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## Sleep and nesting behavior in primates: a review

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## Sleep and nesting behavior in primates: a review

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**Abstract**

Sleep is a universal behavior in vertebrate and invertebrate animals, suggesting it originated in the very first life forms. Given the vital function of sleep, sleeping patterns and sleep architecture follow dynamic and adaptive processes reflecting trade-offs to different selective pressures.

Here, we review responses in sleep and sleep-related behavior to environmental constraints across primate species, focusing on the role of great ape nest building in hominid evolution.

We summarize and synthesize major hypotheses explaining the proximate and ultimate functions of great ape nest building across all species and subspecies; we draw on 46 original studies published between 2000 and 2017. In addition, we integrate the most recent data brought together by researchers from a complementary range of disciplines in the frame of the symposium “Burning the midnight oil” held at the 26<sup>th</sup> Congress of the International Primatological Society, Chicago, August 2016, as well as some additional contributors, each of which is included as a “stand-alone” paper in this “Primate Sleep” symposium set. In doing so, we present crucial factors to be considered in describing scenarios of human sleep evolution: a) the implications of nest construction for sleep quality and cognition; b) the tree-to-ground transition in early hominids; c) the peculiarities of human sleep.

We propose bridging disciplines such as neurobiology, endocrinology, medicine and evolutionary ecology, so that future research may disentangle the major functions of sleep in human and non-human primates, namely its role in energy allocation, health, and cognition.

## Introduction

Sleep, or sleep-like states, have been investigated for centuries, beginning with observations of the day and night rhythm of Mimosaceae plants (De Mairan 1729; Du Monceau 1758), which revealed endogenous pacemakers of activity. Sleep is a universal behavior in vertebrate and invertebrate animals, suggesting it originated with the first organisms (Hartse 2011; Lesku et al. 2006; Rattenborg and Amlaner 2002). In their comprehensive review on the role of sleep in memory, Rasch and Born (2013, p.681) define sleep “as a natural and reversible state of reduced responsiveness to external stimuli and relative inactivity, accompanied by a loss of consciousness.” Reduced responsiveness is risky, however, as animals must respond to life threatening cues such as predators. In addition, this inactivity implies missing out on feeding, caring for young, or socializing; in short, a reduced investment in activities necessary for an individual’s fitness. Why has evolution not eradicated sleep?

The increasing body of evidence accumulating from investigations of the many, and often mutually non-exclusive, hypotheses on the functions of sleep provides answers to this question. Scientists have provided evidence for physiological functions of sleep such as energy saving (Siegel 2005), tissue repairing (Oswald 1980), thermoregulation (Parmeggiani 1986), metabolic regulation (Sharma and Kavuru 2010), immunological enhancement (Besedovsky et al. 2012), and memory formation (Rasch and Born 2013).

Behavioral ecology research has looked within and across species to better understand how natural selection has shaped sleep and sleep-related behavior, particularly in the context of predation where vigilance should supplant states of unconsciousness (Lima et al. 2005).

Such research has covered a wide range of topics including “species-specific” choices of shelter, circadian rhythms affected by the threat of predation, food competitors or food

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2  
3 availability, and variations in “sleep architecture.” “Sleep architecture” refers to the  
4  
5 structural organization of normal sleep, dividing it into non-rapid eye movement (NREM)  
6  
7 and rapid eye movement (REM) sleep, which occur in cycles.  
8

