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Title: CARDIOVASCULAR RESPONSES DURING RESISTANCE EXERCISE IN PATIENTS WITH PARKINSON DISEASE

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Corresponding Author: Dr. Claudia L. M. Forjaz, Ph.D

Corresponding Author's Institution: School of Physical Education and Sport, University of São Paulo

First Author: Roberto Sanches Miyasato

Order of Authors: Roberto Sanches Miyasato; Carla Silva-Batista; Tiago Peçanha; David A Low; Marco T Mello; Maria E Piemonte; Carlos Ugrinowitsch; Cláudia L Forjaz, Phd; Hélcio Kanegusuku Abstract

1

2 Background: Patients with Parkinson disease (PD) present cardiovascular autonomic dysfunction which impairs blood pressure control. However, cardiovascular responses 3 during resistance exercise are unknown in these patients. 4 **Objective:** Investigate the cardiovascular responses during resistance exercise 5 performed with different muscle masses, in patients with PD. 6 7 **Design**: Two groups, repeated-measures design. Setting: Exercise Hemodynamic Laboratory, School of Physical Education and Sport, 8 University of São Paulo. 9 Participants: Thirteen patients with PD (4 women, 62.7±1.3 years, stages 2-3 of 10 modified Hoehn and Yahr scale; "on" state of medication) and thirteen paired controls 11 without PD (7 women, 66.2±2.0years) 12 13 Interventions: Both groups performed, in a random order, bilateral and unilateral knee extension exercises (2 sets, 10-12 RM, 2 min of interval). 14 15 Main Outcome Measurements: Systolic blood pressure (SBP) and heart rate (HR) were assessed before (pre) and during the exercises. 16 **Results:** Independent of set and exercise type, SBP and HR increases were significantly 17 lower in PD than the control group (combined values: +45±2 vs. +73±4 mmHg and 18 $+18\pm1$ vs. $+31\pm2$ bpm, P = .003 and .007, respectively). Independently of group and set, 19 the SBP increase was greater in the bilateral than the unilateral exercise (combined 20 values: $+63\pm4$ vs $+54\pm3$ mmHg, P=.002), while the HR increase was similar. In 21 22 addition, independently of group and exercise type, the SBP increase was higher in the 2nd than the 1st set (combined values: +56±4 vs +61±4 mmHg, P=.04), while the HR 23 24 increases were similar.

Conclusions: Patients with PD present attenuated increases in SBP and HR during resistance exercise in comparison with healthy subjects. These results support that resistance exercise is safe and well tolerated for patients with PD from a cardiovascular point of view supporting its recommendation for this population.

29 Level of evidence: II

32 INTRODUCTION

Parkinson's disease (PD) is a neurodegenerative progressive disease of the 33 central nervous system mainly characterized by motor dysfunction symptoms, such as 34 rigidity, resting tremor, bradykinesia, akinesia and postural instability[1]. In addition, 35 patients usually present with autonomic dysfunction, including cardiovascular 36 37 dysfunction[2] that often occurs in the latter stages of the disease but sometimes earlier[3]. The cardiovascular autonomic dysfunction in PD is mainly characterized by 38 reductions in sympathetic and parasympathetic activities, as well as in baroreflex 39 40 sensitivity[4]; which impairs blood pressure (BP) control[5].

Physical training is highly recommended to attenuate motor dysfunction and 41 physical deconditioning in patients with PD[6]. In addition, it improves cognitive 42 43 function, drug efficacy and sleep pattern, as well as prevents depression and cardiovascular complications[6]. In particular, resistance training is especially important 44 45 to improve muscle strength, gait speed and gait initiation in these patients, which decreases motor disability, increasing the ability to perform activities of daily living and 46 improving quality of life [7,8]. Our group has also demonstrated that resistance training 47 48 improves cardiovascular autonomic dysfunction in PD[7]. However, cardiovascular responses during resistance exercise are largely unknown in patients with PD, requiring 49 further investigation, since abnormal responses may acutely increase cardiovascular 50 risk[8] and/or increase the risk of orthostatic intolerance symptoms[9]. 51

