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1	Impact of Climate Change on Land, Water and Ecosystem Quality in Polar and
2	Mountainous Regions: Gaps in our knowledge
3	
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#### 15 Abstract

16 Nowhere are the effects of climate change more visible than in polar and mountainous 17 regions. To initiate the Interregional Technical Co-operation Project INT/5/153 (2014-18) on Assessing the Impact of Climate Change on Land-Water-Ecosystem Quality in Polar and 18 19 Mountainous Regions (funded by the International Atomic Energy Agency and supported by the Joint FAO/IAEA Division of Nuclear Techniques in Food and Agriculture), we built a 20 21 database containing 769 of the most significant journal papers on the effects of climate change in polar and mountainous regions between 2000-2014 (up until the Fifth IPCC 22 Assessment). Using the number of paper citations per year (CPY) we derive the top fifty 23 most cited journal papers published in the 15-year period. Analysis of the focus of these 'top 24 25 fifty' papers is compared to the IPCC Fifth Assessment (AR5) Report (IPCC, 2013) and the

full database. Five categories emerged, and by combining the number of papers in each 26 27 category with the average CPY for the category, research on the impacts of climate change 28 on terrestrial ecosystems (E) in polar and mountainous regions dominated, research on the impact on water resources (W) was second, the impact on people's livelihood (P) third, with 29 ice and snow (I) fourth and landscape (L) fifth. Landscape (L), in our view, appears to be 30 under researched and is presumably included in the IPCC Terrestrial Ecosystems category. 31 32 We propose that policy makers should note this under-representation of high impact research into landscape processes (erosion and deposition processes), which needs to be 33 34 addressed in future.

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36 **Key words:** citation alanysis; literature review; climate change impacts; polar

regions; mountainous regions; livelihood adaptation; soil-water-ecosystem quality

#### 38 Introduction

39 Modern climate change has been described as 'the defining human development challenge of the 21st century' (United Nations Intellectual History Project, 2011). Model projections 40 suggest that global surface temperature change for the end of the 21st century is likely to 41 42 exceed 1.5°C relative to 1850 to 1900 for all Representative Concentration Pathways 43 adopted by the Intergovernmental Panel Climate Change (except RCP2.6) (IPCC, 2013). Indeed, average global warming in the period 1990-2100 is expected to be between 1.1 and 44 6.4°C depending on the global release of greenhouse gas emissions (Kohler and Maselli, 45 2009). This warming will not be uniform, but in general will be greater over land and in high 46 47 latitudes.

48 Nowhere are its effects already more visible than in the polar and mountainous regions. 49 Climate change is progressing at a rate several times the global average in Western 50 Antarctica for example. The Antarctic Peninsula region has experienced a rise of ca. 3°C for 51 surface air temperature over the last 50 years (Bromwich et al., 2013), and 87% of 244 52 glaciers along the west coast of the Antarctic Peninsula (AP) have retreated in the last fifty 53 years (Cook & Vaughan, 2010). Higher elevation sites in the Rocky Mountains have 54 experienced a threefold increase in warming compared to the global average during the last few decades (Kohler and Maselli, 2009). In the European Alps regional climate projections 55 indicate warming of about 1.5 times the global average, with greater warming in summer 56 (FAO, 2015). Precipitation is projected to decrease in summer and in an annual average, 57 and to increase in winter, although Giorgi et al. (2016) used an ensemble of global climate 58 model simulations to conclude that while broad-scale summer precipitation reduction is 59 projected, the regional models simulate an increase in precipitation over the high Alpine 60 61 elevations that is not present in the global simulations. This finding challenges the picture of a ubiquitous decrease of summer precipitation over the Alps found in coarse-scale 62 projections. General warming is predicted to result in an upward shift of the glacier 63 equilibrium line by between 60 to 140 m per degreeC temperature increase (Oerlemans, 64

2003), along with a substantial glacier retreat during the 21st century. The duration of snow
cover is expected to decrease by several weeks for each degree C of warming at middle
elevations in the Alpine region.

The 1992 United Nations Framework Convention on Climate Change recognized that "developing countries with fragile mountainous ecosystems are particularly vulnerable to the adverse effects of climate change" (United Nations, 1992). Agenda 21 (Chapter 13) identified the need to generate and strengthen knowledge about the ecology and sustainable development of mountain ecosystems, and the Rio+20 United Nations Conference on Sustainable Development in 2012 called for long-term vision and a holistic approach to sustainable mountain development.

Examining the impacts of climate change in Antarctic and Arctic landscapes can be 75 particularly useful for a better understanding of the future impacts of climate change on 76 landscape dynamics (including land degradation and resulting changes in land, water and 77 ecosystem quality) in mountainous regions across the world. Mountains cover around 25% 78 79 of the global land surface and are home to 10% of the world's population. An estimated 40% of mountain populations are located in developing countries and nearly 300 million mountain 80 81 people are food insecure with half suffering from chronic hunger (Kohler and Maselli, 2009). 82 Furthermore, it is estimated that mountains provide freshwater to half of the world's population. Climate change will affect the availability of water, and combined with increasing 83 84 temperatures can make farming communities in some countries, such as those in the Andes in South America or in the Himalaya, shift to higher altitudes, often in more fragile 85 86 ecosystems, where slopes which are no longer supported by glaciers become unstable leading to landslides, mass movements and related hazards which can result in severe land 87 degradation and undermine food security. Zhang et al. (1997) working on the North Slope, 88 Alaska, reported that the thickness of the active layer increased from the Arctic coast to the 89 90 foothills of the Brooks Range and is directly proportional to summer air temperatures and thawing index. Increasing air temperature will therefore seem to result in continuously or 91

92 seasonally frozen soils releasing more greenhouse gases into the atmosphere, but the
93 magnitude of this effect remains highly uncertain (UNEP/WGMS, 2008).

The United Nations Environment Programme (UNEP) reports indicate the need for getting better access to existing data, better knowledge of the data quality and the generation of new data in a manner that allows data sharing among researchers (UNEP, 1992).

#### 97 Aims and objectives

This paper aims to identify and discuss the top fifty most cited (and therefore, arguably the highest impact) journal papers published in the 15-year period 2000-2014 which relate to the issue of climate change impact in polar and mountainous regions. Analysis of the focus of these 'top fifty' papers will be compared to the IPCC Fifth Assessment (AR5) Report (IPCC, 2013) and a wider database of 800 journal articles and key reports. The intention is that this analysis will highlight where we have gaps in our knowledge and therefore serve to help policy makers and funders of research to plug these knowledge gaps.

105 This analysis was carried out in the frame of Interregional Technical Co-operation Project 106 INT/5/153 (2014-18) on Assessing the Impact of Climate Change on Land-Water-Ecosystem Quality in Polar and Mountainous Regions, which is organized and funded by the 107 International Atomic Energy Agency and supported by the Joint FAO/IAEA Division of 108 109 Nuclear Techniques in Food and Agriculture. The project involved scientists from twentythree countries representing thirteen benchmark research sites (Fig. 1) designed to assess 110 the impact of climate change on land-water-ecosystem quality in polar and mountainous 111 regions. The overall objective of the project is to improve the understanding of the impact of 112 113 climate change on fragile polar and mountainous ecosystems at the local and global scale 114 for their better management and conservation.



116 Figure 1: World map to show Benchmark sites of the INT 5153 project

117 The project expects to have the following outcomes: (1) improved understanding of the

impact of climate change on the cryosphere, and its effects on land-water-ecosystem quality

at local and global scale in polar and mountainous ecosystems, (2) recommendations for

120 improvement of regional policies for soil and agricultural water management, conservation,

121 and environmental protection in polar and mountainous regions.

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### 124 Methods

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125	Developing a literature database
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127	It was decided in the planning stage for the INT5153 project that a database should be
128	developed which should:
129	1. Be easily accessible to, and updatable by, all members of the project, project
130	partners and managers,
131	2. Focus on peer reviewed scientific literature
132	3. Be searchable by key words, date, journal and benchmark site,
133	4. Focus on research produced in the last TWO IPCC Assessment Reports (Four and
134	Five), i.e. since the year 2000.
135	5. Perform a gap analysis that should prepare the ground for the scientific approach
136	and training and highlight where we have gaps in our knowledge and therefore serve
137	to help policy makers and funders of research to plug these knowledge gaps.
138	After some discussion with a core team, it was decided that Microsoft EXCEL would meet
139	the criteria as a widely available platform in which to build the database. The following
140	stages were completed to populate the database:
141	1. Google Scholar (http://scholar.google.co.uk/) was used and search terms were input
142	as follows: "climate change King George Island" etc with the benchmark site (italics)
143	changed for each of 13 searches (one search for each of the benchmarks sites
144	shown in Fig. 1). Between 10-13 key journal papers were then selected from the first
145	20 search hits and entered into the database. This generated around 150 entries.
146	2. All project members were invited by email to contribute additional literature relating to
147	their benchmark sites by email. This generated approximately 200 further entries for
148	the database.

