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| 1 | Region of Freshwater Influence (ROFI) and its impact on sediment |
|--------|---|
| 2 | transport in the Lower Mekong Delta Coastal Zone of Vietnam |
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| 15 | Abstract |
| 16 | The delta of the Mekong River is one of the largest in the world, with the Mekong River |
| 17 | carrying large amount of sediments in its Region of Freshwater Influence (ROFI). This study |
| 18 | investigates the flow structure and movement of both suspended and bedload sediments in the |
| 19 | ROFI of the Lower Mekong Delta (LMD) in order to identify areas prone to sediment accretion |
| 20 | and erosion. This is accomplished by applying the three dimensional Coastal and Regional |
| 21 | Ocean COmmunity (CROCO) model and then calculating the sediment budget of different |
| 22 | stretches of the coastline. The model outputs, depicting areas experiencing sediment accretion |
| 23 | and erosion along the coastline of the LMD, are then compared against observations obtained |
| 24 | during the period 1990-2015 and demonstrate the ability of the model to identify areas |
| 25 | particularly prone to erosion and where preventive actions against coastal erosion should focus. |

26 Key words: Accretion and erosion; CROCO model; ROFI; sediment transport; Mekong Delta

27 **1. Introduction**

The Mekong Delta in southern Vietnam hosts a population of over 17 million people
(Buschmann *et al.*, 2008). It is an important region for agriculture and aquaculture, producing

(Buschmann *et al.*, 2008). It is an important region for agriculture and aquaculture, producing
over 55% of the rice crop of the country and over 60% of its seafood (Guong and Hoa, 2012),
in addition to being a hotspot of biodiversity, the second in the world after the Amazon basin
(Ziv *et al.*, 2012; Campbell, 2012). The Mekong Delta region, however, is facing many
challenges such as an expansion of hydropower development across the Mekong River Basin,
which has led to a significant reduction to the supply of sediments entering the delta (Cochrane *et al.*, 2014; Kondolf *et al.*, 2014), causing coastal erosion (Li *et al.*, 2017; Le Xuan *et al.*,
2019),

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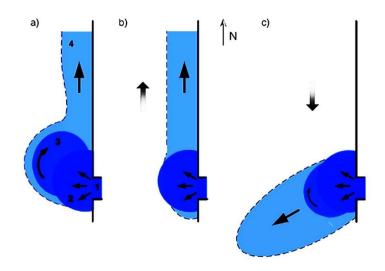
38 The Mekong is among the largest rivers worldwide; the eighth largest river in terms of its 39 discharge and the tenth most important for its sediment load (Meade, 1996; Li et al., 2017). The 40 average annual discharge of the Mekong River is approximately 12,500 m³/s, although it 41 experiences strong seasonal variations, with a ratio of maximum to minimum discharge in a year of around six to eight. This volume of freshwater contributes to an important and 42 43 seasonally variable buoyancy plume to an extensive region of its coastal zone and adjacent shelf 44 sea, a region referred to as the Region of Freshwater Influence (ROFI) (Simpson, 1997). The 45 ROFI can extend offshore from one kilometre from the Mekong River estuary to several 46 hundred kilometres depending on the magnitude of the river discharge (Geyer & Kineke, 1995; 47 Horner-Devine et al., 2015).

48

The ROFI of many coastal regions worldwide has previously been investigated, but with a limited number of studies focusing on the Mekong Delta (Fong & Geyer, 2002; De Boer *et al.*, 2006). Both estuarine and shelf sea processes take place in a ROFI (Simpson, 1997; Horner-Devine *et al.*, 2015), with the amount of mixing in the ROFI depending on wind speed and direction, tidal range, the shape of the estuary and the amount of river discharge. The ROFI of the Mekong Delta thus strongly varies seasonally, depending mainly on the monsoonal winds and the magnitude of the Mekong River discharge (Hordoir *et al.*, 2006), as the Coriolis force is weak at the latitude of the delta (Simpson and Snidvongs, 1998).

57

58 Horner-Devine et al. (2009) proposed the following conceptual model of the ROFI. At very low 59 wind speeds, freshwater flows out of the river mouth to form a two-part structure: a baroclinic 60 recirculation bulge near the river mouth and a coastal current. In this situation, the freshwater 61 bulge experiences an anticyclonic circulation and grows indefinitely until altered by an external 62 force such as an ambient current or wind (Figure 1a). When the winds are strong enough, the 63 freshwater plume is influenced by Ekman transport (Price et al., 1987; Wang et al., 2013). 64 Upwelling and downwelling can occur during a tidal cycle as well as on a seasonal scale due to 65 changing monsoon winds (Chen et al., 2012; Hein et al., 2013). Downwelling winds blowing towards the coastline impact the plume dynamics by compressing it against the coast (Figure 66 67 1b), while upwelling winds blowing across the ocean surface cause expansion of the freshwater 68 plume sea-ward and detachment of the low salinity water from the plume, erasing the buoyancy 69 signature (Figure 1c). This is because the movement of the freshwater plume offshore due to 70 Ekman transport causes the intrusion of saltier ambient waters on the continental shelf, 71 promoting plume detachment and leaving mixed waters near the coast (Pimenta et al., 2011; 72 Joseph, 2017).



73

Figure 1. Conceptual model of a ROFI under three different wind forcing conditions: a) freshwater plume during low wind speeds, with numbers 1, 2, 3, and 4 showing the river (source), tidal, bulge, and the far-field parts, respectively, of the river plume; b) freshwater plume under downwelling conditions, which compress the re-circulating and far-field plumes against the coast; and c) freshwater plume under upwelling conditions (adapted from Horner-Devine *et al.* 2009).