9  
10 In contrast to an earlier classification system by Rechtschaffen and Kales (1968), where  
11  
12 slow-wave sleep (SWS) was divided into stage 3 and stage 4 sleep, a more recent  
13  
14 nomenclature classifies NREM sleep into three stages, with SWS corresponding to N3, and  
15  
16 two lighter sleep stages N1 and N2 (Iber et al. 2007; Rasch and Born 2013). A typical eight-  
17  
18 hour sleeping bout usually starts with a short and light stage N1, followed by stage N2 which  
19  
20 is similarly light, but accounts for about 50% of a sleeping bout and is distributed rather  
21  
22 evenly throughout. Waking up during stage N1 or N2 is easy, and on doing so people report  
23  
24 thoughts, ideas, and dreams, but with no particular coherence. In contrast, stage N3 sleep,  
25  
26 or SWS, is far deeper, having acquired its name because of its slow wave frequency. Here,  
27  
28 respiration, heart rate, and blood pressure decrease, rendering waking less likely. SWS  
29  
30 usually dominates the first third of a human’s sleeping bout. In contrast, REM sleep is  
31  
32 characterized by two modes, tonic REM sleep (without actual rapid eye movements) and  
33  
34 phasic REM sleep (with acute eye movements but muscle atonia). Human sleepers, when  
35  
36 awakened during REM, are able to report coherent dreams with active participation of the  
37  
38 dreamer. REM sleep occurs towards the end of a typical sleeping bout (Coolidge and Wynn  
39  
40 2006; Lima et al. 2005; Samson and Nunn 2015). “Sleep architecture” seems to be of  
41  
42 particular importance when investigating adaptation to potentially lethal environmental  
43  
44 constraints, such as predation. Therefore, current research focuses on the role and  
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46 sequence of each specific phase within sleeping bouts, which show considerable variability  
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48 within and across species.  
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3 Given the vital functions that sleep provides, we should consider it as a constraint  
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5 influencing life history and resulting in trade-offs similar to those we assess within the  
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7 framework of optimal foraging theory. Just as for energy intake, individual- and species-  
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9 specific sleeping patterns and sleep architecture follow dynamic and adaptive processes in  
10  
11 response to environmental constraints.  
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14 Here, we review the responses in sleep and sleep-related behavior to environmental  
15  
16 constraints across primate species, investigating both proximate and ultimate benefits. We  
17  
18 integrate the most recent data brought together by researchers from a complementary  
19  
20 range of disciplines, such as primatology, behavioral ecology, and evolutionary  
21  
22 anthropology; many of whom contribute their findings in this compilation of papers from  
23  
24 the symposium “Burning the midnight oil: Great ape nocturnal activity and the implications  
25  
26 for the understanding of human evolution”, comprising orangutan (*Pongo pygmaeus*)  
27  
28 (Mackinnon 1974), gorilla (*Gorilla gorilla*) (Casimir 1979), chimpanzee (*Pan troglodytes*) (van  
29  
30 Lawick-Goodall 1968) and bonobo (*Pan paniscus*) (Kano 1992). Special attention is given to  
31  
32 great ape nest-building behavior by updating our knowledge presented in an earlier review  
33  
34 by Fruth and Hohmann (1996). We update our understanding of the implications of nest  
35  
36 construction and the role it may have played in hominin evolution, and investigate the  
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38 relevance of the tree-to-ground transition, as well as some peculiarities of human sleep, to  
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40 human evolution.  
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### 49 **Historical aspects of sleep research**

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51 The origin of Chronobiology dates back to the 17<sup>th</sup> century (Barrera-Mera and Barrera-Calva  
52  
53 1998). Since then, the discipline has influenced sleep research in both animal and human  
54  
55 studies (Aschoff and Wever 1981; Dunlap et al. 2004; Kleitman 1963).  
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3 Research subsequently expanded from the study of sleep distribution across a 24-hr period  
4  
5 into describing the distribution of stages of sleep within sleeping bouts. In 1924, Hans  
6  
7 Berger, a German psychiatrist, was the first to record a human electroencephalogram (EEG)  
8  
9 and succeeded in identifying different brain wave patterns that reflect states of sleep and  
10  
11 wakefulness (Millett 2001). This led the way to a better understanding of the different  
12  
13 qualities of sleep and the specific roles of different sleep states.  
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16  
17 Primates exhibit a vast array of different sleeping behaviors. Timing of sleeping patterns  
18  
19 varies quite markedly; some species are considered nocturnal, such as the African and Asian  
20  
21 strepsirrhine prosimians (Reinhardt and Nekaris 2016) and the South American genus, *Aotus*  
22  
23 (Wright 1989). Most primates, however, are diurnal, although a few species, such as the owl  
24  
25 monkey, *Aotus azarai*, and several Malagasy strepsirrhines, (e.g. *Eulemur* spp., *Hapalemur*  
26  
27 sp., *Lemur catta*) exhibit cathemerality (Curtis and Rasmussen 2006). It is difficult to tease  
28  
29 apart the multiple influences on variation in sleeping patterns; for example, the activity  
30  
31 patterns of some lemurs could be attributed to phylogeny and niche differentiation of  
32  
33 different genera (Dammhahn and Kappeler 2014), whilst certain African lorisiformes show  
34  
35 variable sleep patterns even within species (Svensson et al. (in review). New technologies,  
36  
37 such as remote-operated camera traps and acoustic sensors, have recently revealed  
38  
39 previously undocumented nocturnal wakefulness and activity in wild great apes; a  
40  
41 phenomenon that is now hypothesized to occur in many primates (Piel in review; Tagg et al.  
42  
43 in review). Increasing evidence reveals cathemeral and fragmented sleeping patterns in  
44  
45 many species to be a response to factors such as light, food, predator or human imposed  
46  
47 constraints (Colquhoun 2007; Engqvist and Richard 1991; Eppley et al. 2015; Kümpel et al.  
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49 2008).  
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3 Temperature is another environmental factor that has been shown to influence pattern and  
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5 quality of sleep in lemurs (Samson in review-b), and chimpanzees (Pruetz in review). While  
6  
7 the external drivers mentioned above may trigger nocturnal activity in diurnal great apes,  
8  
9 internal factors, such as the need to defecate (Koichiro Zamma, *pers.comm.*), may  
10  
11 contribute as well. This taxon-wide behavioral flexibility in the amount and timing of sleep  
12  
13 raises numerous questions, and shows how species may adapt their sleep patterns to cope  
14  
15 with the challenges of environmental and anthropogenic stressors.  
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### 21 **Primate sleeping sites**

