

Predicting Long Term Regional Drought Pattern in Northeast India using Advanced Statistical Technique and Wavelet-Machine Learning Approach

Abstract

Understanding drought and its multifaceted challenges is crucial for safeguarding food security, promoting environmental sustainability, and fostering socio-economic well-being across the globe. As a consequence of climate change and anthropogenic factors, the occurrence and severity of drought has risen globally. In India, droughts are regular phenomenon affecting about 16% area of country each year which leads to a loss of about 0.5 – 1% of country's annual GDP. Hence, the study aims to analyse and predict the meteorological drought in northeast India during 1901 to 2015 using standardised precipitation index (SPI) and analytical techniques such as Mann-Kendall test (MK), innovative trend analysis (ITA), and wavelet approach. In addition, the periodicity of the drought was estimated using Morlet wavelet technique, while discrete wavelet transform (DWT) was applied for decomposing the time series SPI-6 & SPI-12. Study shows that the northeast India experienced moderate drought conditions (SPI-6) in short term and two significant severe droughts (SPI-12) in long term between 1901 and 2015. The trend analysis shows a significant increase in SPI-6 & SPI-12 (p-value 0.01). Further, the combination of parameters i.e. approximation and levels result in the best drought prediction model with higher correlation coefficient and lower error. By using PSO-REPTree, this study pioneers the use of decomposed parameters to detect trends and develop a drought prediction model. The study is the first step towards establishing drought early warning system that will help decision-makers and farmers to mitigate the impact of drought at the regional level.

Keywords: Meteorological drought pattern; Particle Swarm Optimization; Innovative Trend Analysis; Standardized Precipitation Index; Sequential Mann-Kendall test; Reduced Error Pruning Tree.

25 **1. Introduction**

26 One of the most complex and significant threats to society is drought, which is a persistent
27 risk in several parts of the world (Dunne and Kuleshov 2022; Swain et al. 2021; Zhang et al. 2016;
28 Wilhite 2000). In the age of climate change and increase of global temperature about 1°C since
29 pre-industrial times (Allen et al. 2018) may have significantly increased the severity and
30 occurrence of drought across the globe (Sheffield Wood, 2008; Gyamfi et al. 2019; Saharwardi et
31 al. 2022). This has resulted in reduction in agricultural productivity, loss of ecology and ecosystem
32 services, water scarcity, compromised food security, increasing risk of wildfires, etc. (Anderegg
33 et al. 2013; Lesk et al. 2016; Wang et al. 2022; Qtaishat et al. 2023). Hence, the concern about the
34 frequency, intensity, and occurrence of drought events have grown in recent past throughout the
35 world (Wilhite et al. 2014; Pham et al. 2022). Consequently, researches are being carried out
36 worldwide to understand the causes and consequences of drought to mitigate its consequences on
37 the society and economy (Zhang et al. 2016; Ault 2020; Wang et al. 2022; Elbeltagi et al. 2023).

38 In India, more than half of the population relies on agriculture for living, the majority of whom
39 are from low-income families, and when a drought happens, it impacts agricultural production,
40 affecting the livelihood of these people (Rao et al. 2016; Roy et al. 2022). Droughts damage
41 approximately 16% of India's total land area each year (Sarkar et al. 2020; Saini et al. 2022). The
42 Central Water Commission (CWC) of India describes drought as the condition in which rainfall
43 falls below 75% of the average, with the severity of the drought depends on the extent of the
44 rainfall deficit (Rahman and Latch, 2016; Singh et al. 2021). According to the World Bank (2006),
45 India experiences frequent droughts and stood second only after China in terms of the occurrences
46 of drought. Sam et al. (2020) observed the frequency of droughts had increased in India with
47 prolonged since 1990. Bandyopadhyay et al. (2016) noted that many parts of India frequently
48 witness drought due to the rainfall deficit from south-west monsoon. Further, Talukdar et al. (2022)
49 observed that during last two decades, the intensity of drought has increased by more than 30% in
50 the western parts of India. Hence, there is a possibility that the occurrence and severity of droughts
51 may rise with climate change and thus, the analysis of droughts over the past decades is of great
52 value (Poornima et al. 2023; Roy et al. 2023).

