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1	12 weeks daily gluteal and hamstring electrical stimulation improves vascular structure and
2	function, limb volume and sitting pressure in spinal cord injury: A pilot feasibility study
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31	

#### 32 ABSTRACT

33 Objective: We examined the long-term effects of low-intensity electrical stimulation (ES) on (micro)vasculature and sitting pressure of a home-based, wearable ES device in a pilot 34 35 feasibility study. Design: In a cohort observation before-after trial, 9 middle-aged male (n=8) 36 and female (n=1) individuals (48±15 y) with ASIA A-C classified chronic (1-24y) SCI 37 underwent 12-wk of self-administered daily, low-intensity gluteal and hamstring ES [50Hz, 6 38 hrs (30min ES, 15min rest)]. Common femoral artery (CFA) diameter and blood blow were 39 determined with ultrasound, skin vascular function during local heating was assessed using 40 Laser-Doppler flowmetry, thigh volume was estimated using leg circumferences and skinfolds, 41 and interface sitting pressure was measured using pressure-mapping. Results: Resting CFA 42 diameter increased (0.73±0.20 to 0.79±0.22cm, P<0.001), baseline CFA blood flow increased (0.28±0.12 to 0.40±0.15 L/min, P<0.002). Gluteal cutaneous vascular conductance showed a 43 44 time\*temperature interaction (P=0.01) with higher conductance at 42°C after 12 weeks. Ischial 45 peak pressure decreased (P=0.003) by 32±23mmHg and pressure gradient decreased (23±7 to 46 16±6 mmHg, P=0.007). Thigh volume increased (+19%, P=0.01). Conclusion: Twelve weeks 47 daily, home-based gluteal and hamstring ES is feasible and effective to improve 48 (micro)vasculature and sitting pressure and ES may have clinical implications for ameliorating 49 pressure ulcers and (micro)vascular complications in SCI.

50

51 *Key words:* Spinal cord injury, electrical stimulation, vascular function, pressure ulcers

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# 54 What is Known:

55 SCI increases skin ulcer risk via poor microvascular perfusion and prolonged sitting pressure.

56 Acute electrical stimulation (ES) improves microvascular perfusion and reduces sitting

- 57 pressure. Specialist equipment, facilities and trained staff make regular ES application
- 58 impractical.

### 59 What is New:

- 60 12 weeks of daily home-based low-intensity ES using a wearable device were examined. ES
- 61 increased common femoral artery diameter and blood flow and gluteal skin blood flow.
- 62 Ischial peak pressure and pressure gradient decreased and thigh volume increased after ES.
- 63 These findings have clinical implications for ameliorating pressure ulcers and (micro)vascular
- 64 complications in SCI.
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#### 67 **INTRODUCTION**

68 A motor complete spinal cord injury (SCI) results in the immediate loss of voluntary muscle contractions and paralysis below the spinal cord lesion. Individuals with an SCI are subject to 69 70 a wheelchair user (sedentary) lifestyle and as a consequence, a myriad of complications which have profound consequences for psychological and physiological health.<sup>12</sup> The (re)occurrence 71 72 of pressure ulcers is one of the most frequently observed and extremely concerning complications amongst individuals with SCI. In the United Kingdom, the average cost to treat 73 one stage 4 pressure ulcer is estimated at £14,108.<sup>3</sup> Considering that 85% of the SCI population 74 will develop at least one pressure wound at some stage in their life,<sup>4</sup> health and social care 75 76 systems are exposed to a significant cost burden. This emphasises the need for immediate and 77 effective risk reducing interventions for the development of pressure ulcers for individuals with 78 SCI.

79

Physical deconditioning resulting from lower-limb paralysis causes changes in micro- and 80 81 macro-vascular structure and function which, when combined with prolonged sitting pressure 82 and loss of muscle mass covering bony structures, contributes to subcutaneous tissue ischemia and the development of pressure ulcers.<sup>5-7</sup> Reactivating the paralyzed muscles by electrically 83 84 stimulated muscle contractions (partly) reverses many of these complications in SCI 85 individuals. For example, electrical stimulation (ES) has been found to increase artery diameter,<sup>8</sup> improve arterial compliance,<sup>9</sup> restore contractility in denervated muscle fibers and 86 increase muscle mass,<sup>1011</sup> improve muscle oxidative capacity,<sup>12</sup> enhance capillary supply,<sup>13</sup> and 87 improve cutaneous microcirculation.<sup>14</sup> Collectively, ES is an effective and clinically significant 88 89 tool for improving the health of individuals with SCI.