81 Previous studies have investigated the dynamics of river plumes and the ROFI using either 82 laboratory experiments (Thomas & Linden, 2010; Yuan et al., 2018), field measurements 83 (Geyer et al., 2004; Simpson et al., 2005) or numerical models (Whitney & Garvine, 2005; 84 Xing & Chen, 2017). These studies, nonetheless, have to date mainly focused on the structure 85 and dynamics of the freshwater bulge and coastal currents with limited attention paid to 86 salinity variations and sediment transport due to seasonal changes in monsoonal wind (Yao et 87 al., 2016). In that regard, the deposition of fine sediments on the continental shelf during the 88 Southwest (SW) monsoon due to high river discharge has been demonstrated (Thanh et al., 89 2017; Le Xuan et al., 2019). However, previous studies have also suggested that sediment 90 deposition occurs during the low flow season, but it is limited to areas near the river mouth, 91 where the delta is still expanding, and that the wind driven circulation, waves, and tidal action 92 cause net erosion in other parts of the Lower Mekong Delta Coastal Zone (LMDCZ) during that season. Even though the net transport of fine sediments at the annual time-scale is to the
south, i.e., towards the Gulf of Thailand, there is a negative sediment budget on the coast of
that region due to the strong coastal current causing coastal erosion and hence shoreline retreat,
evidence for which is presented in Karlsrud et al. (2017). This strong coastal current, flowing
in the direction of the propagating Kelvin wave, was also observed in the modelling study of
Hordoir et al. (2006) mentioned earlier.

99

100 In brief, Hordoir et al. (2006) identified the main processes of the freshwater plume under 101 seasonally varying monsoonal winds, river flow regimes and salinity profiles, but without 102 considering sediment transport, while Hein et al. (2013) investigated the influence of seasonal 103 variations in river discharge and monsoonal winds on the dynamics of suspended sediments, 104 but not that of bedload sediments. Marchesiello et al. (2019), for their part, previously applied 105 the CROCO model to the Mekong Delta to investigate sediment dynamics and the associated 106 shoreline change, but they did not consider the influence of seasonal variability in salinity, flow structure and their impact on sediment transport in the ROFI. Besides, they mentioned the 107 108 importance of considering the re-suspension of sediments caused by the action of waves on the 109 seabed, particularly during the north-easterly winds in the winter, and their subsequent 110 distribution by ocean currents. Studies in other regions have also shown that the ROFI 111 influences not only the transport of suspended sediments but also that of bedload sediments. 112 Therefore, an investigation of the processes and dynamics of the ROFI incorporating sediment 113 transport is recommended in view of recent anthropogenic changes in the basin.

114

This study aims to improve our knowledge of the structure of the ROFI in the Mekong Delta and its impact on the regional coastal ocean circulation and sediment transport using a threedimensional model that takes into consideration different forcings, namely the outflow of freshwater from rivers into the estuary, the tides, waves, winds, and the Coriolis force, even though the latter is considered to be minor at the latitude of the study region. By simulating the movement of both suspended and bedload sediments, this modelling study also aims to identify areas prone to sediment accretion and erosion by establishing an updated sediment budget along stretches of the coastline, in view of recent anthropogenic changes in the basin, and to compare that sediment budget with long-term observations.

124

125 **2.** Methodology

126 2.1. Hydrological, sediment and salinity data

127 Figure 2 depicts the annual cycle of the discharge of the Mekong River at Kratie in Cambodia 128 over a 45-year period extending from 1999 to 2017. The Mekong Delta is located in a tropical 129 climate region dominated by two seasons defined primarily on the basis of precipitation: a wet 130 season typically lasting from June to October, a cool and dry season, and two transition periods 131 from mid-March to mid-May and in October. Accordingly, the highest discharge is typically 132 reached during the South-west monsoon in August-September, which encourages coastal 133 upwelling. This is in contrast to the cool and dry months of November to February when North-134 easterly winds dominate the region, promoting downwelling events.

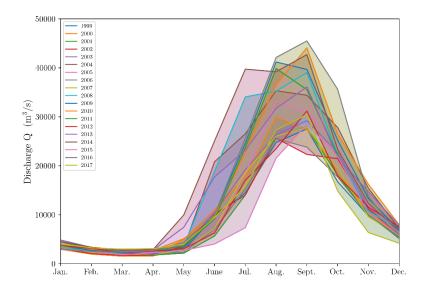


Figure 2. Annual cycle of the Mekong river discharge at Kratie (Cambodia) for all years
 during the period 1999-2017

139 Data from two field surveys of 15 days each, one in October 2016 and the other in February-140 March 2017, conducted as part of the LMDCZ project (SIWWR, 2018), were obtained to 141 validate the model, as described below. During each field survey, two hydrological stations 142 were set up: Go Cong and U Minh (see Figure 1A in the Appendix), and these were 143 supplemented by 183 measurement points taken using two ships, which sailed from Tien 144 Giang on the northern shore of the Mekong River and Kien-Giang in the Gulf of Thailand to 145 Ca-Mau Cape. Data to calculate the significant wave heights and current velocity were 146 collected every 15 minutes at Go Cong and U Minh using FlowQuest Acoustic Current 147 Profilers, while Suspended Particles Matters (SPM) and salinity was measured at the ship 148 measurement points, with one measurement taken at the following depth: 0.2H, 0.4H, 0.6H, 149 0.8H, where H is the total water depth (m), and on the sea floor using water sample bottles. 150 Each set of measurement lasted 30 minutes.

151 2.2. Coastal and Regional Ocean Community Model

152 This study uses version 1.1 of the CROCO model (https://www.croco-ocean.org/), a French 153 model building on the Regional Oceanic Modelling System (ROMS) (Dong et al., 2021). The 154 model solves the primitive equations based on the Boussinesq approximation and the 155 hydrostatic hypothesis (Shchepetkin & McWilliams, 2005; Debreu et al., 2012). It is 156 discretised in geometry, following the curvilinear mesh, with short time steps used to advance 157 the surface elevation and 2D momentum, and larger time steps for solving the 3D momentum 158 equations and the transport equations of scalar variables, i.e., temperature, salinity, and 159 sediment concentration. A third order predictor-corrector algorithm is developed in the code, 160 allowing for a substantial increase in the time step for an efficient integration of realistic 161 configurations of the computational domain, even using fine meshes. A non-local, K-Profile 162 Planetary (KPP) boundary layer scheme (Large et al., 1994) parameterises the unresolved physical vertical sub grid-scale processes, with specific treatment for surface and bottom 163

boundary layers in shallow water. An active, implicit, upstream-biased radiation condition is used at open-ocean boundaries (Marchesiello *et al.*, 2001). CROCO also includes an accurate pressure gradient algorithm (Shchepetkin & McWilliams, 2003). The hydrodynamic model is therefore developed to simulate both coastal and oceanic regions and their interactions with a high degree of accuracy (Debreu *et al.*, 2016; Soufflet *et al.*, 2016), and is coupled with sediment dynamics from Blaas *et al.* (2007) and Warner *et al.* (2008) to compute sediment transport, erosion, and deposition.