22  
23 With a total of around 410 primate species predominantly inhabiting the tropical belt of our  
24  
25 planet (Mittermeier et al. 2013), it is natural that we find a fascinating array of niche  
26  
27 differentiation in the distribution of activity patterns and sleeping site locations across  
28  
29 species. Arboreal sites are common; some small monkeys **sleep** solitarily or in small groups  
30  
31 utilizing tree holes (Kappeler 1998), while many larger primates sleep on bare branches,  
32  
33 even when predominantly terrestrial at times of activity (Anderson 2000; Fruth and McGrew  
34  
35 1998). In addition, some large-bodied primates sleep terrestrially on bare ground or on  
36  
37 cliffs, such as group-sleeping baboons (*Papio spp.*), (Hamilton 1982), or individually-sleeping  
38  
39 great apes (Fruth and Hohmann 1996; Tagg et al. 2013). Of particular interest in the study of  
40  
41 primate sleep is nest-building behavior, which has evolved independently six to eight times  
42  
43 in primates (Kappeler 1998). Great apes universally build nests in which to sleep at night and  
44  
45 sometimes during day. Nest building is a habitual behavior in great apes, constructions are  
46  
47 built for short periods only and never serve as a shelter for caching young. Structures are  
48  
49 commonly built within trees, although ground nests built with terrestrial vegetation are  
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51 common in gorilla and ground-nesting is likely present at low rates across all species and  
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3 subspecies (Tagg et al. 2013). These structures attracted the attention of early explorers  
4  
5 such as Du Chaillu (1861), Hornaday (1879) and Savage and Wyman (1843–1844). When  
6  
7 these structures came to scientific attention about 100 years later, they were named  
8  
9 “sleeping platform”, “nest”, or “bed”.

10  
11 Nest building in great apes is a phylogenetically conservative behavior likely to have evolved  
12  
13 in the Miocene (Fruth and Hohmann 1996), somewhere between 18–14 mya (Duda and  
14  
15 Zrzavý 2013). Nest building may have been an evolutionary response to cope with the  
16  
17 allometric effect of the increasing body size of apes, which would “have benefited from  
18  
19 more resilient and stable sleeping substrates to reduce both physical stress on the body and  
20  
21 the probability of lethal falls” (Samson and Nunn 2015, p.231).

22  
23 Nest building was originally thought to be innate until Bernstein (1962), and later Videan  
24  
25 (2006), showed that captive-reared chimpanzees did not know how to build good nests,  
26  
27 even when their mothers were wild born. Nest-building behavior is now known to be  
28  
29 acquired throughout the ape’s ontogeny, starting with attempts by infants to construct day  
30  
31 nests. Early on, nest building was discussed in the context of the cognitive modification of  
32  
33 the physical environment or tool use. While nest building was considered separately from  
34  
35 tool use by some authors (Beck 1980; Tuttle 1986), others tended to subsume it under this  
36  
37 category (Galdikas 1982; McGrew 1992). Recently, Shumaker et al. (2011) redefined tool use  
38  
39 in such a way as to incorporate nest use and argued it is the most pervasive form of material  
40  
41 culture in great apes. Nest construction reflects the great apes’ ability for environmental  
42  
43 problem solving; an ability that forms the basis of skilled object manipulation of which all  
44  
45 apes are capable, and is considered to have been crucial for hominization (McGrew 1992).  
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### **Great ape nesting behavior and proximate functions of great ape nests**

Goodall (1962) provided the first detailed description of chimpanzee nest-building behavior.

Nests of all great apes are similarly constructed, despite inter-species differences in habitat and social organization. When in trees, nest-builders usually select horizontal side branches for the foundation, over which they bend and break adjacent branches. The rim of these platforms is formed by bending, breaking and occasionally interweaving additional smaller branches from the outer to the inner surfaces, resulting in a circular or oval, bowl-shaped structure. The center of this 'bowl' is often lined with detached leafy twigs. When nests are built on the ground, non-woody vegetation is often used. Average arboreal nest heights range from 10–20 m, and construction types range from sturdy nests on side branches or in single treetops to nests integrating several adjacent trees, sometimes so flexible that the 'bowl' resembles a hammock.

An ape will usually build a new nest each evening and, despite lack of systematic investigation, is assumed to use it for rest from dusk until dawn. Occasionally, nests are also built during the day, usually for rest, but have also been observed to serve functions of grooming, play, sex, nursing, and giving birth. Nests are usually not constructed in isolation from each other but in groups, reflecting differences in the social organization and social structure of the species (Fruth 1995; Schaller 1963; van Lawick-Goodall 1968). For species living in a fission-fusion social organization, aggregation at night has been suggested to allow information transfer on the quality of food patches visited during day (Fruth and Hohmann 1994a).

