

# Low luminosity Type II supernovae – IV. SN 2020cx and SN 2021aai, at the edges of the sub-luminous supernovae class

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## ABSTRACT

Photometric and spectroscopic data for two Low Luminosity Type IIP Supernovae (LL SNe IIP) 2020cx and 2021aai are presented. SN 2020cx was discovered 2 d after explosion at an absolute magnitude of  $M_r = -14.02 \pm 0.21$  mag, subsequently settling on a plateau which lasts for  $\sim 120$  d. Through the luminosity of the late light curve tail, we infer a synthesized  $^{56}\text{Ni}$  mass of  $(1.8 \pm 0.5) \times 10^{-3} M_\odot$ . During the early evolutionary phases, optical spectra show a blue continuum ( $T > 8000$  K) with broad Balmer lines displaying a P Cygni profile, while at later phases, Ca II, Fe II, Sc II, and Ba II lines dominate the spectra. Hydrodynamical modelling of the observables yields  $R \simeq 575 R_\odot$  for the progenitor star, with  $M_{\text{ej}} = 7.5 M_\odot$  and  $E \simeq 0.097$  foe emitted during the explosion. This low-energy event originating from a low-mass progenitor star is compatible with both the explosion of a red supergiant (RSG) star and with an Electron Capture Supernova arising from a super asymptotic giant branch star. SN 2021aai reaches a maximum luminosity of  $M_r = -16.57 \pm 0.23$  mag (correcting for  $A_V = 1.92$  mag), at the end of its remarkably long plateau ( $\sim 140$  d). The estimated  $^{56}\text{Ni}$  mass is  $(1.4 \pm 0.5) \times 10^{-2} M_\odot$ . The expansion velocities are compatible with those of other LL SNe IIP (few  $10^3 \text{ km s}^{-1}$ ). The physical parameters obtained through hydrodynamical modelling are  $R \simeq 575 R_\odot$ ,  $M_{\text{ej}} = 15.5 M_\odot$ , and  $E = 0.4$  foe. SN 2021aai is therefore interpreted as the explosion of an RSG, with properties that bridge the class of LL SNe IIP with standard SN IIP events.

**Key words:** supernovae: general – supernovae: individual: SN 2020cx, SN 2021aai.

## 1 INTRODUCTION

During the last two decades, the transient Universe has been inspected in an unprecedented fashion thanks to new instruments and dedicated

surveys: therefore, the discovery of new classes of transients did not come as a surprise. In particular, the so-called ‘luminosity gap’ (Kasliwal 2012) separating Classical Novae ( $M_V \sim -10$  mag) and standard type II Supernovae (SNe;  $M_V \sim -15$  mag) has been populated with several peculiar phenomena. Among the ‘gap transients’ (see e.g. Pastorello & Fraser 2019), can be identified stellar mergers (Luminous Red Novae), stellar eruptions (Luminous Blue Variables)

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and even authentic, though weak, Core-Collapse SNe. In particular, faint SNe explosions are expected to be produced when the sub-energetic explosion of a very massive star leads to the fallback of the inner stellar mantle on to the core (Pumo et al. 2017). These SNe are characterized by the ejection of tiny amounts of  $^{56}\text{Ni}$  (e.g. Moriya et al. 2010). The collapse of an O–Ne–Mg core of a moderate-mass super-AGB star is also expected to produce faint transients known as electron-capture SNe (ECSNe) (e.g. Nomoto 1984; Ritossa, Garcia-Berro & Iben 1996; Kitaura, Janka & Hillebrandt 2006; Poelarends et al. 2008), although there is no consensus yet on whether we have already witnessed such an explosion. Given their faintness and low synthesized  $^{56}\text{Ni}$  mass, the so-called Intermediate-Luminosity Red Transients (ILRTs; Botticella et al. 2009; Stritzinger et al. 2020; Cai et al. 2021) are considered to be among the most promising candidates. The electron-capture SN scenario, however, can potentially produce transients with different observable properties. The peculiar type II SN 2018zd (Hiramatsu et al. 2021) has shown a remarkable compatibility with the ECSN scenario, although no consensus has been reached yet on the nature of this object (Zhang et al. 2020; Callis et al. 2021).

Together with this array of unusual and little-studied transients, there is a group of Low Luminosity SNe type IIP (LL SNe IIP) lying towards the upper end of the ‘luminosity gap’, showing plateau absolute magnitude  $M_V$  typically between  $-14$  and  $-15$  mag. The first identified object of this class was SN 1997D (Turatto et al. 1998; Benetti et al. 2001), which was reported as one of the faintest SN observed to that date, peaking at  $M_V = -14.65$  mag. The late time decline was also unusually faint, compatible with the ejection of just  $2 \times 10^{-3} M_\odot$  of  $^{56}\text{Ni}$ , one order of magnitude lower than the typical value for standard SNe IIP (a few  $10^{-2} M_\odot$ , Anderson et al. 2014). The first scenario proposed to explain this event envisioned a massive progenitor ( $25\text{--}40 M_\odot$ ), and the fallback on the black hole formed during the collapse would account for the low amount of energy emitted (Zampieri, Shapiro & Colpi 1998; Zampieri et al. 2003). Important steps towards understanding the nature of LL SNe IIP progenitors were taken thanks to observational studies on samples of standard type IIP SNe (Smartt et al. 2009; Smartt 2015), which determined that the progenitor stars of SNe IIP were Red Super Giants (RSGs) with low Zero Age Main Sequence (ZAMS) masses between  $8$  and  $18 M_\odot$ . These findings disfavoured the scenario of the massive progenitor for LL SNe IIP (Eldridge, Mattila & Smartt 2007; Crockett et al. 2011; Fraser et al. 2011). This study was based on the direct detections of the progenitor star in archival images before the SN explosion, and subsequent matching with theoretical evolutionary tracks. A different approach to determine the progenitor mass consists in computing hydrodynamical models to describe observed light curves and expansion velocities (e.g. Utrobin, Chugai & Pastorello 2007; Utrobin & Chugai 2008; Bersten, Benvenuto & Hamuy 2011; Pumo & Zampieri 2011; Lisakov et al. 2018; Kozyreva et al. 2021; Martinez et al. 2021). The mass estimates obtained with this method ( $14\text{--}18 M_\odot$ ) do not reproduce the lower end of the mass distribution observed through direct progenitor detection, possibly due to an overestimate of the ejected mass due to spherical symmetry approximation (Utrobin & Chugai 2009). There also exists a third approach (Fransson & Chevalier 1989; Maguire et al. 2010; Jerkstrand et al. 2012, 2014, 2018; Lisakov et al. 2017, 2018; Dessart et al. 2021): the nebular [OI] doublet  $\lambda\lambda$  6300 6364 observed in the late-time spectra is used as a tracer of the core mass of the progenitor star and hence of its ZAMS mass.

The method described above was developed to study standard SNe IIP, but it was also applied to LL SNe IIP, when possible. Spectral

modelling results are so far consistent with the lack of massive progenitors ( $\sim 20 M_\odot$ ) for LL SNe IIP (Müller-Bravo et al. 2020). Studies on the photometric and spectroscopic evolution of larger samples (up to 15 objects) of LL SNe IIP (Pastorello et al. 2004; Spiro et al. 2014) found that these transients share strikingly similar features. The light curves of LL SNe IIP are characterized by a quick rise to maximum (few days), followed by a plateau lasting  $\sim 100$  d, before finally settling on a late time linear decay compatible with the ejection of a small amount of  $^{56}\text{Ni}$  ( $< 10^{-2} M_\odot$ ). The temperature evolution is quite homogeneous among the various objects observed, with a rapid cooling at early phases leading to a temperature of  $10^4$  K at 10 d, and a slower subsequent decline ( $6000\text{--}8000$  K at 30 d). The expansion velocities inferred from the spectral lines also show a fast decrease from some  $10^3 \text{ km s}^{-1}$  in the first week after explosion to  $\sim 2000 \text{ km s}^{-1}$  one month after. These findings are consistent with those inferred for standard SNe IIP: transients with dimmer plateaus show lower expansion velocities and eject less  $^{56}\text{Ni}$  (Hamuy 2003; Gutiérrez et al. 2017b). Pastorello et al. (2004) and Spiro et al. (2014) proposed that LL SNe IIP are the least energetic end of the continuous distribution of SNe IIP in the parameter space (progenitor mass, plateau luminosity,  $^{56}\text{Ni}$  synthesized, expansion velocities). This proposition is corroborated by the presence of ‘transitional’ objects, showing intermediate properties between LL SNe IIP and standard SNe IIP, like SN 2009N (Takáts et al. 2014) and SN 2008in (Roy et al. 2011). Furthermore, Pumo et al. (2017) show that the parameter  $E/M_{\text{ej}}$  ‘guide’ the distribution of the SNe IIP class in the parameters space, where LL SNe IIP form the underluminous tail.

In the context of LL SNe IIP, we present photometric and spectroscopic data that we collected for two objects belonging to this class: SN 2020cxd,<sup>1</sup> one of the faintest LL SNe IIP observed to date, and SN 2021aai, which belongs to the brighter end of the class. In Section 2, we discuss the methodology used to obtain and reduce the data, while in Section 3, the photometric data are presented. In Section 4, we analyse the spectra, and in Section 5, we discuss the physical parameters obtained through blackbody fits. In Section 6, we estimate the  $^{56}\text{Ni}$  ejected mass during the explosion and compare the results with similar objects. In Section 7, we perform hydrodynamic modelling on our targets in order to infer information about their progenitor stars. Finally, in Section 8, we summarize the results obtained.

## 2 DATA REDUCTION

The objects in this paper were followed with several instruments at different facilities, whose details are reported in Table B1. In particular, the majority of the private data we present in this work was collected with the Nordic Optical Telescope (NOT) within the NOT Unbiased Transient Survey 2 (NUTS2) collaboration (Holmbo et al. 2019), with the Liverpool Telescope, and within the Global Supernova Project (Howell 2019). Image reduction was performed through standard IRAF tasks (Tody 1986), removing the overscan and then correcting for bias and flat-field. When multiple exposures were taken on the same night, we combined them to improve the signal-to-noise (S/N) ratio. To measure the observed magnitudes of our

<sup>1</sup>SN 2020cxd has been studied by Yang et al. (2021). Here we provide additional photometric and spectroscopic coverage of this target. Just before our submission, Kozyreva et al. (2022) presented an additional paper on the modelling of 2020cxd.

targets, we used a dedicated, PYTHON-based pipeline called SNOOPY<sup>2</sup> (Cappellaro 2014). SNOOPY is a collection of PYTHON scripts that call IRAF standard tasks like DAOPHOT through PYRAF, and it was designed for Point Spread Function (PSF) fitting of multiwavelength data acquired from different instruments and telescopes. The PSF model was built from the profiles of isolated, unsaturated stars in the field. The instrumental magnitude of the transient was then retrieved by fitting this PSF model and accounting for the background contribution around the target position through a low-order polynomial fit. The error in this procedure was obtained through artificial stars created close to the target, with magnitudes and profiles coincident with those inferred for the object. The dispersion of the artificial stars instrumental magnitudes was combined in quadrature with the PSF fitting error given by DAOPHOT to obtain the total error associated with that measure. Zero Point (ZP) and Colour Term (CT) corrections were computed for each instrument by observing standard fields: the Sloan Digital Sky Survey (SDSS) (Albareti et al. 2017) was used as a reference for Sloan filters; the Landolt (1992) catalogue was used for Johnson filters; and the 2MASS (Skrutskie et al. 2006) catalogue was used for near infrared (NIR) filters. It is worth noting that in the NIR we assumed negligible CT, so we only computed the ZP correction.

In order to account for non-photometric nights, we selected a series of stars in the field of each transient: by measuring the average magnitude variation of the reference stars with respect to the catalogued magnitudes, we computed the ZP correction for each night in each filter. Applying ZP and CT corrections to the instrumental magnitudes of our targets, we obtained the apparent magnitudes reported in this paper. We adopted the AB magnitudes system for *ugriz* bands and Vega magnitudes for *BVJHK* bands. For the ‘Asteroid Terrestrial-impact Last Alert System’ (ATLAS) data (Tonry et al. 2018), we combined the flux values obtained through forced photometry reported in their archive,<sup>3</sup> and converted the result into magnitudes as prescribed in the ATLAS webpage. Both objects discussed in this work are well distinguishable from the surrounding environment. For this reason, we resorted to template subtraction only at very late epochs, when the transients were too faint to be detected otherwise. The template subtraction procedure was performed again with SNOOPY, with template images taken from SDSS (Albareti et al. 2017). The photometric measurements we obtained are reported in Appendix B.

