

1 Abstract: Silicon carbide (SiC) particle-reinforced aluminum matrix (SiC_p/Al) composites have
2 continuously increased applications in abundant industries due to their superior mechanical properties.
3 However, such composites have issues achieving desired machinability and quality standard due to
4 the presence of SiC particles which is the main hindrance to their applications. In this paper, the
5 methodology of ultrasonic vibration-assisted helical grinding (UVHG) of SiC_p/Al composites has
6 been applied to achieve to desired quality and efficiency for such composites. Then a mechanical
7 cutting force model was developed to predict grinding forces. The grinding force was separated into
8 friction force, plastic deformation force, and fracture force on account of the material removal
9 mechanism. The undeformed chip thickness and cross-sectional area were calculated for the grinding
10 force of a single diamond abrasive grit and then extended to the whole tool. By considering the
11 acoustic softening effect of reduction of deformation stress caused by ultrasonic vibration, the
12 acoustic softening coefficient was first proposed in the model to correct the impact of the ultrasonic
13 vibration for properties of SiC_p/Al composites. The experimental machining (UVHG) was carried out
14 considering the different groups of experiments. The experimental results found agreed with the
15 predicted values of cutting forces. The prediction deviation of the model was 5.07%, which could
16 provide further guidance for the grinding process optimization of SiC_p/Al composites. The novel
17 cutting force predicted model and proposed machining methodology could be applied to machining
18 SiC_p/Al composites at the industry level and further research work.

19 *Keywords:* SiC_p/Al composites; Ultrasonic vibration-assisted helical grinding; Undeformed chip
20 thickness; Undeformed chip cross-sectional area; Force model; Acoustic softening.

21

Nomenclature	
UVHG	ultrasonic vibration-assisted helical grinding
l	bottom surface diagonal length of diamond grit on the tool (μm)
l'	distance between every diamond grit on the tool (μm)
δ	exposed height of the diamond grit (μm)
α	apex angle of the diamond grit (rad)

l_{SiC}	standoff distance of SiC particles (μm)
d_{SiC}	diameter of SiC particles (μm)
f	frequency of ultrasonic vibration (Hz)
A	maximum amplitude (μm)
Δh	instantaneous ultrasonic vibration displacement (μm)
r	radius of the diamond abrasive tool (mm)
R	radius of the machined hole (mm)
C	concentration of diamond grits (mm^{-2})
n_s	spindle speed (rpm)
f_r	feed rate of tool ($\text{mm}\cdot\text{min}^{-1}$)
v_s	linear velocity of tool ($\text{m}\cdot\text{min}^{-1}$)
v_r	axial feed speed/feed movement in the z-direction ($\text{m}\cdot\text{min}^{-1}$)
v_t	radial feed speed/feed movement on the xy-plane ($\text{m}\cdot\text{min}^{-1}$)
η_n	center angle of every two side diamond grit (rad)
θ_t	center angle of every two bottom diamond grit (rad)
m	number of active side diamond grits on the tool side face involved in grinding
n	number of active bottom diamond grits on the tool side face involved in grinding
A_c	end face area of the tool involved in grinding (mm^2)
h_s	undeformed chip thickness of side grinding (μm)
h_t	undeformed chip thickness of end grinding (μm)
a_s	cutting depth of side grit (μm)
a_e	cutting depth of bottom grit (μm)
a_p	axial feed depth per helical revolution (μm)
S_l	undeformed chip cross-sectional area of side grit (mm^2)
S_e	undeformed chip cross-sectional area of bottom grit (mm^2)
F	total grinding force (N)
F_{SG}	side grinding force (N)
F_{EG}	end grinding force (N)
f_{SGP}	plastic deformation force of aluminum alloy matrix (N)
f_{SGF}	fracture force of SiC particles (N)
f_{EGf}	friction force (N)
σ_m	contact stress (MPa)
σ_s	yield limit of the composites (MPa)
σ_p	fracture stress of SiC particle (MPa)
E	elastic modulus of SiC (MPa)
μ_s	friction coefficient of side grit
μ_e	friction coefficient of bottom grit
k_1	coefficient to correct the number of active side diamond grits involved in grinding
k_2	coefficient to correct the number of active end diamond grits involved in grinding
ν	Poisson's ratio of SiC
h_{tool}	active diamond abrasive grits length of the tool (mm)
ψ	softening coefficient caused by ultrasonic vibration
K_{IC}	fracture toughness of the SiC ($\text{MPa}\cdot\text{m}^{0.5}$)
Hv	Vickers hardness of SiC

1. Introduction

SiC particle-reinforced metal matrix composites (PRMMCs) have got paramount importance and widely increased applications in aerospace, semiconductor packaging, and other industrial fields [1,2] because of their excellent properties [3]. However, the machinability and quality of machining for such materials were found to be lower for such composite materials, especially materials having high volume fraction ($\geq 45\%$) silicon carbide particle reinforced aluminum matrix composites (SiC_p/Al). Due to the addition of SiC hard particles in SiC_p/Al composites, excessive cutting forces, high cutting temperature, and severe tool wear were found [4]. These composites contain a high-volume fraction of SiC particles with high hardness and wear resistance. In addition, due to the plasticity of the aluminum alloy matrix, SiC_p/Al often adheres to the tool surface during machining and deteriorates the cutting environment [5]. Therefore, SiC_p/Al composites are recognized in the industry as typically difficult-to-machining hard and brittle composite materials. As an effective

1 approach to processing hard and brittle materials, ultrasonic vibration-assisted machining (UVAM)
2 can reduce the cutting force in the machining process and improve the machining quality and tool life
3 by applying high-frequency vibration to the tool in the machining process [6]. During the machining
4 process, the cutting force has a direct impact on the material removal process [7,8], tool life [9,10],
5 and surface integrity [11,12]. By predicting and adjusting the cutting force in the processing,
6 machining efficiency and quality can be improved by optimizing related parameters.

7 Due to severe and rapid tool wear in the machining of SiC_p/Al composites, cemented carbide
8 tools and even diamond-coated tools commonly used in industry are challenging to complete the
9 machining process [13]. Cubic boron nitride (CBN), polycrystalline diamond (PCD), and single
10 crystal diamond (SCD) cutting tools show the advantages of processing high volume fraction SiC_p/Al
11 composites [14,15,16,17]. But their high cost has become another major obstacle to the popularization
12 and application of the composites. To seek efficient and low-cost solutions, some scholars cast their
13 attention on some non-traditional machining technology [18], such as electrical discharge machining
14 (EDM) [19,20], laser beam machining (LBM) [21,22,23], abrasive water jet machining (AWJM) [24]
15 and so on. However, these non-traditional technologies have inevitably shown some defects and
16 relatively low efficiency.

17 In ultrasonic vibration-assisted machining, ultrasonic vibrations are introduced in the machining
18 process, and the advantages of low cutting force, low heat, less tool wear, and better geometrical
19 accuracy can be achieved. Kadivar et al. [25] investigated that the cutting force was significantly
20 reduced under ultrasonic vibration-assisted drilling. Zhou and Lu et al. [26,27] revealed that the tool
21 wear markedly reduced during the ultrasonic vibration-assisted turning of SiC_p/Al. Kang et al. [28]
22 found that the grinding force of ultrasonic vibration-assisted grinding of 55vol.% SiC_p/Al is 35–50%
23 lower than that of conventional grinding. The current research on ultrasonic vibration-assisted
24 machining of SiC_p/Al composites mainly focuses on material removal mechanisms [29,30] and
25 subsurface damage (SSD) [31]. However, the research on the machining process of UVHG of SiC_p/Al
26 composites has not been reported yet. By applying ultrasonic vibration to the relatively low-cost
27 diamond abrasive tools and feeding the tools in a way similar to helical milling [32], UVHG can
28 achieve high-quality, high-efficiency, and low-cost machining SiC_p/Al composites.

29 The study of cutting forces can help us to optimize the input parameters of ultrasonic vibration
30 machining. Pei et al. [33] proposed a mechanistic cutting force model for rotary ultrasonic machining
31 (RUM) by considering brittle fracture as the primary mode for material removal. Feng et al. [34,35]
32 also established a cutting force model for K9 glass and C/SiC composites based on brittle fracture
33 theory. Zheng et al. [36] proposed an ultrasonic vibration-assisted side grinding of ceramics
34 considering both ductile and brittle fracture removal mechanisms. Cong et al. [37,38] presented a
35 force model of RUM for carbon fiber reinforced plastics (CFRP) composites for both brittle fracture
36 and ductile-brittle transition. The grinding force model of SiC_p/Al composites, considering the plastic
37 deformation, brittle fracture, and debonding, was first proposed by Bao et al. [39]. Zhou et al. [40]
38 divided the grinding force into side grinding force and end grinding force for grinding SiC_p/Al
39 composites. However, these force models ignore the influence of ultrasonic vibration on the
40 properties of materials.

41 The study and literature review found that previous research focused on stress superposition or
42 dislocation diffusion caused by ultrasonic vibration can lead to acoustic softening [41,42,43,44] and
43 skin effect [45,46] on materials. Pandey et al. [47] developed a physics-based force model for
44 ultrasonic-assisted milling of Al6063 alloy by applying acoustic softening. Zhao et al. [48] established
45 a model for ultrasonic-assisted drilling of titanium alloy, and the acoustic softening effect was
46 presented. However, the research on force prediction of UVHG for high-volume fraction SiC_p/Al

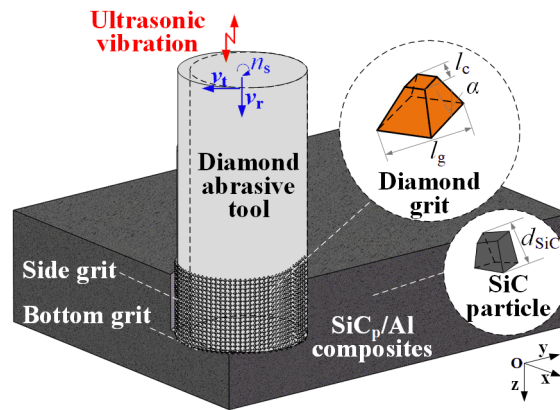
1 composites is still blank. Also, the developed cutting force models usually do not consider the
 2 influence of ultrasonic vibration on material deformation and material properties for other composites.

3 In this research work, a mechanistic-based grinding force prediction model is developed for
 4 ultrasonic vibration-assisted helical grinding of SiC_p/Al composites. The undeformed chip thickness
 5 (UCT) and undeformed cross-sectional area (UCA) are calculated. The grinding force model of a
 6 single diamond abrasive grit is built by considering the plastic deformation force, brittle fracture force,
 7 and friction force generated by grinding high-volume fraction SiC_p/Al composites. An ultrasonic
 8 vibration compression test corrects the influence of acoustic softening induced by ultrasonic vibration
 9 on material properties. And the sum of the grinding force of active grits on diamond abrasive tools is
 10 taken as the grinding force during UVHG of SiC_p/Al composites. Then the measured grinding force
 11 values obtained from the experiment are compared with predicted grinding force values to validate
 12 the developed model.