In healthy individuals, heart rate (HR) and systolic BP (SBP) present a huge increase during resistance exercise that is proportional to the active muscle mass required to perform the exercise[10]. As patients with PD can present with autonomic dysfunction, atypical cardiovascular responses may be expected when they perform

these kind of exercises, and these responses might be more evident when the stimulus is 56 greater, such as during exercise involving a large muscle mass. In the limited amount of 57 previous studies on cardiovascular responses to aerobic exercise (leg cycling), preserved 58 59 [11] or blunted[12] responses have been reported in PD patients with either presumably intact[13], impaired[14] or unreported autonomic function[12]. Thus, the objective of 60 this study was to compare, patients with PD and age-matched healthy controls, HR and 61 62 SBP responses during resistance exercise requiring different amounts of active muscle 63 mass.

64

65 **METHODS**

66 Experimental Design

The hypothesis of this study was that patients with PD present abnormal 67 68 cardiovascular responses during resistance exercise, and that the abnormality of these responses are greater during exercise that recruits a larger muscle mass. To test this 69 70 hypothesis, patients with PD and healthy controls underwent an experimental session, in 71 which they performed, in a random order, two resistance exercises (i.e. unilateral and bilateral knee extension exercises) with an interval of, at least, 10 minutes between 72 them. During each exercise, they performed 2 sets of 10-12RM with a 2 min interval, 73 74 and SBP and HR were continuously measured.

75

76 Subjects

Thirteen patients with PD and 13 control subjects were studied. Groups were similar regarding age, gender distribution, body mass index (BMI), BP, HR and legextension 10-12 RM load (all P>.05, Table 1).Patients with PD were recruited from the Brazilian Parkinson Association and had PD for 8.8±1.2 years. To participate in the

study, they had to: i) present a diagnosis of idiopathic Parkinson's disease as diagnosed 81 82 by an experienced specialist in movement disorders, following the UK Brain Bank criteria[15], and ii) be at stages 2 to 3 of the modified Hoehn and Yahr Scale [16]. In 83 addition, subjects without any known neurological disease matched to the PD patients 84 for age, gender, body mass index (BMI), resting BP and strength were used as a control 85 group. The exclusion criteria for both groups were: i) presence of arterial hypertension; 86 ii) presence of cardiovascular disease; iii) presence of orthopedic disease that could 87 limit exercise performance; iv) use of medications that could directly affect 88 cardiovascular system, except for the medications used for the treatment of PD; v) 89 participate on in any regular exercise program, except for physiotherapy for the 90 treatment of PD; and v) any previous experience with resistance training in the last 6 91 months. All volunteers signed an informed written consent form approved by the Ethics 92 93 Committee of the School of Physical Education and Sport, University of São Paulo (2011/42), and the study was registered at the Brazilian Clinical Trials (U111-1129-94 95 0762).

96

97 Procedures and Instrumentation

98 As a preliminary evaluation, all patients with PD were examined by a specialized physician to confirm the diagnosis of PD and the Hoehn and Yahr stage. In 99 addition, patients with PD and control subjects were interviewed for the presence of 100 other diseases, physical activity level and medication use. Auscultatory seated resting 101 102 BP was measured in triplicate on two occasions following the hypertension guidelines for both groups[17]. Subjects were excluded if mean resting systolic/diastolic BP were 103 \geq 140/90 mmHg, or if the interview revealed the presence of any of the exclusion 104 105 criterion.

Volunteers (patients with PD and control subjects) who fulfilled the study 106 criteria performed a familiarization session to learn the technique of the 107 108 unilateral(conducted with the more affected leg of the patients with PD and with the non-dominant leg in the control subjects) and bilateral knee-extension exercises 109 110 (Nakagym, NK-5060 São Paulo, Brazil), and to allow the estimation of the workload corresponding to 10 to 12 RM for both exercises. This session was conducted with the 111 PD patients during the *on-state* of medication (i.e. they took the medication 20 minutes 112 prior to the beginning of the session). The session initiated with a 5-min warm-upon a 113 cycle ergometer (Lifefitness, 5500, São Paulo, Brazil) with zero watts and was followed 114 by the unilateral and bilateral knee extension exercises (random order). The work load 115 corresponding to 10-12 RM on each exercise (unilateral and bilateral) was estimated by 116 gradually increasing the workload on each set. At the end of each set, rating of 117 118 perceived exertion was assessed using the OMNI-REP scale[18]. If the rate was lower 119 than 8-10, the exercise workload was increased and an additional set was performed. A 120 2 min interval was allowed between the sets and 10min interval between the exercises.