The original spectra presented in this work (see Table 1) were reduced through standard IRAF routines contained in the package CTIOSLIT. All spectra were corrected for bias and flat-field before extracting the one-dimensional (1D) spectrum. Sky lines and cosmic rays were removed, and wavelength and flux calibrations were applied using arc lamps and spectrophotometric standard stars. Finally, spectra were corrected for telluric lines, they were flux calibrated an additional time on the broad-band photometric data obtained at the same phase, and they were corrected for redshift and reddening (discussed in Section 3). In particular, spectra taken with the NOT were reduced through the ALFOSC GUI<sup>4</sup> pipeline (Cappellaro 2014), specifically designed to reduce spectra within the NUTS2 collaboration. The spectra presented in this article will be available on the WISEREP repository (Yaron & Gal-Yam 2012).

**Table 1.** Log of original spectroscopic observations for SN2020cxd and SN2021aai. Phases are reported with respect to the explosion epoch.

Phase (d)	MJD	Setup	Resolution [Å]
<b>SN2020cxd</b>			
2.3	58899.3	LT + SPRAT	18.0
8.6	58905.6	LCO + FLOYDS	15.5
32.5	58929.5	LCO + FLOYDS	15.5
128.5	59025.5	GTC + OSIRIS	7.5
205.7	59102.7	GTC + OSIRIS	8.0
<b>SN2021aai</b>			
8.5	59231.9	NOT + ALFOSC	14.6
10.5	59233.9	TNG + LRS	15.5
18.5	59241.9	NOT + ALFOSC	14.1
30.5	59253.9	NOT + ALFOSC	14.1
35.6	59259.0	TNG + LRS	15.6
61.5	59284.9	NOT + ALFOSC	18.2
72.5	59295.9	TNG + LRS	10.4
115.5	59338.9	NOT + ALFOSC	14.1

### 3 DISCOVERY AND PHOTOMETRIC EVOLUTION

#### 3.1 SN 2020cxd photometric properties

SN 2020cxd is a LL SN IIP discovered on 2020 February 19 (Nordin et al. 2020) at the coordinates  $RA = 17^h 26^m 29^s.26$   $Dec = +71^\circ 05' 38''.58$  in the spiral galaxy NGC 6395, classified as Scd (de Vaucouleurs et al. 1991) and at a redshift  $z = 0.003883 \pm 0.000002$  (Springob et al. 2005). As noticed by Yang et al. (2021), the distance measurements for the host galaxy vary between 19 and 23 Mpc, depending on the methodology used. In this paper, we adopt a distance modulus of  $\mu = 31.60 \pm 0.20$  mag (or  $20.9 \pm 1.9$  Mpc), by averaging the six different estimates obtained using the Tully–Fisher method and reported on the NED data base (Bottinelli et al. 1985; Tully & Fisher 1988; Willick et al. 1997; Tully et al. 2013; Sorce et al. 2014; Tully, Courtois & Sorce 2016). We assumed a cosmology where  $H_0 = 73 \text{ km s}^{-1} \text{ Mpc}^{-1}$ ,  $\Omega_\Lambda = 0.73$  and  $\Omega_M = 0.27$  (Spergel et al. 2007), which will be used throughout this work. The Galactic absorption in the direction of NGC 6395 is  $A_V = 0.11 \pm 0.03$  mag, from Schlafly & Finkbeiner (2011), under the assumption that  $R_V = 3.1$  (Cardelli, Clayton & Mathis 1989; which will be used throughout this work). Early spectra do not show evidence of the interstellar Na I D absorption doublet at the host galaxy redshift, implying a negligible extinction along the line of sight (see Section 4).

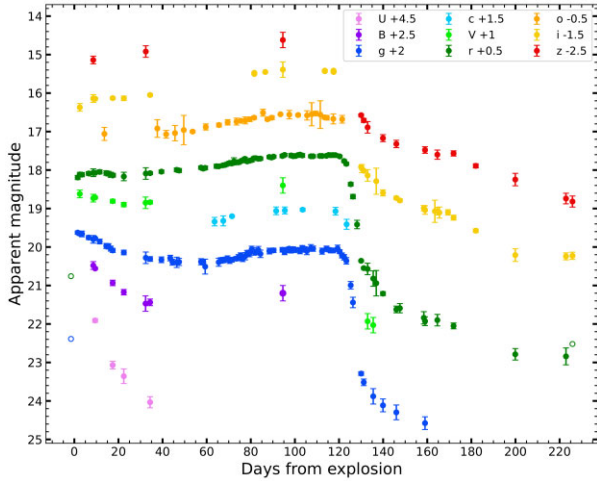
In Fig. 1, we report the multiwavelength photometry of SN 2020cxd collected up to 230 d after explosion. The early rise in luminosity was not observed, since the object was first detected when it was already on the plateau. However, thanks to a deep upper limit ( $r > 20.3$  mag) obtained just 3 d before the discovery by the Zwicky Transient Facility (ZTF; Graham et al. 2019), it is possible to constrain the explosion epoch with small uncertainty to  $MJD = 58897.0 \pm 1.5$ . Even on the plateau, the brightness was not strictly constant: at first, there was a decline, with the transient dimming from  $M_r = -14.13$  mag at 10 d to  $M_r = -14.00$  mag at 22 d (typical photometric error of 0.21 mag). This luminosity decrease was more marked in the blue bands. This behaviour is clear in the *g* band, where the absolute magnitude declined from  $M_g = -13.97$  mag to  $M_g = -13.20$  mag in the first 60 d. Thereafter, the brightness consistently increased to  $M_g = -13.58$  mag and  $M_r = -14.48$  mag before finally fading from the plateau at  $\sim 120$  d. Müller-Bravo et al. (2020) attributed the different behaviour of the *g* band compared to

<sup>2</sup>A detailed package description can be found at <http://sngroup.oapd.inaf.it/snoopy.html>.

<sup>3</sup><https://fallingstar-data.com/forcedphot/>

<sup>4</sup>More details at <https://sngroup.oapd.inaf.it/foscgui.html>



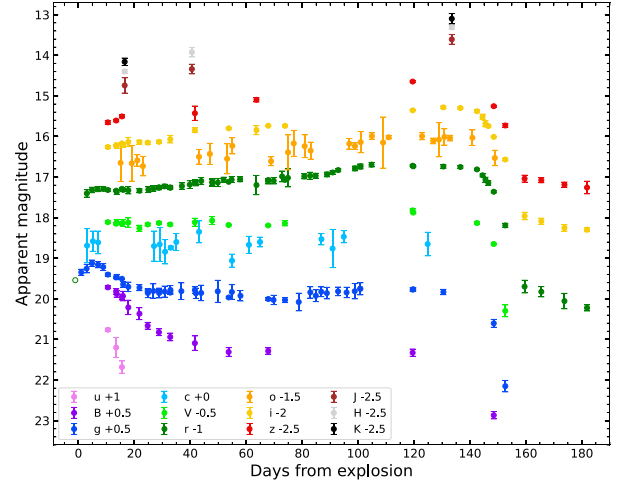


**Figure 1.** Optical light curves of SN 2020cx. Empty circles represent upper magnitude limits.

the  $r$  band to the shift of the Spectral Energy Distribution (SED) peak from the ultraviolet (UV) to the optical domain. The drop from the plateau was very sharp, with the object fading by 2.9 mag in the  $r$  band and 3.2 mag in the  $g$  band in just 10 d. Finally, the luminosity evolution settled on a linear decline powered by the  $^{56}\text{Ni}$  synthesized during the explosion. More details about the estimate of the  $^{56}\text{Ni}$  ejected are given in Section 5.

### 3.2 SN 2021aai photometric properties

SN 2021aai was discovered at the coordinates  $\text{RA} = 07^{\text{h}} 14^{\text{m}} 26^{\text{s}}.86$   $\text{Dec} = +84^{\circ} 22' 51''.46$  on 2021 January 12 (Munoz-Arancibia et al. 2021) in NGC 2268, an SAB(r)bc (de Vaucouleurs et al. 1991) at a redshift of  $z = 0.007428 \pm 0.000007$  (Springob et al. 2005) and quickly classified as a LL SN IIP (Reguitti, Pastorello & Valerin 2021b). Throughout this work, we adopt a distance modulus of  $\mu = 32.47 \pm 0.20$  mag ( $31.2 \pm 2.9$  Mpc), obtained through one of the most recent Tully–Fisher estimates (Tully et al. 2013). According to Schlafly & Finkbeiner (2011), the reddening internal to the Milky Way along the line of sight towards NGC 2268 is  $A_V = 0.170 \pm 0.003$  mag. Unlike in SN 2020cx, the Na I D absorption doublet was detected in the first two spectra obtained (see Section 4), with an Equivalent Width (EW) of  $1.6 \text{ \AA}$ . Some relationships between reddening and Na I D EW typically saturate with such high values of EW (Poznanski, Prochaska & Bloom 2012), so we estimate a lower limit to the absorption along the line of sight through the relationship provided in Turatto, Benetti & Cappellaro (2003) for ‘low reddening’, obtaining a total absorption along the line of sight of  $A_V = 0.8 \pm 0.1$  mag. At the same time, we tried to make use of the homogeneity observed for this class of objects during the plateau (Pastorello et al. 2004; Spiro et al. 2014): we estimated the absorption necessary to bring the colour evolution of SN 2021aai closest to the colour evolution of a sample of LL SNe IIP (taken from Matheson et al. 2003; Pastorello et al. 2004, 2009) between 30 and 100 d. Similar procedures were already performed, for example for SN 2001dc (Pastorello et al. 2004). Through the method of the least squares, we obtained an absorption of  $A_V = 1.92 \pm 0.06$  mag ( $A_V = 2.09 \pm 0.06$  mag, accounting for the internal reddening of the Milky Way), which will be referred hereafter as ‘high reddening scenario’. To compare the colour evolution of SN 2021aai with the LL SNe IIP colours available in



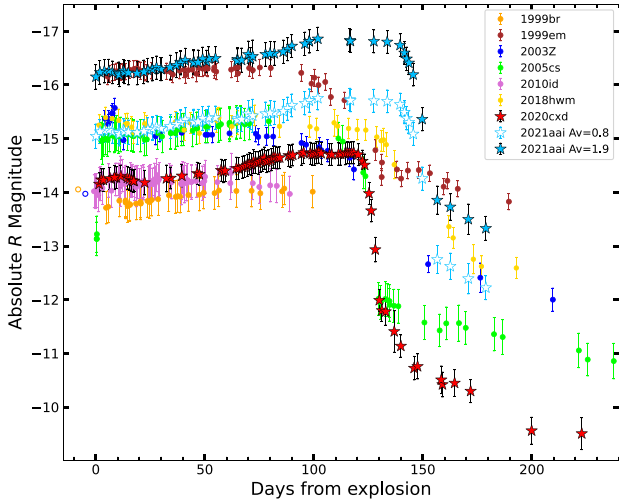
**Figure 2.** Optical and NIR light curves of SN 2021aai. Empty circles represent upper magnitude limits.

the chosen sample, we converted the  $r$  magnitudes (AB magnitudes system) into Johnson  $R$  magnitudes (Vega magnitude system) by applying a constant correction measured through spectrophotometry (we adopt  $r - R = 0.28$  mag, the average value measured during the plateau phase).