13 This paper is organized into five sections. After this introduction section, the grinding force
 14 prediction model is developed in section 2. In section 3, the experimental UVHG is carried out for
 15 SiC_p/Al composites, and the data acquired is reported. The results and discussion are mentioned in
 16 section 4. Finally, the conclusions have presented in section 5.

17 2. Development of force model

18 The UVHG process of SiC_p/Al composites is shown in **Fig.1**. The ultrasonic vibration is up and
 19 down along the axial direction of the tool. During ultrasonic machining, the material removal contains
 20 two processes: grinding of the side grit (side grinding) and grinding of the bottom grit (end grinding).
 21 Thus, the cutting force of the helical grinding process can be separated into side grinding force F_{SG}
 22 and end grinding force F_{EG} . To calculate as close to the machining process as possible, the model
 23 needs to introduce several assumptions and simplifications:



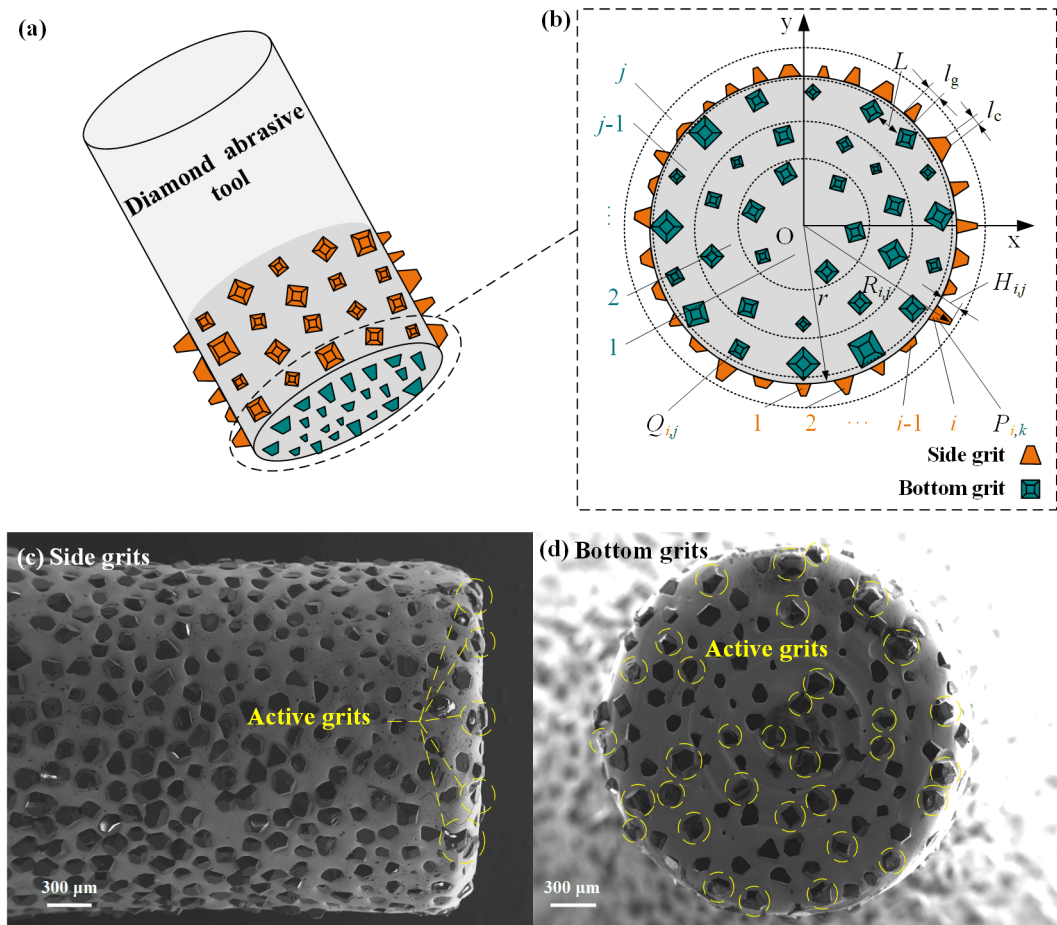
24
 25 **Fig.1.** UVHG process of SiC_p/Al composites

- 26 (1) The diamond abrasive grits are considered rigid bodies distributed evenly on the tool with uniform
 27 size. Each abrasive grit is considered to be approximately a regular tetrahedron shape, with a base
 28 width of l_g and a top width of l_c . The angle complementary to the angle between the side and base
 29 (the rake angle) is α .
 30 (2) The SiC particles are distributed evenly with standoff distance l_{SiC} in the material with a similar
 31 shape, and the diameter is d_{SiC} .
 32 (3) The friction between the diamond grits and materials appears as sliding friction. The influence of
 33 temperature changes is ignored.
 34 (4) Only the stable machining process is considered. The model does not take into account the hole-
 35 in and hole-out stages. Also, the ultrasonic vibration maintains a constant frequency f and amplitude

- 1 A. The bouncing of abrasive grits is not taken into consideration.
 2 (5) The debonding of SiC particles is ignored, considering the size ratio of diamond grits to SiC
 3 particles, which means the particle fracture is the main form of particle damage.
 4 (6) Taking into account the small abrasive grit edge radius, the ploughing force is not considered in
 5 this model.

6 2.1 Active diamond abrasive grits on cutting tools

7 The diamond abrasive tool discussed in this research is a cylindrical electroplated diamond
 8 grinding rod (**Fig.2a**). As shown in **Fig.2b**, the diamond abrasive grits are distributed on the tool's
 9 side face and bottom face. The Cartesian coordinate O-xy is set up at the center of the side and bottom
 10 surface to locate the diamond abrasive grits' position. The radius of the tool is r . The eccentric radius
 11 of the tool during helical grinding is r' . Divide the tool's bottom surface into j regions according to
 12 different positions from the center axis of the tool. Assign sequential numbers 1, 2, ..., i to the abrasive
 13 grits within each region, where i and j is the natural numbers. Thus, P_{ij} represents the i^{th} side abrasive
 14 grit in j^{th} element, which $j=k$ (j_{max}) for the side abrasive grit. Q_{ij} represents the i^{th} bottom abrasive
 15 grit in j^{th} element. r_{ij} is the distance the grit to the center of the tool. H_{ij} is the exposed height of the
 16 diamond abrasive grit of the i^{th} grit in j^{th} element For each diamond abrasive tool, the exposed height
 17 H_{ij} , the average spacing between every abrasive grits L_s , the base width of diamond grit l_g , and the
 18 top width (equals to the width of the cutting edge) of l_c need to be obtained through measurement.



19
 20 **Fig.2.** Geometric distribution of side and bottom diamond abrasive grits on the tool (a) the whole
 21 tool (b) the 1 to j element (c) side grits of worn tool (d) bottom grits of worn tool
 22 The dynamic radius of the i^{th} grit in j^{th} element can be expressed as

$$R_{i,j} = r + H_{i,j} \quad (1)$$

During UVHG process, the tool's motion is shown in **Fig.3a**. The tool with a radius of r rotates around its axis at a speed of n_s while revolving around the center of the hole at a speed of n_r with an eccentric distance of r' . Simultaneously, the tool undergoes axial feed motion, resulting in a reduction of cutting depth by a_p for each complete revolution. v_s and v_r are the linear velocities of tool rotation and revolution, respectively.

$$v_s = \frac{n_s \pi r_{i,j}}{30} \quad (2)$$

$$v_r = \frac{n_r \pi r'}{30} \quad (3)$$

f_r, f_s and f_a are the feed rate of the tool along the helical line, horizontal feed rate, and axial feed rate, respectively. ζ is the angel between the horizontal feed rate of grits and the resultant speed. As the spindle speed during UVHG is in the range of 10,000 to 20,000 r/min (approximately 78,539–157,079 mm/min in this study), but the feed rate is only 50–200 mm/min, the horizontal feed rate is relatively small.

$$\tan \zeta = \frac{f_a}{f_s} = \frac{a_p}{2\pi r_{i,j}} \quad (4)$$

$$f_a = f_r \cdot \sin \zeta = \frac{a_p n_r}{60} \quad (5)$$

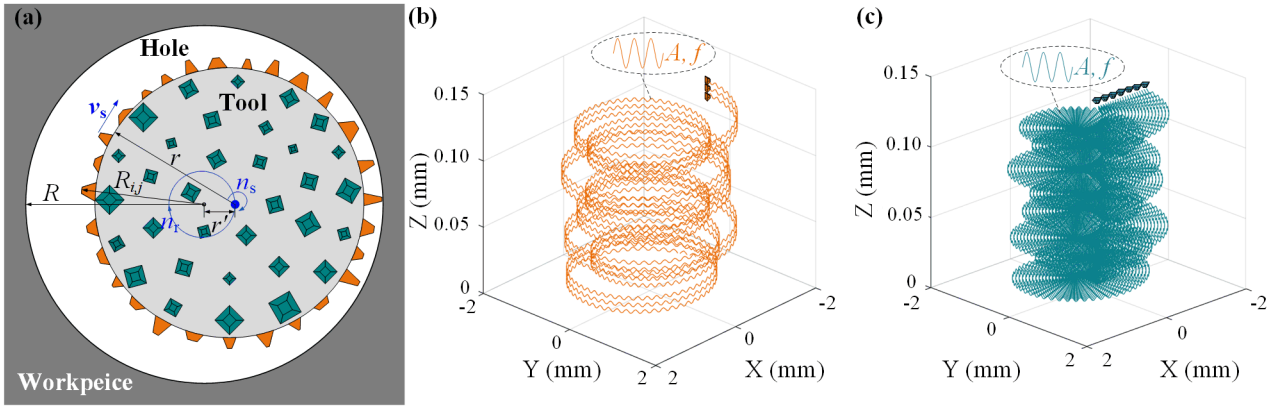


Fig.3 Tool motion in UVHG (a) tool movement in hole grinding; (b) trajectory of side grit; (c) trajectory of bottom grit

The trajectory of each cutting edge on side diamond abrasive grits for the i^{th} grit in j^{th} element can be expressed as

$$x_{i,k} = (r + H_{i,j}) \cdot \cos\left(\frac{2\pi n_s}{60} t\right) + r' \cos\left(\frac{2\pi n_r}{60} t\right) \quad (6)$$

$$y_{i,k} = (r + H_{i,j}) \cdot \sin\left(\frac{2\pi n_s}{60} t\right) + r' \sin\left(\frac{2\pi n_r}{60} t\right) \quad (7)$$

$$z_{i,k} = v_r t + A \sin 2\pi f t \quad (8)$$

The trajectory of each cutting edge on bottom diamond abrasive grits for the i^{th} grit in j^{th} element