121 At least 7 days after the familiarization session, subjects reported to the laboratory for the experimental session. They were instructed to refrain from exercise 122 for the previous 48 hours, to avoid the ingestion of stimulants (e.g., coffee, tea, 123 124 caffeinated drinks and soda) in the previous 12 hours, and to have a light meal 2 hours 125 before the experimental session. In addition, patients with PD were instructed to take their PD medications 20 minutes before the beginning of the protocol (i.e. on-state of 126 medication). The session was conducted in a temperature-controlled laboratory (21 to 127 23°C). 128

129 During the experimental session (Figure 1), all volunteers warmed-up for 5 min 130 on a cycle ergometer (Lifefitness, 5500, São Paulo, Brazil) with a comfortable

workload, and then, performed a specific warm-up in the unilateral and bilateral knee-131 extension exercises (2 sets of 5 repetitions on each exercise: first set with a comfortable 132 workload, a 2-min rest interval, and second set with the estimated workload 133 corresponding to 10-12 RM). After 10 min, the experimental protocol was initiated. The 134 volunteers performed the unilateral or bilateral knee-extension exercises in a counter 135 balanced random order and for 2 sets of 10-12 RM with a 2-min interval between the 136 sets and a 10-min rest between the exercises. For each exercise, BP and HR were 137 recorded at rest (for the 3 min before the exercise) and during the exercise protocol 138 (during both sets and during the interval between sets). 139

BP was measured beat-by-beat by photoplethysmography using the Finometer (Finapress Measurement System, Finometer, Arnhem, Netherlands) on the left arm and HR was monitored by a 3-lead electrocardiographic system (Cardio Perfect, model ST 2001, Netherlands). Both signals were digitalized and recorded online using a data acquisition system (Windaq, DI–720, Ohio, USA) with a sampling frequency of 500 Hz/channel.

146

147 Statistical Analyses

A previous study[19] showed that only SBP responses during resistance exercise 148 corresponded to intra-arterial BP 149 responses when assessed by the photoplethysmographic method. Thus, in the present study, diastolic BP responses were 150 not analyzed. Pre-exercise SBP and HR values were assessed as the mean of the 2 151 152 minutes before the beginning of exercise. Exercise SBP and HR values were established as the highest values achieved during each set (S1 and S2) at samples of 40-48 seconds 153 154 and the lowest value obtained during the 2 minutes rest interval between sets (INT). The

changes in the responses (Δ) were calculated by subtracting the values obtained during
exercise from pre-exercise.

157 The normality of data were confirmed by Shapiro-Wilk tests (IBM SPSS Statistics version 20). Chi-square and T tests were used for comparing descriptive data 158 between groups (patients with PD and control subjects). SBP and HR responses to each 159 exercise (i.e. bilateral and unilateral) were firstly analyzed using a two-way ANOVA, 160 161 considering group (patients with PD and control subjects) as a between main factor and 162 exercise phase (Pre, S1, INT and S2) as a within main factor. Afterwards, the changes (Δ) to both exercise in both groups were compared using a three-way ANOVA, with 163 group (patients with PD and control subjects) as a between main factor, and exercise 164 (unilateral or bilateral) and set (S1 and S2) as within main factors. Newman Keuls post-165 hoc tests were applied when necessary (Statistica version 5.0). Significance level was 166 167 defined as $P \le .05$. Data are presented as mean \pm SE.

168

169 **RESULTS**

Thirteen volunteers initiated the protocol with the unilateral exercise; while the
other 13 performed bilateral exercise first. Pre-exercise SBP and HR were not different
between unilateral and bilateral exercises (119±17 vs. 116±17 mmHg, P=.16 and 77±8
vs. 76±8 bpm, P=.27).

