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Chesterton, P, Evans, W, Wright, M, Lolli, L, Richardson, M and Atkinson, G

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### Article

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Chesterton, Paul, Evans, Will, Wright, Matthew, Richardson, Mark, Loli, Lorenzo and Atkinson, Greg (2021) Influence of Lumbar Mobilizations During the Nordic Hamstring Exercise on Hamstring Measures of Knee Flexor Strength, Failure Point, and Muscle Activity: A Randomized Crossover Trial. Journal of Manipulative and Physiological Therapeutics. ISSN 0161-4754

1 **Does the application of lumbar mobilizations prior to the Nordic hamstring exercise influence**  
2 **hamstring measures of knee flexor strength, failure point and muscle activity? A replicated**  
3 **randomized cross-over trial**

4

5 Objective: The aims of this study were to quantify the effects of unilateral posterior-anterior  
6 mobilization on force production, failure point and muscle activity of the hamstrings during the Nordic  
7 hamstring exercise (NHE) and explore individual differences in responses.

8 Methods: In a replicated randomized crossover trial, twenty-four participants (age [mean  $\pm$  SD]: 27  $\pm$   
9 6 y, body mass: 82  $\pm$  17 kg, stature: 181  $\pm$  8 cm) completed two standardized intervention (L4/5  
10 zygapophyseal mobilizations) and two control conditions. The failure point of the Nordic hamstring  
11 exercise was determined with 3D motion capture. Peak force, knee flexor torque and  
12 electromyography (EMG) of the Biceps Femoris were measured. Data analyses were undertaken to  
13 quantify mean intervention response and explore any individual response heterogeneity.

14 Results: Mean (95% confidence interval) left limb force was higher in intervention vs control by 18.7  
15 (4.6–32) N. Similarly, right limb force was higher by 22.0 (3.4–40.6) N, left peak torque by 0.14 (0.06–  
16 0.22) Nm and right peak torque by 0.14 (0.05–0.23) Nm/Kg. Downward Force (DWF) angle was  
17 decreased in intervention vs control by 4.1° (0.5–7.6) on the side of application. Both peak EMG

18 activity ( $p=.002$ ), and EMG at the DWF (Right) ( $p=.020$ ) increased in the intervention condition by 16.8  
19 (7.1–26.4) and 8.8 (1.5–16.1) (mV), respectively. Mean downward acceleration angle changed by only  
20  $0.3^\circ$  (-8.9–9.4) in intervention vs control. A clear response heterogeneity was indicated only for force  
21 right (participant x intervention interaction:  $P=.044$ ; Response heterogeneity SD = 34.5 (5.7–48.4) N).  
22 Individual response heterogeneity was small for all other outcomes.

23 Conclusions: Following UPA mobilization, immediate changes in bilateral hamstring force production  
24 and peak torque occurred during the NHE. The effect on the NHE failure point was unclear. EMG  
25 activity increased on the ipsilateral side. Response heterogeneity was generally similar to the random  
26 trial-to-trial variability inherent in the measurement of the outcomes.

27 Clinical Trials number: NCT03745482 (<https://clinicaltrials.gov/ct2/show/NCT03745482>)

28 **Introduction**

29

30 Hamstring strain injuries (HSI) are common across several sports affecting athletes of all ages,  
31 genders, and levels of competition.<sup>1-5</sup> Considerable time can be lost from sport related activity,  
32 resulting in diminished performance and financial loss.<sup>6</sup> Despite significant emphasis on injury  
33 preventive measures, HSI prevalence continues to rise and recurrence rates remain high.<sup>7-8</sup> Over 80%  
34 of HSIs involve the Biceps Femoris Long Head (BF<sub>LH</sub>),<sup>9-11</sup> with the majority occurring in the terminal  
35 swing phase of high-speed running,<sup>12</sup> when a forceful eccentric contraction of the hamstrings is  
36 required.<sup>13</sup>

37

38 Lower eccentric hamstring strength is considered one of the main risk factors for future HSI  
39 highlighting the importance of eccentric strength for HSI avoidance.<sup>14-17</sup> The Nordic Hamstring Exercise  
40 (NHE) has been shown to be an effective way of increasing eccentric hamstring strength and  
41 developing higher maximal knee flexor torques whilst reducing HSI incidence by up to 51%.<sup>18</sup> HSI  
42 incidence rates have reduced significantly in athletes who adopted a NHE program within their regular  
43 training with a particularly preventive effect in reducing recurrent injuries.<sup>17,19,20</sup> The NHE activates all  
44 hamstring muscles, primarily semitendinosus and Biceps Femoris Short Head (BF<sub>SH</sub>),<sup>21</sup> but also can  
45 increase fascicle length in the BF<sub>LH</sub>.<sup>22</sup> Blazeovich et al,<sup>23</sup> suggested that the training range of motion is  
46 the dominant stimulus for fascicle length adaptation. Athletes with shorter BF<sub>LH</sub> fascicles have  
47 demonstrated a fourfold greater risk of HSI than those with longer fascicles.<sup>24</sup> HSI risk was reduced by  
48 75% for every 0.5 cm increase in fascicle length,<sup>24</sup> indicating the importance of training eccentric  
49 hamstring strength in a lengthened state for HSI avoidance.<sup>25</sup> Numerous authors have concluded that  
50 a lengthened based exercise rehabilitation programme, which can mimic important movements  
51 including sprinting and kicking, could be a key strategy of HSI management.<sup>25,26</sup> Therefore, extensibility  
52 of the hamstring is key to ensure loading can take place at a maximal lengthened state.