53 Studies have been performed to evaluate and anticipate droughts in many parts of the world
54 using a variety of tools and approaches as the frequency and severity of droughts have grown
55 (Zhang et al. 2016; Kisi et al. 2019; Dikshit et al. 2021; Swain et al. 2021; Mishra et al. 2022).
56 Although several indices have been proposed for characterizing drought, the Standardized
57 Precipitation Index (SPI) is most applied index for drought monitoring (McKee et al, 1993), as it
58 can assess drought severity while being less complex than other indices (Jain et al. 2015). The SPI
59 is easy to use because it only needs monthly rainfall data, and its results can compare droughts in
60 different regions, even if they have different climates (Rahman and Lateh, 2016; Elbeltagi et al.
61 2023). Furthermore, trend analysis of past droughts is essential to take long-term and sustainable
62 action to reduce the impact of droughts (Dai 2011). There are several techniques for trend detection
63 for example Mann-Kendall (MK) test (Mann 1945; Kendall 1955), Sequential MK (SQMK) test
64 (Sneyers et al. 1998), Modified MK (MMK) test (Yue and Wang 2004), Innovative Trend Analysis
65 (ITA) of (Şen 2012) and others. The MK test has certain complications in trend detection such as
66 serial correlation and need of an essential sample size for trend detection which ITA solves and
67 hence it has been extensively used for trend analysis (Almazroui and Şen 2020; Owolabi et al.
68 2021; Katipoğlu 2023). More recently, machine learning models like support vector machine
69 (SVM) and artificial neural networks (ANN) (Morid et al. 2007; Borji et al. 2016), Random Forest
70 (RF) (Lotfirad et al. 2022), Rotation Forest (Saha et al. 2023), and Reduced Error Pruning Tree
71 (REPTree) (Elbeltagi et al. 2023) have been used for building a better predictive model for
72 prediction and forecasting the drought. Nowadays, machine learning models combined with
73 particle swarm optimisation (PSO) are frequently applied for time series forecasting (Kisi et al.
74 2019; Souza et al. 2022).

75 Meteorological droughts have been studied in India (Sharma and Mujumdar 2020; Sharma et
76 al. 2022; Kumar and Middey 2023; Alam et al. 2023), but there is currently a lack of studies
77 focusing on the northeastern regions of the country. Further, no study has been conducted by using
78 wavelet approach and POS-based machine learning for studying drought in India. An analysis of
79 meteorological drought PSO-based machine learning models may provide better outcomes with
80 higher accuracy which may be beneficial for the planning and policy making. Hence, in this study,
81 the short and long terms (6 and 12 months) meteorological drought is assessed using SPI-6 and
82 SPI-12 along with drought periodicity analysis using Morlet's Wavelet Transformation (MWT).
83 The MWT proposed by Grossmann and Morlet (1984) disables the limitation of dynamic time

84 series and is used for recurrence features, detection of long-term scale trends and identification of
85 authoritative drought years, which makes it more acceptable for drought analysis (Byun et al.
86 2008). The findings of this research may be helpful for researchers to analyse and predict drought
87 using a novel approach and planners will plan according to the results to address the impacts of
88 meteorological drought in northeast India.

89 **2. Materials and methodology**

90 **2.1 Study area**

91 For this study, Nagaland, Manipur, Mizoram & Tripura (NMMT) meteorological division is
92 situated in the northeastern region of India (Figure 1). NMMT meteorological division has an area
93 of about 70,447 square kilometres and covers four Indian states, namely Nagaland, Manipur,
94 Mizoram, and Tripura. The Tropic of Cancer passes through the meteorological division NMMT;
95 hence the climate of region is tropical monsoon type. With a monsoon-like climate, the region
96 experiences heavy rainfall during June – September because of southwest monsoon. The rainfall
97 has been collected by the meteorological departments of India from 1901 to 2017. The vast area
98 covers only one meteorological department, which cannot be realistic. Due to scarcity of data and
99 inaccessible topography, there is only one station in this vast region. However, the data does not
100 show any missing data and the data quality has been successfully addressed (for details, please
101 follow Praveen et al. 2019). The mean annual rainfall in NMMT meteorological division is about
102 2000 mm (Mohapatra et al. 2021). The region is topographically very uneven and all major physio-
103 graphic structures i.e. plains, plateaus, hills and valleys are found in the region. Due to the uneven
104 physio-graphic structure and inland location, there are climatic contrasts in the region and the
105 climate in the hilly areas is different from that in the valleys and plains. The average summer
106 temperature of the region varies between 30 and 33 °C, while the average winter temperature is 15
107 °C. At the same time, the temperature in the hilly areas rarely reaches 20 °C and drops to below
108 freezing.

109 *Insert figure 1 here*

110 **2.2 Standardized Precipitation Index**

111 [McKee et al. \(1993\)](#) proposed the SPI for analyzing the precipitation discrepancy in a region
112 and wet and dry periods at multiple time scale using precipitation data alone. The SPI was

113 calculated using equation 1 to represent the sum of standard deviations by which precipitation is
114 above or below a climatological average.

$$115 \quad SPI = \left(\frac{X_{i,j} - X_{i,m}}{\sigma} \right) \quad [1]$$

116 Where X_{ij} is precipitation at the i th station over a time (i.e., from one month to 12-months
117 with SPI-12) and j th observation, while X_i , m , and σ are for long-term average of precipitation and
118 the standard deviation, respectively, at the i th station over the same period (Omondi 2014), the
119 negative SPI value represents a precipitation deficit, while the positive value refers to a wet period.