90

91 Despite the many reported benefits, the need for specialist equipment, facilities and trained staff
92 for ES interventions make regular application expensive and impractical for many individuals

93 with SCI. In an attempt to overcome such limitations, wearable clothing garments with embedded surface electrodes to provide long-term, low-intensity muscle activation have been 94 introduced. This type of ES activates muscle tissue, but without the concomitant joint 95 96 movement, and has the advantage to be applied for prolonged periods. Using an acute bout of 97 ES, this method has demonstrated many important benefits relevant to pressure ulcer 98 prevention, such as reducing sitting pressure over the ischial tuberosity and an increase in transcutaneous oxygen levels.<sup>15 16</sup> Additionally, previous work has shown that applying acute 99 100 bouts of low intensity ES using a wearable clothing garment leads to incremental increases in 101 blood flow in the conduit and skin microcirculation, an effect that is sustained in the minutes after ES<sup>14</sup>. Currently, however, no study has examined the effects of long-term, daily home 102 103 based low intensity ES in individuals with SCI.

104

The purpose of this study was to examine the effects of 12 weeks, daily gluteal and hamstring ES using a wearable clothing garment on skin and conduit artery vascular function, limb volume and sitting pressure in people with SCI. It is expected that the cumulative effects of 12 weeks, daily ES would result in an increase in femoral artery diameter, improved skin vasculature function, increased limb volume and reduced sitting pressure.

#### 111 **METHOD**

#### 112 Design

Participants with a SCI underwent 12-wk of self-administered daily, low-intensity gluteal and hamstring ES as part of a pilot feasibility study. Participants visited Amsterdam Rehabilitation Research Center on 3 occasions. The initial visit was used to administer ES, ensure all inclusion criteria were met and to explain the study in detail. The following two visits were before and after the 12-week intervention and consisted of the experimental measures.

118

# 119 **Participants**

120 Twelve middle-aged male (n=10) and female (n=2) individuals (48±14 y) with ASIA A-C 121 classified SCI were recruited (see Table 1). Time since injury was at least 1 year  $(7.1\pm7.8 \text{ y})$ 122 prior to the study. Current smokers were excluded and none of the participants had any known 123 cardiovascular diseases (does not indicate the absence of occult peripheral vascular disease) nor 124 had any current pressure ulcers/injuries. All procedures conformed to the Declaration of 125 Helsinki and all participants provided informed verbal and written consent. The study was 126 approved by the local institutional medical ethical board and conformed to all STROBE 127 guidelines and reports the required information accordingly (see Supplementary Checklist).

128

#### 129 Electrical Stimulation Intervention

ES was applied with an adapted wearable clothing garment<sup>14</sup> connected to a portable battery operated stimulator (Neuropro, Berkelbike, Nijmegen, the Netherlands). The clothing garment was a pair of specially developed elasticated velcro shorts with embedded surface electrodes (Axiobionics, Ann Arbor, MI, U.S.A). Shorts were applied so that surface electrodes were positioned bilaterally with one electrode at the upper side of the gluteal muscle and one halfway along the hamstring muscle. ES was applied to both limbs using 50-Hz biphasic impulse

136 frequency and was individualized (prior to the start of the 12-week intervention) to a level that 137 did not cause discomfort but elicited a strong visible tetanic contraction (without limb 138 movements that disturbed normal sitting). Stimulation amplitude was progressively increased 139 every 3 weeks to minimise muscular fatigue in the early stages and to compensate for training 140 adaptations. The stimulation protocol ran for 6 hrs and comprised of blocks of 30 min 141 stimulation followed by 15 min rest. A duty cycle consisting of 4 s rest for every 1-s contraction was used.<sup>15</sup> For the 12-week duration, each participant was instructed to use the shorts as much 142 143 as possible (with a minimum of 6-hours daily) and to record their daily usage using a diary.