During the unilateral knee extension exercise, SBP and HR analyses presented significant interactions between group and exercise phase in ANOVA (P<.001for both). Newman Keuls post-hoc comparisons showed that SBP and HR increased significantly during both sets and returned to pre-exercise levels during the rest interval in both groups, except for SBP that decreased below pre-exercise during the rest interval in the PD group (Figure 2A and 2B). In addition, SBP was significantly higher in the control 180 group compared to PD throughout the protocol (S1: 188±6 vs. 156±5, INT: 116±5 vs. 181 100±4, and S2: 195±6 vs. 159±6 mmHg, respectively), while HR was higher in the 182 control group in both sets (S1: 104±4 vs. 95±3, and S2:105±4 vs. 95±3 bpm, 183 respectively), but was similar between the groups in the rest interval.

During the bilateral knee extension exercise, SBP and HR analyses presented 184 significant interactions between group and exercise phase in ANOVA (P<.001 for both). 185 Newman Keuls post-hoc comparisons showed that SBP and HR increased significantly 186 187 during both sets and returned to pre-exercise values during the rest interval in both groups (Figure 2C and 2D). In addition, SBP was significantly higher in the control 188 189 group compared to PD throughout the protocol (S1: 203±9 vs. 158±4, INT: 118±6 vs. 102±4, and S2: 203±8 vs 164±4mmHg, respectively). HR was also significantly higher 190 191 in the control group during both sets (S1: 107 ± 5 vs. 97 ± 3 and S2: 108 ± 6 vs. 96 ± 3 bpm, 192 respectively), but was similar between the groups during the rest interval.

193 There were no significant interactions for \triangle SBP and \triangle HR as assessed by the 3way ANOVAs. However, for \triangle SBP, there were significant main effects for group 194 195 (P=.003), exercise (P=.002), and set (P=.04) (Figure 3, panel A). Thus, independent of group and set, SBP increase was greater during bilateral than unilateral exercise (mean 196 197 values: +63±4 vs +54±3 mmHg).Furthermore, independent of group and exercise type, SBP increase was higher in S2 than S1 (+61±4 vs. +56±4 mmHg), and independent of 198 199 exercise type and set, the SBP increase was greater in the control group compared to patients with PD(mean values: $+73\pm4$ vs $+45\pm2$ mmHg). For Δ HR, only the main factor 200 of group presented a significant main effect (P=.007) (Figure 3, panel B), showing that 201 202 independent of exercise type and set, the HR increase was lower in PD than the control 203 group (mean values: $+18\pm1$ vs. $+31\pm2$ bpm).

205 DISCUSSION

206

207 The main finding of this study was that patients with PD presented blunted SBP and HR increases during unilateral and bilateral knee extension exercise in comparison 208 with control subjects without PD. To the best of our knowledge, this is the first study to 209 describe the cardiovascular responses to resistance exercise in patients with PD. As 210 211 resistance training has been widely recommended for individuals with PD to improve motor symptoms and functionality[20,21], the understanding of cardiovascular 212 responses to resistance exercise is important. Due to autonomic and cardiovascular 213 abnormalities in PD[2,22], the main hypothesis of this study was that patients with PD 214 would present altered responses during resistance exercise. The findings of the present 215 216 study support this hypothesis, as patients with PD presented blunted cardiovascular 217 responses during resistance exercise, regardless of the size of the active muscle mass. 218 Accordingly, peak values of absolute as well as increases in SBP and HR during 219 exercise were lower in the PD relative to the control group. These findings are in agreement with other studies that have also reported blunted cardiovascular responses in 220 221 patients with PD during aerobic exercise[12,23].

222 The mechanisms responsible for the lower responses to resistance exercise in PD 223 were not assessed in the present study, and these mechanisms remain to be elucidated. BP and HR increases during resistance exercise have been attributed to the stimulation 224 225 of central and peripheral regulatory mechanisms (central command, mechanoreflex and metaboreflex) that deactivate cardiac vagal activity and stimulate cardiac and peripheral 226 227 sympathetic activities[24,25]. Thus, it is possible that the autonomic dysfunction 228 typically present in PD, which is mainly characterized by sympathetic

dysfunction[9], could be responsible for the blunted HR and SBP increases observed in 229 the present study. Accordingly, Haensch et al.[9] showed the presence of cardiovascular 230 dysfunction in PD with loss of sympathetic innervation to the heart and an associated 231 232 reduction in sympathetic release of norepinephrine in response to a stimulus [14]. As norepinephrine increases HR, peripheral vascular resistance and BP[26], it is reasonable 233 to suggest that sympathetic activation during resistance exercise may be blunted in 234 patients with PD, mitigating HR and BP increases. Similar findings have been reported 235 236 in other similar neurodegenerative disorders with autonomic dysfunction (e.g., Multiple System Atrophy, Pure Autonomic Failure)[2,27]. 237