Nests are built by each weaned individual great ape independent of sex and age, and take between one and seven minutes to construct. Time of construction depends on season, weather and light conditions, and social opportunities or requests. Tree choice is highly

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2  
3 selective and may be influenced by seasonal availability of building material, quality,  
4  
5 flexibility, and strength of wood, as well as leaf size and phytochemical properties (Samson  
6  
7 and Hunt 2014; van Casteren et al. 2012). Nest reuse has been reported for all studied  
8  
9 populations and, although frequencies differ, it likely depends on the availability of nesting  
10  
11 locations and material for construction. Sex differences are reported for all species and  
12  
13 concern nest height and frequency, with females on average constructing their nests higher  
14  
15 and more often producing day nests than males.  
16  
17

18  
19 In an extensive review, Fruth and Hohmann (1996) compiled data on nest building in all  
20  
21 great ape species and most subspecies, investigating 31 published studies and  
22  
23 complementing these with the answers to 21 questionnaires through which field  
24  
25 primatologists contributed their unpublished data. Overall, there was considerable variation  
26  
27 in physical parameters such as nest height, not only across, but also within great ape  
28  
29 species. Variation is influenced by environmental parameters (e.g. rainfall, temperature,  
30  
31 habitat structure, availability of material, predator presence), demographic (e.g. sex or age  
32  
33 class) and social factors (e.g. socially transferred habits). Variation within species exceeded  
34  
35 variation across species.  
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38  
39 For the current review, we consulted 46 original studies published between 2000 and 2017  
40  
41 investigating great ape nest construction in all species and subspecies (Table 1). Our main  
42  
43 focus was on studies conducted in the wild, and we did not consider those using nests as a  
44  
45 tool for calculating density estimations. Overall, the picture that emerged in the original  
46  
47 review still persists. In addition, an increasing number of studies systematically investigate  
48  
49 hypotheses concerning nest function. Table 1 shows these studies and their foci.  
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56 < Table 1 about here >  
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3 In the following sections, we outline these hypotheses, incorporating recent results from  
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5 published literature, including this symposium set:  
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9  
10 **Comfort.** The comfort hypothesis assumes that increased body size in primates may have  
11  
12 constrained relaxed sleep. Comfort, defined as “things that contribute to physical ease and  
13  
14 well-being” (Oxford Living Dictionaries 2017), here translates into the construction of  
15  
16 platforms that evolved, not for reasons of survival, but simply because freshly built, soft and  
17  
18 warm nests allow for a more comfortable sleep (Baldwin et al. 1981; Nissen 1931). This  
19  
20 hypothesis found support by Stewart et al. (2007), who showed that chimpanzee nests at  
21  
22 Fongoli, Senegal, vary in complexity and comfort, with more highly complex nests being  
23  
24 more comfortable. This is in line with findings from Sumatran orangutans (*Pongo abelii*) (van  
25  
26 Casteren et al. 2012). In a recent study, Cheyne et al. (2013) investigated nests of Southern  
27  
28 Bornean orangutans (*P. pygmaeus wurmbii*), showing that they sought protection from wind  
29  
30 and rain rather than protection from predators. In line with the climatic drivers of the use of  
31  
32 nests, Samson and Hunt (2012) investigated the physical comfort levels of chimpanzee tree  
33  
34 versus ground nests, and reported various advantages of ground nests, such as reduced  
35  
36 energy expenditure and homeostatic microclimate. All authors agreed that additional  
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38 functions may be of major importance.  
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46  
47 **Antipredation.** Animals are vulnerable when asleep, as their ability to detect predators is  
48  
49 reduced. Sleeping in trees is a solution; however, large-bodied apes need a surface that  
50  
51 allows both loss of muscle tone and maintained security when asleep (Samson and Hunt  
52  
53 2012). Comparing nest height and density between Fongoli and Mt Assirik, Senegal, two  
54  
55 chimpanzee sites with different predation pressures, Pruett et al. (2008) supported the  
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1  
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3 antipredation hypothesis by demonstrating that nests were built higher and in closer  
4  
5 proximity to each other at Mt Assirik, the site with higher predation pressure. Similarly,  
6  
7 Stewart and Pruetz (2013) compared physical characteristics of nesting trees between Issa,  
8  
9 Tanzania, and Fongoli, Senegal; here, Issa is the relatively predator-rich site. As expected,  
10  
11 chimpanzees in Issa were observed to select taller trees with higher lowest branches over  
12  
13 other suitable trees (Hernandez-Aguilar 2006), and nested higher and more at the distal  
14  
15 branch extremities than did chimpanzees in Fongoli (Stewart and Pruetz 2013). Data from  
16  
17 Koops et al. (2012), who investigated chimpanzee nesting at Seringbara, Nimba Mountains,  
18  
19 Guinea, where predators are thought to be absent, are in line with those from Fongoli. In  
20  
21 summary, the construction of platforms allowing safe and comfortable sleep can be  
22  
23 considered to offer a two-fold benefit through improving sleep and avoiding predation  
24  
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27  
28 (Koops et al. 2012; Stewart and Pruetz 2013).  
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32  
33 ***Thermoregulation.*** When temperature is low, particularly during the night, the costs of  
34  
35 physiological thermoregulation increase. In savanna-woodland habitats like Fongoli, where  
36  
37 temperature extremes may range between highs of 45°C during the day and lows of 7°C at  
38  
39 night , nests provide considerable insulation (Stewart 2011). Nest use can thus be  
40  
41 considered a form of behavioral thermoregulation. In addition, vertical nest site choice may  
42  
43 be driven by microclimatic patterns, such as relative humidity (Samson and Hunt 2012). At  
44  
45 Seringbara, Koops et al. (2012) found chimpanzee nest height increased with increasing  
46  
47 humidity. Temperature during the day can also affect great ape sleeping patterns at night,  
48  
49 as shown in Fongoli by Pruetz (in review), whereby increased nocturnal activity appeared to  
50  
51 be the result of compensating for thermal stress experienced during the day. Evidence  
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3 provided in this issue suggests that chimpanzees adjust nest shape and architecture in  
4  
5 response to local weather conditions (Stewart in review).  
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8  
9 ***Antipathogen.*** Disease vectors, such as mosquitoes, have a vertical distribution and may  
10 therefore influence nest site choice. While avoidance of annoyance by biting insects could  
11 be a proximate influence on nest site choice, avoidance of disease vectors may ultimately  
12 offer an evolutionary advantage over exposed conspecifics (Koops et al. 2012; Samson et al.  
13 2013). So far, pathogenicity of transmitted parasite infections, such as malaria, are  
14 unknown, although *Plasmodium* spp. have been detected in great apes (Krief et al. 2010; Liu  
15 et al. 2010; 2014). Koops et al. (2012) found no difference in densities of potential disease  
16 vectors at different heights of the forest canopy, concluding that mosquito densities at their  
17 site could not be identified as a significant selection pressure influencing nest building.  
18  
19 However, tree choice at other sites does hint at insect avoidance as an influencing factor;  
20 for example, in Semliki, another savanna site, experimental mosquito capture was lower in  
21 proximity to a highly preferred tree species (Samson et al. 2013), and at Tuanan in Central  
22 Kalimantan, Indonesia, orangutans (*Pongo pygmaeus wurmbii*) selected naturally mosquito-  
23 repellent tree species when mosquito density was high (Largo et al. 2009).  
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#### 44 **Evolution of great ape nest construction and use and implications for sleep**