144

#### 145 **Outcome Measures**

Prior to arrival on the visits before and after the 12-week intervention all participants refrained from consuming alcohol and caffeine for at least 24 hours due to their vasoactive effects. No participants were taking vasoactive medications. All experimental measures were performed in the same order and at same time of day pre and post intervention.

150

Femoral Artery Diameter and Blood Flow: Participants were transferred from their wheelchair 151 152 and comfortably placed onto a bed in the supine position. After a 20-min resting period, a 10-153 MHz multi-frequency linear array probe, attached to a high resolution ultrasound machine 154 (T3000, Terason, Burlington, MA, U.S.A) was used to image the common femoral artery (CFA) 155 2 cm proximal to the femoral bifurcation. Continuous Doppler velocity assessments were 156 recorded for 1 minute using the lowest possible insonation angle (always  $<60^{\circ}$ ) for later offline analysis. Data were analysed using custom-designed edge detection and wall tracking software 157 which is largely independent of researcher bias.<sup>17</sup> 158

159

*Skin Vascular Function:* Laser Doppler flowmetry was used to examine skin vascular function.
The participant rested comfortably lying in a prone or partial prone/side position. Two heating

162 disks (Perimed 355, Perimed AB, Järfälla, Stockholm, Sweden) were instrumented on the same 163 gluteal and hamstring skin positions as the electrodes in the clothing garment using adhesive 164 stickers. A 7-laser array probe (PF 413, Perimed AB Järfälla, Stockholm, Sweden) was placed 165 into each heater. The heating discs were connected to a heating unit (PeriTemp 4005 heater, 166 Perimed AB, Järfälla, Stockholm, Sweden) and manually set to 33°C. Baseline cutaneous blood 167 flow was then measured as red blood cell flux using a laser Doppler flowmeter (Periflux system 168 5000, Perimed AB, Järfälla, Stockholm, Sweden) for 10 min at 33°C. Subsequently, local skin temperature was increased by 1°C per 5 min up to 42°C.<sup>18</sup> Thereafter, the heating probes were 169 held at 42°C for 30 min and finally at 44°C for a remaining 20 min.<sup>19</sup> Heart rate and mean 170 171 arterial pressure (MAP) were recorded using an automated sphygmomanometer (Dinamap Vital 172 Signs Monitor, GE Healthcare, Hoevelaken, Netherlands) at 5-min intervals throughout the 173 protocol. This slow heating protocol induces a largely nitric oxide (NO)-mediated, 174 microvascular response.<sup>20</sup> Red blood cell flux was collected and stored using dedicated software 175 (PeriSoft for Windows, Perimed AB, Järfälla, Stockholm, Sweden). Skin blood flow was 176 expressed as cutaneous vascular conductance (CVC=red blood cell flux/mean arterial pressure) 177 to account for potential variations in skin blood flow due to blood pressure. Baseline CVC was 178 averaged during the 10-min baseline recording. Subsequently, CVC was calculated over a stable 179 60s period for the final minute of each local temperature increment and over the last 5-minutes 180 of each plateau phase at 42°C and 44°C. Data were presented as absolute values, but also 181 normalised to maximal CVC at 44°C (%CVC<sub>max=</sub>[CVC/CVC<sub>max</sub>] 100).

182

*Limb Volume:* Thigh muscle volume was estimated based on a calculation using anthropometric
measures of leg circumferences, leg length (Seca 201 Ergonomic measuring tape, Seca,
Birmingham, U.K) and skin folds (Harpenden Skinfold Calipers, Cranlea Human Performance
Ltd, Birmingham, U.K). This approach has been validated against the gold standard H-MRI as
a reliable and accurate method of measuring skeletal muscle volume in people with SCI.<sup>21</sup> All

188 measurements were taken by the same experimenter with the participant lying on a bed in the 189 supine position.