The apparent light curves obtained during the six months of follow-up are shown in Fig. 2. The rise to maximum was not observed, but the explosion epoch was well constrained at  $\text{MJD} = 59223.4 \pm 1.0$ , thanks to an upper limit ( $r > 20.5$  mag) obtained by ZTF just 2 d before the first detection. The plateau phase was unusually long-lasting, with a duration of 140 d: a tentative physical explanation will be discussed in Section 7. During the plateau, the  $r$  band displays a progressive brightening, spanning from  $-15.87$  to  $-16.57$  mag ( $\pm 0.23$  mag) in the high reddening scenario and from  $-14.77$  to  $-15.47$  mag in the low reddening scenario. A similar behaviour is recorded in the NIR, where the transient became 1 mag brighter in the  $J$ ,  $H$ , and  $K$  bands from 13 to 130 d. The  $g$  band evolution of SN 2021aai was different, with the transient reaching a peak magnitude of  $-16.41$  ( $-14.84$ ) mag at 5 d after the explosion, and then settling on a constant value of  $-15.68$  ( $-14.11$ ) mag up until the fall from the plateau in the high (low) reddening scenario. During the fall from the plateau, which was well-sampled in the  $r$  and  $i$  bands, there was a marked drop of 2.88 mag in 16 d.

### 3.3 Comparison with the LL SNe IIP class

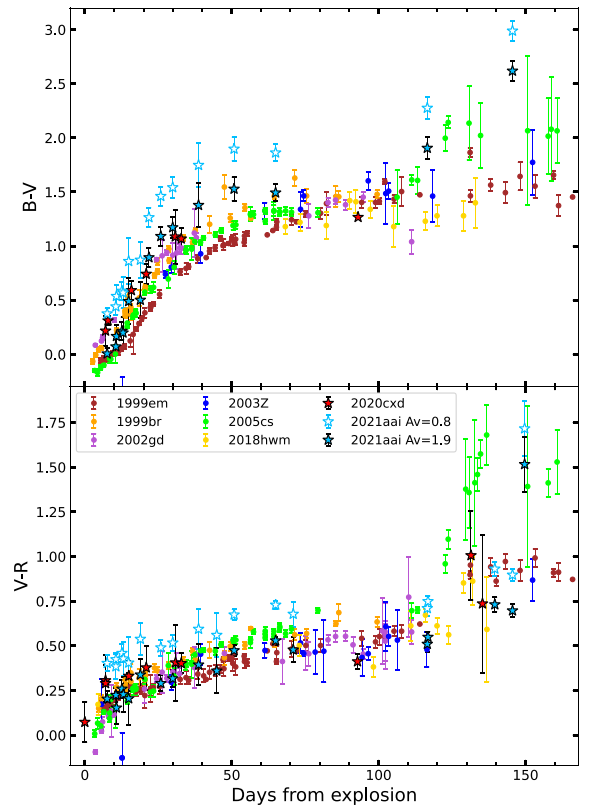
We compare SNe 2020cx and 2021aai with LL SNe II and a borderline standard SN IIP that have good photometric and spectroscopic coverage. For this reason, we choose SN 1999br (Pastorello et al. 2004), SN 1999em (Hamuy et al. 2001), SN 2003Z (Spiro et al. 2014), SN 2005cs (Pastorello et al. 2009), SN 2010id (Gal-Yam et al. 2011), and SN 2018hwm (Reguitti et al. 2021a). In Fig. 3, we plot the  $R$  band light curves for the chosen sample of faint SNe IIP. We convert the Sloan  $r$  magnitudes of SN 2018hwm, SN 2020cx, and SN 2021aai to Johnson  $R$  magnitudes by applying the constant correction discussed above for SN 2021aai ( $r - R = 0.16$  mag for SN 2020cx,  $r - R = 0.23$  mag for SN 2018hwm). While relatively brighter objects like SN 2005cs or SN 2018hwm display a plateau at  $M_r \sim -15$  mag, SN 2020cx lies towards the low luminosity end of core-collapse events, marked by the faint SN 1999br. SN 2021aai is located towards the brighter end of the peak luminosity distribution, especially in the high



**Figure 3.** Comparison of the  $R$  band evolution for a sample of SNe IIP, spanning from some of the faintest objects observed, like SN 1999br, up to events like SN 1999em, which are close to the standard SNe IIP.

reddening scenario, when it is comparable to the standard event SN 1999em. The difference in the plateau luminosity can be physically interpreted as a different mass and density profile of the recombining H powering the light curve, a different expansion velocity of the ejected gas, or a different initial radius of the exploding star. During the first 50 d of evolution, the light curve of SN 2020cx closely resembles that of SN 2010id. However, the two light curves become different after  $\sim 50$  d, when SN 2020cx shows a rebrightening while SN 2010id starts to fade. Indeed, both SNe 2020cx and 2021aai are characterized by an increase of brightness towards the end of the plateau. This behaviour is not unheard of, as shown by Galbany et al. (2016), and it is more common in the red bands of faint transients with long plateau phases. Indeed, the plateau of SN 2021aai is among the longest observed with a duration of 140 d, outlasting even the peculiar SN 2009ib (Takáts et al. 2015). For context, the average plateau duration for an SN IIP is  $83.7 \pm 16.7$  d (obtained for the  $V$  band by Anderson et al. 2014). In the low reddening scenario, SN 2021aai shows a late time brightness close to those of 2018hwm and 2003Z, while in the high reddening scenario, SN 2021aai is only  $\sim 0.4$  mag fainter than the standard event 1999em. On the other hand, SN 2020cx displays one of the faintest late time declines observed, even for LL SNe.

In Fig. 4, we display the  $B - V$  and  $V - R$  colour evolution of SNe 2020cx and 2021aai along with the colours observed for LL SNe IIP. Qualitatively, the behaviour of LL SNe IIP is quite homogeneous, as was already shown by Spiro et al. (2014). After a rapid increase in colour during the first 50 d ( $\sim 1.5$  mag increase in  $B - V$  and  $\sim 0.5$  mag increase in  $V - R$ ), the colours remain roughly constant up to  $\sim 120$  d, when SNe IIP typically fall from the plateau, leading to a final increase in colour as the transients become redder. The  $g - r$  colour curve of SN 2020cx, reported in the appendix (Fig. B1), shows an interesting behaviour after 120 d. We observe a steep increase in colour during the fall from the plateau, and a subsequent inversion in the trend as the colour  $g - r$  becomes bluer. Such feature was pointed out for the first time for SNe 1997D and 1999eu (Pastorello et al. 2004). As for SN 2021aai, it is possible to appreciate the difference in the colour evolution for the low and high reddening scenarios, respectively. By construction, in the high reddening scenario, the behaviour of SN 2021aai resembles that of the other LL SNe IIP.



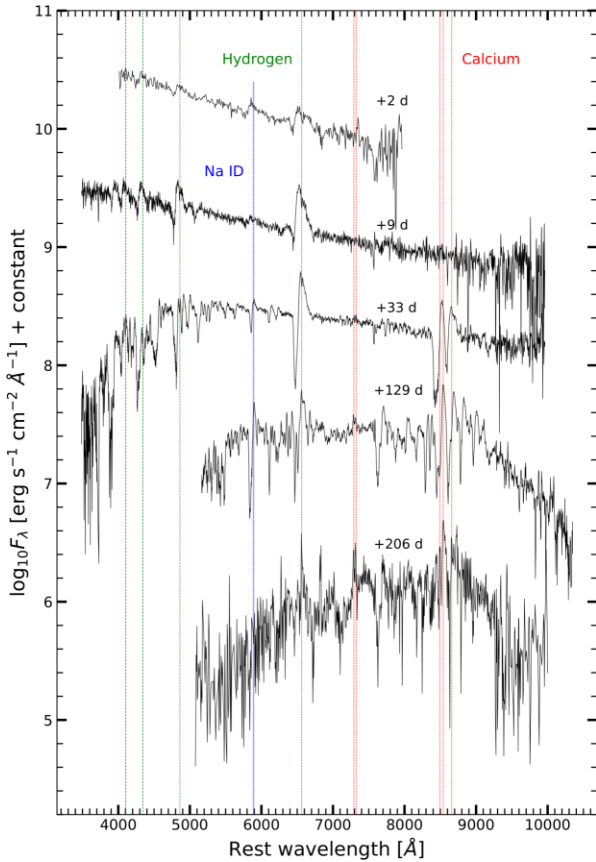
**Figure 4.**  $B - V$  and  $V - R$  colour evolution for some of the objects presented in Fig. 3. SN 2021aai is reported twice, both with the low and the high reddening correction discussed in the text.

## 4 SPECTROSCOPIC EVOLUTION

### 4.1 Spectroscopic features

Figs 5 and 6 show the spectral sequences for SNe 2020cx and 2021aai. The log of the spectroscopic observations is reported in Table 1. In the first two spectra of SN 2020cx, we notice a blue continuum: a blackbody fit yields a temperature of 10000 K at 2 d, which quickly declined to 8000 K at 9 d. Both spectra display prominent Balmer lines and few weaker lines, such as He I and Na I D displaying a P Cygni profile. The absence of the interstellar sodium absorption doublet leads us to estimate the internal absorption in the host galaxy as negligible. At 30 d, we notice the emergence of several new features: the Ca II NIR triplet ( $\lambda\lambda 8498, 8542, 8662$ ) appears on the red part of the spectrum (Fig. 5). On the blue part of the spectrum, several metal lines are identified, especially those of Fe II triplet 42 ( $\lambda\lambda 4924, 5018, 5169$ ), Sc II ( $\lambda\lambda 5669, 6246$ ), and Ba II ( $\lambda\lambda 6142, 6497$ ). We note that the absorption dip on top of the H $\alpha$  line that is visible in the spectra is due to an excessive subtraction of the host galaxy in the background. Some of the most prominent metal lines are highlighted in Fig. 7, where it is also possible to appreciate the similarities between the spectra of SN 2020cx and SN 2021aai.

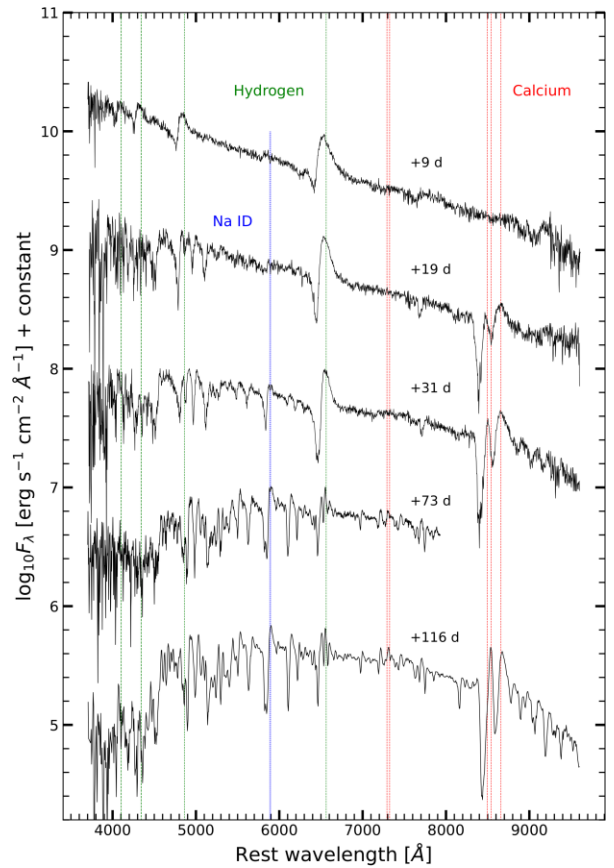
The spectral features mentioned so far are extensively observed in LL SNe (Pastorello et al. 2004; Spiro et al. 2014; Müller-Bravo et al. 2020; Reguitti et al. 2021a). The presence of a relevant amount of metals gives rise to ‘line blanketing’, where the flux in the bluest part of the spectrum is reduced by the metal absorption lines (see e.g. Moriya, Mazzali & Tanaka 2019). For this reason, when estimating the blackbody temperature from the continuum, it is important to



**Figure 5.** Optical spectra of SN 2020cxd. Dashed lines mark the position of the Balmer series lines, Ca II and Na I D lines. All spectra were corrected for reddening and redshift. Epochs are reported with respect to the explosion date.

exclude the blanketed region (indicatively, at wavelengths shorter than 5000 Å) from the fit. Taking this effect into account, the blackbody fit of the continuum at 31 d yields a temperature of 5460 K, in line with the expectations for H recombination. The last two spectra are taken after the drop from the plateau, during the late tail decline, when the [Ca II] doublet and Ca II NIR triplet become prominent.