53

54 Due to its anatomical and functional relationship, the lumbar spine is widely seen as an important area  
55 to assess and manage as part of a global hamstring management strategy.<sup>27-29</sup> Recently, an  
56 individualised, multifactorial, criteria-based progressive algorithm was proposed for optimum  
57 hamstring injury treatment.<sup>30</sup> Within this, lumbar zygapophysial joint (z-joint) mobilizations are  
58 suggested in both the regeneration, and functional phase. Increases in hamstring extensibility  
59 following unilateral posterior-anterior (UPA) lumbar z-joint mobilizations has been reported in both  
60 the general population,<sup>31</sup> and elite athletes.<sup>32</sup> Both increased Biceps Femoris range of motion and  
61 reduced electromyography (EMG) activity, at the termination of active knee extension, following  
62 lumbar z-joint mobilizations has been demonstrated.<sup>33</sup> This EMG reduction is likely due to increased  
63 muscle spindle activity which stimulate golgi-tendon organs to produce a muscle reflex inhibition.<sup>34-36</sup>  
64 These changes in hamstring extensibility last between 15 and 20 minutes,<sup>37</sup> suggesting UPA lumbar z-  
65 joint mobilizations provide a limited time frame of hamstring adaptations. Nevertheless, due to these  
66 reported kinematic and kinetic adaptations, the use of UPA lumbar mobilizations pre NHE could  
67 increase the ability for the athlete to extend the hamstring into a desired lengthened state. Therefore,  
68 this may be a valuable addition to HSI prevention, and rehabilitation strategies optimizing eccentric  
69 strength gains and the muscle's torque-angle curve.

70

71 Six- weeks of eccentric strength training using NHE has been shown to optimise the control of the  
72 forward fall component of NHE (kinematic) with a concomitant increase in neuromuscular control  
73 (increased EMG activity during NHE).<sup>38</sup> This increase in EMG activity is likely due to the high level  
74 maximal eccentric activity compared to low level movement/activity and static conditions associated  
75 with previous EMG hamstring reductions.<sup>33,37</sup> Therefore, it is unclear if similar changes in extensibility  
76 would be apparent with previously reported EMG increases. Additionally, the study did not have  
77 access to a dynamometer, therefore it is unclear if force and torque also increased alongside muscle  
78 length changes. To date, no studies have addressed whether UPA lumbar mobilizations prior to NHE  
79 will improve kinematic and neuromuscular performance during the lowering phase of the NHE.

80

81 In the context of precision or personalized medicine, it has been deemed important to quantify any  
82 inter-individual variability in response to an intervention alongside the quantification of the mean  
83 intervention response.<sup>39-45</sup> Such intervention response heterogeneity cannot be quantified robustly  
84 using a typical crossover study design.<sup>43</sup> An approach that has recently been proposed to quantify  
85 individual differences in the intervention response involves quantifying the participant-by-response  
86 interaction from replicated intervention and control conditions.<sup>39,44,45</sup> Such an approach has rarely  
87 been adopted in musculoskeletal research.

88

89 Therefore, currently a lack of understanding exists regarding the effect of lumbar mobilizations  
90 performed prior to the NHE, specifically regarding the failure point, hamstring EMG activity and force  
91 production. The aims of this study were to quantify the effects of UPA mobilizations on force  
92 production, failure point and muscle activity of the hamstrings during the NHE and quantify individual  
93 differences in responses. Knowledge of the intervention's effects, initially in a healthy population, will  
94 provide data for evaluation of its value, prior to use with HSI pathology. We hypothesize the  
95 application of UPA z-joint mobilizations will result in an increase of peak force and peak torque, EMG  
96 activity and failure point of the NHE.

97

## 98 **METHODS**

### 99 **Study Design:**

100 Because the proposed intervention was hypothesised to elicit only very short-term changes which  
101 would 'wash-out' relatively rapidly, a controlled replicated randomized cross-over design was  
102 utilized.<sup>37,42</sup> This reporting will follow recommendations from CONSORT for publishing cross-over  
103 trials.<sup>46</sup> Participants were randomized to different trial sequences comprising two intervention (I) trials  
104 and two control (C) trials. Each visit was separated by an interval of seven days. Randomization was

105 conducted by one investigator (GA) using sealed\_envelope.com allocating each participant to one of  
106 six primary allocation sequences. The six sequences were:

107 C-I-C-I

108 C-I-I-C

109 C-C-I-I

110 I-C-I-C

111 I-C-C-I

112 I-I-C-C

113 Ethical approval was received from **\*\*removed for review\*\*** Ethics committee and the research was  
114 conducted in accordance with the Declaration of Helsinki. The trial was registered with  
115 clinicaltrials.gov prior to study recruitment (NCT03745482). No changes to the methods were  
116 implemented following trial commencement.

117

118 **Participants:**

119 All participants were recruited, via means of a study flyer, from a population of staff and students at  
120 Teesside University, United Kingdom, between November 2018 and May 2019. For eligibility all  
121 participants were aged 18 and above and were free from musculoskeletal injury of the spine and lower  
122 limb. All participants were recreationally active playing a team sport at least once per week  
123 (performing moderate intensity activity 3-6 metabolic equivalents, METs).<sup>47</sup> Participants were  
124 excluded if they indicated current low back, hamstring or knee pathology; previous spinal or lower  
125 limb surgery; or any contraindications to spinal mobilizations.<sup>48</sup> Participants were instructed to refrain  
126 from caffeine at least four hours prior to testing and avoid strenuous exercise at least 24 hours prior.<sup>47</sup>  
127 A total of 29 participants were recruited to the study but four failed to meet the inclusion criteria and  
128 one participant withdrew for personal reasons. Therefore, a total of 24 male participants completed  
129 the study (age [mean  $\pm$  SD]: 27  $\pm$  6 y, body mass: 82  $\pm$  17 kg, stature: 181  $\pm$  8 cm). Outcome measures



130 were obtained from all participants who completed the intervention and control conditions twice. All  
131 participants were asked at each trial to confirm they continued to meet the studies criteria.

