1	HEART FAILURE PATIENTS DEMONSTRATE IMPAIRED CHANGES
2	IN BRACHIAL ARTERY BLOOD FLOW AND SHEAR RATE
3	PATTERN DURING MODERATE-INTENSITY CYCLE EXERCISE
4	NATHALIE M.M. BENDA 1
5	JOOST P.H. SEEGER ^{1,3}
6	DIRK P.T. VAN LIER ¹
7	Louise Bellersen ²
8	Arie P.J. van Dij κ^2
9	Maria T.E. Hopman ¹
10	DICK H.J. THIJSSEN ^{1,3}
11	
12	Radboud university medical center, Radboud Institute for Health Sciences, Departments of
13	¹ Physiology, ² Cardiology, Nijmegen, the Netherlands
14	Liverpool John Moores University, ³ Research Institute for Sport and Exercise Sciences,
15	Liverpool, United Kingdom
16	
17	Short title: Blood flow responses to endurance exercise in heart failure
18	
19	KEY WORDS: Shear stress, vascular adaptation, endurance exercise
20	WORD COUNT: 3433
21	ABSTRACT WORD COUNT : 249
22	NUMBER OF REFERENCES: 47
23	FIGURES: 4
24	TABLES: 4
25	
26	Author for correspondence:
27	Dr. Dick HJ Thijssen, Department of Physiology, Radboud university medical center,
28	Radboud Institute for Health Sciences, Philips van Leydenlaan 15, 6525 EX, Nijmegen, the
29	Netherlands. Email: dick.thijssen@radboudumc.nl, Tel: +31243614222
30	

NEW FINDINGS

- 32 -We explored whether heart failure (HF) patients demonstrate different exercise-induced
- 33 brachial artery shear rate patterns compared to controls.
- 34 -Moderate-intensity cycle exercise in HF patients is associated with an attenuated increase in
- brachial artery antegrade and mean shear rate as well as skin temperature compared to
- 36 controls.

31

- 37 -Differences between HF patients and controls cannot be fully explained by differences in
- 38 workload.
- 39 -HF patients therefore demonstrate a less favourable shear rate pattern during cycle exercise
- 40 compared to controls.
- 41 -The exact consequences for vascular adaptation to exercise training should be further
- 42 explored.

ABSTRACT 44 Introduction. Repeated elevations in shear rate (SR) in conduit arteries, such as present 45 during exercise, represent a key stimulus to improve vascular function. We examined whether 46 heart failure (HF) patients demonstrate distinct changes in SR in response to moderate-47 intensity cycle exercise compared to healthy controls. 48 **Methods.** We examined brachial artery SR during 40 minutes of cycle exercise at a work rate 49 equivalent to 65% peak oxygen uptake in 14 HF patients (65±7 yrs, 13:1 male:female) and 14 50 controls (61±5 yrs, 12:2 male:female). Brachial artery diameter, SR and oscillatory shear 51 52 index (OSI) were assessed using ultrasound at baseline and during exercise. Results. HF patients demonstrated an attenuated increase in mean and antegrade brachial 53 artery SR during exercise compared to controls ('time*group'-interaction: P=0.003 and 54 P<0.001, respectively). Retrograde SR increased at the onset of exercise and remained 55 increased throughout the exercise period in both groups ('time*group'-interaction: P=0.11). In 56 controls, the immediate increase in OSI during exercise ('time': P<0.001) is normalized after 57 35 minutes of cycling. In contrast, the increase in OSI after the onset of exercise did not 58 59 normalize in HF ('time*group'-interaction: P=0.029). Subgroup analysis of 5 HF patients and 5 controls with comparable workload (97±13 versus 90±22 Watt, P=0.59) confirmed the 60 presence of distinct changes in mean SR during exercise ('time*group'-interaction: P=0.030). 61 Between-group differences in antegrade/retrograde SR or OSI did not reach statistical 62 significance ('time*group'-interactions: P>0.05). 63 **Conclusion.** HF patients demonstrate a less favourable SR pattern during cycle exercise than 64 controls, characterized by an attenuated mean and antegrade SR, and increased OSI. 65 66

INTRODUCTION

68

69

70

71

72

73

74

75

76

77

78

79

80

81

82

83

Patients with heart failure (HF) are characterized by reduced myocardial function and impaired peripheral vascular function (Drexler et al., 1993; Drexler, 1995; Brubaker, 1997). Exercise training has potent effects on symptoms and prognosis of HF (Hambrecht et al., 1998; Maiorana et al., 2000a; Maiorana et al., 2000b; Wisloff et al., 2007), which are, at least partly, mediated through direct improvement of peripheral vascular function and structure (Green et al., 2008). Previous studies demonstrated that (repeated) elevations in shear rate (SR) represent a key stimulus for these beneficial vascular adaptations (Tinken et al., 2009; Tinken et al., 2010). Recent studies in healthy humans have confirmed that repeated elevations in shear contribute to vascular adaptation in active (Tinken et al., 2010) and nonactive (Birk et al., 2012) vascular beds. Under resting conditions, SR pattern in peripheral vessels, such as the brachial artery, varies across the cardiac cycle, with a large antegrade component during systole being followed by a retrograde component in early diastole (Blackshear et al., 1979). Previous studies have related repeated exposure to elevations in antegrade SR to improvement in vascular function, whilst elevations in the retrograde component exert a proatherogenic effect on the endothelium (Laughlin et al., 2008; Thijssen et al., 2009b).

85

86

87

88

89

90

91

92

84

Immediately after the onset of lower limb cycle exercise, an increased retrograde flow or SR is present in the brachial artery (Green *et al.*, 2002; Thijssen *et al.*, 2009a), probably due to an increase in vascular resistance in the inactive upper limbs that is mediated through sympathetic vasoconstriction (Padilla *et al.*, 2010). This SR pattern at the onset of exercise alters when exercise continues, represented by an attenuation of the retrograde SR and simultaneous increase in mean and antegrade SR (Simmons *et al.*, 2011). Simmons and colleagues demonstrated that normalization of retrograde SR is partly related to

thermoregulatory responses (Simmons *et al.*, 2011). More specifically, exercise caused an increase in core body and skin temperatures as well as a decrease in peripheral vascular tone, which subsequently resulted in a normalization of the retrograde SR during prolonged exercise in healthy volunteers (Simmons *et al.*, 2011).