In Fig. 6, we present the spectral evolution of SN 2021aai. We obtained a high quality sampling of the target during the plateau phase, but unfortunately it was impossible to follow the object after the fall from the plateau due to visibility constraints. The first spectrum, at 8 d, is dominated by H lines. The interstellar Na I D absorption doublet is identified, suggesting a significant line of sight reddening towards SN 2021aai (see Section 3). At later phases, the broad Na I D feature develops a clear P Cygni profile, at the same phases when the Ca II NIR triplet and the metal lines appear in the spectra. In Fig. 7, we compare the spectra at  $\sim 30$  d of SN 2020cxd and SN 2021aai with SN 1999br (Pastorello et al. 2004), SN 2005cs (Pastorello et al. 2009), and SN 1999em (Hamuy et al. 2001). The similarity among this sample of objects is striking, considering that they span over two magnitudes in peak luminosity. Besides the obvious P Cygni profile of H $\alpha$ , all the objects are characterized by evident Ca II NIR triplet lines, Sc II  $\lambda 6246$  and Fe II multiplet 42 ( $\lambda\lambda 4924\ 5018\ 5169$ ). The differences lie, of course, in the line velocities: the position of the minimum of the P Cygni profile and the width of the H $\alpha$  feature in SN 1999em suggests a significantly higher

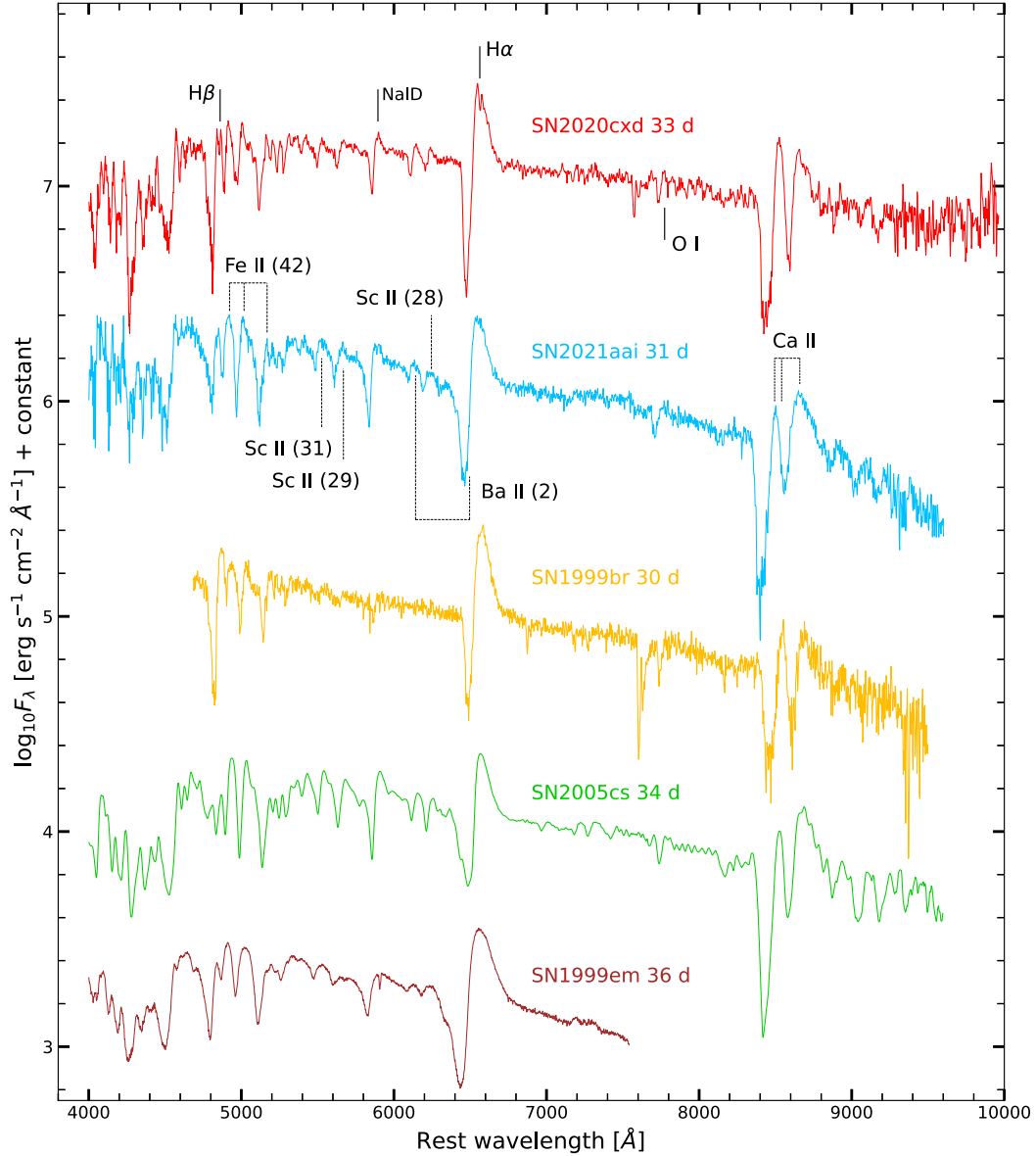


**Figure 6.** Optical spectra of SN 2021aai. Dashed lines mark the position of the Balmer series lines, Ca II and Na I D lines. All spectra were corrected for redshift and reddening according to the high reddening scenario. Epochs are reported with respect to the explosion date.

expansion velocity for this object, which separates this standard SN IIP from the other LL SNe shown.

## 4.2 Expansion velocities

We estimate the velocity of the expanding gas by measuring the position of the minimum of the P Cygni absorption profiles. Different species yield different expansion velocities, reflecting a different position where the line forms through the ejecta (Gutiérrez et al. 2017a). Due to higher optical depth, H $\alpha$  and H $\beta$  lines form in the outer layers of the expanding materials, therefore yielding higher velocities than other species. Fe II lines, especially those belonging to multiplet 42, have a lower optical depth, and have been widely used to estimate the expansion velocity of the ejecta at the photosphere (Hamuy 2003). The Sc II line  $\lambda 6246$  displays an even lower optical depth, and is sometimes used as a proxy for expansion velocity instead of the Fe II lines (e.g. Maguire et al. 2010). For SN 2020cxd in particular, the velocity measurements performed on the H $\alpha$  line showed that the line forming region moves in velocity space monotonically from 5900 km s $^{-1}$  at 2 d, to 2560 km s $^{-1}$  immediately after the drop from the plateau (134 d), and finally to 1020 km s $^{-1}$  at 245 d. The H $\alpha$  expansion velocity after 90 d is measured from the full width at half-maximum (FWHM) of the line, since the rise of the Ba II  $\lambda 6497$  makes it impossible to identify clearly the position of the minimum of the P Cygni profile. The results are reported in Table 2 and plotted in Fig. 8, along with



**Figure 7.** Comparison of SN 2020cxd and SN 2021aai together with LL SNe IIP (SN 1999br and SN 2005cs) and a standard event (SN 1999em). All spectra were collected between 30 and 36 d after explosion and corrected for redshift and reddening (in the high reddening scenario for SN 2021aai). The main spectral features characterizing LL SNe IIP (at rest wavelength) are marked on the spectra of SNe 2020cxd and 2021aai.

other values from LL SNe IIP taken from Pastorello et al. (2004), Pastorello et al. (2009), and Spiro et al. (2014). From the comparison with similar objects, we notice that SN 2020cxd displays low  $H\alpha$  and Sc II expansion velocities in the early phases (before 50 d), compatible with the values obtained for SN 1999br (Pastorello et al. 2004). Later epoch values, however, appear to be more in line with higher velocity objects like SN 2006ov (Spiro et al. 2014). It is important to notice, especially for the Sc II measurements at 95 d, that the resolution of the spectrum was poor, leading to a large error.

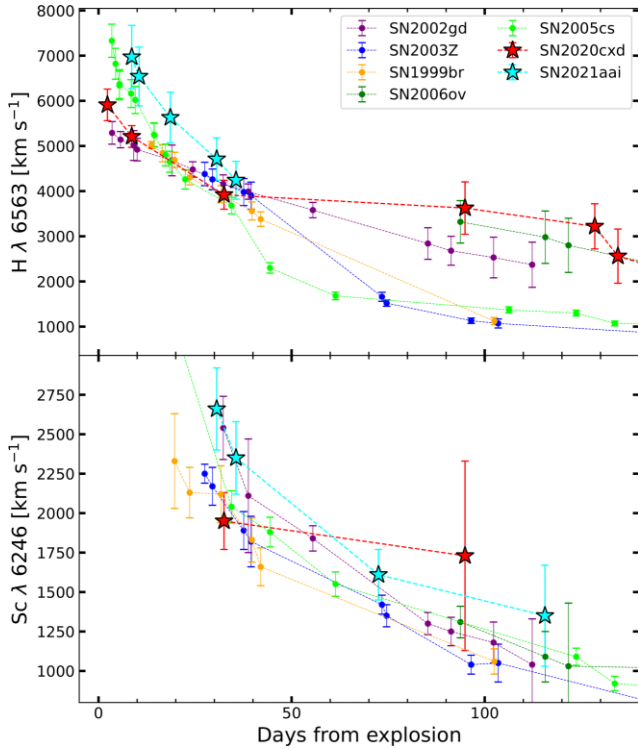
For SN 2021aai, the velocities measured from the  $H\alpha$  P Cygni profiles range from  $7000 \text{ km s}^{-1}$  at 8 d to  $4200 \text{ km s}^{-1}$  at 35 d. Subsequently, the rise of the Ba II  $\lambda 6497$  line in the absorption part of the P Cygni profile forces us to estimate the expansion velocities from the FWHM of the emission component of the  $H\alpha$  line, as previously

done by Yang et al. (2021) for SN 2020cxd. As already mentioned, metal lines are characterized by a lower optical depth, leading to their formation closer to the photosphere compared to H lines, which form in the outer layers of the ejecta and therefore yield higher velocity measurements. Both  $H\alpha$  and Sc II expansion velocities for SN 2021aai are shown in Fig. 8. SN 2021aai shows high velocities both in the H I and Sc II measurements, located consistently at the top end of the velocity distribution for the sample of objects considered. Since it is also among the most luminous LL SNe (adopting the high reddening scenario), this would favour the interpretation in which SNe IIP are characterized by a continuum of properties, spanning from LL SNe IIP to the most luminous ones, with brighter objects showing higher velocities and a larger ejected  $^{56}\text{Ni}$  mass, as suggested by Pastorello et al. (2004). Such correlation will be discussed in more detail in Section 6.



**Table 2.** Expansion velocities measured for relevant lines through the position of the minimum of the P Cygni absorption profile. All velocities are in  $\text{km s}^{-1}$ . Measurements for SN 2020cx and SN 2021aai taken at 94.9 and 134.5 d were performed on spectra presented in Yang et al. (2021).

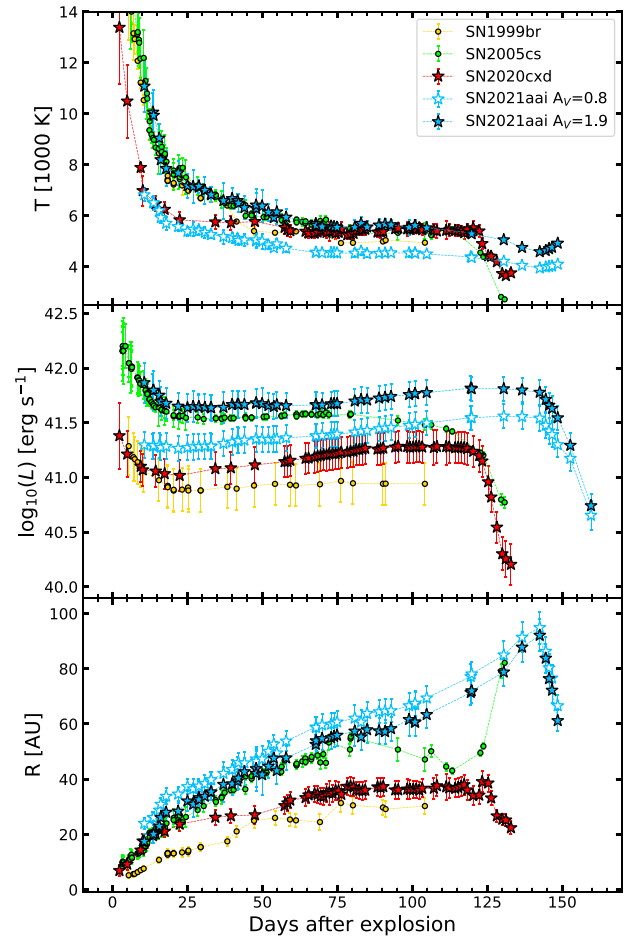
Phase (d)	Sc II $\lambda$ 6246	Fe II $\lambda$ 5169	H $\beta$	H $\alpha$
<b>SN 2020cx</b>				
2.3	—	—	5670 (430)	5910 (350)
8.6	—	4800 (600)	5240 (400)	5210 (240)
32.5	1950 (180)	3020 (250)	3520 (300)	3920 (320)
94.9	1730 (600)	—	—	3625 (580)
128.5	—	—	—	3220 (500)
134.5	—	—	—	2560 (600)
<b>SN 2021aai</b>				
8.5	—	—	6480 (970)	6970 (700)
10.5	—	5180 (620)	6170 (930)	6540 (650)
18.5	—	3850 (480)	4810 (720)	5630 (560)
30.5	2660 (400)	3020 (420)	3580 (540)	4710 (470)
35.6	2350 (350)	2500 (380)	2840 (430)	4240 (420)
72.5	1610 (240)	1970 (340)	—	—
115.5	1350 (320)	—	—	—



**Figure 8.** Expansion velocities measured on the H  $\alpha$  and Sc II  $\lambda$  6246 lines. The values obtained for SN 2020cx and SN 2021aai are compared with those of other LL SNe IIP.

