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Combining sediment management and bioremediation in muddy ports and harbours: a review

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Abstract

This paper reviews two important sources of innovation linked to the maritime environment and more importantly to ports: the potential coupling of sediment management and (bio)remediation. The detrimental effects of dredging are briefly considered, but the focus here is on a sustainable alternative method of managing the problem of siltation. This technique consists of fluidising the sediment *in situ*, lowering the shear strength to maintain a navigable under-keel draught. Preliminary investigations show that through this mixing, aeration occurs, which results in a positive remediation effect as well. An overview of port contamination, remediation, and the recent research on aerobic (bio)degradation of port contaminants is made in order to show the potential for such innovative sediment management to reduce dredging need and remediate contaminated mud in ports. This review also highlights the lack of full-scale field applications for such potential remediation techniques, that remain largely confined to the laboratory scale.

Keywords:

sediment resuspension, anti-siltation, fluid mud, aerobic biodegradation, sediment contaminants, active nautical depth.

Introduction

Sediment contamination and siltation are among the major issues impacting port operations and management. Dredging has been the answer to these issues for years. During dredging sediment is excavated to maintain navigable depth and disposed of outside the port or harbour. This process needs to be regularly repeated due to continued sediment movement and redeposition within the

31 coastal system. The practice of dredging comes with significant financial and environmental cost. For
32 example, there are strong perturbations of the ecosystem during excavation, transportation and
33 disposal (Erftemeijer and Lewis, 2006; Manap and Voulvoulis, 2015; Todd et al., 2015). Some
34 alternative methods have therefore been developed to manage sediment at lower cost and/or with
35 less disturbance to the environment (Bianchini et al., 2019; Kirby, 2011). When the sediment is
36 contaminated, however, the solution again is dredging, this time to put the sediment in confined
37 facilities (Group 5, 2002) where it remains without further processing, or it is subjected to *ex-situ*
38 remediation, usually at high cost (Du et al., 2014).

39 This paper reviews the existing methods to replace or reduce the need for dredging as well as the ways
40 to remediate contaminated sediment, in particular through *in situ* biodegradation. By doing so, it
41 highlights the potential for a procedure that uses mud mixing and aeration to render the sediment
42 navigable, with the potential additional benefit of also acting as a remediation method (Kirby, 2013,
43 2011; Polrot et al., 2018). Such a procedure would encourage the growth of aerobic microorganisms,
44 which are capable of contaminant degradation. This could represent an innovative way to manage
45 sediment in ports at potentially lower costs and with a beneficial impact on the environment.

46

47 **1. Sediment management**

48 1.1. Dredging

49 Most ports and harbours in the world experience siltation problems that have hindered ship navigation
50 since ancient times. In ancient Egypt, workers used to drag the mud manually until the method
51 improved when the first dredging machine was developed in 1796 (Knight and Lacey, 1843). Dredging
52 consists of the excavation of the sediment from the site, followed by its transport and disposal in a
53 designated area, normally offshore. Both the excavation and the disposal are strictly regulated and
54 subject to legislation aimed at minimising environmental impact, especially because of the potential
55 presence of harmful chemical contaminants. In England, the Marine Management Organisation
56 (MMO) is the licencing authority for dredge disposal sites and operate under OSPAR^a commission's
57 guidelines (OSPAR, 2004).

58 1.1.1. Environmental impact of dredging

59 The negative impacts of dredging comprise the effects of the excavation method itself (locally) and the
60 effects of contaminated sediment manipulation (more widely). These effects can be physical, chemical,
61 and biological. These are discussed below in relation to the dredging of uncontaminated and
62 contaminated sediment.

^a From the unification and extension in 1992 of the OSlo and PARis conventions which occurred respectively in 1972 and 1974

63 When dredging uncontaminated sediment, different problems can be encountered. Erftemeijer and
64 Lewis (2006) reviewed the impact of dredging on seagrass and reported, in addition to the impact of
65 physical removal at the excavation site and burial at the disposal site, a potential effect of the turbidity
66 and subsequent sediment deposition. The resulting decrease in photosynthetic activity as well as
67 smothering causes a loss of seagrass vegetation. The impact of turbidity would be higher on fast
68 growing species as slow growing species can resist the decrease of light for a longer time and are
69 therefore more resilient to turbidity events (Erftemeijer and Lewis, 2006). Another review was later
70 published to report the lethal effect of dredging induced turbidity and sedimentation on coral reefs,
71 with an impact ranging from no detectable effect to 80% of coral loss (Erftemeijer et al., 2012). High
72 coral mortality following dredging operations have still been observed in the past years, for example
73 more than 560 000 corals were reportedly killed during dredging operations of Port of Miami between
74 2013 and 2015 (Cunning et al., 2019). The turbidity could also reduce the production of phytoplankton
75 and affect the gills and membranes of membrane-feeding organisms (Balchand and Rasheed, 2000).

76 During excavation, an abundance of nutrients are released into the water column. This causes a strong
77 perturbation to the ecosystem, which can have an impact on the macrobenthic fauna by causing the
78 population of native organisms to decrease in number (Ponti et al., 2009). The habitat is also modified
79 during the process, with a potential change in sediment properties at the disposal site. This can affect
80 the ability of the benthic fauna to recover after the dredging perturbation (Cooper et al., 2011).

81 In addition, the removal of sediment from the coastal system has a strong impact on the surrounding
82 physical environment, leading to long-term changes to the adjacent shoreline indirectly through
83 modifications of wave patterns and directly via the filling of the excavation cavity by sediment
84 transported from the elsewhere in the coastal system (Demir Hüseyin et al., 2004). A secondary impact
85 of dredging is the emission of greenhouse gas that occurs mainly during the transportation phase but
86 also during the excavation itself. It has been estimated that dredging activities could release between
87 6.5 and 11.7 kg CO₂ per ton of dredged sediment (Bianchini et al., 2019).