171 **3. Model setup**

172 **3.1 Model geometry**

173 The model bathymetry was generated by merging datasets covering the main distributaries, 174 estuaries, and coastal areas using *in situ* measurements and bathymetric data from the General 175 Bathymetric Chart of the Oceans (GEBCO, 2014) at a horizontal resolution of 30 arc-seconds 176 (Figure 3). This domain covers over 744 km of the LMD coastline from Xoai Rap Bay in the 177 Northeast to Ha Tien in the Northwest. The sea open boundaries are located approximately 178 150-200 km from the coastline at Go Cong and U Minh. The horizontal grid resolution is 179 approximately 500 m, which is less than the Rossby radius in the coastal zone (Hordoir et al., 180 2006), with 30 sigma layers in the vertical. An initial attempt was also made to use a 10-layer 181 vertical grid to minimise computational time, as described in SIWRR (2018), but the results 182 were not as conclusive and, for this reason, this present paper increase to a 30-layer vertical 183 grid.

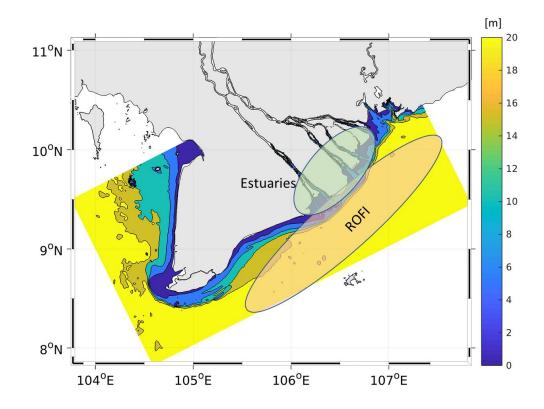




Figure 3. Bathymetry of the study domain with a schematic representation of the ROFI of theMekong delta and adjacent shelf sea

187 **3.2 Parameterization**

188 Sediment transport was modelled using the multi-class community model embedded within 189 ROMS, which accounts for the influence of waves on sediment transport as well as the 190 interactions between waves and tidal currents. Wave heights were considered in the model by 191 using empirical formulae for wave dissipation and the wave outputs from the ERA-Interim 192 reanalysis. The model followed a multi-class sediment approach and based on laboratory 193 analyses of suspended and bedload sediments collected during field surveys, two classes 194 needed to be considered: silt with a median diameter of 20 µm and a settling velocity of 0.03 195 mm/s, and sand with a median diameter of 200 µm and a settling velocity of 20 mm/s. The 196 model has a horizontal resolution of 500 m (374x727 pts) and a 30-layers in the vertical 197 (terrain following). Table 1 presents the model configuration variables and their sources 198 including river, tidal, wave and air-sea forcing and sediment parameters.

199

Table 1. Model configuration variables and their sources

| | Parameters | Data source | | | | | |
|------------------|-------------------------|---|--|--|--|--|--|
| | | Southern Institute of Water Resources Research | | | | | |
| Topography | | (SIWRR) data (estuaries, nearshore area) + | | | | | |
| | | GEBCO_2014 at 30" (1km) | | | | | |
| Divor forcina | 0.850 | Discharge and SSC at Can Tho and My Thuan from | | | | | |
| River forcing | Q, SSC | SIWRR station data | | | | | |
| Tidal forcing | u, v, SSH | OSU TPXO8 global solution at 1/30° (~3 km) | | | | | |
| Subtidal forcing | u, v, SSH, T, | ECCO V2 reanalysis ¹ /4° 3-daily | | | | | |
| Subtidal foreing | S, SSC | | | | | | |
| | wind, heat, and | | | | | | |
| Air-sea forcing | freshwater | ECMWF ERA-Interim at ¹ /4° 6-hourly | | | | | |
| | fluxes | | | | | | |
| | | ECMWF ERA-Interim at ¹ / ₄ ° 6-hourly; for very | | | | | |
| Waya forcing | | shallow areas, the wave height data were modulated | | | | | |
| Wave forcing | Hs, Dir, T _p | using an empirical model of wave dissipation | | | | | |
| | | proposed by Grosskopf (1980) | | | | | |
| Sediment | D., Wa | Sand: d50=200 µm; W _s =20-50 mm/s | | | | | |
| parameters | D ₅₀ , Ws | Mud (Floccules): d50=20 μ m; W _s =0.03 mm/s | | | | | |

SSH denotes the sea surface height; U and V are the zonal and meridional velocities, respectively; Hs; wave height; Tp: wave period; Dir: wave direction; SSC: suspended sediment concentration, T: temperature, S: salinity, D_{50} : particle diameter at 50% in the cumulative distribution, Ws: Sediment velocity.

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206 **3.3 Boundary conditions**

207 The computational domain used for the application of the Coastal and Regional Ocean

208 COmmunity (CROCO) model used upstream discharges at My Thuan on the Tien River and

209 Can Tho on the Hau River, as upstream boundaries, both located about 100 km from the sea.

210 The tidal boundary conditions were obtained from the TPXO-atlas, while the Estimating the

211 Circulation and Climate of the Ocean (ECCO) reanalysis provided the ocean circulation

212 conditions, including baroclinic forcing (i.e., temperature and salinity). The ECMWF ERA-

213 Interim global reanalysis was used to provide atmospheric forcing to run the model, i.e., the

total net heat flux and wind stress. The suspended sediment concentration, as measured at Can

Tho and My Thuan during 2016-2017, were used as upstream boundary conditions.

216 **4. Model validation**

To develop a conceptual structure of the ROFI in the Mekong Delta, the model, after the validation described below, was used to simulate the estuarine and coastal circulations, saltwater intrusion during high tides and sediment transport for the year 2014 using data on the bathymetry, the atmospheric conditions, and the state of the sea (i.e., waves, wind, tidal current). Nonetheless, a longer simulation over a period of two to three years would be preferable to consider the fate of sediments beyond one year, i.e., their deposition, resuspension, and movement, and research is currently underway to account for this.

