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**Buckley, BJR, Harrison, SL, Fazio-Eynullayeva, E, Underhill, P, Lane, DA, Thijssen, DHJ and Lip, GYH**

**Exercise-Based Cardiac Rehabilitation Associates with Lower Major Adverse Cardiovascular Events in People with Stroke**

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### Article

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1 **Brief Report**

2  
3 **Exercise-based cardiac rehabilitation associates with lower major adverse cardiovascular**  
4 **events in people following a stroke**

5  
6 **Running title: Buckley et al. Cardiac rehabilitation for stroke survivors**

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8  
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30

31 **Abstract**

32

33 Background

34 The risk of major adverse cardiovascular events is substantially increased following a stroke.  
35 Although exercise-based cardiac rehabilitation has been shown to improve prognosis  
36 following cardiac events, it is not part of routine care for people following a stroke. We  
37 therefore investigated the association between cardiac rehabilitation and major adverse  
38 cardiovascular events for people following a stroke.

39 Methods

40 This retrospective analysis was conducted on June 20, 2021 using anonymised data within  
41 TriNetX, a global federated health research network with access to electronic medical records  
42 from participating healthcare organizations, predominantly in the United States. All patients  
43 were aged  $\geq 18$  years with cerebrovascular disease and at least 2-years of follow up. People  
44 with stroke and an electronic medical record of exercise-based cardiac rehabilitation were 1:1  
45 propensity score-matched to people with stroke but without cardiac rehabilitation using  
46 patient characteristics, comorbidities, cardiovascular procedures, and cardiovascular  
47 medications.

48 Results

49 Of 836,923 people with stroke and 2-year follow-up, 2,909 met the inclusion for the exercise-  
50 based cardiac rehabilitation cohort. Following propensity score matching ( $n=5,818$ ), exercise-  
51 based cardiac rehabilitation associated with 53% lower odds of all-cause mortality (odds ratio  
52 0.47, 95% confidence interval: 0.40-0.56), 12% lower odds of recurrent stroke (0.88, 0.79-  
53 0.98), and 36% lower odds of rehospitalisation (0.64, 0.58-0.71), compared to controls. No  
54 significant association between cardiac rehabilitation and incident atrial fibrillation was  
55 observed.

56 Conclusion

57 Exercise-based cardiac rehabilitation prescribed for people following a stroke associated with  
58 significantly lower odds of major adverse cardiovascular events at 2-years, compared to usual  
59 care.

60

61 Key words

62 Exercise; Cardiac Rehabilitation; Secondary Prevention; Stroke; MACE; Preventive Cardiology

63 **Introduction**

64 Physical inactivity is a primary concern among the >7 million people living with  
65 cerebrovascular disease in the United States and >9 million stroke survivors in the European  
66 union, who have significantly increased risk of major adverse cardiovascular events.[1, 2]  
67 Exercise-based cardiac rehabilitation promotes secondary prevention of cardiovascular  
68 disease and has been associated with reduced long-term major adverse cardiovascular events  
69 in patients with various cardiac diseases.[3, 4] Cardiac rehabilitation is therefore an essential  
70 component of routine care for patients with acute coronary syndrome, heart failure, and  
71 those undergoing revascularisation.[5] However, despite similar cardiovascular risk factors,  
72 people following a stroke are not typically referred for exercise-based cardiac rehabilitation  
73 and the impact of such interventions on long-term clinical outcomes has not been previously  
74 investigated.

75

76 Remarkably, previous work suggests exercise interventions are superior to drug treatment in  
77 lowering mortality risk for people who survive a cerebrovascular event.[6] Therefore,  
78 outpatient exercise interventions may be beneficial for such populations. The aim of the  
79 present study was to investigate the association between exercise-based cardiac  
80 rehabilitation and long-term major adverse cardiovascular events in patients following a  
81 stroke.

82

83 **Methods**

84 This retrospective observational study utilised anonymised data within TriNetX, a global  
85 federated health research network with access to electronic medical records from  
86 participating academic medical centres, specialty physician practices, and community  
87 hospitals, predominantly in the United States. The TriNetX network was searched on June 20,  
88 2021 and de-identified datasets were analysed that included data from 2002-2019 with at  
89 least 2-years of follow-up (i.e. index event was at least two years ago). This study is reported  
90 as per the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE)  
91 guidelines (eTable 1).