88 An acoustic impact of dredging has also been subjected to research. The noise produced by dredging
89 can be as high as 170-190 dB re 1 $\mu\text{Pa}^2\text{m}^2$ at 50 Hz (Todd et al., 2015). These levels are thought to be
90 too low to provoke physical damage to animals but they can induce stress, which may hinder their
91 reproduction, modify their foraging behaviour and could have other detrimental consequences on
92 their survival, for example, through diseases induced by toxin production (Pirodda et al., 2013; Todd et
93 al., 2015). The overall consequence of these phenomena is a decrease in benthic faunal diversity after
94 dredging operations (Barrio Froján et al., 2011; Kenny and Rees, 1996).

95 For the dredging of contaminated sediment, the negative effects increase significantly, as the process
96 increases the exposure of flora and fauna to the toxicity of the contaminants (Manap and Voulvoulis,
97 2015). The resuspension of sediment during the excavation can result in the release of contaminants
98 around the excavation site (Munawar et al., 1989; Roberts, 2012) and the excavation exposes a new
99 layer of potentially highly contaminated sediment. Some of these contaminants, such as heavy metals,
100 are immobilized in the form of sulphide complexes in anoxic sediment which are dissolved through an

101 oxidation process during resuspension (Roberts, 2012). This increases their bioavailability and
102 therefore their ability to exert toxicity towards the surrounding organisms (Roberts, 2012). These
103 processes are however constrained by numerous factors that can limit them and mitigate the increase
104 in toxicity. In many cases for example, the oxidised iron rapidly acts as a scavenger for the other
105 dissolved metal forms and prevents them from becoming further oxidised to more toxic forms
106 (Roberts, 2012). The spreading of contaminants can also occur during sediment transportation to the
107 disposal site as, in practice, dredging often continues after the hopper is full, even during the transport,
108 and it leads to an excess of sediment that overflows from the hopper (Manap and Voulvoulis, 2015).
109 The targets of contaminant exposure comprise three types: the organisms living in the sediment
110 (benthic fauna), pelagic organisms (fish and plankton) and consumers (fish, birds, mammals and
111 humans) (Bridges et al., 2010). Strong increases in the bioavailability (Eggleton and Thomas, 2004) and
112 bioaccumulation of contaminants have been reported after dredging activities (Hedge et al., 2009;
113 Martins et al., 2012; Winger et al., 2000), which leads to the distribution of these toxic compounds
114 through the entire food chain.

115 1.1.2.Regulation

116 In recognition of the significant environmental impacts of dredging, a range of rules and regulations
117 have been implemented at local, national and international level with the aim to control and reduce
118 the negative effects of the process. Firstly, restrictions have been put in place by the London
119 Convention (IMO, 1972) that *“prohibits the dumping of certain hazardous materials in the sea and
120 requires a prior special permit for the dumping of a number of other identified materials and a prior
121 general permit for other wastes or matters”*. Several international convention agreements have
122 followed (Abriak et al., 2006) and consequently, laws and directives have been created across the
123 world with obligatory procedures in place before dredging is authorised. These include for instance:
124 an evaluation of sediment contamination; framing of contaminated sediment disposal and
125 remediation; justification of dredging methods used; agreement for the follow-up monitoring of the
126 dredged site.

127 Various EU Directives exist to protect habitats, water and the environment. Whilst none of these
128 address the dredging process directly, some of them have an impact on dredging projects through
129 international conventions and guidelines, which prevail on EU law and impact on marine dredging
130 activity (Mink et al., 2006). The EU’s Water Framework Directive (WFD) requires performing a WFD
131 assessment for all activities that take place within the water body (European Council, 2000). This
132 assessment aims at evaluating how the dredging work would impact water status and habitats locally.
133 The EU’s Waste Framework Directives deal with the management of dredged sediment while the
134 Habitat and Birds Directives have indirect consequences on dredging projects, which are located near
135 protected sites, forcing higher monitoring requirements and increasing their cost (Mink et al., 2006).

136 Still in the EU, for the management of dredged sediment specifically, several disposal or recycling
137 options are given depending on the physicochemical condition of the sediment, especially its
138 contamination state. For uncontaminated sediment, a beneficial use is usually targeted. Possible

139 disposal solutions include sea deposit, using the sediment to support sediment-based habitats,
140 shorelines and infrastructures, for habitat restoration such as wetlands, coastal features, beaches or
141 even engineering use for example as capping material (OSPAR Commission, 2014). For contaminated
142 sediment, however, the re-use is strictly regulated, and options can only be considered after a
143 decontamination treatment if the sediment then meets the specific requirements. If sufficient
144 remediation cannot be achieved, contaminated sediment can be disposed in a Contained Disposal
145 Facility (CDF), a Contained Aquatic Disposal (CAD) or most often at a landfill site. Such disposal is very
146 expensive and usually constitutes the main part of a dredging project's budget (Palermo and Hays,
147 2014).

148 In parallel to the implementation of laws aimed at legislating dredging operations, efforts have been
149 made to develop tools and methods of management to match the new regulations (Cooper, 2013).
150 Different organisations such as the Central European Dredging Association (CEDA) or the Permanent
151 International Association of Navigation Congresses (PIANC) provide resources for the selection of
152 dredged-sediment management solutions. For the North East Atlantic, "Guidelines for the
153 Management of Dredged Material at Sea" are described by OSPAR, with the most updated version
154 dated from 2014 (OSPAR Commission, 2014). For dredging projects in general a wide range of concepts
155 and decision-making frameworks have been proposed (Bates et al., 2015; Manap and Voulvoulis, 2014;
156 Palermo et al., 2008) in an attempt to limit and reduce the environmental consequences. The complex
157 legislation and the negative public perception of dredging make managing the process a challenge
158 (Cutroneo et al., 2014; Hamburger, 2002). Conflicts can appear between the different stakeholders
159 and projects are consequently subjected to delays or cancellation.