HF patients are known to have peripheral vascular abnormalities (Packer, 1988; Drexler *et al.*, 1993; Drexler, 1995; Poelzl *et al.*, 2005) and/or altered thermoregulatory responses to exercise (Griffin *et al.*, 1993; Cui *et al.*, 2005; Green *et al.*, 2006). More specifically, HF patients have a diminished endothelial function (Drexler *et al.*, 1993) and demonstrate an attenuated forearm blood flow response to handgrip exercise (Takeshita *et al.*, 1996). Moreover, (short-term) exercise causes a decrease in core body and skin temperature in HF patients (Shellock *et al.*, 1983; Griffin *et al.*, 1993). These abnormalities could affect SR pattern during exercise in HF patients. To our knowledge, no previous study examined SR patterns during exercise in HF patients. Therefore, the primary purpose of our study was to compare the changes in brachial artery SR pattern during lower limb exercise between HF patients and healthy controls. We hypothesize that HF patients have different brachial artery SR responses to lower limb cycle exercise compared to controls. Specifically, we expect HF patients to have 1) an attenuated exercise-mediated increase in mean and antegrade SR and 2) prolonged retrograde SR compared to healthy controls.

METHODS

Ethical approval

This study was approved by the Medical Ethical Committee of the Radboud university medical center (CMO Regio Arnhem-Nijmegen) and complies with the Declaration of

Helsinki. Written informed consent was obtained from each participant before inclusion in this study.

Participants

Fourteen patients (65±7 yrs, 13:1 male:female) with HF New York Heart Association class I-III and a left ventricular ejection fraction lower than 45% were recruited from the Departments of Cardiology of the Radboud university medical center and Canisius Wilhelmina Hospital (Nijmegen, The Netherlands) (Table 1). Furthermore, we recruited 14 healthy controls (61±5 yrs, 12:2 male:female) (Table 1). All patients were in a pharmacologically and clinically stable situation for at least one month. One patient increased the dosage of fosinopril one week prior to the measurements. Control participants were free of overt cardiovascular diseases and did not use medication affecting the cardiovascular system. None of the participants were diagnosed with diabetes mellitus.

Experimental protocol

Participants reported to the laboratory twice and were instructed to continue their medication (e.g. β -blockers), with the exception of diuretics for practical reasons, prior to all measurements. On day 1, a medical screening was performed after which participants underwent a maximal incremental cycling test to determine physical fitness. Prior to day 2, participants refrained from consuming coffee, tea, chocolate, vitamin C and alcohol for 18 hours prior to testing. Participants were instructed to avoid any strenuous physical activity within the 24 hours before testing, and to consume a light meal at least two hours before testing. The measurements were performed in a temperature-controlled room (21.9 \pm 0.8 °C). After instrumentation, participants rested in the supine position for 10 minutes, followed by measurement of blood pressure. Subsequently, the participants were positioned on the cycle

ergometer for a 30-minute moderate-intensity exercise, preceded by a 10-minute warm-up. We continuously measured brachial artery diameter and SR pattern using ultrasound and forearm skin temperature using skin thermistors.

Day 1: Maximal incremental cycling test

The incremental maximal cycling test was performed on a cycle ergometer (Lode, Excalibur v1.52, 1991, Groningen, the Netherlands/Ergoline, Ergoselect 200k, Bitz, Germany). After a 2-minute baseline measurement, participants started cycling and workload was increased by 10-25 Watt per minute, depending on the sex, age and height of the healthy participants (Jones *et al.*, 1985) and the estimated physical fitness of the HF patients. Participants were instructed to pedal at a frequency of ≥60 rpm until volitional fatigue. All participants reached volitional fatigue during this test, whilst none of the tests were symptom-limited. During exercise we continuously measured oxygen uptake (breath-by-breath, CPET Cosmed v9.1b, Rome, Italy/LabManager V5.32.0) to determine peak oxygen uptake (VO_{2peak}), which was defined as the average oxygen uptake during the last 30 seconds of the exercise test.

Day 2: Moderate-intensity lower limb cycle exercise

Work rate was matched such that all participants exercised at the same relative intensity. We used heart rate to match work rate between participants, by aiming for a heart rate that corresponded to a certain percentage of the VO_{2peak} (derived from the maximal incremental cycling test). Given the marked differences in fitness between HF and controls, matching at absolute workload would result in extremely low levels of workload for controls (which are not realistic for real-life situation). A 10-minute warm-up at a work rate equivalent to 40% VO_{2peak} was performed, followed by a 30-minute moderate-intensity exercise at a work rate equivalent to 65% VO_{2peak} . To verify intensity during exercise, heart rate was registered

continuously using a heart rate monitor (Polar Electro Oy, Kempele, Finland). Due to practical and technical difficulties, we were not able to continuously measure blood pressure during exercise in our participants. At the end of the warm-up and at 10-minute intervals, the Borg score (6-20 scale) for perceived exertion was obtained (Borg et al., 1987). Participants were allowed to pedal at their preferred rotation frequency, but at least at ≥50 rotations per minute.

Brachial artery shear rate pattern

To measure brachial artery SR pattern the right arm was extended to the side, supported by a memory foam cushion, at an angle of $\approx 80^{\circ}$ from the torso. The right brachial artery was imaged in the distal third of the upper arm by a 10-MHz multifrequency linear array probe attached to a high-resolution ultrasound machine (Terason T3000, Aloka, UK) by a well-trained sonographer (NMMB). Ultrasound parameters were optimized to obtain B-mode images from artery lumen and wall. Doppler velocity was measured simultaneously with an insonation angle of $<60^{\circ}$. A 2-minute baseline recording was acquired preceding the exercise protocol. During the exercise bout, 1-minute ultrasound recordings were made every 5 minutes. The acquired images were recorded and stored as a digital AVI file for later analysis.