1 can be expressed as

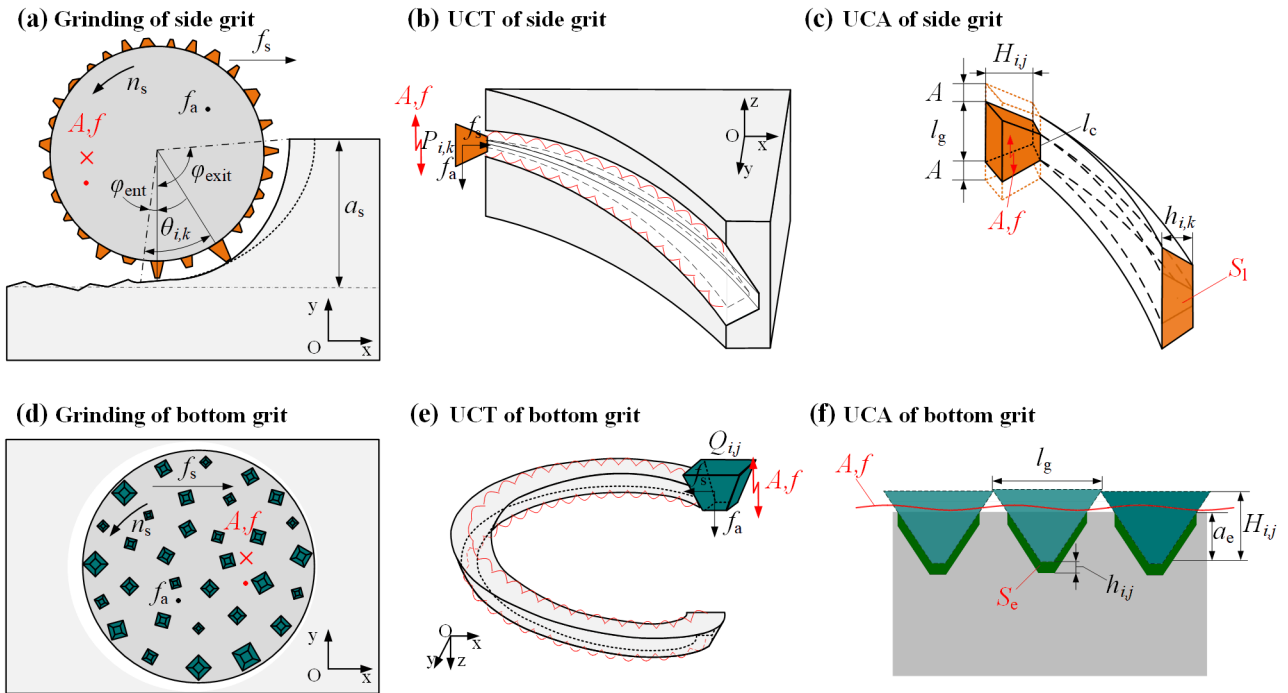
$$2 \quad x_{i,j} = r_{i,j} \cos\left(\frac{2\pi n_s t}{60}\right) + r' \cos\left(\frac{2\pi n_r t}{60}\right) \quad (9)$$

$$3 \quad y_{i,j} = r_{i,j} \sin\left(\frac{2\pi n_s t}{60}\right) + r' \sin\left(\frac{2\pi n_r t}{60}\right) \quad (10)$$

$$4 \quad z_{i,j} = v_r t + A \sin 2\pi f t \quad (11)$$

5 The schematic diagrams of **Fig.3b** and **Fig.3c** illustrate the motion trajectories of the side
6 abrasive grits and bottom abrasive grits, respectively. During UVHG process, the grinding is
7 primarily carried out by the abrasive grits at the bottom of the tool, while the quantity of abrasive
8 grits on the side participating in the grinding process is mainly influenced by the axial feed depth per
9 helical revolution. According to the theory of Budak et al, the grit is considered "active" if its path
10 intersects with the path of the previous active grit. Hence, the determination of active grits can be
11 achieved by assessing the static number of grits, grits spacing, grits height and grits width distribution
12 of abrasive grits on the tool. Specifically, employing relevant formulas, the motion trajectories of the
13 i^{th} and $i+1^{\text{th}}$ grits can be computed, enabling the identification of active grits.

14 2.2 Undeformed chip thickness and cross-sectional area of a single grit



15 **Fig.4.** Chip formation process in grinding zone (a) side grit-workpiece interaction (b) undeformed
16 chip thickness of side grit (c) undeformed chip cross-sectional area of side grit (d) bottom grit-
17 workpiece interaction (e) undeformed chip thickness of bottom grit (f) undeformed chip cross-
18 sectional area of bottom grit
19

20 During the UVHG process, the chip formation process in grinding zone is shown in **Fig.4**. For
21 the side grit, the direction of ultrasonic vibration is perpendicular to the horizontal feed direction of
22 the abrasive grits (**Fig.4a**). Consequently, the vibration increases the contact length and area with the
23 composites(**Fig.4b**). The instantaneous undeformed chip thickness of the side grit can be expressed
24 as follows,

$$h_{i,k}(\theta_{i,k}) = R_{n,k} - R_{m,k} + (n-m) \cdot f_s \sin(\theta_{i,k}), \varphi_{\text{ent}} < \theta_{i,k} < \varphi_{\text{exit}} \quad (12)$$

where $R_{n,k}$ and $R_{m,k}$ are the radius of two adjacent active grits (the n^{th} and m^{th} side grit), f_s is the horizontal feed rate of side grit, $\theta_{i,k}$ is immersion angle of the grit varying from φ_{ent} to φ_{exit} . a_s is the cutting depth of side grits.

$$\varphi_{\text{ent}} = \sin^{-1} \left(\frac{R_m - R_n}{(n-m)f_s} \right) \quad (13)$$

$$\varphi_{\text{exit}} = \cos^{-1} \left(1 - \frac{a_s - R_{\text{max}} + R_n}{R_n} \right) \quad (14)$$

As the movement of the side grits is perpendicular to the direction of ultrasonic vibration. From the perspective of kinematic analysis, the instantaneous cross-sectional area of undeformed chip tends to increase compared to conventional machining (**Fig.4c**). Thus, the UCA of side grit S_1 can be calculated as follows:

$$S_1 = \left[l_c + \frac{h_{i,k}(l_g - l_c)}{2H_{i,j}} + 2A \right] h_{i,k} \quad (15)$$

For the bottom grit, the undeformed chip thickness of a single grit is equal to the axial feed depth after one revolution of self-rotation. As the direction of ultrasonic vibration is parallel to the axial feed direction of the abrasive grits (**Fig.4d**). Thus, the vibration change the contact length and area of the grits with the composites(**Fig.4e**). Under the high frequency of ultrasonic vibration, the rotational speed of girts is relatively slower in comparison to the velocity of the vibration. Consequently, The instantaneous undeformed chip thickness of the bottom grit can be expressed as follows,

$$h_{i,j} = \frac{60f_a}{n_s N_b} + 2A \quad (16)$$

where N_b is the number of active bottom grits involve in grinding.

As the motion induced by ultrasonic vibration is smaller than that of feed motion, the ultrasonic vibration expands the undeformed chip cross-sectional area of bottom grits as shown in **Fig.4f**. Thus, the UCA of bottom grits S_e can be calculated as follows:

$$S_e = \left[l_c + \frac{(l_g - l_c)a_e}{H_{i,j}} \right] h_{i,j} \quad (17)$$

where a_e is the cutting depth of bottom grits, $a_e = a_p - a_s$.

2.3 Composition of the grinding force of a single grit

Given the material removal mechanism and ultrasonic vibration characteristics, plastic deformation of aluminum alloy matrix and brittle fracture of SiC particles are the primary material removal forms during the grinding process. Thus, the UVHG force of SiC_p/Al composites can be divided into kinds of force under different removal forms.

The UVHG force F consists of two parts: side grinding force F_{SG} and end grinding force F_{EG} . For the side grinding part, the side grinding force can be divided into the plastic deformation force of aluminum alloy matrix f_{SGP} , fracture force of SiC particles f_{SGF} , and friction force f_{SGf} . For the end grinding part, the grinding force can also be divided into the plastic deformation force f_{EGP} , fracture force f_{EGF} , and friction force f_{EGf} . Therefore, the composition of grinding force F can be expressed as

1 follows:

$$\begin{cases} \mathbf{F} = \mathbf{F}_{SG} + \mathbf{F}_{EG} \\ \mathbf{F}_{SG} = \mathbf{f}_{SGP} + \mathbf{f}_{SGF} + \mathbf{f}_{SGf} \\ \mathbf{F}_{EG} = \mathbf{f}_{EGP} + \mathbf{f}_{EGF} + \mathbf{f}_{EGf} \end{cases} \quad (18)$$

3 2.3.1 Plastic deformation force of matrix

4 Whether it is assisted by ultrasonic vibration, the aluminum alloy matrix is plastically deformed
5 under the grinding of diamond grits. But the addition of scattered SiC particles eliminates the
6 flowability of the matrix material, which enhances the strength of the matrix. To simplify the
7 calculation, the SiC_p/Al composites are considered equivalent homogeneous materials (EHM). The
8 diamond grits contact the material continuously in the side grinding process, and the plastic
9 deformation force can be calculated as the contact stress σ_m times the contact area. According to the
10 literature [50], the contact stress between a single grit and workpiece material can be equal to the
11 yield limit σ_s of the SiC_p/Al composites when the average UCT is less than 6.87 μm :

$$12 \quad \sigma_m = \sigma_s \quad (19)$$

13 Our previous research has confirmed the occurrence of the "acoustic softening" phenomenon in
14 SiC_p/Al composites under ultrasonic vibration, resulting in a reduction in compressive strength. The
15 mechanism can be attributed to the instantaneous high strain rate induced by ultrasonic vibration,
16 which promotes the movement of dislocations within the aluminum matrix. As a result, dislocations
17 are more prone to annihilation, leading to a decrease in the dislocation density within the aluminum
18 matrix and a reduction in the composites' deformation stress. Consequently, the softening coefficient
19 ψ is defined to correct the yield strength caused by ultrasonic vibration, considering ultrasonic
20 vibration's "acoustic softening" effect [41,42,43,44].