160 A further significant issue with dredging is its high financial cost, comprising the cost for the operation
161 and the cost for the disposal. The cost varies widely depending on the technology and equipment used,
162 as well as the volume of sediment targeted, frequency of operations, the distance to the disposal site
163 and the presence of contaminants. As an example, in 2005, 30 million cubic meters of sediment were
164 dredged from the Dutch ports, of which 2 million cubic meters had to be disposed in CDFs due to their
165 contamination levels, the rest of it was dumped in the North Sea. The cost related to the disposal of
166 the contaminated sediment was estimated around 20€ per m³, whereas for non-contaminated
167 sediment it was 5€ per m³, giving an extra cost of 30 million euros per year only for the disposal of
168 contaminated sediment (Walker et al., 2011). Moreover, since ports and harbours are adapting to
169 enable the entry of larger vessels, the need for dredging increases and in consequence, so does the
170 associated cost (Kirby, 2011; Manap and Voulvoulis, 2015). Exact costs of maintenance dredging for
171 European ports are difficult to obtain, more data can however be obtained from the U.S. Army Corps
172 of Engineers which demonstrate a high variability of cost between location and the increase of the cost
173 over years, independently of the dredged volume. A report showed maintenance dredging costs
174 between 2014 and 2018 varying from 2.84€ per m³ in New Orleans to 26.34€ per m³ in San Francisco
175 (Frittelli, 2019). The same report showed an increase in mean maintenance dredging costs in the US
176 over years, going from 1.89€ per m³ in 1970 to 6.26€ per m³ in 2018 which was attributed to numerous

177 factors including inflation, lack of competition for dredging contracts and changes in the disposal of
178 dredged material (Frittelli, 2019).

179 1.2. Alternative sediment management methods

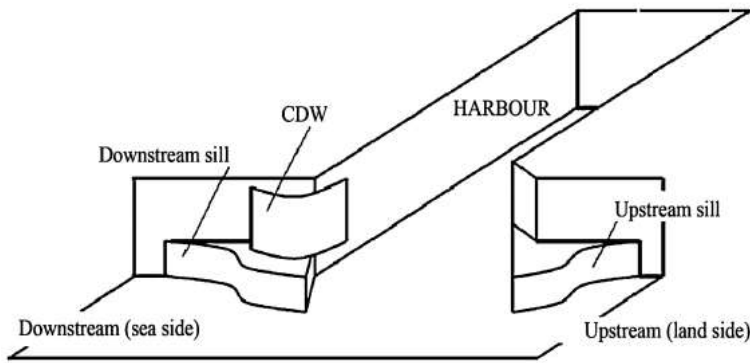
180 Considering the environmental impact, the cost, the constraining legislation and the conflicts related
181 to dredging, research has been undertaken to find alternatives (Bianchini et al., 2019; Kirby, 2011).
182 Most alternatives can be defined as “anti-siltation methods”, as they are designed to prevent sediment
183 from accumulating in the targeted area. The major advantage of this kind of method is that a significant
184 part of the issue disappears, since there is no need for disposal and no need for a dredging licence,
185 although all sediment management projects are subject to approval.

186 1.2.1. Overview of alternatives to dredging

187 The 43rd PIANC working group reviewed the different methods used as an alternative to dredging for
188 sediment management in ports and harbours (Kirby, 2011). They categorised the techniques into three
189 groups: Keep Sediment Moving (KSM), Keep Sediment Out (KSO) and Keep Sediment Navigable (KSN),
190 also grouped as “sand by-passing plants”, “anti-sedimentation structures” and “remobilising sediment
191 systems” in a more recent review (Bianchini et al., 2019). A wide range of techniques have been
192 created to adapt to specific situations but can nevertheless serve as useful examples. However, some
193 of them can be considered as generic and they could be applied to different harbour configurations. A
194 summary of the methods is displayed in Table 1. A detailed assessment of the environmental impact
195 and cost of most of these technologies can be found in Bianchini’s review (2019), where it is concluded
196 that these alternative technologies cost on average 30% less than traditional dredging.

197 Keeping sediment out usually involves the design of structures that will physically prevent siltation by
198 altering the effect of waves, currents and sand movement. These structures have been stated to be
199 less efficient for fine-grained sediment, particularly cohesive clay (Bianchini et al., 2019). Anti-
200 sedimentation structures have been well described and comprise, sand traps, seawalls, current
201 deflection walls (CDW), or even pile groynes (Bianchini et al., 2019; Kirby, 2011). It should be noted
202 that these structures can potentially have negative impacts on the surrounding environment if they
203 are not designed carefully, as modification of wave patterns can impact near-shore processes with a
204 detrimental consequence for wildlife and ecosystems . An example of CDW is shown in Figure 1.

205

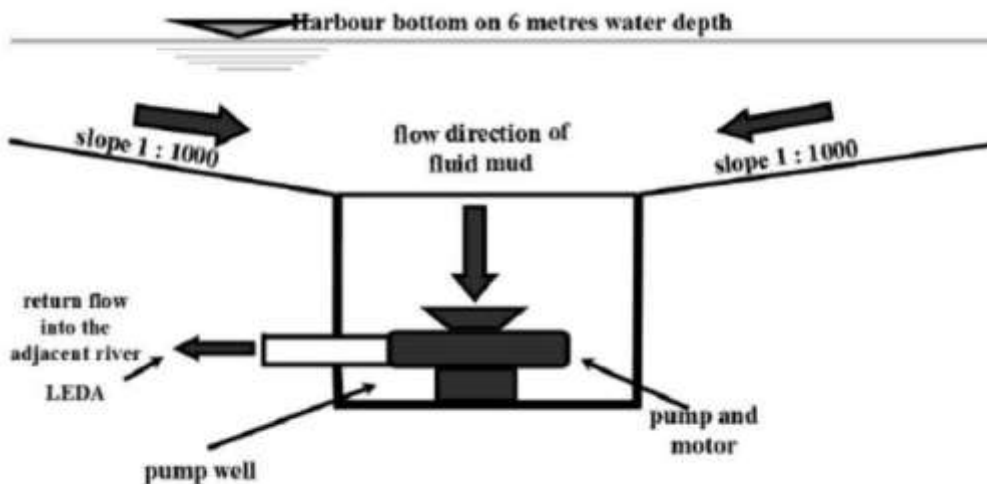


206

207 **Figure 1: Current Deflection Wall as built in Delft Tidal Flume** (Hofland *et al.*, 2001; Kirby, 2011).

