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1	Stable isotope ecology of Cape dune mole-rats (Bathyergus suillus) from Elandsfontein,
2	South Africa: implications for $C_4$ vegetation and hominin paleobiology in the Cape Floral
3	Region
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## 27 Abstract

28 The archaeological and paleontological records from the west coast of South Africa have 29 potential to provide insights into ecosystem dynamics in the region during the mid-Pleistocene. 30 Although the fossil record suggests an ecosystem quite different than that of the region today, 31 we understand little about the ecological factors that contributed to this disparity. The site of 32 Elandsfontein (EFT) dates to between 1.0 and 0.6 million years ago (Ma), preserves in situ lithic 33 and faunal materials found in direct association with each other, and provides the rare 34 opportunity to examine the relationship between hominin behavioral variability and landscape 35 heterogeneity in a winter rainfall ecosystem. In this study, we examine the stable carbon 36 isotopic composition of a large sample (n = 81) of Cape dune mole-rats (Bathyergus suillus) and 37 contemporaneous large mammals (> 6 kg; n = 194) from EFT. We find that  $\delta^{13}$ C values of B. 38 suillus are significantly different to those of contemporaneous large mammals from EFT 39 indicating a significant presence of plants utilizing the  $C_4$  photosynthetic pathway during the 40 mid-Pleistocene, in contrast to present C<sub>3</sub> dominated ecosystems along the west coast of South 41 Africa. Additionally, we find that artifact density at EFT localities is positively correlated with  $\delta^{13}$ C 42 values in *B. suillus* enamel suggesting that evidence of more intense hominin occupation may 43 be associated with the presence of more  $C_4$  vegetation. Lastly, we hypothesize that this unique 44 distribution of vegetation 1) provided abundant resources for both hominin and non-hominin 45 taxa and 2) may have concentrated hominin and animal behavior in certain places on the 46 ancient landscape.

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#### 53 **1. Introduction**

#### 54 **1.1 Southern African Paleoecosystems**

55 Differing combinations of climatological factors influence ecosystem dynamics in eastern 56 and southern Africa (Levin, 2015). As a result, placing the rich Quaternary fossil records of 57 these two regions within a resolute ecological framework requires the integration of marine and 58 terrestrial proxies reflective of a variety of spatial and temporal scales (deMenocal, 2004; 59 Behrensmeyer, 2006; Behrensmeyer and Reed, 2013). The last 1 million years of the African 60 fossil record is particularly interesting because it witnesses many important shifts in mammal 61 clades (Vrba, 1995; Faith, 2011; Patterson et al., 2014), as well as the blossoming of what many 62 consider the behavioral repertoire of modern humans (McBrearty and Brooks, 2000; Marean et 63 al., 2007). Although the integration of high-resolution paleoecological data has proved 64 successful at many eastern African localities (Potts et al., 1999; Tryon et al., 2014, 2015; Faith 65 et al., 2015), much less is understood about ecosystems and faunal communities in southern 66 Africa during a critical time period in mammalian evolution. As a result, extrapolating the 67 paleoenvironmental conditions of eastern Africa to concurrent time periods in southern Africa 68 has been especially challenging (Patterson et al., 2014). 69 In southern Africa, differences in the seasonal distribution of precipitation are largely 70 responsible for the geographic distribution of vegetation (Chase and Meadows, 2007). In the

summer rainfall zone (SRZ), the majority of precipitation falls between October and March. In

contrast, the winter rainfall zone (WRZ), a narrow band incorporating the western and part of the

southern coasts, receives the majority of its rainfall between April and September (Fig. 1).

74 Between these two regions is the year-round rainfall zone (YRZ) that receives rainfall

throughout the year. Although the extent of these zones are clearly discernable in contemporary

southern Africa, their distribution over the past million years is far from understood. It is,

however, becoming increasingly clear that oscillations in atmospheric and oceanic circulation as

well as glacial and interglacial cycles affected the location, duration and intensity of rainfall in
these regions during the Quaternary (Chase and Meadows, 2007).

80

#### **INSERT FIGURE 1**

81 The relationship between precipitation and vegetation in southern Africa is most evident 82 in the distribution of plants utilizing the  $C_3$  and  $C_4$  photosynthetic pathways. Globally,  $C_4$  plants 83 are adapted to low- to mid-elevation tropical systems with high temperatures and warm season 84 precipitation, while C<sub>3</sub> plants are dominant in regions of higher elevation with lower 85 temperatures and cool season precipitation (Tieszen et al., 1979; Ehleringer et al., 1997). In the 86 SRZ, C<sub>4</sub> plants dominate plant communities (Vogel et al., 1978; Rebelo et al., 2006; Radloff, 87 2008). In the WRZ, however, with the exception of a few common plant communities (e.g., 88 strandveld, renosterveld) that contain species that utilize the  $C_4$  pathway,  $C_3$  vegetation 89 dominates in the form of the low-height, shrubby, fire-adapted fynbos (Cowling, 1992). This 90 unique vegetation system primarily within the WRZ, classified as the Cape Floral Region (CFR), 91 is host to nearly 9,000 plant species, a majority (69%) of which are endemic (Cowling, 1992; 92 Cowling and Lombard, 2002; Goldblatt and Manning, 2002; see Marean, 2010 for summary). 93 Within the CFR, differences in the proportion of  $C_3$  and  $C_4$  vegetation are primarily related to the 94 relative abundance of  $C_3$  and  $C_4$  grasses (Bar-Matthews et al., 2010).  $C_3$  grasses are the most 95 common grasses in the WRZ, while the YRZ contains a mixture of C<sub>3</sub> and C<sub>4</sub> grasses. In the 96 SRZ, C<sub>4</sub> grasses are more abundant. The vegetative diversity within the CFR is not mirrored in 97 mammalian diversity (Klein, 1983). Due to the dominance of nutrient-poor fynbos vegetation, the 98 contemporary CFR does not support a sizable community of large-bodied grazing and browsing 99 ungulates, but rather is dominated by small-bodied, browsing taxa (Skead, 1980; Klein, 1983). 100 Although C<sub>3</sub> plants are present in high frequencies in the modern vegetative 101 communities in the CFR (Cowling, 1992), this may not always have been the case. The timing 102 and underlying climatological drivers of plant distributions in the CFR remain enigmatic. Marine

103 records from the region beginning in the Miocene indicate an overall increase in aridity with

104 multiple phases of vegetation change alongside relative stability in moisture availability (Maslin et al., 2012; Hoetzel et al., 2013, 2015). More recent stable carbon isotopic analyses of 105 106 mammalian enamel suggest the presence of C<sub>4</sub> vegetation in the CFR during certain periods of 107 the Quaternary (Luyt et al., 2000; Hare and Sealy, 2013). Much like elsewhere on the African 108 continent, however, the integration of  $C_4$  vegetation into the CFR plant biome would have likely 109 been highly heterogeneous within a  $C_3$  dominated system (Feakins et al., 2013). This scenario 110 is supported by the lack of evidence for C<sub>4</sub> grasses at Langebaanweg approximately 5 Ma (Ma 111 = million years ago; Franz-Odendal et al., 2002; Rossouw et al., 2009), and evidence of their 112 presence at younger sites of Elandsfontein (Luyt et al., 2002) and Hoedjiespunt (Hare and 113 Sealy, 2013) dating to approximately 1.0 - 0.6 Ma and 0.35 - 0.25 Ma respectively. Thus, 114 although these data suggest that  $C_4$  plants were represented in the CFR during the Quaternary, 115 we understand little about their overall spatial and temporal distribution.

116 Much of the uncertainty about the relative contribution of C<sub>3</sub> and C<sub>4</sub> vegetation in the 117 CFR during the Quaternary can be attributed to a spatially and temporally discontinuous 118 terrestrial paleoclimatic record (Carr et al., 2006). Although the region is host to a rich record of 119 mammalian fossils spanning the Miocene to Holocene (Singer and Heltne, 1966; Hendey, 1974; 120 Volman, 1978; Klein et al., 2007; Marean et al., 2010; Braun et al., 2013a), robust connections 121 between climate and terrestrial ecosystem dynamics are limited to a few well-studied records 122 that are geographically dispersed throughout the CFR. The fossil record suggests that the CFR 123 was drastically different during the Quaternary and was populated with large grazing and 124 browsing herbivores that are absent from the region today (Klein et al., 2007; Stynder, 2008). In 125 addition, this region was host to some of the earliest populations of humans that exhibited 126 'modern' behavior in terms of their manufacture of artifacts and utilization of resources 127 (Henshilwood et al., 2002; Marean et al., 2007; Brown et al., 2009). This unique and highly

dynamic system is unlike that of the region today and requires further investigation to provideinsights into the ecosystem-level drivers of this disparity.