224

Model validation for the significant wave heights at Go Cong during the two field campaigns illustrates a similar comparison for the current velocity at the surface and at the bottom of the sea (see Figure 2A) with Table 2 presenting the level of agreement between the model outputs and the observations using different statistical measures. The correlation between the model simulation and the observations is highest for significant wave height than for velocity, and the correlation (error) was higher (lower) for velocity at U Minh than at Go Cong (Figure 3A).

The CROCO model was also validated using salinity and SPM concentrations measurements taken using a Conductivity, Temperature and Depth (CTD) package of electronic measurements and an Acoustic Doppler Current Profiler (ADCP), respectively, during the two field campaigns mentioned above and field data collected as part of the *VIetnam TELédétection remote sensing* (VITEL) project (IRD, 2014) in June 2014 (see Figure 4).

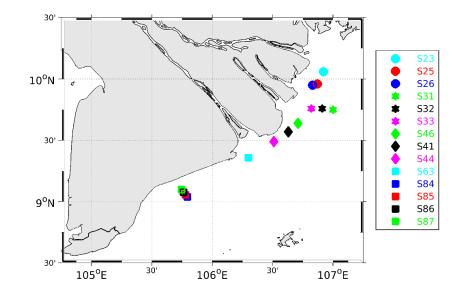
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Table 2. Model performance at the two stations

| Parameters and stations | RMSE | MAE | R ² |
|-------------------------|------|-----|----------------|
|-------------------------|------|-----|----------------|

| Significant wave heights in Oct. 2016 at Go-Cong | 0.17 m | 0.14 m | 0.61 |
|---|----------|----------|------|
| Significant wave heights in FebMar. 2017 at Go-Cong | 0.17vm | 0.14 m | 0.49 |
| Surface velocity in FebMar. 2017 at U-Minh | 0.07vm/s | 0.05 m/s | 0.35 |
| Bottom velocity in FebMar. 2017 at U-Minh | 0.05 m/s | 0.05 m/s | 0.35 |
| Surface velocity in FebMar. 2017 at Go-Cong | 0.18 m/s | 0.14 m/s | 0.21 |
| Bottom velocity in FebMar. 2017 at Go-Cong | 0.16 m/s | 0.13 m/s | 0.22 |

237 RMSE: Root Mean Square Error; MAE: Mean Absolute Error, R²: coefficient of determinant



238

Figure 4. Locations of the salinity profiles and SPM measured by CTD during the VITEL
 (IRD, 2014) and LMDCZ projects (SIWWR, 2018)

241

The good agreement of vertical salinity distribution and SPM concentration between measured and simulated results are shown in Figure 4, 5, 6A in the Appendix. Figure 4A and 5A show that the modelled simulation of the salinity profiles compares well with the CTD measurements taken at the different locations. Moreover, these salinity profiles clearly reveal the presence of the ROFI as thin layers of low salinity are observed in the upper part of the vertical profile (less than 5 m from the surface) at some locations, particularly in June when the discharge from the Mekong River is high due to the rainy season driven by the SW monsoon. Figure 5A, for its part, shows that during the dry season (February-March), the haloclines are weaker and almost non-existent at some locations.

251

The instantaneous profiles of the computed SPM with the measured ones at a number of stations were shown in Figure 6A. Because the SPM samplings for a vertical profile last 30 minutes, the values measured at different depths of a profile could be not simultaneous. For this reason, a qualitative comparison was preferred for this variable rather than a quantitative one. In general, it is observed that the difference between the simulated and observed SPM is larger at greater depths, refer to S26 and S41 in particular. The simulated SPM is overestimated in comparison to the observed SPM at S31 while it is underestimated at S26 and S41.

259

260 Figure 5 shows the freshwater plume, as simulated by the model, during two different wind 261 regimes in 2014: winds encouraging downwelling in January and winds promoting upwelling 262 in July. The shape of the plumes agrees very well with the conceptual model presented in 263 Figure 1, i.e., during the dry period extending from November to February, the freshwater 264 outflow from the Hau River forms a bulge near the river mouth of limited outward extent and 265 a narrow current alongside the coast, as the downwelling conditions compress the current 266 against the coast. In July during the rainy season, however, the strong river discharge and the 267 upwelling wind conditions promote offshore development of the plume.

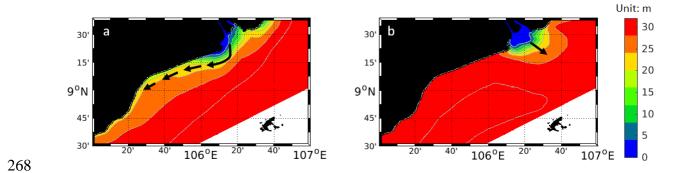


Figure 5. Monthly average freshwater plume at the mouth of the Hau River during the
January downwelling winds (left) and the July upwelling winds (right) in 2014.

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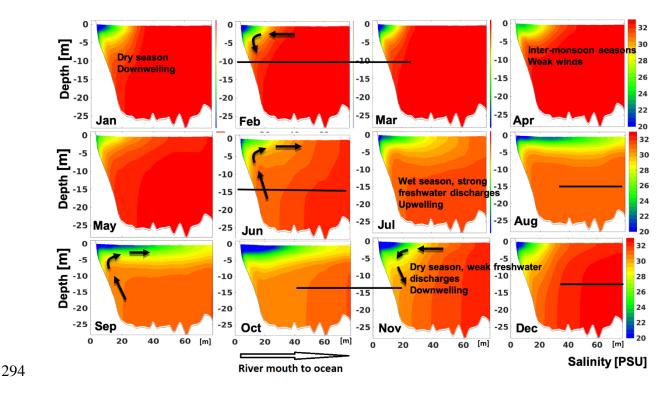
273 **5. Results and discussion**

5.1. Seasonal variability in salinity in the ROFI of the LMDCZ

275 Figure 6 depicts seasonal variations in the spatial extent of the buoyancy plume during 2014 at 276 Cross-Section CS₃ opposite the mouth of the Hau River at Dinh An and Tran De for the 277 location). From June to the first two weeks of October, the river plume extends 70-90 km 278 offshore because of the large river discharge during the rainy season. This plume creates strong 279 stratification with an approximately 5 m thick freshwater layer, and also contributes to the 280 buoyancy input of the adjacent shelf sea, generating a strong horizontal gradient in salinity. 281 This induces a density-driven circulation with the freshwater at the surface moving offshore 282 and the denser saltwater at the bottom moving shoreward.