208

209 The second group of techniques, KSM, regroups the two categories called “sand by-passing plants” and
 210 “remobilising sediment systems” by Bianchini *et al.* (2019). Sand by-passing plants function by
 211 transferring the sediment out of the channels, therefore preventing siltation occurring in the first
 212 place. This is contrary to dredging, which happens after siltation occurred (Bianchini *et al.*, 2019; Kirby,
 213 2011). The physical transfer of sediment is performed through different pumping systems, which are
 214 adapted to port configurations (Bianchini *et al.*, 2019). In Leer, for example, slopes were created in the
 215 docks, so that gravity naturally leads the sediment to flow into a collection sump where an underwater
 216 pump collects it and discharges it into the estuary (Figure 2) (Kirby, 2013, 2011). Remobilising systems,
 217 however, involve the resuspension of the sediment in order to put it back into the current for its
 218 evacuation from the blocked areas. The most well-known method being water injection dredging
 219 (WID), which uses a water-jet towards the seabed to create a density current which picks-up the
 220 sediment and takes it to a lower point (Bianchini *et al.*, 2019).



221

222 **Figure 2: Auto-flushing system as applied in Leer** (Kirby, 2011).

223

224 The last category described in Kirby’s review (2011), KSN, is comparable to the remobilising systems
 225 but differs in the point that it does not aim at evacuating the sediment from the port or harbour, but
 226 instead relies on the fact that some sediment types are navigable when brought into suspension (Kirby
 227 et al., 2008; Welp and Tubman, 2017). Keep sediment navigable works on the concept of nautical depth
 228 and mostly involves the method called Active Nautical Depth (AND). It is a method emerging from
 229 “Passive Nautical Depth” (PND), which is a different way to define the depth in ports and harbours,
 230 using density parameters. Active Nautical Depth derives from this concept by the fact that fluid mud is
 231 created *in situ* by mixing and aerating the mud at the bottom of the water column which makes it
 232 navigable and therefore increases the nautical depth.

233 KSN techniques form the focus of this review and the only representative of this group, Active Nautical
 234 Depth, which could be used to couple sediment management and bioremediation in muddy ports is
 235 detailed in the next section.

236

237 **Table 1: Sediment management alternatives.**

238 *Compilation of the alternatives to dredging as reviewed by Bianchini et al. (2019) and Kirby et al. (2011) and comment on their*
 239 *suitability to deal with sediment contamination and on their sustainability with regards to sediment management.*

Category as stated in the literature	Principle	Technologies	Sustainability	Ability to deal with sediment contamination	
				Advantage	inconvenient
Keep Sediment Out / Anti-sedimentation structures	Using structures to physically prevent sediment from entering and blocking ports, harbours, and channels	Sand traps (1)	High - Structures staying in place for years	NA	
		Seawalls (1)			
		Defection walls (1)			
		Piles groynes (1)			
Keep Sediment Moving / Remobilising sediment systems	Resuspending the sediment in a current that takes it out of the blocked areas	Water injection dredging (1)	Low – Techniques to repeat on a regular basis	Sediment resuspension could favour contaminant (bio)degradation (3,4)	Strong spreading of contaminants
		The Neptune (1)			
		Fluidization plants (1)			
		Submarine sand shifter (1)			
Keep Sediment Moving / Sand by-passing plants	Using pumps to constantly transfer the sediment out of the channel through piping systems	Turbo units (1)	Moderate/High – not always fixed and require maintenance		
		Centrifugal pump (1)			
		Jet pump(1)			
		Punaise pump (1)			
		Auto-flushing system (2)			

Keep Sediment Navigable	Resuspending sediment to make it navigable	Active Nautical Depth (2)	Moderate – Technique to repeat regularly, frequency reduced by EPS production	Resuspension + aeration can strongly favour contaminant biodegradation (3, 4, 5, 6, 7, 8, 9, 10)	Moderate spreading of contaminants
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240 X (number of reference): 1 = (Bianchini et al., 2019), 2 = (Kirby, 2011), 3 = (LeBlanc et al., 2006), 4 = (Pourabadehei and
 241 Mulligan, 2016), 5 = (Beolchini et al., 2014), 6 = (Fahrenfeld et al., 2013), 7 = (Levi et al., 2014), 8 = (Schurig et al., 2014), 9 =
 242 (Wald et al., 2015), 10 = (Wang et al., 2016)