132

133 **Outcome Measures:**

134 The Hamstring Solo (NJ Doherty Solutions, Ireland), and Hamstring Solo Elite app (Version 4.2, ND  
135 Sports Performance) is a pressure feedback system which allows the calculation of eccentric force  
136 (Newtons) and estimation of peak torque (Newton metres) of the NHE in real time through load cell  
137 technology. Participants position themselves on the incline board of the device with ankles fixed below  
138 an ankle bar. Participants lowered their torso toward the ground trying to resist the force as slowly as  
139 possible by extending at the knee joint until failure. Participants were given visual and coaching cues  
140 during familiarization to ensure minimal hip flexion during the trial. Each NHE performance was  
141 visually monitored by the trial investigators. Excessive hip movement or the participant not controlling  
142 the descent from the start of the movement resulted in the repetition being rejected.<sup>49</sup> We performed  
143 pilot testing on 8 participants (age [mean  $\pm$  SD]: 28  $\pm$  6 y, body mass: 96  $\pm$  22 kg, stature: 183  $\pm$  10 cm)  
144 over four testing sessions separated by 72 hours to ascertain the reliability of the Hamstring  
145 Solo. Standardized changes in the mean were trivial (trials 2 - 1: -0.06, 95% confidence interval (CI), -  
146 0.26 to 0.19; trials 3 - 2: -0.20, -0.52 to 0.32, trials 4 - 3: -0.04, -0.25 to 0.19) between testing sessions  
147 and the force typical error was 10% (8.7% to 13%) with a interclass-correlation coefficient (ICC<sub>3,1</sub>) of  
148 0.91 (95% CI: 0.81 to 0.96). The reliability of the solo elite agrees with previous studies of isokinetic  
149 dynamometry and the Nordbord.<sup>49,50</sup>

150

151 **Figure 1 – Representative example of the angular displacement of EMG activity of the downward**  
152 **phase of a NHE**

153

154 \*\*\*INSERT FIGURE 1 ABOUT HERE\*\*\*

155

156 *Kinematic data acquisition*

157 The failure point of the NHE, is defined as when the participant can no longer produce sufficient  
158 eccentric force to control the descent and finishes the exercise.<sup>51</sup> This is characterized by a loss of  
159 tension, and sudden increase in knee angular velocity through loss of trunk control.<sup>52</sup> However, there  
160 is no universally accepted measure of finding the failure point. We determined the kinematic changes  
161 during NHE via 3D motion capture. Data was collected during the performance of all the NHE trials  
162 across both conditions. We used the Vicon plugin gait (PiG) lower body model marker-set to establish  
163 the kinematic changes at the knee joint. Retroflected markers (14 mm) with double-side tape were  
164 placed bilaterally on the ASIS, PSIS, mid-thigh, lateral knee epicondyle, mid-tibia, lateral malleolus,  
165 calcaneus, and 2<sup>nd</sup> toe (dorsal aspect on the 2nd metatarsal heads proximal to the MP joint). Six wall-  
166 mounted Vicon MX13 infrared cameras (Vicon, Vicon Motion Systems Ltd) collected 3D motion  
167 capture data at a sampling frequency of 100 Hz. 3D motion capture data was processed via Vicon  
168 Nexus (version 1.8.5) using inbuilt pipeline functions to calculate 3D kinematic data.

169

170 *Kinematic data analysis for NHE*

171 Methods used to establish the failure point range from visual assessment,<sup>53</sup> using an arbitrary cut- off  
172 point from an angular acceleration curve of 10 deg·s<sup>-1</sup> and using algorithms to establish changes in  
173 angular displacement.<sup>52</sup> We followed a previously published method to determine the failure point  
174 during the downward phase of the NHE.<sup>38</sup> All kinematic data were initially filtered off-line within Vicon  
175 Nexus using a low- pass filter (Fourth-order bi-directional Butterworth filter with a cut-off frequency  
176 of 6 Hz), and exported as a .CSV file. Subsequently, each .CSV file was imported into a custom-designed  
177 programme in MATLAB (MathWorks, Version 2019a). Briefly, the angular displacement of the left and  
178 right knee joint was differentiated to angular velocity using the first derivative method.

179

180 We calculated the following outcomes, bilaterally, from the angular velocity curve; 1) The angle (°) at  
181 downward acceleration (DWA) was obtained by applying a slope function (using the coefficient from

182 the *polyfit* function) to produce an acceleration curve. However, to smooth the data the slope function  
183 was applied over a 200 ms window with a 100 ms overlap. The difference in slopes between one-time  
184 window and the next was calculated. The angle at the corresponding time point of the highest slope  
185 difference was reported as the point of maximum downward acceleration, and thus loss of eccentric  
186 control. 2) Additionally, we identified the first point at which an initial downward inflection occurred  
187 in the acceleration curve produced from method 1, which we refer to as the angle at downward fall  
188 (DWF). 3) The angle at peak velocity was taken as the angle corresponding to the time point at the  
189 maximum velocity from the angular velocity curve. A representative displacement-time curve with the  
190 three variables can be seen in Figure 1. The PiG lower body model calculates the knee angle via the  
191 sagittal shank axis projected into the plane perpendicular to the knee flexion axis. Knee flexion is the  
192 angle in that plane between this projection and the sagittal thigh axis. The sign is such that a positive  
193 angle corresponds to a flexed knee. Thus, as the athlete lowers themselves to the floor the angle  
194 decreases from  $\sim 90^\circ$ . An angle closer to zero at the failure point would represent greater hamstring  
195 extension prior to failure.