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46 Traces of nests within trees remain visible over generations, with broken branches  
47 recovering and continuing to grow into their altered direction. They are living artefacts  
48 allowing investigation of distribution and reuse, accumulation, and enabling an enhanced  
49 understanding of their associated patterns. In paleoanthropology, artefacts are used to  
50 reconstruct early hominin ranging behavior and the formation of hominin archeological  
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3 sites. Nest sites therefore contribute to a better understanding of the evolution of human  
4  
5 shelter; these primitive ape platforms provide analogues to the earliest home-bases of  
6  
7 hominins (Fruth and Hohmann 1994b; Hernandez-Aguilar 2009; McGrew 1992; 2004; Sept  
8  
9 1998; Sept et al. 1992).

11  
12 Furthermore, great ape nesting and its implications for sleep are relevant to understanding  
13  
14 the evolution of human sleep patterns. Due to the presence of this behavior in all extant  
15  
16 great ape species, it was likely present in their last common ancestor (LCA) living around 14  
17  
18 million years ago (MYA), and in the *Pan-Homo* LCA living around 7 MYA. Fruth and  
19  
20 Hohmann (1996) framed a scenario whereby in the mid- to late-Miocene, nest building  
21  
22 began as a by-product of great ape feeding behavior and represented a selective advantage  
23  
24 over quickly radiating and better-adapted monkeys. Fruth and Hohmann (1996)  
25  
26 hypothesized that nests had their origins in feeding competition rather than the need for  
27  
28 rest. These “proto-nests” may have led to the “feeding nests” that can be regularly observed  
29  
30 in great apes (Basabose and Yamagiwa 2002; Fruth and Hohmann 1993). Feeding nests may  
31  
32 then have turned into resting platforms, providing support for the increasing body weight of  
33  
34 apes. According to Fruth and Hohmann (1996), these originally proximate functions of early  
35  
36 nests may have brought about an improvement in the quality of sleep. This improved sleep  
37  
38 quality is hypothesized to have resulted in a sleep architecture that allows not only the  
39  
40 essential metabolic processes, such as the release of growth hormones and physiological  
41  
42 recuperation, but above all, enhanced cognition.