- Following the First Project Co-ordination meeting in Vienna in June 2014, scientists
   representing benchmark sites forwarded further scientific papers arising from
   research undertaken at/around their benchmark site/research station and a further
   248 papers were subsequently added to the database bringing the total number of
   entries to around 550.
- Next the top 35 academic journals which most frequently appeared in the database
   were assigned an impact factor (Garfield, 1999).
- 5. The journal impact factor was then used to calculate the proportion of the remainingentries to the database which were to come from each of the top 35 journals.
- Each of the 35 journals was searched in turn, normally using the Science Direct
  online database or google scholar to find references relevant to the project using the
  terms: 'climate', 'change', 'impact', 'polar', 'mountainous', 'regions'.
- 161 7. It was intended to stop searching when the database reached 800, but uncovering
   162 new papers became increasingly difficult and searching stopped at 769 entries.
- 163 8. The number of citations for each paper (found from Google Scholar) was entered into
- a new column in the database. The number of citations the paper had was then
- divided by the age (the number of years it had been published prior to 2014) of the
- 166 publication to derive the average number of citations per year, CPY.
- 167 9. The database entries were then ranked from highest to lowest based on the CPY.
- 168 10. The top fifty ranked papers were then selected for content analysis and compared
  169 with findings from IPCC and the full database
- 170

### 171 Findings

- 172 Summary of database content
- 173

The distribution of database entries by year of publication (Fig. 2) shows that all years 2000-2014 were represented in the database, though the higher numbers in the second half of the period correspond with the statement made by IPCC (2014) "The number of scientific publications available for assessing climate change impacts, adaptation, and vulnerability more than doubled between 2005 and 2010, with especially rapid increases in publications related to adaptation" (IPCC, 2014).

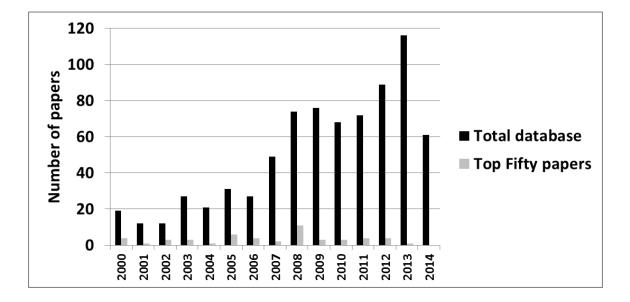


Fig. 2: Distribution of database entries by year of publication.

The fifty papers with the highest average citations per year (hereafter simply referred to as the 'top fifty') are also drawn from all years except 2014. That none of the top fifty papers were published in 2014 (and only one in 2013) may reflect the fact that these top papers need some time to gather citations and therefore work their way into this top fifty. Typical journal paper publication times are 6-18 months so it is unlikely that a high impact paper published in 2014 would have had enough time to be cited by other authors and therefore gain enough citations to reach this top fifty.

- 187 The 769 entries in the database included 31 reports, 31 book chapters, 18
- 188 Conference/Symposium Proceedings, 21 web news articles, 5 books and 191 different
- 189 journals. (Table 1).

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Journal	No	Journal	No	Journal	No	Journal	No
Journal	NU	Journal	NU	International Journal of	NU	Journai	NO
		Earth System Science		Water Resources		Polar Science	
Acta Bot. BorealOccident. Sin	1	Data	2	Development	1		1
			-	Journal of Agronomy	•	Polish Polar	-
Acta Ecologica Sinica	1	Ecological Applications	1	and Crop Science	1	Research	1
	- ·			Journal of African Earth	•	POLISH JOURNAL	
Acta Geológica Hispánica	1	Ecological Economics	1	Sciences	1	OF ECOLOGY	1
			-	Journal of Arid		Problems of Arctic	
Acta PedologicaS Inica	1	Ecology	2	Environments	2	and Antarctic	3
						Proceedings of the	
Acta Societatis Botanicorum		Ecological Monographs		Journal of Asian Earth		National Academy	
Poloniae	2		1	Sciences	1	of Sciences	1
						Progress in	
Advances in Agronomy				Journal of Biogeography		Development	
	1	Ecology and Society	1		2	Studies	1
				Journal of China		Progress in	
Advances in Climate Research				University of		Physical	
	1	Ecology Letters	2	Geosciences	2	Geography	1
Advances in Ecological				Journal of Climate		Quaternary	
Research	13	Ecosystems	1	Journal of Chimate	1	International	9
						Quaternary	
Advances in Geosciences	3	Emotion, Space & Society	1	Journal of Climatology	1	Research	1
Agricultural and Forest				Journal of Food,			
Meteorology		Energy Policy		Agriculture &		Quaternary Science	
	1		2	Environment	1		1
Agricultural Systems				Journal of		Quaternary Science	
Agnoultural Oysterns	1	Engineering Geology	1	Environmental	2	Reviews	13

192 Table 1: Titles of journals (with number of entries) used in database

191

"

				Radioactivity			
Agriculture, Ecosystems and Environment	1	Environmental and Resource Economics	1	Journal of Environmental Management	1	Regional Environmental Change	1
Agricultural Water Management	Agricultural Water Management		1	Journal of Geophysical Research	9	Radiation and Environmental Biophysics	1
Ambio	7	Environmental Development	1	Journal of Glaciology	14	Remote Sensing Letters	1
American Scientist	1	Environmental Management	1	Journal of Glaciology and Geocryology	2	Remote Sensing of the Environment	16
Annals of Botany	1	Environmental Pollution	1	Journal of Historical Geography	1	Renewable and Sustainable Energy Reviews	1
Annals of Glaciology	8	Environmental Research Letters	4	Journal of Hydrology	12	Report	30
Annals of the Association of American Geographers	3	Environmental Science and Policy	5	Journal of Hydrometeorology	1	Report in Spanish	1
Annals of Tourism Research	1	EOS	2	Journal of Integrated Disaster Risk Management	1	Resources Science	1
Antarctic Science	1	Forest Ecology and Management	3	Journal of Mountain Science	4	Resource and environment in the Yangtse basin	1
Applied Soil Ecology	2	Forest Policy and Economics	1	Journal of Paleolimnology	1	Reviews of Geophysics	1
Arctic, Antarctic, and Alpine Research	12	Forestry Studies in China	2	Journal of Plant Nutrition and Soil Science	1	Revista Brasileira de Geomorfologia	3
Atmospheric Chemistry & Physics	1	Freshwater Biology	1	Journal of Quaternary Science	2	Revista de la Asociación Geológica Argentina	1
Austrian Journal of Earth Sciences	2	Fungal Ecology	1	Landslides	2	Scandinavian Journal of Forest Research	2
Biodiversity	1	Geochimica et Cosmochimica Acta	1	Marine Geology	1	Science	44

"

Biogeochemistry	1	Geoderma	3	Microbes and Infection	1	Science China Earth Sciences	1
Biogeosciences	Geografiska Annaler: Series A, Physical 2 Geography 5		Mountain Research and Development	6	Science of the Total Environment	4	
Bioscience	3	Geographica Helvetica	1	Mycorrhiza	1	Silva Fennica	1
Book	5	Geology	1	Natural Hazards	5	Soil Biology and Biogeochemistry	2
Book chapter	31	Geology Today	1	Natural Hazards and Earth System Sciences	3	Soil Science Society of America Journal	1
Canadian Water Resources Journal	1	Geomorphology	16	Nature	34	Soils	1
Catena	2	Geophysical Research Abstracts	2	Nature Climate Change	28	Spanish Journal of Agricultural Research	1
CECNet	1	Geophysical Research Letters	7	Nature Geoscience	35	State of Antarctic environment Quarterly Bulletin	2
Central Asia and the Caucasus	1	Global and Planetary Change	21	Norsk Geografisk Tidsskrift	1	Surveys in Geophysics	1
Chinese Journal of Plant Ecology	1	Global Biogeochemical Cycles	2	Oceanography- Oceanography Society	1	Sustainable Development	1
Climate Change	11	Global Change Biology	15	Organic Geochemistry	1	Technological Forecasting and Social Change	1
Climate Dynamics	1	Global climate change and cold regions ecosystems	1	PAGES News	1	Tellus	3
Climate of the Past	1	Global Ecology and Biogeography	2	Palaeogeography, Palaeoclimatology, Palaeoecology	7	The Holocene	1
Conference/Symposium Proceedings	18	Global Environmental Change	10	Permafrost and Periglacial Processes	4	The Lancet	1
Cryosphere	12	Hydrological Processes	15	Perspectives in Plant Ecology, Evolution and Systematics	1	Theoretical and Applied Climatology	3

n

Current Biology	1	Hydrological Sciences Journal	2	Pesquisa Antártica Brasileira	1	The Review of Economics and Statistics	2
Current Opinon in Environmental Sustainability	2	Hydrology and Earth System Sciences	6	PhD Thesis	3	Tourism Management	1
Danish Journal of Geography	1	IAHS Symposuin Proceedings	2	Philosophical Transactions of the Royal Society A	1	Transactions American Geophysical Union	2
Discussion paper	2	Ice and snow	2	Photogrammetric Engineering and Remote Sensing	1	Tree Physiology	1
Earth and Planetary Science Letters	2	International Journal of Climatology	2	Plant and Soil	1	Trees	1
Earth Surface Processes and Landforms	2	International Journal of Environmental Protection	1	PloS one	5	Water International	1
Earth-Science Reviews	15	International Journal of Remote Sensing	1	Polar biology	5	Water Science and Technology	1
		International Journal of Sustainable Society	1	Polar Geography	1	Zeitschrift für Geomorphologie	1

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194 Science, Nature Geoscience and Nature were the top three of the most popular journals with entries of three or more (Fig. 3).