Forearm skin temperature

Previous work has related thermoregulatory changes, or more specifically skin perfusion, to changes in the upstream conduit arteries (Simmons *et al.*, 2011). Unfortunately, technology to assess skin perfusion such as laser-Doppler was not available at the time of testing. In order to acquire information on thermoregulatory changes, we measured forearm skin temperature. Although skin temperature and skin perfusion during exercise seem to follow a similar pattern (Simmons *et al.*, 2011; Demachi *et al.*, 2013), relatively little is known about the relation

between skin temperature and perfusion during exercise and factors influencing this relationship (Taylor *et al.*, 2014). Forearm skin temperature was measured every 30 seconds using iButtons (Thermochron iButton DS1291H, Dallas Maxim). The skin thermistors were attached to the skin using medical tape at the right lower arm, wrist and hand (dorsal side). Forearm skin temperature was calculated as the average skin temperature of these three locations. Baseline values were determined from the average over the 5 minutes preceding exercise. Skin temperature data was analyzed using Matlab (Matlab R2008a, MathWorks, Natick, MA) and for each time-point averaged over the preceding 5 minutes.

Data analysis

Brachial artery diameter and flow velocity images were analyzed using custom-designed edge-detecting and wall-tracking software, which ensures accurate and reproducible analysis (Woodman *et al.*, 2001). This process is described in previous studies (Black *et al.*, 2008; Thijssen *et al.*, 2009a; Thijssen *et al.*, 2009b). In short, the software analysis is based on an icon-based graphical programming language. A pixel-density algorithm automatically identifies the near and far wall of the artery to trace the artery diameter, whilst another algorithm traces the red blood cell velocity signal. Average values of the diameter are calculated, stored and synchronized with blood velocity data to obtain blood flow, SR and oscillatory shear index.

Blood flow was calculated as the product of cross-sectional area of the brachial artery (cm²) and Doppler mean blood flow velocity (cm/s). SR was defined as 4 X V_m/D, where V_m is Doppler mean blood flow velocity (cm/s) and D is arterial diameter (cm). Retrograde SR is defined as negative SR, in which an increase in retrograde SR entails more negative shear. Oscillatory shear index was determined by |retrograde SR|/(|retrograde SR|+antegrade SR) (Padilla *et al.*, 2010; Simmons *et al.*, 2011). The oscillatory shear index can range from 0 to

0.5, in which 0 indicates unidirectional SR and 0.5 represents maximal shear oscillations (Simmons *et al.*, 2011).

Statistical analysis

Based on pilot work in our laboratory, we calculated that we need 14 participants to detect a
difference of 0.13 in oscillatory shear index and estimated SD of this difference of 0.116
(power of 80%, alpha of 0.05) (GPower 3.0.10, Düsselfdorf, Germany). Differences in
baseline characteristics between HF patients and controls were compared using independent
Student's t tests. The sex distribution between HF patients and controls was compared with a
Chi-square test. A 2-way repeated measures ANOVA was used to examine whether exercise-
induced changes in mean, antegrade and retrograde SR, oscillatory shear index and skin
temperature ('time'; within-subject factor) differ between HF patients and healthy controls
('group'; between-subject factor). When a significant main or interaction effect was observed,
post-hoc tests with Least Square Difference were used to identify differences between groups
(at the various time points) and within groups (when compared to baseline). Due to the large
difference in absolute workload between HF patients and controls, we included a subgroup
analysis with comparable absolute workload. For this purpose, we included 5 HF patients with
the highest and 5 controls with the lowest absolute workload in this explorative, statistically
underpowered subgroup analysis. Data are presented as mean \pm SD unless stated otherwise.
Significance level was set at P≤0.05.

RESULTS

Subject characteristics

Compared to controls, HF patients demonstrated a higher BMI and lower VO_{2peak}, whilst no significant differences between HF patients and controls were found for age, sex, body weight and blood pressure (systolic and diastolic) (Table 1). Cardiovascular medication use by HF patients is presented in Table 2. Both groups performed exercise at comparable intensity when presented as relative workload (%max), and rate of perceived exertion (Borg score) (Table 3).

247

248

249

250

251

252

253

254

255

256

257

258

259

260

261

262

263

264

265

266

242

243

244

245

246

Brachial artery SR and blood flow pattern

Brachial artery diameter was not different between groups at baseline and did not change significantly across the exercise bout in both groups (Table 4, 'time*group'-interaction P=0.18). Baseline brachial artery SR and blood flow were not different between groups (Figure 1, Table 4). Mean SR (Figure 1A) and blood flow (Table 4) initially decreased in both groups at the onset of exercise (warm-up), followed by a gradual increase when exercise continued (both 'time'-effect: P<0.001). Interestingly, HF patients demonstrated a significantly smaller increase in mean SR (Figure 1A) and blood flow (Table 4) compared to controls (both 'time*group'-interaction: P<0.05). Brachial artery antegrade SR (Figure 1B) and blood flow (Table 4) increased across the lower limb cycle exercise bout in both groups, whilst this increase was significantly lower in HF patients compared to controls (both 'time*group'-interaction: P<0.001). Retrograde SR (Figure 1C) and blood flow (Table 4) increased at the onset of exercise in both groups, and remained increased throughout the exercise period in both groups (both 'time'-effect: P<0.001). To correct for individual differences in antegrade and retrograde SR, we also presented oscillatory shear index. After the onset of exercise, oscillatory shear index increased immediately in both groups (Figure 1D). In controls, oscillatory shear index returned to baseline values after 35 minutes of exercise, whilst oscillatory shear index remained elevated in HF patients across the exercise bout (Figure 1D, 'time*group'-interaction: P=0.029).