21 The schematic diagram of plastic deformation force exerted by side grits is shown in **Fig.5a**.
22 According to the literature[39], the tangential and normal forces acting on the contact area can be
23 expressed as:

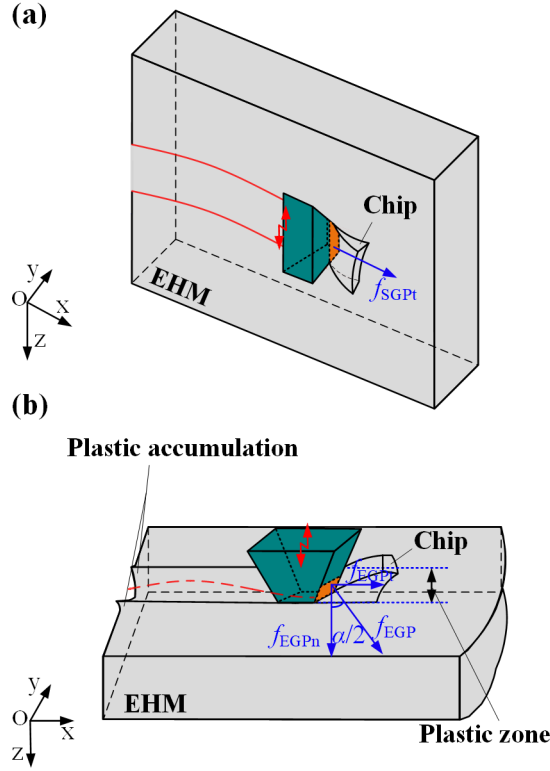


Fig.5. Plastic deformation force of (a) side grit; (b) bottom grit

$$\begin{cases} f_{SGpn} = 0 \\ f_{SGpt} = \psi \sigma_m S_l \end{cases} \quad (20)$$

For the bottom grits, the plastic deformation force should be equal to the contact stress multiplied by the contact area at any moment, as shown in Fig.5b. To simplify the calculation, the instantaneous plastic deformation force is represented by the average force in one ultrasonic vibration cycle. The tangential and normal forces acting on the contact area can be expressed as:

$$\begin{cases} f_{EGpn} = \psi \sigma_m S_c \cos \frac{\alpha}{2} \\ f_{EGpt} = \psi \sigma_m S_c \sin \frac{\alpha}{2} \end{cases} \quad (21)$$

2.3.2 Particle fracture force

During UVHG of SiC_p/Al, the SiC particles come into contact with the bottom and side of the cutting tool. The particles on the bottom are mainly compressed, and the particles on the side are mainly sheared by the diamond grits on the tool.

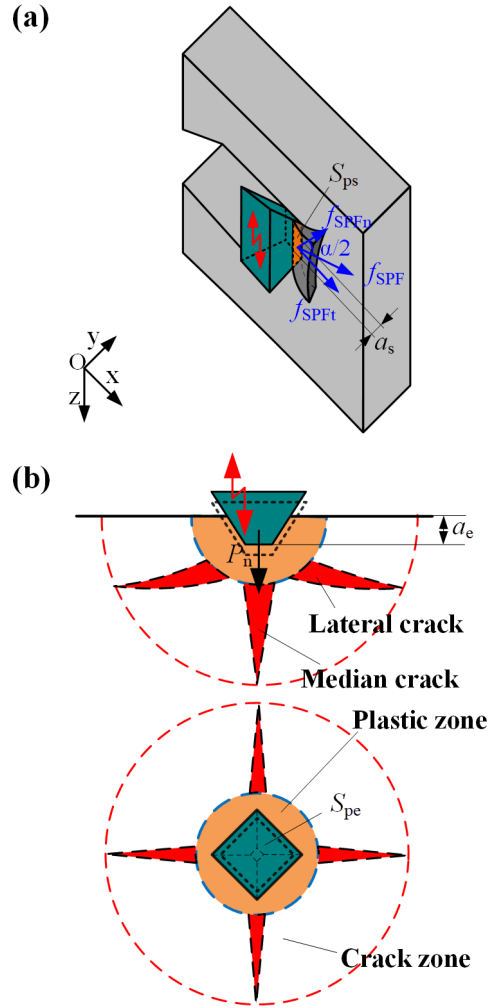


Fig.6. Particle fracture force of (a)side grit; (b) bottom grit

For the particles on the side, previous research [51] has shown that tensile stress is the main factor leading to SiC particles' fracture during the processing of SiC_p/Al. According to the modified Griffith's theory [52], the tensile stress of SiC particle can be expressed as:

$$\sigma_p = \sqrt{\frac{E(2\gamma_{se} + \gamma_{pw})}{\pi L(1-\nu^2)}} \quad (22)$$

Where σ_p is the fracture stress, γ_{se} is the surface energy when particles fracture, γ_{pw} is the plastic work required for crack propagation per unit area, and L is the material constant and represents the crack length under static load. Usually, the fracture surface energy γ_s of SiC can be calculated as half of the energy release rate G_c (strain energy) for crack formation, which is:

$$G_c = \frac{K_{IC}^2}{E} = 2\gamma_{se} + \gamma_{pw} \quad (23)$$

Thus,

$$\sigma_p = \sqrt{\frac{K_{IC}^2}{\pi L(1-\nu^2)}} \quad (24)$$

In the side grinding process (**Fig.6a**), the contact area between fracture particles and diamond grits can be approximately equal to the average contact area between diamond grits and material at a specific cutting depth multiplied by the volume fraction of SiC particle:

$$S_{ps} = \omega_{SiC} \left(\frac{a_s^2 (l_g - l_c)}{2H_{i,j}} + l_c a_s \right) \quad (25)$$

Therefore, the tangential and normal force of particle removal f_{SPFt} and f_{SPFn} are respectively expressed as follows:

$$\begin{cases} f_{SPFn} = 0 \\ f_{SPFt} = \sigma_p \cdot S_{ps} = \omega_{SiC} \left(\frac{a_s^2 (l_g - l_c)}{2H_{i,j}} + l_c a_s \right) \sqrt{\frac{K_{IC}^2}{\pi L (1 - \nu^2)}} \end{cases} \quad (26)$$

For the particles on the bottom, the crack initiation is caused by diamond grits, as shown in **Fig.6b**. According to indentation fracture mechanics of SiC, the critical load leading to crack generation can be expressed as:

$$P_n = (54.47\kappa/\xi^2\zeta^4)(K_{IC}/H_V)^2 K_{IC} \quad (27)$$

where $\kappa=2\pi$ for the pyramidal grits in the research; ξ and ζ are also dimensionless constants, $\xi=0.1$, $\zeta=0.2$ in the study [53].

The fracture force of the SiC particle on the bottom face should equal the sum of the forces between all active diamond grits and the broken SiC particle. Given the perpendicular vibration of bottom grits, the action area of diamond grits on the SiC particle can be similar to the average contact area between diamond grits and the SiC particle during vibration. Thus, the action area of the SiC particle S_{pe} can be calculated as follows:

$$S_{pe} = 2a_s l_c + \frac{2a_s^2 (l_g - l_c)}{H_{i,j}} + l_c^2 \quad (28)$$

According to K. Tohgo's [54] research, the volume fraction of the damaged particle is nearly equal to the volume fraction of the particle in whole composites. Thus, the particle fracture force of the bottom grits can be expressed as follows:

$$\begin{cases} f_{EPFn} = \omega_{SiC} S_{pe} P_n \cos \frac{\alpha}{2} \\ f_{EPFt} = \omega_{SiC} S_{pe} P_n \sin \frac{\alpha}{2} \end{cases} \quad (29)$$

where ω_{SiC} is the volume fraction of the SiC particle.

2.3.3 Friction force

In the UVHG process, the friction force mainly comes from the interaction between the diamond grits and the chips, the worn diamond grits, and the machined surface [55]. To simplify the calculation, the fracture of SiC particles is neglected during friction, and only sliding friction between the diamond grit and the SiC_p/Al composites is considered in this model. Previous research illustrated the validity of Coulomb's friction law even for ultrasonic conditions [56]. Therefore, the sliding force is calculated by Coulomb's law.

The diamond grit continuously slides on the surface for the side grinding process. The coefficient of friction μ_s can be considered a fixed value.

Thus, the normal and tangential frictional force of side grits can be expressed as follows:

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$$\begin{cases} f_{SGfn} = 0 \\ f_{SGft} = \mu_s \sigma_s S_l \end{cases} \quad (30)$$

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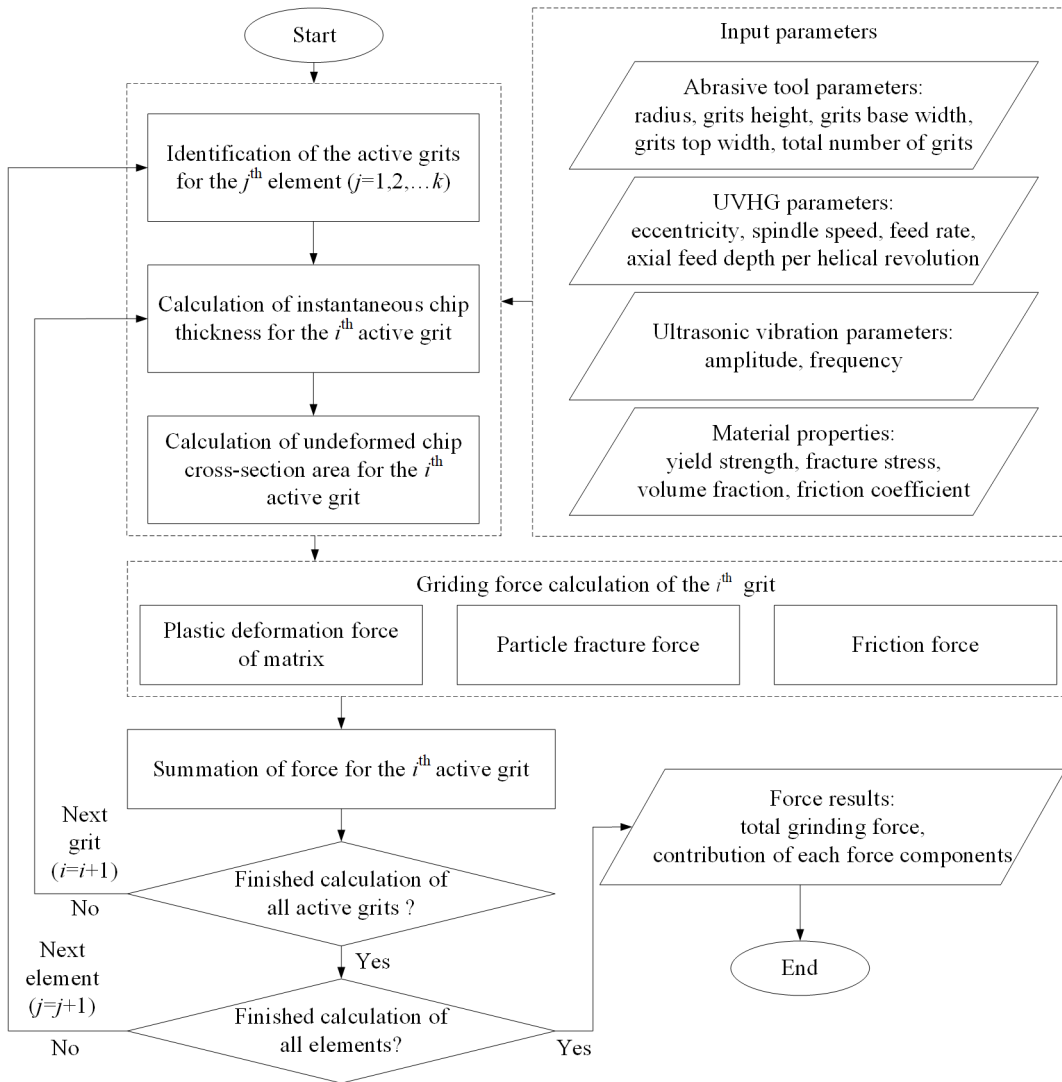
The diamond grit slides on the sample surface for the end grinding process of SiC_p/Al. The average coefficient of friction is μ_e in the end grinding process. Thus, the normal and tangential frictional force of bottom grits can be expressed as follows:

