pressing for sweetened food reward in rats <sup>35</sup>. This could  
188 either indicate that food was a more rewarding treat than nicotine or a nicotine specific

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189 involvement of mGluR5. MGluR-NAMs lead to a reduction of nicotine self-administration  
190 but have no influence on the motivation enhancing effect of nicotine <sup>36,37</sup>. In a wide  
191 preclinical study, rats that received the pre-treatment with MPEP and were either non-  
192 conditioned or operant conditioned to nicotine, showed that MPEP attenuated the reinforcing  
193 properties of nicotine. It suggests that the activity of mGlu5 receptors may play an important  
194 role in provoking drug-seeking behaviour and nicotine cravings in habitual smokers exposed  
195 to cues associated with their smoking habit <sup>35</sup>. In addition, pre-treating rats with dose  
196 dependent MPEP, nicotine causes attenuated DA overflow in the NAc <sup>15,35</sup>. It is therefore  
197 hypothesised that mGluR5 antagonists downregulate the increasing extracellular DA from  
198 injections of nicotine. Antagonists at mGlu5 receptors may therefore lead to smoking  
199 cessation <sup>14,15,35</sup>. But a further study with rats showed that MPEP enhances the effect of  
200 nicotine and induces the conditioned place preference (CPP) <sup>38</sup>. It was hypothesised that the  
201 effect of MPEP on the mesolimbic system may induce the rewarding effect of nicotine <sup>38</sup>.  
202 However, this finding differs from past studies <sup>14,15,35,39</sup>. In addition, mGluR5-targeting drugs  
203 may help to prevent relapse during nicotine withdrawal. The mGluR5 NAM showed a  
204 significant potential therapeutic effect, decreasing nicotine seeking behaviour <sup>11,15,36</sup>.  
205 Furthermore, mGluR5 NAM should not lead to altering mood or cognitive enhancing effects  
206 of nicotine <sup>37</sup>. Similarly, preclinical studies on the effects of mGluR5 NAMs during early  
207 nicotine abstinence have shown that these drugs may worsen the somatic and depression-like  
208 symptoms of nicotine withdrawal <sup>15,36</sup>. The situation of either timing or combination of  
209 mGluR5 targeting therapeutics needs further investigation.

210

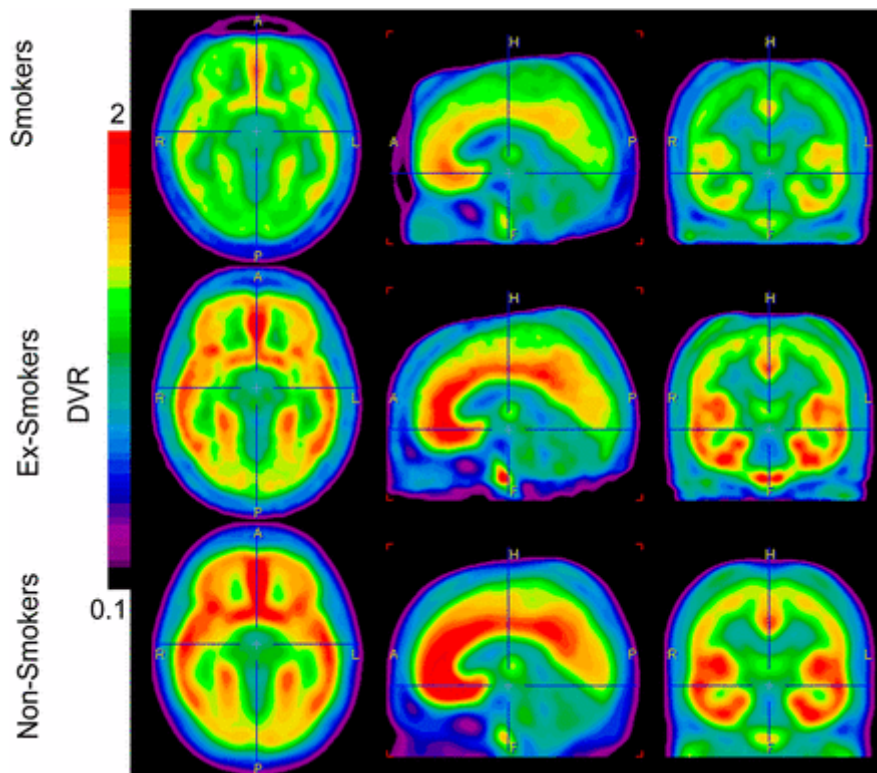
## 211 **mGluR5 and nicotine addiction in humans**