268

269

Forearm skin temperature

- Skin temperature of the arm decreased initially in both groups (Figure 2, 'time'-effect:
- 270 P<0.001). Lower limb cycle exercise induced a significant increase in skin temperature in
- 271 controls after 40 minutes, whilst in HF patients skin temperature did not increase above
- baseline values (Figure 2, 'time*group'-interaction: P=0.002).

273

274

Subgroup analysis (comparable absolute workload)

- 275 Subject characteristics. In the subgroup analysis, we included 5 HF patients (64±7 yrs, 5
- males, peak oxygen uptake 22.5±3.4) and 5 controls (64±7 yrs, 3:2 male:female, peak oxygen
- uptake 28.4±8.2). Medication use in the HF patient group was: β-blockers (100%), statins
- 278 (100%), diuretics (60%), angiotensine converting enzyme-inhibitors (60%), aldosterone
- antagonists (40%), coumarin derivatives (60%), antiplatelet drugs (40%), and angiotensine II
- antagonists (60%). The exercise bout was performed at comparable absolute workload; 97±13
- Watt and 90±22 Watt in HF patients and controls respectively (P=0.59). HF patients and
- 282 controls performed exercise at comparable intensity when presented as relative heart rate
- 283 (77±9% *versus* 78±9%, P=0.79) and rate of perceived exertion (14±2 *versus* 14±2, P=0.89).

284

- Brachial artery SR and blood flow. Subgroup analysis at comparable absolute workloads
- 286 revealed that brachial artery diameter and SR were not different between groups at baseline
- 287 (all P>0.05, data not presented). A significant main effect of 'time' (all P<0.001) was
- 288 observed for mean, antegrade and retrograde SR and oscillatory index in the subgroup
- analysis. A significant 'time*group'-interaction effect was found for mean SR (P=0.030),
- 290 with post-hoc analysis revealing a smaller exercise-induced increase in mean SR in HF
- 291 patients compared to controls. Such differences between groups did not reach statistical

significance for antegrade SR, retrograde SR or oscillatory index (Figure 3). Similar to the SR data, a significant main effect for 'time' and 'time*group'-interaction was observed for mean blood flow, but not for antegrade and retrograde blood flow (data not shown). No changes in brachial artery diameter were observed for both groups (data not shown).

Forearm skin temperature. A significant 'time'-effect (P=0.029) was found. A trend for an increase in skin temperature in controls, but not in HF, can be observed ('time*group'-interaction; P=0.09, Figure 4).

DISCUSSION

This study investigated the impact of HF on brachial artery SR and blood flow pattern during lower limb cycle exercise. We have demonstrated that healthy controls as well as HF patients demonstrate a marked increase in oscillatory shear index after the onset of moderate-intensity cycle exercise, which is largely explained by an increase in retrograde SR. Secondly, when exercise continues, oscillatory shear index normalizes in controls, which coincides with a further increase in mean and antegrade SR and increase in forearm skin temperature. In contrast, HF patients demonstrate no normalization of oscillatory shear index, an attenuated increase in mean and antegrade SR and no increase in forearm skin temperature when exercise continues. When analyzing subgroups in which participants performed exercise at comparable *absolute* workloads, although underpowered, the presence of distinct blood flow and shear rate responses between HF patients and controls seems to be confirmed. Therefore, the difference in SR is unlikely to be fully explained by the differences in absolute workload. Taken together, our findings suggest that HF patients show a potentially less favorable SR pattern during exercise than controls.

318

319

320

321

322

323

324

325

326

327

328

329

330

331

332

333

334

The primary purpose of our study was to compare the changes in SR during exercise between healthy controls and HF patients. First, we found an attenuated increase in brachial artery mean and antegrade SR during cycle exercise in HF patients compared to controls. A second finding is that, after the characteristic initial increase in retrograde SR and oscillatory shear index during cycle exercise, HF patients demonstrate no change in retrograde SR or oscillatory shear index. The distinct SR responses to exercise in HF patients may relate to the lower absolute workload and/or heart rate in the HF patient group, as a higher workload and heart rate is typically associated with a larger increase in cardiac output (Beck et al., 2006; Fukuda et al., 2012). Due to the large difference in absolute workload between HF patients and controls, we have provided a subgroup analysis in which absolute workload was comparable between groups. This analysis confirmed the presence of an attenuated increase in mean SR during exercise in HF patients compared to controls. Although statistically underpowered, the P-value for between-group differences in retrograde SR approached significance and mean data for antegrade/retrograde SR and oscillatory shear index was comparable to the original analysis. Therefore, difference in the exercise-induced changes in SR and blood flow between groups is unlikely fully explained by the difference in absolute workload.

335

336

337

338

339

340

341

Various factors may contribute to the distinct SR pattern during exercise between HF and controls. First, SR pattern is directly influenced by peripheral vascular resistance (Baccelli *et al.*, 1985; Thijssen *et al.*, 2014). The inability to attenuate retrograde SR, and hereby oscillatory shear index, in HF patients may relate to an elevated peripheral resistance during exercise. Indeed, an enhanced forearm vascular resistance in HF patients was found previously during cycle exercise (Chiba *et al.*, 2007), supporting this suggestion. One

potential explanation for the enhanced peripheral resistance is an elevated sympathetic nerve system activity in HF patients (Packer, 1988; Triposkiadis *et al.*, 2009) that may remain present during exercise (Chidsey *et al.*, 1962). Unfortunately, due to technical and practical difficulty, we were unable to provide insight into the exercise-induced changes in blood pressure (and therefore peripheral arterial resistance). Alternatively, the distinct SR patterns during cycle exercise may relate to different thermoregulatory changes during exercise as skin cooling is demonstrated to increase the degree of retrograde SR during cycling (Simmons *et al.*, 2011). The absent increase in skin temperature during cycle exercise in HF patients in our study may contribute to the inability to normalize retrograde SR and oscillatory shear index. Lower metabolic heat production may contribute to our observations when comparing all participants (Jay *et al.*, 2011). However, analysis of subgroups with comparable workload suggests that HF patients still demonstrate an absent increase in skin temperature during cycle exercise.