190

191 Sitting Pressure: Interface sitting pressure was measured using a force sensitive array (FSA, 192 mFlex, Vista Medical, Vancouver, Canada) pressure-mapping device. The device was a 42x42cm, 2mm thick, soft flexible mat consisting of 256 pressure sensors (1.82cm<sup>2</sup> per sensor). 193 194 Each participant was transferred from their wheelchair to a standardized table chair and sat in 195 a normal position for 2 min whist values were recorded. The ischial tuberosities were defined 196 from the FSA profile as the 3x3 sensors with the highest pressure values. The nine sensors were 197 averaged to give a mean IT pressure value for the left and right IT area. Peak IT pressure was 198 defined as the value of the sensor with the highest pressure within the IT area. Pressure gradient, 199 which is indicative of shear forces within the tissue and is associated with the risk of developing pressure ulcers<sup>22</sup> was calculated by subtracting the average of the 16 surrounding sensor values 200 201 from the mean IT pressure.

202

#### 203 Data Analysis

204 Statistical analyses were performed using SPSS 25.0 software (SPSS Inc, Chicago, IL, USA). 205 Data were expressed as mean $\pm$ SD and statistical significance was set at P < 0.05. The effects of 206 12 weeks ES on gluteal and hamstring skin vascular function was examined using two-way 207 ANOVA with repeated measures (main effects for time (of the heating protocol) and 208 intervention (pre and post)). Paired *t*-tests were used to assess differences in sitting pressure, 209 limb volume, femoral artery diameter and femoral artery resting blood flow before and after the 210 12-week ES intervention. All data were tested for normal distribution and Wilcoxon signed 211 rank test was used where appropriate.

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- 213

#### 214 **RESULTS**

There were no adverse events during the intervention. One individual was unable to continue due to hospital admission (for an issue unrelated to the intervention). Two individuals were in full time employment and found the device too time consuming or difficult to apply on a daily basis. Of the remaining participants, eight indicated that they adhered to the minimum requirement of 6 hours per day 7 days a week with one participant choosing to use it in excess of 10 hours per day. Eight of the nine participants chose to wear the shorts during the night when sleeping and one individual used them both day and night.

222

*Femoral Artery Diameter and Blood Flow:* Mean baseline CFA blood flow significantly increased by 43% from pre  $(0.28\pm0.12 \text{ L}\cdot\text{min}^{-1})$  to post 12 weeks ES  $(0.40\pm0.15 \text{ L}\cdot\text{min}^{-1}; P$ <0.001; Figure 1A). Resting diameter of the CFA increased significantly by 8.3% from pre  $(0.73\pm0.20 \text{ cm})$  to post 12 weeks ES training  $(0.79\pm0.22 \text{ cm}; P<0.001;$  Figure 1B).

227

228 Skin Vascular Function: There was no difference in MAP from pre to post 12-weeks ES 229 (P=0.21). Local heating induced a gradual, slow heating response (main effect for time for both 230 gluteal and hamstring skin blood flow, P<0.001; Figure 2). There was no significant difference 231 in the gluteal skin blood flow response to local heating when expressed as either, absolute CVC 232 (P=0.17; Figure 2A) or percentage of maximum CVC (%CVC<sub>max</sub>, P=0.19) after 12 weeks of 233 ES. There was a significant timexintervention interaction for absolute CVC (P=0.02) and 234 %CVC<sub>max</sub> (P < 0.001) indicating that the change in skin blood flow during local heating from 235 baseline to maximal levels was higher after 12 weeks ES. There was no significant difference in hamstring skin absolute CVC (P=0.47; Figure 2B) or %CVC<sub>max</sub> (P=0.41) after 12 weeks of 236 237 ES. There was also no significant time×intervention-interaction for absolute CVC (P=0.86), or 238 %CVC<sub>max</sub> (*P*=0.41).

239

Sitting Pressure: Peak IT pressure significantly decreased by  $32\pm23 \text{ mmHg}$  (*P*=0.003; Figure 3A) with 12 weeks of ES. IT interface pressure reduced by 19% from  $37\pm9 \text{ mmHg}$  to  $30\pm6$ mmHg, although this did not reach statistical significance (*P*=0.06; Figure 3B). IT Pressure gradient significantly decreased by 30% from  $23\pm7 \text{ mmHg}$  to  $16\pm6 \text{ mmHg}$  with 12 weeks of ES (*P*=0.007; Figure 3C).