120 The SPI is calculated in the following ways (Guttman 1999): 1. the density function of the
121 probability reflecting long-term time series of the precipitation observation is determined, 2. based
122 on the interest of the time scale, the time series of the precipitation observation can be chosen. In
123 this study, moving series of total precipitation analogous to 6 and 12 months were used. The
124 identical SPI values were quantified: SPI 6 and SPI 12, 3. The observed rainfall amount, 4, is used
125 to estimate the collective probability at a given time, and opposite ordinary function (Gaussian),
126 with variance 1 and average 0, is used to calculate the distribution function of the collective
127 probability resulting in the SPI.

128 Values of the SPI can range from less than -2 to greater than +2. A value of below -2 and
129 above +2 describes dry as well as extremely wet scenarios, respectively, while values between -
130 0.5 to +0.5 represent near-normal conditions (Table 1).

131 *Insert table 1 here*

132 **Short-term changes in the SPI reflect changes in soil moisture levels, while long-term changes**
133 **reflect changes in water flow and availability within reservoirs and aquifers.** To account for the
134 differential effects of the duration of a rainfall deficit on water availability, McKee et al. (1993)
135 proposed and applied the SPI at scales of 3-, 6-, 12-, 24- as well as 48-months. In this research, we
136 quantified the severity of a drought using the 6- and 12-month SPI using rainfall data for 1901-
137 2015. The SPI-6 represents anomalous conditions in river discharge and reservoir storage and is
138 related to medium-term trends in precipitation. SPI-12 characterises long-term precipitation

139 patterns and can be associated with changes in groundwater levels in addition to longer-term
 140 changes in river and reservoir discharge. We used this index in this study to assess drought severity.

141 **2.3 Morlet wavelet transformation**

142 The two most commonly used methods for identifying periodicities in a time series are Fourier
 143 and wavelet analyses. Wavelet analyses have advantages over the Fourier transform because, as
 144 with the Fourier transform, they allow the identification of values of specific frequencies in a time
 145 series and the determination of their location in time (Pisoft et al. 2004). Wavelet transforms can
 146 be divided into continuous and discontinuous transforms, with the continuous wavelet transform
 147 often being performed using the Morlet approach, referred to as MWT for Morlet Wavelet
 148 Transform, as it was suitable for hydrology. The MWT is used to identify periodicities on various
 149 time scales and is applied in various fields, e.g. to identify recurring features in a time series hydro-
 150 meteorological datasets, to analyse the temporal structure of ENSO (Torrence and Compo 1998),
 151 to detect inhomogeneities in a time series and to detect long-term trends (Byun et al. 2008). The
 152 wavelet transforms due to a time series x_n ($n = 0 \dots N - 1$) is found out as the complication of x_n
 153 with a translated and scaled wavelet (η) (eq. 2).

$$154 \quad W_n(\xi) = \sum_{\gamma=0}^{N-1} X_\gamma(\psi)^* \left[\frac{(\gamma - n)\delta t}{\xi} \right] \quad [2]$$

155 The Morlet wavelet equation is described as equation 3 (Torrence and Compo 1998).

$$156 \quad \psi(\eta) = \pi^{-1/4} e^{i\omega_0\eta} e^{-\eta^2/2} \quad [3]$$

157 Where, ξ represents the time scale, ω_0 indicates the non-dimensional frequency, η denotes
 158 time, δt indicates time interval. The complex compound of the wavelet function is written as
 159 $\psi^*[(\gamma - n)/\xi]$. The actual section of ξ and modulus square of the MWT (spectral power) are broadly
 160 employed to select the original trembling periodicities. The actual section of the MWT exhibits
 161 signal severity and stage of several properties in various time scales, while wavelet spectral power
 162 shows the signal's power on the feature time scales. Wavelet spectral strength at several scales (ξ)
 163 can be quantified by using equation 4.

164
$$P_n(\xi) = |W_n(\xi)|^2 \quad [4]$$

165 The total of the square of wavelet coefficients can assess wavelet variance in the time field.

166
$$Var(\xi) = \sum_{n=0}^{N-1} |W_n(\xi)|^2 \quad [5]$$

167 The present study used this method for periodicity analysis of drought.

168 **2.4 Discrete wavelet transforms (DWT)**

169 DWT has gained popularity in many parts of the world for monitoring of drought (Chong et
 170 al. 2022; Roushangar and Ghasempour 2022). The DWT is ideally suited for analysing non-
 171 stationary time-series datasets because it can capture localised variations and abrupt changes in
 172 data at various scales and resolutions. It can explore the localized frequency and time information
 173 of non-stationary datasets. While the hydro-climatic data is typically non-stationary, it can
 174 successfully extract helpful information. It generates a set of high (approximations) and low
 175 (details) pass versions from original time series datasets at a different resolution. We express the
 176 critical theme of DWT in equation 6.