During the initial phase of exercise an increase in antegrade and retrograde SR and oscillatory shear index have been described (Green *et al.*, 2002; Thijssen *et al.*, 2009a). Recently, Simmons *et al.*, found a normalization of retrograde SR and an increase in forearm skin temperature when exercise continues (Simmons *et al.*, 2011). Interestingly, these findings in young subjects contrast with our observations in older humans, as we found that retrograde SR was not normalized during cycle exercise and that forearm skin temperature only demonstrated a late increase in healthy older controls. Although we did not intend to directly compare young and older subjects, these data suggest that advanced age is associated with delayed normalization of brachial artery SR pattern during exercise. Future studies are required to better understand the impact of advanced age on SR pattern during exercise.

Clinical Relevance. Although previous studies investigating the effects of endurance exercise training in HF patients found improvement in brachial artery vascular function after training (Belardinelli et al., 2005; Wisloff et al., 2007), the prolonged exposure to a less favorable shear pattern as observed in our study, may prevent HF patients to optimally benefit from exercise training. Although the differences in shear pattern may be less pronounced when comparing groups who exercised at comparable absolute levels, it should be acknowledged that exercise prescription (especially in rehabilitation settings) is based on relative exercise intensity levels. Therefore, this study adopted an exercise intensity level and duration that is typically applied in rehabilitation settings in HF patients. Importantly, HF patients were on optimal pharmacological treatment, which improves extrapolation of our findings to daily life situations. Whether different types or forms of exercise that are associated with a larger antegrade SR and/or smaller retrograde SR lead to larger improvements in vascular function is currently speculative, and should be subject for future research.

Limitations. A potential limitation is the difference in BMI between HF and controls, since BMI may affect skin temperature responses during exercise. However, with some studies indicating that fat mass does not influence skin temperature responses to exercise (Limbaugh *et al.*, 2013; Adams *et al.*, 2014) and others reporting impaired skin temperature and blood flow responses to exercise (Vroman *et al.*, 1983; Havenith *et al.*, 1995), the effect of fat mass on skin temperature during exercise is unclear. Given the modest differences in BMI between our groups, and the conflicting results from previous work, we believe that difference in BMI between groups unlikely explains our observations. Another potential limitation was the use of β-blockers by HF patients as this induces a lower resting and peak heart rate (Witte *et al.*, 2006). However, since hemodynamic responses to exercise are significantly improved in HF patients by β-blocker therapy (Andersson *et al.*, 1994), withdrawing β-blockers is expected to

Blood flow responses to endurance exercise in heart failure - Benda et al.

enlarge the differences in thermoregulatory responses to exercise between HF patients and controls. Moreover, we intended to study thermoregulatory responses during real life situations for HF patients and therefore continued medication. Finally, other limitations of our study are the underpowered subgroup analysis and that we were not able to measure skin perfusion during exercise.

In conclusion, we found that, when exercise is matched at relative intensity (65% of VO_{2peak}), HF patients demonstrate prolonged exposure to a less favourable brachial artery SR pattern during lower limb cycle exercise. More specifically, HF patients demonstrate an attenuated increase in mean and antegrade SR during exercise, but also a prolonged increase in oscillatory shear index. The latter observation coincides with an absent increase in forearm skin temperature across the exercise bout. These distinct blood flow and SR patterns between groups are unlikely to be fully explained by differences in absolute workload, but possibly relate to between-group differences in vascular regulation. Therefore, our data suggest that, when HF patients perform exercise at a level that is commonly adopted in rehabilitation settings, HF patients are exposed to a less favorable shear pattern compared to controls. The exact consequences for (vascular) adaptation to rehabilitation should be further explored.

111	References
112	Adams JD, Ganio MS, Burchfield JM, Matthews AC, Werner RN, Chokbengboun AJ, Dougherty EK
113	& LaChance AA (2014). Effects of obesity on body temperature in otherwise-healthy females
114	when controlling hydration and heat production during exercise in the heat. Eur J Apple
115	Physiol.
116	
117	Andersson B, Hamm C, Persson S, Wikstrom G, Sinagra G, Hjalmarson A & Waagstein F (1994)
118	Improved exercise hemodynamic status in dilated cardiomyopathy after beta-adrenergic
119	blockade treatment. J Am Coll Cardiol 23, 1397-1404.
120	
121	Baccelli G, Pignoli P, Corbellini E, Pizzolati PL, Bassini M, Longo T & Zanchetti A (1985)
122	Hemodynamic factors changing blood flow velocity waveform and profile in normal human
123	brachial artery. Angiology 36, 1-8.
124	
125	Beck KC, Randolph LN, Bailey KR, Wood CM, Snyder EM & Johnson BD (2006). Relationship
126	between cardiac output and oxygen consumption during upright cycle exercise in healthy
127	humans. J Appl Physiol (1985) 101, 1474-1480.
128	
129	Belardinelli R, Lacalaprice F, Faccenda E, Purcaro A & Perna G (2005). Effects of short-term
130	moderate exercise training on sexual function in male patients with chronic stable hear
131	failure. Int J Cardiol 101, 83-90.
132	
133	Birk GK, Dawson EA, Atkinson C, Haynes A, Cable NT, Thijssen DH & Green DJ (2012). Brachial
134	artery adaptation to lower limb exercise training: role of shear stress. J Appl Physiol 112
135	1653-1658.
136	
137	Black MA, Cable NT, Thijssen DH & Green DJ (2008). Importance of measuring the time course of
138	flow-mediated dilatation in humans. Hypertension 51, 203-210