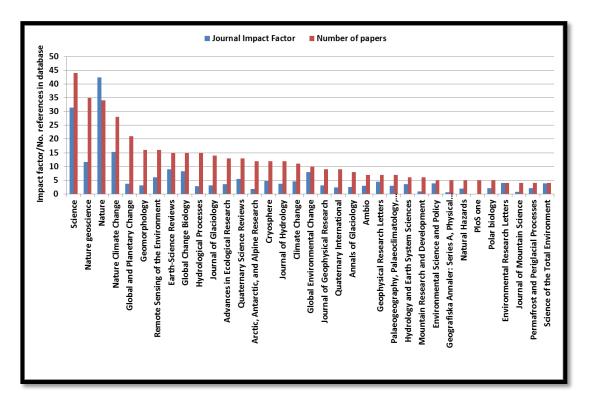


Fig. 3: Most popular journals in database (with three or more entries)

197 The top 35 journals (based on impact factor) and the number of entries in the database for

198 each journal is given in Table 2.

199

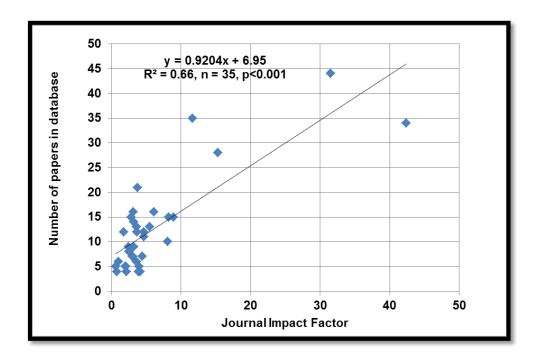
- Table 2: List of the top 35 highest impact academic journals used to search for material
- 202 relevant to climate change impacts in polar and mountainous regions to supplement the
- 203 papers provided by benchmark site scientists.

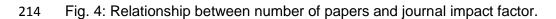
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		30/05/2014	IMPACT FACTOR	% of total	Soarch target	02/09/2014
	Journal Title	Number			Search target Number	Number
		Number	13-Aug-14	Impact	Number	Number
1	Science	8	31.477	15	44	44
2	Nature Geoscience	1	11.668	5	16	35
3	Nature	10	42.351	20	60	34
4	Nature Climate Change	3	15.295	7	22	28
_	Global and Planetary	10		-	_	
5	Change	16	3.707	2	5	21
6	Geomorphology	6	3.167	1	4	16
7	Remote Sensing of the Environment	5	6.065	3	9	16
8	Earth-Science Reviews	2	8.95	4	13	15
9	Global Change Biology	1	8.22			15
10	Hydrological Processes	7	2.81	1	4	15
11	Journal of Glaciology	9	3.213	2	5	14
10	Advances in Ecological		0.50		_	40
12	Research	4	3.59	2	5	13
13	Quaternary Science Reviews Arctic, Antarctic, and Alpine	5	5.463	3	8	13
14	Research	8	1.78	1	3	12
15	Cryosphere	2	4.684	2	7	12
16	Journal of Hydrology	3	3.678	2	5	12
17	Climate Change	3	4.622	2	6	11
18	Global Environmental Change	1	8.05			10
	Journal of Geophysical					
19	Research	5	3.174	1	4	9
20	Quaternary International	2	2.446	1	3	9
21	Annals of Glaciology	9	2.524	1	4	8
22	Ambio	7	2.973	1	4	7
23	Geophysical Research Letters	5	4.456	2	6	7
20	Palaeogeography,	5	4.400	۷	0	ſ
	Palaeoclimatology,					
24	Palaeoecology	5	3.035	1	4	7
25	Hydrology and Earth System Sciences	5	3.59	2	5	6
	Mountain Research and					
26	Development	3	0.989	0	1	6
27	Environmental Science and Policy	1	3.948	2	6	5
28	Geografiska Annaler: Series A, Physical Geography	5	0.659	0	1	5

29	Natural Hazards	4	1.958	1	3	5
30	PloS one	1				5
31	Polar biology	2	2.071	1	3	5
32	Environmental Research Letters	3	4.09	2	6	4
33	Journal of Mountain Science	2	0.763	0	1	4
	Permafrost and Periglacial					
34	Processes	1	2.177	1	3	4
	Science of the Total					
35	Environment	2	3.906	2	5	4

There was a significant relationship between the number of journal references added to the 205 206 database and the impact factor, i.e. the higher the journal impact factor, the more papers 207 from that journal that were searched and added (Fig. 4). This approach was adopted so that 208 the database contained a significant proportion (164 or 21.3%) of high impact research 209 papers drawn from the top 35 impact factor journals shown in Table 2 which are not specifically linked to benchmark sites for the INT 5153 project. This, we believe, 210 211 strengthened the validity of the database and added to the 512 entries which are specifically 212 linked to the benchmark sites or regions chosen for this project.





The key words (up to three) which were entered next to each entry in the database (Table 3). In total, 1113 key words were entered next to database entries and these were assigned to five categories based on emerging themes (Maykut and Morehouse, 1994) which are colour coded in Table 3. Keywords associated with glacier/recession/melt/mass balance had the highest count of 208.

- Table 3: Keywords (with number of entries) used in database. Note that colour coding key at
- top of table corresponds to categories.

					1
		COLOUR KEY			
Impacts on Snow, Glaciers, Ice Caps and Ice Sheets		Impacts on Livelihoods: Agriculture, Food, Wate Security and Hydropower	r	Impacts on Landscape, Geomorphology and Slope Stability	
Impacts on Terrestrial Ecosystems: Soil, Biodiversity, Greenhouse gases and Feedback systems		Impacts on Water and Water Resources		Non-coloured = Not assigned	
Keywords	TOTAL				
Abrupt climate change	1	Feedback	6	Quinoa	6
Accimatisation capacity	1	Food security/production	5	Bainfall	3
Adaptation	33	Frost	6	Range shifts	3
Afforestation	1	Fungi	1	Rockfall	4
African drought	0	Geomorphology	1	Remote sensing	7
Aerial photos	3	GHGs/emissions	6		3
Airborne laser	1	Glacier/recession/melt/mass balance	5	Respiration Risk	1
Arriculture/Crops/Biofuels	24	Glacial lakes/lakes/proglacial lakes	208	River discharge/runoff	20
Agroforestry	1	GLOF/jokulhaulp	14	Rock glacier	20
Animals/Fauna	6	Governance	22	Sea level/rise	4
Annual Production/Biomass	2		1	Sediment	-
Annual Production/Biomass	8	Grassland/grazing Hazard management	4	Ski industry	1
•	5	HEP		Slope failure	6
Biodiversity Biogeochemical cycling/response	3	Human/adaptaton/response	10 5	Snow cover/melt/avalanche/pack	31
Biomass burning/wildfire	3	Hydrology/irrigation	10	Socio-economic/vulnerability/limts	34
Black carbon	3	Ice sheet/mass/core	46	Soil carbon/respiration/moisture/sequester	29
Carbon/cycle/CO2			13	Soil erosion	1
	49	Isotope Lake/Lake ice	5		
Catastrophic soil erosion	1 78		16	Species Sub-marine	3
Climate /change/extremes/hazard	78 8	Land/land-use/cover	9		5
Crops/yields Crustal uplift	8	Landslide/mass movement/slope failure Management	26	Suspended sediment Technology	
			26		1
Cryosphere Cultural dimension	5	Mapping Methane/emissions/flux	57	Terrestrial ecosystem/cabon cycle Tropical	4
Damage costs/disease/disaster	4	Nutrients/	13	-	6
<b>.</b>				Thawing	
Dating	1	Organic matter/carbon	4	Trees/tree-line/tree rings	13
Dams Debris flow	1	Organisations	7	Vegetation	12
		Palaeoclimate	7	Villages	1
Dendrochronology	1	Peat/decomposition	7	Vulnerability Water accounter (unter	3
Dust	1	Periglacial/permafrost/active layer	2	Water resources/water	48
Early warning system (landslide)	1	Phosphorus	52	Weathering	1
Ecology/Ecosystems	3	Pine beetle	2	Weeds	1
Ecomonics/impacts	25	Plant/ecology/herbivore interaction	5	Wetlands	2
Energy/budgets/HEP Forests	8	Planation Policy/political	1 9	TOTAL	111

222

223 Looking at the years when journals papers in the database were published, there is a

tendency towards a normal distribution, but it is skewed towards the second half of the

period searched which indicates that the number of research papers concerned with the
impacts of climate change on land-water-ecosystem quality is on the increase. After
checking and cleaning the database (for example, some repeat entries were noted and
removed) there was a final total of 720 entries which included 615 journal papers (from 191
different journals), 31 reports, 31 book chapters, 19 Conference/Symposium Proceedings,
18 web news articles, 6 books.

Four of the five categories which emerged from the analysis of the 1015 key words

corresponded to the four used by IPCC (2014): Snow and Ice (termed Ice in this study,

abbreviated to I); Rivers and Lakes (termed Water in this study, abbreviated to W);

234 Terrestrial Ecosystems (termed Ecosystems in this study, abbreviated to E) and Food

235 Production & Livelihoods (termed People in this study, abbreviated to P) plus a new

236 Landscape category (abbreviated to L). The five categories into which the keywords were

grouped, the number of keywords associated with each group, and the percentage of the

papers in the database which were in each group are given in Table 4.