245

*Limb Volume:* Skin fold measures could not be obtained in two individuals, so analyses were performed on thigh circumference as well as overall limb volume. When taking into consideration upper, mid and lower girth measurements, average thigh circumference significantly increased from pre to post 12 weeks of ES (478±40 vs. 482±41 mm, *P*=0.008). There was a significant overall increase in thigh volume (4.7±1.2 vs. 5.3±1.2 L, *P*=0.01).

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252

#### 254 **DISCUSSION**

255 The primary aim of this study was to examine the effects of 12 weeks low-level ES using a 256 wearable clothing garment on (micro)vascular function and sitting pressure in areas at risk of 257 pressure ulcers. The main findings are that 12 weeks of ES increased femoral artery diameter, 258 basal blood flow and limb volume, and reduced peak ischial tuberosity sitting pressure and 259 pressure gradient. Conversely, despite improved skin responses to local heating at the gluteal 260 area, ES did not appear to cause significant alterations in hamstring cutaneous circulation during 261 localised heating. Collectively, these findings indicate that regular, low-level ES using a 262 wearable garment induce beneficial effects on (micro)vasculature and sitting pressure that may 263 help safeguard against pressure ulcers and confer similar cardiovascular health benefits. Given 264 the relative simplicity of low-intensity ES, future studies are warranted on whether such a garment can ultimately reduce risks for clinically relevant health problems in this vulnerable 265 266 population

267

268 This study is the first to explore the effects of prolonged daily, low-level ES utilising a clothing 269 garment on micro- and macrovascular structure and function in persons with a SCI. In the 270 present study, there was an 8.3% and 43% increase in femoral artery diameter and basal blood 271 flow, respectively. These observations are in line with previous ES training studies that report 272 a 30-50% increase in blood flow and a 6-8% increase in diameter after 4 to 12 weeks of ESinduced cycling or ES-assisted Odstock standing in SCI.8 23-25 An important difference between 273 274 our work and these previous ES-based studies, however, is that these previous studies adopted 275 significantly higher levels of ES with the more demanding and time-consuming ES-type 276 exercise. In healthy individuals, conduit artery structural modification is dependent on repeated changes in blood flow.<sup>26</sup> In a previous study, ES induced an acute increase in femoral artery 277 blood flow.<sup>14</sup> The repeated exposure to this increase in flow (and therefore shear stress), may 278 279 contribute to vessel remodeling, whereby the increased levels of shear are normalised by an increase in luminal diameter. Taken together, the findings of our study suggest that daily ES
using this practical, home-based method is effective in improving (macro)vasculature structure,
most likely through repeated exposure to elevations in blood flow and shear stress.

283

284 Contrary to the original hypothesis, cutaneous microvascular function covering the hamstring muscles did not change, whereas the change in gluteal CVC throughout local heating increased 285 286 after 12 weeks of ES. Gradual local heating of skin leads to the production of nitric oxide and 287 smooth muscle relaxation via hyperpolarisation from EDHFs and heating the skin to 42°C, the vasodilation that occurs is largely endothelial and NO mediated.<sup>20</sup> Therefore, the interaction 288 289 effect and the small increase in gluteal cutaneous microcirculation at 42°C suggests that 290 cutaneous endothelial function, potentially via upregulation of NO, is improved after 12 weeks 291 ES. Another potential explanation relates to the presence of structural changes in the skin. 292 However, blood flow at 44°C, which causes maximal cutaneous vasodilation and may be used to assess structural differences,<sup>27</sup> did not change after 12-weeks ES. Thus, the improvement in 293 294 gluteal skin microvasculature was not accompanied with changes in cutaneous vessel 295 architecture. The finding that these changes in skin (micro)circulation were not evident in the 296 hamstring area may relate to regional differences in the acute (skin) blood flow responses to ES 297 and/or (susceptibility for) the increase in blood flow in the hamstring skin area.

