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**Effortful Listening: Sympathetic Activity Varies as a Function of Listening Demand but
Parasympathetic Activity Does not**

Kate Slade^a, Sophia E. Kramer^b, Stephen Fairclough^c, and Michael Richter^c

^a Department of Psychology, Lancaster University, Lancaster, UK

^b Amsterdam UMC, Vrije Universiteit Amsterdam, Otolaryngology—Head and Neck Surgery, Ear and Hearing, Amsterdam Public Health Research Institute, De Boelelaan, Amsterdam, the Netherlands

^c School of Psychology, Liverpool John Moores University, Liverpool, UK

Correspondence concerning this article should be addressed to Kate Slade, Department of Psychology, Fylde College, LA1 4YF, Lancaster, UK or Michael Richter, Faculty of Health, School of Psychology, Byrom Street, L3 3AF, Liverpool, UK. E-mail: k.slade2@lancaster.ac.uk, m.richter@ljmu.ac.uk

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Highlights

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- Increased listening demand leads to increased cardiac sympathetic activity.

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- Increased listening demand results in increased PEP reactivity.

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- Extremely high (impossible) listening demand results in weak ANS response.

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Abstract

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Research on listening effort has used various physiological measures to examine the biological correlates of listening effort but a systematic examination of the impact of listening demand on cardiac autonomic nervous system activity is still lacking. The presented study aimed to close this gap by assessing cardiac sympathetic and parasympathetic responses to variations in listening demand. For this purpose, 45 participants performed four speech-in-noise tasks differing in listening demand—manipulated as signal-to-noise ratio varying between +23 dB and -16 dB—while their pre-ejection period and respiratory sinus arrhythmia responses were assessed. Cardiac responses showed the expected effect of listening demand on sympathetic activity, but failed to provide evidence for the expected listening demand impact on parasympathetic activity: Pre-ejection period reactivity increased with increasing listening demand across the three possible listening conditions and was low in the very high (impossible) demand condition, whereas respiratory sinus arrhythmia did not show this pattern. These findings have two main implications. First, cardiac sympathetic responses seem to be the more sensitive correlate of the impact of task demand on listening effort compared to cardiac parasympathetic responses. Second, very high listening demand may lead to disengagement and correspondingly low effort and reduced cardiac sympathetic response.

Keywords: effort; sympathetic activity; parasympathetic activity; pre-ejection period; respiratory sinus arrhythmia; motivational intensity theory;

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1. Introduction

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In the last decade, physiological measures have become popular in the literature and research on listening effort. Researchers used various measures like pupil dilation (Koelewijn, Zekveld, Lunner, & Kramer, 2018b; Strand, Brown, Merchant, Brown, & Smith, 2018; Zekveld & Kramer, 2014), electroencephalographic (EEG) activity (Bernarding, Strauss, Hannemann, Seidler, & Corona-Strauss, 2017; Miles et al., 2017), pre-ejection period (Plain et al., 2020; Richter, 2016a), skin conductance (Alhanbali, Dawes, Millman, & Munro, 2019; Mackersie & Calderon-Moultrie, 2016; Mackersie & Kearney, 2017; Seeman & Sims, 2015), electromyographic activity (Mackersie & Cones, 2011), heart rate variability (Mackersie & Calderon-Moultrie, 2016; Mackersie & Kearney, 2017; Seeman & Sims, 2015), and fMRI responses (Wild et al., 2012) to assess the effort that individuals invest in listening tasks (see Francis & Love, 2020; McGarrigle et al., 2014, for reviews). However, given that the selection of a particular physiological measure in listening effort research was frequently unaccompanied by a theoretical rationale, the current psychophysiological literature on the topic is fragmented. In this article, we draw from empirical evidence on autonomic nervous system activity associated with physical effort as well as on motivational intensity theory (Brehm & Self, 1989) to present a model that enables a more systematic approach to researching the (cardiovascular) psychophysiology of listening effort and provide a first empirical test of this model. A more systematic, theory-driven approach will help researchers to examine listening effort in a more focussed manner. It will provide guidance which measures to assess and which effects to expect. It will also facilitate the aggregation of individual studies on the psychophysiology of listening effort in systematic reviews and make these reviews more conclusive.

Motivational intensity theory (Brehm & Self, 1989) is a psychological theory about effort investment that adopts a definition of effort similar to the definition of listening effort provided by the Fifth Eriksholm Workshop on “Hearing Impairment and Cognitive Energy” (Pichora-Fuller et al., 2016): (Listening) effort refers to energy or resources that are used to overcome obstacles in goal-directed tasks (for instance, watching a movie on TV while your neighbours are having a noisy

80 birthday party). Motivational intensity theory suggests that these resources are limited and that
81 individuals therefore aim to conserve them whenever possible. Consequently, individuals use
82 available information about task demand—that is, information about the amount of resources
83 required to successfully perform the task at hand—to adjust their effort investment: the lower the
84 demand, the lower the effort investment. This strategy ensures that individuals never waste
85 resources by investing more than necessary. However, the proportional relationship between task
86 demand and effort investment requires an upper limit to avoid wasting resources by investing more
87 effort than justified or by investing effort when task demand becomes so high that success is
88 impossible. Consequently, motivational intensity theory predicts that task demand directly
89 determines effort if 1) the importance of success justifies the required effort investment and if 2)
90 task success is possible. If these two conditions are not met, individuals should refrain from investing
91 effort (see Richter, 2013; Wright, 2008, for detailed discussions of motivational intensity theory's
92 predictions).

93 Most of the empirical research on motivational intensity theory has relied on Wright's
94 (1996) suggestion that effort investment in cognitive tasks (i.e., mental effort) is associated with
95 increased myocardial sympathetic nervous system (SNS) activity. Drawing on this perspective,
96 researchers examined the impact of various manipulations of task demand and success importance
97 on cardiovascular parameters affected by sympathetic activity, like pre-ejection period and systolic
98 blood pressure (Gendolla, Wright, & Richter, 2019; Richter, Gendolla, & Wright, 2016, for recent
99 overviews). Given Wright's (1996) focus on myocardial sympathetic activity, it comes as no surprise
100 that research on motivational intensity theory has rarely examined the association between effort
101 and the activity of the parasympathetic nervous system (PNS) (see Harper, Eddington, & Silvia, 2016;
102 Richter, 2010b; Silvia, Beaty, Nusbaum, Eddington, & Kwapil, 2014; Silvia, Eddington, Beaty,
103 Nusbaum, & Kwapil, 2013; Silvia et al., 2016; Venables & Fairclough, 2009, for exceptions).

104 Interestingly, the physiological literature on physical effort suggests that both branches of
105 the autonomic nervous system (ANS) are involved in effortful tasks (McArdle, Katch, & Katch, 2010;

106 Michael, Graham, & Davis, 2017). The increase in cardiac activity that accompanies physical exercise
107 is the result of both decreased PNS activity and increased SNS activity. The relative contribution of
108 the two systems differs however as a function of the intensity of the physical exercise (Robinson,
109 Epstein, Beiser, & Braunwald, 1966; White & Raven, 2014). The increase in cardiac activity from rest
110 to low-intensity physical exercise is mainly driven by reductions in inhibiting PNS activity. The
111 contribution of the SNS is negligible. However, both the PNS and the SNS contribute to the
112 additional increase in cardiac activity from low-intensity exercise to moderate-intensity exercise:
113 PNS activity decreases further and SNS activity increases. Given that PNS withdrawal is almost
114 complete at moderate exercise intensity levels, increases in cardiac activity from moderate to high
115 levels of exercise intensity are mainly driven by additional increases in SNS activity. Increases in
116 physical effort—from low to high intensity exercise—are thus characterised by a change from an
117 uncoupled parasympathetic withdrawal mode of autonomic control to a coupled reciprocal mode
118 (Berntson, Cacioppo, & Quigley, 1991) and by a specific change in SNS-PNS balance: PNS activity
119 dominates if physical effort is low whereas SNS activity dominates if physical effort is high.