243

244 1.2.2. Passive and Active Nautical Depth

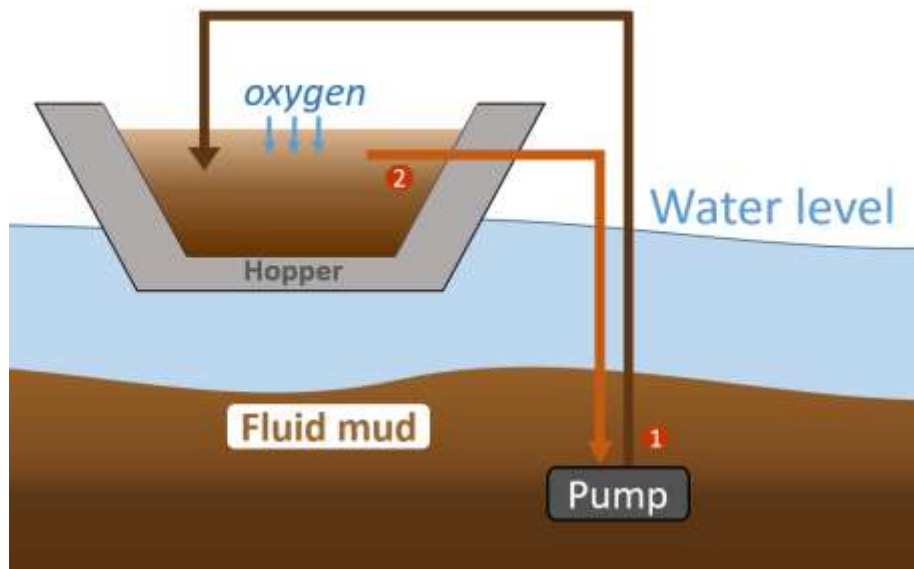
245 1.2.2.1. Principles

246 The application of the concept of Passive Nautical Depth has been one of the first steps implemented
 247 by ports and harbours around to world to reduce dredging need. It consists of changing the criteria for
 248 defining the nautical bottom. The nautical bottom is defined as the level at which the physical
 249 characteristics of the bottom can cause either damage or unacceptable effects on controllability and
 250 manoeuvrability by contact with a ship’s keel (Kirby, 2011; McAnally et al., 2016). Before the
 251 application of this concept, the depth was measured with a fathometer, which records the time for a
 252 sound pulse to be reflected from the bottom and back to the device. Depending on the rheological
 253 parameters (*e.g.* density, viscosity) of the sea bottom (especially in muddy bays and estuaries), the
 254 fathometer generates ghost echoes that can either be associated with a solid bed or with fluid mud
 255 that would be navigable. None of the instruments used are able to differentiate ghost echoes from
 256 real solid bed (McAnally et al., 2007). By precaution, ghost echoes are always considered to be
 257 associated with a solid bed, which leads to a potentially unnecessary dredging of the fluid mud,
 258 resulting in needless expense and additional pollution that could be avoided.

259 When applying PND, the depth should be defined by the parameters that permit discrimination
 260 between a solid bed and fluid mud. The density criterion is generally used but density alone is not
 261 sufficient. Other parameters, such as shear stress, should be considered to establish whether the mud
 262 is fluid enough to be navigable (Wurpts, 2005). These parameters, however, are not easy to record
 263 routinely and different particle size arrangements (which are locally variable) also influence density,
 264 shear strength and therefore navigability. As a consequence, for each port the density at which the
 265 sediment is in a fluid mud state has to be determined. In muddy ports with low sand content, the most
 266 often used density threshold is 1,200 kg.m⁻³ (Welp and Tubman, 2017). The concept of Passive Nautical
 267 Depth is now widely used in the world’s ports and harbours with the advantage of reducing dredging
 268 use (McAnally et al., 2016). Whilst tackling the physical problem, however, it does not deal with the
 269 issue of chemical contaminants.

270 By derivation from the PND concept, an alternative method to manage sediment in muddy ports and
 271 harbours has been developed, called Active Nautical Depth (Kirby et al., 2008; McAnally et al., 2016).

272 The principle (see Figure 3) is to manipulate the fluid mud cloud to perpetuate its navigability by mixing
273 and aerating it. Aeration is a critical step that determines the sustainability of the method. Indeed, the
274 new aerobic state of the mud promotes the growth of aerobic microorganisms that start producing
275 large amounts of extracellular polymeric substances (EPS). EPS are compounds, mainly polysaccharides
276 and proteins but also DNA, excreted by bacteria to form a gel-like matrix in which cells are aggregated
277 and immobilized and which has a main role of protection but is also favourable to communication
278 between cells or carbon storage (Costa et al., 2018; Wingender et al., 1999). The production of EPS
279 allows the cells to grow in a community called biofilms, or flocs at smaller scale, as opposed to their
280 free-floating life or planktonic form. After AND, without EPS production, the mud would rapidly go
281 back to its initial non-navigable state but with EPS the particles are kept in suspension longer (Pang Qi
282 Xiu et al., 2018) and the fluid remains navigable for weeks. The physical properties of EPS also permit
283 the hulls of vessels to pass through with minimal friction, thus facilitating navigability through the fluid
284 mud cloud (Kirby et al., 2008).



285

286 **Figure 3: Active Nautical Depth Principle (as applied in Emden).**
287 *Muddy sediment is pumped into a hopper dredger (1) where it is aerated before it is pumped back to the sea bottom (2).*

288

289 1.2.2.2. AND current application and potential worldwide applicability

290 Emden port (Ems estuary, Germany) was the first to experiment with AND in 1990. The method has
291 been successfully applied and is well described in the literature (Kirby, 2011; McAnally et al., 2016;
292 Wurpts, 2005) In this case, mixing is achieved by pumping the fluid mud with a low-power submerged
293 dredge pump into a hopper dredger (see Figure 3). The pumping initially alters the physical conditions
294 by breaking the inter-particle bonds and fluidizing the mud. This mud goes in the hopper and is exposed
295 to the atmosphere, thus passively becoming aerobic in a few minutes and ready to be placed back to
296 the sea bottom. The fluid mud cloud remains in suspension for 3-4 months before the mixing episode
297 has to be repeated (Kirby et al., 2008). In Emden's port configuration, the fluid mud cloud maintained

298 by AND prevents exterior sediment from re-entering the basin, consequently reducing the need for
299 dredging to zero where previously 4 million m³ of sediment was dredged each year. Finally, as a result
300 of the reduced need for maintenance dredging, the overall cost of sediment management decreased
301 from €12.5 million per year to €4 million per year (Kirby, 2013).

302 Based on the successful results obtained following the implementation of AND in Emden port, an
303 investigation of its potential to be up-scaled and used in other ports and harbours worldwide has been
304 performed (Wurpts, 2005). There are some critical conditions necessary for AND to be successful and
305 these include sediment particle size. A muddy substrate with low sand content is required in the
306 targeted area. According to Wurpts (2005), AND should easily be applicable for a sand content of up
307 to 10% with a particle size of between 60 and 200 μm. For sediment with a sand content exceeding
308 10%, however, the process can be refined. Indeed, the hopper dredger applied in Emden port has been
309 designed in such a way that a sand extraction can be performed if needed.