196

#### 197 *Electromyography (EMG) data acquisition and reduction for NHE*

198 Surface electromyography (EMG) was attached to the Biceps Femoris bilaterally during the NHE Prior  
199 to application, the skin was shaved and cleaned with a 70% isopropyl alcohol wipe. Noraxon, self-  
200 adhesive Ag/AgCl snap electrodes (Noraxon USA) were applied to the muscle belly on the line halfway  
201 between the ischial tuberosity and the lateral epicondyle of the tibia as per SENIAM guidelines.<sup>54</sup> Once  
202 placed, electrodes remained in position throughout the testing procedure to eliminate placement  
203 error. A wireless EMG system (Cometa Wave, Zerowire wireless EMG, Cometa Srl) synced directly  
204 (utilising analog capture functionality of a Vicon connectivity device) with Vicon Nexus was sampled  
205 at 1000 Hz. Vicon Nexus acted as the driver for the EMG system to start data capture to synchronise  
206 the EMG and Kinematic data. Data imported into MATLAB (MathWorks, Version 2019a) for further  
207 data reduction and filtering. Raw EMG data was filtered off-line using a high pass Butterworth filter,

208 with a cut off frequency of 20 Hz,<sup>54,55</sup> full wave rectified, followed by a low pass bi-directional  
209 Butterworth filter with a 20 Hz cut-off frequency to create a linear envelope. EMG data was then time  
210 normalized to the kinematic data using spline interpolation (Figure 1). We calculated the following  
211 variables for the EMG; 1) peak EMG amplitude (mV), and 2) EMG amplitude at downward fall (mV).  
212 The peak EMG amplitude was normalized and expressed as a percentage of the peak amplitude of the  
213 EMG value from each of the five repetitions. No changes to outcome measures were implemented  
214 following trial commencement.

215

#### 216 **Intervention:**

217 UPA lumbar mobilizations were applied with the participant in prone position. Mobilizations were  
218 applied by a physiotherapist with 15 years clinical experience and postgraduate qualifications in spinal  
219 mobilization. Mobilizations were applied to the dominant side decided by kicking foot (right n =  
220 24).<sup>32,33,37,56</sup> Spinal level was determined by passive physiological intervertebral movement and spinal  
221 palpation by the same physiotherapist. Grade 3 UPA lumbar mobilizations, defined as large amplitude  
222 oscillations into resistance, were applied to the L4/5 unilateral z-joint for 2 min, three times to reflect  
223 common clinical application and previous studies.<sup>32,33,48,56</sup> Mobilizations were applied at a frequency  
224 of 2 Hz maintained by a metronome to provide sympathetic nervous system excitability.<sup>57</sup> To ensure  
225 consistent force application within and between participants, a bipedal force measurement system (F-  
226 Scan® 7.0, Tekscan Inc) was specifically cut and placed under the pisiform of the physiotherapist.  
227 Standardized changes in mean force application between replicates were trivial (-0.10, -0.99 to 0.78)  
228 N, the typical error was 2.5% (2.0% to 3.6%) with ICC<sub>3,1</sub> of 0.33 (95% CI: -0.08 to 0.64) similar to  
229 previous published literature.<sup>33,58</sup>

230

#### 231 **Procedure:**

232 Participants attended the biomedical sciences laboratory on five separate occasions. One  
233 familiarization session, two intervention and two control trials. The familiarisation session of the NHE

234 took place at least one week prior to the first testing session. All testing sessions were performed at  
235 the same time of day to reduce the influence of diurnal effects Participant height (cm), mass (kg) and  
236 age (y) were recorded.

237

238 All participants watched a video of a subject completing the NHE and received verbal instructions.  
239 Participants were instructed to start in a kneeling position, with the upper body vertical and straight.  
240 The participant was then instructed to slowly lower the upper body towards the ground ensuring no  
241 hip flexion, maximising loading in the eccentric phase, before breaking the fall with their hands.<sup>19</sup> The  
242 video was shown at the beginning of both the familiarisation session, and all respective control and  
243 intervention sessions.

244

245 Participants then conducted a standardized warm-up on an ergometer (Wattbike, Nottingham UK)  
246 undertaken for 5 minutes at 60% max resting heart rate. Following this either the intervention or  
247 control was administered. For the control trials, participants lay prone on a plinth for 10 minutes, the  
248 approximate time the intervention took to be applied. After the intervention or control, participants  
249 then performed five repetitions of the NHE, as per the initial weeks training protocol in both Mjolsnes  
250 et al.<sup>59</sup> and Van der Horst et al.<sup>19</sup> studies. Each repetition was separated by a one-minute rest period.  
251 A cool down was offered to all participants on the cycle ergometer for 10 minutes at a self-desired  
252 pace.