120 Drawing on models where patterns of ANS activity during performance of demanding
121 (stressful) cognitive tasks are hypothesised to reflect adaptive physiological responses to physical
122 threats in ancestral environments (Boyce & Ellis, 2005; Nesse, Bhatnagar, & Ellis, 2016; Nesse,
123 Bhatnagar, & Young, 2007; Obrist, 1981), we suggest that our ANS system does not differentiate
124 between physical and cognitive demands in relation to their impact on the heart. Consequently, the
125 same autonomic mechanisms associated with physical effort should underlie effort investment in
126 cognitive tasks—including tasks that require the investment of listening effort. Therefore, low
127 mental (listening) effort should be associated with decreased PNS activity and negligible increases in
128 SNS activity. Moderate mental (listening) effort should be characterised by strong reductions in PNS
129 activity and increased SNS activity. High mental (listening) effort should be associated with complete
130 PNS withdrawal and strong increases in SNS activity. Figure 1 illustrates this pattern modelled as
131 quadratic relationships between effort intensity and SNS and PNS activity. Appendix A provides

132 information on why we decided to use quadratic functions to model the relationship between effort
133 intensity and SNS and PNS activity.

134 The existing empirical literature on motivational intensity theory and listening effort does
135 not provide conclusive evidence regarding this hypothesis. Studies on motivational intensity theory
136 that included measures of both SNS and PNS activity had complex designs that make a
137 straightforward interpretation difficult. The studies examined the impact of perfectionism (Harper et
138 al., 2016), grit (Silvia et al., 2013), creativity (Silvia, Beaty, et al., 2014), dysphoria (Silvia et al., 2016;
139 Silvia, Nusbaum, Eddington, Beaty, & Kwapil, 2014), reward value (Richter, 2010b), task context
140 (Richter, 2010b), and bogus performance feedback (Venables & Fairclough, 2009) but did not—with
141 one exception (Silvia et al., 2016)—include direct manipulations of task demand, which provide the
142 most straightforward test of the predicted relationship between ANS activity and mental effort.
143 Silvia and colleagues (2016) examined the interaction of task difficulty and depression in a d2
144 concentration task—a task in which one has to find all “d’s” with two dashes in a series of letters
145 presented with up to four dashes (Brickenkamp, 2002). They observed that SNS activity—assessed as
146 pre-ejection period reactivity—increased with increasing task difficulty from the easy condition to
147 the hard condition but was low in the very-hard condition. However, only participants with a high
148 number of depressive symptoms displayed this pattern. If participants’ depression levels were low,
149 task difficulty did not affect SNS activity. Moreover, Silvia and colleagues did not observe any effects
150 on PNS—assessed as respiratory sinus arrhythmia reactivity—activity. The absence of effects on PNS
151 activity is characteristic for most studies on motivational intensity theory that examined PNS
152 responses. There are, however, two exceptions. Silvia et al. (2013) and Silvia, Beaty, et al. (2014)
153 found that both SNS and PNS activity increased from baseline to task performance. The SNS effects
154 observed in these studies were thus in line with our predictions but the observed increases in PNS
155 activity are difficult to interpret in terms of effort investment.

156 Four listening effort studies assessed SNS and PNS activity, so far. Seeman and Sims (2015)
157 assessed changes in skin conductance—an indicator of sympathetic activity (Dawson, Schell, & Filion,

2017)—and heart rate variability—an indicator of parasympathetic activity (Berntson et al., 1997; Task Force of the European Society of Cardiology and the North American Society of Pacing Electrophysiology, 1996)—in response to two different listening tasks. In a diotic-dichotic listening task (Study 1), increases in task complexity increased heart rate variability but did not influence skin conductance level. In a speech-in-noise task (Study 2), lower signal-to-noise (SNR) ratios were associated with increased heart rate variability but no effects of SNR on skin conductance level were observed. Mackersie and Calderon-Moultrie (2016) also assessed skin conductance level and heart rate variability in a speech-in-noise task. They observed that the listening task resulted in increased skin conductance level and decreased heart rate variability compared to rest. Moreover, both measures differentiated between normal and fast speaking rates. If speaking rate was fast (i.e. if more effort was required to understand the speech), heart rate variability was lower and skin conductance level was higher than if speaking rate was normal. Mackersie and Kearney (2017) used a speech-in-noise task that included a manipulation of task demand—that is, participants had either to repeat words from spoken text (low task demand) or answer comprehension questions about the text (high task demand) —as well as a manipulation of evaluative observation—that is, participants were either recorded for later assessment or not. They found decreased heart rate variability and increased skin conductance when task demand increased. However, heart rate variability did not vary as a function of task demand or observation. Skin conductance increased in the high-demand-high-evaluation condition compared to the other three conditions. In short, the available listening effort studies that examined the activity of both ANS branches provided some support for the notion that listening effort is associated with changes to sympathetic and parasympathetic activity assessed using skin conductance, and heart rate variability, respectively. However, a consistent relationship between either branch of the ANS and listening demand was not observed: Skin conductance and heart rate variability varied as a function of listening demand in some studies, but not in others.

To gather more conclusive information about the role of sympathetic and parasympathetic activity in listening effort, we decided to examine ANS activity across multiple levels of listening

184 demand. Manipulating listening demand across more than two levels allowed us to examine the
185 effect of changes in listening demand on effort-related ANS activity in a more comprehensive
186 manner. In particular, it allowed us to specifically test the predicted quadratic relationships between
187 listening demand and SNS and PNS activity, which is not possible with only two demand levels. We
188 also decided to include a condition with extremely high listening demand to test for the
189 disengagement that motivational intensity theory predicts for impossible demand levels. We
190 focussed on cardiac ANS activity given our physiological rationale and given that ANS responses
191 show regional differentiation (Esler et al., 1990). In contrast to preceding work on listening effort, we
192 therefore did not use skin conductance as an indicator of sympathetic activity, but pre-ejection
193 period (PEP)—the time interval between the excitation of the left heart ventricle and the beginning
194 of the ejection of blood into the aorta. Skin conductance level is influenced by sympathetic outflow
195 to the sweat glands (Dawson et al., 2017), whereas PEP constitutes an indicator of SNS impact on the
196 heart (Newlin & Levenson, 1979; Sherwood et al., 1990). To assess PNS activity we used—like
197 preceding work on listening effort and motivational intensity theory—a specific type of heart rate
198 variability, respiratory sinus arrhythmia (RSA). RSA represents variability in the heart beat
199 synchronous with respiratory activity and is considered a valid indicator of cardiac PNS activity
200 (Berntson et al., 1997; Task Force of the European Society of Cardiology and the North American
201 Society of Pacing Electrophysiology, 1996). Assessing PEP and RSA thus allowed us to specifically
202 observe the cardiac SNS and PNS responses to variations in listening demand.

203 To examine how variations in listening demand affect PEP and RSA, participants performed a
204 listening task in which they had to understand speech embedded in background noise, which was
205 varied to create three possible and one impossible listening demand levels. We expected a quadratic
206 increase of PEP reactivity—the change from rest to task performance—across the three possible
207 demand levels: The relative increase in PEP reactivity from low demand to medium demand should
208 be smaller than the increase from medium to high demand. RSA reactivity was hypothesised to show
209 a quadratic decrease across these demand levels: The relative increase in RSA reactivity from low

210 demand to medium demand should be greater than the increase from medium to high demand. In
211 the impossible demand condition, we expected participants to disengage and thus predicted
212 correspondingly low PEP and RSA reactivity. Figure 2 displays these hypotheses. Please note that for
213 both measures a greater reactivity implies a more negative value given that increased SNS and
214 decreased PNS activity lead to shorter PEP and RSA values.

215 **2. Material and Methods**

216 **2.1 Participants and Design**

217 A sample of 45 adults ($M_{\text{age}} = 24.87$, $SD_{\text{age}} = 5.74$; $M_{\text{BMI}} = 25.12$, $SD_{\text{BMI}} = 5.76$), 26 females and
218 19 males, without pacemakers participated for a potential 20-GBP in Amazon vouchers. Sample size
219 was determined using G*Power (Faul, Erdfelder, Lang, & Buchner, 2007) setting alpha error to 5%,
220 beta error to 5% and Cohen's f to 0.25. All participants reported no diagnosis of hearing impairment.
221 Each participant participated individually and completed all four demand conditions (low, moderate,
222 high, and impossible) of a speech-in-noise task presented in random order.