283

284 Hordoir et al. (2006) previously modelled the freshwater plume of the Mekong River to 285 examine its characteristics. The river plume of the Mekong River exhibits strong variability, 286 because of high seasonal variability in river flow and the monsoonal winds (Hein et al., 2013). 287 The major physical processes in the ROFI can be described by the basic competition between 288 buoyancy and stirring, with the latter occurring mainly as a result of wind and tidal motions. 289 From the last two weeks of October to mid-March, north-easterly winds favouring downwelling 290 take place. Freshwater at the surface is directed back towards the coast and forced to sink. The 291 plume extends southward upon leaving the estuaries because of the Coriolis force, and then 292 forms a narrow but strong southward current propagating along the coast as a boundary-trapped 293 Kelvin wave.





295 Figure 6. Monthly average salinity profile at cross-section CS₃ for the year 2014.

296 5.2. Flow structure in the ROFI of the Mekong delta

297 Figure 7 depicts the monthly average longshore current velocities at CS₃. During the wet 298 season, due to the south-western winds that are favourable to upwelling, large volumes of 299 freshwater flow out of the mouth of the rivers of the Mekong Delta, creating a stratified plume 300 extending offshore in a south-easterly direction. Figure 7 and 8 further show that in proximity 301 to the coast, the longshore currents are in the direction opposite to those of the surface. These 302 south-westerly bottom currents occupy an area extending vertically from the seabed to 5 m 303 from the surface, and horizontally from the coast up to 30 km offshore (Figure 6).

305 During the dry season, from mid-October to March, due to the winds from the Northeast 306 promoting downwelling, the freshwater plume is squeezed in a narrow coastal jet about 25-40 307 km wide and 5-7 m thick flowing in a south-westerly direction. This surface coastal jet is most 308 developed in November, when the North-easterly winds are most intense, reaching a velocity 309 of 0.50 m/s and allowing the transport of suspended sediments along the East Coast of Vietnam

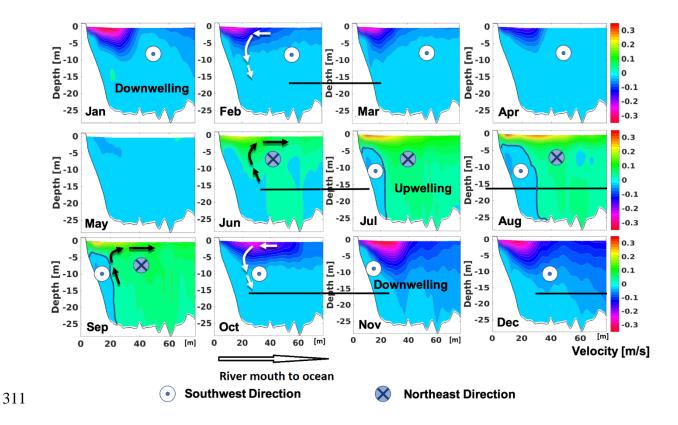
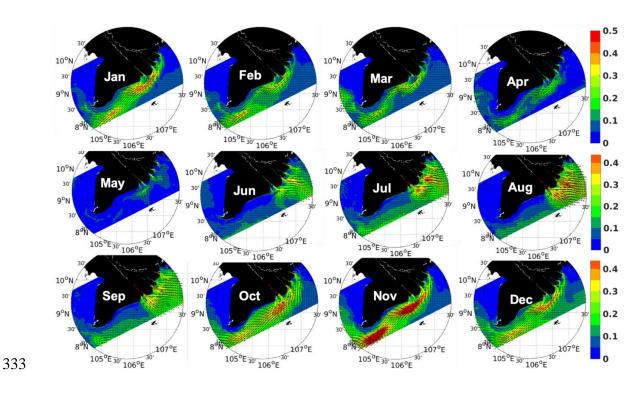


Figure 7. Mean monthly longshore current velocity at CS₃. Negative and positive values refer
 to South-westerly and North-easterly winds, respectively.

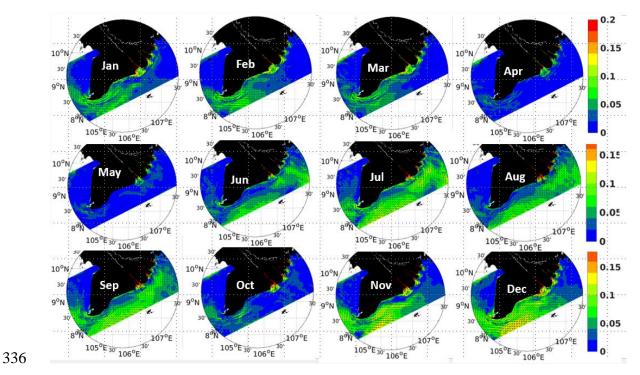
315 Figures 8 and 9 present the monthly average velocity fields on the surface and at the 316 bottom, respectively, for the year 2014. It reaches maximum level both in intensity (highest 317 velocity) and in extension (largest occupied area) in August and then reduces in intensity and 318 size in September. At the same time, in proximity to the mouth of the Mekong Delta, the 319 bottom currents are opposite to the surface ones, i.e., seawaters flow back to the estuaries. 320 From the last two weeks of October, north-easterly winds, favourable to downwelling, 321 transport surface waters back to the shore. As above, this jet is strongest and extends until the 322 Ca Mau Cape in November. Its intensity reduces in December and reaches its lowest value in 323 April. The bottom currents during the north-easterly winds are in the same direction as the 324 surface ones, but of weaker intensity. Figure 10 provides a schematic representation 325 summarising the flow structure in the LMDCZ on the basic of the model simulations. The

surface and bottom currents are strongly influenced by the wind direction, which resulted in the current directions are depended on wind direction in both seasons. However, the current directions are complex in a zone (top right corner in Figure 9), which can be attributed to a complex topography and influences of river discharge. The seasonal variability of river discharge and monsoon winds has a large influence on water stratification and destratification. Furthermore, the seasonal occurrence of stratification has an effect on the sediment dynamics and ecosystem processes.