253

#### 254 **Statistical Analysis:**

255 A replicated cross-over (two intervention and two control conditions) increases statistical power for  
256 detection of mean treatment effects over a conventional 2-level crossover study and, crucially,  
257 enables the exploration of the participant x treatment interaction term required for robust  
258 judgements regarding individual differences in treatment response.<sup>42</sup> The analysis approach was

259 designed to quantify both mean treatment effects and explore the presence of any inter-individual  
260 differences in treatment effect and comprised three components as described by Goltz et al.<sup>45</sup>

261

262 Our sample size of 24 participants was dictated by the obligations of the rather time-consuming four-  
263 trial protocol, rather than statistical power. Nevertheless, based on our sample size, and knowledge  
264 about the reliability of our primary outcome, we can estimate statistical power and/or minimal  
265 detectable target effect size. In terms of the detection of a mean target treatment effect, and using  
266 GPower 3.1, we estimated that a difference between intervention and control conditions  
267 (standardised to the between-subjects SD) of 0.27 would be detected as statistically significant  
268 ( $P < 0.05$ ) with 80% statistical power, assuming a correlation coefficient between trials of 0.9 (obtained  
269 from our prior pilot testing/reliability work). We also highlight the fact that the replicated nature of  
270 our study design (both conditions undertaken twice) would be likely to further increase statistical  
271 power.

272

273 It is difficult to estimate statistical power in the context of treatment response heterogeneity because  
274 the within-subjects variability that is of interest in this context is unknown before the replicated  
275 crossover study is completed.<sup>60</sup> In addition, “post hoc” statistical power estimations (based on the  
276 observed effect size rather than a target effect size) are not appropriate.<sup>61</sup> One approach to  
277 quantifying the degree of “true” inter-individual variability in response is to calculate the correlation  
278 coefficient between the two replicates of intervention/control (see below).<sup>42</sup> It can be estimated that  
279 a sample size of 24 would enable a “moderate” target correlation of 0.4 to be detected as statistically  
280 significant. The confidence interval of a target correlation coefficient of 0.4 would be 0.00 to 0.69.

281

282 The associations between the first and second replicates of the control-adjusted treatment effect  
283 were quantified using Pearson’s product-moment correlation coefficients.<sup>42</sup> The first intervention  
284 session in any participant’s sequence was paired to the first control condition in the same individual’s

285 sequence. Differences in response that are stable within participants would manifest themselves as a  
286 high correlation between first and second pairs of replicates. An overall “naïve” estimate of the true  
287 (control condition–adjusted) between-subject differences in treatment response were calculated as  
288 follows ( $SS_{SSSSSS} = \overline{SSSSSS^2} - SSSSSS^2$ ),<sup>40</sup> The standard deviation of individual responses (SDIR)  
289 represents the true inter-individual variation in treatment effect. Standard deviations of the pre-post  
290 change were calculated for the intervention conditions (SDi) and control conditions (SDc). Each of  
291 these two SDs was calculated using the relevant equation for pooling SDs because there were 2 sets  
292 of data to pool in each condition.<sup>62</sup> A positive SDIR indicates greater treatment response heterogeneity  
293 relative to the random trial-to-trial variability. Finally, a within-participant linear mixed model  
294 quantified any participant-by condition interaction for each outcome measure.<sup>63</sup> Condition and their  
295 interaction effects were modelled as fixed effects, and participant and participant-by-condition terms  
296 were modelled as random effects. Standard residual diagnostics were undertaken according to  
297 methods reported in Goltz et al.<sup>45</sup>

298  
299 Mean differences between intervention and control were expressed as raw and standardised mean  
300 differences with their uncertainty expressed as 95% CIs with exact *P* values. In the absence of a precise  
301 clinical anchor for an important difference in our NHE related outcomes (in their units of  
302 measurement), we compared the standardized ESs to conventional thresholds.<sup>64</sup> These thresholds are  
303 context-dependent and we recognize that there have been recent calls for some standardized  
304 differences to be as high as 0.5 to be considered clinically relevant.<sup>64</sup> An ES of 0.2 denoted the  
305 minimum important mean difference for all outcomes, with an ES of 0.5 being moderate and an ES of  
306 0.8 being large.<sup>65</sup> To calculate the minimal clinically important difference (MCID) for individual  
307 responses, the threshold of 0.2 for interpreting standardized mean changes was used.<sup>65,66</sup> We  
308 recognise that such an interpretation is more of a “fall-back” approach when robust thresholds for  
309 clinical/practical importance have yet to be formulated using hard outcomes of morbidity and  
310 mortality, or via agreement amongst clinicians.<sup>64</sup>

311

312 **RESULTS**

313 All 24 participants were randomly assigned, received the intended conditions and were analysed for  
314 the outcomes. No unintended adverse effects were reported from any participants and there was no  
315 loss to follow-up. The mean and standard deviation for each measurement and the raw mean effects  
316 of the intervention versus the control condition are presented in Table 1 and the standardised effects  
317 are visualised with their confidence intervals in Figure 2. Small increases were observed in the  
318 intervention (vs control) in mean peak force for left (18, 95% confidence interval 4.6 to 33 N,  $p=.011$ )  
319 and right sides (22, 3.4 to 41 N,  $p=.020$ ) and mean peak torque left (0.14, 0.06 to 0.22 kg,  $p=.002$ ) and  
320 right (0.14, 0.05 to 0.23 kg,  $p=.005$ ). A small decrease in the angle at DWF on the participants'  
321 dominant right side where the mobilisations were performed, was observed (-4.1, -7.6 to -0.5 degrees,  
322  $p=.027$ ). Further moderate increases in peak EMG activity were also observed on the right limb (17,  
323 7.1 to 26 mV,  $p=.002$ ) and EMG at the angle of DWF (8.8, 1.5 to 16 degrees,  $p=.021$ ) with mobilisations.  
324 Increases in peak EMG on the left limb were also moderate but the estimate was less precise (0.71, -  
325 1.1 to 30 mV,  $p=.067$ ). Similarly, small decreases were observed in angle at DWA on the left limb (-  
326 3.6, -7.3 to 0.1 degrees,  $p=.055$ ) but the uncertainty in these estimates were large.