212 Positron Emission Tomography (PET) radioligands like [11C]ABP688 <sup>40</sup> are used in humans  
213 to assess the distribution of mGluR5 in the brain and its subsequent role in smoking addiction.

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214 In a series of studies, the availability of mGluR5 in non-smokers, smokers and ex-smokers  
215 (abstinent for an average of 25 weeks) was investigated <sup>9,10</sup>. These results provided support  
216 for markedly lower mGluR5 density in smokers. Amongst 14 smokers, global mGluR5  
217 distribution volume ratio (DVR) was 20.6% lower in the gray matter compared to 14 non-  
218 smokers <sup>9</sup>. Furthermore, it was found that 14 ex-smokers, had a higher mGluR5 density  
219 compared to smokers, which may be due to incomplete recovery of the receptors, especially  
220 because the ex-smokers were abstinent for only 25 weeks on average. Lower mGluR5 binding  
221 may be an adaptation to chronic increases in glutamate as a result of chronic nicotine  
222 administration (See Figure 2). In a follow-up study, 14 non-smokers, 14 smokers, 14 long-  
223 term ex-smokers (abstinent for greater than 1.5 years), and 14 recent ex-smokers (abstinent  
224 for 5-12 month) were compared. Long-term ex-smokers and non-smokers showed no  
225 difference in mGluR5 binding and long-term ex-smokers showed significantly higher  
226 mGluR5 binding compared to recent ex-smokers. Seven of the recent ex-smokers were still  
227 abstinent even after one year and showed higher mGluR5 distribution volumes at baseline  
228 than relapsing participants <sup>10</sup>. The effect of smoking on mGluR5 availability is strong <sup>9,10</sup>  
229 and comparable to nicotine effects on mGluR5 in cocaine users <sup>41</sup>. Here, smoking results in  
230 lower mGluR5 binding than in the cocaine using and control groups, and cocaine does not  
231 appear to affect mGluR5 binding <sup>41</sup>. A similar reduction of mGluR5 binding as a result of  
232 smoking has also been shown in schizophrenia <sup>42</sup>. It is suggested, that chronic nicotine abuse  
233 disturbed the homeostasis of glutamatergic transmission, and might lead - via increasing  
234 glutamate release - to a down regulation of mGluR5 density in the cortex <sup>9,15</sup>.

235



236

237 *Figure 2.* Images display the average brain uptake of mGluR5 DVR in the three diagnostic  
238 groups. The brain uptake is visibly reduced in the smoker and ex-smoker group, compare with  
239 the non-smoker group (See <sup>9</sup> open access.).

240 A current longitudinal animal study has shown the impact of chronic nicotine exposure  
241 on mGluR5 using the novel radiotracer [18F]PSS232. Here, PET shows lower [18F]PSS232  
242 binding. Furthermore, after prolonged nicotine withdrawal, [18F]PSS232 binding normalized  
243 in these rodents <sup>43</sup>. These results replicate those from a previous study by the authors <sup>9</sup>.  
244 However, a further study on mGluR5 binding in Major Depressive Disorder found  
245 significantly lower caudate mGluR5 DVR in smokers relative to non-smokers, although this  
246 difference did not survive correction for multiple comparisons <sup>29</sup>.

247 In summary, there is growing preclinical and clinical evidence that mGluR5 plays an  
248 important role in nicotine addiction. So far, drugs targeting mGluR5 did not show clinical  
249 utility because of lack of consistent efficacy or severe side effects. Nevertheless, findings

250 encourage research into therapeutic drugs targeting mGluR5 as combination therapies for  
251 patients to treat their nicotine addiction.

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253

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