Table 4: Number of key words and % of total in five categories (rank order)

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	TOTAL KEYWORDS	%
Impacts on Snow & Ice (I)	366	36.1
glacier, glacier recession, glacier melt/ablation, glacier mass balance,		
glacier mapping/inventory; ice sheet, ice mass, ice core; periglacial,		
permafrost, active layer; cryosphere; snow cover, snow melt, snow		
pack, snow avalanche		
Impacts on Terrestrial Ecosystems (E)	260	25.6
agriculture, crops, yields, biofuels; animals, fauna; biomass,		
biodiversity; biogeochemical cycling/response; biomass burning,		
wildfires; black carbon, carbon, carbon cycle, carbon dioxide; ecology,		
ecosystem; forests, feedback; greenhouse gases; emissions;		
grassland, grazing; land use, land cover; methane, methane		
emissions/flux; nutrients, organic matter; peat decomposition; plants,		
herbivores; species; range shift; respiration; sequestration; trees,		
vegetation, weeds; wetland/fen		
Impacts on Water (W)	470	47.0
	173	17.0
water, water resources; lakes, glacial lakes, proglacial lakes, lake ice;		
hydrology; irrigation; rainfall; river discharge; runoff; sea level		
Impacts on People's Livelihoods (P)	146	14.4

acclimatisation capacity, adaptation; cultural dimension; damage		
costs, disease, disaster; economic impacts; energy, hydropower; food		
security, food production; governance; hazard management; risk,		
human adaptation, human response; migration; management,		
organisations, policy, political; ski industry, tourism; socio-economic;		
technology; vulnerability		
Impacts on Landscape (L)	70	6.9
Soil erosion; debris flow; geomorphology; landslide, mass movement,		
slope failure, rockfall; sediment, suspended sediment; weathering		
TOTAL	1015	100

242 Using the five categories in Table 4, all database entries were assigned to one or more of these, leaving 721 entries which had citations assigned. On closer inspection it was found 243 that 58 of the journal paper entries were only concerned with climate change and not the 244 impact of climate change on polar and mountainous regions. These papers were assigned 245 246 the category C (for Climate) and thereafter removed from the analysis, leaving 663 journal articles remaining. However, sometimes research papers were concerned with more than 247 248 one category. For example, if the paper was concerned with glacier recession (I) and changes in runoff (W), then it was assigned IW (eg. a study of glacial lake outburst floods). 249 250 157 of the journal papers were assigned two categories and 13 were assigned three categories. When the total number of papers in each category was calculated, a paper with 251 252 two categories, such as IW, would be assigned 0.5 to I and 0.5 to W. For three categories such as IEW, then each category would be assigned 0.33. Table 5 shows the results for all 253 254 papers in database (columns 2-3) and top 50 only (columns 6-7) and the last row shows the 255 ranking of the five categories. Columns 4 and 8 in Table 5 show the average number of 256 citations per year (CPY) for each category and columns 5 and 9 gives the product of the

number of papers multiplied by the average CPY. This measure, we believe, gives the most robust weighting for each category. The final row in Table 5 summarises the ranks with E > W > P > I > L being the order for all papers in the database and for the Top Fifty.

Category	A	II Papers in	Database		Top 50 papers					
	No.	% of	Average	No.	No.	% of	Average	No.		
	papers in	papers in	CPY*	papers	papers	papers	CPY*	papers x		
	database	database		x Ave	in top	in top		Ave CPY*		
				CPY*	50	50				
I	213	33.7	31	6609	3.5	7.0	315.3	1104		
E	194	30.6	121	23457	28.5	57.0	376.6	10733		
W	97	15.3	103	9968	7.5	15.0	282.6	2120		
Р	74	11.6	94	6915	10.0	20.0	287.5	2875		
L	55	8.7	40	2238	0.5	1.0	49.9	25		
тот	633	100.0			50	100				
RANKS	I > E > V	V > P > L	E>W>P>I>L		E>P>W>I>L		E>W>P>I>L			

Table 5: Comparison of all papers in database vs top 50 only.

261 \* CPY = citations per year.

Most papers published in the last 15 years concerned with the impact of climate change on polar and mountainous regions are classified as I. There are 213 papers in this category which represents 33.7% of the total. There are 194 papers in the E category which represents 30.6% of the total. The third category, the impact of climate change on the water (rivers and lakes) (W) contained 97 papers (15.3% of the total). The fourth category, climate change impacts on people and livelihoods (P) contained 74 papers (11.6% of the total). The fifth category, landscape (L) contained 55 papers (8.7% of the total).

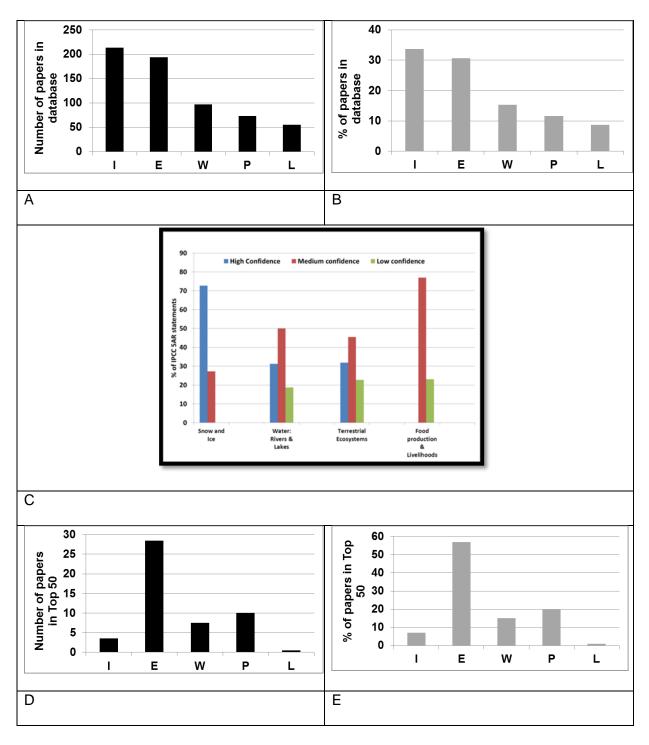
These distributions can be compared with the IPCC (2014) confidence levels of knowledge in each of the first four categories (I-E-W-P) (see Fig. 5). The fifth category (L) has been

created by us through the key word analysis (Table 3). However, the IPCC (2014) deals 271 272 with the levels of confidence that the 5AR has in making statements about the impact of climate change on snow and ice (I); rivers and lakes (W); terrestrial ecosystems (E) and food 273 production/livelihoods (P). Figs. 5A and B show the number and percentage of the research 274 papers in these categories, and includes the fifth category, landscape (L) which, in our view, 275 appears to be under researched and is presumably included in the IPCC Terrestrial 276 Ecosystems category. We feel that policy makers should note this under-representation of 277 research, or at least high impact research, on landscape processes which we discuss in 278 more detail later. 279

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- Fig. 5. A: Number of papers in whole database in the five categories (n = 633), B:
- 282 Percentages of papers in whole database in the five categories, C: Confidence levels in
- statements made by IPCC (2014) in four areas which are being impacted by climate change
- 284 (IPCC, 2014), D: Number of papers in Top 50 (by CPY) in the five categories (n = 50), E:
- 285 Percentages of papers in Top 50 (by CPY) in the five categories





287 Figs. 5D and E present the distribution for just the Top Fifty - the fifty papers in the database with this highest number of citations per year (CPY). There is a clear mis-match between 288 the nature of the research coming from the larger database (of 663 papers) and those in the 289 Top Fifty. The rank order has changed from I > E > W > P > L to E > P > W > I > L. In other 290 291 words, the number of research papers in I reaching the top 50 has declined hugely, from 292 33.7 in the whole database to just 7.0% in the top 50 while research on terrestrial ecosystems (E) has increased from 30.6% in the whole database to 57.0% in the top 50. P 293 294 has moved up from fourth to second rank of the five categories (increased from 11.6% in the whole database to 20.0% in the top 50), and water (W) has remained in third place (changed 295 296 15.3% in the whole database to 15.0% in the top 50). Landscape research (L) remains in fifth place (decreased from 8.7% in the whole database to just 1.0% in the top 50). 297 298

The average citations per year (CPY) for whole database and the Top 50 papers and the number of papers x average citations per year (CPY) for whole database and the Top 50 papers is shown in Fig. 6.

Figure 6. A: Average citations per year (CPY) for whole database and the Top 50 papers, B:
Number of papers x average citations per year (CPY) for whole database and the Top 50
papers.