177
$$\psi_{(a,b)}\left(\frac{t-\gamma}{S}\right) = \frac{1}{S_0^{a/2}} \psi\left(\frac{t-b\gamma_0 S_0^a}{S_0^a}\right) \quad [6]$$

178 **2.5 Trend analyses**

179 *2.5.1 Innovative trend analysis*

180 Sen (2012) developed the ITA which is a non-parametric technique which do not need
 181 inspection of the normality of the observations. First, two equal parts of the time series are
 182 separated, and each is then independently categorised in increasing order. Then, the X- and Y-axis
 183 are set up with the first half as well as remaining time series, respectively. If the data are gathered
 184 on the zero line (45° line/1:1 line), the time series exhibits no trend. The data displays an upward
 185 trend when it lies above the 1:1 line. The decreasing trend is indicated if the data are aggregated
 186 below the 1:1 line (Naikoo et al. 2022). Equation 7 expresses the method ITA.

187
$$\emptyset = \frac{1}{n} \sum_{i=1}^n \frac{10 X_j - X_i}{\mu} \quad [7]$$

188 Where, n refers to total number of observations; X_i and X_j describes first & second sub-
 189 series; μ represents value of X_i and \emptyset refers to trend indicator.

190 *2.5.2 Sequential Mann-Kendall test*

191 SQMK test is utilized to identify trend turning points and the approximate timing of the trend's
 192 onset in a time series (Sneyers 1998). To estimate the sequential version of the MK test, each value
 193 in a time series x_j ($j = 1, \dots, n$) was associated with all previous values x_k ($k = 1, \dots, j-1$) and the
 194 number of instances $x_j > x_k$ is recorded as n_j . The statistic test t_j was then calculated using equation
 195 8.

196
$$t_j = \sum_i^j n_j \quad [8]$$

197 with $e(t)$ and $var(t_j)$ representing the mean and variations and are calculated using equations
 198 9 and 10, respectively.

199
$$e(t) = \frac{n(n-1)}{4} \quad [9]$$

200
$$var(t_j) = \frac{(j(j-1)(2j+5))}{72} \quad [10]$$

201 The sequential MK test creates forward $u(t)$ & backward $u'(t)$ time series, which can be
 202 calculated using the outcomes of equations 8, 9 and 10 according to the equation 11.

203
$$u(t) = \frac{t_j - e(t)}{\sqrt{var(t_j)}} \quad [11]$$

204 If the progressive and regressive time series cross and then diverge and exceed the threshold
 205 of ± 1.96 , there is a statistically significant trend with 95% confidence, with the crossing point of
 206 the progressive and regressive lines being an estimate of the beginning of the trend.

207 **2.6 Development of wavelet-based particle swarm optimization (PSO) embedded REPTree** 208 **algorithm**

209 *2.6.1 Particle Swarm Optimization*

210 The PSO has its origin in researches on activity of organisms in a flock of birds or fish and
211 describes the study by a swarm (population) of particles (individuals) that are changing from
212 iteration to iteration (Pedrycz et al. 2009). The method protects the local optimum and, in each
213 iteration, compares its values to those of the global (best-yet) optimum. The standards for selecting
214 an optimal state are determined by suitability of the impartial function in each case. Remember the
215 suitability of any set of particles' solutions (decision variables). The following equations accelerate
216 the position of each particle for the optimal global situation (Wu 2010). At every outcome stage t ,
217 particle i is used to expand the current location $X_{i,j}(t)$ of its candidate solution by the best local
218 location $P_{i,j}(t)$ and the best location $P_{g,j}(t)$ (Eq. 12 and 13).

$$219 \quad V_{i,j}(t+1) = \omega v_{i,j}(t) + c_1 r_1 [p_{i,j} - x_{i,j}(t)] + c_2 r_2 [p_{g,j} - x_{i,j}(t)] \quad [12]$$

$$220 \quad x_{i,j}(t+1) = x_{i,j}(t) + v_{i,j}(t+1), \quad j = 1, 2, \dots, d \quad [13]$$

221 where $V_{i,j}$ is the velocity magnitude for the particles; ω is the inertial weight that monitors
222 the velocity direction; the acceleration coefficients are represented by $C1$ and $C2$; $r1$ & $r2$ denotes
223 identical random numbers amongst $(0, 1)$. $X_{i,j}$ refers to the situation of the particles.

224 *2.6.2 Machine learning algorithm*

225 In current research, the REPTree algorithm was used to forecast drought conditions. It is a fast
226 decision learning algorithm which merges Reduced Error Pruning with Decision Tree. Despite a
227 DT's massive output, it is used to categorise the simulation course for training data. The error
228 reduction algorithm was used to reduce the structural complexity of the trees (Jayanthi and
229 Sasikala, 2013). The pruning process was executed in this research to overcome the problem of
230 backward overfitting. REPTree applies to discover the smallest representation of the most accurate
231 subtree, depending on the post-pruning procedure.