223 **2.2 Materials**

224 All materials were presented to participants on a single computer screen using experiment
225 generation software (Inquisit by Millisecond Software, Seattle, WA). The software presented all task
226 stimuli and collected all the participants responses.

227 **2.2.1 Speech-in-noise task**

228 In each trial of the speech-in-noise task, participants listened via headphones to a 32-second
229 short story spoken by a female voice in the presence of white noise—the story started a few
230 milliseconds after the white noise. Please see Appendix B for three examples of such stories, but all
231 story stories, audio files, and associated experimental scripts can be found in the supplementary
232 materials (<https://doi.org/10.24377/LJMU.d.00000087>). All stories were created by the authors
233 using computer-generated speech in a female voice without accent. The decibel (dB) level of the
234 white noise was informed by a pilot calibration procedure. Six individuals with normal hearing were
235 presented with the short stories in differing levels of white noise (SNR levels from -10 dB to 2 dB).

236 The individuals indicated whether they found it easy, moderately difficult, difficult, or impossible to
237 identify the speech at these SNR levels. Trials with SNRs of 2 dB and -4 dB were most frequently
238 rated as moderately difficult and difficult, respectively, these levels were thus selected for use in the
239 moderate and high-demand listening task conditions. However, when selecting the SNR values for
240 the low and impossible conditions, it was necessary to ensure that the low demand condition would
241 be sufficiently easy, and that task success would be unattainable in the impossible condition. To
242 ensure that the low-demand condition would be sufficiently easy, an SNR level higher than those
243 employed during piloting was chosen to remove any ambiguity in ensuring that minimal-to-no effort
244 would be required for task success. Similarly, the SNR level selected in the impossible demand
245 condition reflected a SNR level much lower than presented during piloting to ensure that task
246 success would be unattainable. We decided to use this calibration procedure—and against using
247 four SNR levels with equal SNR increases from one demand level to the next one—because research
248 on motivational intensity theory suggested that it is the subjective perception of task demand that
249 counts (e.g., Gendolla & Krusken, 2001; Wright, 1998; Wright & Franklin, 2004). The resulting SNR
250 levels were 23dB in the low-demand condition, 2dB in the moderate-demand condition, -4dB in
251 high-demand condition, and -16dB higher than the speech in the impossible-demand condition. The
252 output volume of the experimental computer was adjusted and maintained at a volume that was not
253 too adverse. This output level was measured with a sound level meter to ensure that the dB SPL did
254 not exceed 80 during the experiment, and participants were asked to confirm that the volume was
255 not too high. At the end of each short story, participants were given five seconds to respond to a 3-
256 option multiple-choice comprehension question. The speech-in-noise task trials were presented in
257 blocks of ten trials of one and the same demand level. The total duration of a trial was kept constant
258 at 38.50 seconds by adapting the inter-trial break as a function of participant's response time to the
259 multiple-choice question. Total duration of a block of the speech-in-noise task was thus 385 seconds
260 for all participants and in all demand conditions.

261 ***2.2.2 Fatigue, demand, and effort measures***

262 Participants' fatigue was assessed at the start of the experiment and after each block (please
263 see Section 2.3 for details of the experimental procedure) to examine whether increases in listening
264 effort would result in increased fatigue. A positive relationship between listening effort and fatigue
265 has been frequently reported in the literature (Alhanbali, Dawes, Lloyd, & Munro, 2017; Hornsby,
266 2013) and we attempted to replicate this relationship in our specific task context. Fatigue was
267 measured using a computer-based 9-item questionnaire designed for the purpose of this study, but
268 items included were based on key words in existing measures (Alhanbali et al., 2017; Nachtegaal et
269 al., 2009). Each item was composed of one fatigue-related word (fatigued, tired, and worn out) and
270 one word referring to an alert, energised state (energised, lively, well-rested), and participants had
271 to decide for each item which one of the two words best described their current state. We had
272 originally planned to present all possible combinations of the terms, but due to a coding mistake the
273 fatigue questionnaire included sometimes 10 items and up to two pairs were presented twice. To
274 take this issue into account, we quantified self-reported fatigue as the percentage of items in which
275 a participant selected the fatigue-related term. Participants reported perceived demand and effort
276 after each block of 10 speech-in-noise trials using two items ("How mentally demanding was the
277 listening task?", "How hard did you have to work to accomplish your level of performance?")
278 adapted from the NASA Task Load Index (Hart & Staveland, 1988). The item scales ranged from 1
279 (*very low*) to 5 (*very high*).

280 **2.2.3 Physiological measures**

281 For the quantification of PEP as indicator of SNS activity and RSA as indicator of PNS activity,
282 a CardioScreen 1000 impedance cardiograph (Medis, Illmenau, Germany) collected an impedance
283 cardiogram (ICG) and an electrocardiogram (ECG) at a sampling rate of 1000 Hz. The four pairs of
284 disposable electrodes of the device were placed on the left and right sides of the participant's chest
285 at the height of the xiphoid and on the right and left sides of the neck. To enable comparison with
286 preceding work on motivational intensity theory, which has frequently used blood pressure to test
287 effort-related hypotheses (see Gendolla et al., 2019, for a recent review), a Dinamap Carescape V100

288 monitor (GE Healthcare, Buckinghamshire, UK) assessed participants' systolic (SBP) and diastolic
289 blood pressure (DBP) in two-minute intervals using the oscillometric method. The monitor's blood
290 pressure cuff was applied to the participant's upper left arm. The collected ECG was also used to
291 determine participants' heart rate (HR), which allowed us in combination with participants' DBP
292 values to verify that PEP responses reflected myocardial sympathetic activity, and not pre-load or
293 after-load effects (Obrist, 1981; Obrist, Light, James, & Strogatz, 1987; Sherwood et al., 1990).

294 **2.3 Procedure**

295 Experiment generation software (Inquisit by Millisecond Software, Seattle, WA) controlled the
296 presentation of the experimental stimuli and collected participants' responses. After participants
297 had provided informed consent, the experimenter (the first author) measured their height and
298 weight. The experimenter then attached the CardioScreen electrodes and the blood pressure cuff
299 while participants indicated their age and gender. Participants completed the fatigue measure for
300 the first time to determine baseline fatigue.

301 Participants then performed the four demand versions of the speech-in-noise task in four
302 blocks. The order of the blocks was determined by computer-controlled simple randomization. Each
303 block included task instructions, two practice trials, a baseline period, ten speech-in-noise task trials,
304 and the fatigue, demand, and effort items presented in the order described in the following
305 sentences. The task instructions provided general information about the task and informed
306 participants that they would earn an £5 Amazon Voucher if they answered correctly at least seven of
307 the multiple-choice questions of the current block. The practice trials were of the same demand
308 level as the ten speech-in-noise task trials presented in the block and allowed the acquisition of
309 information about task demand. Participants received feedback on the accuracy of their response to
310 the multiple-choice question at the end of each practice trial, but not during the main speech-in-
311 noise task. During the 6-minute baseline period, participants watched a clip from the nature
312 documentary Kingdom of Plants (Williams, 2012), while their cardiovascular activity at rest was
313 assessed. ECG and ICG signals were continuously assessed during the baseline period and during the

314 presentation of the ten speech-in-noise task trials. Blood pressure values were taken in two-minute
315 intervals starting after 60 seconds after the beginning of baseline period and 10 seconds after the
316 beginning of the task period. After the task, participants used the fatigue, effort, and demand items
317 to reports their current fatigue and how effortful and demanding the preceding task block had been.
318 After a participant had completed all four task blocks, the researcher carefully debriefed and
319 remunerated them.

320 **2.4 Data Preprocessing**

321 The collected ICG and ECG signals were analysed offline using BlueBox software (Richter,
322 2010a). ECG R-peaks were automatically detected using a peak threshold detection algorithm and
323 the detected R-peaks were visually confirmed. Ectopic beats were deleted as recommended by
324 Lippman, Stein, and Lerman (1994). HR was then determined by counting the number of R-peaks
325 (beats) per minute. The first derivative of the ICG signal (dZ) was computed and individual heart
326 cycles were extracted from the resulting dZ/dt signal using the locations of the detected R-peaks.
327 The dZ/dt segments were then averaged to obtain one ensemble average per minute (Kelsey &
328 Guethlein, 1990). Two independent raters identified in each ensemble average R-onset and B-point
329 following the official guidelines of the Society for Psychophysiological Research (Sherwood et al.,
330 1990). PEP values were computed as difference between R-onset and B-point for each ensemble
331 average and rater. The arithmetic means of the PEP values of the two raters ($ICC[2, 2] > .99$)
332 constituted our final PEP scores.