5

$$\begin{cases} f_{EGfn} = \mu_e \sigma_s S_e \cos \frac{\alpha}{2} \\ f_{EGft} = \mu_e \sigma_s S_e \sin \frac{\alpha}{2} \end{cases} \quad (31)$$

6

2.4 Total grinding force



7

8

Fig.7. Algorithm of the UVHG force model

9

As mentioned in section 2.3, the normal and tangential grinding force of a single grit can be expressed as follows:

10

$$\begin{cases} \mathbf{F}_{ij} = \mathbf{F}_{n(i,j)} + \mathbf{F}_{t(i,j)} \\ F_{n(i,j)} = f_{SGPn} + f_{SPFn} + f_{SGfn} + f_{EGPn} + f_{EPFn} + f_{EGfn} \\ F_{t(i,j)} = f_{SGPt} + f_{SPFt} + f_{SGft} + f_{EGPt} + f_{EPFt} + f_{EGft} \end{cases} \quad (32)$$

11

1 substitute Eq.(17), (18), (23), (26)–(28) into Eq.(29),

$$\begin{cases}
 F_{n(i,j)} = \psi\sigma_m S_e \cos \frac{\alpha}{2} + \omega_{SiC} S_{pe} P_n \cos \frac{\alpha}{2} + \mu_e \sigma_s S_e \cos \frac{\alpha}{2} \\
 F_{t(i,j)} = \psi\sigma_m S_l + \omega_{SiC} \left(\frac{a_s^2 (l_g - l_c)}{2H_{i,j}} + l_c a_s \right) \sqrt{\frac{K_{IC}^2}{\pi L (1 - \nu^2)}} + \mu_s \sigma_s S_l \\
 + \psi\sigma_m S_e \sin \frac{\alpha}{2} + \omega_{SiC} S_{pe} P_n \sin \frac{\alpha}{2} + \mu_e \sigma_s S_e \sin \frac{\alpha}{2}
 \end{cases} \quad (33)$$

3 The total grinding force of the UVHG process is obtained by summing the individual grinding
 4 forces exerted by each active grit on every element. The algorithm of calculating the UVHG force is
 5 shown in Fig.7.

$$\begin{cases}
 F_{n_total} = \sum_{j=1}^k \sum_{i=1}^m F_{n(i,j)} \\
 F_{t_total} = \sum_{j=1}^k \sum_{i=1}^m F_{t(i,j)}
 \end{cases} \quad (34)$$

7 where m is the total number of active grits in j^{th} element.

8 3. The validation of the force model

9 3.1 Determination of model parameters

10 3.1.1 Ultrasonic softening coefficient

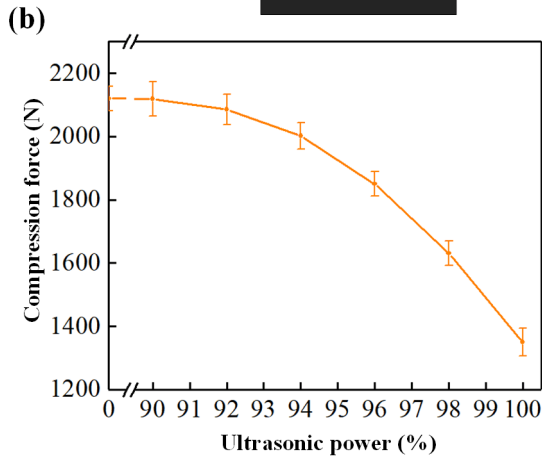
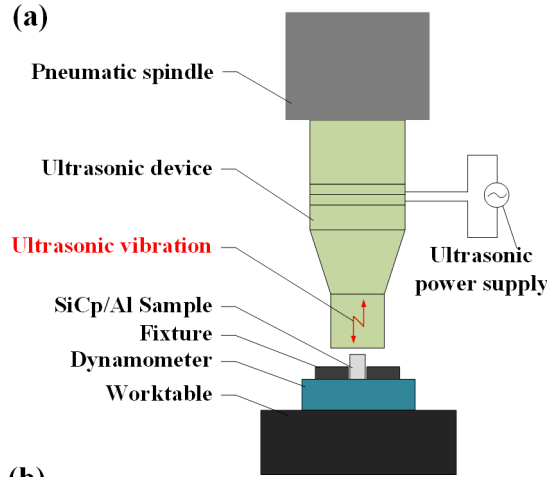


Fig.8. Ultrasonic softening coefficient test: (a) experimental setup of ultrasonic compression test, (b) compression force of SiC_p/Al composites under various ultrasonic power

The SiC_p/Al composites in this research are manufactured by powder metallurgy and hot isostatic pressing. The main properties are listed in **Table 1**.

Table 1 Properties of SiC_p/Al composites

Properties	Value
Average size of SiC particles (μm)	7.0
Volume fraction of SiC (%)	45.0
Thermal conductivity (W·mK ⁻¹ , 373.15K)	160.0
Passion ratio	0.3
Coefficient of thermal expansion (10 ⁻⁶ ·K ⁻¹)	9.5

Considering the 'acoustic softening' effect of SiC_p/Al composites due to the reduction of compressive strength and flow stress by ultrasonic vibration, an ultrasonic vibration compression test is designed and carried out to obtain the softening of the material under vibration [57]. A standard 2 mm diameter by 2 mm high cylindrical specimen was mounted on a force-measuring instrument. The ultrasonic vibration compression device is mounted on a pneumatic spindle, and the spindle can adjust the compression speed and height. The ultrasonic compression device mainly comprises a piezoelectric ultrasonic transducer driven by a unique ultrasonic power supply. The power supply power can be adjusted as shown in **Fig.8a**. During the test, a compression rate of 1×10⁻³m·s⁻¹ was used to compress the specimen, while the maximum compression load of the sample is compressed to 50% of the deformation was recorded by a dynamometer. The compression load under different ultrasonic parameters was recorded by changing the ultrasonic power supply power, and each group of tests was repeated five times.

Fig.8b shows that the compression force of SiC_p/Al composites gradually becomes smaller as the ultrasonic power increases, which increases the ultrasonic vibration amplitude. When the ultrasonic power is lower than 90%, the compressive force of SiC_p/Al composites is 2085N, which means the compressive stress is 664.01MPa. When the ultrasonic power is 100%, the compressive force is only 1350N, which means the compressive stress is 429.94MPa. In comparison, it is reduced by 35.25%. That is, when the ultrasonic vibration works on the SiC_p/Al composites, it produces a specific softening effect on the material and reduces the compressive stress of the material. Therefore, in this paper's model and the cutting test, the ultrasonic power was set to 100%, and the softening coefficient ψ of ultrasonic vibration was set as 0.3525.

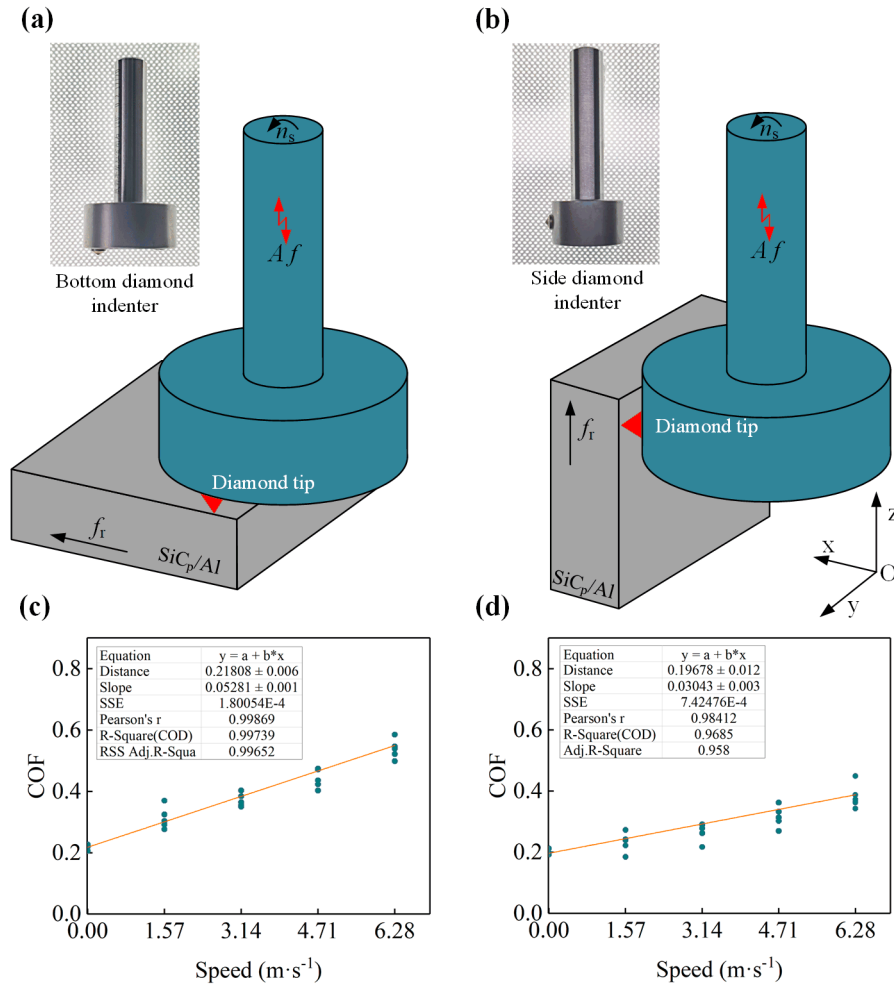
3.1.2 Friction coefficient

Frictional force is an indispensable and crucial factor in the UVHG process, and modeling the frictional forces in ultrasonic vibration-assisted machining is a highly challenging task [1]. Jamshidi et al. developed a frictional model of ultrasonic-vibration-assisted turning by considering the tool-chip contact [2]. Yongjie Bao et al. studied the friction force between diamond and SiC_p/Al composites [39]. But the friction force of UVHG of SiC_p/Al composites has not been reported. To improve the modeling accuracy and the model's engineering applicability, the binomial law of friction is used to characterize the coefficient of friction (COF) in this model. The friction coefficients of bottom grit μ_c and side grit μ_s can be expressed as

$$\mu_c = \mu_1 + k_1 v_s \quad (35)$$

$$\mu_s = \mu_2 + k_2 v_s \quad (36)$$

1 where μ_1 and μ_2 are the coefficients of bottom grit and side grit at relatively low speed ($0.5\text{mm}\cdot\text{min}^{-1}$), k_1 and k_2 are the constant to modify the friction coefficient at various cutting speeds, which need
 2 to be obtained through experiments.
 3