232 *2.6.3 Development process*

233 The PSO algorithm is used in this study to determine the best structural parameters of the
234 MLAs used. The ensemble method of the planned PSO-REPTree should be as: Parameter

235 initialization of the PSO model→ Training as well as testing of the MLA with the original
 236 parameters→ Computing the suitability function→ Suitability of particle swarms over global and
 237 local best values→ Corresponding update of the velocity as well as position of each particle
 238 swarm→ Reaching the highest number of iterations? These would be the ideal parameters for the
 239 MLAs once the maximum number of iterations has been reached. The parameter initialization of
 240 PSO itself was chosen. Detailed initialized parameters and optimized parameters for the MLAs
 241 were made available:

242 Maximum depth of tree:- 1, Total lowest weight of the occurrence in leaf-2, least the quantity
 243 of the variance-0.001, no pruning-FALSE, sum of data used for the pruning-3, seed-1, Swarm size-
 244 25, Iteration-100, probability of mutation - 0.01, mutation type-bit-flip, inertia weight- 0.33,
 245 discrete weight- 0.34, social weight- 0.33, report frequency-20, seed-1.

246 2.7 Performance evaluation

247 Various indicators were applied to examine the accomplishment of model, notably Pearson's
 248 correlation (r) (Kumar and Chong, 2018); Mean Absolute Error (MAE), RMSE (Despotovic et al.
 249 2015); MAPE (Kim and Kim 2016); RMSPE (Chen et al. 2003); Spearman's rho (rspm)
 250 (Spearman, 1961) and Kendall's tau (τ_{Ken} ,) (Kendall 1938). The equation 14-20 express the seven
 251 statistical indicators used:

$$252 \quad r = \frac{\sum_{i=1}^n (A_{i,m} - A'_{i,m}) \times (A_{i,e} - A'_{i,e})}{\sqrt{\sum_{i=1}^n (A_{i,m} - A'_{i,m})^2} \times \sqrt{\sum_{i=1}^n (A_{i,e} - A'_{i,e})^2}} \quad [14]$$

253 where, $A_{i,m}$, $A_{i,e}$ and n, respectively, describes the detected and predicted i^{th} meteorological
 254 drought and total observations. $A'_{i,m}$, $A'_{i,e}$ refers to average detected and projected meteorological
 255 drought. Higher r values mean more validity of the models.

$$256 \quad RMSE = \sqrt{\left(\frac{\sum_{i=1}^n (Y_o - Y_p)^2}{n} \right)} \quad [15]$$

$$257 \quad MAE = \frac{1}{n} \sum_{i=1}^n |Y_o - Y_p| \quad [16]$$

258
$$MAPE = \frac{1}{n} \sum_{I=1}^n \left| \frac{Y_o - Y_p}{Y_o} \right| \times 100\% \quad [17]$$

259
$$RMSPE = \sqrt{\frac{\sum_{i=1}^n \left(\frac{Y_p}{Y_o} \right)^2}{n}} \times 100 \quad [18]$$

260 where, Y_o refers to observed value; Y_p is the projected value and n indicates the sum of data
 261 points.

262
$$r_{spm} = \frac{\sum \left(R_{Y_{m-i}} - \bar{R}_{Y_m} \right) \left(R_{Y_{est-i}} - \bar{R}_{Y_{est}} \right)}{\sqrt{\sum \left(R_{Y_{m-i}} - \bar{R}_{Y_m} \right)^2} \left(\sum \left(R_{Y_{est-i}} - \bar{R}_{Y_{est}} \right)^2 \right)} \quad [19]$$

263 where, rank of the measured inhibitory denotes by $R_{Y_{m-i}}$ for compound i . Average of the
 264 measured inhibitory activity denoted by R_{Y_m} . MDF-SAR inhibitory activity provided rank denotes
 265 by $R_{Y_{est-i}}$ for compound I and is the average of the estimated inhibitory activity denoted by $R_{Y_{esti}}$.

266
$$\tau_{Ken,} = (C - D) / \sqrt{\left[\left(n(n-1) / 2 - t \right) \left(n(n-1) / 2 - u \right) \right]} \quad [20]$$

267 where, number of tied Y_m and Y_{est} values are denoted by t and u respectively.

268 3. Results

269 3.1 Characteristics of meteorological droughts

270 In this study, both the medium-term and long-term meteorological droughts in northeast India
 271 are examined using SPI-6 & SPI-12, respectively, during 1901-2015. The SPI-6 time series shows
 272 that northeast India has experienced moderate drought during the 115-year study period (Figure 2
 273 and Table 1). Nearly 13 identical significant moderate droughts and two significant severe
 274 droughts occurred in the study area. The short-term observation (SPI-6) in 1967 (SPI value: 2)
 275 examined one significant extreme drought. The long-term observation (SPI-12) shows two
 276 significant severe droughts. Both the SPI-6 and SPI-12 showed that there were significant
 277 moderate droughts in the study area.

278

Insert figure 2 here

279 3.2 Trend detection and periodicity analysis

280 Figure 3a shows ITA results of the SPI-6 and the SPI-12, which show a 99% significant
281 increasing drought trend in northeast India. The SPI-12 shows a monotonically increasing drought
282 trend. There was an increasing drought trend at low, medium, and high levels (Figure 3a). As far
283 as the sequential MK test is concerned, the forward line in both cases meets the criterion of 1.960
284 and shows substantial rising trends in SPI- and SPI-12. The rate of increasing trend in drought
285 ranges from -0.142 to -0.249 (SPI value) per decade.