333 Respiratory sinus arrhythmia was determined following published guidelines (Berntson et al.,
334 1997; Task Force of the European Society of Cardiology and the North American Society of Pacing
335 Electrophysiology, 1996). The detected R-peaks were first transformed into interbeat intervals (IBIs).
336 IBIs were resampled at 4 Hz, detrended with a 3-order polynomial (Litvack, Oberlander, Carney, &
337 Saul, 1995), and transformed into a power spectrum by Fast Fourier Transform (Welch's method,
338 1024 data points, Hamming window, 50% window overlap). Following the standard approach
339 (Berntson et al., 1997; Task Force of the European Society of Cardiology and the North American

340 Society of Pacing Electrophysiology, 1996), the power in the range from 0.15 to 0.40 Hz expressed in
341 normalized units—that is, the percentage of the power in the range from 0.15 to 0.40 Hz relative to
342 the power in the range from 0.04 to 0.40 Hz—was used as RSA measure.

343 Given that RSA refers to heart rate variability synchronous with respiration and that the
344 standard range of 0.15 to 0.40 Hz might not adequately capture the specific respiration frequencies
345 of our individual participants, we also computed a respiration-centred RSA (resp-RSA; Hernando et
346 al., 2016; Skytjoti, Sovik, & Elstad, 2017). We first determined each participant’s respiration
347 frequency using the ICG dZ signal (de Geus, Willemsen, Klaver, & van Doornen, 1995; Houtveen,
348 Groot, & de Geus, 2006). The dZ signal was filtered with 10-Hz low-pass and 0.1-Hz high-pass
349 Butterworth filters and then smoothed with three Savitzky-Golay filters as described in Seppa, Viik,
350 and Hyttinen (2010).¹ The filtered signal was then downsampled to 10 Hz and transformed into a
351 power spectrum by Fast Fourier Transform (Welch’s method, 1024 data points, Hamming window,
352 50% window overlap). After smoothing the spectrum with a Savitzky-Golay filter (11 data points, 2nd
353 order), the frequency associated with the spectrum’s peak amplitude in the range between 0.01 and
354 0.50 Hz was used as the participant’s respiration frequency. For ten participants the spectrum did
355 not allow an unambiguous identification of a peak, and the resp-RSA analysis is thus based on the
356 data of the 35 participants with a clear spectrum peak. The processing of the IBI signal followed the
357 same procedure as for RSA except that the normalised power in the frequency band centred around
358 the participant’s respiration frequency (respiration frequency +/- 0.05 Hz) was used and that the
359 normalisation was done in relation to the power in the band from .04 Hz to 0.50 Hz.

360 To obtain PEP, SBP, and DBP baseline scores, the measures obtained during the last five
361 minutes of each baseline period were averaged. A 5-minute window was employed to allow
362 participants the first minute during the baseline period to return to a physiologically restful state, as
363 such the last 5 minutes were considered to best reflect the participants baseline state. PEP, SBP, and
364 DBP task scores were computed as arithmetic mean of the measures collected during the first five

¹ We used a frame size of 2500 ms instead of 2000 ms for the last of the three Savitzky-Golay filters.

365 minutes of each task period. The first five minutes of this period were used as this was considered to
366 be the time at which the participants would be most engaged with the task. HR, RSA, and resp-RSA
367 values were already based on the appropriate five-minute epochs extracted from baseline and task
368 periods. In the last step of the data preprocessing, cardiovascular reactivity (change) scores (Llabre,
369 Spitzer, Saab, Ironson, & Schneiderman, 1991) were computed by subtracting PEP, RSA, resp-RSA,
370 HR, SBP, and DBP baseline scores from the associated task scores. These reactivity scores reflected
371 cardiovascular responses to the speech-in-noise task and constituted our final dependent variables.
372 Given that we also had a baseline measure of self-reported fatigue, we employed the same change-
373 score approach to the fatigue measure. That is, we used the fatigue score of the preceding measure
374 as baseline to quantify the specific fatigue response induced by a certain listening demand level.

375 **2.5 Statistical Analysis**

376 We applied a priori planned contrasts (Rosenthal & Rosnow, 1985) to test our hypotheses
377 about the impact of listening demand on PEP and RSA response. We modelled the expected
378 quadratic relationships using contrast weights combining standard quadratic polynomial contrast
379 weights with the prediction of equal response size in the low-demand and impossible-demand
380 conditions. The resulting contrast weights were +5 (low demand), +1 (moderate demand), -11 (high
381 demand), and +5 (impossible demand) for PEP reactivity and +7 (low demand), -5 (moderate
382 demand), -9 (high demand), and +7 (impossible demand) for RSA and resp-RSA reactivity. To
383 examine whether the quadratic relationship hypothesis provided a better explanation of the data as
384 the sawtooth relationship model—linear increase across the three possible demand conditions and
385 disengagement in the impossible condition—predicted by motivational intensity theory, we
386 compared the quadratic model with the sawtooth model (contrast weights: -3 in the low-demand,
387 +1 in the moderate demand, +5 in the high-demand, and -3 in the impossible-demand conditions;
388 e.g., Richter et al., 2008) using Bayes Factors (Masson, 2011; Richter, 2016b). The observed Bayes
389 Factors were interpreted according to Andraszewicz et al. (2014).

390 We also used planned contrasts to model predictions for HR, SBP, DBP, self-reported effort,
391 fatigue, and performance. Given that HR, SBP, and DBP constitute cardiovascular measures that are
392 influenced by the activity of both branches of the ANS, we used the standard set of contrast weights
393 modelling the sawtooth pattern predicted by motivational intensity theory (Richter et al., 2008). We
394 used the same contrast weights to examine the impact of listening demand on self-reported effort.
395 Self-reported fatigue and task performance—the number of correctly answered multiple-choice
396 questions—were analysed with a standard linear contrast modelling increased fatigue and
397 decreased performance with increasing listening demand. Given that all these predictions were
398 directional and effects in the opposite direction uninterpretable or uninteresting, we employed one-
399 tailed tests (Hales, 2016; Kimmel, 1957). Moreover, to prevent type-I (alpha) error inflation, we only
400 conducted these planned contrasts and refrained from using p-value based tests to explore any
401 effects that we had not predicted.

402

3. Results

403 3.1 Physiological Baselines

404 Table 1 displays condition means and standard errors of PEP, RSA, resp-RSA, SBP, DBP, and
405 HR baseline scores. Repeated measures correlations (Bakdash & Marusich, 2017) between all
406 assessed cardiovascular measures, performance, and self-report measures can be found in Table 2.
407 Respiration rate (in cycles per minute) was 17.74 ($SE = 0.49$) in the baseline period preceding the
408 low-demand condition, 17.39 ($SE = 0.44$) preceding the moderate-demand condition, 17.64 ($SE =$
409 0.45) preceding the high-demand condition, and 17.73 ($SE = 0.45$) preceding the impossible
410 condition.

411 3.2 Physiological Reactivity

412 Table 3 shows condition means and standard errors of all cardiovascular measures.
413 Respiration rate during task performance was as follows: 18.62 ($SE = 0.48$) in the low-demand
414 condition, 18.56 ($SE = 0.46$) in the moderate-demand condition, 18.67 ($SE = 0.48$) in the high-
415 demand condition, and 18.55 ($SE = 0.42$) in the impossible condition.

416 The planned contrast was significant for PEP, $t(132) = 2.05, p = .02, r_{\text{contrast}} = .30$, supporting
417 the predicted relationship between listening demand and SNS response. However, the contrast was
418 not significant for RSA, $t(132) = 1.58, p = .06, r_{\text{contrast}} = .23$, or resp-RSA reactivity, $t(102) = 1.18, p =$
419 $.12, r_{\text{contrast}} = .20$, providing no evidence for the predicted effect of listening demand on PNS
420 response. Figures 3 and 4 show the observed patterns of PEP and RSA reactivity. Comparing the
421 predicted quadratic relationship model with the standard sawtooth model did not strongly favour
422 any of the two models: $BF = 0.51$ for PEP, $BF = 1.51$ for RSA, and $BF = 1.25$ for resp-RSA. The planned
423 contrast was not significant for HR, $t(132) = 0.29, p = .39, r_{\text{contrast}} = .04$, SBP, $t(132) = 0.62, p = .27,$
424 $r_{\text{contrast}} = .09$, or DBP, $t(132) = 0.91, p = .18, r_{\text{contrast}} = .14$.