92

93 Patients with incident stroke or transient ischaemic attack (TIA) were identified from  
94 International Classification of Diseases, Tenth Revision, Clinical Modification (ICD-10-CM)

95 codes I63 (Cerebral infarction) and G45 (TIA). All patients were aged  $\geq 18$  years with an  
96 incident cerebrovascular event recorded in EMRs at least 2-years ago (allowing for two-year  
97 follow up).

98

99 Exercise-based cardiac rehabilitation was identified (within 6-months of incident stroke) from  
100 ICD-10-CM code Z71.82 (Exercise counselling), Healthcare Common Procedure Coding System  
101 (HCPCS) codes S9451 (Exercise classes, non-physician provider) and S9472 (cardiac  
102 rehabilitation program, non-physician provider), or Current Procedural Terminology (CPT)  
103 codes 93797/93798/1013171 (Outpatient cardiac rehabilitation, with/without ECG).  
104 Correspondingly, these cardiac rehabilitation-related codes were excluded in the propensity  
105 matched controls. At the time of the search, 51 participating healthcare organisations had  
106 data available for patients who met the study inclusion criteria.

107

108 Baseline characteristics were compared using chi-squared tests or independent-sample t-  
109 tests. Given cardiac rehabilitation provision is typically reserved for cardiovascular patients  
110 following an acute coronary syndrome, revascularisation, and heart failure, referral of  
111 patients in this database was likely due to cardiovascular comorbidities. Thus, propensity  
112 score matching was used to control for these potential confounders. Patients following a  
113 stroke/TIA and an electronic medical record of exercise-based cardiac rehabilitation were 1:1  
114 propensity score-matched[7] to patients following a stroke but without cardiac rehabilitation  
115 based on age, sex, ethnicity, hypertensive diseases, ischaemic heart diseases, heart failure,  
116 diabetes mellitus, chronic kidney disease, cardiovascular procedures (including  
117 electrocardiography, echocardiography, catheterization, cardiac devices, and  
118 electrophysiological procedures), and cardiovascular medications (including beta-blockers,  
119 antiarrhythmics, diuretics, antilipemic agents, antianginals, calcium channel blockers, and  
120 ACE inhibitors). These variables were chosen because they are established risk factors for  
121 cardiovascular disease and mortality or represent differences in quality of care.

122 Using logistic regression [LogisticRegression of the scikit-learn package in Python (version  
123 3.7)], TriNetX performs a 1:1 greedy nearest neighbour matching model,[7] with a caliper of  
124 0.1 pooled standard deviations. In order to eliminate bias resulting from nearest neighbour  
125 algorithms, the orders of rows are randomized. Any baseline characteristic with a  
126 standardised mean difference between cohorts lower than 0.1 is deemed well matched.[8]

127 To prevent inadvertent disclosure of protected health information, patient counts for  
128 demographics, clinical characteristics, and outcomes of less than 10 are reported as  $\leq 10$ .  
129 Following propensity score matching, logistic regression produced odds ratios (OR) with 95%  
130 confidence intervals (CIs) for 2-year incidence of major adverse cardiovascular events  
131 (defined in this study as all-cause mortality, recurrent stroke, rehospitalisation, and incident  
132 atrial fibrillation), comparing exercise-based cardiac rehabilitation with propensity matched  
133 non-cardiac rehabilitation controls. Statistical significance was set at  $P < 0.05$ .

134

### 135 **Results**

136 In total, 836,923 patients with new-onset stroke/TIA were identified from 51 US healthcare  
137 organisations with at least 2-years of follow-up. Of which, 2,909 (0.3%) met the inclusion  
138 criteria for the exercise-based cardiac rehabilitation cohort. Following propensity score  
139 matching, there were 2,909 patients in each cohort ( $n=5,818$  in total), which were well-  
140 matched for age, ethnicity, sex, included comorbidities, cardiovascular procedures, and  
141 cardiovascular medications (Table 1).