286

Insert figure 3 here

287 The sequential MK test results show statistically significant SPI trends that began in the early
288 1990s in SPI-6 & SPI-12 (Figure 3b). Figure 3c shows the wavelet spectrum of SPI-6 and SPI-12.
289 The cone of action of the areas devoid of edge effects is depicted by the white contours. The
290 wavelets' high power is represented by the deep red tone, while their low strength is shown by the
291 blue colour. Two substantial droughts within the cone of influence in SPI-6 occurred in 1950-2015
292 (from 11 to 25) and 1970-1988 (from 2 to 7). Three significant droughts (1950-2015; 1965-1981
293 and 1972-1993) were observed within the cone of influence and one significant drought (2008-
294 2015) outside the cone of influence in the SPI-12 observation.

295 3.3 Decomposition of SPI-6 & SPI-12.

296 Long-term past SPI-6 & SPI-12 series were decomposed into four lower levels of resolution
297 using DWT, where d1, d2, d3 and well as d4 represent the 2-month, 4-month, 8-month and 16-
298 month periodicity of drought, respectively. While a1, a2, a3 and a4 represent the approximate
299 decomposed components at levels 1-4. Figure 4 shows the SPI-6 values and their decomposed
300 components, while Figure 5 shows the SPI-12 values and their decomposed components. Higher
301 frequencies with lower levels of detail show the frequently fluctuating components of the SPI
302 series. Lower frequencies with higher level of detail of the component series.

303

Insert figure 4 here

304

Insert figure 5 here

305 3.4 Trend detection using wavelet-based ITA and the sequential MK test

306 For this study, we used wavelet-based ITA in all decomposed parameters (or strata) (a1 to d4)
307 of the SPI to detect the drought trend (Figure 6 and 7). The significant monotonic increasing
308 drought trend (SPI-6) was found in parameters a3 and a4 (Figure 6). All parameters from a1 to d4
309 of SPI-6 exhibited a substantial rising trend of dryness in low stages. In the middle stage, d1-d3
310 showed no significant trend; d4 showed a significant increasing trend and a1-a4 showed a
311 significant decreasing trend of dryness in the short-term observation (Figure 6). In contrast,
312 parameters d1-d2 (strata) showed no significant trend in the high level; in the short-term (SPI-6)
313 observations, parameter d3 showed a significant decreasing trend, while parameters a1-a4 and d4
314 showed a significant increasing trend (Figure 6). Overall, all decomposed strata of SPI-6 showed
315 99% ($p < 0.01$) substantial rising trend (the value of trend detector D ranged from -16.590 to -
316 21.370) of drought in region between 1901 and 2015.

317
318 *Insert figure 6 here*

319 *Insert figure 7 here*

320 The trend (all decomposed parameters of SPI-6) ranged from -1.224 to 0.001 (SPI value) per
321 year. Similarly, SPI-6 showed a monotonically increasing trend of meteorological dryness in layer
322 a4 decomposed by SPI-12 (Figure 7). All the decomposed layers (a1 to d4) showed a significant
323 increasing trend of dryness in low levels. Strata d1-d2 showed no significant trend; d3-d4 showed
324 a significant decreasing trend; finally, a1-a4 showed a substantial increasing trend of
325 meteorological dryness in the middle stage. At a high stage of long-term observation, D2-d4
326 showed a significant decreasing trend and a1-d1 showed a substantial increasing drought trend
327 (Figure 7). Like SPI-6, all decomposed strata SPI-12 also showed a 99% ($p < 0.01$) substantial
328 rising trend (the value of trend detector D ranged from -5.810 to -28.780) of drought in the region
329 during 1901-2015. The rate of change of trend (all decomposed parameters of SPI-12) ranged from
330 -0.014 to 0.001 (SPI value) per year.

331 We applied the sequential MK test to all decomposed strata (a1-d4) of SPI-6 & SPI-12 to
332 detect the abrupt change in drought (Figures 8 and 9). Several trends of turning years (abrupt
333 change) were found in layers d1-d4 in the short- and long-term observations. Strata a1-a3 and a4
334 showed only one trend turning year in 1998 and 2002, respectively (Figure 8). In contrast, layers
335 a1-a4 of SPI-12 experienced an abrupt trend reversal to drought in the same year 2012 (Figure 9).