327

328 **Table 1.** Means and SDs of the pre-to-post change scores for the mobilization and control (no  
329 intervention) conditions

330 \*\*\*INSERT TABLE 1 ABOUT HERE\*\*\*

331

332 **Figure 2.** Standardised changes in the mean with uncertainty expressed as 95% confidence intervals

333 \*\*\*INSERT FIGURE 2 ABOUT HERE\*\*\*

334

335 The results of the three approaches for quantifying inter-individual differences in intervention  
336 response are presented in Table 2. Generally, there was good agreement between the approaches,



337 whereby a large correlation between crossover replicates was associated with relatively large values  
338 for the SDir. Intervention response heterogeneity was most apparent for force right – there was a  
339 statistically significant participant by intervention interaction ( $p=.04$ ) and the SDir was substantially  
340 larger than the mean treatment effect for this variable (Table 1). No other statistically significant  
341 participant by intervention interaction terms were detected, and SDir were generally smaller than the  
342 respective mean intervention effect for each of the other variables. The rather small and not  
343 statistically significant correlations between crossover replicates are also presented in the scatterplots  
344 of Figure 2. It can be seen that individual differences in response were highly variable between the  
345 pairs of intervention and control trials. This indicates an absence of any endogenous intervention  
346 heterogeneity over and above the random trial-to-trial within-subjects variability that is present.

347

348 **Table 2** – True inter-individual differences between the mobilizations and control (no intervention)  
349 conditions

350

351 \*\*\*INSERT TABLE 2 ABOUT HERE\*\*\*

352

353 **Figure 3** – Inter-individual differences between mobilizations and control (non-intervention) for all  
354 replicated measures

355 \*\*\*INSERT FIGURE 3 ABOUT HERE\*\*\*

356

## 357 **DISCUSSION**

358 The primary findings of this study in healthy recreationally active males were; (1) the application of  
359 UPA mobilizations resulted in an increase between conditions for hamstring peak force (bilaterally),  
360 peak torque (bilaterally), and a decreased angle at DWF on the right (side of UPA application), (2) an  
361 increase of peak EMG activity was observed in the right hamstring as was EMG activity at DWF, (3) no  
362 differences were detected between conditions for the angle at DWA (4) inter-individual responses  
363 were found for force production of the right hamstring with negligible response heterogeneity for all

364 other outcomes. No previous researcher has attempted to assess the effect of UPA lumbar z-joint  
365 mobilizations on the peak force, peak torque and failure point of the hamstring during an NHE. As  
366 such, our study provides novel data to suggest that UPA lumbar z-joint mobilizations increases force  
367 production and peak torque bilaterally to the hamstring complex and might improve participant's  
368 angle at failure on the applied side during downward phase of NHE.

369

370 This is the first study in this field to explore the participant by treatment interaction (for quantification  
371 of individual response heterogeneity), alongside mean condition differences. A strength of our study  
372 is the replicated cross over design and the statistical approaches employed, which have been  
373 advocated to explore inter-individual variability in responses to an intervention.<sup>40,42</sup>

374

375 HSIs continue to be problematic, despite significant emphasis on preventive measures. HSI prevalence  
376 rates have reduced significantly in athletes who adopted a NHE program within their regular training  
377 with a particularly preventive effect in reducing recurrent injuries.<sup>17,19,20,67</sup> The value of treating the  
378 hamstring region proximally via the lumbar spine has previously been advocated,<sup>29,30,68</sup> with lumbar  
379 spine mobilizations shown to increase hamstring extensibility and potentially reduce Biceps Femoris  
380 EMG activity during AKE and lumbar flexion.<sup>32,33</sup> The aim of this study was to investigate how UPA  
381 lumbar z-joint mobilizations effect the peak force, EMG activity and failure point of the hamstring  
382 during an NHE.

383

384 Our study is the first to provide evidence to clinicians that UPA mobilizations can acutely influence  
385 force production during a functional eccentric strength exercise. Both increasing hamstring force  
386 production, and overall strength over time has been suggested to decrease the incidence of HSI's.<sup>24</sup>  
387 The increases in force and torque production bilaterally may be related to increased spinal motor-  
388 neuron excitability, increased neural motor-drive and thus increased rate of force development.<sup>69</sup> The  
389 application of UPA mobilizations pre NHE may facilitate these central processes to produce the desired

390 increases in force output throughout the eccentric exercise. This has implications for prevention and  
391 management of HSI through increasing eccentric strength which is known to reduce injury risk.<sup>24</sup> The  
392 individual variability investigated in all the studied outcomes did not indicate any large response  
393 heterogeneity, except for peak force of the right leg (table 2). Nevertheless, we cannot rule out  
394 clinically relevant response heterogeneity in all the other study outcomes because our study was not  
395 specifically powered to quantify response heterogeneity. Our primary hypotheses were relevant to  
396 the mean treatment effect, while we explored the secondary objective of individual heterogeneity in  
397 treatment effect.