The present data do not support the hypothesis that activating a larger muscle mass during resistance exercise results in a greater blunting of SBP and HR increases in PD. This response suggests that the consequences of blunted sympathetic activation is likely to occur even with the recruitment of a small muscle mass. In fact, even with a weak sympathetic stimulus, such as a head-up tilt test, many patients with PD show large decreases in BP, reflecting the blunted capacity to increase sympathetic activity[28].

It is interesting to observe that the SBP increase during resistance exercise was 245 higher during the larger relative to the smaller muscle mass, while HR increased 246 247 similarly in both exercise types. This result is similar to others[10], but needs to be 248 explained. The increment in BP during resistance exercise is partially promoted by the mechanical obstruction of blood flow around the contracting muscle, which is doubled 249 250 in bilateral exercise[10]. In addition, the contraction of a greater muscle mass enhances mechanoreflex and metaboreflex stimuli[10,29]. All these mechanisms may explain the 251 252 greater increase in SBP during the bilateral exercise. On the other hand, the increment in 253 HR during resistance exercise is mainly attributed to the central command reduction in vagal activity[24,25], which may not differ so much between bilateral and unilateral resistance exercise. In addition, the HR increase may be partially blunted by baroreflex stimulation due to the increase in BP during exercise, and this blunted response might be greater with a greater muscle mass since the BP increase was higher with bilateral resistance exercise. The balance among these mechanisms might explain the absence of a greater increase in HR during bilateral compared to than unilateral exercise.

A similar explanation might be responsible for the fact that the SBP, but not the HR, increase was higher in the second than in the first set of resistance exercise. During resistance exercise, central command, mechano and metaboreflexes are progressively activated throughout the repetitions within each set due to the increase in fatigue and metabolite accumulation[24,25], which explains the increase in SBP from S1 to S2. Once more, the higher increase in SBP might produce a greater stimulus for baroreflex activation, therefore blunting an assumed greater increase in HR in the second set.

Resistance training is recommended for patients with PD for improving 267 268 muscular and motor functions[21]. In addition, in a previous study, we reported that 269 resistance training produces cardiac autonomic benefits in this population[7]. However, as a huge and sharp increase of BP is usually observed during the execution of 270 resistance exercise [10], there is some concern among physicians regarding the 271 272 possibility of occurrence of acute cardiovascular events (e.g. a stroke or sudden death) 273 during the execution of this type of exercise in clinical populations that have high cardiovascular risk [30], including patients with PD. Thus, the main clinical implication 274 275 of the present study is the demystification of the possible acute cardiovascular risk of executing resistance training in patients with PD.As the present results showed that BP 276 277 and HR presented smaller increases during resistance exercise execution in patients with PD than in control subjects, and no adverse effect has been observed during the 278

experimental protocol (e.g., dizziness, fainting or marked falls in BP or HR), the present 279 280 study supports that resistance exercise is safe and well tolerated for patients with PD from a cardiovascular point of view; supporting its recommendation for this population. 281 282 However, it is also important to note that despite the lower BP and HR increases during resistance exercise, patients with PD still have greater increases in BP and HR when 283 larger muscle masses were employed and when exercise is performed with more sets; 284 285 which should be considered when designing a resistance training protocol for this 286 population.