336

Insert figure 8 here

337

Insert figure 9 here

338 3.5 Prediction of meteorological droughts

339 Short-term and long-term drought forecasts for northeast India were conducted using PSO
340 embedded REPTree hybrid algorithms from 1901 to 2015. 20% of the data was utilised for testing
341 and 80% of the data was used for prediction. Only a1, the decomposed parameter, was used in a
342 single for prediction. Except for a1, we combined all other parameters with the previous parameter
343 one by one (e.g. a1, then a1+a2, then a1+a2+a3,... and so on, finally a1+a2+.....+d4) to investigate
344 whether the single parameter or the combined parameter is best for drought prediction. The
345 statistical results evaluating the performance of the single and combined decomposed parameters
346 for both the training and testing phases are presented in tables 1-4. The two parameters SPI-6 &
347 SPI-12 a1 showed the lowest performance with higher error values (RMSE, MAE, MAPE,
348 RMSPE) and lower correlation coefficients (Spearman's rho, Kendall tau and r values) (Tables 1-
349 2). The best parameter for predicting drought was the combined parameter
350 a1+a2+a3+a4+d1+d2+d3+d4, which gave higher correlation coefficient (Spearman's rho, Kendall
351 tau and r values) and lower error values (RMSE, MAE, MAPE, RMSPE) (Tables 2 & 3).

352 The visual (graphical) illustration of the correlation (SPI-6 & SPI-12) between the real SPI
353 and the projected SPI during the training phase can be noticed in Figure 10 and Figure 11. The
354 ascending order of drought prediction parameters during the training phase is
355 $a1+a2+a3+a4+d1+d2+d3+d4 > a1+a2+a3+a4+d1+d2+d3 > a1+a2+a3+a4+d1+d2 >$
356 $a1+a2+a3+a4+d1 > a1+a2+a3+a4 > a1+a2+a3 > a1+a2 > a1$ based on the performances. After the
357 training phase, the parameter a1 showed the lowest performance in the test phase with higher error
358 values (RMSE, MAE, MAPE, RMSPE) and lower correlation coefficients (Spearman's rho,
359 Kendall tau and r values) in both the short- and long-term (SPI-6 & SPI-12) observations (Tables
360 4 & 5). a1+a2+a3+a4+d1+d2+d3+d4 showed higher performance accuracy in the training phase
361 with a higher correlation coefficient (Spearman's rho, Kendall tau and r-values) and lower error
362 values (RMSE, MAE, MAPE, RMSPE) (Tables 3-4). The visual illustration of correlation (SPI-6
363 & SPI-12) between the actual SPI and the projected SPI during the test phase can be found in
364 Figure 12 and Figure 13. The ascending order of the parameters for predicting drought in the test
365 phase is similar to that in the training phase $a1+a2+a3+a4+d1+d2+d3+d4 >$

366 $a_1+a_2+a_3+a_4+d_1+d_2+d_3 > a_1+a_2+a_3+a_4+d_1+d_2 > a_1+a_2+a_3+a_4+d_1 > a_1+a_2+a_3+a_4 > a_1+a_2+a_3$
367 $> a_1+a_2 > a_1$ based on the performances. Thus, we have concluded that the combination of
368 $a_1+a_2+a_3+a_4+d_1+d_2+d_3+d_4$ are the best parameters for predicting drought in northeast India
369 using PSO-REPTree algorithms.

370 *Insert figure 10 here*

371 *Insert figure 11 here*

372 *Insert figure 12 here*

373 *Insert figure 13 here*

374 *Insert table 2 here*

375 *Insert table 3 here*

376 *Insert table 4 here*

377 *Insert table 5 here*

378 **4. Discussion**

379 The drought condition in northeast India was examined in this study with the help of medium-
380 term (SPI-6) and long-term (SPI-12) precipitation data from 1901 to 2015. To examine the
381 drought, researchers have applied SPI, Standardized Precipitation Evapotranspiration Index
382 (SPEI) and Palmer Drought Severity Index (PDSI) in different parts of the world (Palmer 1965;
383 McKee et al. 1993; Vicente-Serrano et al. 2010). This study employed SPI-6 & SPI-12 along with
384 ITA, MK test, Morlet wavelet and discrete wavelet transform (DWT) techniques for examining
385 the trend and periodicity of drought in northeast India. Study shows a considerable moderate
386 drought at both medium and long terms in the northeast India. This result is identical to Kumar et
387 al. (2012) and Mallenahalli (2020). Researchers have extensively used MK test for analysis of
388 drought trend in India while ITA has been rarely used. Therefore, the use of the ITA technique in
389 the present study makes it different and novel. Trend analysis of drought using ITA shows a
390 significant increasing ($P < 0.01$) drought trend in the region during 1901-2015. Das et al (2016)
391 also noted an increasing drought trend in northeast India using MK test. Further, Sharma and
392 Mujumdar (2017) also noted an increasing drought trend in India.