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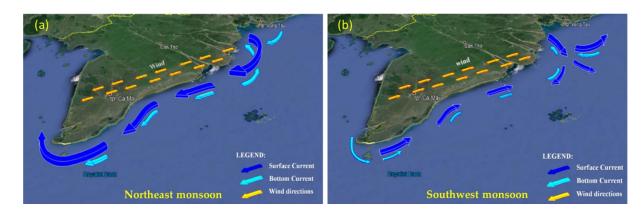
Figure 8. Monthly average surface velocity fields (m/s) in 2014.





337

Figure 9. Monthly average bottom velocity fields (m/s) in 2014.





339 Figure 10. Proposed conceptual model of the coastal flow structure in the LMDCZ. (a) during 340 the Northeast monsoon, wind stimulates downwelling-surface narrow jet of about 20-40 km 341 of width and 5 m of thickness, and during the SW monsoon (b), wind simulates upwelling 342 process.

5.3. Suspended sediment transport in the Mekong Delta 344

345 Figure 11 illustrates the monthly average suspended sediment fluxes for 10 sections (Figure

- 346 7A) of the LMDCZ in 2014, with those sections depicted in figure for the month of January.
- As expected, near the mouth of the Mekong Delta, the SSF increases from June to September, 347

348 which corresponds to the wet season when the river discharge and hence the sediment load are 349 highest. At the cross-section located northeast of the Dinh An and Tran De mouths (Figure 11), the SSF can reach up to $2.25-2.40 \times 10^6$ tons/month. As time pass by, more suspended sediments 350 351 are transported by currents in a south-westerly direction from the mouth of the Mekong Delta 352 toward to the Ca Mau Cape. At the cross-section located southwest, just after the Dinh An mouth, SSF increases from a value of 2.30x10⁶ tons/month in October, to reach the highest 353 value of 6.36×10^6 tons/month in December. During this month, SSFs are the highest of the year 354 355 for all coastal sections. In the East Sea, offshore from the Ca Mau Cape, SSF reaches a value of 5.32x10⁶ tons/month and goes into the West Sea with a value of 5.89 x10⁶ tons/month. SSF 356 357 decrease from January to March and during the inter-season (April and May), SSF is nearly 358 insignificant. The analysis of the sediment budget was calculated for the 10 sections of the 359 LMDCZ mentioned above, with Table 2A presenting the sediment budget for each of those 360 sections as estimated by the CROCO model, with negative and positive values representing 361 zones of erosion and accretion, respectively. The zones of erosion and accretion, as determined 362 by the model are also depicted in Figure 12. It can be seen that sections S2, S4, and S9 have 363 experienced erosion according to the model (Figure 7A), which agrees with the observed 364 shoreline changes observed from 1990 to 2015 also show agreement between the model and 365 observations for section S3, S4, and S9 (Marchesiello et al. 2019). In section S3, erosion area 366 is calculated for the East Sea before the Ca-Mau Cape, an accretion area just behind it in the 367 West Sea, and then an erosion area in the North of the section. Thus, this makes the sediment 368 budget in this cell negative. Section S4, corresponding to the Ganh-Hao zone, is eroding during most months with a sediment budget of -7.02×10^6 tons. Section S9, corresponding to the Go 369 370 Cong zone, is eroding during the Northeast winds from October to May, with a sediment budget of -1.94 x 10⁶ tons. According to Table 4 section S2, which extends from the Ca Mau Cape 371 372 experiences accretion, in agreement with observations. Section S1 in the West Sea is 373 experiencing erosion according to observations, but this is not seen in the model simulation.

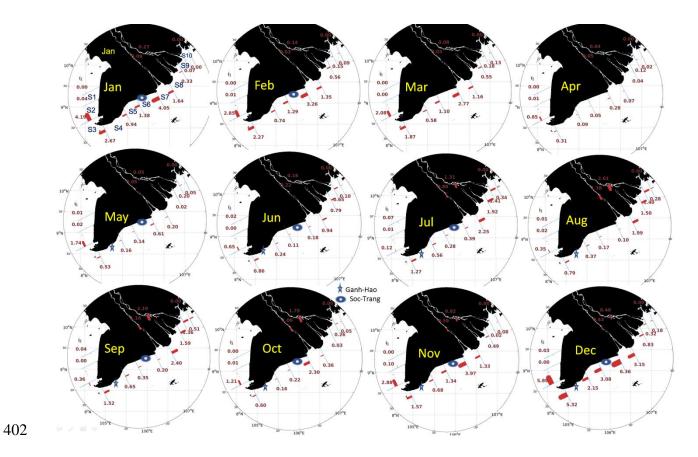
The yearly computed sediment budget of this section is almost null, i.e., no erosion nor accretion occurs. The reason for this disagreement is likely because of the impact of subsidence with a rate of 20 mm/year in this area, the highest in the LMD, which is not yet taken into consideration by the CROCO model.

378

379 Hein et al. (2013) modelled the dynamics of sediments in the ROFI of the Mekong Delta and, 380 as in Hordoir et al. (2006), confirmed the important role that the seasonal cycle of river 381 discharge and monsoonal winds play on the Mekong Delta; their study, however, focused on 382 sediment deposition and not on the characteristics of the freshwater plume. Previous studies 383 have shown the deposition of fine sediments over the continental shelf during the SW monsoon 384 season due to high river discharge. Their model further suggests that sediment deposition also 385 occurs during the low flow season, but it is limited to areas near the river mouth, where the 386 delta is still expanding, and that the wind driven circulation, waves, and tidal action cause net 387 erosion in other parts of the Lower Mekong Delta Coastal Zone. Even though the net transport 388 of fine sediments is to the south, i.e., towards the Gulf of Thailand, in the southern part of the 389 delta, there is a negative sediment budget due to the strong coastal current causing coastal 390 erosion and hence shoreline retreat, evidence for which is presented in Karlsrud et al. (2017). 391 This strong coastal current during the northeast winter monsoon, flowing in the direction of the 392 propagating Kelvin wave, was also observed in the modelling study of Hordoir et al. (2006).