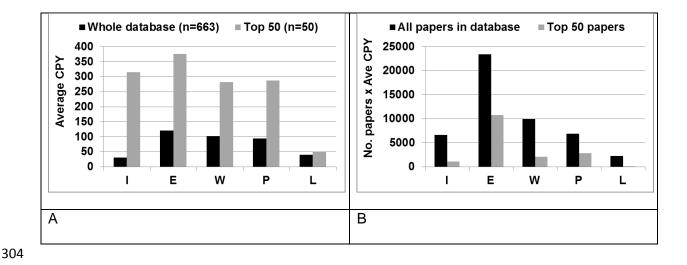


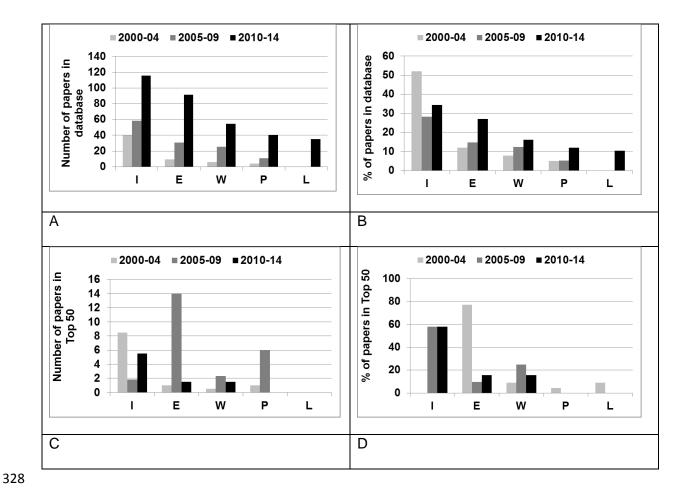
Fig. 6A indicates that when considering citations per year (CPY) as a measure of impact or importance to the scientific community, the rank order of categories is E > W > P > L > I for the whole database but becomes E > I > P > W > L for the top 50 papers. It would therefore seem sensible to combine the number of papers in each category by the average citations per year (CPY) for the category. This results in Fig. 6B which gives the rank order for the whole database as E>W>P>I>L and the ranks for the top 50 papers are the same.

So, to conclude this section, research concerned with the impacts of climate change on terrestrial ecosystems (E) in polar and mountainous regions dominates, with papers on the impact on water resources (W) being second and a very close third the impact on people's livelihood (P), with I fourth and L fifth. The distribution for the Top Fifty highest impact papers closely mirrors the distribution of papers in the larger database of 663 journal papers. Therefore, a more detailed examination of the research undertaken in the Top Fifty highest impact papers is proposed for the discussion of this paper.

In order to assess the changes over the 15 year (2000-14) period, it was decided to split the database into three five year time periods: 2000-04; 2005-09; 2010-14 (Fig. 7), which shows how the number of papers (Fig. 7A) and the percentage or papers (Fig. 7B) in each of the five categories changed through the three time periods. Fig. 7A shows that the number of papers increased in each category from 2000-04 to 2005-09 and again to 2010-14.

323

- Figure 7. A: Changes in the number of papers in each category over time (n = 663), B:
- 325 Changes in the percentage of papers in each category over time (n = 663), C: Changes in
- the number of papers in each category over time in Top 50 (n = 50), D: Changes in the
- 327 percentage of papers in each category over time in Top 50 (n = 50).



- 329 On examining the Top 50 papers (Figs. 7C and D), it is difficult to see any obvious pattern
- over the 15 year period.

- 332 Discussion
- 333 Content of the Top Fifty Highest Impact papers
- A closer examination of these Top Fifty papers was made. The topic of the research was
- noted and Table 6 shows the most popular research topics in the Top Fifty papers.

## Table 6: Most popular research topics in the Top Fifty papers

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Category	Торіс	No. of	Authors, Year and Impact Rank
		papers	
			Parmesan & Yohe, 2003 (2); Walther <i>et al.</i> ,
E	Species distribution	10	2002 (3); Sala <i>et al.,</i> 2000 (5); Chen <i>et al.,</i>
		10	
	change, biodiversity		2011 (10); Dawson <i>et al.</i> , 2011 (27); Lenoir <i>et</i>
			<i>al.</i> , 2008 (36); Schröter <i>et al.</i> , 2005 (40);
			Stenseth <i>et al.,</i> 2002 (41); Davis & Shaw, 2001
			(44); Post <i>et al.</i> , 2009 (48).
EP/P/W	Agriculture and food	6	Lal, 2004 (24); Lobell <i>et al.</i> , 2011 (12); Lobell
	production, water resources		<i>et al.,</i> 2008 (16); Piao <i>et al</i> ., 2010 (31);
			Shindell <i>et al.,</i> 2012 (28); Asseng et al., 2013
			(46).
E/EP	Greenhouse gases,	6	Searchinger <i>et al.,</i> 2008 (1); Fargione <i>et al.,</i>
	feedback mechanisms		2008 (4); Westerling <i>et al.,</i> 2006 (6); Cox <i>et al.,</i>
			2000 (13); Bonan, 2008 (9); Cramer et al.,
			2001 (34).
E/EP	Soil and forest carbon,	6	Davidson & Janssens, 2006 (7); Lal, 2004 (8);
	carbon cycle		Kurz <i>et al.,</i> 2008 (22); Van der Werf <i>et al</i> .,
			2009 (39); Donato <i>et al.,</i> 2011 (42); Bond-
			Lamberty & Thomson, 2010 (45).
Р	Human health, social limits,	5	Adger et al., 2009 (18); McMichael et al., 2006
	adaptation		(23); Patz et al., 2005 (26); Shindell et al.,
			2012 (28); Adger <i>et al</i> ., 2003 (49).
W	Water resources	4	Barnet <i>et al.</i> , 2005 (19); Piao <i>et al.</i> , 2010 (31);
			Vörösmarty et al., 2000 (25); Immerzeel et al.,
			2010 (30).
I	Himalayan, Antarctic,	2	Bolch et al., 2012 (37); Schaefer et al., 2013
	Patagonian glaciers		(11).

W/IW	Sea level rise	2	Jacob <i>et al.</i> , 2012 (33); Nicholls & Cazenave,
			2010 (29).
E/EP	Land use change, forest	1	Canadell & Raupach, 2008 (50).
	management		
E	Primary production	1	Nemani <i>et al.,</i> 2003 (21).
E	Disease risk	1	Harvell <i>et al.</i> , 2002 (32).
E	Deforestation	1	Malhi <i>et al.,</i> 2008 (35).
EW	Soil moisture	1	Seneviratne et al., 2010 (20).
I	Black carbon	1	Ramanathan & Carmichael, 2008 (15).
IE	Permafrost thaw	1	Hinzman et al., 2005 (47).
IE	Carbon dioxide sinks	1	Le Quéré <i>et al.</i> , 2009 (14).
Р	Sustainable Development-	1	Smit & Pilifosova, 2003 (43).
	equity		
Р	Economics of climate	1	Weitzman, 2009 (17).
	change		
LW	Sediment flux to oceans	1	Syvitski <i>et al.</i> , 2006 (38).

The most researched topics in the Top Fifty papers were concerned with the impact of climate change on species distribution and biodiversity and 10 of the papers (20%) addressed this. Six papers (12%) addressed the impact of climate change on agriculture and food production, six papers (12%) were about greenhouse gases/feedback mechanisms and a further 6 papers (12%) were on the subject of soil and forest carbon/carbon cycle. Five papers (10%) addressed human health/social limits/adaptation. Next, water resources had four papers (8%) dealing with that topic.

Two papers (6%) were on the impacts on Himalayan, Antarctic and Patagonian glaciers; Two papers (10%) were concerned with sea level rise and the remaining 11 papers (2% each) were each concerned with a range of topics as outlined in Table 6. Inevitably, there is scope for some overlap, where for example, the paper by Searchinger *et al.*, (2008) found

- that the use of US croplands for biofuels increases greenhouse gases through emissions
- 350 from land-use change, and so bridges the agriculture and greenhouse gas topics.
- 351
- 352 Ecosystems

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- 353 The highest impact paper found in this whole study concerned with ecosystems was by
- 354 Searchinger et al. (2008) (Table 7), who reported that the use of US croplands for biofuels
- increases greenhouse gases through emissions from land use change.
- 356 Table 7: Top Ten Highest Cited Papers in the Ecosystems (E) Category

Rank	Publication	Year	Journal	Impact Factor	No. citations	Age of paper	No. Citations per year (CPY)
	Searchinger, T., Heimlich, R.,	2008	Science	34.4	3255	7	465.0
	Houghton, R. A., Dong, F., Elobeid, A., Fabiosa, J., & Yu, T. H. (2008). Use						
	of US croplands for biofuels increases						
	greenhouse gases through emissions						
	from land-use change. Science,						
	319(5867), 1238-1240.						
1							
	Parmesan, C., & Yohe, G. (2003). A						
	globally coherent fingerprint of climate change impacts across natural systems.	2003		42.351		12	435.8
2	Nature, 421(6918), 37-42.		Nature		5230		
	Walther, G. R., Post, E., Convey, P.,						
	Menzel, A., Parmesan, C., Beebee, T.	2002		40.054		40	400.0
	J., & Bairlein, F. (2002). Ecological responses to recent climate change.	2002		42.351		13	403.8
3	Nature, 416(6879), 389-395.		Nature		5249		
	Fargione, J., Hill, J., Tilman, D.,						
	Polasky, S., & Hawthorne, P. (2008). Land clearing and the biofuel carbon	2008		34.4		7	397.9
4	debt. Science, 319(5867), 1235-1238.		Science		2785		
	Sala, O. E., Chapin, F. S., Armesto, J.						
	J., Berlow, E., Bloomfield, J., Dirzo, R.,	0000		04.4		45	040.5
	& Wall, D. H. (2000). Global biodiversity scenarios for the year 2100.	2000		34.4		15	310.5
5	science, 287(5459), 1770-1774.		Science		4657		
	Westerling, A. L., Hidalgo, H. G.,						
	Cayan, D. R., & Swetnam, T. W. (2006).	2006		24.4		_	250.9
	Warming and earlier spring increase western US forest wildfire activity.	2006		34.4		9	259.8
6	science, 313(5789), 940-943.		Science		2338		
	Davidson, E. A., & Janssens, I. A.						
	(2006). Temperature sensitivity of soil carbon decomposition and feedbacks to	2006	Nature	10 251	2220	9	247.6
	climate change. Nature, 440(7081),	2000	inature	42.351	2228	э	247.6
7	165-173.						
	Lal, R. (2004). Soil carbon						
	sequestration impacts on global climate change and food security. science,	2004		34.4		11	228.4
8	304(5677), 1623-1627.		Science		2512		