393 Like ITA, the SQMK test is also not common and rarely used technique for analysing the
394 drought trend in India. Adinehvand and Singh (2017) applied SQMK test for analysing the drought
395 trend in Jaisalmer district of Rajasthan and found no significant trend in drought trend. In this

396 study, the SQMK test shows significant drought trend of SPI-6 & SPI-12 in the year 1996 and
397 1990, respectively. The increasing drought in northeast India may be linked to the climate change
398 and variability in monsoon rainfall (Parida and Oinam 2015). The analysis of SPI-6 & SPI-12
399 using Morlet wavelet shows two (within 2–25-month band) and four (within 2–29-month band)
400 significant droughts in the region, respectively. This is identical to the result of Sharma and Goyal
401 (2020) who found a significant drought influence in northeast India within a 4–8-year period from
402 1901 to 2002. Further, Joshi et al. (2016) also noted a significant periodicity of drought within the
403 2–8-year band of the SPI-6 in India. Similarly, Gyamfi et al. (2019) who noted significant
404 periodicity in meteorological drought in the Olifants Basin in South Africa within the 2–8-year
405 band (1991-2004).

406 This study utilizes DWT to decompose both SPI-6 & SPI-12 at four lower levels of resolution
407 (a1-a4 and d1-d4). In comparison, components a1-a4 showed an abrupt trend change only once.
408 Joshi et al. (2016) also used DWT to decompose both parametric and non-parametric SPI at six
409 lower levels of resolution (a1-d6) to analyse drought variability in India for the period 1871-2012.
410 Similarly, Chen et al. (2016) used DWT, to decompose streamflow and rainfall series in the Yellow
411 River basin in China at 7 and 6 lower resolution levels. All decomposed components (SPI-6 &
412 SPI-12) showed a 99% significant increasing drought trend studied using ITA. Furthermore,
413 SQMK investigated abrupt trend changes in components d1-d4 of both SPI-6 & SPI-12, which
414 occurred several times during the study period. Sezen and Partal (2020) used ITA for assessing the
415 rainfall trend in the Euphrates-Tigris catchment in Turkey using decomposed wavelet parameters.
416 PSO-REPTree has been applied to predict the drought scenarios. The result exhibited that single
417 decomposed component (a1) had the lowest performance in predicting drought while massive
418 combined component $a1+a2+a3+a4+d1+d2+d3+d4$ showed the best performance with higher
419 correlation coefficient and lower error values for drought prediction. Maity et al. (2016) also
420 created multiple models by coupling different decomposed parameters for drought prediction in
421 India, and noted that the coupled decomposed parameters provide the best prediction accuracy for
422 drought as this study.

423 Although, northeast India is one of the wettest parts of India which receives more than 250
424 cm rainfall annually (Mahanta et al. 2013), the study shows a significant rising drought trend in
425 the region. Northeastern part of India has an agrarian economy where more than 50% population

426 is engaged in agriculture, horticulture, and related activities (Darlong et al. 2020). In this regard,
427 increasing drought trend may significantly affect the economy and livelihood of the people of
428 northeast, which is one of the least developed regions of India. Thus, there is an urgent need to
429 make effective plans and policies to lessen the impact of drought. The trend analysis of drought
430 using ITA, SQMK and PSO-REPTree with decomposed SPI-6 & SPI-12 has produced reliable
431 and accurate results. Therefore, it may be utilized for the analysis of drought trend in other regions.

432 **5. Conclusions**

433 This study deals with the analysis of trend and periodicity of meteorological drought in
434 northeast India using ITA, SQMK test and wavelet approach. Study shows moderate drought in
435 northeast India at both medium term and long-term during 1901-2015. Trend analysis using ITA
436 showed an upward trend in drought in the region, while SQMK test showed an abrupt change in
437 the drought trend in the later part of first half (around 1958) of study period. The upward trend in
438 drought in the region may be linked with the variability in monsoon rainfall as well as the changes
439 in global climate pattern. The original SPI-6 & SPI-12 series were decomposed into four lower
440 resolutions using DWT. All decomposed parameters of SPI-6 & SPI-12 showed an increasing
441 drought trend in the region. Decomposed parameters d1-d4 showed multiple trend reversal years,
442 while a1-a4 showed only one trend reversal year in the past 115 years, as determined by the SQMK
443 test. A single decomposed component proved to be the least powerful with higher error values and
444 a lower correlation coefficient. The most coupled decomposed component performed best, coupled
445 with lower error values and a higher correlation coefficient using hybrid PSO-REPTree algorithms.
446 Hence, this study advocates to use a combination of the decomposed components for the drought
447 monitoring and prediction at short- and long-terms. Moreover, the increasing drought trend
448 indicates that there is a need to formulate effective management plans to deal with the
449 consequences of drought as well as to mitigate the effects of drought on economy and society.
450 Although, the study produced good result using SPI, ITA, SQMK and wavelet approaches, it deals
451 only with the meteorological drought. Thus, in the future studies, researchers may incorporate
452 SPEI along with SPI and other techniques to study the drought to get an idea of hydrological
453 drought along with the meteorological drought. Understanding hydrological drought in addition to
454 meteorological drought may be more beneficial for agriculture because it may help farmers to gain

455 an understanding of rainfall deficiency and its impact on surface water availability, allowing them
456 to plan irrigation and water management strategies properly for better agricultural output.

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