## 5 BLACKBODY FITTING

In order to estimate physical parameters characterizing SNe 2020cx and 2021aai, we perform blackbody fits both on our photometric data and on our spectra. For the spectra, we use the `nfit1d` task in the IRAF package `stsdas`, fitting the continuum with a blackbody function. For the fit of the photometric points, we perform a Monte Carlo simulation for each epoch, fitting with the PYTHON



**Figure 9.** Temperature, luminosity, and radius evolution of SNe 2020cx and 2021aai, along with SN 1999br and SN 2005cs for comparison. See text for the details about the blackbody fitting procedure.

tool `curve_fit`<sup>5</sup> 200 sets of fluxes randomly generated with a Gaussian distribution centred on the measured value, and  $\sigma$  equal to the measured error. Such a procedure is described in detail in Pastorello et al. (2021). After obtaining a blackbody fit to the SED of the target (which already yields the temperature), we integrate it over wavelength and obtain the total flux emitted. Adopting the distances given in Section 3 and assuming spherical symmetry, we calculate the bolometric luminosity of the source. It is relevant to note that when estimating the temperature after  $\sim 30$  d, we exclude the regions heavily affected by line blanketing since they would misleadingly reduce the estimated temperature: therefore we rely on  $V$ ,  $r$ ,  $o$ ,  $i$ ,  $z$  bands. When estimating the bolometric luminosity, instead, at each epoch, we performed the blackbody fit on all the available pass-band fluxes. Finally, the radius is estimated through the Stefan–Boltzmann law.

The temperature, luminosity, and radius obtained for SNe 2020cx and 2021aai are presented in Fig. 9, together with the same values for SN 1999br (Pastorello et al. 2004) and SN 2005cs (Pastorello et al. 2006) obtained with the procedure described above. On the top panel, we see that SN 2020cx displayed a very hot continuum ( $> 13000$  K) at 2 d, quickly declining over the following days. At 22 d, the temperature has already settles at  $\sim 5500$  K, corroborating

<sup>5</sup>[https://docs.scipy.org/doc/scipy/reference/generated/scipy.optimize.curve\\_fit.html](https://docs.scipy.org/doc/scipy/reference/generated/scipy.optimize.curve_fit.html)



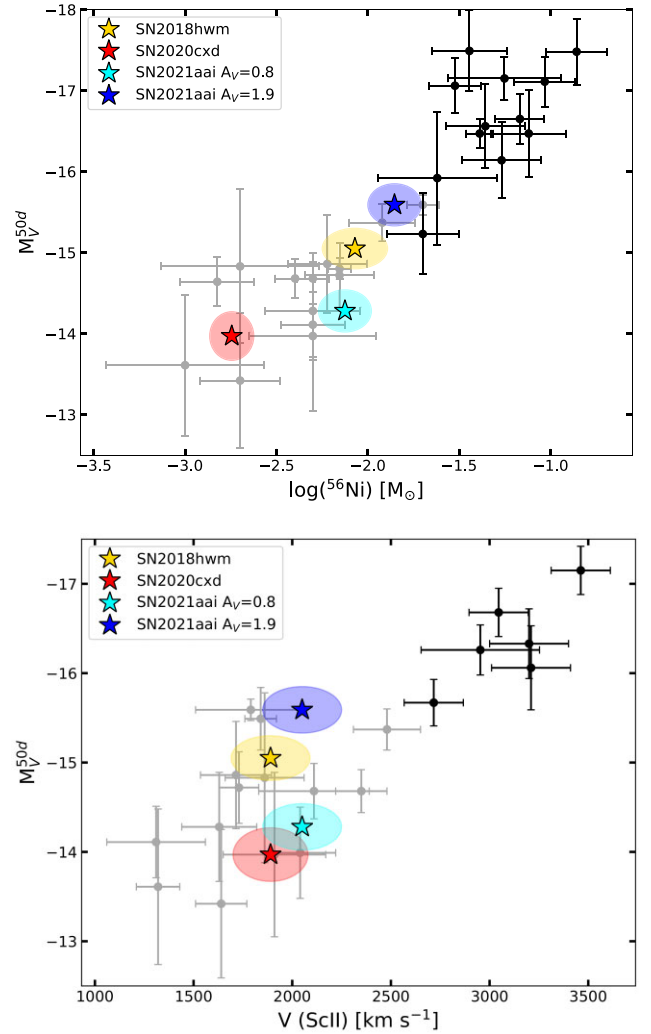
the results obtained in Section 4. At 121 d, the temperature starts declining, along with the luminosity, as the object fades from the plateau. The bolometric luminosity of SN 2020cxd is presented in the middle panel of Fig. 9 and shows a clear dip from  $2.4 \times 10^{41} \text{ erg s}^{-1}$  at 2 d to  $1.0 \times 10^{41} \text{ erg s}^{-1}$  at 22 d. During the following 90 d the transient steadily rebrightens, reaching  $1.9 \times 10^{41} \text{ erg s}^{-1}$  at 111 d, before finally falling from the plateau at 120 d. The radius (bottom panel) of the emitting blackbody quickly rises from 7 to 26 au in the first 30 d, followed by a slower increase. Between 50 and 120 d, the emitting radius remains roughly constant at  $\sim 35$  au. When SN 2020cxd is fading from the plateau, the radius shows a decrease, which can be interpreted as the photosphere receding before the ejecta finally becomes transparent. We do not fit a blackbody to the epochs in the linear decline, as the transient is transitioning from the photospheric to the nebular phase, where the luminosity is mostly supported by lines rather than continuum opacity.

For SN 2021aai, we discuss both the low reddening case with  $A_V = 0.8$  mag, obtained through the Na I D doublet absorption EW, and the high reddening case with  $A_V = 1.9$  mag, obtained through the colour comparison with other LL SNe IIP. The low reddening scenario is characterized by lower temperatures at all epochs, with a plateau temperature of only 4300 K. The high reddening scenario is much more promising in this situation, since the plateau temperature of SN 2021aai overlaps with the rest of the sample, at around 5500 K. In particular, SN 2021aai in the high reddening case displays the same temperature evolution as SN 2005cs, and it is only marginally brighter when considering the bolometric luminosity. The clearest difference between the two objects is the duration of the plateau: for SN 2005cs, the plateau ends  $\sim 120$  d after the explosion, but the luminosity starts fading by  $\sim 75$  d. SN 2021aai, on the other hand, is definitely longer-lasting. In the high reddening scenario, its bolometric luminosity has an early peak ( $7.2 \times 10^{41} \text{ erg s}^{-1}$ ), similar to the other LL SNe IIP considered. After a few weeks of dimming, SN 2021aai luminosity increases from  $4.3 \times 10^{41} \text{ erg s}^{-1}$  at 25 d to  $6.5 \times 10^{41} \text{ erg s}^{-1}$  at 130 d before the fall from its plateau. On the other hand, in the low reddening scenario, there is no evidence of the early luminosity peak, and the bolometric luminosity steadily increases from  $1.9 \times 10^{41} \text{ erg s}^{-1}$  to  $3.6 \times 10^{41} \text{ erg s}^{-1}$  during the plateau phase. Unfortunately, we do not have enough multiband observations or spectra during the first 10 d to perform a blackbody fit to confirm if the similarity between SN 2021aai (in the high reddening scenario) and SN 2005cs is present in the earliest phases. The larger luminosity of SN 2021aai compared to SN 2020cxd leads to an estimate of a larger radius, given that their plateau temperature was comparable. While starting off with similar values, the emitting radius of SN 2021aai grows much more than the one of SN 2020cxd, up to 95 au at 143 d after the explosion. This behaviour appears to be unusual, compared to the other objects, where the radius varies significantly less during the plateau phase.

## 6 $^{56}\text{Ni}$ ESTIMATE

The late tail of the light curve of SNe IIP is powered by the  $^{56}\text{Ni} \rightarrow ^{56}\text{Co} \rightarrow ^{56}\text{Fe}$  decay chain, which deposits energy into the expanding gas in the form of photons and positrons (Colgate & McKee 1969). We estimate the ejected mass of  $^{56}\text{Ni}$  through a comparison of the late time luminosity with the well studied SN 1987A, as previously done for other LL SNe IIP (e.g. Pastorello et al. 2004; Spiro et al. 2014; Tomasella et al. 2018), through the following equation:

$$M(^{56}\text{Ni})_{SN} = M(^{56}\text{Ni})_{1987A} \times \frac{L_{SN}}{L_{1987A}}, \quad (1)$$



**Figure 10.** Top panel: V band absolute magnitude at 50 d versus  $^{56}\text{Ni}$  ejected mass. LL SNe are shown in grey (Pastorello et al. 2004; Spiro et al. 2014; Jäger et al. 2020), while standard SNe IIP are shown in black (Rodríguez et al. 2020). Some relevant objects are reported as coloured stars, with their errors shown as elliptical regions. Lower panel: same as top panel, but with expansion velocity of Sc II  $\lambda 6246$  (Maguire et al. 2010) instead of  $^{56}\text{Ni}$  mass.

where we adopt a value for the  $^{56}\text{Ni}$  ejected mass by SN 1987A of  $0.073 \pm 0.012 M_\odot$ , which is the weighted average of the values reported in Arnett & Fu (1989) and Bouchet, Danziger & Lucy (1991). Due to a lack of information in the NIR during the late decline, we have to perform some approximations. We compare the integrated luminosity in the observed bands ( $r, i, z$ ) for our objects with the luminosity integrated through the same wavelength ranges for SN 1987A (since SDSS filters were not available at the time). With this method, we obtain for SN 2020cxd  $(1.8 \pm 0.5) \times 10^{-3} M_\odot$  of synthesized  $^{56}\text{Ni}$ , quite low compared to the typical value of few  $10^{-2} M_\odot$  for an SN IIP event (see, for example  $M(^{56}\text{Ni})_{\text{avg}} = 0.033 \pm 0.024 M_\odot$ , obtained by Anderson et al. 2014). For SN 2021aai, we obtain a value of  $(7.5 \pm 2.5) \times 10^{-3} M_\odot$  for the low reddening scenario and  $(1.4 \pm 0.5) \times 10^{-2} M_\odot$  for the high reddening scenario, which is still a factor of 2 below the average SN IIP event reported by Anderson et al. (2014).

In Fig. 10, we display the locations of SN 2020cxd and SN 2021aai in the diagrams comparing peak magnitude versus  $^{56}\text{Ni}$  ejected mass,

and peak magnitude versus expansion velocity, as done by Hamuy (2003) for SNe IIP. As we can see, there is no clear separation between standard and LL SNe IIP, but rather a smooth transition between the two classes. According to the classification adopted in the literature, SN 2021aai in the high reddening scenario would be in the transition region between low luminosity and standard objects, when considering the  $^{56}\text{Ni}$  ejected mass. Instead, SN 2020cxid is definitely in the lowest end of the parameter spectrum. Considering the expansion velocities measured with Sc II  $\lambda 6246$ , instead, both objects display average values for LL SNe IIP.