9	Bonan, G. B. (2008). Forests and climate change: forcings, feedbacks, and the climate benefits of forests. science, 320(5882), 1444-1449.	2008	Science	34.4	1442	7	206.0
10	Chen, I. C., Hill, J. K., Ohlemüller, R., Roy, D. B., & Thomas, C. D. (2011). Rapid range shifts of species associated with high levels of climate warming. Science, 333(6045), 1024- 1026.	2011	Science	34.4	781	4	195.3

358 Fargione et al. (2008) pointed out that converting rainforests, peatlands, savannas, or grasslands to produce food crop-based biofuels in Brazil, Southeast Asia, and the United 359 States created a "biofuel carbon debt". Parmesan & Yohe (2003) reported that attributing the 360 causes of recent biological trends to climate change is complicated because non-climatic 361 influences dominate local, short-term biological changes. Walther et al. (2002) claimed that 362 363 there was ample evidence of the ecological impacts of recent climate change, from polar terrestrial to tropical marine environments and their review exposed a coherent pattern of 364 ecological change across systems. Sala et al. (2000) claimed that scenarios of changes in 365 366 biodiversity for the year 2100 could be developed based on scenarios of changes in 367 atmospheric carbon dioxide, climate, vegetation, and land use and the known sensitivity of biodiversity to these changes. Davidson & Janssens' (2006) key paper points out that 368 369 despite much research, a consensus has not yet emerged on the temperature sensitivity of 370 soil carbon decomposition and feedbacks to climate change. Lal (2004) estimated that the 371 carbon sink capacity of the world's agricultural and degraded soils is 50 to 66% of the 372 historic carbon loss of 42 to 78 gigatons of carbon.

Westerling *et al.* (2006) showed that large wildfire activity in the US increased suddenly and markedly in the mid-1980s, with higher large-wildfire frequency, longer wildfire durations, and longer wildfire seasons. Bonan (2008) illustrated how the world's forests influence climate through physical, chemical, and biological processes that affect planetary energetics, the hydrologic cycle, and atmospheric composition. Chen *et al.*, 2011 identified rapid shifts of species associated with high levels of climate warming.

379

### 380 People and Livelihoods

381 Investments aimed at improving agricultural adaptation to climate change inevitably favour

some crops and regions over others. Lobell *et al.* (2008) were ranked highest in the Top Ten

Highest Cited Papers in the People and Livelihoods (P) Category (Table 8). They performed

- an analysis of climate risks for crops in 12 food-insecure regions to identify adaptation
- priorities, based on statistical crop models and climate projections for 2030 from 20 general

386 circulation models.

387

Rank	Publication	Year	Journal	Impa ct Fact or	No. citations	Age of paper	No. Citations per year (CPY)
1	Lobell, D. B., Burke, M. B., Tebaldi, C., Mastrandrea, M. D., Falcon, W. P., & Naylor, R. L. (2008). Prioritizing climate change adaptation needs for food security in 2030. Science, 319(5863), 607-610.	2008	Science	34.4	1195	7	170.7
2	Weitzman, M. L. (2009). On modeling and interpreting the economics of catastrophic climate change. The Review of Economics and Statistics, 91(1), 1-19.	2009	The Review of Economi cs and Statistic s	2.71 8	971	6	161.8
3	Adger, W. N., Dessai, S., Goulden, M., Hulme, M., Lorenzoni, I., Nelson, D. R., & Wreford, A. (2009). Are there social limits to adaptation to climate change?. Climatic change, 93(3-4), 335-354.	2009	Climate Change	4.62 2	959	6	159.8
4	McMichael, A. J., Woodruff, R. E., & Hales, S. (2006). Climate change and human health: present and future risks. The Lancet, 367(9513), 859-869.	2006	The Lancet	45.2 17	1153	9	128.1
5	Patz, J. A., Campbell-Lendrum, D., Holloway, T., & Foley, J. A. (2005). Impact of regional climate change on human health. Nature, 438(7066), 310-317.	2005	Nature	42.3 51	1261	10	126.1
6	Shindell, D., Kuylenstierna, J. C., Vignati, E., van Dingenen, R., Amann, M., Klimont, Z., & Fowler, D. (2012). Simultaneously mitigating near-term climate change and improving human health and food security.	2012	Science	34.4	364	3	121.3

Table 8: Top Ten Highest Cited Papers in the People and Livelihoods (P) Category

	Science, 335(6065), 183-189.						
7	Smit, B., & Pilifosova, O. (2003). Adaptation to climate change in the context of sustainable development and equity. Sustainable Development, 8(9), 9.	2003	Sustaina ble Develop ment	1.24 2	1051	12	87.6
8	Adger, W. N., Huq, S., Brown, K., Conway, D., & Hulme, M. (2003). Adaptation to climate change in the developing world. Progress in development studies, 3(3), 179-195.	2003	Progres s in Develop ment Studies	0.78 9	874	12	72.8
9	Hsiang, S. M., Meng, K. C., & Cane, M. A. (2011). Civil conflicts are associated with the global climate. Nature, 476(7361), 438-441.	2011	Nature	42.3 51	243	4	60.8
10	Adger, W. N., Barnett, J., Brown, K., Marshall, N., & O'Brien, K. (2013). Cultural dimensions of climate change impacts and adaptation. Nature Climate Change, 3(2), 112-117.	2013	Nature Climate Change	15.2 95	109	2	54.5

390 Weitzman (2009) analyzed the implications of structural uncertainty for the economics of low-probability, high-impact catastrophes. Adger et al. (2009) contended that limits to 391 392 adaptation are endogenous to society and hence contingent on ethics, knowledge, attitudes 393 to risk and culture. McMichael et al. (2006) summarised the epidemiological evidence of how 394 climate variations and trends affect various health outcomes. Patz et al. (2005) argued that 395 many prevalent human diseases are linked to climate fluctuations, from cardiovascular 396 mortality and respiratory illnesses due to heatwaves, to altered transmission of infectious 397 diseases and malnutrition from crop failures.

398 Shindell *et al.* (2012) considered ~400 emission control measures to reduce pollutants by

using current technology and experience. Smit & Pilifosova (2003) examined adaptation to

- 400 climate change in the context of sustainable development and equity, while Adger et al.
- 401 (2003) reported on adaptation to climate change in the developing world. Hsiang *et al.*
- 402 (2011) wrote about how civil conflicts are associated with the global climate. Adger et al.
- 403 (2013) analysed new research across the social sciences to show that climate change

- 404 threatens cultural dimensions of lives and livelihoods that include the material and lived
- 405 aspects of culture, identity, community cohesion and sense of place.
- 406
- 407
- 408 Water

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- Barnett *et al.* (2005) were ranked highest in the Top Ten Highest Cited Papers in the Water
- 410 (W) Category (Table 9). They reported on the potential impacts of a warming climate on
- 411 water availability in snow-dominated regions.
- 412 Table 9: Top Ten Highest Cited Papers in the Water (W) Category

Rank	Publication	Year	Journal	Impa ct Fact or	No. citations	Age of paper	No. Citations per year (CPY)
1	Barnett, T. P., Adam, J. C., & Lettenmaier, D. P. (2005). Potential impacts of a warming climate on water availability in snow-dominated regions. Nature, 438(7066), 303-309.	2005	Nature	42.3 51	1506	10	150.6
2	Vörösmarty, C. J., Green, P., Salisbury, J., & Lammers, R. B. (2000). Global water resources: vulnerability from climate change and population growth. Science, 289(5477), 284-288.	2000	Science	34.4	1894	15	126.3
3	Nicholls, R. J., & Cazenave, A. (2010). Sea-level rise and its impact on coastal zones. Science, 328(5985), 1517-1520.	2010	Science	34.4	605	5	121.0
4	Immerzeel, W. W., Van Beek, L. P., & Bierkens, M. F. (2010). Climate change will affect the Asian water towers. Science, 328(5984), 1382-1385.	2010	Science	34.4	586	5	117.2
5	Piao, S., Ciais, P., Huang, Y., Shen, Z., Peng, S., Li, J., & Fang, J. (2010). The impacts of climate change on water resources and agriculture in China. Nature, 467(7311), 43-51.	2010	Nature	42.3 51	577	5	115.4
6	Taylor, R. G., Scanlon, B., Döll, P., Rodell, M., Van Beek, R., Wada, Y., & Treidel, H. (2013). Ground water and climate change. Nature Climate Change, 3(4), 322-329.	2013	Nature Climate Change	15.2 95	129	2	64.5