4
 5 **Fig.9.** Friction coefficient test: (a)ultrasonic scratch test of bottom diamond indenter;
 6 (b) ultrasonic scratch test of side diamond indenter; (c) the coefficient of bottom grit at various
 7 scratch speed; (d) the coefficient of side grit at various scratch speed;

8 The ultrasonic vibration-assisted scratch tests under various speed are conducted to obtain the
 9 coefficient of friction (COF) μ , and the test schematic is shown in **Fig.9a&b**. Longitudinal
 10 vibration is applied to the customized bottom and side diamond indenter to scratch the polished
 11 SiC_p/Al surface to simulate the process of grinding the material. The frequency and amplitude of
 12 vibration is 20kHz and $5\mu\text{m}$, respectively. The diamond indenter exhibits a rounded tip characterized
 13 by a circular arc with a radius of $150\mu\text{m}$. The apex angle measures 136 degrees, and it is situated at a
 14 radial distance of 10mm from the center of rotation. The scratch parameters are shown in **Table 2**,
 15 and each experiment was repeated five times. The dynamometer of Kistler 9257b is used to record
 16 the average scratching force.

17 **Table 2** Experimental parameters for ultrasonic vibration-assisted scratching

Spindle speed (rpm)	Feed rate ($\text{mm}\cdot\text{min}^{-1}$)	Scratch depth (μm)
0,1500,3000,4500,6000	0.5	5

18 According to Coulomb's law, the friction force during scratching can be approximated as a tangential
 19 force than a normal force. Thus, the coefficient of friction can be expressed as

$$\mu = \frac{F_t}{F_n} \quad (37)$$

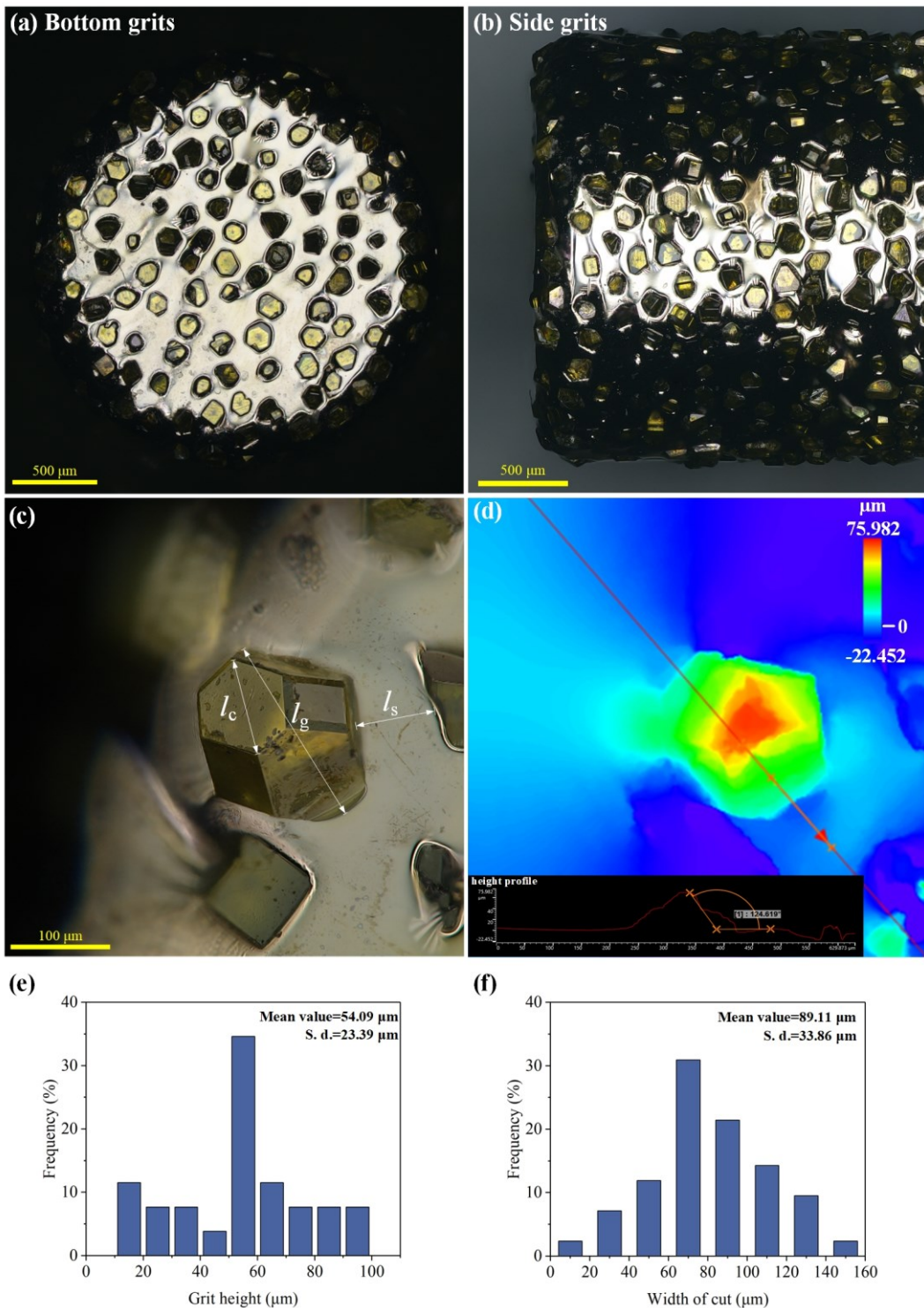
By analyzing the scratch force in the scratch process and calculating the coefficient of friction according to Eq.(34), the friction coefficients of bottom grits and side grits under different scratch speed are obtained, as shown in **Fig.9c&d**. Thus, The friction coefficients of bottom grit μ_e and side grit μ_s can be expressed as

$$\mu_e = 0.2180 + 0.0528v_s \quad (38)$$

$$\mu_s = 0.1968 + 0.0304v_s \quad (39)$$

3.1.3 Characterization of diamond abrasive tools

In this modeling, the electroplated diamond abrasive tools are used. **Fig.10a&b** illustrates the distribution of bottom abrasive grits and side abrasive grits. The characteristic parameters of abrasive grains are measured and analyzed using confocal microscopy (**Fig.10c&d**). More than 100 grits' properties are obtained through the microscope (DSX1000, Olympus Inc., Japan) and the results are statistically listed in in **Table 3**. As shown in **Fig.10e&f**, the mean exposure height of abrasive grains is 54.09 μm , with a standard deviation of 23.39 μm . The mean width of cut is 89.11 μm , with a standard deviation of 33.86 μm .



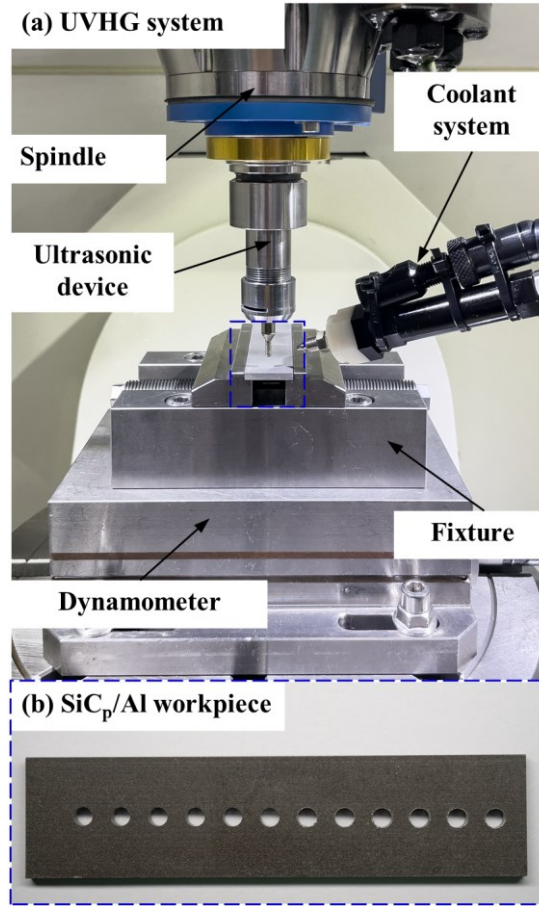
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Fig.10. Diamond abrasive grits on the tool: (a) bottom grits; (b) side grits; (c) geometric parameters of exposed grit; (d) height profile of exposed grit

Table 3 Characterization of diamond abrasive tool

Tool type	#100
Diameter (mm)	2.5
Static number of bottom grits	100
Static number of side grits (at 0.5mm height range)	90
Mean value of grit spacing (μm)	81.77
Mean value of grit width (μm)	131.56
Mean rake angle of grit (°)	70.82
Mean and standard deviation of girts height (μm)	54.09 & 23.39
Mean and standard deviation of width of cuts (μm)	89.11 & 33.86

1 **3.2 Model validation test settings**



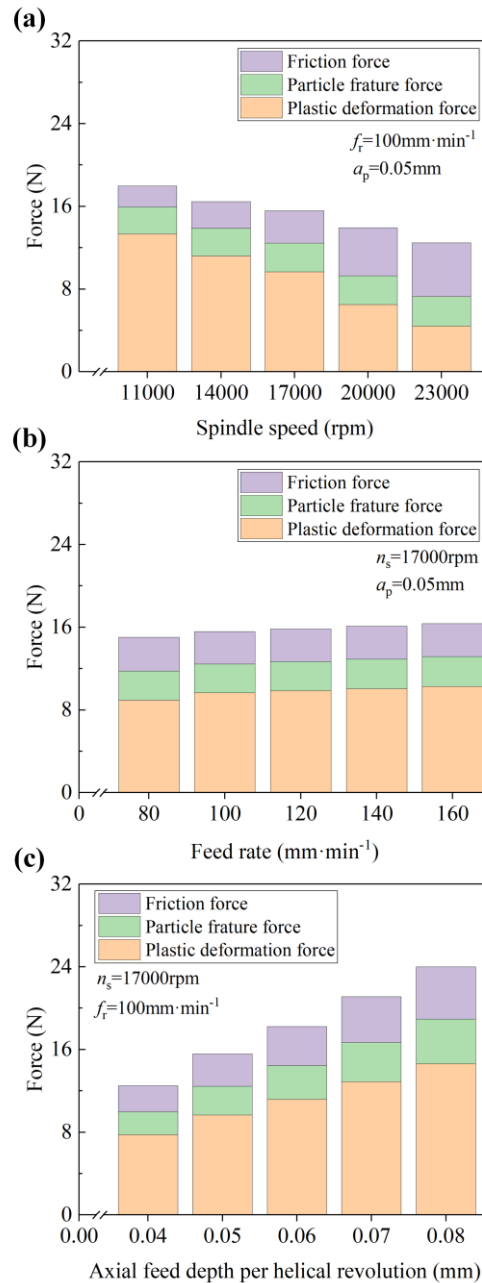
2 **Fig.11.** Experimental setup: (a)UVHG system (b) SiC_p/Al sample