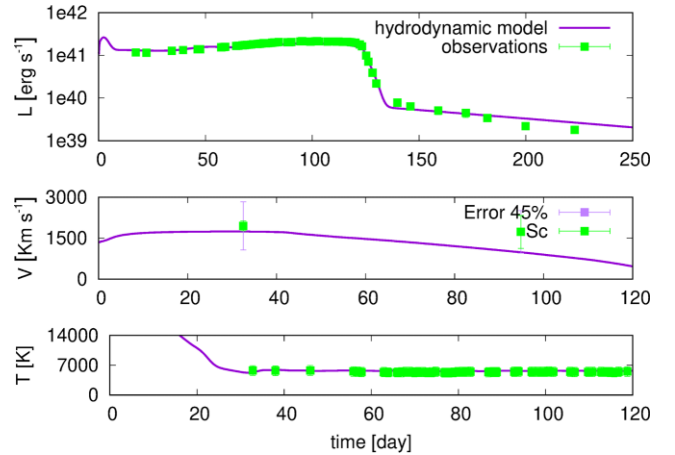
## 7 HYDRODYNAMICAL MODELLING

### 7.1 Model details

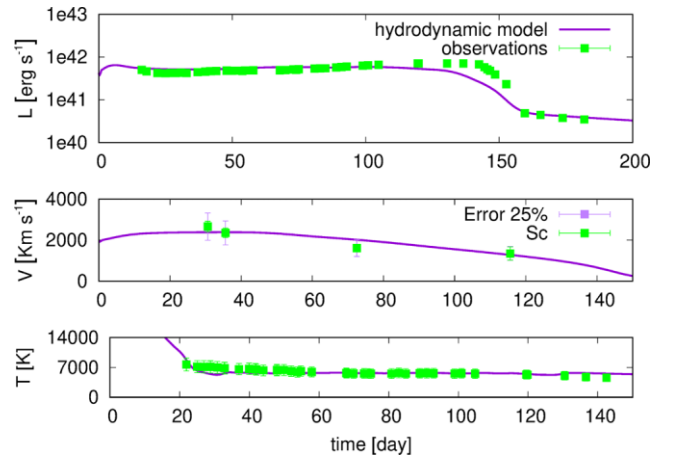
In order to estimate the physical properties of SNe 2020cxid and 2021aai at the explosion time (progenitor radius  $R$ , explosion energy  $E$ , total ejected mass  $M_{\text{ej}}$ ), we use the hydrodynamical modelling procedure presented in detail in Pumo et al. (2017), and already well-tested on both faint and standard SNe IIP (e.g. Spiro et al. 2014; Takáts et al. 2014; Tomasella et al. 2018; Reguitti et al. 2021a). The procedure consists of a simultaneous  $\chi^2$  minimization aiming at reproducing the observed bolometric luminosity, expansion velocity and photospheric temperature. This operation is performed in two distinct steps. Firstly, a preliminary investigation is carried out through the model presented by Zampieri et al. (2003), solving the energy balance equation under the assumptions of ejecta with constant density in homologous expansion. The parameters obtained during this first fit lay down the framework on which the subsequent detailed calculations are based. The second step makes use of a general-relativistic, radiation-hydrodynamics Lagrangian code (Pumo, Zampieri & Turatto 2010; Pumo & Zampieri 2011), which reproduces the main observables of the SN, from the onset of the plateau phase up to the nebular phase. The code takes into account the gravitational effects of the compact remnant left by the core collapse and the energy input from the decay of radioactive isotopes synthesized during the explosion. It is important to note that we did not try to reproduce the early phase of the explosions ( $\sim 15$ – $20$  d after explosion), since temperature and luminosity during this phase are significantly affected by emission from the outermost shell of the ejecta, which is not in homologous expansion, rendering the assumptions in our model inaccurate. The best-fitting models for SNe 2020cxid and 2021aai are shown in Figs 11 and 12, respectively.

### 7.2 SN 2020cxid results and progenitor scenarios

Adopting the  $^{56}\text{Ni}$  masses inferred in Section 6 and the well-constrained explosion epochs in Section 3, we find the initial parameters of the progenitor of SN 2020cxid to be:  $R = 4 \times 10^{13}$  cm ( $\sim 575 R_{\odot}$ ),  $M_{\text{ej}} = 7.5 M_{\odot}$ , and  $E = 0.097$  foe (sum of kinetic and thermal energy). The errors on the free model parameters reported due to the  $\chi^2$  fitting procedure are about 15 per cent for  $M_{\text{ej}}$  and  $R$ , and 30 per cent for  $E$ . To obtain the main sequence (MS) mass of the progenitor star of SN 2020cxid, we need to account for the compact remnant produced by the core collapse ( $1.3$ – $2.0 M_{\odot}$ ) as well as the mass lost during the pre-SN evolutionary phases ( $\lesssim 0.1$ – $0.9 M_{\odot}$ , as prescribed in Pumo et al. 2017). Considering these corrections, the MS mass of the progenitor of SN 2020cxid is estimated to be  $8.9$ – $10.4 M_{\odot}$ . We note that despite the different methodology applied, our results are consistent with those obtained by Kozyreva et al. (2022):  $M_{\text{ej}} = 7.4 M_{\odot}$ ,  $E = 0.07$  foe, and  $R = 408 R_{\odot}$ .

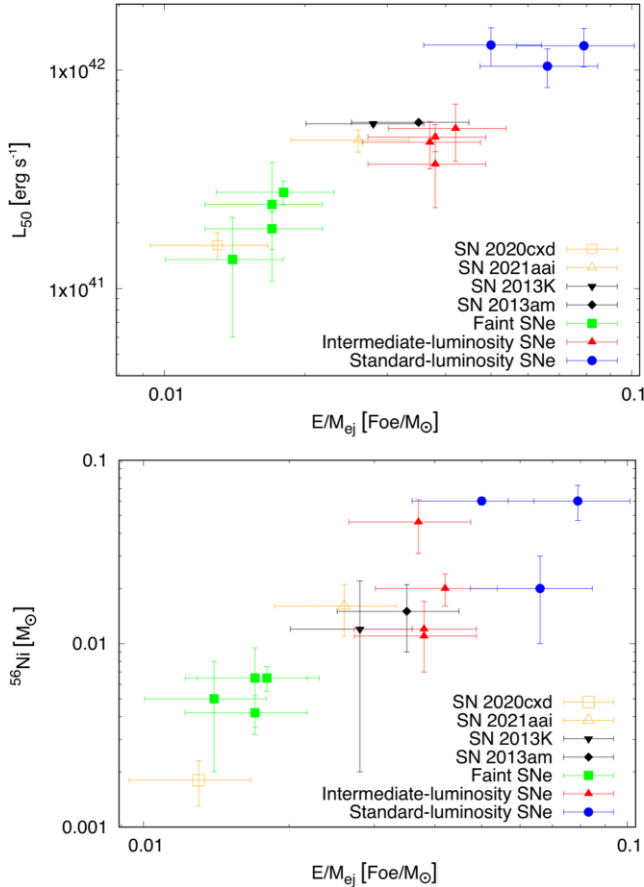


**Figure 11.** Evolution of the main observables of SN 2020cxid compared to the best hydrodynamical model. The parameters characterizing the displayed fit are  $R = 4 \times 10^{13}$  cm ( $\sim 575 R_{\odot}$ ),  $M_{\text{ej}} = 7.5 M_{\odot}$ , and  $E = 0.097$  foe (see text for details). In the top panel, the bolometric luminosity is displayed. In the middle panel, the photospheric velocity obtained through the Sc II lines as described in Section 4. Notice that the second velocity measurement is affected by a large error due to poor spectral resolution, as displayed in Fig. 8: for this reason a percentage error of 45 per cent is shown to account for the difference between that measure and the model. Finally, the temperature evolution is shown in the bottom panel.



**Figure 12.** Same as Fig. 11, but for SN 2021aai in the high reddening scenario. The parameters characterizing the displayed fit are  $R = 4 \times 10^{13}$  cm ( $\sim 575 R_{\odot}$ ),  $M_{\text{ej}} = 15.5 M_{\odot}$ , and  $E = 0.4$  foe (see text for details). In this case, the observed Sc II lines velocities are more reliable, and better reproduced by the model. At the same time, the bolometric luminosity shows a more extended plateau compared to our fit.

The parameters estimated through hydrodynamical modelling are compatible with what is expected for a red supergiant (RSG) star. The radius is within the  $500$ – $1500 R_{\odot}$  range associated with RSG, although leaning towards the lower end of the distribution, as reported in the review of Smartt (2009). Furthermore, the progenitor initial mass is just above the  $8 \pm 1 M_{\odot}$  threshold that defines the minimum progenitor mass needed to produce an IIP explosion, based on direct detections of RSG progenitors of SNe IIP (Smartt 2009). For these reasons, SN 2020cxid could be explained by the explosion of a low mass RSG, resulting in the emission of a limited amount of energy compared to the explosion of more massive RSG. This corroborates the scenario where more massive RSG explode in SNe that are



**Figure 13.** Correlations between the plateau luminosity (top panel) and  $^{56}\text{Ni}$  mass (bottom panel) with the  $E/M_{\text{ej}}$  ratio. LL SNe are coloured in green, standard SN IIP are shown in blue, while transitional objects are displayed in red and black (Pumo et al. 2017; Tomasella et al. 2018). SNe 2020cx and 2021aai are marked with orange symbols.

brighter and with faster ejecta compared to the explosion of less massive RSGs, which most likely produce LLSNe IIP (Pastorello et al. 2004; Tomasella et al. 2018). In this context, we display in Fig. 13 the correlation between the plateau luminosity and  $^{56}\text{Ni}$  with the parameter  $E/M_{\text{ej}}$ , as in Pumo et al. 2017 (see their table 2, figs 5 and 6), including also the two ‘intermediate-luminosity’ objects presented in Tomasella et al. 2018 (i.e. SNe 2013K and 2013am). Like in Pumo et al. 2017 (to which we refer for details), the error bars on the  $E/M_{\text{ej}}$  ratios are estimated by propagating the uncertainties on  $E$  and  $M_{\text{ej}}$ , adopting a value of 30 per cent for the relative errors of  $E$  and 15 per cent for that of  $M_{\text{ej}}$ . Both in the top and bottom panels of Fig. 13, SN 2020cx is at the very end of the distribution of SN IIP, due to the low  $E/M_{\text{ej}}$  ratio inferred for the explosion and the relatively low amount of  $^{56}\text{Ni}$  synthesized.

Considering its faint nature and the inferred best-fitting model parameters, SN 2020cx also appears to be a fair candidate for being an ECSN from a super-asymptotic giant branch (super-AGB) star. The estimated mass of the progenitor is close to the upper limit of the mass range typical of this class of stars,  $M_{\text{mas}}$  (see Pumo et al. 2009 and references therein). This seems to corroborate the results of Pumo et al. (2017), showing that some faint SNe IIP may also be explained in terms of ECSNe involving massive super-AGB stars. To investigate this scenario in more detail, we compare the photometric and spectroscopic properties of SN 2020cx with those of other ECSN candidates in Appendix A. We note, however, that we lack

conclusive evidence to confidently discriminate between an ECSN scenario and a standard faint SN IIP event with an RSG progenitor.