425 3.3 Task Performance and Self-reports

426 Table 4 displays condition means and standard errors of all self-reports and task
427 performance. Significant linear contrasts for task performance, $t(132) = 22.60, p < .001, r_{\text{contrast}} = .96$,
428 and self-reported task demand, $t(132) = 11.76, p < .001, r_{\text{contrast}} = .87$, suggested a successful
429 manipulation of listening demand. Self-reported fatigue displayed the same linear effect of task
430 demand, $t(132) = 4.03, p < .001, r_{\text{contrast}} = .52$. Self-reported effort showed the expected increase over
431 the three possible demand levels and the decrease in the impossible demand condition, $t(132) =$
432 $6.81, p < .001, r_{\text{contrast}} = .72$.

433 4. Discussion

434 The observed PEP reactivity pattern provided support for the predicted impact of listening
435 demand on cardiac SNS activity: Pre-ejection period reactivity increased across the three possible
436 listening demand levels and was low if participants were asked to perform an impossible speech-in-
437 noise task. The absence of parallel decreases in DBP and HR suggests that the observed PEP effects
438 indeed reflected changes in underlying sympathetic activity and not changes in pre-load—which
439 would have been indicated by a parallel decrease in HR (Obrist, 1981)—or after-load—which parallel
440 decreases in DBP would have suggested (Sherwood et al., 1990). However, our findings for RSA and
441 resp-RSA failed to provide evidence for the expected relationship between listening demand and

442 PNS activity: even if the effect sizes were moderate, the planned contrasts were not significant.
443 However, it may be valuable to note that the effect size for RSA was only minimally different from
444 the effect size observed for PEP. Nevertheless, our data only provided conclusive evidence for the
445 hypothesised relationship between listening demand and cardiac SNS activity, not for the
446 relationship between listening demand and cardiac PNS activity.

447 Interestingly our results summarise in this regard the existing studies on listening effort and
448 motivational intensity theory that examined the activity of both ANS branches. As discussed in the
449 introduction section, these studies consistently found evidence for demand effects on SNS activity
450 (e.g., Chatelain, Silvestrini, & Gendolla, 2016; e.g., Mackersie & Calderon-Moultrie, 2016; Mackersie
451 & Kearney, 2017; Mazeres, Brinkmann, & Richter, 2019; Richter et al., 2008; Seeman & Sims, 2015),
452 but the evidence for effects on PNS activity has been mixed. Some studies found significant effects
453 (e.g., Mackersie & Calderon-Moultrie, 2016; Seeman & Sims, 2015) whereas others have not (e.g.,
454 Mackersie & Kearney, 2017; Silvia et al., 2016). The available literature unfortunately does not
455 answer the question whether this variability of PNS effects is due to a weaker association between
456 task demand and PNS response or due to measure-related issues. In comparison to RSA, PEP has the
457 advantage that there are only two main confounding variables—pre-load and after-load (Sherwood
458 et al., 1990)—that may mask or mimic SNS effects on PEP. RSA is influenced by a broader range of
459 variables, which threaten its sensitivity as an indicator of parasympathetic activity (Berntson et al.,
460 1997; Grossman & Taylor, 2007). For instance, changes in respiration frequency and tidal volume
461 may alter RSA without any underlying change in PNS activity.

462 Even if the PEP data provided strong support for the impact of listening demand on cardiac
463 SNS activity, it is important to note that the postulated model—predicting a quadratic relationship
464 between listening demand and cardiac SNS activity up to the demand level where individuals
465 disengage—did not perform better than the standard motivational intensity theory model—
466 assuming a linear relationship for the range of possible demand levels. The Bayes Factors comparing
467 the two models did not favour our model for PEP reactivity and did also not provide conclusive

468 evidence in favour of it for RSA or resp-RSA reactivity. An inspection of Figures 2 and 3 reveals that
469 the lack of strong evidence for the predicted quadratic relationship between listening demand and
470 PEP reactivity was due to the reactivity in the moderate demand condition being greater than
471 predicted. Moreover, a lack of sensitivity of our experimental design for detecting differences
472 between the two models may have contributed to the lack of conclusive evidence. In our design, the
473 main difference between the two models was the predicted relative distance between the moderate
474 demand condition and the low and high demand conditions. The linear model predicted that the
475 difference in reactivity between the low and moderate demand conditions equals the difference
476 between the moderate and high demand conditions, whereas the quadratic model predicted a
477 smaller difference in PEP reactivity—or a larger difference in the case of RSA reactivity—between
478 the low and moderate demand conditions than between the moderate and high demand conditions.
479 That is, the relative performance of the two models was determined by the observed reactivity in
480 only one of the four demand conditions: the moderate demand condition. Comparing the models in
481 designs that include more than three possible demand levels will enable a better differentiation
482 between our quadratic model and the standard sawtooth model.

483 It is important to highlight the crucial role of the task demand calibration procedure. The
484 contrast weights that we used to model the expected quadratic relationships assumed equal
485 intervals between the low, moderate, and high demand conditions. That is, they relied on
486 participants perceiving the difference in demand between the low and moderate condition to be the
487 same as the difference between the moderate and difficult condition. If the verbal labels—low,
488 moderately difficult, and difficult—that we used to identify the SNR levels associated with low,
489 moderate, and high demand were not suitable to create equidistant demand levels, our contrast
490 weights would not have been appropriate. For instance, if the actual difference in perceived demand
491 was larger between the low and moderate demand conditions than between the moderate and high
492 demand conditions, a larger contrast weight difference between the low and moderate demand
493 conditions and a smaller contrast weight difference between the moderate and high demand

494 conditions would have been more appropriate. However, this problem seems to be innate to any
495 calibration of subjective demand levels: The calibration will always depend on the employed verbal
496 labels. Alternative demand calibration strategies that are common in listening effort research like
497 using equal SNR differences (e.g., Ohlenforst et al., 2018; Plain et al., 2020) or intelligibility levels
498 (e.g., Koelewijn, Zekveld, Lunner, & Kramer, 2018a; Wendt, Koelewijn, Ksiazek, Kramer, & Lunner,
499 2018) do not prevent this problem because they can also not guarantee that differences in perceived
500 demand between consecutive demand levels are equidistant.

501 In addition to demonstrating the impact of listening demand on cardiac sympathetic
502 response, our data also provided evidence for disengagement under conditions of very high,
503 impossible listening demand. Empirical work on motivational intensity theory has frequently
504 examined whether individuals disengage if task success is impossible or not worth the required
505 effort (see Stanek & Richter, 2016, for a meta-analytic review of 40 studies) but psychophysiological
506 work on listening effort started only recently to acknowledge that the relationship between listening
507 demand and effort may have an upper limit (Ohlenforst et al., 2018; Ohlenforst et al., 2017; Richter,
508 2016a; Wendt et al., 2018; Winn, Wendt, Koelewijn, & Kuchinsky, 2018; Zekveld & Kramer, 2014;
509 Zhang, Siegle, McNeil, Pratt, & Palmer, 2019). Interestingly all listening effort studies that showed
510 disengagement at extremely high (impossible) demand levels used pupil dilation as indicator of
511 listening effort (Ohlenforst et al., 2018; Ohlenforst et al., 2017; Wendt et al., 2018; Zekveld &
512 Kramer, 2014). Our findings replicate and extend these studies by demonstrating that
513 disengagement in listening tasks is also observable on cardiac sympathetic responses.