130

## 131 **1.2 Study site**

132 The mid-Pleistocene eolian sediments of Elandsfontein (EFT) present a unique 133 opportunity to investigate the nature of paleoecosystems within the CFR during the past 1 134 million years (Fig. 1). These deposits (approximately 11 km<sup>2</sup>) contain an extensive record of 135 both hominin and non-hominin ecological and behavioral evolution between 1.0 and 0.6 Ma 136 (Braun et al., 2013a). With in situ associated fossils and artifacts, EFT presents the prospect of 137 illuminating the ecological dynamics within a WRZ paleocommunity during an enigmatic period 138 in the southern African record (Fig. 2). Although there are localities in the region of older (Franz-139 Odendaal et al., 2002) and younger age (Berger and Parkington, 1995; Dietl et al., 2005; 140 Matthews et al., 2005; Klein et al., 2007; Faith and Behrensmeyer, 2013; Hare and Sealy, 141 2013), EFT represents a rare window into the ecosystem and faunal community of the CFR 142 during a period unrepresented at other sites (Klein et al., 2007). 143 **INSERT FIGURE 2** 144 Paleontological and archaeological research at EFT has occurred intermittently over the 145 past 50 years. Initial investigations into the EFT deposits resulted in the recovery of a hominin 146 calvarium referred to as the "Saldanha" or "Hopefield" specimen (Drennen, 1953), a number of 147 large cutting tools from a site called Cutting 10 as well as a tremendous quantity of non-hominin 148 mammalian fossils (Singer and Wymer, 1968; Deacon, 1998; Klein et al., 2007). Subsequent 149 collections during the 1960s and 1980s were the result of non-systematic surface surveys over 150 a relatively small portion (~3 km<sup>2</sup>) of the extent of the dunefield at EFT (Avery, 1989; Klein et al., 151 2007). More recently, analyses were focused on collections of contextually uncertain fossil 152 material from deflation surfaces across the dunefied; this collection is referred to as 153 "Elandsfontein Main" and consists of well over 20,000 identified specimens (Klein, 1988; Klein et

154 al., 2007). The collection consists of an extremely diverse mammalian fauna dominated by large 155 browsing and grazing ungulates suggestive of a paleocommunity that was drastically different in 156 both diversity and abundance from that present in the CFR today. In addition, an analysis of the 157 stable carbon isotopic signature of mammalian enamel from the Elandsfontein Main collection 158 suggests the presence of a small amount of  $C_4$  vegetation in the diet of ungulates from the site 159 (Luyt et al., 2000; Lehmann et al., in Review.). Analyses of the mesowear patterns on these 160 teeth indicate that many large mammals had unexpected dietary adaptations to herbivory based 161 on their taxonomy (Stynder, 2009). Although these investigations suggest a vegetation 162 community different than that of contemporary EFT, due to the lack of precise context, 163 questions regarding the spatial and temporal nature of these patterns remain unresolved. 164 Most recently, beginning in 2008, systematic excavations and collections were 165 undertaken at EFT to provide a contextual link between environmental and hominin behavioral 166 data (Braun et al., 2013a; 2013b). These recent efforts have produced a high-resolution 167 stratigraphic framework for fossils and artifacts across the EFT dunefield and indicate that 1) 168 there are in situ assemblages of mammalian fossils and behaviorally associated artifacts, 2) the 169 majority of these in situ deposits are associated with a nodular layer in pedogenically modified 170 sands, 3) there is an older, calcretized sand horizon which also contains mammalian fossils but 171 these fossils are not abundant and are not associated with any artifacts, 4) distinguishing 172 between in situ materials and deposits that reflect ancient episodes of deflation is 173 straightforward using systematic excavation procedures and geologic observations (Rick, 2002), 174 and 5) hominin toolmakers transported stone to EFT for the production and use of stone tools 175 (Braun et al., 2013a). 176 The recent collections at EFT (2008-2015) have resulted in the recovery of a large

176 The recent collections at EFT (2008-2015) have resulted in the recovery of a large 177 sample of fossil Cape dune mole-rats (*Bathyergus suillus*), which is the focus of this study. The 178 fossil remains of this relatively large, subterranean rodent (780-955 g; Bennett et al., 2009) are 179 found in substantial frequencies in many excavations in the Pleistocene sediments at EFT and 180 provide the opportunity to characterize localized vegetative environment at EFT due to the 181 restricted home range of B. suillus. Unlike most large mammalian ungulates that range over 182 vast territories in search of seasonally available resources, small mammals live (and die) within 183 a highly restricted space (Andrews, 1990; Reed, 1997). Before employing rodents and other 184 small mammals as indicators of paleoenvironments, however, it is crucial to determine the 185 agent/s of accumulation within a fossil assemblage (Andrews, 1990). Although small mammals 186 may die and be preserved within or close to their home range in life, their remains may be 187 transported away from their original environmental context by mammalian and avian predators 188 (Matthews et al., 2006a; Reed, 2007; Terry, 2007). If the mode of accumulation can be 189 confidently established, small mammals may provide an excellent proxy for localized 190 paleoenvironments. This study uses the stable carbon isotopic composition of in situ Cape dune 191 mole-rat enamel to provide the first analysis of the structure of the EFT vegetative environment 192 between 0.6 and 1.0 Ma.

193

#### 194 **1.3 Modern Bathyergus suillus ecology**

195 The genus *Bathyergus* consists of two extant species endemic to southern Africa: 196 Bathyergus suillus (the Cape dune mole-rat) and Bathyergus janetta (the Namaqua dune mole-197 rat). B. suillus is primarily confined to the coastal soils of the Western Cape Province, with a 198 single record from Rondawel near Groenrivier in the Northern Cape Province (Bennett et al., 199 2009). B. janetta occurs in the Northern Cape Province, particularly in the Namagualand 200 Hardeveld bioregion, Namagualand Sandveld bioregion and some parts of the Namib desert 201 (IUCN Red List; Herbst et al., 2004). To date, fossil B. suillus remains are only known from sites 202 from within its historic range, the majority of which are younger than 130 Ka (Klein, 1991). 203 Previous authors have attributed fossil mole-rat material from the earlier sites of Elandsfontein 204 (Klein, 1991) and Duinefontein 2 (Klein, 1976) to *B. suillus*.

Bathyergus is largely solitary (Van Daele et al., 2007) with its spatial distribution
influenced primarily by resource availability. *B. suillus* abundance varies in coastal fynbos and
grassland settings, with high densities in grassland environments (Davies and Jarvis, 1986).
Additionally, the species is large-bodied relative to other African mole-rats (780-955 g) (Bennett
et al., 2009) and dig extensive burrows, some of which can include >400 m of interconnected
tunnels, and typically live within a single burrow system throughout their life (Davies and Jarvis,
1986).

212 Much like other African mole-rats, B. suillus feeds upon the underground storage organs 213 (geophytes) of certain plant species (e.g., Othonna, Wachendorfia; see Yeakel et al., 2007 for 214 summary). Unlike other African mole-rats, however, which are primarily subterranean feeders, 215 more than 60% of the diet of *B. suillus* originates from aerial vegetation pulled into the burrow 216 via the roots (Bennett et al., 2009). Robb et al. (2012) used stable carbon ( $\delta^{13}$ C) and nitrogen 217  $(\delta^{15}N)$  isotope ratios to illuminate the diet of extant mole-rats in the CFR. The authors conclude 218 that although geophytes make up a significant proportion of the species' diets, B. suillus had a 219 substantially more generalized diet, including  $C_4$  grasses, than the other mole-rat taxa of 220 Cryptomys hottentotus and Georychus capensis from the CFR.