43  
44 Samson and Nunn (2015) formalized this evolutionary scenario by postulating a positive  
45  
46 feedback loop that merges two previously exclusive hypotheses: namely the “sleep quality  
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48 hypothesis,” which assumes that improved sleep led to an increase in cognitive abilities, and  
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50 the alternative “engineering hypothesis,” which assumes that the increasing cognitive  
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3 performance of great apes enabled them to build nests. In addition, they supported this  
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5 scenario through developing and subsequently testing the “sleep intensity hypothesis.”  
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7 They showed that early humans “experienced selective pressure to fulfill sleep needs in the  
8  
9 shortest time possible” (p.225). In this volume, Nunn and Samson (in review) extend their  
10  
11 previous analyses of Samson and Nunn (2015) by including more relevant ecological  
12  
13 variables and additional primate species, and investigate how human sleep differs from  
14  
15 other primate species, thus proposing a certain uniqueness of human sleep.  
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### 21 **Tree-to-ground sleep transition and its implications for human evolution**

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23 Whilst nest construction likely contributed to the “great leap forward” in the evolution of  
24  
25 great ape cognition, the tree-to-ground transition may have resulted in a similar leap in  
26  
27 hominins (Coolidge and Wynn 2006). Despite habitually exhibiting sleep, ingestion of food,  
28  
29 and locomotion as arboreal behaviors, all great apes are terrestrial to varying degrees  
30  
31 during the day (Doran 1996; Loken et al. 2013). However, the proportion of nests at lower  
32  
33 heights and on the ground increases in areas with lower or absent predation pressure. Tagg  
34  
35 et al. (2013) showed that all subspecies of chimpanzee sometimes build night nests on the  
36  
37 ground. To what extent these findings allow reconsideration of the advantages and  
38  
39 disadvantages of a tree-to-ground transition, however, needs careful evaluation.  
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44 Can we date the tree-to-ground transition for sleep in hominin evolution? Fossil evidence  
45  
46 shows many early hominins to have ape-like anatomical adaptations that likely allowed  
47  
48 them to climb trees [*Ardipithecus ramidus* (White et al. 2009); *Australopithecus afarensis*  
49  
50 (Alemseged et al. 2006); *A. africanus* (Berger and Tobias 1996); *Homo habilis* (Richmond et  
51  
52 al. 2002; Ruff 2009)]. Despite a lack of the requisite morphological traits for tree climbing in  
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54 the newly discovered Australopithecine, *A. sediba* (Berger et al. 2010), the more arboreal-  
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3 adapted, less habitual bipeds such as *Ardipithecus ramidus*, *Australopithecus afarensis*, and  
4  
5 *A. africanus* (cited above), suggest a transition to terrestriality occurred in the more  
6  
7 committed bipeds, such as *Homo erectus* (Ruff 2009). Although Berger and colleagues  
8  
9 (2010) postulated this transition to have occurred in a 'mosaic fashion,' it is possible that  
10  
11 early hominins continued to sleep in trees, long after becoming terrestrial, perhaps until the  
12  
13 controlled use of fire. Archaeological and ecological evidence support *H. erectus* as the  
14  
15 earliest hominin to use fire, although the timing and emergence of when this happened  
16  
17 remains controversial (Clark and Harris 1985; Goren-Inbar et al. 2004; Karkanas et al. 2007).  
18  
19 Fire may have aided thermoregulation, vector and predator deterrence, in addition to  
20  
21 increasing energy intake *sensu* Wrangham and Carmody (2010), and may therefore have  
22  
23 favored survival of terrestrial-adapted hominins. This hypothesis finds support in studies  
24  
25 investigating post-cranial remains, limb strength and locomotion of early hominins, allowing  
26  
27 consideration of *Homo habilis* and *H. rudolfensis* as facultative arboreal species that were  
28  
29 therefore very likely to have slept in nests. Whereas *H. erectus* has been identified, on the  
30  
31 basis of anatomical features, as the first hominin to have fully engaged in terrestrial  
32  
33 bipedalism and thus to have regularly slept on the ground (Coolidge and Wynn 2009; Reed  
34  
35 1997; Ruff 2009; Wrangham and Carmody 2010). Provided there is relative security, perhaps  
36  
37 from increased group size or fire, the transition from sleeping in trees to the ground may  
38  
39 have favored the use of new (often treeless) habitats (Coolidge and Wynn 2006).  
40  
41 Furthermore, longer bouts of wakefulness as societies became more social would have  
42  
43 afforded more time for social interactions (Samson and Nunn 2015), resulting in increased  
44  
45 opportunities for learning. Coolidge and Wynn (2006) emphasized the implications of the  
46  
47 tree-to-ground sleep transition by framing three major benefits: (1) threat simulation, social  
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3 rehearsal and priming; (2) creativity and innovation; and (3) procedural memory  
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5 consolidation and enhancement.