514 The next important step to develop a comprehensive understanding of the psychophysiology
515 of listening effort seems to build on the approach that Seeman and Sims (2015) and Mackersie and
516 colleagues begun (Mackersie & Calderon-Moultrie, 2016; Mackersie & Kearney, 2017) and to always
517 assesses the activity of both ANS branches if peripheral psychophysiological correlates of listening
518 effort are examined. Our study extended their work by focusing on SNS and PNS impact on one and
519 the same organ, and by examining listening demand effects across more than two task demand

520 levels. Given that the pupil is also innervated by both ANS systems, it would be easy to adopt this
521 approach also in listening effort studies that use pupillometry—probably the most frequently
522 assessed psychophysiological correlate of listening effort. Wang et al. (2018) already demonstrated
523 how the method suggested by Steinhauer, Siegle, Condray, and Pless (2004) for the differentiation of
524 SNS and PNS contribution to pupil dilation can be used in listening tasks. Future pupillometric
525 listening effort studies should follow their example and aim to separate SNS and PNS responses. If
526 future listening effort studies assessing peripheral physiological correlates of listening effort
527 consistently examined the individual contribution of both ANS branches, we would probably have in
528 a few years a good understanding of the ANS mechanisms underlying effortful listening.

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5. Conclusion

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The presented findings demonstrated that myocardial sympathetic activity, but not
parasympathetic activity, increased as a function of the demand of our speech-in-noise task if task
success was possible. They also revealed that both sympathetic and parasympathetic activity were
low if it was impossible to understand the speech. Our data thus illustrate that it is important to
acknowledge that the relationship between listening demand and effort is more complex than a
simple monotonic relationship. If listening demand is too high, individuals may give up and not
invest any effort in understanding speech. Moreover, listening effort research should focus on
myocardial sympathetic activity when examining physiological correlates of listening effort and
might consider sympathetic activity as a potential candidate for an indicator of listening effort.

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797

798 **Table 1**799 *Means and Standard Errors of Cardiovascular Baselines Scores*

Variable	Low		Moderate		High		Impossible	
	<i>M</i>	<i>SE</i>	<i>M</i>	<i>SE</i>	<i>M</i>	<i>SE</i>	<i>M</i>	<i>SE</i>
PEP	100.92	2.12	101.42	1.99	102.41	1.96	101.78	2.01
RSA	34.83	2.63	36.89	2.81	35.66	2.83	33.93	2.57
resp-RSA	22.77	2.37	25.26	2.67	23.89	2.50	22.53	2.38
SBP	107.89	1.33	107.75	1.51	107.97	1.36	108.27	1.43
DBP	69.07	1.06	69.26	0.98	69.71	1.04	69.60	1.15
HR	72.06	1.76	71.95	1.72	71.60	1.54	71.59	1.60

800 *Note.* *n* = 35 for resp-RSA. *N* = 45 for all other measures. PEP is in ms, RSA and resp-RSA are in nu,

801 SBP and DBP are in mmHg, and HR is in bpm.

802

Table 2*Bivariate Correlation Coefficients for Cardiovascular Measures, Performance, and Self-report Measures*

Variable	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1. PEP baseline	—														
2. RSA baseline	.11	—													
3. resp-RSA baseline	-.01	.83	—												
4. SBP baseline	-.21	-.08	-.01	—											
5. DBP baseline	.03	-.01	.07	.41	—										
6. HR baseline	.00	-.29	-.19	.23	.16	—									
7. PEP reactivity	-.31	-.19	-.17	.08	.00	-.12	—								
8. RSA reactivity	.04	-.65	-.51	-.04	-.05	.18	.17	—							
9. resp-RSA reactivity	.15	-.53	-.68	-.08	-.14	.16	.13	.76	—						
10. SBP reactivity	-.02	-.03	-.18	-.41	-.08	.01	-.03	.03	.17	—					
11. DBP reactivity	.01	.02	-.09	.04	-.55	.04	.03	.02	.10	.21	—				
12. HR reactivity	-.06	.11	-.02	.17	.08	-.28	.13	-.15	.03	.17	.10	—			
13. Performance	.01	.07	.05	.02	-.05	.08	-.09	.00	.05	.13	.05	-.02	—		
14. Demand	.06	-.07	-.05	.02	.05	-.04	-.10	.04	-.02	.01	.02	-.03	-.50	—	
15. Effort	.09	-.04	-.05	.01	.05	-.02	-.09	.02	-.03	.05	-.06	-.01	-.30	.83	—
16. Fatigue	-.09	-.04	-.05	.09	-.05	.00	.07	.04	-.01	-.05	.12	.05	-.34	.24	.20

Note. $n = 35$ for all correlations involving resp-RSA. $N = 45$ for all other measures. Correlations are repeated measures correlation (rmcorr) coefficients

(Bakdash & Marusich, 2017)

Table 3*Means and Standard Errors of Cardiovascular Reactivity Scores*

Variable	Low		Moderate		High		Impossible	
	<i>M</i>	<i>SE</i>	<i>M</i>	<i>SE</i>	<i>M</i>	<i>SE</i>	<i>M</i>	<i>SE</i>
PEP	-0.21	0.44	-1.02	0.49	-1.29	0.47	-0.15	0.38
RSA	-0.43	1.74	-4.10	1.87	-3.30	1.71	-1.44	1.63
resp-RSA	-1.87	1.76	-5.12	1.56	-4.43	1.84	-3.28	1.51
SBP	2.00	0.61	1.01	0.64	1.76	0.69	0.45	0.80
DBP	1.51	0.72	1.54	0.58	0.86	0.54	1.55	0.60
HR	3.04	0.54	3.72	0.55	3.40	0.51	3.58	0.68

Note. $n = 35$ for resp-RSA. $N = 45$ for all other measures. PEP is in ms, RSA and resp-RSA are in nu,

SBP and DBP are in mmHg, and HR is in bpm.

Table 4*Means and Standard Errors of Task Performance and Self-reported Demand, Effort, and Fatigue*

Variable	Low		Moderate		High		Impossible	
	<i>M</i>	<i>SE</i>	<i>M</i>	<i>SE</i>	<i>M</i>	<i>SE</i>	<i>M</i>	<i>SE</i>
Performance	9.67	0.10	7.87	0.18	7.62	0.24	2.73	0.28
Demand	2.02	0.14	3.29	0.15	4.11	0.11	4.20	0.20
Effort	2.16	0.15	3.42	0.14	4.22	0.11	3.73	0.24
Fatigue	-9.11	4.78	0.35	4.22	7.60	4.75	20.47	5.96

Note. $n = 45$.