221 *Bathyergus suillus* is preyed upon by avian, mammalian and reptilian predators (Bennett 222 et al., 2009); however, the archaeological record indicates some degree of exploitation by 223 human populations in southern Africa (Henshilwood, 1997). This species is particularly 224 vulnerable to predation when above ground and may be preyed upon by both avian and 225 mammalian carnivores. When underground, *B. suillus* is frequently predated upon by mole 226 snakes (*Pseudapis cana*) and Cape cobras (*Naja nivea*) (Bennett et al., 2009).

227

228 **1.4 Study Objectives** 

In this study, we use carbon stable isotope data from *in situ* mid-Pleistocene *B. suillus* remains systematically collected at EFT between 2008 and 2014 and address the following
 questions:

- Do δ<sup>13</sup>C values of *B. suillus* enamel at EFT reflect the same information about mid Pleistocene vegetation as the carbon isotope data from large mammals at EFT?
   Can we use the carbon isotope data from the fossil teeth at EFT to identify spatial
   patterns in vegetation across the EFT dunefield?
   What are the implications of these findings for understanding hominin paleobiology in
   the CFR between 1.0 and 0.6 Ma?
- 238

## 239 **2. Materials and Methods**

#### **240 2.1 Collections**

All *B. suillus* material was collected as part of archaeological and paleontological excavations or systematic trenches ("shovel test pits" or STPs) across the EFT dunefield between 2008 and 2014 (see Braun et al., 2013a). Collections were distributed spatially based upon what are referred to as Collection "Bays" which refer to deflation hollows between large modern dune crests (see Braun et al., 2013a; Fig. 3).

246

#### **INSERT FIGURE 3**

From this collection, we selected 150 *B. suillus* upper (maxillary) incisors as candidates for stable isotopic and taphonomic analyses. All *B. suillus* material analyzed here originated from the artifact and fossil-rich zone (see Braun et al., 2013a). Due to their unique morphology (Fig. 4), maxillary incisors can be used to distinguish the isolated incisors of *B. suillus* from those of other relatively large rodent taxa (e.g., *Otomys*) in the EFT collection. We therefore focused on isolated upper incisors for this study. To preclude the potential of comingling of

253	modern and fossil material, this analysis does not include any specimens recovered from the
254	artifact- and fossil-rich horizons that were in the upper $\sim 10 - 15$ cm of the STPs or excavations.
255	INSERT FIGURE 4
256	2.2 Taphonomic Analysis
257	Previous researchers have considered B. suillus remains from EFT to be
258	contemporaneous with other fossils and artifacts from the site (Klein, 1991). However, we
259	recognize three possibilities regarding the origin of <i>B. suillus</i> fossils within the EFT Pleistocene
260	sedimentary units: 1) B. suillus remains were deposited and preserved in primary context with
261	the artifacts and the other associated large mammal fossils as a result of normal mortality of <i>B</i> .
262	suillus, 2) B. suillus remains were deposited by avian and mammalian predators living at EFT
263	around the time of deposition of other fossils and artifacts from the site and are thus
264	contemporaneous with them and, 3) the B. suillus fossils are younger than the other materials in
265	the fossil- and artifact-rich horizons at EFT, as a product of <i>B. suillus</i> burrowing into those
266	horizons subsequent to deposition. Given that these three scenarios result in two alternative
267	temporal relationships between B. suillus remains and the other archaeological and
268	paleontological collections at EFT, we conducted a detailed taphonomic analysis of a subset of
269	B. suillus incisors prior to isotopic analyses.
270	To investigate the likelihood of secondary deposition of incisors (i.e., that the fossils

originated from mammalian scats or avian pellets) within the EFT collection, 33 upper incisors were studied for traces of digestion and rounding on the enamel surface. When animal remains pass through the digestive system of a predator, digestive acids leave a distinct signature on the surface of bone or enamel in the form of etching or rounding, particularly in the area of contact between enamel and dentine (Andrews, 1990; Fernandez-Jalvo and Andrews, 1992). This signature can be easily discerned with a dissecting microscope. For this analysis, we use a systematic protocol for evaluating the degree of etching and rounding on rodent incisors 278 (Matthews 2002; 2006b; Table 1). This methodology is akin to that of Fernandez-Jalvo and 279 Andrews (1992), however categories used here were specifically developed for the incisors of 280 Bathyergus and other southern African rodents. Using this protocol, each incisor within our 281 subset was photographed under magnification and rated on a scale of 0 - 4 based upon the 282 degree of etching and rounding on the enamel surface. To remove the possibility of confusion 283 with other taphonomic processes, such as etching caused by acidic/alkaline soil, specimens 284 were only scored if there was unquestionable evidence of digestion (refer to Table 1). Acid and 285 alkaline soils may also cause corrosion and etching on both enamel and dentine (Andrews, 286 1990; Fernandez-Jalvo, 1995), and could possibly be confused with digestion (see Fig. 4D, 4E, 287 4F), although there are generally differences in the manner in which this occurs. To avoid any 288 such errors, analysis erred on the conservative side and only included specimens that showed 289 clear evidence of having passed through the digestive tract of an avian or mammalian predator 290 (see Fig. 4B, 4C).

291 To further investigate the stratigraphic relationship between the fossils of B. suillus and 292 the other materials recovered from systematic excavations at EFT, we reviewed the 293 stratigraphic frequency of *B. suillus* fossils in relation to other materials found in these 294 excavations. Previously we conducted a related analysis to document the fact that similar finds 295 (<1cm) are found in similar frequencies as larger finds (Braun et al., 2013a). This analysis was 296 based on previous work documenting these types of patterns in the Channel Islands (Rick, 297 2002). Here we test whether the frequency of B. suillus fossils track the frequency of other finds 298 in these excavations. We excluded samples recovered from localities where formal 299 standardized excavations were not conducted (i.e., material was recovered from shovel test 300 pits). If the abundance of *B. suillus* fossils through the stratigraphic section closely tracks the 301 frequency of other fossils in the excavations at EFT, it would suggest that the deposition of the 302 B. suillus fossils and the other materials were the result of similar processes. If the frequency of 303 these two types of material deviate through the stratigraphic section, however, then there is the

304 possibility that the *B. suillus* fossils were deposited through either 1) a natural mortality event 305 occurring after mole-rats burrowed down into Pleistocene deposits or 2) deflation of younger 306 sediments that were previously stratigraphically above the Pleistocene sediments.

307

#### 308 **2.3 Stable Isotopic Analysis**

## 309 2.3.1 Analytical Methods

310 A subset of 19 *B. suillus* incisors from EFT was analyzed for carbon and oxygen stable 311 isotope ratios using a laser ablation gas chromatograph system, coupled to a Thermo MAT 253 312 isotope ratio mass spectrometer in the Department of Earth and Planetary Sciences at Johns 313 Hopkins University. Although typically less precise than conventional, phosphoric acid digestion 314 methods (Passey and Cerling, 2006), laser ablation approaches were first used on the EFT B. 315 suillus material because they are less destructive and require less sample material than 316 conventional methods. Because the laser ablation technique samples all material in the laser 317 ablation pit, and does not select for the carbonate component, it is common to attempt removal 318 of surface organics prior to analysis (Passey and Cerling, 2006). Here, we used three different 319 approaches to evaluate the influence of contaminants on the surface of teeth: 1) gentle abrasion 320 of the surface enamel with a high speed rotary drill to remove secondary material, 2) soaking 321 the incisors for 15 minutes in 3% hydrogen peroxide  $(H_2O_2)$  to remove organic material and 3) a 322 control group in which nothing was done to the enamel surface. We analyzed a subset of teeth 323 targeted for carbon and oxygen isotopes of tooth enamel using the phosphoric acid digestion 324 method (see methods below) such that we could develop an understanding of the offset in the 325 results between these two methods that is specific to these samples, as is necessary for laser 326 ablation studies of fossil teeth.

