

## Open Access Publication Policy

<b>Responsibility for Policy:</b>	Pro-Vice-Chancellor (Research & Knowledge Exchange)
<b>Relevant to:</b>	All LJMU Staff and Postgraduate Research Students Relevant to Academic Partnerships? N
<b>Approved by:</b>	<i>URKEC on 27<sup>th</sup> July 2022 (circulated to ELT, VC confirmed no further discussion needed at ELT)</i>
<b>Responsibility for Document Review:</b>	Pro-Vice-Chancellor (Research & Knowledge Exchange) through Research & Innovation Services
<b>Date introduced:</b>	<i>28<sup>th</sup> September 2022</i>
<b>Date(s) modified:</b>	
<b>Next Review Date:</b>	<i>September 2024</i>

### RELEVANT DOCUMENTS

- [LJMU Open Research Statement](#)
- [REF OA Policy](#)
- [UKRI OA Policy](#)

### RELATED POLICIES & DOCUMENTS

- [Policy and Procedures for the Examination of Research Degrees](#)
- [Research Code of Practice](#)
- [Research Data Management Policy](#)

## 1. Purpose and Context

1.1 Liverpool John Moores University (LJMU) supports the principle that the outcomes of research should be made openly available. This Open Access (OA) policy supports this principle and ensure authors meet OA requirements for applicable research outputs, whilst encouraging the advancement of a broader Open Research culture as reflected in the [LJMU Open Research Statement](#).

1.2 LJMU acknowledges the benefits of OA publication in terms of greater visibility for research outcomes, potential for more rapid dissemination and greater research impact. Additionally, both authors and the University need to meet OA compliance requirements from a wide range of funders including Research England (which requires all journal articles and conference proceedings returned to the Research Excellence Framework to be OA).

## 1. Scope

1.1 This policy applies to all authors of research outputs including academics, researchers, administrative staff and postgraduate research students where outputs are accepted for publication on or after the date at which they joined the University.

1.2 This policy applies to all peer-reviewed journal articles and conference papers published with an ISSN.

1.3 This policy also applies to all Doctoral theses awarded by LJMU.

1.4 This policy also encourages authors to make other types of output openly available (including but not limited to book chapters, monographs, reports, artistic creations, compositions, exhibitions etc).

## 2. Policy Requirements and Author responsibilities

2.1 LJMU supports the academic freedom to choose the best publication venue for research outputs, regardless of the journal's open access policy, as long as there is no breach of the terms and conditions of the organisation funding the specific research.

2.2 Authors are expected to meet funder compliance where additional conditions apply that are not covered by this policy. Funder policies take precedence over this policy.

2.3 Authors must deposit their author accepted manuscript of applicable research outputs (described in section 2.2) in the University's institutional repository, [LJMU Research Online](#) (via [Symplectic](#)) within 3 months of acceptance.

2.4 Authors of PhD and MPhil theses, awarded by LJMU, should deposit their final approved thesis in accordance with the [Policy and Procedures for the Examination of Research Degrees](#).

2.5 Where copyright and publishing contracts allow, authors should deposit other types of research output (including but not limited to book chapters, monographs, reports, artistic creations, compositions, exhibitions etc) to [LJMU Research Online](#) (via [Symplectic](#)).

2.6 To assist corresponding authors in their negotiations with publishers that do not allow self-archiving of journal articles (green OA<sup>1</sup>) within the timeframe set by

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<sup>1</sup> Green OA is where a version of the work is uploaded to an institutional or subject repository (usually the Author Accepted Manuscript)

their funder, researchers will need to use a rights retention statement. The following statement is offered as an example, provided by Wellcome Trust, for inclusion by funded authors in their submission to publisher:

*“This research was funded in whole, or in part, by the [Funder] [Grant number xxxx]. For the purpose of open access, the author has applied a CC-BY public copyright licence to any Author Accepted Manuscript version arising from this submission”.*

### 3. University Responsibilities

3.1 LJMU is in receipt of block funds to pay article processing charges (APCs) to enable gold route<sup>2</sup> open access for the publication of work funded by UKRI. Details of how to obtain these funds can be found on the [Library Open Research webpages](#).

3.2 In addition to externally received funding the University will continue to invest in agreements which facilitate OA publishing with a number of publishers, without the additional cost of an APC. See the [Library Open Research webpages](#) for details of these agreements.

3.3 LJMU encourages the inclusion of costs for APCs in external research grant applications, whenever possible, if the author wishes to pursue immediate open access through the gold route. Note that such charges cannot be included in grant bids submitted to UKRI for journal articles. Check with UKRI for other output types.

3.4 The Library Researcher Engagement Team administers LJMU Research Online and will continue support authors to ensure compliance with this policy and the OA policies of funders.

3.5 The Library Researcher Engagement Team will provide compliance reports for journal articles within the scope of this policy to Associate Deans for Research and Knowledge Exchange to facilitate OA compliance with the policy.

### 4. Help and Guidance

Symplectic Enquiries	<a href="mailto:SymplecticHelp@ljmu.ac.uk">SymplecticHelp@ljmu.ac.uk</a>
LJMU Research Online Enquiries Open Access Publishing Advice	<a href="mailto:lst_research_support@ljmu.ac.uk">lst_research_support@ljmu.ac.uk</a>

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<sup>2</sup> Gold OA is where research outputs are published open access on the publisher website, immediately upon publication.