142

143 Using the propensity score-matched cohorts, 2-year mortality was proportionally lower with  
144 8.5% ( $n=247$  of 2,903 patients) in the exercise-based cardiac rehabilitation cohort compared  
145 to 16.5% ( $n=473$  of 2,873 patients) in the controls (OR 0.47, 95% CI 0.40-0.56). Two-year  
146 recurrent stroke was proportionally lower with 39.2% ( $n=1,141$  of 2,909 patients) in the  
147 exercise-based cardiac rehabilitation cohort compared to 42.3% ( $n=1,231$  of 2,909 patients)  
148 in the controls (OR 0.88, 95% CI 0.79-0.98). Rehospitalisation at 2-years was proportionally  
149 lower with 40.7% ( $n=1,185$  of 2,909 patients) in the exercise-based cardiac rehabilitation  
150 cohort compared to 51.8% ( $n=1,507$  of 2,909 patients) in the controls (OR 0.64, 95% CI 0.58-  
151 0.71). No significant association was observed between cardiac rehabilitation and incident  
152 atrial fibrillation (10.7% ( $n=190$  of 1,775 patients) in the exercise-based cardiac rehabilitation  
153 cohort compared to 10.6% ( $n=208$  of 1,955 patients) in the controls (OR 1.01, 95% CI 0.82-  
154 1.20).

155

### 156 **Discussion**

157 Collectively, this real-world data analysis suggests exercise-based cardiac rehabilitation for  
158 people following a stroke associates with significantly lower odds of major adverse

159 cardiovascular events at 2-years, compared to matched controls. Specifically, in 5,818 people  
160 following a stroke, exercise-based cardiac rehabilitation ( $n=2,909$ ) associated with 53% lower  
161 odds of all-cause mortality, 12% lower odds of recurrent stroke, and 36% lower odds of  
162 rehospitalisation, compared to matched controls without cardiac rehabilitation (Figure 1).

163

164 Exercise-based cardiac rehabilitation is recommended (with the highest level of scientific  
165 evidence - class I) by the European Society of Cardiology[5] and the American College of  
166 Cardiology[9] for patients with acute coronary syndrome, heart failure, and those undergoing  
167 revascularisation. These international recommendations are supported by evidence of  
168 improved prognosis. However, exercise-based cardiac rehabilitation is not part of routine care  
169 for patients following a stroke.

170

171 As cerebrovascular disease and cardiac disease share similar risk factors and comorbidities, it  
172 seems logical that exercise-based cardiac rehabilitation, proven to work for the heart, should  
173 also work for the brain. Especially, since only 18% of stroke survivors meet the weekly physical  
174 activity guidelines.[10] Indeed, a network meta-analysis demonstrated that when compared  
175 with controls, exercise interventions were associated with lower odds of mortality for stroke  
176 survivors compared to drug therapies.[6] One important caveat, however, is that only three  
177 trials with 227 patients represented exercise interventions, whereas 24 trials with 65,827  
178 patients represented pharmacology. Highlighting the timely need for more research into non-  
179 pharmacological interventions for people following a stroke.

180

181 A more recent systematic review and meta-analysis ( $n=19$  studies) investigated the impact of  
182 exercise interventions *similar* in design to exercise-based cardiac rehabilitation for people  
183 following a stroke and demonstrated significant improvements in aerobic capacity.[11]  
184 However, no previous trials have examined long-term clinical outcomes of cardiac  
185 rehabilitation for patients with cerebrovascular disease. Although an electronic medical  
186 record of cardiac rehabilitation does not provide information as to the intervention type,  
187 dose, or adherence, prior work has demonstrated that patients following a stroke are able to  
188 meet or even exceed minimal recommendations for exercise intensity and duration during a  
189 typical exercise session (consisting of 60 minutes of aerobic and resistance training) after  
190 completing cardiac rehabilitation.[12] Further, integrating survivors of stroke into exercise-

191 based cardiac rehabilitation may improve endurance and functional strength.[13] This is  
192 promising, given physical activity, which has not received adequate attention in secondary  
193 stroke prevention trials, was the strongest predictor of a good outcome in a secondary  
194 analysis[14] of the SAMMPRIS (Stenting and Aggressive Medical Management for Preventing  
195 Recurrent Stroke in Intracranial Stenosis) study.[15] These preliminary data contribute to the  
196 evidence promoting the efficacy and feasibility of cardiac rehabilitation for people following  
197 stroke.