In addition to the dataset of incisors analyzed for comparison to the data obtained from laser ablation technique (n = 19), a large dataset of *B. suillus* upper incisors were analyzed using phosphoric acid digestion method (n = 62). As with the laser ablation technique, only 330 upper incisors were analyzed. All incisors were photographed prior to sampling with a high-331 speed rotary drill fitted with a diamond bit. Enamel powder was treated for 15 minutes with 3% 332  $H_2O_2$  to remove organic material and rinsed 3 times with distilled water prior to a 15 minute 333 treatment with 0.1M buffered acetic acid to remove secondary carbonate. Following this 334 treatment, samples were rinsed 3 times with distilled water and dried overnight at 60°C. 335 Samples were then loaded into silver capsules and digested in a 100% phosphoric acid bath at 336 90°C for 10 minutes. Samples were cryogenically cleaned using a custom-built automated 337 system (Passev et al., 2010) and the resulting CO<sub>2</sub> was analyzed for  $\delta^{13}$ C and  $\delta^{18}$ O on a 338 Thermo MAT 253 mass spectrometer. An acid fractionation factor of 1.00725 (90°C) was used 339 for tooth enamel following Passey et al., (2007).

340 Stable isotope ratios for all phosphoric acid digestion and laser ablation samples are 341 reported as  $\delta$  values relative to Vienna Pee Dee Belemnite (VPDB) using standard per mil (‰) 342 notation, where  $\delta^{13}C = (R_{sample}/R_{standard} - 1) \times 1000$ , and  $R_{sample}$  and  $R_{standard}$  are the ratios of heavy to light isotopes (e.g., <sup>13</sup>C/<sup>12</sup>C, <sup>18</sup>O/<sup>16</sup>O) of the sample and the standard, respectively. 343 344 During both types of stable isotope analyses, internal working enamel standards were analyzed, 345 normalized to the carbonate standard NBS-19, routinely to monitor instrument performance. For 346 the phosphoric acid method, Carrara marble, normalized to NBS-19, was also routinely 347 measured as an internal working standard.  $\delta^{13}$ C standard deviation of internal standards was 0.3‰, while that of  $\delta^{18}$ O was 0.2‰. 348

Lastly, we compare  $\delta^{13}$ C enamel values obtained from acid-etched, non-acid etched, laser ablated and phosphoric acid digestion. Although we include the oxygen isotope results for completeness in the tables of this paper, we do not discuss them in depth and instead focus on the carbon isotope data.

353

#### 354 **2.3.3 Dietary Reconstructions**

We use an isotopic dietary mixing model to estimate the potential contribution of  $C_3$ ,  $C_4$ and Crassulacean acid metabolism (CAM) vegetation to the diet of *B. suillus* at EFT. We use the following equation:

358

359 
$$\delta^{13}C_{B.suillus} = (fC_3 * \delta^{13}C_{C_3(veg)}) + (fC_4 * \delta^{13}C_{C_4(veg)}) + (fCAM * \delta^{13}C_{CAM(veg)})$$

360

361 where *f* indicates the fraction of the different dietary inputs from plants that use the three 362 photosynthetic pathways and  $\delta^{13}$ C indicate published average  $\delta^{13}$ C values for each (Radloff, 363 2008; Kohn, 2010; Boom et al., 2014).

364 To reconstruct the ingested vegetation, we use a diet-tissue fractionation factor ( $\epsilon^*_{enamel-}$ 365 diet) of 11.1‰, which has been shown to be appropriate for small mammals (Podlesak et al., 366 2008). The incorporation of CAM vegetation into this analysis is especially important given: 1) 367 the broad and often poorly understood isotopic signature of CAM vegetation in southern Africa 368 (Boom et al., 2014), and 2) their well-documented abundance in the CFR (Peters and Vogel, 369 2005). To incorporate CAM vegetation into our model, we use carbon isotopic values derived 370 directly from CAM vegetation in the CFR. Boom et al. (2014) characterized the isotopic 371 signature of 36 taxa of CAM plants from within the CFR. We use the average  $\delta^{13}$ C value for all 372 CAM plants from the CFR (-19.7  $\pm$  4.0%; Boom et al., 2014) because we do not know the 373 specific CAM plants that were prevalent in the region around EFT during the mid-Pleistocene. 374 For C<sub>3</sub> vegetation we used a  $\delta^{13}$ C value of -27.1 ± 1.6‰ obtained from the large (n = 480), 375 global compilation of C<sub>3</sub> plants by Kohn et al. (2010). For C<sub>4</sub> vegetation we used a  $\delta^{13}$ C value of 376 -12.8  $\pm$  1.3‰ obtained by Radloff et al. (2008) for C<sub>4</sub> grasses in the CFR. 377 We estimate the percentage of  $C_4$  vegetation consumed by large mammals at EFT 378 following a two-member version of the above mixing model, assuming that only C<sub>3</sub> and C<sub>4</sub> 379 plants contributed to large mammal diets, where  $f_{CAM} = 0$ . For these estimates we use a  $\epsilon^*_{enamel-}$ 

 $_{\text{diet}}$  of 14.1‰ following Cerling and Harris (1999) and compare these values to those obtained from the *B. suillus* model ( $\varepsilon^*_{\text{enamel-diet}} = 11.1\%$ ; Podelsak et al., 2008) that also considers the influence of CAM vegetation.

383

**384 3. Results** 

## 385 **3.1 Taphonomic Analysis**

386 In the taphonomic sample (n = 33), 18% of EFT *B. suillus* incisors in our taphonomic 387 subsample (n = 33) showed extreme signs of enamel and dentine etching as a result of 388 predation (Fig. 4; Table S1). Of the etched specimens, 5 scored greater than 1, with scores of 3 389 being the most prevalent. Many specimens, especially within the unetched category showed 390 clear signs of root marks (etching) as well as small, circular areas where both enamel and 391 dentine were dissolved (see Fig. 4). The latter could be related to intestinal etching, soil 392 microbes, or soil acidity, but additional actualistic studies are needed to confirm this possibility. 393 Our analysis of the frequency of specimens through the stratigraphic sequence suggests 394 that the fossils remains of *B. suillus* and those of other fossils from EFT are the result of similar 395 depositional processes. A clear indication of differences in depositional context is when smaller 396 specimens increase in frequency while larger specimens decrease (Rick, 2002). The upper 40 397 cm of the excavation at the 0313 locality shows this pattern suggesting the upper part of this 398 excavation is representative of a variety of depositional processes. In all other localities in this 399 analysis, however, the abundance of B. suillus fossils tracks that of large mammals at the EFT 400 Collection Bays (Fig. 5). Braun et al., (2013a) used the relationship between large and small 401 mammal remains within EFT excavated localities to suggest that there is little evidence of 402 deflation at certain localities. There have been previous suggestions that the fossiliferous 403 sediments at EFT represent multiple episodes of deflation and reburial in the past (Klein et al., 404 2007). The fact that the frequency of *B. suillus* fossils follows the patterns exhibited by the larger 405 fossils suggest that 1) these assemblages do not represent ancient deflated surfaces and 2)

406 that the depositional processes that are responsible for the burial and preservation of the large 407 mammal fossils is also responsible for the presence of the *B. suillus* fossils. If the *B. suillus* 408 fossils represented instances where younger (or modern) mole-rats burrowed down into the 409 older Pleistocene sediments and died there, it is highly unlikely that they would preferentially be 410 buried in the same horizons that also had the highest frequencies of fossils.