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7 Interestingly, contemporary proof of a long-lasting preference for tree-based sleeping sites  
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9 at night is reflected in people, such as the Korowai from Indonesia, who exhibit above  
10  
11 average arboreality without specialized morphological traits (Stasch 2011). Furthermore,  
12  
13 modern humans show deeply-rooted architectural preferences that likely evolved in our  
14  
15 distant past through natural selection; for example, preference for a good view is likely  
16  
17 related to height and an avoidance of being discovered (Atzwanger and Schäfer 1999; Eibl-  
18  
19 Eibesfeldt et al. 1985; Owens 1988).  
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### 26 **Particularities of human sleep**

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28 While the above scenarios remain hypothetical, a few recent studies have begun to  
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30 experimentally investigate how nests and sleep enhance cognitive performance in great  
31  
32 apes. First, Samson and Shumaker (2015) documented orangutan sleep architecture,  
33  
34 showing how sleeping platform complexity increases sleep quality. They showed nest  
35  
36 complexity to vary positively with reduced night-time motor activity, less fragmentation,  
37  
38 and greater efficiency of sleep. Their data also have relevant implications for animal welfare;  
39  
40 an aspect that finds elaboration in this volume by Anderson et al. (in review).  
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44 However, to what extent sleep architecture has continued to change as a direct result of the  
45  
46 tree-to-ground sleep transition, remains unresolved. Likewise, whether increased risks of  
47  
48 ground sleep led to modified sleep duration and architecture or whether modified sleep  
49  
50 architecture allowed fulfilment of sleep needs even when sleep durations were necessarily  
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52 reduced, remains unknown. Ground sleeping may have allowed a deeper and less disturbed  
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54 sleep in the absence of predators or enemies, however the question remains whether or not  
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3 there has been safety from predators or enemies across human evolution. In this volume,  
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5 Samson (in review-a) investigate to what extent security of sleeping sites favors increased  
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7 sleep intensity (with reduced motor activity serving as a proxy) and demonstrate that  
8  
9 humans exhibit a lower degree of motor activity at night than other primates.

10  
11 Interestingly, when sleep was measured in terms of sleep duration and the ratio of REM to  
12  
13 NREM, it became evident that human sleep was shorter and more efficient than would be  
14  
15 expected in comparison with other primates (Samson and Nunn 2015). This gives support to  
16  
17 the new and intriguing “sleep intensity hypothesis” (discussed earlier). Nunn and Samson (in  
18  
19 review) argue that the driver of shorter sleep may have been opportunity costs rather than  
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21 the vulnerability to predation when ground sleeping. Most importantly, the increased awake  
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23 time could then be spent learning and developing material culture, and therefore driving  
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25 technological advances.  
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31 The growing body of research investigating human and non-human primate sleep patterns  
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33 (e.g. sleep architecture [REM/NREM], intensity, duration, and continuity [rate of waking])  
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35 reveals greater differences within than between individuals. This is shown nicely by Yetish  
36  
37 and colleagues (in review) in an experimental approach to sleep among Tsimane hunter-  
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39 horticulturalists in Amazonian Bolivia.  
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42 If we extrapolate these principles to modern humans, we see that the multitude of stressors  
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44 such as light and noise pollution, extensive media use, professional requests (working  
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46 shifts), or other unpredictable stressors during flight, political unrest or war, result in a  
47  
48 remarkable variation of sleep architecture across and within populations. Sleep research  
49  
50 focused on traditional (non-industrial) populations suggests that „flexibility” in sleep timing  
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52 and duration are important characteristics in human sleep (Samson et al. 2017).  
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### **Future directions**

It will never be possible to determine extinct hominin sleep patterns, as such behavior is inaccessible via the fossil record. However, continued study of human and non-human primates, and application of the comparative method, allows insight into likely sleep patterns in hominins. Further investigation into human sleep in traditional and industrialized societies with electricity, and in a range of latitudes, is required. Study of sleep patterns, and potentially architecture, in wild-living primates may become feasible through application of non-invasive approaches such as actigraphy, infra-red observations, acoustic sensors, camera trapping, and thermal imaging. Comparative research is necessary to help frame human sleep patterns within the scale and patterns of primate sleep. Complementary studies on primates in captivity could reveal further insight into sleeping patterns. For example, by testing animals in various learning tasks with respect to the different sleep stages. However, ethical constraints have to be considered in such laboratory studies, and limit, for example, the search for neural mechanisms. As necessary data continue to be compiled, the considerable variation in sleep architecture will eventually require a multivariate approach whereby major variables, such as ecological drivers or individuals, are kept constant. A phylogenetic approach to investigate sleep characteristics across mammalian taxa could further address what traits may have been evolved in other primate species as a result of relaxed sleep. Overall, three pillars of research are of major interest for current and future investigations of sleep: (1) the role of sleep in an organism's energy budget, (2) its role in health, and (3) its role in memory consolidation. Multifold and thorough investigations are required to determine how much of an individual's sleeping

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3 time is allocated to each of these three 'pillars' and whether or not individual time  
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5 allocations result in sleeping patterns that translate to successful strategies in the struggle  
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7 of life.  
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TABLE 1: Behavioral aspects and proximate hypotheses of great ape nest construction investigated in original studies published between 2000 and 2017.