3 The model verification experiments were conducted on the five-axis high-speed machine tool
 4 Beijing Jingdiao JD200. The maximum spindle speed of the machine tool was 32000rpm, as shown
 5 in **Fig.11a**. The X, Y, and Z axis positioning accuracy of the machine tool is 6 μ m, and repeated
 6 positioning accuracy is 5 μ m. The ultrasonic vibration device has a frequency of 20kHz and an
 7 amplitude of 5 μ m. The output power was set to 100%. A #100 tool with a diameter of 2.5mm is used
 8 to grind through holes. The SiC_p/Al sample used in the experiments is cut into a rectangular
 9 parallelepiped plate with a thickness of 3mm in advance (**Fig.11c**). To ensure the success of grinding
 10 and avoid defects such as edge chipping during processing, the experimental parameters are listed in
 11 **Table 4**.

12 **Table 4** Parameters of model verification experiment

Spindle speed (rpm)	Feed rate (mm·min ⁻¹)	axial feed depth per helical revolution (mm)
11000,14000,17000,20000,23000	100	0.05
17000	80,120,140,160	0.05
17000	100	0.04,0.06,0.07,0.08

13 **3.3 Results and discussions**



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Fig.12 Compositions of the predicted grinding forces under the experimental parameters

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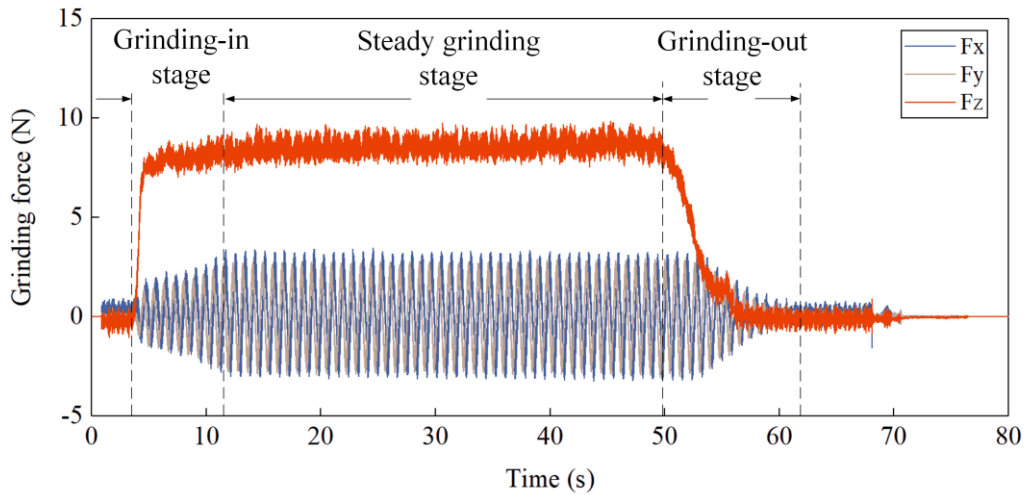
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Fig.12 displays the predicted grinding forces and their detailed compositions under the experimental conditions based on the established model. It can be seen that within the experimental parameter range, the total grinding force decreases with increasing spindle speed when feed rate and axial feed depth per helical revolution are constant (**Fig. 12a**). Among the components, the plastic deformation force and friction force changemore notably compared to particle fracture force. Specifically, the plastic deformation force gradually decreased while the friction force increased with rising spindle speed. This could be attributed to the variation in undeformed chip thickness of the abrasive grits at the tool bottom (Eq. 16). Moreover, as the spindle speed goes up, the arc length of grain-workpiece contact per unit time is enlarged, which is likely one of the reasons for the growth of friction force. When spindle speed and axial feed depth per helical revolution are fixed, although the total grinding force increases with feed rate (**Fig. 12b**), the increasing tendency is gentle within the experimental parameter range. The reasons can be summarized as that the horizontal feed has little influence on undeformed chip thickness and cross-sectional area. Also, the axial feed component

1 can be ignored due to the small feed angle (1° - 3°) of the tool; thus, the variation of feed rate does not
 2 significantly impact the predicted grinding force from the model. Another possible reason is that the
 3 selected feed rate range in the experiments is not wide enough for remarkable change. (Typical
 4 processing parameters are chosen considering machining time and efficiency in this study.) The
 5 changing trends of individual force components also exhibit slow growth. With fixed spindle speed
 6 and feed rate, the predicted grinding force by the model markedly increases with larger axial feed
 7 depth per helical revolution (**Fig. 12c**). Herein, the forces stemming from plastic deformation, particle
 8 fracture, and friction all rise substantially. This is because both the undeformed thickness and cross-
 9 sectional area increase with greater axial feed depth per helical revolution, leading to intensified
 10 resistance in material removal. Furthermore, the compositions signify that plastic deformation force
 11 takes up most of the grinding force, while the friction force accounts for a considerable proportion
 12 when the spindle speed reached 23,000 rpm.



13

14 **Fig.13.** Grinding force characteristics of UVHG ($n_s=23000\text{rpm}$, $f_r=110\text{ mm}\cdot\text{min}^{-1}$, $a_p=0.05\text{mm}$)

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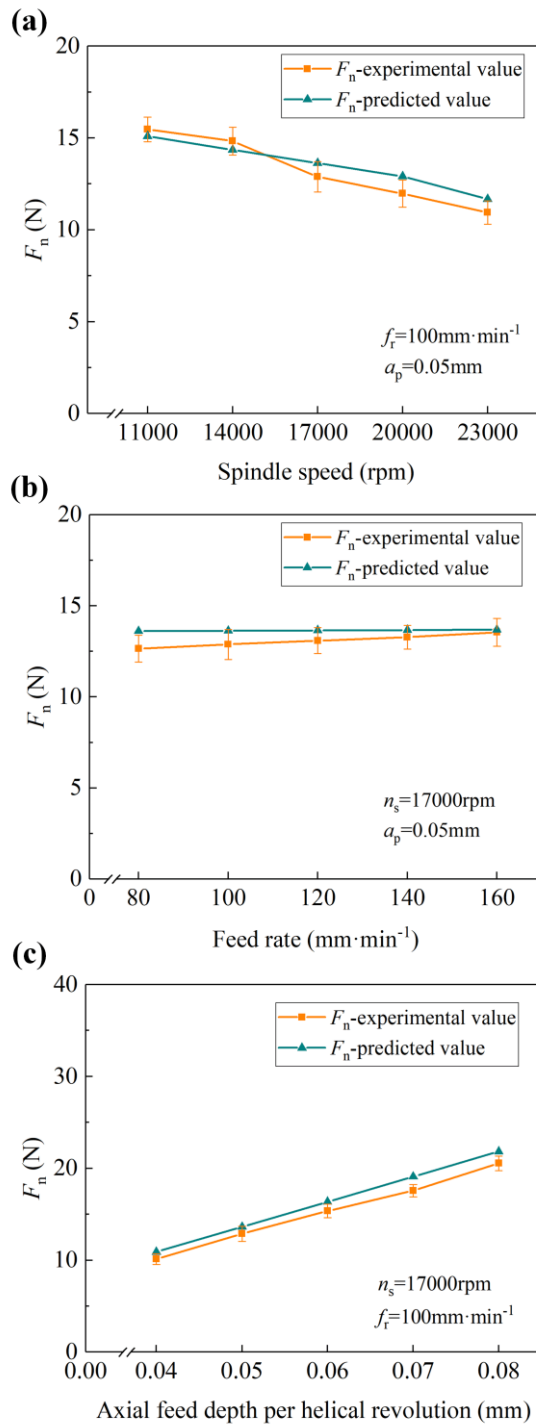
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To further understand the variation of grinding forces, the grinding force characteristics obtained by dynamometer during UVHG are shown in **Fig.13**. According to the change of grinding force, it can be divided into three stages, the grinding-in stage, the steady grinding stage, and the grinding-out stage. During the whole grinding process, the axial force is the main cutting force, and the grinding force increases rapidly in the axial direction (F_z) when the tool contacts the workpiece surface along the axial direction as the bottom grits start to remove the material. Due to the helical feed mode, the radial (F_x , F_y) force increases slowly, and the tool moves reciprocally in the radial plane. Hence, the radial force shows positive and negative changes. In this modeling work, the radial grinding force was taken as the absolute value, and only the average value in the stable grinding stage was considered.