439	
440	Blackshear WM, Jr., Phillips DJ & Strandness DE, Jr. (1979). Pulsed Doppler assessment of normal
441	human femoral artery velocity patterns. J Surg Res 27, 73-83.
442	
443	Borg G, Hassmen P & Lagerstrom M (1987). Perceived exertion related to heart rate and blood lactate
444	during arm and leg exercise. Eur J Appl Physiol Occup Physiol 56, 679-685.
445	
446	Brubaker PH (1997). Exercise intolerance in congestive heart failure: a lesson in exercise physiology.
447	J Cardiopulm Rehabil 17, 217-221.
448	
449	Chiba Y, Maehara K, Yaoita H, Yoshihisa A, Izumida J & Maruyama Y (2007). Vasoconstrictive
450	response in the vascular beds of the non-exercising forearm during leg exercise in patients
451	with mild chronic heart failure. Circ J 71, 922-928.
452	
453	Chidsey CA, Harrison DC & Braunwald E (1962). Augmentation of the plasma nor-epinephrine
454	response to exercise in patients with congestive heart failure. N Engl J Med 267, 650-654.
455	
456	Cui J, Arbab-Zadeh A, Prasad A, Durand S, Levine BD & Crandall CG (2005). Effects of heat stress
457	on thermoregulatory responses in congestive heart failure patients. Circulation 112, 2286-
458	2292.
459	
460	Demachi K, Yoshida T, Kume M, Tsuji M & Tsuneoka H (2013). The influence of internal and skin
461	temperatures on active cutaneous vasodilation under different levels of exercise and ambient
462	temperatures in humans. Int J Biometeorol 57, 589-596.
463	
464	Drexler H (1995). Changes in the peripheral circulation in heart failure. Curr Opin Cardiol 10, 268-
465	273.
466	

467	Drexler H, Hayoz D, Munzel T, Just H, Zelis R & Brunner HR (1993). Endothelial function in
468	congestive heart failure. Am Heart J 126, 761-764.
469	
470	Fukuda T, Matsumoto A, Kurano M, Takano H, Iida H, Morita T, Yamashita H, Hirata Y, Nagai R &
471	Nakajima T (2012). Cardiac output response to exercise in chronic cardiac failure patients. Int
472	Heart J 53, 293-298.
473	
474	Green D, Cheetham C, Reed C, Dembo L & O'Driscoll G (2002). Assessment of brachial artery blood
475	flow across the cardiac cycle: retrograde flows during cycle ergometry. J Appl Physiol (1985)
476	93, 361-368.
477	
478	Green DJ, Maiorana AJ, Siong JH, Burke V, Erickson M, Minson CT, Bilsborough W & O'Driscoll G
479	(2006). Impaired skin blood flow response to environmental heating in chronic heart failure.
480	Eur Heart J 27 , 338-343.
481	
482	Green DJ, O'Driscoll G, Joyner MJ & Cable NT (2008). Exercise and cardiovascular risk reduction:
483	time to update the rationale for exercise? J Appl Physiol 105, 766-768.
484	
485	Griffin MJ, O'Sullivan JJ, Scott A & Maurer BJ (1993). Core and peripheral temperature response to
486	exercise in patients with impaired left ventricular function. Br Heart J 69, 388-390.
487	
488	Hambrecht R, Fiehn E, Weigl C, Gielen S, Hamann C, Kaiser R, Yu J, Adams V, Niebauer J &
489	Schuler G (1998). Regular physical exercise corrects endothelial dysfunction and improves
490	exercise capacity in patients with chronic heart failure. Circulation 98, 2709-2715.
491	
492	Havenith G, Luttikholt VG & Vrijkotte TG (1995). The relative influence of body characteristics on
493	humid heat stress response. Eur J Appl Physiol Occup Physiol 70, 270-279.
494	

495	Jay O, Bain AR, Deren TM, Sacheli M & Cramer MN (2011). Large differences in peak oxygen		
496	uptake do not independently alter changes in core temperature and sweating during exercise		
497	Am J Physiol Regul Integr Comp Physiol 301, R832-841.		
498			
499	Jones NL, Makrides L, Hitchcock C, Chypchar T & McCartney N (1985). Normal standards for an		
500	incremental progressive cycle ergometer test. Am Rev Respir Dis 131, 700-708.		
501			
502	Laughlin MH, Newcomer SC & Bender SB (2008). Importance of hemodynamic forces as signals for		
503	exercise-induced changes in endothelial cell phenotype. J Appl Physiol 104, 588-600.		
504			
505	Limbaugh JD, Wimer GS, Long LH & Baird WH (2013). Body fatness, body core temperature, and		
506	heat loss during moderate-intensity exercise. Aviat Space Environ Med 84, 1153-1158.		
507			
508	Maiorana A, O'Driscoll G, Cheetham C, Collis J, Goodman C, Rankin S, Taylor R & Green D		
509	(2000a). Combined aerobic and resistance exercise training improves functional capacity and		
510	strength in CHF. J Appl Physiol 88, 1565-1570.		
511			
512	Maiorana A, O'Driscoll G, Dembo L, Cheetham C, Goodman C, Taylor R & Green D (2000b). Effect		
513	of aerobic and resistance exercise training on vascular function in heart failure. Am J Physiol		
514	Heart Circ Physiol 279 , H1999-2005.		
515			
516	Packer M (1988). Neurohormonal interactions and adaptations in congestive heart failure. Circulation		
517	77 , 721-730.		
518			
519	Padilla J, Young CN, Simmons GH, Deo SH, Newcomer SC, Sullivan JP, Laughlin MH & Fadel PJ		
520	(2010). Increased muscle sympathetic nerve activity acutely alters conduit artery shear rate		
521	patterns. Am J Physiol Heart Circ Physiol 298, H1128-1135.		