7	García-Ruiz, J. M., López-Moreno, J. I., Vicente-Serrano, S. M., Lasanta–Martínez, T., & Beguería, S. (2011). Mediterranean water resources in a global change scenario. Earth-Science Reviews, 105(3), 121-139.	2011	Earth Science Reviews	8.95	227	4	56.8
8	Fischer, G., Tubiello, F. N., Van Velthuizen, H., & Wiberg, D. A. (2007). Climate change impacts on irrigation water requirements: effects of mitigation, 1990– 2080. Technological Forecasting and Social Change, 74(7), 1083-1107.	2007	Technol ogical Forecast ing and Social Change	1.27 4	255	8	31.9
9	Wang, G. Y., Shen, Y. P., Su, H. C., WANG, J., MAO, W. Y., GAO, Q. Z., & WANG, S. D. (2008). Runoff changes in Aksu River Basin during 1956–2006 and their impacts on water availability for Tarim River. Journal of Glaciology and Geocryology, 30(4), 562-568.	2008	Journal of Glaciolo gy and Geocryo logy	0	168	7	24.0
10	Petra Schmocker-Fackel, Felix Naef, More frequent flooding? Changes in flood frequency in Switzerland since 1850, Journal of Hydrology, 381 (1–2), 1-8.	2010	Journal of Hydrolo gy	3.67 8	73	5	14.6

414 Vörösmarty et al. (2000) examined global water resources and their vulnerability from 415 climate change and population growth. Nicholls & Cazenave (2010) claimed that global sea 416 level rise through the 20th century will almost certainly accelerate through the 21st century 417 and beyond because of global warming, but its magnitude remains uncertain. Immerzeel et 418 al. (2010) investigated how climate change will affect the Asian water towers - more than 1.4 419 billion people depend on water from the Indus, Ganges, Brahmaputra, Yangtze, and Yellow 420 rivers. Piao et al. (2010) examined the impacts of climate change on water resources and agriculture in China, the world's most populous country and a major emitter of greenhouse 421 gases. Taylor et al. (2013) studied groundwater and climate change, groundwater being the 422 world's largest distributed store of fresh water, which plays a central part in sustaining 423 424 ecosystems and enabling human adaptation to climate variability and change. García-Ruiz 425 et al. (2011) reported that Mediterranean areas of both southern Europe and North Africa 426 were subject to dramatic changes that would affect the sustainability, quantity, quality, and 427 management of water resources. Fischer et al. (2007) investigated potential changes in 428 global and regional agricultural water demand for irrigation within a new socio-economic

- scenario with and without climate change. Wang et al. (2008) analysed runoff changes in
- 430 Aksu River Basin during 1956–2006 and their impacts on water availability for Tarim River.
- 431 Schmocker-Fackel & Naef (2010) examined changes in flood frequency in Switzerland since
- 432 1850 and postulated on more frequent flooding.
- 433
- 434 Ice and Snow
- 435 Schaefer *et al.* (2013) were ranked highest in the Top Ten Highest Cited Papers in the Ice
- 436 and Snow (I) Category (Table 10). They generated digital elevation models of the Northern
- 437 and Southern Patagonia Icefields of South America from the 2000 Shuttle Radar
- 438 Topography Mission. Which were compared with earlier cartography to estimate the volume
- 439 change of the largest 63 glaciers. Table 10 shows the Top Ten Highest Cited Papers in the
- 440 Ice and Snow (I) Category
- Table 10: Top Ten Highest Cited Papers in the Ice and Snow (I) Category

Rank	Publication	Year	Journal	Impa ct Fact or	No. citations	Age of paper	No. Citations per year (CPY)
1	Schaefer, M., H. Machgut, M. Falvey and G. Casassa. 2013. Modeling the mass balance of the Northern Patagonia Icefield. Journal of Geophysical Research, Earth Surface, 118(1-18), doi:10.1002/jgrf.20038.	2013	Journal of Geophy sical Researc h	3.42 6	368	2	184.0
2	Ramanathan, V., & Carmichael, G. (2008). Global and regional climate changes due to black carbon. Nature Geoscience, 1(4), 221-227.	2008	Nature Geoscie nce	11.6 68	1222	7	174.6
3	Rabatel, A., Francou, B., Soruco, A., Gomez, J., Cáceres, B., Ceballos, J. L., Basantes, R., Vuille, M., Sicart, JE., Huggel, C., Scheel, M., Lejeune, Y., Arnaud, Y., Collet, M., Condom, T., Consoli, G., Favier, V., Jomelli, V., Galarraga, R., Ginot, P., Maisincho, L., Mendoza, J., Ménégoz, M., Ramirez, E., Ribstein, P., Suarez, W., Villacis, M. & Wagnon, P. (2013): Current state of glaciers in the tropical Andes: a multi- century perspective on glacier evolution and climate change. The Cryosphere 7:	2013	Cryosph ere	5.51	105	2	52.5

	81-102						
4	Vuille, M., Francou, B., Wagnon, P., Juen, I., Kaser, G., Mark, B. G., & Bradley, R. S. (2008). Climate change and tropical Andean glaciers: Past, present and future. Earth-Science Reviews, 89(3), 79-96.	2008	Earth Science Reviews		296	7	42.3
5	Rignot, E., Koppes, M., & Velicogna, I. (2010). Rapid submarine melting of the calving faces of West Greenland glaciers. Nature Geoscience, 3(3), 187-191.	2010	Nature Geoscie nce	11.6 68	187	5	37.4
6	Harris, C., Arenson, L. U., Christiansen, H. H., Etzelmüller, B., Frauenfelder, R., Gruber, S., & Vonder Mühll, D. (2009). Permafrost and climate in Europe: Monitoring and modelling thermal, geomorphological and geotechnical responses. Earth-Science Reviews, 92(3), 117-171.	2009	Earth Science Reviews	8.95	221	6	36.8
7	Brown, R., and P. Mote, 2009: The response of Northern Hemisphere snow cover to a changing climate. J. Clim., doi:10.1175/2008JCLI2665.1, 2124–2145.	2009	Internati onal Journal of Climatol ogy	3.51 7	186	6	31.0
8	Christiansen, H. H., Etzelmüller, B., Isaksen, K., Juliussen, H., Farbrot, H., Humlum, O., Johansson, M., Ingeman- Nielsen, T., Kristensen, J., Hjort, J., Holmlund, P., Sannel, A.B.K., Sigsgaard, C., Åkerman, H. J., Foged, N., Blikra, L. H., Pernosky, M. A. and Ødegård, R. S. 2010: The thermal state of permafrost in the Nordic area during the international polar year 2007-2009. Permafrost and Periglacial Processes 21:156-181.	2010	Permafr ost and Periglaci al Process es	2.11 9	131	5	26.2
9	Moholdt, G., Christopher Nuth, Jon Ove Hagen, Jack Kohler, Recent elevation changes of Svalbard glaciers derived from ICES at laser altimetry, Remote Sensing of Environment, 114 (11), 2756-2767.	2010	Remote Sensing of Environ ment	6.06 5	117	5	23.4
10	Racoviteanu, A. E., Arnaud, Y., Williams, M. W., & Ordonez, J. (2008). Decadal changes in glacier parameters in the Cordillera Blanca, Peru, derived from remote sensing. Journal of Glaciology, 54(186), 499-510.	2008	Journal of Glaciolo gy	3.21 3	150	7	21.4

Ramanathan & Carmichael (2008) reported on global and regional climate changes due to
the deposition of black carbon which darkens snow and ice surfaces and can contribute to
melting, in particular of Arctic sea ice. Rabatel *et al.* (2013) reported that the glacier retreat

in the tropical Andes over the last three decades was unprecedented since the maximum
extension of the Little Ice Age (LIA, mid-17th–early 18th century). Vuille *et al.* (2008)
reviewed climate change and tropical Andean glaciers. Rignot *et al.* (2010) observed
widespread glacier acceleration in Greenland which they associated with the thinning of the
lower reaches of the glaciers as they terminate in the ocean.

Harris *et al.* (2009) presented a review of the changing state of European permafrost within a
spatial zone that included the continuous high latitude arctic permafrost of Svalbard and the
discontinuous high altitude mountain permafrost of Iceland, Fennoscandia and the Alps.
Brown and Mote (2009) examined the response of Northern Hemisphere snow cover to a
changing climate, and Christiansen *et al.* (2010) reported on the thermal state of permafrost
in the Nordic area during the international polar year 2007-2009.

Moholdt *et al.* (2010) tested three methods for estimating 2003–2008 elevation changes of
Svalbard glaciers from multi-temporal ICESat laser altimetry, and Racoviteanu e*t al.* (2008)
measured decadal changes in glacier parameters in the Cordillera Blanca, Peru, derived
from remote sensing.

461

462 Landscape

463 Syvitski *et al.* (2005) were ranked highest in the Top Ten Highest Cited Papers in the
464 Landscape (L) Category (Table 11). They provided global estimates of the seasonal flux of
465 sediment, on a river-by-river basis, under modern and pre-human conditions.