198

199 Given, the American Heart Association have called for exercise prescription to be  
200 incorporated into routine management of stroke survivors,[16] the promising findings of the  
201 present study warrant subsequent controlled trials to investigate the impact of exercise-  
202 based cardiac rehabilitation for patients with cerebrovascular disease.

203

#### 204 **Limitations**

205 It is of note that cardiac rehabilitation is not provided as part of usual care for patients  
206 following a stroke, but for other cardiovascular conditions, such as acute cardiac syndrome,  
207 revascularisation procedures, and heart failure, which were therefore included in the  
208 propensity score matching. The data were collected from health care organization electronic  
209 medical record databases without information regarding stroke severity or stroke type, which  
210 may have impacted the findings. Although only one follow-up time point is presented (2-  
211 years; to balance long-term follow-up and sample size), there was no meaningful difference  
212 in findings when looking at 1-year or 5-year follow-up time points (i.e., the direction of effect  
213 estimates did not change). Furthermore, 52% of the sample were female, and when analysing  
214 the data stratified for sex, there was no difference in impact i.e., cardiac rehabilitation  
215 associated with lower mortality, recurrent stroke, and rehospitalisation for both males and  
216 females. We included an electronic medical record of 'Exercise counselling' as an inclusion in  
217 the exercise-based cardiac rehabilitation cohort. However, as exercise counselling does not  
218 necessarily correspond to an exercise intervention, inclusion may have contributed to more  
219 conservative effect estimates of cardiac rehabilitation within our study. It is not clear if a  
220 beneficial effect of cardiac rehabilitation is mediated via cardiac or cerebral improvements  
221 (or both), and this warrants future mechanistic investigation. Other residual confounding may  
222 have impacted our results, including pre-stroke physical activity levels, lifestyle factors and



223 socioeconomic status. Finally, further mechanistic work is needed that investigates the  
224 individual exercise responses in subtypes of cerebrovascular disease and potential mediators  
225 of benefit.

226

## 227 **Conclusions**

228 In 5,818 people following a stroke, exercise-based cardiac rehabilitation was associated with  
229 lower odds of 2-year major adverse cardiovascular events, compared to matched controls.

230 These findings are encouraging for exercise as medicine for people following a stroke and  
231 highlight the need for subsequent trials, considering stroke severity and subtype.

232

233 **Acknowledgement**

234 Not applicable.

235

236 **Statement of ethics**

237 The paper is exempt from ethical committee approval. This retrospective review of real-world  
238 patient data did not require ethical approval in accordance with national guidelines. Written  
239 informed consent from participants was not required in accordance with national guidelines.

240 As a federated network, research studies using the TriNetX network do not require ethical  
241 approval or patient informed consent as no patient identifiable information is received.

242

243 **Conflicts of Interest**

244 BJRБ has received research funding from Bristol-Myers Squibb (BMS)/Pfizer. SLH has received  
245 research funding from BMS. EF-E and PU are employees of TriNetX LLC. DAL has received  
246 investigator-initiated educational grants from BMS, has been a speaker for Boehringer  
247 Ingelheim, and BMS/Pfizer and has consulted for BMS, Boehringer Ingelheim, and Daiichi-  
248 Sankyo. GYHL is a consultant and speaker for BMS/Pfizer, Boehringer Ingelheim and Daiichi-  
249 Sankyo. No fees are received personally. In addition, GYHL is an Associate Editor of  
250 *Cerebrovascular Diseases*.

251

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253 Although no specific funding was received for this work, TriNetX LLC funded the acquisition  
254 of the data used through use of the database.

255

256 **Author Contributions**

257 BJRБ conceived, analysed the data, and drafted the manuscript. SLH, EF-E,PU, DAL, DHJT,  
258 GYHL all revised and approved the final manuscript.

259

260 **Data Availability Statement**

261 To gain access to the data in the TriNetX research network, a request can be made to TriNetX  
262 (<https://live.trinetx.com>), but costs may be incurred, a data sharing agreement would be  
263 necessary, and no patient identifiable information can be obtained.

264

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321  
322

323 **Figure Legends**

324

325 **Figure 1.** Two-year incidence of major adverse cardiovascular events from new-onset  
326 cerebrovascular disease; comparing patients who received exercise-based cardiac  
327 rehabilitation ( $n=2,909$ ) to propensity matched patients who received usual care only  
328 ( $n=2,909$ ).

329