523	Poelzl G, Frick M, Huegel H, Lackner B, Alber HF, Mair J, Herold M, Schwarzacher S, Pachinger O
524	& Weidinger F (2005). Chronic heart failure is associated with vascular remodeling of the
525	brachial artery. Eur J Heart Fail 7, 43-48.
526	
527	Shellock FG, Rubin SA, Ellrodt AG, Muchlinski A, Brown H & Swan HJ (1983). Unusual core
528	temperature decrease in exercising heart-failure patients. J Appl Physiol Respir Environ Exerc
529	Physiol 54 , 544-550.
530	
531	Simmons GH, Padilla J, Young CN, Wong BJ, Lang JA, Davis MJ, Laughlin MH & Fadel PJ (2011).
532	Increased brachial artery retrograde shear rate at exercise onset is abolished during prolonged
533	cycling: role of thermoregulatory vasodilation. J Appl Physiol (1985) 110, 389-397.
534	
535	Takeshita A, Hirooka Y & Imaizumi T (1996). Role of endothelium in control of forearm blood flow
536	in patients with heart failure. J Card Fail 2, S209-215.
537	
538	Taylor NA, Tipton MJ & Kenny GP (2014). Considerations for the measurement of core, skin and
539	mean body temperatures. J Therm Biol 46C, 72-101.
540	
541	Thijssen DH, Atkinson CL, Ono K, Sprung VS, Spence AL, Pugh CJ & Green DJ (2014).
542	SYMPATHETIC NERVOUS SYSTEM ACTIVATION, ARTERIAL SHEAR RATE AND
543	FLOW MEDIATED DILATION. J Appl Physiol (1985).
544	
545	Thijssen DH, Dawson EA, Black MA, Hopman MT, Cable NT & Green DJ (2009a). Brachial artery
546	blood flow responses to different modalities of lower limb exercise. Med Sci Sports Exerc 41,
547	1072-1079.
548	
549	Thijssen DH, Dawson EA, Tinken TM, Cable NT & Green DJ (2009b). Retrograde flow and shear
550	rate acutely impair endothelial function in humans. <i>Hypertension</i> 53 , 986-992.

551	
552	Tinken TM, Thijssen DH, Hopkins N, Black MA, Dawson EA, Minson CT, Newcomer SC, Laughlin
553	MH, Cable NT & Green DJ (2009). Impact of shear rate modulation on vascular function in
554	humans. Hypertension 54, 278-285.
555	
556	Tinken TM, Thijssen DH, Hopkins N, Dawson EA, Cable NT & Green DJ (2010). Shear stress
557	mediates endothelial adaptations to exercise training in humans. <i>Hypertension</i> 55 , 312-318.
558	
559	Triposkiadis F, Karayannis G, Giamouzis G, Skoularigis J, Louridas G & Butler J (2009). The
560	sympathetic nervous system in heart failure physiology, pathophysiology, and clinical
561	implications. J Am Coll Cardiol 54, 1747-1762.
562	
563	Vroman NB, Buskirk ER & Hodgson JL (1983). Cardiac output and skin blood flow in lean and obese
564	individuals during exercise in the heat. J Appl Physiol Respir Environ Exerc Physiol 55, 69-
565	74.
566	
567	Wisloff U, Stoylen A, Loennechen JP, Bruvold M, Rognmo O, Haram PM, Tjonna AE, Helgerud J,
568	Slordahl SA, Lee SJ, Videm V, Bye A, Smith GL, Najjar SM, Ellingsen O & Skjaerpe T
569	(2007). Superior cardiovascular effect of aerobic interval training versus moderate continuous
570	training in heart failure patients: a randomized study. Circulation 115, 3086-3094.
571	
572	Witte KK, Cleland JG & Clark AL (2006). Chronic heart failure, chronotropic incompetence, and the
573	effects of beta blockade. Heart 92, 481-486.
574	
575	Woodman RJ, Playford DA, Watts GF, Cheetham C, Reed C, Taylor RR, Puddey IB, Beilin LJ, Burke
576	V, Mori TA & Green D (2001). Improved analysis of brachial artery ultrasound using a novel
577	edge-detection software system. J Appl Physiol (1985) 91, 929-937.

Blood flow responses to endurance exercise in heart failure - Benda et al.

579	Zelis R, Mason DT & Braunwald E (1969). Partition of blood flow to the cutaneous and muscular
580	beds of the forearm at rest and during leg exercise in normal subjects and in patients with heart
581	failure. Circ Res 24, 799-806.
582	
583	
584	

COMPETING INTERESTS 585 No conflicts of interest, financial or otherwise, are declared by the author(s). 586 587 **AUTHOR CONTRIBUTIONS** 588 589 Author contributions: N.M.B., M.T.H., D.H.T., conception and design of research. N.M.B., D.P.L. data acquisition and analysis. N.M.B., M.T.H., D.H.T. interpreted results of research. 590 N.M.B. prepared figures. N.M.B. drafted manuscript. N.M.B., J.P.S., D.P.L., A.P.D., L.B., 591 592 M.T.H., D.H.T. edited and revised manuscript. N.M.B., J.P.S., D.P.L., A.P.D., L.B., M.T.H., 593 D.H.T. approved final version of manuscript. 594 **FUNDING** 595 Dr. Dick Thijssen is financially supported by the Netherlands Heart Foundation (E Dekker-596 597 stipend, 2009T064). 598

Table 1: Subject characteristics in HF patients (n=14) and healthy controls (n=14).

Parameter	Heart failure	Controls	P-value
Age (yrs)	65±7	61±5	0.06
Sex (male:female) ¹	13:1	12:2	0.54
Body weight (kg)	91±21	79±16	0.12
Height (cm)	175±5	179±5	0.044
BMI (kg/m^2)	29.4±6.7	24.7±4.6	0.037
NYHA class (I:II:III)	1:10:3		
Systolic blood pressure (mmHg)	130±17	129±15	0.87
Diastolic blood pressure (mmHg)	81±10	85±9	0.29
Resting heart rate (/min)	59±8	60±10	0.76
Peak heart rate (/min)	132±18	166±18	< 0.001
Peak oxygen uptake (mlO ₂ /kg/min)	19.9±4.1	38.6±11.4	< 0.001
Fasting glucose (mmol/L) ²	5.47±0.61		
BNP-level (pg/mL) ³	77±95	9±8	0.010
Current smoker (yes:no)	1:13	1:13	1.00

Data is presented as mean ± SD. P-value refers to an unpaired Student's *t*-test for continuous variables. P-value refers to Chi-Square test for sex. Fasting glucose levels were available for 10 HF patients. P-value refers to a Mann-Whitney U test for BNP-level. BNP-levels were available for 11 HF patients and 13 control participants. BMI; body mass index. BNP; brain natriuretic peptide.