466

## 467 Table 11: Top Ten Highest Cited Papers in the Landscape (L) Category

				Impa			No.
		Year		ct		Age of	Citations
		rear		Fact	No.	paper	per year
Rank	Publication		Journal	or	citations		(CPY)

1	Syvitski, J. P., Vörösmarty, C. J., Kettner, A. J., & Green, P. (2005). Impact of humans on the flux of terrestrial sediment to the global coastal ocean. Science, 308(5720), 376-380.	2005	Science	34.4	998	10	99.8
2	Guzzetti, F., Mondini, A. C., Cardinali, M., Fiorucci, F., Santangelo, M., & Chang, K. T. (2012). Landslide inventory maps: New tools for an old problem. Earth-Science Reviews, 112(1), 42-66.	2012	Earth Science Reviews	8.95	195	3	65.0
3	Prospero, J. M., & Lamb, P. J. (2003). African droughts and dust transport to the Caribbean: Climate change implications. Science, 302(5647), 1024-1027.	2003	Science	34.4	593	12	49.4
4	Huggel, C., Clague, J. J., & Korup, O. (2012). Is climate change responsible for changing landslide activity in high mountains? Earth Surface Processes and Landforms, 37(1), 77-91.	2012	Earth Surface Process es and Landfor ms	2.84 5	58	3	19.3
5	Stoffel, M., & Huggel, C. (2012). Effects of climate change on mass movements in mountain environments. Progress in Physical Geography, 36(3), 421-439.	2012	Progres s in Physical Geograp hy	2.61 2	58	3	19.3
6	Crozier M.J. (2010) Deciphering the effect of climate change on landslide activity: A review. Geomorphology 124 (3– 4): 364–369.	2010	Geomor phology	3.16 7	79	5	15.8
7	Kääb, A., Frauenfelder, R., and Roer, I. (2007). On the response of rock glacier creep to surface temperature increase. Global and Planetary Change, 56(1), 172- 187.	2007	Global and Planetar y Change	3.15 5	119	8	14.9
8	Huggel, C., Salzmann, N, Allen, S., Caplan-Auerbach, J., Fischer, L., Haeberli, W., Larsen, C., Schneider, D., and Wessels, R. (2010): Recent and future warm extreme events and high-mountain slope failures. Philosophical Transactions of the Royal Society A, 368, 2435-2459.	2010	Philosop hical Transact ions of the Royal Society A	2.14 7	68	5	13.6
9	Huggel, C. (2009). Recent extreme slope failures in glacial environments: effects of thermal perturbation. Quaternary Science Reviews, 28(11), 1119-1130.	2009	Quatern ary Science Reviews	4.57 2	74	6	12.3
10	Mabit, L., M. Benmansour, J.M. Abril, D.E. Walling, K. Meusburger, A.R. Iurian, C. Bernard, S. Tarján, P.N. Owens, W.H. Blake, C. Alewell. (2014) Fallout 210Pb as a soil and sediment tracer in catchment sediment budget investigations: A review, Earth-Science Reviews, Available online 3 July 2014, ISSN 0012-8252, http://dx.doi.org/10.1016/j.earscirev.2014.0	2014	Earth Science Reviews	8.95	12	1	12.0

"

6.007.			

469 Prospero & Lamb (2003) reported that great quantities of African dust are carried over large areas of the Atlantic and to the Caribbean during much of the year. Landslides are present 470 in all continents, and play an important role in the evolution of landscapes. Climate change, 471 472 manifested by an increase in mean, minimum, and maximum temperatures and by more intense rainstorms, is becoming more evident in many regions. An important consequence of 473 474 these changes may be an increase in landslides in high mountains. They also represent a serious hazard in many areas of the world. Despite their importance, Guzzetti et al. (2012) 475 476 estimated that landslide maps covered less than 1% of the slopes in the landmasses, and systematic information on the type, abundance, and distribution of landslides was lacking. 477 478 Huggel et al. (2012) analyzed a series of catastrophic slope failures that occurred in the 479 mountains of Europe, the Americas, and the Caucasus since the end of the 1990s and distinguished between rock and ice avalanches, debris flows from de-glaciated areas, and 480 481 landslides that involved dynamic interactions with glacial and river processes. Stoffel & 482 Huggel (2012) reported that changes in mass-movement activity could hardly be detected in 483 observational records. They documented the role of climate variability and change on mass-484 movement processes in mountains through the description and analysis of selected, recent 485 mass movements where effects of global warming and the occurrence of heavy precipitation were thought to have contributed to, or triggered, events. Crozier (2010) identified the 486 mechanisms by which climate can induce land sliding and examined the manner in which 487 488 these mechanisms may respond to changes in a range of climatic parameters. Using a onedimensional thermo-mechanically coupled numerical model, Kääb et al. (2007) simulated the 489 490 potential response of rock glacier creep to a change in surface temperature.

Huggel *et al.* (2010) reported on recent and future warm extreme events and high-mountain
slope failures, and Huggel (2009) described exceptional slope failures in high-mountain,

493 glacial environments: the 2002 Kolka-Karmadon rock-ice avalanche in the Caucasus, a series of ice-rock avalanches on Iliamna Volcano, Alaska, the 2005 Mt. Steller rock-ice 494 495 avalanche in Alaska, and ice and rock avalanches at Monte Rosa, Italy in 2005 and 2007. 496 Increasing anthropogenic pressures coupled with climate change impacts on natural resources have promoted a quest for innovative tracing techniques for understanding soil 497 redistribution processes and assessing the environmental status of soil resources. Mabit et 498 499 al. (2014) provided a comprehensive evaluation and discussion of the various applications of 210Pbex as a tracer in terrestrial and aquatic environments, with particular emphasis on 500 catchment sediment budget investigations. Their paper summarizes the state-of-the-art 501 related to the use of this tracer, the main assumptions, the requirements (including the need 502 for accurate analytical measurements and for parallel validation), and the limitations which 503 504 must be recognised when using this fallout radionuclide as a soil and sediment tracer.

505

## 506 Conclusion

In this paper we describe the building and subsequent analysis of a database containing 769 of the most significant journal papers on the effects of climate change in polar and mountainous regions between 2000-2014 (up until the Fifth IPCC Assessment). Using the number of paper citations per year to derive the top fifty most cited journal papers published in the 15-year period, an analysis of the topic of these 'top fifty' papers is compared to the IPCC Fifth Assessment (AR5) Report (IPCC, 2013) and the wider database of 769 entries.

513 By number, most papers published in the last 15 years concerned with the impact of climate 514 change on polar and mountainous regions are classified as I (ice and snow). There are 213 515 papers in this category which represents 33.7% of the total. There are 194 papers in the E 516 (terrestrial ecosystems) category which represents 30.6% of the total. The third category, 517 the impact of climate change on the water (rivers and lakes) (W) contained 97 papers

518 (15.3% of the total). The fourth category, climate change impacts on people and livelihoods (P) contained 74 papers (11.6% of the total). The fifth category, landscape (L) contained 55 519 papers (8.7% of the total). So, in rank order by numbers of papers the categories are: I > E >520 W > P > L. These distributions can be compared with the IPCC (2014) confidence levels of 521 522 knowledge in each of the first four categories (I >E>W>P also), the fifth category (L) has been created by us through the key word analysis. However, the IPCC (2014) deals with the 523 levels of confidence that the 5AR has in making statements about the impact of climate 524 525 change on snow and ice (I); rivers and lakes (W); terrestrial ecosystems (E) and food 526 production/livelihoods (P).

However, when only considering the Top 50 papers (ranked by highest number of citations 527 per year, CPY), there is a clear mis-match between the nature of the research coming from 528 the larger database (of 663 papers) and those in the Top Fifty. The rank order has changed 529 from I > E > W > P > L in the whole database to E > P > W > I > L in the Top 50. In other 530 words, the number of research papers in I reaching the top 50 has declined hugely, from 531 33.7 in the whole database to just 7.0% in the top 50 while research on terrestrial 532 ecosystems (E) has increased from 30.6% in the whole database to 57.0% in the top 50. P 533 has moved up from fourth to second rank of the five categories (increased from 11.6% in the 534 535 whole database to 20.0% in the top 50), and water (W) has remained in third place (changed 536 15.3% in the whole database to 15.0% in the top 50). Landscape research (L) remains in 537 fifth place (decreased from 8.7% in the whole database to just 1.0% in the top 50).

By considering citations per year (CPY) as a measure of impact or importance to the scientific community, the rank order of categories is E > W > P > L > I for the whole database but becomes E > I > P > W > L for the top 50 papers. By then combining the number of papers in each category with the average citations per year (CPY) for the category, this gives the rank order for the whole database as E>W>P>I>L and the ranks for the top 50 papers are the same.

544 So, in summary, research concerned with the impacts of climate change on terrestrial ecosystems (E) in polar and mountainous regions dominates, with papers on the impact on 545 water resources (W) being second and a very close third the impact on people's livelihood 546 (P), with I fourth and L fifth. Landscape (L), in our view, appears to be under researched and 547 548 is presumably included in the IPCC Terrestrial Ecosystems category. We feel that policy makers should note this under-representation of high impact research into landscape 549 processes (erosion and deposition processes), which needs to be addressed in future. The 550 Interregional Technical Co-operation Project INT/5/153 (2014-18) on Assessing the Impact 551 of Climate Change on Land-Water-Ecosystem Quality in Polar and Mountainous Regions. 552 organized and funded by the International Atomic Energy Agency and supported by the Joint 553 FAO/IAEA Division of Nuclear Techniques in Food and Agriculture, will address this gap to 554 some extent. 555

556

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FAO/IAEA Division of Nuclear Techniques in Food and Agriculture,

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