310 Wurpts (2005) evaluated that these application conditions were viable for several ports in Europe, such
311 as Bristol, Liverpool, Rotterdam, Brunsbuettel, Harwich, and Leer. In theory, many ports with muddy
312 sediment could successfully use AND, feasibility studies must be performed on a site basis to evaluate
313 the possibility of applying it as a sustainable method for sediment management (to replace or reduce
314 dredging). McAnally *et al.* discusses in a review (2016) the possibility of applying PND and AND
315 concepts in the U.S. waterways and concluded as well that these are theoretically applicable to many
316 locations such as Gulfport, Mississippi, Atchafalaya, Louisiana, and Calcasieu, Louisiana but studies
317 need to be undertaken to confirm it and bring it to application.

318 Apart from sediment particle size, other factors could be taken into account, such as nutrient quantity.
319 Despite stating that a low nutrient level was optimal for the excretion of EPS in high amounts, Wurpts
320 did not determine the extent of nutrient concentration influence on AND applicability (Wurpts, 2005).
321 Bacteria secrete EPS and form biofilm communities in order to survive in harsh environmental
322 conditions. Nevertheless, flocculation can still be observed in the case of nutrient-rich environments
323 (Lai et al., 2018), indeed, biofilms confer many advantages to bacteria, they offer protection against
324 predation, a better resistance to UV, to high concentrations of toxic compounds and to changes in
325 salinity or pH (de Carvalho, 2018). More research could be done to determine if nutrient loads would
326 influence AND as if EPS production is insufficient, the sedimentation of the fluid mud could happen too
327 quickly after mud fluidization and reduce the sustainability of the method.

328 In addition to Emden AND was also applied in Delfzijl and Bramerhaven where the process was slightly
329 modified. There, instead of pumping the mud into a hopper dredger, surface water is pumped into the
330 mud to fluidize and aerate it (Nasner et al., 2007). These AND applications should not be confused with
331 water injection dredging, which uses a similar concept but with a high-pressure water jet aimed at
332 flushing the mud out of the location, whereas in the case of Delfzijl and Bramerhaven the aim is only
333 to create a navigable fluid mud cloud through low power injection.

334

335 **2. Port contamination and sediment remediation**

336 2.1. Sediment contaminants

337 Port and harbour activities generate many types of pollution: petroleum and its derivatives,
338 greenhouse gas emissions, release of compounds from antifouling paints, sewage, and wastewater.
339 The multiple sources of contamination and the usual enclosed configuration of ports and harbours
340 result in limited circulation leading to high levels of contaminants in sediments and subsequent
341 negative impact to aquatic life due to their toxicity. The presence of contaminants usually damages
342 the ecosystem locally by affecting the development, reproduction and survival of many indigenous
343 species. There are countless examples of evidence for the toxicity of pollutants found in ports and
344 harbours. Tributyltin (TBT), for example, previously used in antifouling paints, is well-known for its
345 endocrine disruptive action, first discovered by the appearance of malformations leading to the
346 decrease in oyster populations, which caused severe problems to the oyster production market of the
347 Arcachon Bay in France in the 1970's (Alzieu, 2000). Since then, the knowledge on TBT's high toxicity
348 has increased and it is commonly considered to be the most toxic substance deliberately delivered into
349 the aquatic environment. Heavy metals also exert their toxicity in various organisms, they damage the
350 tissues and DNA leading to numerous problems like growth inhibition, deformities or reduced fertility
351 (Sharifuzzaman et al., 2016).

352 In addition to their local impact, several contaminants, like polycyclic aromatic hydrocarbons (PAHs),
353 heavy metals and organotin compounds (OTCs), are known to be bioaccumulative, which means that
354 they can be transported along the food chain, affecting a wide range of organisms and can potentially
355 be toxic towards humans (de Carvalho Oliveira and Santelli, 2010; Nikolaou et al., 2009; Sharifuzzaman
356 et al., 2016). Some of this pollution causes reversible damage; the contaminants degrade rapidly after
357 introduction into the environment and are therefore defined as non-persistent, which is the case for
358 fertilizers, domestic sewage, or non-persistent pesticides. On the contrary, other contaminants are
359 called persistent, because the damage that they cause is either irreversible or they persist over a long
360 time periods. The main contaminants persisting in sediment are OTCs, heavy metals, polychlorinated
361 biphenyl (PCB) and PAHs.

362 2.2. Sediment remediation

363 2.2.1. Traditional remediation

364 The vast majority of the methods designed for the remediation of contaminated sediment (Table 2)
365 involve dredging and placement *ex-situ* followed by a designated treatment. Most of the available
366 treatments are physical and chemical. Thermal treatment such as incineration, as an example of
367 physical treatment, is often used because of its efficiency but it consumes a lot of energy and has a
368 high cost (Du et al., 2014). A classic chemical treatment is chemical oxidation, which uses oxidants such
369 as Fenton's reagent, potassium permanganate or hydrogen peroxide to break down contaminants. It
370 has been raised however that incomplete reactions or side reactions may occur during chemical
371 treatments, leading to the release of other potentially toxic compounds (Ferrarese et al., 2008;
372 Finnegan et al., 2018).

374 Efforts have been made to find more environmentally friendly and cost-effective ways for the
375 remediation of dredged contaminated sediment and bioremediation is an encouraging process in this
376 regard. Bioremediation consists of the degradation of a contaminant as a result of the activity of a
377 living organism. It usually involves contaminant breakdown by microorganisms (biodegradation) or by
378 plants (phytoremediation).

379 Bioremediation has been applied successfully as an *ex-situ* treatment for contaminated sediment
380 (Chikere et al., 2016; Novak and Trapp, 2005; Rocchetti et al., 2014; Wu et al., 2014). Used *ex-situ*,
381 however, it is still associated with the negative effects of dredging described above (*e.g.* strong
382 environmental impact, complex legislation, high cost) and remains unsustainable as the sediment is
383 removed from its initial location. Consequently, developing *in-situ* solutions that do not require
384 dredging for the remediation of contaminated sediment are most desirable.