411

#### **INSERT FIGURE 5**

## 412 **3.2.1 Laser Ablation vs. Acid Digestion**

413 Nearly all enamel surfaces sampled by laser ablation charred significantly, which 414 suggests a high proportion of impurities on the enamel surface as well as within the enamel 415 matrix.  $\delta^{13}$ C values obtained by laser ablation and phosphoric acid digestion of EFT *B. suillus* 416 enamel (n = 19) are compared in Table 2. Average isotopic enrichment ( ${}^{13}C\epsilon^*_{laser-acid}$ ) was -5.9 ± 417 2.2‰ and ranged from -9.5‰ to -2.4‰. Acceptable values, as detailed in Passey and Cerling (2006) are: <sup>13</sup>C $\epsilon^*$ <sub>laser-acid</sub> = -0.5 ± 0.8‰. The majority of the  $\delta^{13}$ C values for EFT *B. suillus* incisors 418 419 fall outside of the acceptable values obtained by Passey and Cerling (2006). This was true even 420 for samples treated prior to sampling with either diluted  $H_2O_2$  or abrasion of the enamel surface. 421 As a result, we do not use any of the laser ablation data in any of the following analyses. Due to 422 the specific preservation circumstances of the *B. suillus* fossils at EFT, laser ablation is an 423 inappropriate technique for isotopic analysis. The standard deviation of  $\delta^{13}$ C of JHU internal 424 tooth enamel standards analyzed in the same laser ablation sessions as the EFT B. suillus teeth 425 was 0.9‰ for  $\delta^{13}$ C and 0.5‰ for  $\delta^{18}$ O, which suggests that the poor performance of EFT *B*. 426 suillus incisors is related to the characteristics of the EFT B. suillus teeth themselves and not 427 related to the performance of the laser ablation system. Enamel standards analyzed on the 428 system had an average  ${}^{13}C\epsilon^*_{laser-acid}$  of -1.2 ± 0.3‰. The poor performance on the laser system 429 may be due to the nature of preservation of fossil teeth at EFT. Previous analyses have noted 430 the low carbonate content of EFT fossil teeth (Luyt et al., 2000; Lehmann et al., In Review). This 431 serves as an instructive example that not all samples are appropriate for analysis by laser

432 ablation approaches. All subsequent analyses will be based on data obtained from phosphoric433 acid digestion.

434

## 435 **3.2.2 Phosphoric Acid Digestion**

436

#### **INSERT FIGURE 6**

437 EFT *B. suillus*  $\delta^{13}$ C enamel values (n = 81) average -7.9 ± 1.4 ‰ and range from -10.4 438 to -4.1‰ (Tables 3, S2), while  $\delta^{18}$ O enamel values (n = 81) average -0.8 ± 1.2 ‰ and range 439 from -4.1‰ to 2.6‰. When these samples are pooled by Collection Bay, we do not observe a 440 significant correlation between median  $\delta^{13}$ C value and latitude (*p* = 0.35; Spearman's Rank

441 Correlation) or longitude (p = 0.69; Spearman's Rank Correlation) values at EFT (Fig. 6 A,B).

We do, however, recognize statistically significant differences between  $\delta^{13}$ C ratio distributions (*p* 443 = 0.01, Kruskal-Wallis test of equal medians) across Collection Bays, which suggests significant 444 isotopic heterogeneity across the dune field. It is important to consider, however, that sample 445 sizes for some Bays are especially low (Table 3) and additional targeted sampling is needed to 446 further confirm these patterns.

447 We find no statistically significant differences in the mean (p = 0.32, ANOVA; p = 0.32, 448 Wilcoxon-Mann-Whitney Rank Sum Test) or variance (p = 0.52, F test for equal variance) of 449  $\delta^{13}$ C values between the subset of acid-etched and unetched incisors (Fig. 6C).  $\delta^{13}$ C of etched 450 incisors (n = 6) average  $-6.7 \pm 1.2$  % and range from -8.2 to -5.0%, while unetched incisors (n = 451 27) average -7.4 ± 1.2 ‰ and range from -10.1 to -4.1 ‰. Additionally, we find no difference in 452 the mean (p = 0.41, ANOVA; p = 0.28, Wilcoxon-Mann-Whitney Rank Sum Test) of  $\delta^{18}$ O values 453 of acid-etched and unetched incisors. We do, however, find a significant difference in the 454 variance (p = 0.003, F test for equal variance) in  $\delta^{18}$ O values of acid-etched and unetched 455 incisors. The similarities in isotopic values between the etched and unetched specimens further

456 support the assertion that all of the EFT *B. suillus* incisors analyzed here originated from the457 same fossil population.

458

#### **INSERT FIGURE 7**

459	Fossil EFT <i>B. suillus</i> incisors are significantly (p < 0.001, ANOVA; p < 0.001 Wilcoxon-
460	Mann-Whitney Rank Sum Test) enriched in $\delta^{13}C$ when compared to contemporaneous large
461	mammals (n = 194; Luyt et al., Lehmann et al., <i>In Review.)</i> from the site (Fig. 7). $\delta^{13}$ C values of
462	large mammals average -10.2 $\pm$ 1.3‰ and range from -13.3‰ to -6.9‰. The Lehmann et al. (In
463	Review) large mammal dataset from EFT consists of samples from taxonomically (i.e., 8
464	families) and ecologically (i.e., browsers and grazers) diverse taxa.
105	

465

# 466 **3.2.3 Estimates of C<sub>4</sub> dietary contribution**

467 Even when considering the potential contribution of CAM vegetation, we find that the mean δ13C value (-7.9‰) for *B. suillus* teeth at EFT would require diets between 20 and 52% C4 468 469 vegetation (Fig. 7). This is consistent with dietary estimates based upon stable isotopic analyses 470 for modern *B. suillus* obtained by Robb et al. (2012). It should be noted that the  $\delta^{13}$ C values for 471 the modern mole-rats may indicate the consumption of grasses that are not native to the CFR. 472 This variable diet is consistent with descriptions of modern populations in southern Africa 473 (Bennett et al., 2009) and agrees with previous studies that indicate the presence of at least 474 some C<sub>4</sub> vegetation within the EFT vegetative community (Luyt et al., 2000; Lehmann et al., In 475 Review).

476

## 477 **3.2.4 Implications for Hominin Paleobiology**

The isotopic variation in the fossil *B. suillus* specimens likely reflects some variation in vegetation in the past. To better understand the relationship between this variation in ancient vegetation and hominin behavior we investigate the frequency of excavated artifacts at EFT and the  $\delta^{13}$ C signature of *B. suillus* from the various localities at EFT. We find that there is a positive

482	relationship (Kendall's Tau = 0.54; $p = 0.05$ ) between artifact density (count/m <sup>2</sup> ) and the median
483	<i>B. suillus</i> $\delta^{13}$ C signature when binned by Collection Bay (Fig. 8). We use the non-parametric
484	Kendall's Tau correlation due to its conservative significance estimates with small sample size
485	(refer to Table 3).
486	INSERT FIGURE 8
487	
488	4. Discussion
489	
490	4.1 Taphonomic history of <i>B. suillus</i> at EFT
491	The taphonomic data demonstrate that at least 18% of <i>B. suillus</i> incisors from EFT
492	display evidence of digestion (i.e., acid etching), indicating that they were prey items of avian or
493	mammalian carnivores and became associated with the site through the deposition of pellets or
494	scats (Fig. 4). The $\delta^{13}$ C values from the acid-etched incisors are indistinct from $\delta^{13}$ C values of
495	teeth for which there is no evidence of acid digestion (Fig. 4C). This is also the case for $\delta^{18}O$
496	values. We conclude that the material analyzed here appears to have originated from a fossil
497	population that has a similar depositional history as the other fossils and artifacts at EFT. This
498	finding, originally suggested by Braun et al. (2013a), is supported by our analysis of the relative
499	stratigraphic abundance of <i>B. suillus</i> and large mammal fossils at EFT (Fig. 5). We note that we
500	cannot completely rule out the possibility that some of the fossil B. suillus material at EFT
501	represents geologically later incursions into older deposits.
502	
503	4.2 Vegetative variability at EFT during the mid-Pleistocene
504	The $\delta^{13}$ C signature of <i>B. suillus</i> suggests significant vegetative variability (i.e., plants
505	utilizing the $C_3$ , $C_4$ and CAM photosynthetic pathways) at EFT during the mid-Pleistocene. The
506	ubiquity of <i>B. suillus</i> , a species with high dietary flexibility (Bennett et al., 2009), at the site,

507 combined with  $\delta^{13}$ C values spanning approximately 6‰ suggest the ancient local ecosystems 508 varied significantly. For comparison, the range of  $\delta^{13}$ C values for Aepyceros melampus, a wide-509 ranging mixed-feeding bovid, in eastern Africa is approximately 10‰ (Cerling et al., 2003). The 510 diet of A. melampus is directly related to the proportional representation of C<sub>3</sub> and C<sub>4</sub> vegetation 511 across ecotones, such that as these proportions change, so does the diet of *A. melampus*. 512 Thus,  $\delta^{13}$ C variation in *B. suillus* from EFT is consistent with findings in modern representatives 513 (Robb et al., 2012) and suggests that the taxon was a relatively opportunistic feeder in ancient 514 times and incorporated an isotopically diverse range of vegetation into its diet.