Figure Captions

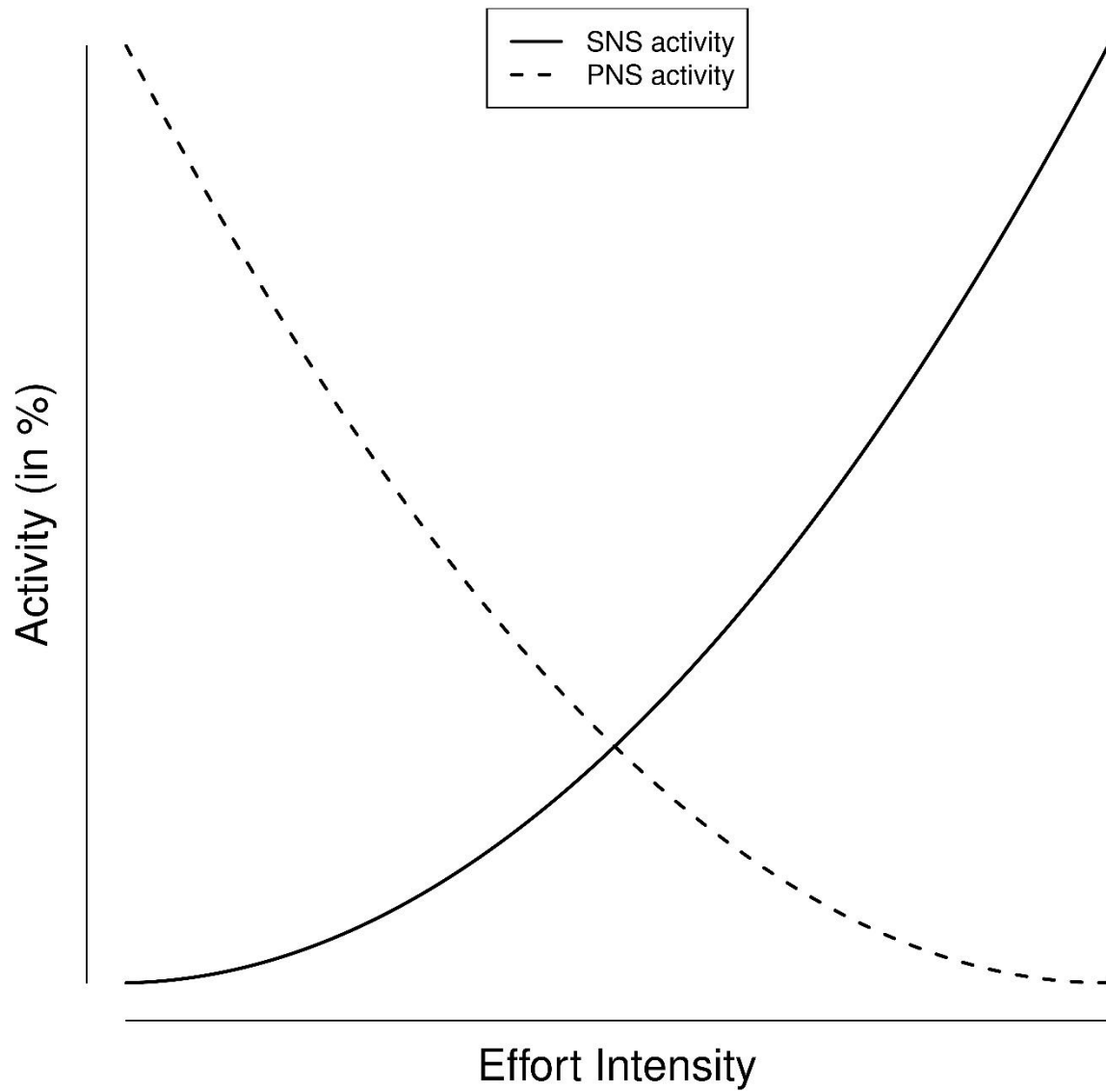
Figure 1. Hypothesized relationship between effort intensity and myocardial sympathetic and parasympathetic activity.

Figure 2. Predicted PEP and RSA reactivity as a function of listening demand.

Figure 3. PEP reactivity—the change from baseline to task—across the four listening demand levels. More negative values reflect an increase in reactivity, and thus increased sympathetic activation.

Figure 4. RSA reactivity—the change from baseline to task—across the four listening demand levels. More negative values reflect an increase in reactivity, and thus decreased parasympathetic activation.

Figure 1



Note. SNS = sympathetic nervous system. PNS = parasympathetic nervous system.