385 Several options have been proposed for *in-situ* bioremediation of contaminated sediment, the
386 simplest one being natural attenuation, which consists of leaving the environment to decontaminate
387 itself and only monitoring the progress of degradation (Lofrano et al., 2017). Natural attenuation is
388 usually a slow process and can be applied for low-risk contaminants. Biostimulation and
389 bioaugmentation can therefore be used to boost the process of natural attenuation. Biostimulation
390 involves the stimulation of the native degrading community by creating more favourable conditions
391 for the growth and activity of the microorganisms. This can be achieved, for example, by the addition
392 of nutrients or oxygen. For bioaugmentation, microorganisms identified to be efficient at degrading a
393 targeted contaminant are added to the native community. Biodegradation has been widely studied at
394 the laboratory scale. Studies have been assessing the biodegradability potential of sediment
395 contaminants by a precise microorganism in pure culture or mixed culture (Dean-Ross et al., 2002;
396 Harrabi et al., 2019; Khanolkar et al., 2015; Mulla et al., 2018; Y.-S. Wang et al., 2015). In an attempt
397 to mimic more accurately the environmental conditions, microcosm experiments were set up using
398 spiked or naturally contaminated sediment (Demirtepe and Imamoglu, 2019; Levi et al., 2014;
399 Maturro et al., 2016; Peng et al., 2019; Wang et al., 2016; Z. Wang et al., 2015; Yang et al., 2015).

400 In both culture media and microcosms, biostimulation and bioaugmentation approaches have been
401 tested to determine the optimal conditions of degradation. Bioaugmentation was sometimes shown
402 to be efficient to enhance biodegradation regardless of the conditions (Dell'Anno et al., 2009; Li et al.,
403 2015), while sometimes showing no effect on degradation rates (Demirtepe and Imamoglu, 2019).
404 Wang *et al.* (2015) tested the effect of bioaugmentation using different strains isolated for their
405 nonylphenol biodegradation ability and observed a positive impact on nonylphenol biodegradation in
406 microcosms for only one of them. Another study assessing the impact of bioaugmentation on
407 perchloroethylene (PCE) biodegradation in different microcosms using sediment from various sites
408 also reported different levels in bioaugmentation efficiency (Schiffmacher et al., 2016). In the latter
409 study, the authors explained the contrasting results by the presence of diverse co-contaminants in the
410 different sites, leading to variable degradation pathways that do not necessarily lead to the complete

411 elimination of the toxic compounds. Other factors, however, play a role in the success or failure of
412 bioaugmentation attempts, such as the ability of the bioaugmented strain or population to adapt to
413 the target environment and to compete with the indigenous microorganisms (Mrozik and Piotrowska-
414 Seget, 2010).

415 Biostimulation attempts also give varying results. Nutrient addition sometimes effectively enhances
416 biodegradation (Demirtepe and Imamoglu, 2019; Tang et al., 2019; Ye et al., 2013) but can also inhibit
417 it in some other cases (Z. Wang et al., 2015; Wong et al., 2002). Biostimulation normally aims at
418 boosting the growth of microorganisms in order to obtain better degradation activity, but providing
419 a source of carbon or energy that is more readily available can also result in its preferential use, to the
420 detriment of the target toxic compound degradation (Wong et al., 2002). The other biostimulation
421 approach, consisting of providing oxygen to favour aerobic metabolism, which holds degradation
422 pathways of numerous contaminants, often has a positive impact on biodegradation rates. Several
423 authors reported the aerobic biodegradation of contaminants such as pesticides (bentazone,
424 dichlorprop, mecoprop, glyphosate), PAHs, alkanes, phthalate acid esters (PAEs), 2,4,6 trinitrotoluene
425 (TNT), organotin compounds and nonylphenol in microcosm experiments involving sediment
426 (Beolchini et al., 2014; Fahrenfeld et al., 2013; Levi et al., 2014; Li et al., 2015; Wald et al., 2015; Wang
427 et al., 2016; Z. Wang et al., 2015). Other studies focussed on assessing the aerobic biodegradation of
428 contaminants by specific microorganisms in pure culture, which is also useful in a potential
429 bioaugmentation approach (Cruz et al., 2007; Mulla et al., 2018; Y.-S. Wang et al., 2015). Even more
430 interestingly, the beneficial effect of resuspension on the biodegradation of heavy metals and
431 phenanthrene was reported (LeBlanc et al., 2006; Pourabadehei and Mulligan, 2016). These studies
432 are of particular interest for the purpose of this review, as they demonstrate a beneficial effect of the
433 processes involved during AND (resuspension, aeration) on contaminants biodegradation.

434

435 2.3. Research needs in the field of sediment bioremediation

436 All of the studies described in the previous section have improved the knowledge on sediment
437 contaminant biodegradation with the aim of developing novel bioremediation solutions, but after
438 years of research at the laboratory scale, there is still a clear lack of pilot-scale studies in the field and
439 actual applications (Majone et al., 2015; Perelo, 2010). Other innovative techniques have been
440 proposed, often hybrids between physical, chemical and biological treatment, they include, for
441 example, reactive capping, reactive barriers, or bioelectrochemical removal (Lofrano et al., 2017;
442 Majone et al., 2015). Recently, a field trial reported the successful use of immobilised microbial
443 activated beads for the *in-situ* remediation of river sediment aimed at reducing nitrogen and organic
444 carbon pollution (Fu et al., 2018). This study, however, represents an exception, and reviews of *in-situ*
445 bioremediation highlight the lack of application of the proposed methods, which are rarely brought to
446 field trials, despite their promising potential (Lofrano et al., 2017; Majone et al., 2015). This lack of
447 application is explained by several factors. There is a lack of consensus for the use of *in situ*
448 bioremediation, due to uncertainty about the effectiveness, control and possible secondary effects. A

449 need for the development of biomolecular tools for site investigation has also been emphasised
 450 (Majone et al., 2015). More research is consequently needed to overcome these barriers.