### 7.3 SN 2021aai results and progenitor scenarios

We also perform hydrodynamic modelling of SN 2021aai in the high reddening scenario, assuming it is the most reliable of the two (Fig. 12). We obtained  $R = 4 \times 10^{13} \text{ cm}$  ( $\sim 575 R_{\odot}$ ),  $M_{\text{ej}} = 15.5 M_{\odot}$ , and  $E = 0.4 \text{ foe}$ . Given the higher energy and ejected mass compared to SN 2020cx, we favour the scenario where an RSG explodes through an iron core collapse, excluding the ECSN origin for SN 2021aai. Pumo et al. (2017) analyse a sample of objects with physical properties in between those of LL SNe IIP and standard SNe IIP. This small group of transients includes SNe 2008in, 2009N, 2009ib, and 2012A, with the subsequent addition of SNe 2013K and 2013am (Tomasella et al. 2018). Their plateau magnitudes are typically brighter than  $M_r \sim -16 \text{ mag}$ , and the inferred  $^{56}\text{Ni}$  mass synthesized ( $> 10^{-2} M_{\odot}$ ) is comparable with that of standard IIP events. However, when considering the parameter  $E/M_{\text{ej}}$ , Pumo et al. (2017) note that these objects make up a transitional group which is labelled ‘intermediate-luminosity’ SNe IIP. In Fig. 13, it is possible to appreciate that SN 2021aai belongs to this category of events which bridge the classes of LL SNe IIP and standard SNe IIP. In particular, comparing SN 2021aai with SNe 2013K and 2013am, we note that the three objects have similar plateau luminosity as well as similar synthesized  $^{56}\text{Ni}$  masses. Despite the different values for  $E$  and  $M_{\text{ej}}$  estimated from hydrodynamical modelling (0.34 foe, 0.4 foe and  $12 M_{\odot}$ ,  $11.5 M_{\odot}$ , respectively for SNe 2013K and 2013am), when considering the ratio  $E/M_{\text{ej}}$ , the three objects appear to stick together, separated from both the standard IIP events and the LL SNe IIP.

As we remark in Section 3, this transient is characterized by an extended plateau phase, lasting  $\sim 140 \text{ d}$ . This feature is not well reproduced by our hydrodynamical model, which predicts a shorter plateau compared to observations (Fig. 12, top panel). This difference between the model and the observations could probably be explained in terms of a peculiar distribution of the  $^{56}\text{Ni}$  within the ejected material. In fact, keeping constant the basic parameters of the models (i.e.  $M_{\text{ej}}$ ,  $R$ ,  $E$  and the total amount of  $^{56}\text{Ni}$  initially present in the ejected envelope), different degrees of  $^{56}\text{Ni}$  mixing primarily lead to different plateau durations (see e.g. Fig. 11 in Pumo & Zampieri 2013). In particular, a lower degree of  $^{56}\text{Ni}$  mixing (i.e. models where the Ni is more confined to the central region of the ejecta) is linked to a longer plateau, as observed for SN 2020cx. We also perform some preliminary hydrodynamical modelling of SN 2021aai in the low reddening scenario. Firstly, we notice that the plateau temperature of 4300 K was too low to be fitted by our models, which makes the high reddening a more reliable scenario. Fitting only the bolometric light curve and the expansion velocities, we obtain values of  $R$  and  $E$  reduced by a factor of  $\sim 1.5$ – $2$  and a ratio  $E/M_{\text{ej}}$  almost unchanged compared to the high reddening scenario.

## 8 SUMMARY AND CONCLUSIONS

We present optical photometry and spectroscopy for two LL SNe IIP: 2020cx and 2021aai. SN 2020cx appears to be sub-luminous even compared to other transients in its class, with an absolute magnitude of  $M_r = -14.02 \pm 0.21 \text{ mag}$  at the start of the plateau, making it one of the faintest LL SNe IIP observed to date. On the other hand, SN 2021aai is a transitional object between LL SNe IIP and more standard SN IIP events, once corrected for the large extinction affecting the target ( $A_V = 1.9 \text{ mag}$ ). Both transients display spectra



that perfectly match those of other LL SNe IIP (Pastorello et al. 2004; Spiro et al. 2014), characterized by H lines in the early phases and followed by the rise of metal lines (mainly Fe II, Sc II, Ba II, [Ca II], and Ca II NIR triplet) during the plateau phase. The expansion velocities obtained by measuring the position of the minimum of the P Cygni line profile, well visible for most lines, yields velocities of few  $10^3 \text{ km s}^{-1}$ , below those of standard SNe IIP, but in line with what was observed for LL SNe IIP. The temperature trend obtained through spectral energy distribution fitting shows a very rapid decline during the early phases, dropping to  $\sim 5500 \text{ K}$  at  $\sim 30 \text{ d}$  after explosion and maintaining this temperature throughout the plateau phase, as expected for H recombination. After fading from the plateau, both objects settle on the linear decline powered by the  $^{56}\text{Ni}$  decay chain. By comparing their late time luminosity with that of SN 1987A at the same phase, we estimate the  $^{56}\text{Ni}$  synthesized to be  $1.8 \pm 0.5 \times 10^{-3} M_{\odot}$  for SN 2020cxd and  $1.4 \pm 0.5 \times 10^{-2} M_{\odot}$  for SN 2021aai (considering the high reddening scenario).

We also perform hydrodynamical modelling of our targets using the procedure described in Pumo et al. (2017), which uses the general-relativistic, radiation-hydrodynamics, Lagrangian code presented in Pumo & Zampieri (2011). The physical parameters of the progenitor star of SN 2021aai at the moment of explosion are  $R = 4 \times 10^{13} \text{ cm}$  ( $\sim 575 R_{\odot}$ ),  $M_{\text{ej}} = 15.5 M_{\odot}$  and  $E = 0.4 \text{ foe}$ . These values are consistent with the explosion of an RSG star after the collapse of its iron core (Wheeler & Swartz 1993). The transitional properties of SN 2021aai, linking LL SNe IIP and standard SN IIP events, are evident when considering its  $E/M_{\text{ej}}$  ratio (Fig. 13). The interpretation of the parameters obtained for SN 2020cxd is more nuanced. The best fit yields  $R = 4 \times 10^{13} \text{ cm}$  ( $\sim 575 R_{\odot}$ ),  $M_{\text{ej}} = 7.5 M_{\odot}$  and  $E = 0.097 \text{ foe}$ , values which can be compatible with the iron core collapse explosion of a low-mass ( $8.9\text{--}10.4 M_{\odot}$ ) RSG, but they are also consistent with an explosion triggered by electron captures involving a massive super-AGB (i.e. with an initial mass close to the upper limit of the mass range typical of this class of stars,  $M_{\text{mas}}$ ; see Pumo et al. 2009, and references therein). In conclusion, we analyse two objects spanning the brightest and faintest edges of the LL SNe IIP class, with SN 2021aai bridging the low-luminosity class with more traditional SNe IIP, and SN 2020cxd being so faint that it can be reasonably considered a possible ECSN candidate.

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## DATA AVAILABILITY

The data underlying this article are available in the article and in its online supplementary material.

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## SUPPORTING INFORMATION

Supplementary data are available at *MNRAS* online.

**Table S1.** Photometric data in the Sloan filters collected for SN 2020cxd (AB mag).

**Table S2.** Photometric data collected with Johnson filters for SN 2020cxd (Vega mag).

**Table S3.** Photometric data collected through the ATLAS survey for SN 2020cxd (AB mag).

**Table S4.** Photometric data in the Sloan filters collected for SN 2021aai (AB mag).

**Table S5.** Photometric data in the Johnson filters collected for SN 2021aai (Vega mag).

**Table S6.** Photometric data collected through the ATLAS survey for SN 2021aai (AB mag).

**Table S7.** Photometric data collected in the NIR for SN 2021aai (Vega mag).

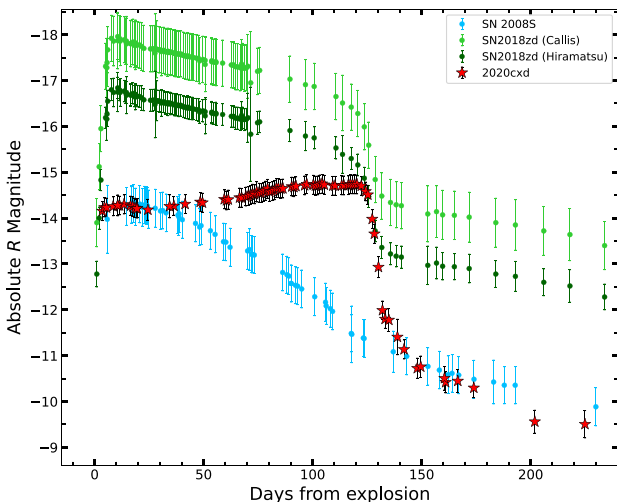
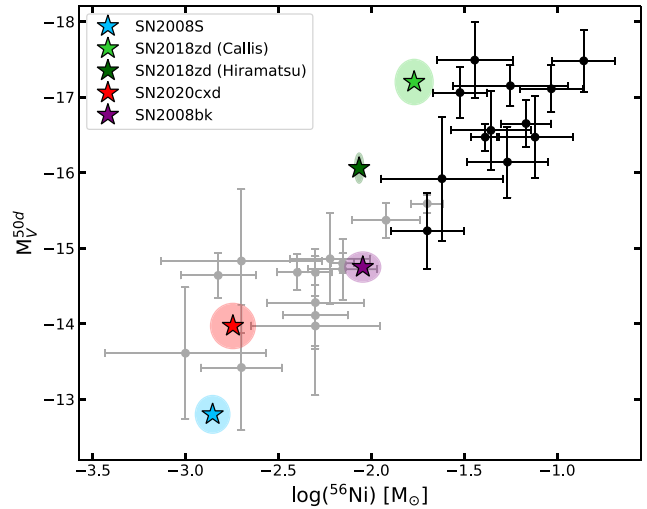
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## APPENDIX A: COMPARISON BETWEEN ECSN CANDIDATES

Given the possibility of SN 2020cxid originating from an ECSN scenario, as highlighted in Section 7.2, in this appendix we present a comparison between SN 2020cxid and other ECSN candidates. The first object we selected for this purpose is the peculiar type II SN 2018zd (Hiramatsu et al. 2021). Hiramatsu et al. (2021) found several indicators favouring the ECSN event for this transient, in particular the chemical composition of the progenitor and the results of the nucleosynthesis, the light curve morphology, and the presence of CSM. We also chose to include in this small sample SN 2008S (Botticella et al. 2009), taken as a prototype of ILRTs. This class was associated to ECSNe due to their faintness (e.g. Humphreys et al. 2011), their progenitors (Prieto 2008; Thompson et al. 2009), and the presence of circumstellar material, clearly evident in all their spectra, which corroborates their origin from a Super-AGB progenitor.