515 Our analyses of the  $\delta^{13}$ C signature of *B. suillus* (Fig. 6) suggest that the distribution of 516 vegetation at EFT was highly heterogeneous across space. The spatial distribution of vegetation 517 types at EFT could be related to highly localized landscape features (e.g., springs). The 518 heterogeneous nature of the environment at EFT, and the resources available within it, is 519 supported by the diversity of the large mammal fauna (Klein et al., 2007; Braun et al., 2013a). 520 Alternatively, the variation that we have identified may be related to the particular taphonomy of 521 this region. This is attributable to a combination of two possible factors: 1) some degree of time 522 averaging within the assemblages, and 2) a majority of *B. suillus* material originated from avian 523 pellets or mammalian scat that were deposited in locations a distance away from the area in 524 which the material was caught. Although there are clear fossil horizons at EFT (Braun et al., 525 2013a), the depositional time represented by these horizons across the dunefield remains 526 unclear. Dynamic climatic and geologic variables may have resulted in shifting ecotones at EFT; 527 therefore a fossil sample originating from within one Collection Bay at EFT potentially 528 represents an accumulation of time-averaged sediment as is the case with almost all 529 Pleistocene archaeological sites (Shick 1987). Thus, each locality likely represents a unique 530 window into the ecosystem at a particular time in the dunefield's depositional history. Secondly, 531 predators may have transported the remains of B. suillus across the EFT dunefield, thus

532 decreasing the spatial fidelity of the sample. We suggest that it is likely that a combination of 533 these factors contributed to the lack of spatial patterning in the  $\delta^{13}$ C signature of *B. suillus* at 534 EFT.

535

#### 536 **4.3 Large mammals versus** *Bathyergus suillus* at EFT

537 The  $\delta^{13}$ C data indicate significant dietary differences between large mammals and B. 538 suillus at EFT (Fig. 7). Based upon our dietary mixing model, even after considering the 539 potential contribution of CAM vegetation, B. suillus at EFT consumed significant quantities of C4 540 vegetation. To obtain the mean EFT *B. suillus*  $\delta^{13}$ C value (-7.9± 1.4 ‰), the diets of individual 541 mole-rats would have had to included 20 - 52% C<sub>4</sub> vegetation. In contrast,  $\delta^{13}$ C values from 542 large mammals at EFT indicate that individuals had diets with 0-35% C<sub>4</sub> vegetation (Lehmann et 543 al., In Review), which is significantly less than that of contemporaneous B. suillus. This 544 comparison clearly demonstrates that mole-rat diet at EFT was different than that of large 545 mammals. Although it is difficult to assess the particular types of plants that contributed to the 546 C<sub>4</sub> component to *B. suillus* diets (e.g., grasses or sedges), the diet of extant *B. suillus* from the 547 CFR can potentially shed light on this issue. Although the diet of the species is especially 548 variable relative to other African mole-rat genera, more than 60% of the diet of modern B. suillus 549 is derived from the blades and rhizomes of Cynodon dactylon, a C<sub>4</sub> grass (Davies and Jarvis, 550 1986; Bennett and Jarvis, 1995, Smith and Winter, 1996; Yeakel et al., 2007). Although 551 Cynodon dactylon in not endemic to South Africa, it does suggest that B. suillus readily 552 consumes C<sub>4</sub> resources if available on the local landscape. Thus, we suggest that it seems 553 more likely that C<sub>4</sub> grasses and sedges, rather than CAM plants, were the primary source of 554 relatively high  $\delta^{13}$ C values in *B. suillus* teeth relative to those of large mammals at EFT. 555 It is also important to consider how variation in the carbon isotope diet-tissue fractionation factor ( $\epsilon^*_{enamel-diet}$ ) affects dietary reconstructions, especially between large and 556 557 small mammals that potentially have different digestive physiologies (Passey et al., 2005). Here

558 we use a  $\varepsilon^*_{enamel-diet}$  of 11.1‰ which has been suggested appropriate for small mammals 559 (Podelsak et al., 2008). It is also important to consider, however, a scenario where *ɛ*<sup>\*</sup><sub>enamel-diet</sub> for 560 B. suillus was closer to that proposed for large mammals (i.e., 14.1%; Cerling and Harris, 1999). 561 If the  $\varepsilon^*_{enamel-diet}$  for *B. suillus* were 14.1‰, then *B. suillus* at EFT during the mid-Pleistocene 562 consumed slightly greater proportions of C<sub>4</sub> vegetation than we suggest in Section 3.2.3 (Fig. 563 S1). Thus, the estimates provided here for the proportion of  $C_4$  vegetation in the diet of B. 564 suillus are conservative, minimum values given uncertainties in *ɛ*\*<sub>enamel-diet</sub> for *B. suillus* and other 565 mole-rats.

566 The carbon isotope data presented here indicate that *B. suillus* consumed significantly 567 more  $C_4$  vegetation than large mammals at EFT in the mid-Pleistocene. We consider this to be 568 reflective of elevated concentrations of C<sub>4</sub> vegetation at EFT relative to the surrounding, C<sub>3</sub> 569 vegetation dominated, CFR. Because large-bodied mammals migrate seasonally and have 570 more expansive home ranges, their isotopic signature is likely to reflect the vegetation in a 571 larger geographic region than that of B. suillus. Given the relatively small spatial extent of EFT 572 (~11 km<sup>2</sup>), it is likely that large mammals ranged both within and outside of site and as a result 573 incorporated vegetation from outside of the bounds of EFT. Even considering post-mortem 574 predatory transport estimates for avian predators of 1.5 km<sup>2</sup> (Colvin, 1984; Taylor, 1994), the 575  $\delta^{13}$ C data from *B. suillus* at EFT represents vegetation from a more limited geographic range 576 than that of the larger mammals, which in some cases could be greater than 50 km<sup>2</sup> (Klingel, 577 1969). It is difficult to assess the impact of mammalian carnivore predation on the distribution of 578 B. suillus remains at EFT, but it is unlikely that small carnivores transported mole rats far from 579 the area in which they were caught. Previous work at EFT suggests a high diversity of 580 mammalian carnivores at the site (Klein et al., 2007). Although mammalian carnivores can have 581 extensive ranges based upon body size, metabolic requirements, habitat and diet (Gittleman 582 and Harvey, 1982), our taphonomic analysis revealed that less than 20% of *B. suillus* incisors 583 showed definitive evidence of digestion. Therefore, we find it implausible that the  $\delta^{13}$ C values of fossil EFT *B. suillus* incisors reflect a geographic space equivalent in size to that of the home
 range of large mammalian carnivores.

586 An additional possibility is that the C<sub>4</sub> component of *B. suillus* diet is related to the 587 consumption of  $C_4$  sedges rather than  $C_4$  grasses. Existing work by Mucina et al., (2006) and 588 Radloff et al., (2008) indicates that wetlands within the WRZ support locally abundant C<sub>4</sub> 589 biomass. Spring features on the ancient EFT landscape could have provided the water needed 590 to fuel the growth of C<sub>4</sub> sedges during hot summer months in the CFR. Wetlands associated 591 with spring features could have also supported C<sub>4</sub> grasses and it has been demonstrated that 592 certain large ungulate taxa in the CFR preferentially target these grasses when available 593 (Radloff, 2008). If this behavior was consistent in the past, the presences of  $C_4$  grasses at EFT 594 may have concentrated large ungulate taxa at the site and may explain the C<sub>4</sub> component of 595 EFT large mammal diet reported by Lehmann et al., (In Review). If these wetland areas, 596 however, supported only C4 sedges, which are less likely to be consumed by large herbivores, it 597 may explain the significantly enriched  $\delta^{13}$ C values of *B. suillus* relative to those of EFT large 598 mammals.