451

452 **Table 2: Overview of remediation solutions for contaminated sediment.**

Type of method	Advantage	Disadvantage	Examples of technology used
Physical	<ul style="list-style-type: none"> • Very effective • Suitable for high levels of contaminants • Fast remediation 	<ul style="list-style-type: none"> • Expensive • Energy consuming • Mostly applied <i>ex situ</i>* • <i>Strong perturbation of sediment biology and physico-chemistry</i> 	Incineration
			Immobilization
Chemical	<ul style="list-style-type: none"> • Effective 	<ul style="list-style-type: none"> • Can involve side reactions • Only applied <i>ex situ</i>* 	Solvent extraction Chemical oxidation
Biological	<ul style="list-style-type: none"> • Environmental-friendly • Cheaper • Can be applied <i>in situ</i>* 	<ul style="list-style-type: none"> • Involve long durations • More efficient for low/moderate contamination • Lack of full-scale application 	Phytoremediation Biodegradation (natural attenuation, biostimulation, bioaugmentation)

453 **ex situ treatments of sediment involve dredging and all the detrimental issues associated with it.*

454

455 **3. Potential coupling of sediment management and bioremediation**

456 Sediment management techniques like AND, which use the resuspension and aeration of sediment
 457 without transportation, could serve a double objective. In fact, as a beneficial side effect, the aeration
 458 and resuspension of the mud may favour bioremediation of sediment pollutants while reducing the
 459 production of other pollutants such as methane, ammonia, or hydrogen sulphide by anaerobic
 460 microorganisms. Using AND for the bioremediation of contaminated sediment could be a good option
 461 since it would be applied *in situ* and therefore would not involve spreading of contamination or further
 462 pollution during transportation. Further research is necessary to evaluate specifically the potential
 463 applicability of AND for the remediation of contaminants found in ports and harbours. Ideally the aim
 464 would be to target a wide range of compounds to make AND a versatile method to manage and
 465 remediate sediment in multiple places around the world but a first step in the investigation is to
 466 understand the factors contributing to degradation of a single contaminant.

467 AND as it is used currently in Emden already caused major savings in the sediment management budget
 468 of the port, the bioremediation part of it could be a passive benefit of the method and would therefore
 469 involve no extra cost. If it was revealed as efficient as it could be by analysing the literature, this would
 470 make it a very attractive technique to solve two major issues in the port industry.

471 As promising as it looks, using AND or a derivative for the bioremediation of a harmful contaminant
 472 would nonetheless be subject to critical scrutiny. Resuspending sediment certainly constitutes a
 473 perturbation of the port ecosystem, mostly because of the turbidity caused by the fluid mud cloud.
 474 Note that this turbidity is more localised than the one observed during dredging excavations, the fluid

475 mud is pumped back to the sea bottom where it forms a layer of navigable mud without mixing with
476 the above water. It is, however, important to note that ports are by essence perturbed
477 environments, with ship traffic, maintenance work and contamination, the ecosystem is often
478 disturbed (Darbra et al., 2005). Such a method being used as a replacement for dredging could still
479 mitigate the disturbance as all the issues linked with transportation and disposal are eliminated.

480 The use of AND for the bioremediation of strongly contaminated locations would need to be
481 approached with caution as this could lead to the release of toxic compounds into the surrounding
482 waters for a certain period of time before contaminant biodegradation. Indeed, it could be argued that
483 causing a strong perturbation of the ecosystem in order to sustainably clean an area might be an
484 acceptable compromise compared to leaving these highly contaminated locations as they are but
485 facing regular resuspensions and perturbations caused by ship traffic or natural events. Some
486 remediation methods such as capping are especially designed to tackle this kind of issue, but they are
487 only suitable for contaminants that are degraded anaerobically, and therefore cannot be applied to a
488 wide range of contaminants.

489 The fact that some contaminants are specifically degraded in different conditions of oxygenation also
490 complicates the development of bioremediation solutions as they consequently must be adapted to
491 the local 'cocktail of contaminants'. If resuspension-aeration techniques were to be applied to a site
492 where aerobically degraded contaminants are present alongside anaerobically degraded contaminants
493 in high quantity this would lead to the resuspension of the latter without any hope of future
494 degradation, which would represent a bigger threat to the ecosystem and make the remediation effort
495 counterproductive.

496 AND or equivalent techniques of resuspension-aeration could therefore find their best value when
497 actually used routinely as management methods, eliminating moderate levels of contamination as they
498 are introduced in ports and harbours through the inherent activities and preventing their accumulation
499 to toxic levels, while preventing sediment accumulation in the navigable waterways.

500

501 **Conclusion:**

502 After using dredging for years to tackle siltation in ports and harbours it is widely acknowledged that
503 this method of sediment management has many flaws with high environmental impact and significant
504 costs, especially when dealing with contaminated sediment. Several methods have been proposed as
505 alternatives to dredging, these have not replaced dredging which remains the most widely used
506 technique. These alternative methods are based on different principles, preventing sediment from
507 entering the target areas, resuspending it into a current, repeatedly pumping it out or making it
508 navigable. Separately, a substantial research effort was made to improve the knowledge on
509 bioremediation of contaminated sediment and these studies emphasize a strong contaminant
510 biodegradation potential within the microbial community at the laboratory scale. Nevertheless, there
511 is a clear need to advance the research to the next steps with field-scale pilot studies. More

512 importantly, this review highlights the beneficial potential to rethink sediment management and
513 bioremediation solutions in an integrated way, especially for contaminants that are biodegraded
514 aerobically. Techniques such as AND could, in addition to reducing the need for dredging in muddy
515 ports and harbours, biostimulate native microorganisms and could result in the elimination of harmful
516 compounds such as PAHs, organotin compounds, various pesticides or herbicides.

517

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