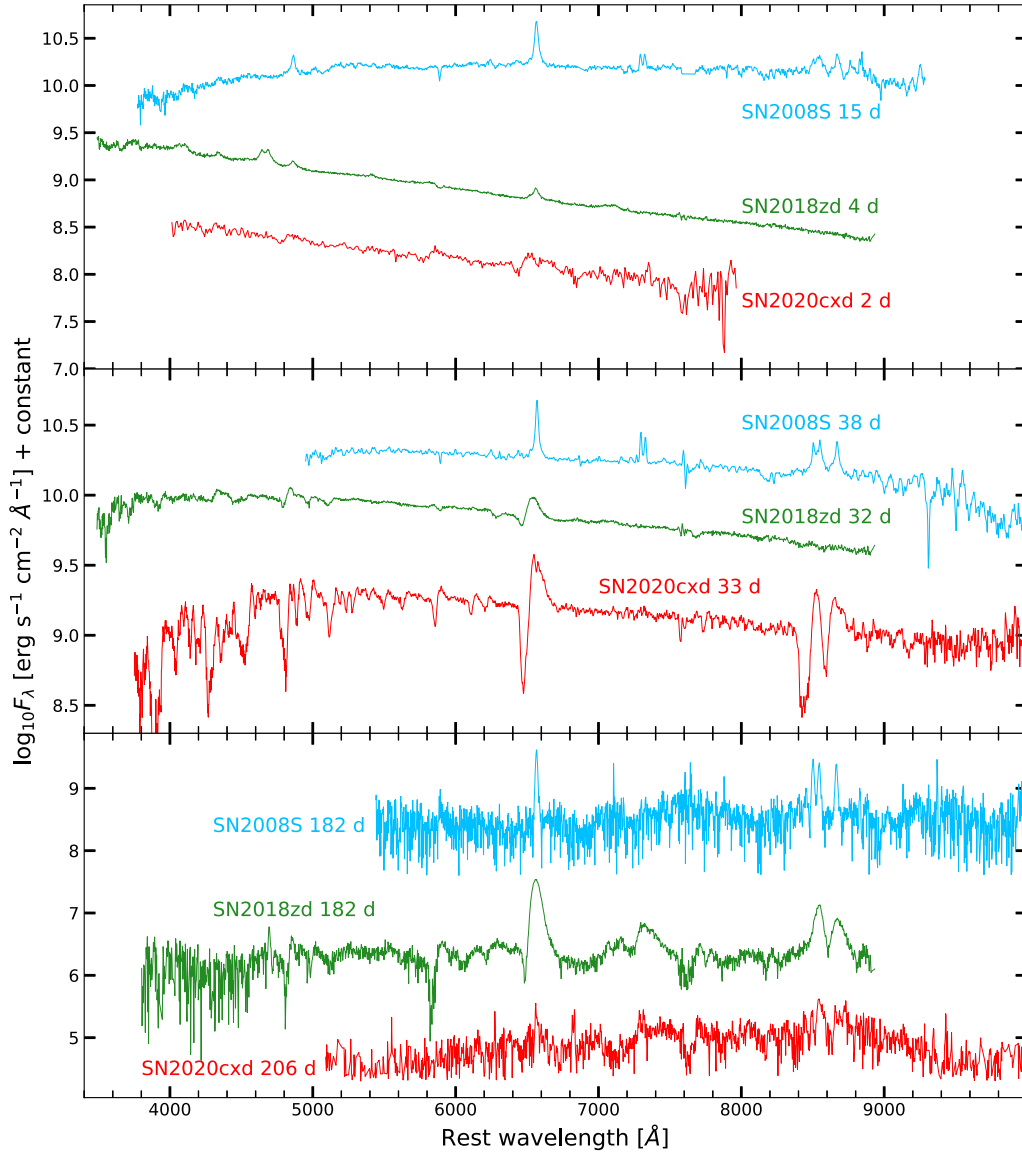
In Fig. A1, we show the *R* band (correction between *R* and *r* bands were applied as discussed in Section 3.2) light curves of the three transients mentioned. For SN 2018zd we adopt both distances reported in Hiramatsu et al. (2021) and Callis et al. (2021). The increase in brightness during the plateau of SN 2020cxid is striking, since it is the only object displaying this behaviour. SN 2018zd shows perhaps a more canonical plateau, slightly declining in brightness over the course of  $\sim 120$  d. The late time decline of SN 2008S is almost coincident with that of SN 2020cxid.

To better visualize the relationship between these objects and the data shown in Section 6, in Fig. A2, we plot SN 2008S and SN 2018zd on the  $M_V - {}^{56}\text{Ni}$  diagram already shown in Fig. 10. We note that a tight relationship between these two quantities was found for SNe IIP, but SN 2008S fits remarkably well in the lower end

**Figure A1.** Light curve comparison between SN 2020cxid and two other ECSN candidates: the ILRT SN 2008S and the peculiar SN 2018zd. See the text for details.**Figure A2.** V band absolute magnitude at 50 d versus  ${}^{56}\text{Ni}$  ejected mass. LL SNe are shown in grey, while standard SN IIP are represented in black. SN 2020cxid, SN 2018zd, and SN 2008S are highlighted with coloured stars, with their errors reported as elliptical regions. Also, SN 2008bk is highlighted, providing an example of an explosion originating from a confirmed low mass RSG (see text).

of the brightness distribution despite being a member of a different class of transients. SN 2018zd appears to belong to the standard IIP events when correcting for the distance reported by Callis et al. (2021), while it lies towards the region of transitional objects (like SN 2021aai) when adopting the distance prescribed by Hiramatsu et al. (2021).

In Fig. A3, we present a comparison between the spectra of this small sample of ECSN candidates at early, middle, and late phases (top, middle, and bottom panel, respectively). The earliest phase available for a spectrum of SN 2008S is at 15 d after the explosion, while the spectra of SNe 2018zd and 2020cxid were taken within 4 d from the explosion. However, we note that the spectra of ILRTs evolve very slowly, as they are dominated by CSM emission: for this reason, the main features characterizing the spectra do not change on short time scales. In the early spectrum of SN 2018zd it is possible to notice some narrow H lines without P-Cygni profiles, somehow reminiscent of the ILRT spectrum (although with a much bluer colour), which can be traced back to the presence of CSM, as Hiramatsu et al. (2021) infer from their analysis of the ultraviolet colour evolution. At the same phases, SN 2020cxid already shows P-Cygni profiles and broad H lines, in line with the expectations for a LL SN IIP. At  $\sim 30$ – $40$  d, SN 2008S shows almost no sign of evolution, with the narrow H and Ca lines completely dominating the spectrum. SN 2020cxid, on the other hand, develops an abundance of metal lines (the line-blanketing effect is already evident) and deep P-Cygni profiles. In this phase, SN 2018zd transitions towards a more standard SN IIP, although the metal lines are still much weaker compared to SN 2020cxid, the line-blanketing effect is not marked, and some signature features such as the Ca II NIR triplet are still missing. Finally, at late times the spectrum of SN 2008S has kept basically the same narrow lines it has shown throughout its evolution, even without a continuum underneath them. SN 2018zd displays an array of prominent emission lines, allowing the detailed analysis performed by Hiramatsu et al. (2021), which stated that this object is compatible with an ECSN event on the basis of the nucleosynthesis



**Figure A3.** Comparison between the spectra of SN 2008S, SN 2018zd, and SN 2020cx. In the top panel are shown the early spectra; in the middle panel are presented the spectra during the plateau phase; and in the bottom panel are shown the late spectra. All spectra were corrected for redshift and reddening.

and chemical composition expectations.<sup>6</sup> Sadly, it was impossible to perform a similar analysis on SN 2020cx, due to the very poor signal-to-noise ratio obtained in our latest spectra.

In conclusion, applying the criteria presented by Hiramatsu et al. (2021) to identify an ECSN event, we notice the following pros and cons:

- (i) The low energy characterizing SN 2020cx and its light curve shape are consistent with an ECSN origin. As shown in Section 7.2, hydrodynamical modelling points towards a progenitor between 8.9 and 10.4  $M_{\odot}$ , compatible with the expectations for a super-AGB star.
- (ii) We did not have any direct detection of the progenitor, nor could we investigate the nucleosynthesis and chemical composition of the progenitor through nebular spectra.

- (iii) The lack of CSM that can be inferred from the spectra seems to point towards a low-mass Red Giant Branch (RGB) progenitor, rather than a super-AGB, therefore favouring the iron core-collapse scenario for SN 2020cx (although a Super-AGB star could explode without being surrounded by optically thick CSM in some cases, see e.g. Pumo et al. 2009).

We know that low-mass RGB progenitors were accurately identified in the past, e.g. for SN 2008bk (Van Dyk et al. 2012; Maund et al. 2014; O’Neill et al. 2021) and SN 2018aoq (O’Neill et al. 2019). A similar scenario could comfortably explain the SN 2020cx event.

## APPENDIX B: SUPPLEMENTARY TABLES AND PICTURES

<sup>6</sup>Despite the presence of prominent emission lines, we note that Hiramatsu et al. (2021) and Callis et al. (2021) disagree on the presence of abundance patterns that support the ECSN hypothesis.

**Table B1.** List of instruments and facilities used in our follow-up campaigns, detailing also the filters used to take photometric data. See Table 1 for details about the spectra.

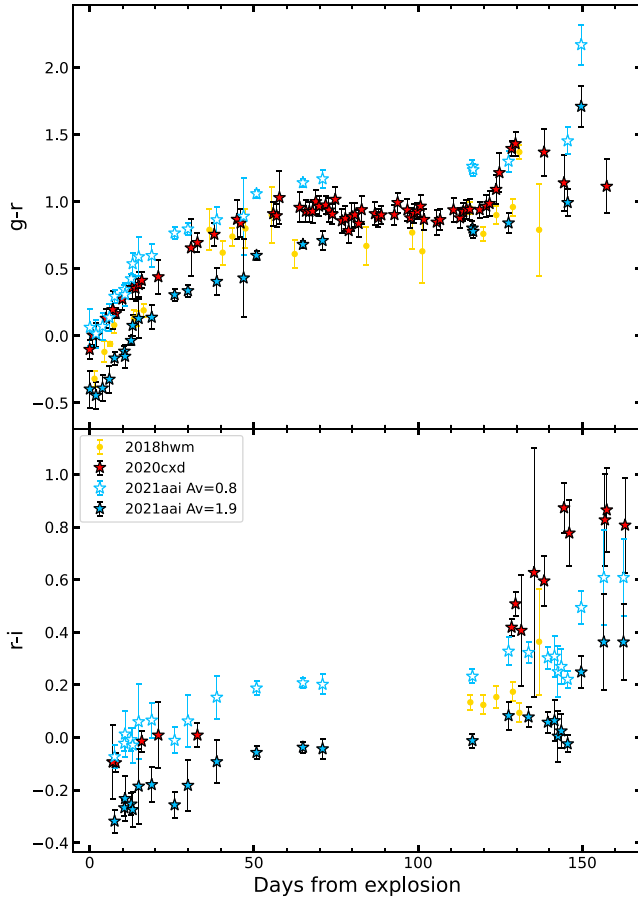
Code	Telescope, [m]	Instrument	Filters	Site
EKAR	Schmidt, 0.91	Moravian	$V, g, r, i$	Osservatorio Astronomico di Asiago, Cima Ekar
f03–f15	LCO <sup>†</sup> (LSC site), 1.00	Sinistro	$U, B, V, g, r, i, z$	Cerro Tololo Inter-American Observatory
f05–f07	LCO (ELP site), 1.00	Sinistro	$U, B, V, g, r, i, z$	McDonald Observatory
f06–f14	LCO (CPT site), 1.00	Sinistro	$U, B, V, g, r, i, z$	South African Astronomical Observatory
f12	LCO (COJ site), 1.00	Sinistro	$U, B, V, g, r, i, z$	Siding Spring Observatory
ZTF	Oschin Telescope, 1.22	ZTF	$g, r$	Palomar Observatory, United States
AFOSC	Copernico Telescope, 1.82	AFOSC	$B, V, g, r, i, z$	Osservatorio Astronomico di Asiago, Cima Ekar
IO:O	Liverpool Telescope, 2.00	IO:O	$B, V, g, r, i, z$	Observatorio Roque de Los Muchachos, La Palma
FLOYDS	LCO (FTN/FTS site), 2.00	FLOYDS	–	Haleakala (FTN) and Australia (FTS)
ALFOSC	Nordic Optical Telescope, 2.56	ALFOSC	$B, V, g, r, i, z$	Observatorio Roque de Los Muchachos, La Palma
NOTCam	Nordic Optical Telescope, 2.56	NOTCam	$J, H, K$	Observatorio Roque de Los Muchachos, La Palma
LRS	Telescopio Nazionale Galileo, 3.58	DOLORES	$B, V, u, g, r, i, z$	Observatorio Roque de Los Muchachos, La Palma
OSIRIS	Gran Telescopio CANARIAS, 10.40	OSIRIS	–	Observatorio Roque de Los Muchachos, La Palma

Note. <sup>†</sup>Las Cumbres Observatory

**Table B2.** Photometric data in the Sloan filters collected for SN 2020cx (AB mag). Full version in the online supplementary material.

Date	MJD	$g$	$r$	$i$	$z$	Instrument
2020/02/26	58905.58	17.80 0.10	17.57 0.10	17.64 0.10	–	LCO
2020/02/27	58906.46	17.77 0.02	17.57 0.03	17.65 0.03	–	LCO
2020/03/06	58914.41	18.09 0.05	17.64 0.03	17.63 0.03	–	LCO
2020/03/11	58919.44	18.14 0.06	17.67 0.11	17.64 0.06	–	LCO
2020/03/21	58929.40	18.28 0.15	17.59 0.15	–	17.42 0.15	LCO
2020/03/23	58931.36	18.31 0.06	17.58 0.04	17.55 0.03	–	LCO
2020/05/09	58978.57	–	–	16.98 0.01	–	Pan-STARRS
2020/05/15	58983.56	–	–	16.95 0.01	–	Pan-STARRS
2020/06/10	59010.57	–	–	16.93 0.02	–	Pan-STARRS
2020/06/14	59014.51	–	–	16.92 0.01	–	Pan-STARRS





**Figure B1.**  $g-r$  and  $r-i$  colour evolution for SNe 2018hwm, 2020cxd and 2021aai.

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