298

Whilst previous studies have highlighted the potency of ES to improve sitting pressure during ES,<sup>22</sup> these studies are limited in that it is not known whether these effects remain during periods of non-stimulation. The results from the current study indicate that the acute pressure reduction during ES is maintained after continued long-term usage when measured under resting conditions *without* active muscle stimulation. This suggests presence of structural and/or chronic adaptations that improve sitting pressure, rather than short-term, muscle activityinduced changes in sitting pressure. Moreover, we also examined the interface pressure 306 gradient, which reflects the pressure distribution of the area directly surrounding and containing 307 the area of the tuberosity. We found improved pressure distribution, meaning that 12 weeks ES 308 caused a relatively larger part of the interface pressure supporting the surface area of the buttock, which is associated with smaller shear forces and lower risk of skin trauma.<sup>28</sup> It is 309 310 likely that hypertrophy of the gluteal and hamstring muscles over the 12 weeks ES intervention 311 increased the seated contact area and improved pressure distribution to areas away from the 312 ischial tuberosity. Indeed, we found a significant increase in limb volume and thigh 313 circumference after 12 weeks of ES. Although this does not provide direct evidence for muscle 314 hypertrophy, our findings match previous long-term ES-studies in SCI that adopted more sophisticated measures (e.g., CT-scans<sup>29</sup> and DEXA<sup>30</sup>). Due to the extensive time individuals 315 316 with an SCI spend sitting, these findings are potentially clinically relevant for pressure ulcer 317 prevention.

318

# 319 STUDY LIMITATIONS

320 There are some limitations to this study that require consideration. Firstly, no control group was 321 included. Despite this, the positive alterations recorded across a range of outcomes (micro- and 322 macrovascular, sitting pressure and anthropometric) strongly suggest that the ES intervention 323 resulted in favorable adjustments for SCI but the low number of participants in this pilot 324 feasibility study limits generalizability of the results at this time; future larger randomized controlled studies are therefore required. Three participants dropped out of the study due to 325 326 hospital admission or the intervention being too time consuming. Although not ideal, these 327 events are expected for chronic interventions and given that this study is designed as a pilot 328 feasibility study this information provides important data on likely drop-out rates and the 329 community feasibility of future larger randomized controlled studies. Similarly, as part of the 330 study's pilot feasibility approach, 6 hours of stimulation was chosen because we wanted to 331 examine the effect of as much as stimulation as was possible during the day or overnight, within

the limits of practicality. Less stimulation can still produce beneficial results <sup>15</sup> but it is not yet clear what the required minimum level of stimulation is, therefore, future work should identify the lowest dose of stimulation possible for inducing favorable outcomes.

335

# 336 CONCLUSION

337 In summary, this study demonstrates that 12 weeks of low-intensity gluteal and hamstring ES 338 induces marked structural changes in the femoral artery, most likely through repeated increases 339 in hyperaemic shear stress during ES. Secondly, ES causes a decrease in peak sitting pressure, 340 pressure gradient and an increase in limb volume, which all may result from increases in 341 (gluteal) muscle mass. Thirdly, nitric oxide-mediated function of the cutaneous microvessels 342 covering the gluteal muscles, but not hamstrings, is improved. This highlights the potency for 343 low-intensity ES in SCI rehabilitation and disease prevention. Future work should focus on the 344 potential impact of low-intensity ES in the prevention (and/or treatment) of co-morbidities 345 related to peripheral deconditioning of muscle and vasculature.

346

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# 438 Figure Legends

- 439 Figure 1. A) Individual and group mean common femoral artery resting blood flow and B)
- 440 resting diameter pre and post 12-week electrical stimulation. The red line depicts overall
- 441 mean. \*Main effect of 12 weeks of electrical stimulation (P <0.05).
- 442
- 443 Figure 2. Cutaneous vascular conductance (CVC) responses across time points from baseline
- 444 at 33°C to maximal plateau at 44°C of the A) gluteal and B) hamstring before and after 12
- 445 weeks of electrical stimulation. \*Significant interaction of time and temperature.
- 446
- 447 Figure 3. Individual and group mean A) peak IT pressure of a single sensor in the IT area, B)
- 448 average IT pressure and C) IT pressure gradient before and after 12 weeks of electrical
- 449 stimulation. Red bar indicates group mean. \*Main effect of 12 weeks of electrical stimulation
- 450 (P <0.05).