398

399 No accepted measure of finding the failure point of the NHE exists. The angle of DWA was obtained  
400 as per Delahunt et al.<sup>38</sup> In addition, we identified the first point of initial downward inflection as the  
401 angle of DWF (see methods section). Interestingly, we found that the application of UPA mobilizations  
402 did not influence the failure point of the NHE as measured by the angle at DWA, with increased  
403 confidence intervals which crossed zero, but this was increased for the angle of DWF of the applied  
404 side. Previous research has reported the ability of lumbar mobilizations and specifically UPA's to  
405 increase the extensibility in the short- term with effects lasting for approximately 15 to 20 minutes.<sup>34</sup>  
406 Potentially, the increase in extensibility, and decreased passive stiffness may have been beneficial to  
407 a NHE when the hamstring is stressed through an eccentric lengthened state. However, we cannot  
408 conclude with certainty if UPA enable the hamstring's failure point to be increased during the NHE.  
409 Further work is required to validate the calculation for measuring the failure point of the exercise.  
410 Increased noise within the data was observed for the angle of DWA bilaterally, and therefore the  
411 reliability of measures of DWA and DWF are required. The variation in the data might be due to a  
412 combination in estimation of angular displacement from 3D motion capture, and noise compounding  
413 the data when we differentiated from angular displacement to velocity and acceleration. Therefore,  
414 we do not provide definitive evidence for the ability of UPA to increase the failure point.

415

416 We observed moderate increases in EMG at DWF on the right side where the mobilisations were  
417 administered, but not on the left. Additionally, peak EMG was clearly increased in the right limb but  
418 not the left. Side specific changes following L4/5 mobilization have been reported by Perry and  
419 Green,<sup>57</sup> with a greater response on the side of application. Whilst Perry and Green,<sup>57</sup> didn't use EMG  
420 as an outcome measure, our EMG at DWF data provide some support for their conclusions that  
421 neurophysiological and anatomical inter-relationships in the lumbar spine do exist and can be  
422 influenced through manual mobilizations. However, we would caution over interpretation of these  
423 data particularly considering the width of the confidence intervals for EMG data (Figure 2). Indeed, a  
424 similar moderate improvement in peak EMG was observed for the left limb but the wider CI denotes  
425 less certainty in the statistical estimation of "true" effect size.

426

427 Increased muscle activity can be related to amplified force production.<sup>69-72</sup> Interestingly, Hegyi et al,<sup>73</sup>  
428 reported the BF<sub>LH</sub> produced the lowest level of muscle activity during an NHE and is associated with  
429 higher strain close to the proximal muscle-tendon junction.<sup>74</sup> Opar et al.<sup>75</sup> reported that recreational  
430 athletes with a previous history of HSI have both decrease biceps femoris muscle activation and  
431 eccentric hamstring strength during maximal voluntary contractions. Similar findings have been  
432 reported in athletes with a history of HSI during the late swing phase of high velocity running gait.<sup>76</sup>  
433 Previously, it has been reported that the activity of the hamstring complex remains elevated during  
434 the terminal segment of the NHE.<sup>77</sup> We report that the application of UPA mobilizations increased this  
435 peak muscle activity in the immediate term. Longer-term studies including Delahunt and colleagues  
436 following a six-week Nordic hamstring program reported a significant increase in EMG activity of both  
437 semi-tendinous and biceps femoris during the eccentric exercise.<sup>38</sup> This increase is likely due to the  
438 neural adaptations of exercise programs.<sup>78</sup> To achieve such electromyographic changes in Delahunt et  
439 al's.<sup>38</sup> study a total of 340 repetitions of the NHE were performed and produced similar results to  
440 studies assessing activity changes in the quadricep muscle group.<sup>79,80</sup> These longer-term changes are  
441 proposed to result from preferential recruitment of type II muscle fibers.<sup>81</sup> The significantly higher

442 EMG activity of the hamstrings in the later segments of the NHE may be explained by a greater  
443 recruitment of available motor units to generate sufficient torque to control the fall of the torso which  
444 is compensating for the reduced mechanical advantage a lengthened position.<sup>77</sup> These adaptations  
445 would not have occurred in the small dose each participant in our study was exposed to. Whilst we  
446 did not attempt to evaluate how these changes occurred in our study it is likely that increased EMG  
447 activity is related to increased central motor output to the hamstrings to maintain the fixed task  
448 requirements.<sup>82</sup> From the observed data in our study the application of UPA mobilizations may provide  
449 an important strength stimulus by increasing muscle activity.

450

#### 451 Limitations and future research

452

453 It is important to acknowledge some limitations with our study when interpreting the results. Our  
454 study used a healthy population and thus the effect of UPA lumbar z-joint mobilizations on peak force,  
455 torque, EMG activity and failure point in athletes with HSI is currently unknown and requires  
456 investigation. Currently a minimally important clinical difference for force production or muscle  
457 activity during the NHE is unknown. Therefore, we cannot be certain that the increases reported  
458 within our study would be clinically meaningful. The Hamstring solo directly calculates force and  
459 estimates peak torque. Readers should be aware that this estimation is based on several assumptions  
460 including segment mass and caution should be applied when interpreting results. Finally, the effect of  
461 skin movement artefact on joint motion when using a marker set up has been established and could  
462 have led to measurement error of the failure point of the NHE.<sup>83,84</sup>

463

#### 464 **Conclusion**

465 Our results help to inform practitioners of the variations observed from the administration of UPA  
466 lumbar mobilizations to the hamstring complex during the NHE. Following UPA application to the L4/5  
467 facet joint immediate changes in bilateral hamstring force production and peak torque occurred

468 during the NHE. The failure point as measured by angle at DWF was decreased for the mobilization  
469 side but this was not replicated when measured via DWA. Further work is required to ascertain gold  
470 standard calculation of the failure point. Peak EMG muscle activity of the hamstring complex was  
471 observed together with increased activity during the DWA on the ipsilateral side of mobilization  
472 application. Only force production of the dominant leg resulted in inter-individual differences and  
473 larger samples are required to investigate this further.