This study presents some limitations. As the study design required subjects to be 287 able to perform resistance exercise without external help, only patients in stages 2 and 3 288 of the modified Hoehr and Yahr scale were able to participate. In addition, as the aim of 289 the present study was to investigate cardiovascular responses in PD without the 290 291 influence of other diseases, the participants did not present with hypertension or any 292 other cardiovascular disease. However, it is usual to detect cardiovascular disease in 293 patients with PD in different stages of the disease. These patients might have greater 294 dysautonomia and respond differently to resistance exercise. Future studies are required to understand cardiovascular responses to resistance exercise in patients with Parkinson 295 and cardiovascular disease and varying levels of dysautonomia. Regarding usage of PD 296 297 medication, patients were taking different drugs and doses, which did not allow the 298 determination of the effects of each PD medication but it does increase the external validity of the present results as patients with PD regularly take different 299 300 pharmacotherapies. Considering the exercise protocol, this study employed only one dynamic resistance exercise performed with one or two legs; and used two sets of 10-12 301 302 repetitions. The magnitude of cardiovascular responses might be different with different 303 exercises, volumes and intensities. However, it is unlikely that modifications in the

exercise protocol would eliminate the differences in cardiovascular responses observedbetween subjects with and without PD.

306

307 Conclusions

Patients with PD present blunted SBP and HR increases during resistance exercise in comparison with healthy subjects, showing that this type of exercise is safe and well tolerated for patients with PD from a cardiovascular point of view and supporting its recommendation for this population.

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- 313

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317 Registration No.: U111-1129-0762.

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320 CONFLICT OF INTEREST

- 321 The authors declare no conflicts of interest
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432 Figure legends

- **Figure 1:** Experimental Protocol. HR = Heart Rate, SBP = Systolic Blood Pressure, RM
- 434 = maximal repetition

| 436 | Figure 2: Systolic blood pressure (SBP, panels A and C) and heart rate (HR, panels B |
|-----|--|
| 437 | and D) measured before (pre) and during the first set (S1), the interval (INT) and the |
| 438 | second set (S2) of the unilateral (panels A and B) and the bilateral (panels C and D) |
| 439 | knee extension exercise in Parkinson disease patients (PD, dotted lines with circles) and |
| 440 | control subjects (CONTROL, solid line with triangles). Data are shown as mean \pm SE. \ddagger |
| 441 | significantly different from PD (P<.05). ‡ significantly different from pre (P<.05). |
| 442 | |

Figure 3: Systolic blood pressure (Δ SBP, panel A) and heart rate (Δ HR, panel B) increases during the first (S1) and second (S2) sets of the unilateral (UNI) and bilateral (BI) knee extension exercises in patients with Parkinson disease (PD) and control subjects (CONTROL). Results of group, exercise and set main effects.Data is presented as mean \pm SE. [] Main effect of ANOVA. \dagger Different from PD group. \ddagger Different from S1. § Different from unilateral

450

- Table 1. Characteristics of the patients with Parkinson disease (PD) and the controlsubjects.
- 454 BMI Body mass index, SBP systolic blood pressure, DBP– diastolic blood pressure,
- 455 HR- heart rate, RM repetition maximum, H&Y modified Hoehn-Yahr stage. Data =
- 456 mean \pm SE.

| | PD | CONTROL | Р |
|----------------------------|----------------|-----------|-----|
| N | 13 | 13 | |
| Age (years) | 62.7±1.3 | 66.2±2.0 | .16 |
| Gender (F/M) | 9/4 | 7/6 | .42 |
| BMI (kg/m ²) | 25.9 ± 1.1 | 25.6±1.0 | .86 |
| SBP (mmHg) | 119.0±3.1 | 122.7±1.8 | .33 |
| DBP (mmHg) | 79.8±1.8 | 81.7±1.3 | .41 |
| HR (bpm) | 69.7±1.4 | 69.4±2.3 | .89 |
| Workload 10-12 RM BI (kg) | 36.1±3.5 | 36.9±2.9 | .86 |
| Workload 10-12 RM UNI (kg) | 18.8±1.7 | 20.7±1.4 | .40 |
| H & Y 2/2.5/3 (n) | 4/6/3 | | |

Table 1. Characteristics of the patients with Parkinson disease (PD) and the control subjects.

BMI - Body mass index, SBP - systolic blood pressure, DBP - diastolic blood pressure, HR- heart rate, RM - repetition maximum, H&Y - modified Hoehn-Yahr stage. Data = mean \pm SE.

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