607

608

Table 2: Cardiovascular medication use in HF patients (n=14).

Medication	Number of patients (%)	
ACE-inhibitors	9 (64%)	
Angiotensin II receptor antagonists	5 (36%)	
Aldosterone antagonists	10 (71%)	
Diuretics	8 (57%)	
β-blockers	13 (93%)	
Coumarin derivatives	9 (64%)	
Antiplatelet drugs	5 (36%)	
Statins	11 (79%)	

ACE; angiotensine converting enzyme.

Table 3: Characteristics of the cycle exercise bout in HF patients (n=14) and controls (n=14).

Parameter	HF patients	Controls	P-value
Absolute workload (Watt)	73±23	122±29	< 0.001
Relative workload (%max)	53±12	50±6	0.43
Average heart frequency (/min)	94±15	129±17	< 0.001
Average heart frequency (%max)	72±8	78±7	0.051
Borg score 10min	12±2	12±2	0.54
Borg score 20min	13±2	13±2	0.62
Borg score 30min	14±3	14±2	0.59

Data is presented as mean \pm SD. %max; percentage of maximally achieved workload/heart

frequency.

609

Table 4: Brachial artery diameter and blood flow at baseline and during exercise in HF patients (n=14) and healthy controls (C) (n=14).

		Time									2-way ANOVA		
Parameter	Group	0	5	10	15	20	25	30	35	40	time	group	time*group
Diameter (mm)	HF	4.4±0.6	4.4±0.6	4.3±0.6	4.3±0.6	4.3±0.7	4.3±0.7	4.3±0.6	4.3±0.6	4.3±0.5	0.249	0.16	0.18
	C	4.0±0.4	4.0±0.5	4.0±0.6	3.9±0.4	4.0±0.5	4.1±0.4	4.1±0.5	4.1±0.5	4.0±0.6			
Mean blood flow (mL/min)	HF	52±33	33±36*	41±35	36±34	50±53	55±49	85±68	92±73*	96±75*	< 0.001	0.07	0.001
	C	47±34	25±34*	34±38*	50±40	81±61*	118±60*#	140±69*#	146±63*#	177±77*#			
Antegrade blood flow (mL/min)	HF	74±32	93±38*	95±30*	121±36*	134±52*	138±49*	164±63*	166±58*	170±67*	< 0.001	0.12	< 0.001
	C	59±31	95±46*	102±50*	143±61*	168±68*	202±73*#	219±91*	219±72*#	237±86*#			
Retrograde blood flow (mL/min)	HF	-22±26	-60±32*	-54±27*	-85±35*	-84±38*	-83±46*	-79±39*	-74±45*	-74±47*	< 0.001	0.93	0.32
	C	-12±10	-70±30*	-68±29*	-93±40*	-87±41*	-84±41*	-79±47*	-72±37*	-60±36*			
Heart frequency (beats/min)	HF	62±8	75±11*	79±11*	89±12*	93±14*	94±14*	96±16*	97±17*	97±18*	< 0.001	< 0.001	< 0.001
	C	67±11	90±13*#	93±14*#	114±15*#	125±18*#	127±18*#	134±19*#	134±18*#	139±20*#			

Data is presented as mean \pm SD. * Post-hoc *t*-test significantly different compared to baseline at P<0.05. # Post-hoc *t*-test significantly different compared to HF patients at P<0.05.

FIGURE LEGENDS

FIGURE 1. A. Brachial artery mean (A), antegrade (B) and retrograde SR (C) and oscillatory shear index (D) at baseline and during exercise in HF patients (n=14) and controls (n=14).

HF patients demonstrate a lower exercise-induced increase in antegrade and mean SR and an increased oscillatory index during exercise. Error bars represent SE. Results from the 2-way repeated measures ANOVA are presented in the figure. *Post-hoc *t*-test significantly different compared to baseline at P<0.05 for individual groups (i.e. significant time*group'-interaction) or both groups combined (i.e. no significant time*group-interaction). #Post-hoc *t*-test significantly different compared to HF patients at P<0.05.

FIGURE 2. Skin temperature of the right forearm at baseline and during exercise in HF patients (n=14) and controls (n=14).

Forearm skin temperature decreased initially in both groups, after which skin temperature increases in controls, whilst forearm skin temperature remains decreased in HF patients. Error bars represent SE. Results from the 2-way repeated measures ANOVA are presented in the figure. *Post-hoc *t*-test significantly different compared to baseline at P<0.05.

FIGURE 3. A. Brachial artery mean (A), antegrade (B) and retrograde SR (C) and oscillatory shear index (D) at baseline and during exercise in a subgroup of HF patients (n=5) and controls (n=5).

HF patients demonstrate a smaller exercise-induced increase in mean SR compared to controls. Differences in exercise-induced antegrade and retrograde SR and

oscillatory index between HF patients and controls did not reach statistical significance. Error bars represent SE. Results from the 2-way repeated measures ANOVA are presented in the figure. *Post-hoc *t*-test significantly different compared to baseline at P<0.05. When no significant time*group'-interaction is found, *relates to both groups combined instead of the seperate groups. #Post-hoc *t*-test significantly different compared to HF patients at P<0.05.

FIGURE 4. Skin temperature of the right forearm at baseline and during exercise in a subgroup of HF patients (n=5) and controls (n=5).

Forearm skin temperature decreased initially in both groups. HF patients show a non-significant lower increase in skin temperature during exercise ('time*group'-interaction effect P=0.09). Error bars represent SE. Results from the 2-way repeated measures ANOVA are presented in the figure. *Post-hoc *t*-test significantly different compared to baseline at P<0.05. There is no significant time*group'-interaction, *therefore relates to both groups combined instead of the separate groups.