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Fig.14. Experimental and predicted normal grinding force under the experimental parameters

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The predicted and experimental values of the normal grinding force for UVHG under different parameters when using the #100 tool are shown in **Fig.14**. When the feed rate and axial feed depth per helical revolution are constant, it can be found that the F_n decreases with the increase of spindle speed (**Fig.14a**). This is because the thickness and cross-sectional area of undeformed chip become smaller, and the volume of material removed by the tool per revolution becomes smaller in the same time, resulting in lower deformation resistance of the material and lower overall grinding force. When the spindle speed and axial feed depth per helical revolution are fixed, the F_n increases slightly with the increase of feed rate (**Fig.14b**). This is because the feed angle of the tool is approximately 1° - 3° in UVHG, resulting in a very small axial feed component. Therefore, the axial force barely changes when feed rate rises. When the spindle speed and feed are fixed, the F_n increases remarkably as the

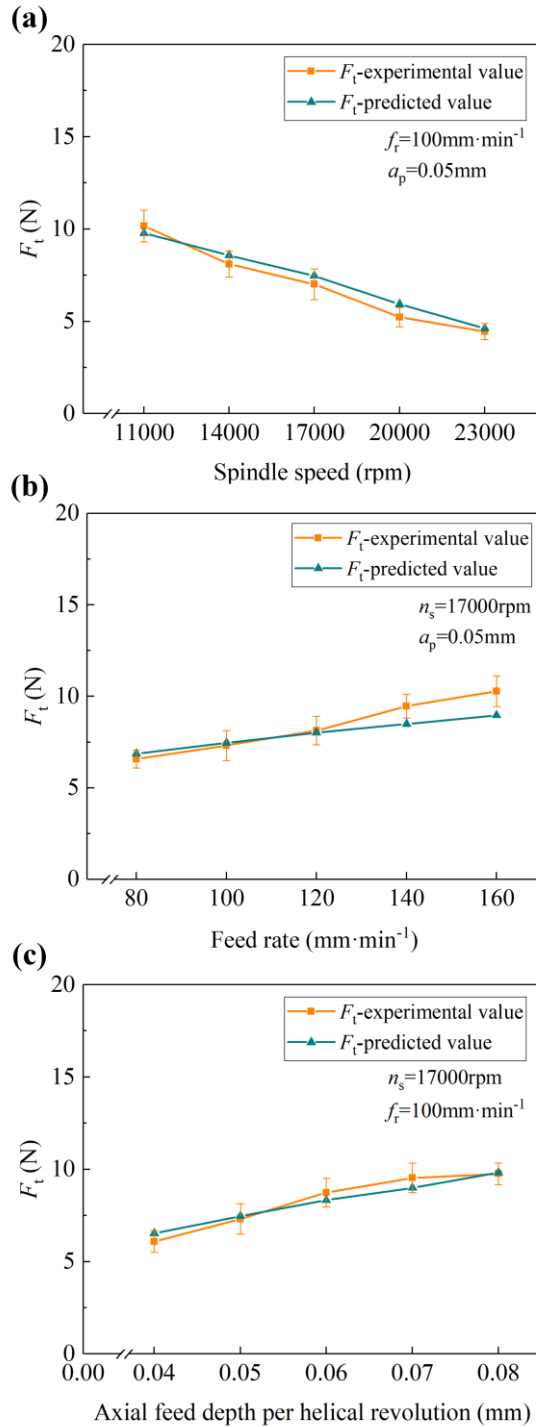
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1 axial feed depth per helical revolution increases (**Fig.14c**). The reason can be attributed to the
 2 increased undeformed chip thickness and cross-sectional area, and the volume of the material
 3 removed by the abrasive tool per unit time becomes larger, resulting in an increase in the axial
 4 grinding force. The average errors of the predicted and experimental values of the normal grinding
 5 force are 5.16%, 4.35%, and 6.99% for various spindle speed, feed rate, and axial feed depth per
 6 helical revolution, respectively, which were within the acceptable range. It is also found that the axial
 7 feed depth per helical revolution has a significant influence on the normal grinding force, followed
 8 by the spindle speed and feed rate.



9
 10 **Fig.15.** Experimental and predicted tangential grinding force under the experimental parameters
 11 The predicted and experimental tangential grinding force for UVHG under different parameters
 12 are shown in **Fig.15**. Similar to the normal grinding force when the feed rate and axial feed depth per

1 helical revolution are kept constant, it can be found that the F_t decreases with the increase of the
2 spindle speed (**Fig.15a**). When the spindle speed and axial feed depth per helical revolution are fixed,
3 the F_t increases with the increase of feed rate (**Fig.15b**). In contrast to the normal force, the tangential
4 force changes more remarkably with increasing feed rate due to its larger horizontal component. It is
5 noteworthy that the deviation between the experimental and predicted values tends to expand when
6 the feed rate reached $140 \text{ mm}\cdot\text{min}^{-1}$ and $160 \text{ mm}\cdot\text{min}^{-1}$. This can be attributed to the increased number
7 of lateral grains participating in grinding as the feed rate grows in actual machining. When the spindle
8 speed and feed rate are fixed, the F_t increases with the increase of axial feed depth per helical
9 revolution (**Fig.15c**). The average errors of the predicted and experimental values of the F_t are 5.84%,
10 6.21% and 4.08% for different spindle speed, feed rate and axial feed depth per helical revolution,
11 respectively. It can also be found that the spindle speed and feed rate have a significant influence on
12 the tangential grinding force.

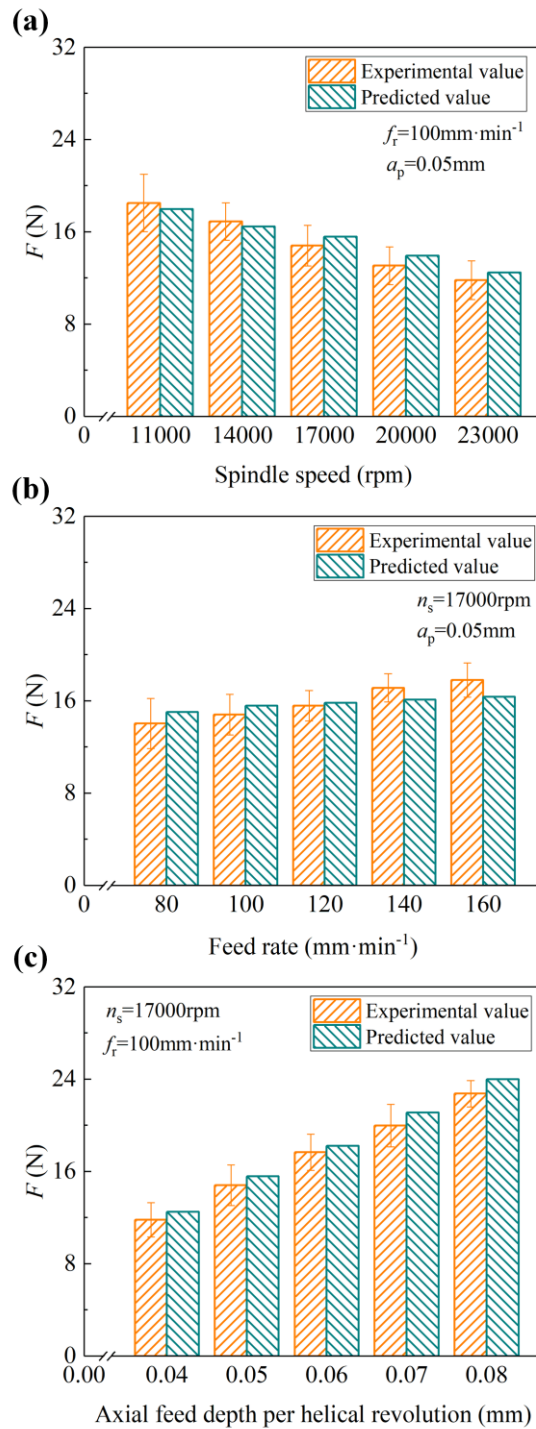


Fig.16. Experimental and predicted total grinding force of UVHG under the experimental parameters

The predicted and experimental results of the total grinding force of UVHG are shown in **Fig.16**. When the feed rate and axial feed depth per helical revolution are constant, the total grinding force decreases with the increase of spindle speed (**Fig.16a**). When the spindle speed increases from 11,000 rpm to 23,000 rpm, the grinding force decreased by 36.15%. When the spindle speed and axial feed depth per helical revolution are fixed, the total grinding force increases with the increase of feed rate (**Fig.16b**). When the feed rate increases from $80\text{mm}\cdot\text{min}^{-1}$ to $160\text{mm}\cdot\text{min}^{-1}$, the grinding force increases by 26.84%. Similarly, the total grinding force increases with the increase of axial feed depth per helical revolution when the spindle speed and feed rate are constant (**Fig.16c**). When the axial feed depth per helical revolution increases from 0.04 mm to 0.08 mm, the grinding force increased

1 by 92.47%. Therefore, it can be found that the axial feed depth per helical revolution has the greatest
2 influence on the total grinding force of all the experimental parameters in this research.

3 The deviation between the predicted and experimental values can be attributed to the particle
4 size and distribution's randomness, as the particle greatly influences the fluctuation of grinding force
5 [58]. In addition, the interface failure force between particles and matrix is neglected in the model,
6 leading to some predicted values being smaller than the experimental value. Further, the arrangement
7 and size of the diamond abrasive grits on the tool will affect the undeformed chip thickness and cross-
8 sectional area, which also results in the model deviation. The average error between the predicted and
9 experimental values of the total grinding force of the model within this study's experimental
10 parameters is 5.07%. Compared with the literature [39,40,59,60], the model's prediction error is
11 within the acceptable range, and the predicted result of the model agrees well with the experimental
12 value. The model in this study has good accuracy and can be extended to be applied with other similar
13 grinding tools in UVHG to guide the selection of parameters and process optimization.

14 4. Conclusion

15 A UVHG method is proposed for machining high-volume fraction SiC_p/Al composites.
16 Considering the 'acoustic softening' effect caused by ultrasonic vibration on the SiC_p/Al composites,
17 a model is established to predict the grinding force. The model is verified by UVHG experiments.
18 The main conclusions are as follows:

19 (1) This study proposed a method for calculating the undeformed chip thickness and cross-
20 sectional area in an ultrasonic vibration-assisted helical grinding process with a diamond abrasive
21 tool. Not all the grits on the diamond abrasive tool participated in grinding, and the actual number of
22 bottom and lateral grains involved is limited.

23 (2) The effects of ultrasonic vibration frequency and amplitude on the undeformed chip thickness
24 and area are slight. But ultrasonic vibration can affect the deformation stress of the material. When
25 the ultrasonic vibration power used in UVHG is 100%, the loading strain is $1 \times 10^{-3} \text{m} \cdot \text{s}^{-1}$, and the
26 compressive stress of SiC_p/Al composites decreases by 35.25%.

27 (3) Within the experimental parameter range, the predicted plastic deformation force accounts
28 for at least 50% of the grinding forces. The proportion of friction force markedly increases with rising
29 spindle speed. Both the normal and tangential grinding forces decrease with the increase of spindle
30 speed while increasing with the increase of feed rate and axial feed depth per helical revolution. Due
31 to the difference in feed components along the tangential and normal directions, its contribution to
32 the axial force was limited. The axial feed depth per helical revolution exerts a greater influence on
33 the grinding force.

34 (4) The UVHG force model exhibits average prediction deviations of 5.07% when using the
35 #100 diamond abrasive tool, which is acceptable and can further guide the parameter selection and
36 optimization of UVHG of SiC_p/Al composites.

38 Declarations

39 Ethics approval and consent to participate. The content studied in this article belongs to the field
40 of metal matrix composites processing and does not involve humans and animals. This article strictly
41 follows the accepted principles of ethical and professional conduct. The authors would like to opt in
42 to In Review. The authors declare that they have no known competing financial interests or personal
43 relationships that could have appeared to influence the work reported in this paper.

Acknowledgments

Author contribution

Qilin Li: methodology, investigation, experiments, writing of original draft, writing including review and editing, and visualization; Songmei Yuan: project administration and supervision; Xiaoxin Gao: model validation; Bochuan Chen: experiments; Zhen Li: review and editing; Muhammad Amin: language polishing; Andre D.L. Batako: review and editing; language polishing.

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