599 **4.4 C<sub>4</sub> vegetation in the Cape Floral Region** 

600 Although the contemporary CFR lies well within the WRZ and is dominated by C<sub>3</sub> 601 vegetation, we understand little about the evolution of this climatic system throughout the 602 Quaternary (Chase and Meadows, 2007). Previous research suggests that C<sub>4</sub> vegetation was 603 not a major component of CFR ecosystems at 5 Ma (Franz-Odendaal et al., 2002; Rossouw et 604 al., 2009; Dupont et al., 2011, 2013; Hoetzel et al., 2013, 2015), however analyses of enamel 605 from large mammals (Luyt et al. 2000; Hare and Sealy, 2014; Lehmann et al., In Review) 606 suggest a minor presence of  $C_4$  vegetation in the mid-Pleistocene, potentially related to 607 decreased atmospheric  $pCO_2$  conditions during glacial periods. Our study suggests that a C<sub>4</sub> 608 signal within the CFR during this period may be somewhat masked by the wide-ranging nature 609 of large mammals. The carbon isotope data from *B. suillus* at EFT, which sample relatively

small geographic regions (<1.5 km<sup>2</sup>) indicate that some regions in the CFR potentially had greater proportions of  $C_4$  vegetation than is indicated by carbon isotope data from large mammals alone.

613 It is important to consider the effect of glacial and interglacial climatic cycles on the  $\delta^{13}$ C 614 signatures of herbivores at EFT (Hare and Sealy, 2013). The crossover model of Ehleringer 615 (1997) and Cerling (1998) predicts that during glacial periods, atmospheric  $pCO_2$  is lower and 616 C<sub>4</sub> plants should have a distinct advantage over C<sub>3</sub> plants. Thus, it is hypothesized that during 617 glacial periods in the CFR, C<sub>4</sub> vegetation would have been a more significant proportion of the 618 plant biome than during interglacial periods (Hare and Sealy, 2013). Current geochronological 619 models of EFT make it impossible to ascertain if the sediments at EFT represent a glacial or 620 interglacial period. Both large and small mammals were collected from within a single fossil- and 621 artifact-rich horizon at EFT that could represent glacial or interglacial cycles or a combination of 622 both. Regardless of the specific time period represented, EFT large and small mammals 623 represent similar depositional circumstances and were likely aggregated over a similar time 624 interval (Fig. 5).

625 Previous research has demonstrated that in addition to atmospheric  $pCO_2$ , growing 626 season temperature is the dominant climatic parameter that determines the abundance of C<sub>4</sub> 627 vegetation within an ecosystem (Terri and Stowe, 1976; Epstein et al., 1997). These studies 628 suggest that more elevated growing season temperatures result in higher proportions of  $C_4$ 629 plants within a particular system. As with all plants, however, water availability is crucial for the 630 initiation of plant growth (Ehleringer et al., 1997). We hypothesize that the consistent presence 631 of water near springs at EFT evident from spring deposits (Braun et al., 2013a) may have 632 created conditions in which a significant proportion of C<sub>4</sub> vegetation could thrive during the hot, 633 dry summers of the WRZ. We further hypothesize that the prevalence of  $C_4$  vegetation would be 634 elevated in areas with low-lying topography in close contact with the water table (i.e., spring 635 features). This relationship between spring features and elevated C<sub>4</sub> vegetation has been

demonstrated in eastern Africa (Garrett, 2015). In the contemporary CFR, increased C<sub>4</sub>
biomass, specifically *Sporobolus virginicus* and *Stenotaphrum secundatum*, has been
documented in conjunction with estuaries and wetlands (Mucina et al., 2006; Radloff, 2008).
Thus, it is highly plausible that spring features and the resulting availability of water during the
dry summer months resulted in localized instances of C<sub>4</sub> vegetation at EFT during the midPleistocene.

642 It is also important to consider that the C<sub>4</sub> vegetation component of *B. suillus* enamel 643 values may have been affected by seasonal variation in the EFT ecosystem. Breeding in B. 644 suillus has been shown to be highly seasonal and tied to periods elevated rainfall (Hart et al., 645 2006). The shorter life spans and enamel maturation periods in *B. suillus* relative to those of large mammals, means that the  $\delta^{13}$ C data from *B. suillus* is representative of relatively shorter 646 647 periods of time compared to the  $\delta^{13}$ C data of large mammals at EFT. If the time of enamel 648 maturation in *B. suillus* corresponds to a seasonal period in which C<sub>4</sub> vegetation is more 649 abundant, then the EFT mole-rat  $\delta^{13}$ C data could represent a bias towards this particular aspect 650 of the ecosystem. Carbon isotopic data from large mammals at Hodijespunt (Hare and Sealy, 651 2013) and EFT (Lehmann et al., In Review) however, suggest that the differential winter rainfall 652 seen in the modern winter rainfall zone was active during the mid-Pleistocene. Thus, C<sub>3</sub> 653 vegetation is more likely to have increased in the WRZ during instances of increased rainfall. 654 This scenario would manifest in the enamel of *B. suillus* that would reflect greater amounts of C<sub>3</sub> 655 vegetation during these periods. This is the opposite of the pattern exhibited in the *B. suillus* 656 specimens in this study.

The connection between C<sub>4</sub> vegetation and standing water could represent an important insight into the ecological mechanisms behind the elevated diversity and abundance of mammalian fossils at EFT (Klein et al., 2007). The consistent presence of water would have been an extremely valuable resource for animals, especially the obligate drinkers during the relatively long, hot and dry summers in the WRZ. In turn, the seasonal consumption of vegetation from these areas at EFT could be responsible for the small amount of  $C_4$  vegetation in the diet of large mammals from the site (Luyt et al., 2000; Lehmann et al., *In Review.*).

664

## 665 **4.5 Implications for hominin ecology at EFT**

666 The fossil and archaeological deposits at EFT provide a rare glimpse into hominin 667 behavior between 1.0 and 0.6 Ma, a period of human history that is poorly understood in Africa 668 (Patterson et al., 2014). Previous research suggests that hominin occupation at EFT can be 669 best explained by the complex interplay of availability of stone to make artifacts and the 670 variability in food resources (Archer and Braun, 2010; Braun et al., 2013a). Our findings suggest 671 a previously undocumented diversity of vegetative resources at EFT and support this conclusion 672 on two fronts: 1) EFT likely represented a rare, resource-rich landscape within a broader 673 regional ecosystem that was relatively resource poor, and 2) this landscape presented an 674 adaptive scenario for mid-Pleistocene hominins in the Western Cape that is substantially 675 different from the summer rainfall ecosystems represented in the vast majority of similarly aged 676 deposits on the African continent.

677 Although we understand little about the position of mid-Pleistocene hominins within the 678 broader mammalian community in the CFR, EFT provides important clues into hominin 679 paleoecology during this period. Directly associated artifacts and fauna at EFT (Braun et al., 680 2013a) suggests that hominins capitalized on meat resources and were likely drawn to EFT by 681 what may have been consistently available water and vegetation. Additionally, the incredible 682 diversity of mammalian carnivores preserved at EFT (Klein et al., 2007; Braun et al., 2013a), 683 suggests that hominins were a part of the large carnivore guild by this time, a process that 684 potentially began at least 1 million years earlier on the continent (Werdelin and Lewis, 2013). 685 Recent work by Forrest et al. (2015) suggests a higher frequency of cut marked bones which is 686 likely an underestimate due to poor bone surface preservation resulting from the Aeolian

depositional setting at the site, at EFT than that indicated by previous studies (Klein et al.,2007).

The isotopic disparity between EFT *B. suillus* and EFT large mammals suggests a landscape that provided hominins with locally distinct and consistent resources (i.e., water and associated  $C_4$  vegetation) during periods of resource scarcity in the broader CFR, particularly during the dry summer months. Although the CFR may have been climatically dynamic during the mid-Pleistocene (Chase and Meadows, 2007), we hypothesize that the resources available at EFT may have provided a buffer against broader environmental, and resource instability in the CFR.