393

Hein *et al.* (2013) recommended further studies to examine the influence of hydropower dams in the upper catchment of the Mekong River as well as the impacts of climate change. This was also stressed more recently by Li *et al.* (2017) who mentioned that our understanding of the evolution of the delta over the past 50 years is not adequate to respond to the impacts of climate change. Accordingly, they used Landsat data over the period 1973-2015 and found that the majority of the delta is experiencing erosion, particularly to the East of the Ca Mau Peninsula 400 and the north-western side of the delta in the Gulf of Thailand and that overall, the Mekong



401 Delta has experienced a shift from a growing to a shrinking region around the year 2005.

403 Figure 12. Monthly average suspended sediment fluxes (10⁶ tons/month) at different cross404 sections of the LMDCZ

405 **6.** Conclusions

406 In this study, the three-dimensional CROCO model was used to simulate the circulation and 407 transport of sediments in the ROFI of the Mekong Delta. The model was validated using in situ 408 measurements, including significant wave height, tidal levels, and salinity profiles. The flow 409 structure obtained using a 30-layer vertical grid closely agrees with the conceptual model 410 proposed in relation to conditions either favouring upwelling or downwelling under seasonally 411 varying wind direction. During the SW monsoon when the winds are favourable to upwelling, 412 large volumes of freshwater flow out of the various rivers flowing into the Mekong Delta. When 413 the North-eastern winds prevail, which favour downwelling, Ekman transport pushes the 414 current against the coast, resulting in a narrow surface coastal jet, 25-40 km wide and 5 m thick. This coastal jet allows for the transportation of suspended sediments toward the Ca Mau Capeand further into the West Sea.

417

418 A sediment budget analysis was performed to identify zones prone to accretion and erosion in 419 the coastal zone of the LMD and to inform the development of preventive actions against 420 coastal erosion and the wider integrated coastal management agenda in the region. The results 421 of this analysis showed good agreement with an erosion map obtained from the Southern 422 Institute of Water Resources Research (SIWRR), confirming the suitability of the model for 423 further simulation. It is recommended that the model be used in further research to simulate the 424 impacts of different scenarios of changes in river discharge due to anthropogenic alterations of 425 the river flow or as a result of climate change, sand mining and sea level rise on the processes 426 and dynamics of the ROFI and sediment transport in the delta.

427

428 Authorship statement

- 429 Nguyet-Minh Nguyen, Dinh Cong San, Kim Dan Nguyen: Conceptualization, Methodology,
- 430 Software, Validation, Visualisation, Formal analysis, Investigation, Original draft preparation.
- 431 Quoc Bao Pham, Alexandre S. Gagnon, Duong Tran Anh: Supervision, Conceptualization,
- 432 Methodology, Final draft preparation, reviewing and Editing.

433 **Declaration of competing interest**

434 The authors reported no potential competing interest

435 Acknowledgments

- 436 The results presented in this paper were obtained as part of the LMDCZ Project financed by the
- 437 Agence Française de Développement (AFD) and the European Union. We would like to thank
- the VITEL project team for sharing the CTD measurements. We also thank the Fulbright U.S.

- 439 ASIAN visiting scholar program (G-1-00005) for awarding a research exchange to Dr Duong
- 440 Tran Anh at the University of South Florida, USA, in the summer of 2021. The authors greatly
- 441 appreciate the Editor and also deeply thank the anonymous reviewers for their constructive
- 442 comments to improve the final version of te manuscript.
- 443

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608 Supplementary data (Appendix).

- 609 Table 1A. Seasonal variations in prevailing winds over the Mekong Delta and associated
- 610 climatic conditions.

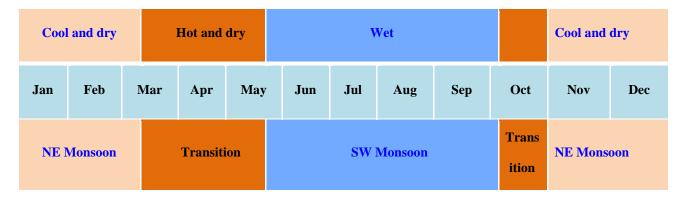


Table 2A. Monthly sediment budget (10^6 tons) in the different sections of the LMDCZ (see

Figure – January - for their position) estimated by the CROCO model in 2014.

| | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Sum |
|------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|--------|
| S 1 | 0.04 | 0.01 | -0.01 | 0.01 | 0.02 | 0.03 | 0.06 | 0.01 | 0.06 | 0.01 | 0.10 | 0.04 | 0.37 |
| S2 | 4.16 | 2.85 | 2.09 | 0.84 | 1.72 | 0.65 | 0.15 | 0.33 | -0.38 | 1.21 | 2.78 | 5.89 | 22.28 |
| S 3 | -1.53 | -0.58 | -0.21 | -0.54 | -1.22 | -1.51 | -1.41 | -1.15 | -1.16 | -0.61 | -1.31 | -0.56 | -11.79 |
| S4 | -1.72 | -1.53 | -1.29 | -0.22 | -0.37 | 0.61 | 0.72 | 0.42 | 0.87 | -0.44 | -0.89 | -3.18 | -7.02 |
| S 5 | 0.43 | 0.54 | 0.52 | -0.04 | -0.02 | 0.14 | 0.28 | 0.19 | 0.30 | 0.07 | 0.66 | 0.94 | 4.02 |
| S6 | 2.68 | 1.97 | 1.67 | 0.23 | 0.47 | -0.08 | -0.11 | 0.08 | 0.15 | 2.08 | 2.63 | 3.28 | 15.03 |
| S7 | 2.51 | 1.94 | 1.64 | 0.27 | 0.46 | 0.98 | 2.66 | 3.28 | 3.39 | 3.00 | 3.24 | 3.65 | 27.02 |
| S8 | 1.58 | 0.94 | 0.70 | 0.07 | 0.23 | 0.31 | 1.64 | 3.11 | 3.02 | 2.12 | 1.66 | 2.80 | 18.19 |
| S9 | -0.41 | -0.41 | -0.36 | -0.17 | -0.23 | 0.14 | 0.52 | 0.10 | 0.23 | -0.30 | -0.52 | -0.51 | -1.94 |
| S10 | 0.07 | -0.05 | -0.06 | 0.15 | 0.26 | 0.55 | 1.07 | 1.12 | 0.85 | 0.32 | 0.11 | -0.14 | 4.25 |

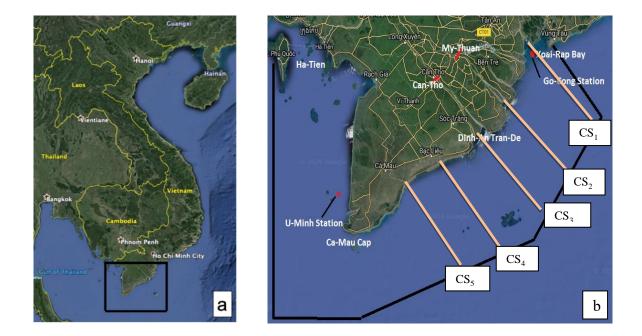


Figure 1A. a) Location of the LMDCZ and b) computational domain of the CROCO model
over the Mekong Delta, showing the two upstream open boundaries at Can Tho and My Thuan,
and the sea open boundaries at Go Cong and U Minh. CS₁₋₅ refer to the location of the fiver
cross-sections referred to in the text.