Genus Species Subspecies	Gorilla					Pan					Pongo		
	beringei	graueri	gorilla	gorilla diehli	spp. <sup>8</sup>	verus	elliotti	troglydytes	schweinfurthii	spp. <sup>8</sup>	paniscus	pygmaeus	spp. <sup>8</sup>
Nesting Behavior													
Innate or learned													
Arboreal/terrestrial	1 <sup>12</sup>	1 <sup>20</sup>	2 <sup>10,22</sup>	1 <sup>19</sup>	1 <sup>21</sup>	5 <sup>1,2,4,25,26</sup>	1 <sup>27</sup>	1 <sup>28</sup>	2 <sup>23,24</sup>	1 <sup>46</sup>			1 <sup>29</sup>
Group-size									2 <sup>6,45</sup>				
Sex-bias									1 <sup>5</sup>			1 <sup>9</sup>	
Re-use			1 <sup>33</sup>	1 <sup>19</sup>					1 <sup>16</sup>			2 <sup>8,9</sup>	
Selectivity:													
site	1 <sup>12</sup>		2 <sup>34,43</sup>	2 <sup>13,35</sup>	1 <sup>36</sup>	1 <sup>4</sup>		1 <sup>43</sup>	5 <sup>16,17,37,39,40</sup>		4 <sup>30,38,41,42</sup>	2 <sup>18,44</sup>	
food resources			1 <sup>33</sup>						3 <sup>14,16,45</sup>		1 <sup>42</sup>		
material	1 <sup>12</sup>		3 <sup>10,11,33</sup>	1 <sup>13</sup>		2 <sup>2,4</sup>			5 <sup>5,6,14,15,17</sup>		2 <sup>30,38</sup>	1 <sup>18</sup>	
height						4 <sup>1,2,3,4</sup>			3 <sup>5,6,7</sup>			2 <sup>8,9</sup>	
Nest function hypotheses													
Thermoregulation			1 <sup>22</sup>	1 <sup>19</sup>	1 <sup>21</sup>	3 <sup>1,2,26</sup>		1 <sup>28</sup>	3 <sup>5,7,24</sup>		1 <sup>30</sup>		
Predator avoidance		1 <sup>20</sup>		1 <sup>13</sup>		1 <sup>1,2,3,4</sup>	1 <sup>27</sup>		4 <sup>3,5,23,24</sup>			1 <sup>44</sup>	
Parasite avoidance						2 <sup>2,26</sup>			2 <sup>39,40</sup>				
Comfort						2 <sup>26,31</sup>			2 <sup>7,32</sup>			1 <sup>18</sup>	1 <sup>29</sup>

<sup>1</sup> Pruetz et al., 2008; <sup>2</sup> Koops et al., 2012; <sup>3</sup> Stewart and Pruetz, 2013; <sup>4</sup> Carvalho et al., 2015; <sup>5</sup> Brownlow et al., 2001; <sup>6</sup> Hernandez-Aguilar et al., 2013; <sup>7</sup> Samson, 2012; <sup>8</sup> Ancrenaz et al., 2004; <sup>9</sup> Rayadin and Saitoh, 2009; <sup>10</sup> Brugiere, 2001; <sup>11</sup> Willie et al., 2014; <sup>12</sup> Rothman et al., 2006; <sup>13</sup> De Vere et al., 2011; <sup>14</sup> Basabose and Yamagiwa, 2002; <sup>15</sup> Stanford and O'Malley, 2008; <sup>16</sup> Hernandez-Aguilar, 2009; <sup>17</sup> Hakizimana et al., 2015; <sup>18</sup> Cheyne et al., 2013; <sup>19</sup> Sunderland-Groves et al., 2009; <sup>20</sup> Yamagiwa, 2001; <sup>21</sup> Lukas et al., 2003; <sup>22</sup> Mehlman and Doran, 2002; <sup>23</sup> Furuichi and Hashimoto, 2000; <sup>24</sup> Samson and Hunt, 2012; <sup>25</sup> Koops et al., 2007; <sup>26</sup> Stewart, 2011; <sup>27</sup> Last and Muh, 2013; <sup>28</sup> Tagg et al., 2013; <sup>29</sup> Samson and Shumaker, 2015; <sup>30</sup> Mulavva et al., 2010; <sup>31</sup> Stewart et al 2007; <sup>32</sup> Samson and Hunt, 2014; <sup>33</sup> Iwata and Ando, 2007; <sup>34</sup> Haurez et al., 2014; <sup>35</sup> Funwi-Gabga and Mateu, 2012; <sup>36</sup> Weiche and Anderson, 2006; <sup>37</sup> Ogawa et al., 2007; <sup>38</sup> Inugwabini et al., 2012; <sup>39</sup> Krief et al., 2012; <sup>40</sup> Samson et al., 2013; <sup>41</sup> Serckx et al., 2016; <sup>42</sup> Serckx et al., 2014; <sup>43</sup> Murgari et al 2006; <sup>44</sup> Spehar and Rayadin, 2017; <sup>45</sup> Chancellor et al., 2012; <sup>46</sup> Videan, 2006

<sup>8</sup> species was unknown in captive research studies

271x224mm (300 x 300 DPI)