474

#### 475 **Acknowledgements**

476 None

477

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**Table 1.** Means and SDs of the pre-to-post change scores for the mobilization and control (no intervention) conditions

Variable	Mean $\pm$ standard deviation measurement				Raw mean difference (95%CI)	P-value
	Intervention 1	Intervention 2	Control 1	Control 2	(Pooled over replicates) Intervention minus control	
Force Left (N)	297 $\pm$ 102	303 $\pm$ 85	271 $\pm$ 90	292 $\pm$ 94	18.7 (4.6 to 32.8)	.011
Force Right (N)	320 $\pm$ 81	336 $\pm$ 70	287 $\pm$ 66	321 $\pm$ 66	22.0 (3.4 to 40.6)	.020
Peak Torque Left (Nm/Kg)	1.52 $\pm$ 0.45	1.50 $\pm$ 0.35	1.32 $\pm$ 0.38	1.42 $\pm$ 0.36	0.14 (0.06 to 0.22)	.002
Peak Torque Right (Nm/Kg)	1.64 $\pm$ 0.39	1.66 $\pm$ 0.28	1.45 $\pm$ 0.32	1.58 $\pm$ 0.26	0.14 (0.05 to 0.23)	.005
Angle DWF Left ( $^{\circ}$ )	66.4 $\pm$ 13.1	62.4 $\pm$ 12.9	72.3 $\pm$ 12.2	66.6 $\pm$ 13.6	-2.5 (-10.7 to 5.7)	.537
Angle DWF Right ( $^{\circ}$ )	67.9 $\pm$ 13.9	67.8 $\pm$ 15	72 $\pm$ 15	71.8 $\pm$ 12.1	-4.1 (-7.6 to -0.5)	.027
Angle DWA Left ( $^{\circ}$ )	51.1 $\pm$ 9.4	48.2 $\pm$ 9.7	55.6 $\pm$ 12.4	50.1 $\pm$ 11.1	-3.6 (-7.3 to 0.1)	.055
Angle DWA Right ( $^{\circ}$ )	50 $\pm$ 9.5	52.3 $\pm$ 8	53.3 $\pm$ 11.7	53.2 $\pm$ 9.5	0.3 (-8.9 to 9.4)	.950
EMG at DWF Left (mV)	45.77 $\pm$ 16.75	39.42 $\pm$ 17.70	39.21 $\pm$ 15.25	39.87 $\pm$ 16.18	-0.41 (-8.6 to 7.8)	.916
EMG at DWF right (mV)	51.80 $\pm$ 20.26	41.37 $\pm$ 16.14	37.11 $\pm$ 12.41	36.75 $\pm$ 15.04	8.8 (1.5 to 16.1)	.021
Peak EMG Left (mV)	67.97 $\pm$ 20.46	63.25 $\pm$ 22.05	53.44 $\pm$ 16.56	59.58 $\pm$ 22.37	13.9 (-1.1 to 28.9)	.067
Peak EMG Right (mV)	66.85 $\pm$ 21.84	64.83 $\pm$ 23.59	51.64 $\pm$ 21.20	51.02 $\pm$ 19.55	16.8 (7.1 to 26.4)	.002



701 **Table 2** – True inter-individual differences between the mobilizations and control (no intervention) conditions

Variable	Differences between conditions (replicate 1) Mean +SD	Differences between conditions (replicate 2) Mean +SD	Correlation between replicates (R, CI)	SDiR Estimate 1	SDiR, Estimate 2	P-value
Force Left (N)	25.88 (36.61)	11.54 (40.16)	0.38 (-0.02 to 0.68)	16.33	15.41 (-22.36 to 31.22)	.530
Force Right (N)	33.61 (48.01)	14.96 (37.76)	0.59 (0.24 to 0.80)	33.16	34.48 (5.65 to 48.44)	.044
Peak Torque Left (Nm/Kg)	0.20 (0.31)	0.08 (0.21)	0.08 (-0.33 to 0.47)	0.15	0.10 (-0.12 to 0.19)	.441
Peak Torque Right (Nm/Kg)	0.19 (0.33)	0.08 (0.23)	0.05 (-0.36 to 0.45)	0.16	0.35 (-0.14 to 0.21)	.448
Angle DWF Left (°)	-5.95 (15.40)	-4.20 (14.27)	0.13 (-0.29 to 0.50)	-1.69	6.88 (-8.96 to 13.23)	.730
Angle DWF Right (°)	-4.19 (8.63)	-3.94 (14.75)	0.10 (-0.48 to 0.32)	4.95	0.37 (-5.11 to 5.13)	.992
Angle DWA Left (°)	-4.49 (13.84)	-1.96 (11.31)	0.05 (-0.36 to 0.45)	-7.15	-2.8 (-6.09 to 4.62)	.599
Angle DWA Right (°)	-3.28 (8.34)	-0.93 (9.26)	0.34 (-0.08 to 0.65)	-5.94	10.37 (-6.24 to 15.95)	.150
EMG at DWF left (mV)	6.56 (21.52)	-0.45 (19.46)	0.22 (-0.02 to 0.57)	7.68	-4.89 (-12.13 to 9.95)	.702
EMG at DWF right (mV)	14.69 (19.23)	4.62 (19.47)	0.02 (-0.42 to 0.39)	13.04	-11.19 (-16.47 to 4.56)	.093
Peak EMG Left (mV)	14.53 (26.60)	3.67 (29.74)	0.42 (-0.09 to 0.76)	7.73	11.34 (-16.93 to 23.33)	.544
Peak EMG Right (mV)	15.21 (28.86)	13.82 (27.97)	0.05 (-0.45 to 0.54)	9.98	-16.48 (-24.24 to 6.64)	.092