Figure 2

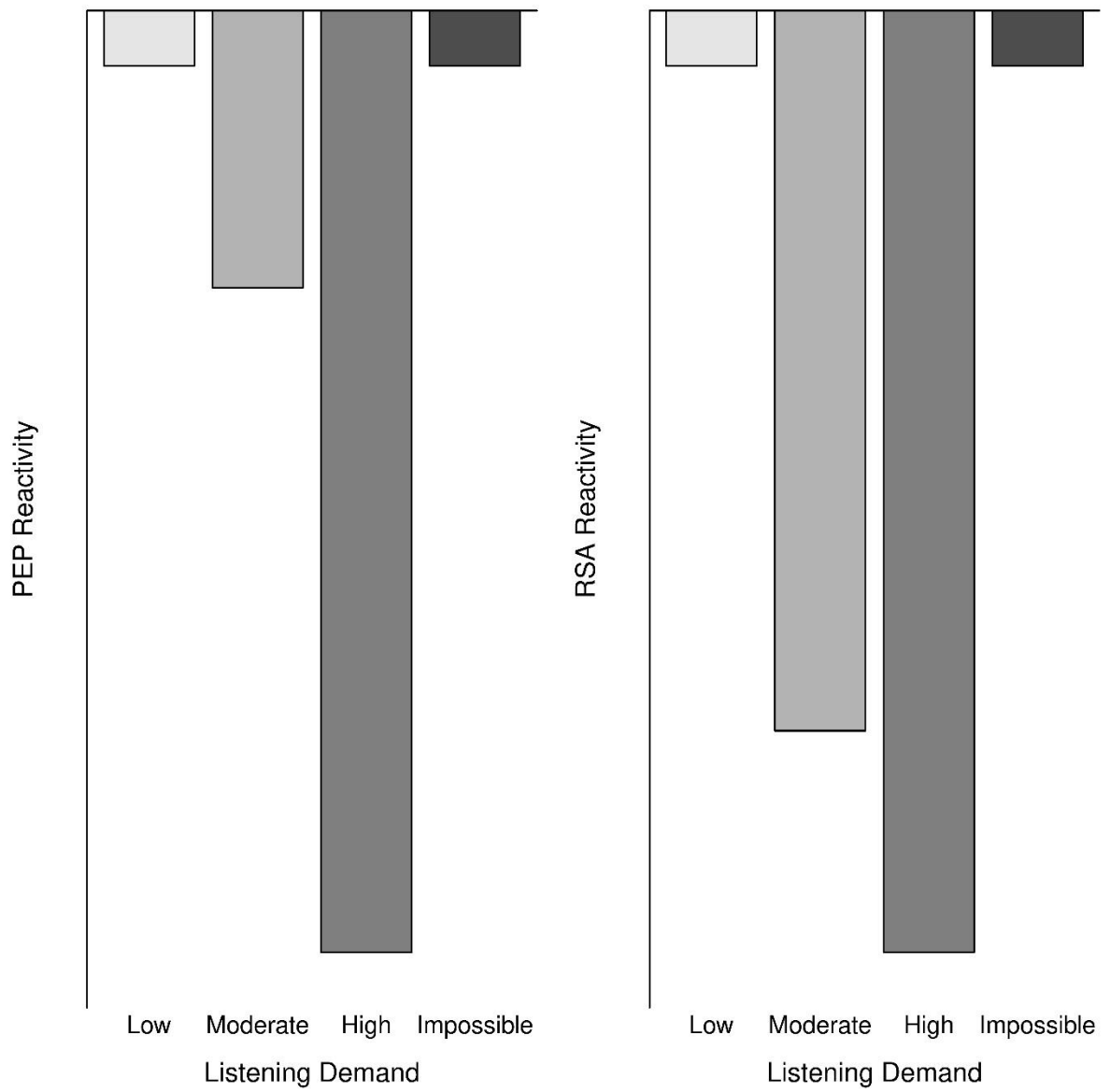
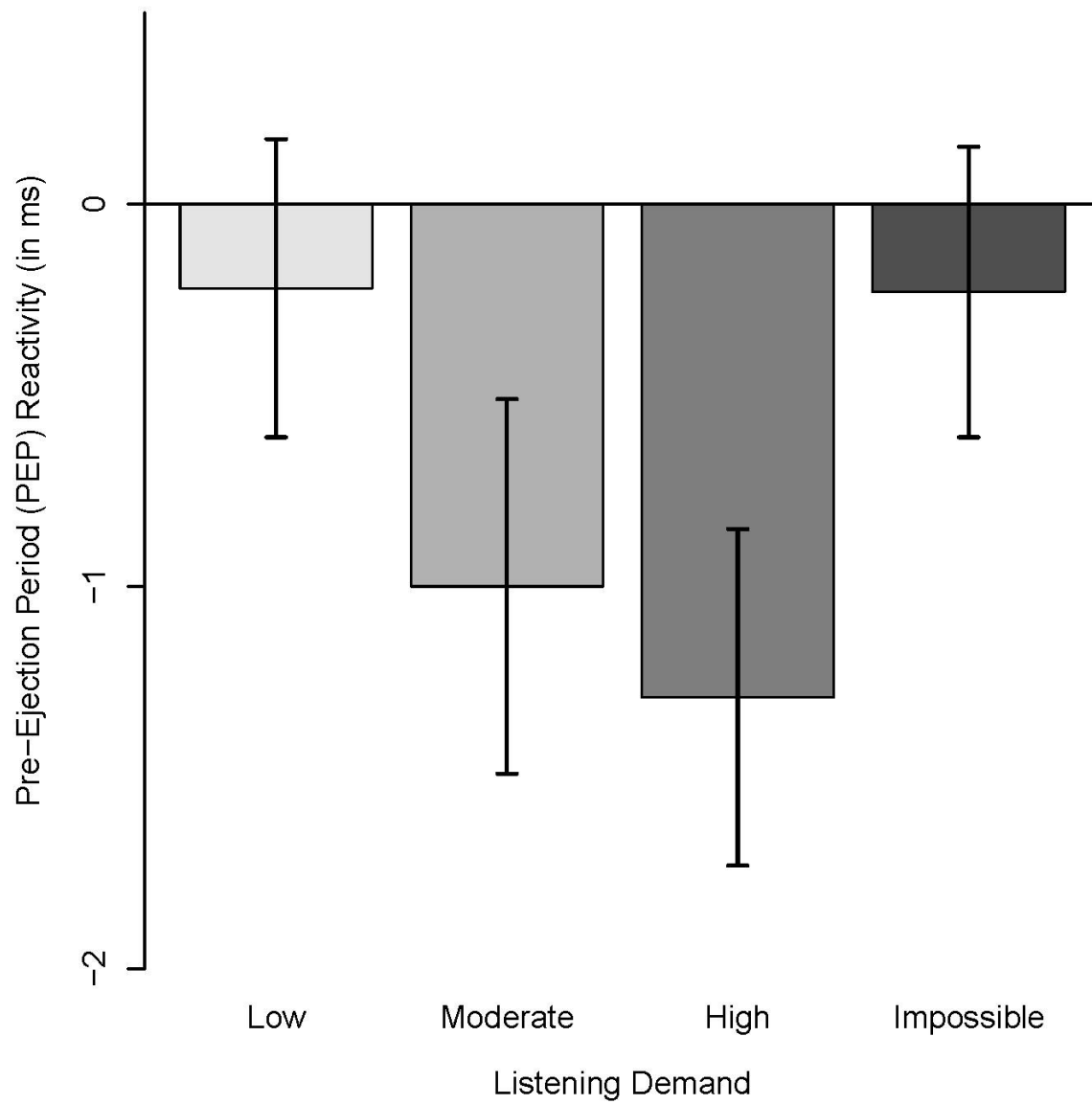
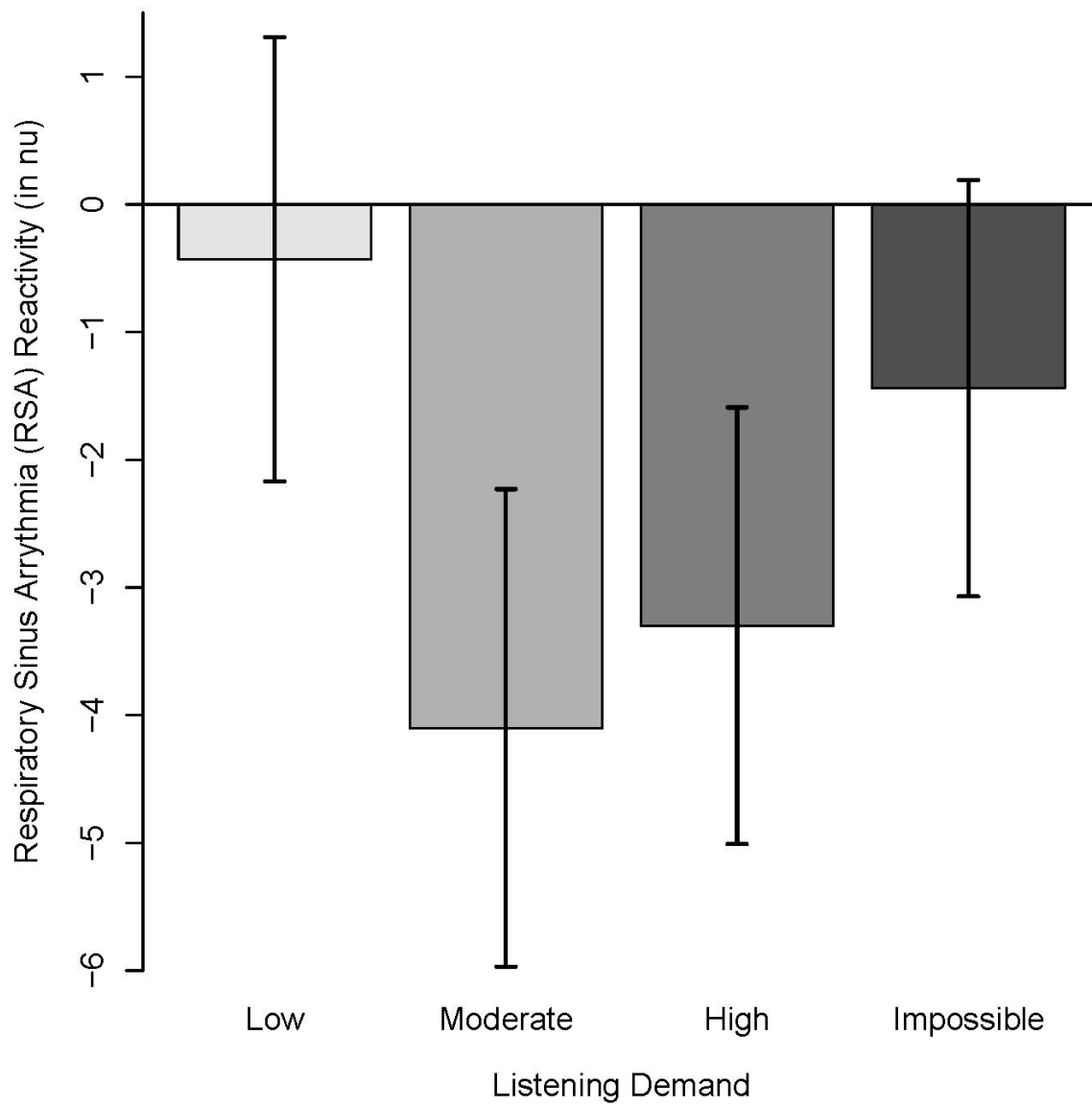


Figure 3



Note. Error bars indicate SEs.

Figure 4



Note. Error bars indicate SEs.

Appendix A

The following assumptions underlie the predicted quadratic relationships between (listening) effort and cardiac SNS and PNS activity:

- 1) Total cardiac ANS activity—the total task-related ANS response caused by increased SNS activity and decreased PNS activity—increases in a linear manner with increases in effort.
- 2) SNS contribution to total cardiac ANS activity increases in a linear manner with increases in effort, and PNS contribution decreases in a linear manner with increases in effort.
- 3) At the lowest effort level, SNS activity is close to zero and PNS activity is close to its resting activity.
- 4) At the highest effort level SNS and PNS contribute each 50% to total cardiac ANS activity. PNS withdrawal is complete at this level and SNS activity is close to its maximum.
- 5) A unit change in SNS and a unit change in PNS have the same effects on total cardiac ANS response.

Appendix B

Three examples of the 32-second short stories presented to participants during the speech-in-noise task, as well as the associated comprehension question and 3-option multiple choice responses. The complete set of audio files and lists of all stories can be accessed through the online supplementary materials (<https://doi.org/10.24377/LJMU.d.00000087>).

Short story	Liverpool women's netball club go on a social outing every week, after practicing at the sports centre. This week, the women walked to the station on Friday. They bought three cups of fresh coffee and talked about improving their team strategy for the next game. They considered holding try outs for new team members to improve their capability.
Comprehension question	Where did the women go?
Multiple choice options	Station / Café / Canteen
Short story	Rob works at a garage during the week. He likes his job a lot, but he wishes he had a more physically active role. To try and keep fit, he cycles to work every day. He enjoys it because he rides down the scenic canal path. On Wednesday, Rob decided to sign up for a 5 mile triathlon to encourage himself to cycle more, and to spend more time outdoors.
Comprehension question	Where does Rob work?
Multiple choice options	Garage / Garden Centre / Golf Course
Short story	Students at Wellington School have decided to open a snack stand. The students need fruit to sell at the stand. During lunch time on Monday, one of

	the teachers walked to the supermarket to try to help the students. She picked up three fresh lemons for the snack stand. Then she decided to look for some books to keep in her classroom.
Comprehension question	What did the teacher look for?
Multiple choice options	Books / Blue-tac / Benches