696 Lastly, our analysis contributes to our understanding of intra-landscape hominin behavior 697 at EFT. Although lithic evidence indicates that hominin behavior varied in intensity across the 698 EFT dunefield (Braun et al., 2013a), we know little about the ecology of these patterns. 699 Preliminarily, our analyses suggest that the presence of C<sub>4</sub> resources, could have contributed to 700 this concentration. Our data indicate a positive relationship between artifact density and median 701  $\delta^{13}$ C of *B. suillus* from EFT. This finding suggests that the unique environmental conditions 702 suitable for  $C_4$  vegetation (i.e., water during the summer months) may have also contributed to 703 the resultant discard of stone artifacts by hominin toolmakers at similar points on the landscape. 704

## 705 **5. Conclusion and future directions**

We used a large sample (n = 81) of fossil *B. suillus* incisors to assess the distribution of vegetation at EFT. Our findings suggest that the paleolandscape of EFT contained a unique mixture of C<sub>4</sub>, C<sub>3</sub> and CAM vegetation relative to the broader fynbos-dominated C<sub>3</sub> ecosystem of the CFR.  $\delta^{13}$ C values of *B. suillus* are significantly different from those of contemporaneous large mammals from EFT and suggest a plant community with a significant presence of plants utilizing the C<sub>4</sub> photosynthetic pathway, even when the contribution of CAM vegetation in the diet of *B. suillus* is considered. We hypothesize that this geographically restricted landscape provided abundant resources for both hominin and non-hominin taxa and potentially buffered
these populations against larger environmental fluctuations and resource instability in the Cape
Floral Region.

716 Future studies at EFT hope to increase the resolution with which we understand both 717 hominin and large mammal behavior in the CFR. Strontium isotopic analysis of both large and 718 small mammals at the site promises to provide insight into ranging patterns and the opportunity 719 to further test many of the hypotheses presented here. In addition, geochemical sourcing of raw 720 materials utilized by EFT hominins can potentially offer insights into the utilization of regionally 721 available lithic resources (Braun et al. 2008). Lastly, increasing the chronological resolution of 722 the EFT deposits is crucial to testing climatic hypotheses, especially the impact glacial and 723 interglacial cycles on the CFR.

724

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and other important archaeological locations along the west coast of southern Africa (rainfall

data: <u>www.worldclim.org</u>; Inset: modified from Braun et al., 2013a).

739

740 **Figure 2.** Temporal distribution of WCP sites. Refer to Figure 1 for spatial distribution.

741

Figure 3. A) Map of EFT Collection Bays and small mammal collection strategies. B) Shovel
test pit (STP) in Bay 0710; refer to Braun et al., (2013a) for further descriptions of geological
context of EFT; C) 0313 excavation.

745

Figure 4. A) Modern *B. suillus* skull (NMNH 344067) from Mosselbaai, South Africa; B) WCRP
46140 (acid etch score = 3); C) WCRP 46138 (acid etch score = 3); D) WCRP 45684 (acid etch
score = 0); E) WCRP 45642 (acid etch score = 2; note potential root etching); F) WCRP 45548
(acid etch score = 0); Arrows indicate anterior direction of specimen. Note differences in enamel
surface modification in 3B, 3C, 3D, 3E, 3F. 3D, 3E and 3F could be related to soil acidity,
microbes or both.

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Figure 5. Altimetric analysis of the relationship between *B. suillus* fossils and large mammal
fossils from EFT Collection Bays. EFT *B. suillus* fossils depicted with red triangles. EFT large
mammal fossils depicted with black circles.

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**Figure 6.** A) *B. suillus*  $\delta^{13}$ C values arranged by Collection Bay from north to south, B) *B. suillus*  $\delta^{13}$ C values arranged by collection Bay from east to west, C) Comparison of  $\delta^{13}$ C and  $\delta^{18}$ O values of etched and unetched incisors. Center line represents the sample median, box represents the 25<sup>th</sup> and 75<sup>th</sup> percentiles, whiskers represent the sample range exclusive of outliers, circles represent outliers defined at 1.5 times the interquartile range.

Figure 7. Comparison of EFT large mammals and EFT *B. suillus*. Models of 100%  $C_3$ , CAM and C<sub>4</sub> represent are associated with the diet of *B. suillus*, not EFT large mammals. Darker green,

765	hashed areas represent overlap between the two distributions. EFT large mammal grazer and
766	browser mean values from Lehmann et al. (In Review).
767	
768	Figure 8. Relationship between Collection Bay median <i>B. suillus</i> $\delta^{13}$ C values and Bay artifact
769	density. Line represents best fit line from median <i>B. suillus</i> $\delta^{13}$ C values – artifact density linear
770	model.
771	
772	Figure S1. $\epsilon^*_{enamel-diet}$ affect on EFT large mammal and EFT <i>B. suillus</i> distributions. A) Small
773	mammal $\epsilon^*_{enamel-diet}$ (11.1‰) following Podelsak et al., 2008, B) Large mammal $\epsilon^*_{enamel-diet}$
774	(14.1‰) following Cerling and Harris (1999).
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785	List of Tables:
786	
787	Table 1. Protocol used for assigning EFT B. suillus upper incisors to acid-etching categories
788	

789	<b>Table 2.</b> ${}^{13}C_{\epsilon}$ and ${}^{18}O_{\epsilon}$ and ${}^{18}O_{\epsilon}$ for EFT <i>Bathyergus</i> enamel. ${}^{13}C$ Offset <sup>1</sup> and ${}^{18}O$ Offset <sup>1</sup>
790	refer to difference between values obtained in this study and those of Passey and Cerling
791	(2006)
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793	<b>Table 3</b> . Summary of $\delta^{13}$ C and $\delta^{18}$ O EFT <i>B. suillus</i> upper incisors arranged by Collection Bay
794	
795	Table S1. Taphonomic analysis of EFT B. suillus upper incisors. Refer to Table 1 for etch
796	scoring protocol.
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798	<b>Table S2</b> . $\delta^{13}$ C and $\delta^{18}$ O values of EFT <i>B. suillus</i> upper incisors.
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Figure 1. Modern rainfall seasonality in southern Africa. Inset: location of Elandsfontein (EFT) and other important archaeological locations along the west coast of southern Africa (rainfall data: <a href="http://www.worldclim.org">www.worldclim.org</a>; Inset: modified from Braun et al., 2013a).





Figure 3. A) Map of EFT Collection Bays and small mammal collection strategies. B) Shovel test pit (STP) in Bay 0710; refer to Braun et al., (2013a) for further descriptions of geological context of EFT; C)

0313 excavation.



Figure 4. A) Modern *B. suillus* skull (NMNH 344067) from Mosselbaai, South Africa; B) WCRP 46140 (acid etch score = 3); C) WCRP 46138 (acid etch score = 3); D) WCRP 45684 (acid etch score = 0); E) WCRP 45642 (acid etch score = 2; note potential root etching); F) WCRP 45548 (acid etch score = 0); Arrows indicate anterior direction of specimen. Note differences in enamel surface modification in 3B, 3C, 3D, 3E, 3F. 3D, 3E and 3F could be related to soil acidity, microbes or both.



Figure 5. Altimetric analysis of the relationship between *B. suillus* fossils and large mammal fossils from EFT Collection Bays. EFT *B. suillus* fossils depicted with red triangles. EFT large mammal fossils depicted with black circles.



**Figure 6.** A) *B. suillus*  $\delta^{13}$ C values arranged by Collection Bay from north to south, B) *B. suillus*  $\delta^{13}$ C values arranged by collection Bay from east to west, C) Comparison of  $\delta^{13}$ C and  $\delta^{18}$ O ratios of etched and unetched incisors. Center line represents the sample median, box represents the 25<sup>th</sup> and 75<sup>th</sup> percentiles, whiskers represent the sample range exclusive of outliers, circles represent outliers defined at 1.5 times the interquartile range.



**Figure 7.** Comparison of EFT large herbivores and EFT *B. suillus*. 100% C<sub>3</sub>, CAM and C<sub>4</sub> represent are associated with the diet of *B. suillus*, not EFT large herbivores. Darker green, hashed areas represent overlap between the two distributions. EFT large mammal grazer and browser mean values from Lehmann et al. (*In Review*).





Figure S1.  $\varepsilon^*_{enamel-diet}$  affect on EFT large herbivore and EFT *B. suillus* distributions. A) Small mammal  $\varepsilon^*_{enamel-diet}$  (11.1‰) following Podelsak et al., 2008, B) Large mammal  $\varepsilon^*_{enamel-diet}$  (14.1‰) following Cerling and Harris (1999).