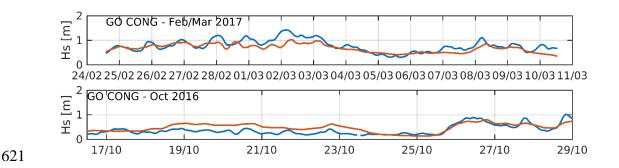


Figure 2A. Significant wave height (Hs) as simulated by the model and observed at Go Cong
during the 15-day field campaign extending from February 24 to March 11, 2017 (top panel),

and the 15-day field campaign on October 16-31, 2016 (bottom panel).

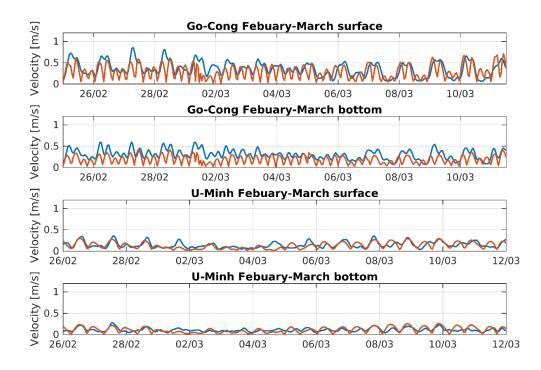


Figure 3A. Modelled and observed current velocity during the 15-day field campaign from
February 24 to March 11, 2017, at the surface and the bottom of the sea at U Minh and Go
Cong.

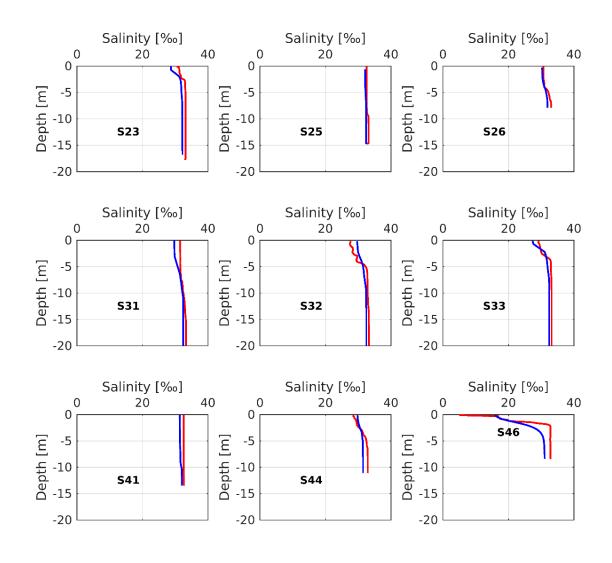
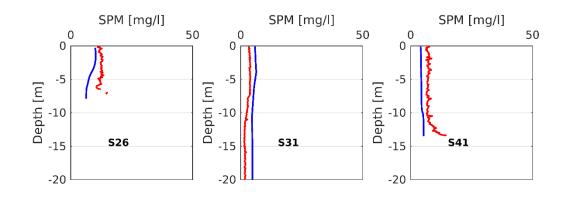


Figure 4A. Comparison of the salinity profile simulated (blue curves) and observed (red curves)
during the field measurements taken as part of the VITEL project in June 2014 at different
locations.



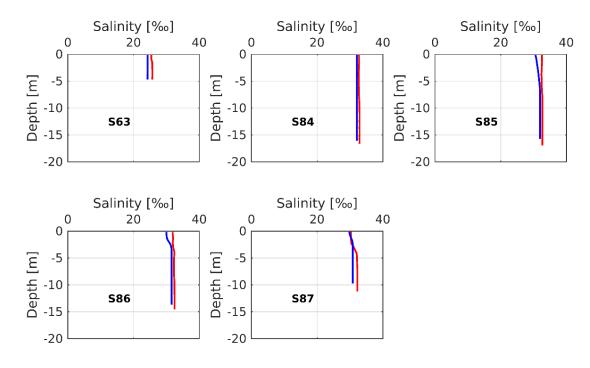


Figure 5A. Comparison of the salinity profile simulated (blue curves) and observed (red curves)
during the field measurements taken as part of the LMDCZ project in February-March 2017 at
different locations.

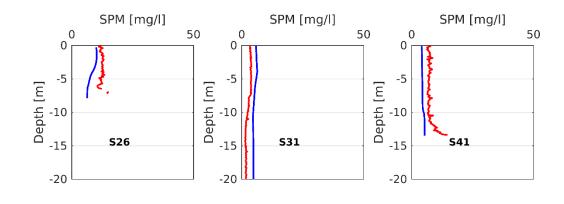


Figure 6A. Comparison of the instantaneous SPM profile simulated by the CROCO model
(blue curves) and observed in June 2014 by the VITEL project field measurements (red
curves).

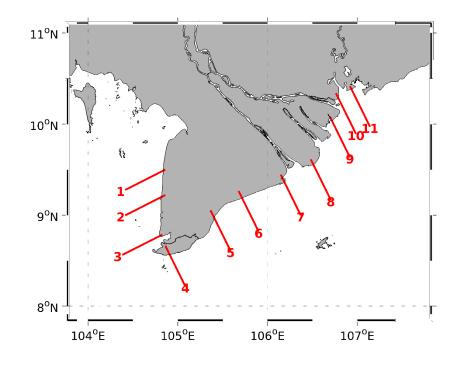


Figure 7A. The location of ten sections (S1 - S10) for extracting suspended sediment fluxes 649 650 651